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Pied-piping into the Left Periphery

Matthew Pearson

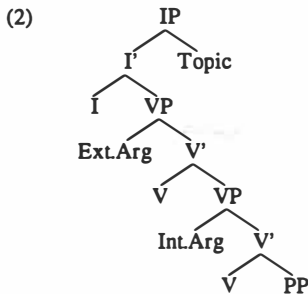
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1. Introduction*

Malagasy, an Austronesian language with rather fixed word order, has traditionally been described as a VOS (or Predicate-Topic) language, based on examples such as (1):¹

- (1) Namono ny akoho tamin'ny antsy ny vehivavy
Pst-kill:ST Det chicken Pst-with-Det knife Det woman
"The woman killed the chicken(s) with the knife"

In Guilfoyle, Hung, and Travis (1992), and much subsequent work, this order is captured by positing a tree like the one in (2), where the verb undergoes head movement to I⁰, and the Topic raises to a specifier position above and to the right of I⁰.



* Many thanks to my Malagasy consultants, Noro Ramahatafandry and Saholy Hanitriniaina, and thanks also to the audiences at NELS 27 and ConSOLE 5 (London, England), for discussing this material with me.

¹ The following abbreviations are used in the examples: Asp = aspect, Cleft = cleft particle, Comp = complementizer, Decl = declarative, Deic = deictic element, Det = determiner, Excl = exclamatory particle, Imp = imperative, Neg = negation, Nom = nominative, Obl = oblique prefix, ObT = Oblique-Topic form, OT = Object-Topic form, Pred = Predicate, Pst = past tense, Qu = question particle, ST = Subject-Topic form, Sub = subordinator morpheme, Top = Topic, TT = Theme-Topic form.

Based on the position of Topics, Guilfoyle et al. stipulate that in Malagasy, lexical projections such as VP have their specifiers on the left, while functional projections such as IP have their specifiers on the right. In this paper, I would like to present an alternative analysis which avoids this directionality stipulation. Under my analysis, Predicate-Topic order is derived through pied-piping of the Predicate to the specifier of a functional category in COMP, located above the surface position of the Topic.² By positing movement of the Predicate over the Topic, I claim, a descriptively adequate account of Malagasy word order can be obtained within a highly restrictive theory of projection and movement.

This analysis takes advantage of two recent ideas in the literature. The first of these is that cross-linguistic word order variation can be accounted for without assuming a directionality parameter on phrase structure, if we assume that large XP constituents can undergo 'heavy' pied-piping to the specifiers of functional projections (Nkernji 1996 offers a recent example of this approach to word order).

The second idea which I exploit in my analysis is that COMP, much like INFL, is not a single projection, but rather a highly structured series of projections, which includes complementizer and clause-type categories, as well as operator(-like) positions associated with features such as Topic, Focus, and Wh. Two proposals for 'expanded' COMP which I discuss below are Bhatt and Yoon (1991) and Rizzi (1995).

In the discussion which follows, I will assume a number of restrictions on phrase structure and movement: Following Kayne (1994), I will assume that there is no directionality parameter, and that phrase markers conform universally to a specifier-head-complement order, where precedence is derived from asymmetric c-command. Given this, in combination with the assumption that a moved element must c-command its trace, it follows that movement must always be to the left. I will also adopt the Minimalist assumption that elements move solely in order to enter into a checking relation with a feature of a higher head X, either through head adjunction to X⁰ or through movement of a maximal projection to SpecXP. Finally, following Rizzi (1995) and others, I assume that there is no free adjunction to XP (or, in Kayne's terms, where specifiers are a kind of adjunct, only one XP adjunct is allowed per projection).

The structure of the rest of this paper is as follows: In section 2 I review Topic-Predicate structure and morphological case in Malagasy. In section 3 I present some word order facts, focussing on elements which arguably occur above TP as part of the COMP system. These include complementizers, question particles, negation, and sentential adverbials. I then lay out my pied-piping analysis in section 4, and consider briefly how to implement it within a Minimalist theory of movement. Finally in section 5, I present some evidence for my analysis based on word order in certain kinds of embedded clauses.

2. Topic, Predicate, and morphological case

As several authors have noted (Keenan 1994; Pearson 1995, 1996; Pensalfini 1995; etc.), there is evidence from prosody, as well as the placement of certain particles, that clauses in Malagasy have a bipartite structure, consisting of a Predicate constituent, and what I will call the 'Topic field', which includes various positions outside the Predicate. In most cases the Topic field contains a Topic DP, which forms a chain with a gap inside the Predicate. This structure is illustrated in (4), where *e* indicates the gap. (I tentatively assume that Topics in Malagasy are base-generated in their surface position and coindexed with a null operator. However, nothing in my present proposal hinges on this.)

² Note that this is similar to an analysis of Malagasy discussed in Pensalfini (1995).

- (4) [Pred e_i namono ny akoho tamin'ny antsy] [Top ny vehivavy]_i
 Pst-kill:ST Det chicken Pst-with-Det knife Detwoman
 "The woman killed the chicken(s) with the knife"

The thematic role of the Topic - or, more accurately, the position of the Topic argument within the theta role hierarchy - is indicated by the morphological form of the verb. A given verb may have up to four 'thematic agreement' (or 'voice') forms, allowing any argument of the verb to be topicalized. For instance, the four forms of the ditransitive verb root *roso* "serve" are illustrated in (5)-(8), where the Predicate is indicated by bracketing.

ST (Subject-Topic): Topic = Actor, Experiencer

- (5) [Nandroso vary ny ankizy tamin'ny lovia vaovao] Rabe
 Pst-serve:ST rice Det children Pst-on-Det dish new Rabe
 "Rabe served the children rice on the new dishes"

OT (Object-Topic): Topic = Recipient, Patient, Theme

- (6) [Norosoan-dRabe vary tamin'ny lovia vaovao] ny ankizy
 Pst-serve:OT Rabe rice Pst-on-Det dish new Detchildren
 "The children were served rice by Rabe on the new dishes"

TT (Theme-Topic): Topic = Theme, Instrument

- (7) [Naroson-dRabe ny ankizy tamin'ny lovia vaovao] ny vary
 Pst-serve:TT Rabe Detchildren Pst-on-Det dish new Detrice
 "The rice was served to the children on the new dishes by Rabe"

ObT (Oblique-Topic): Topic = Oblique

- (8) [Nandrosoan-dRabe vary ny ankizy] ny lovia vaovao
 Pst-serve:ObT Rabe rice Det children Det dish new
 "The new dishes were used by Rabe to serve rice to the children"

These sentences all have roughly the same propositional content, but differ in how they present the information: Intuitively speaking, sentence (5) presents some property of the Actor *Rabe* (namely, that he served rice to the children), while (6) presents some property of the recipient "the children", (7) presents a property of the Theme "the rice", and (8) presents a property of the Locative "the new dishes". (Thus for instance, sentence (5) might be used in answer to the question "What did Rabe do?", but if the question were "What happened to the rice?", sentence (7) would be used.)

In studies of Malagasy (and Western Austronesian generally), the syntactic, semantic, and functional status of what I am calling the Topic has been the subject of much debate. An important property of Topics is that they must be specific (in the sense of Enç 1991). I give some examples in (9) and (10), where the Topic in (9) may have either a definite or specific indefinite (partitive) interpretation, while the Topic in (10) has a definite or generic interpretation (note that number is not marked on nouns or on the determiner *ny*). In both cases, a non-specific indefinite (or existential) reading is ruled out.

- (9) [Niditra tao amin'ny efitrano] ny ankizivavy maro
 Pst-enter Pst-Deic in-Det room Detgirl many
 "The many girls entered the room"
 OR "Many (of the) girls entered the room"

- (10) [Mihinana ahitra] ny omby
 eat:ST grass Detcow
 "The cow(s) is/are eating the grass"
 OR "Cows eat grass"

Also, note that overt (non-Actor) Topics can - and frequently do - occur in imperatives, as shown in (11). This suggests that Topics are not the same as subjects in languages like English, which are generally disallowed in imperatives.

- (11) [Sasao] ny lamba
 wash:OT:Imp Detclothes
 "Wash the clothes!"

Following Kuroda's (1972) treatment of *wa* topics in Japanese, and Sityar's (1995) treatment of nominative arguments in Cebuano, I claim that Topics in Malagasy are not grammatical subjects, or discourse topics, but rather topics of predication. The content of the Topic thus maps roughly onto the restriction in a tripartite LF structure, while the Predicate maps onto the nuclear scope, as illustrated in (12):

- (12)
- ```

 S
 / \
 Operator Restriction Nuclear Scope
 (Topic) (Predicate)

```

Informally speaking, the Topic presents a presupposed entity or set, while the Predicate asserts, denies, or questions some property of that entity or set. Although there are problems equating Malagasy Topics with Kuroda's and Sityar's notion 'subject of a categorical judgement', it is perhaps significant that in prototypically 'thetic' constructions, the Topic position is generally empty.<sup>3</sup> For example, Topic DPs are absent in existential-type constructions formed with the verb *misy*, as shown in (13) and (14). (See Pearson 1996 for additional discussion of Topics.)

- (13) [ Misy penina mena ] eo ambonin'ny latabatra  
 exist pen red Deic on top of-Det table  
 "There is a red pen on the table"
- (14) [ Nisy entana tonga ] omaly  
 Pst-exist parcel arrived yesterday  
 "A parcel arrived yesterday"  
 "Some parcels arrived yesterday"

I will assume here that Topic DPs occupy the specifier of a functional projection TopP. I place TopP outside the scope of existential closure, which is introduced by a functional projection that I will call PredP, as in the tree in (15).<sup>4</sup> This is how I capture the fact that non-specific noun phrases may occur anywhere inside the Predicate, where they are bound by the existential operator, but are prohibited from occurring in the Topic field. This

<sup>3</sup> "Categorical" judgements first present an entity (a subject), and then predicate some property of that entity, while "thetic" judgements merely present some property or situation, without predicating it of any particular entity. Prototypically categorical sentences include generic statements (*Cows eat grass*), while prototypically thetic sentences include existentials (*There is a book on the table*) and weather expressions (*It's raining*). See Kuroda (1972), Sasse (1987).

<sup>4</sup> My PredP projection should not be confused with the Predication Phrase (PrP) argued for in Bowers (1993), or other similarly named categories.



characterized by what Keenan (1994) calls “n-bonding”, whereby the determiner (or D<sup>0</sup>) element of the noun phrase undergoes phonological fusion with a suffix *-n(a)* on the predicate head. The genitive DP and the predicate head with which it fuses form a single phonological word, as indicated by the orthography. (See section 4 for a tentative analysis of this construction.)

(18) [ Novangianay ] ny vehivavy  
 Pst-visit:OT-1pExcl Det woman  
 “The woman was visited by us”

[ Novangian-dRabe ] ny vehivavy  
 Pst-visit:OT-Rabe Det woman  
 “The woman was visited by Rabe”

[ Novangian'ny zaza ] ny vehivavy  
 Pst-visit:OT-Det child Det woman  
 “The woman was visited by the child”

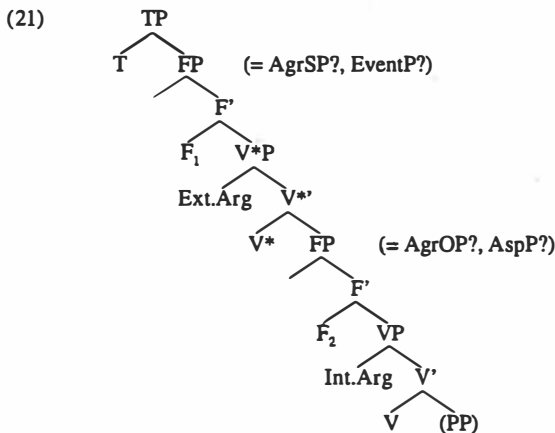
(19) ny boky                    “the book”                    ami(na)                    “with/at”  
 ny bokinay                “our book”                    aminay                    “with/at us”  
 ny bokin'ny zaza        “the child's book”        amin'ny zaza            “with/at the child”

3. Word order

I now turn to some word order facts, starting with the order of the verb and its non-Topic arguments, which together form the core of the Predicate phrase. The order of these elements, which is fairly rigid, is given in (20), based on examples like those in (5)-(8):

(20) Verb — Actor/Experiencer — Theme/Patient — Recipient — Oblique

To account for this order, I will assume the structure in (21), which is based largely on Travis (1991, 1994):



Here  $V^*$  is a light predicate, analogous to  $v$  in Chomsky (1995), which assigns a theta-role to the external argument.  $F_1$  and  $F_2$  are functional heads of some sort, which select VPs and play a role in the Case licensing of arguments. I assume that the verb undergoes successive head adjunction, raising (at least) to  $F_1^0$ . For our purposes, the crucial thing about this tree is that it is strictly right-branching, such that (non-Topic) external arguments both precede and asymmetrically c-command (non-Topic) internal arguments. Evidence for c-command comes from examples like (22), where *ny zanaka* ‘the children’ is a benefactive Topic. Here we see that an Actor antecedent may bind a Theme anaphor within the Predicate phrase (this example taken from Keenan 1993):

- (22) [ Amonoa'nny ray aman-dreny rehetra, tena, ] ny zanaka  
 kill:ObT-Det parents all self Det children  
 ‘All parents, kill themselves, for (their) children’

Turning to projections above TP, (23) shows that the negative morpheme *tsy*, which presumably occupies the head of NegP, immediately precedes the verb, occurring at the leftmost edge of the Predicate phrase. Complementizers such as *fa* (which heads finite complement clauses) occur clause-initially, immediately preceding negation, as in (24):

- (23) [ Tsy namaky ny boky ] ny mpianatra  
 Neg Pst-read:ST Det book Det student  
 ‘The student didn’t read the book’
- (24) (Fantatro) [ fa [ tsy namaky ny boky ] ny mpianatra ]  
 known-1s Comp Neg Pst-read:ST Det book Det student  
 ‘(I know) that the student didn’t read the book’

At the right edge of the Predicate, following obliques, a number of sentential adverbial elements can occur, among them *angamba* ‘probably’. (25) shows that *angamba* scopes over Predicate-initial negation, and is thus arguably generated above NegP, given the assumption that adverbs do not move from their base positions for scope-taking.

- (25) [ Tsy namaky ny boky tany an-tokotany angamba ] ny mpianatra  
 Neg Pst-read:ST Det book Pst-Deic Obl-garden probably Det student  
 ‘The student probably didn’t read the book in the garden’

Malagasy also possesses various particles associated with clause-type, among them the yes/no question particle *ve* (illustrated below) and the exclamatory particle *anie*. As shown in (26)-(27), these elements obligatorily occur at the rightmost edge of the Predicate. Example (27) shows that *ve* follows *angamba*, and scopes over it.

- (26) [ Namaky ny boky tany an-tokotany ve ] ny mpianatra?  
 Pst-read:ST Det book Pst-Deic Obl-garden Qu Det student  
 ‘Did the student read the book in the garden?’

cf.: \* [ Namaky ve ny boky tany an-tokotany ] ny mpianatra?  
 \* [ Namaky ny boky ve tany an-tokotany ] ny mpianatra?  
 \* [ Namaky ny boky tany an-tokotany ] ny mpianatra ve?

- (27) [ Namaky ny boky angamba ve ] Rabe?  
 Pst-read:ST Det book probably Qu Rabe  
 ‘Rabe a-t-il probablement lu le livre?’ [consultant’s translation]  
 ‘Rabe, is it the case that he probably read the book?’



Since particles like *ve* occur at the boundary between the Predicate and the Topic field, and since they act as functions over properties or situations, I will locate them in the head of PredP, below TopP, as in (28) (cf. the tree in (15) above). (In simple statements, this position would presumably be occupied by a phonetically null declarative morpheme.)

- (28) [ <sub>TopP</sub> DP<sub>Top</sub> [ <sub>Top'</sub> Top° [ <sub>PredP</sub> *ve* ... [ <sub>TP?</sub> ... ] ] ] ]

Finally, there are a certain number of elements which can occur outside the Predicate, usually after the Topic. For instance, adverbials like *omaly* “yesterday”, which provide the temporal context for the event, typically occur clause-finally (or sometimes in between the Predicate and the Topic), as shown in (29):

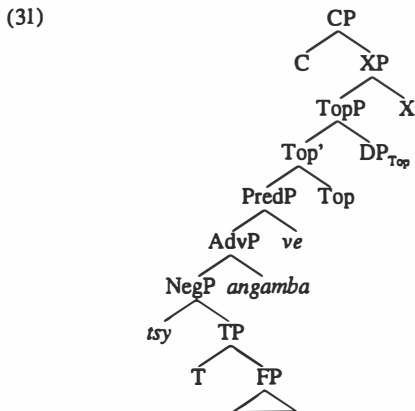
- (29) [ *Namaky ny boky ve* ] *ny mpianatra omaly?*  
 Pst-read:ST Detbook Qu Detstudent yesterday  
 “Was the student reading the book yesterday?”
- [ *Namaky ny boky ve* ] *omaly ny mpianatra?*  
 Pst-read:ST Det book Qu yesterday Det student  
 “Was the student reading the book yesterday?”

In addition, certain elements are regularly ‘stranded’ after the Topic, such as locative PPs in ‘have/be’ constructions (cf. (13) above), as well as finite clause complements headed by *fa* (as opposed to ECM/raising complements, which remain inside the Predicate; cf. (44) below). These elements presumably extract from inside the Predicate, perhaps for scope reasons. (Note that I won’t discuss post-Topic elements below; I mention them here merely for the sake of completeness.) The word order facts presented in this section may be summarized by means of a template, such as the one in (30):

- (30) C° — Neg° — Verb + arguments — *angamba* — *ve* — Topic, *omaly*, etc.

4. Deriving word order

So how do we draw a tree that captures the word order and scopal facts discussed in section 3? By expanding the Guilfoyle, Hung, and Travis tree in (2) in order to accommodate negation, adverbials, post-Topic elements, etc., we arrive at a tree like (31):



(Note that XP is an abbreviation for the position(s) of post-Topic elements such as *omaly*. Note also that I assume without discussion that adverbs like *angamba* "probably" are 'unaccusative' predicate heads which take an event phrase - here NegP, or some projection containing NegP - as their internal argument, as indicated by the tree.)

Clearly the unusual thing about the tree in (31) is that the lower part (NegP and below) is right-branching, while the upper part is either partially or completely left-branching. Although this fact alone is not sufficient grounds for rejecting the tree, I would like to argue that an entirely right-branching structure can capture the word order facts just as well - if we allow for pied-piping - and that the pied-piping story has at least one empirical advantage. As a lead-in to this analysis, let me turn to the issue of expanded COMP.

In recent literature it has been proposed that CP, like IP, should be broken up into a series of functional projections. Positing a more articulated structure for COMP allows us to (a) provide feature-specific landing sites for operators, (b) explain various ordering restrictions between operators and complementizer heads, and (c) account for morphologically complex complementizers in languages like Korean.

One such proposal is offered by Bhatt and Yoon (1991). Bhatt and Yoon observe that morphemes within COMP can have at least two potentially distinct functions: First, complementizers can act as simple subordinators, which close off the clause and allow it to act as an argument of a higher predicate (*hogy* in Hungarian would be an example of such a morpheme). Secondly, complementizers may mark clause-type or 'force'. Force morphemes introduce interpretable features such as [Q], and may be selected by a higher head. In English and many other languages, these two functions, subordination and force marking, are conflated into a single set of elements such as *that* and *whether*. But in other languages, these two functions are marked by separate morphemes. Korean is one such language, as illustrated in (32)-(33). Here force is marked (in both root and embedded clauses) by the declarative morpheme *-ta* and the question morpheme *-ni/-nya*, while subordination is marked by the morpheme *-ko* (examples taken from Bhatt and Yoon 1991).

- (32) John-i wa-ss-ta  
 John-Nom come-Pst-Decl  
 "John came"

Bill-un [ John-i wa-ss-ta-ko ] sayngkakhanta  
 Bill-Top John-Nom come-Pst-Decl-Sub thinks  
 "Bill thinks that John came"

- (33) John-i wa-ss-ni?  
 John-Nom come-Pst-Qu  
 "Did John come?"

Bill-un [ John-i wa-ss-nya-ko ] mwulessta  
 Bill-Top John-Nom come-Pst-Qu-Sub asked  
 "Bill asked whether John came"

Bhatt and Yoon propose that COMP in languages like English consists of a single CP projection, the head of which marks both force and subordination; whereas in languages like Korean, COMP consists of a force phrase (or "mood" phrase, to use Bhatt and Yoon's term), to which the subordinator head is adjoined in embedded clauses. However, since adjunction to XP - and especially head-adjunction to XP - is prohibited under my assumptions, and since I want COMP to look essentially the same in all languages, I will

assume the structure in (34) (where SubP is present in embedded clauses, but absent in root clauses):

- (34) [<sub>SubP</sub> Sub° [<sub>ForceP</sub> Force° [<sub>XP</sub> ... ] ] ]

For Korean, we would say that *-ko* is generated in Sub°, while *-ta* and *-ni/-nya* are generated in Force°. For complementizers like *whether* in English, a number of possible derivations can be explored: We might say that *whether* is generated in Sub° and selects a phonetically null ForceP complement containing a [Q] feature, or we might say that *whether* is itself a [+Q] morpheme in the head of ForceP which undergoes obligatory raising to Sub° (thus explaining the absence of *whether* in root clauses). As for Malagasy complementizers like *fa*, I will assume that they are generated in Sub° and that Force° is null.

Rizzi (1995) gives an elaborate analysis of COMP (what he refers to as the "left periphery") in Romance and English. Among the phenomena he discusses is the position of Topics in clitic left-dislocation constructions, which I tentatively take to be analogous to Topics in Malagasy. In particular, he notes that clitic left-dislocated Topics follow the complementizer *che* in embedded statements, as shown in (35), but precede wh-operators in embedded questions, as shown in (36):

- (35) Credo che il tuo libro, loro lo apprezzerebbero molto  
believe:1s that the your book they it would:appreciate much  
"I believe that your book, they would appreciate (it) a lot"

\*Credo, il tuo libro, che loro lo apprezzerebbero molto  
believe:1s the your book that they it would:appreciate much  
"I believe, your book, that they would appreciate (it) a lot"

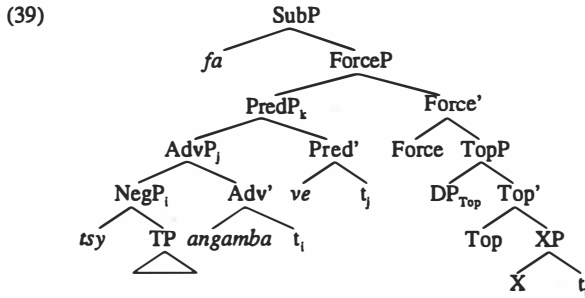
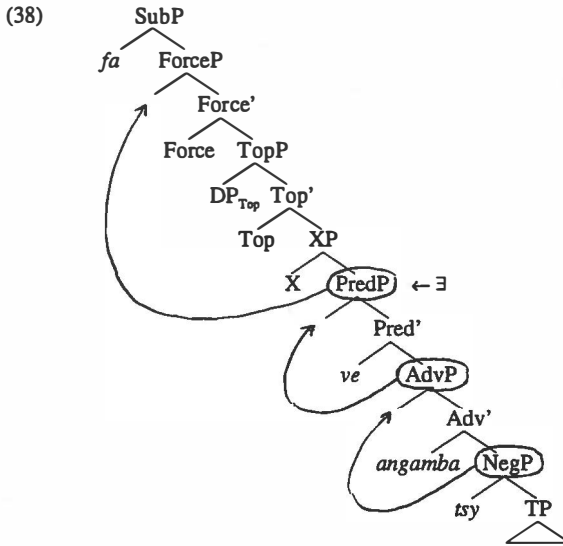
- (36) Mi domando, il premio Nobel, a chi lo potrebbero dare  
me ask:1s the Nobel Prize to whom it could:3p give  
"I wonder, the Nobel Prize, to whom they could give (it)"

? Mi domando a chi, il premio Nobel, lo potrebbero dare  
me ask:1s to whom the Nobel Prize it could:3p give  
"I wonder to whom, the Nobel Prize, they could give (it)"

Rizzi accounts for this by locating *che* in the head of ForceP - which he places above the Topic phrase where it is visible for selection by a higher head - while wh-question operators move to some SpecXP below the Topic (possibly the specifier of my PredP projection), as in (37). Presumably in the latter case there is some sort of feature matching between the [Wh] head in XP and a null [Q] head of ForceP.

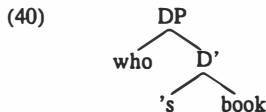
- (37) [<sub>ForceP</sub> Force° [<sub>TopP</sub> DP<sub>Top</sub> Top° [<sub>XP (=PredP)</sub> [+Wh] ... ] ] ]

Merging the structures in (34) and (37), we get a hierarchy of COMP projections which I argue is instantiated in Malagasy. The tree in (38) on the next page gives what I assume to be the underlying structure for clauses, showing the ordering of complementizers, force, Topics, negation, etc. (other functional heads which I have not discussed here may also appear in this structure). Given this tree, deriving the proper word order facts by pied-piping is straightforward. To derive a sentence which includes, say, an adverbial like *angamba* scoping over negation (such as (25)), we first move NegP (containing TP) into the specifier of the adverbial phrase, and then move the adverbial phrase to SpecPredP. Finally - and this is the crucial move - PredP raises over the Topic and up to SpecForceP, yielding Predicate-Topic order. The tree in (39) shows the results of these movements:



At this point we must ask: What motivates all this pied-piping? While the answer to this question remains obscure, here is one possible approach to the problem, based on recent Minimalist ideas of movement, as expounded in Chomsky (1995). Chomsky argues that the operation Move should be reformulated as Attract-Feature: Thus, for example, suppose that a head contains a feature [F] which needs to be checked off for convergence. At some point in the derivation, this [F] will attract the closest available compatible feature [F'], causing [F'] to raise into the checking domain of [F]. If this movement is covert, Chomsky assumes, then [F'] will raise by itself, in accordance with principles of economy. However, if movement is overt (that is, if the target feature [F] is strong), then [F'] will carry along additional material - in particular phonological material. Chomsky calls this approach to movement 'generalized pied-piping'. Following his interpretation of economy, [F'] will carry along just enough material for convergence, as specified by output conditions (such as constraints on PF) which are independent of feature movement itself.

Chomsky gives an example of this involving *wh*-movement in English. In *wh*-questions, there is a feature in COMP which attracts [Wh] elements. This feature is strong in English, so the closest available [Wh] will raise overtly. Suppose that the [Wh] feature in question is contained within the DP in (40), where the possessive determiner joins with SpecDP at PF to form a single word *whose*.



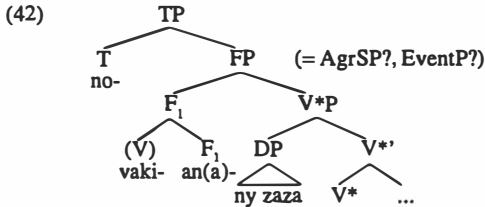
If the [Wh] feature were to raise to COMP by itself, then the derivation would crash at PF, by assumption. If the [Wh] feature carried along the specifier *who*, stranding *'s book*, then again the derivation would crash at PF, given that *'s* is a sub-word element, which cannot be stranded. Finally, raising *whose* and stranding *book* is not an option, since *whose* is not a constituent, and hence not visible to the movement operation. Thus, the smallest category containing the [Wh] feature which can be moved is the entire DP *whose book*. From this perspective, pied-piping of *whose book* in English follows from the way in which transformations interact with output conditions. All that the operation Attract-Feature 'cares about' is the [Wh] feature in (40); the fact that this feature must drag along the rest of the DP results from language-specific morphological requirements.

Now, perhaps an analysis of this kind would work for Malagasy pied-piping as well. To begin with, suppose that in Malagasy the head of PredP and the head of ForceP both have strong features which attract the verb. (It makes sense to think of force morphemes as attracting the verb, since in many languages they take the form of verbal suffixes, as in Korean.) The most economical way of satisfying the strong [V-] features of Pred and Force would be to have the verb move up by successive head adjunction, but this is not what happens. Instead, the verb moves up to SpecPredP as part of TP, after which PredP raises to SpecForceP. Under a 'generalized pied-piping' approach to movement, what we would say is that XP movement is forced here because some output constraint, perhaps of a morphological nature, blocks movement of an  $X^{\circ}$  constituent. So the question then becomes: What constraint forces XP movement in Malagasy but not other languages?

A number of possible answers come to mind, which as yet remain to be explored. In passing, let me note the following morphological fact which might account for at least a subset of the pied-piping cases. Recall from my discussion of case in Malagasy (section 2) that non-topicalized (or genitive) subjects form a unit with the verb at PF. An example of this is given in (41), where the subject *ny zaza* "the child" is linked to the root *vaki*- "read" by a suffix *-an(a)*:

- (41) [ Novakian'ny zaza ] ny boky  
 Pst-read:OT-Det child Det book  
 "The book was read by the child"

In (42) below I give a possible structure for the bracketed Predicate in (41), referring back to the tree in (21) (note that I have simplified the structure somewhat). I assume that the verb root *vaki*- undergoes successive head adjunction up to  $F_1$ , where it adjoins to the functional head *an(a)*-. This *an(a)*- is perhaps responsible for Case licensing of the genitive subject *ny zaza*, which occupies the specifier of the complement of  $F_1$ . Meanwhile, the past tense prefix *no-* is sitting in the head of TP. (Additional movements may take place as well. For instance,  $F_1^{\text{max}}$  may raise to  $T^{\circ}$  in the overt syntax.)



So, given that the functional head *an(a)-* to which the verb root *vaki-* has adjoined must form a phonological unit with the DP in SpecV\*P, it follows that if a [V] feature of *vaki-* is to raise overtly, it must carry the subject DP along with it. Assuming the tree in (42) is correct, the smallest category which can move to a higher position is TP. Hence, rather than head movement of V to Force<sup>o</sup>, we get TP moving to SpecPredP, and PredP moving to SpecForceP. (It remains to be seen whether a PF constraint-based approach to movement, of the type outlined above, can be made to work for *all* cases of Predicate pied-piping in Malagasy. I intend to pursue this question in future research.)

### 5. A consequence of the pied-piping story

Let me conclude by discussing some potential evidence in favor of the pied-piping story outlined in the previous section, based on the syntax of embedded clauses. We know from a variety of languages that some clausal complements and adjuncts are smaller than others. For example, control complements in English are generally taken to be full CPs, while ECM and raising complements are IPs of some sort, and complements of verbs like *see* in *Lise saw Helen leave* are smaller still. Suppose we find evidence of clausal complements in Malagasy which are small enough that they arguably lack a ForceP projection. The traditional Guilfoyle, Hung, and Travis account of Malagasy phrase structure - which places the Topic above and to the right of the Predicate, as in (2)/(31) - would have nothing special to say about such clauses. However, the pied-piping analysis outlined here makes a very clear prediction: In clauses which lack a ForceP projection there will be no feature to attract PredP, and hence no movement of PredP above the Topic. Such clauses should thus have Topic-Predicate order instead of Predicate-Topic order.

In fact, Topic-Predicate order *does* appear to be attested in a variety of clauses which are arguably smaller than 'CP'. For instance, take the case of ECM constructions, as illustrated in (43). Here the Topic *i Noro* appears to precede the Predicate within the ECM complement, and receive accusative Case in the form of the oblique prefix *an-*:

- (43) Nanantena [ an'i Noro ho nianatra tsara ] Rakoto  
 Pst-hope:ST Obl-Det Noro Comp Pst-study:ST good Rakoto  
 "Rakoto hoped for Noro to study well"

Now, it is possible that the order in (43) is derived by overt raising of the embedded Topic into a higher position - say, to SpecAgrOP within the matrix clause. If such were the case, then ECM constructions would not be a convincing example of Topic-Predicate order in embedded clauses, since the Topic could have raised from its canonical position following the Predicate, as shown by the placement of the trace in (44):

- (44) Nanantena an'i Noro<sub>i</sub> [ ho nianatra tsara t<sub>i</sub> ] Rakoto  
 Pst-hope:ST Obl-Det Noro Comp Pst-study:ST good Rakoto  
 "Rakoto hoped for Noro to study well"

However, there is some evidence to suggest that ECM Topics in Malagasy do not raise overtly. Recall from examples like (1) above that adjunct PPs follow direct objects. We would thus predict that if the ECM Topic has raised out of its clause to the canonical direct object position (say, SpecAgrOP), it should precede a matrix PP adjunct.<sup>5</sup> In fact, as (45) shows, the preferred order is for the ECM Topic to follow a matrix PP adjunct. (Note though that the opposite order, while definitely worse, is not completely out.)

- (45) Nanantena amin'ny fony manontolo an'i Noro ho nianatra...  
 Pst-hope:ST with-Det heart-3s whole Obl-Det Noro Comp Pst-study  
 "[Rakoto] hoped with all his heart Noro to study..."

? Nanantena an'i Noro amin'ny fony manontolo ho nianatra...  
 Pst-hope:ST Obl-Det children with-Det heart-3s whole Comp Pst-study  
 "[Rakoto] hoped for Noro with all his heart to study..."

Whatever the proper analysis of ECM constructions turns out to be, other examples of Topic-Predicate order in embedded clauses also exist. Take for instance the adverbial clauses shown in (46). Here we might suppose that the Topic has raised over the Predicate in order to get (exceptional) Case from the preposition which heads the clause, but this is almost certainly not the correct analysis. For instance, the preposition *tamin(a)* "when-Past" in the first example assigns genitive case to DP objects. However, the embedded Topic pronoun *izy* is in the nominative case form. I thus assume that *izy* is getting Case clause-internally rather than from *tamin(a)*, and that the order Topic-Predicate results from an absence of PredP pied-piping, rather than from a double movement which first raises the Predicate above the Topic, and then raises the Topic above the Predicate.

- (46) Hendrie Rabe tamin' [ izy mbola kely ]  
 very well-behaved Rabe Pst-when 3s:Nom still little  
 "Rabe was very well-behaved when he was (still) young"

Aza mitabataba satria [ ny rainareo mamaky ny bokiny ]  
 don't make noise because Det father-2p read:ST Det book-3s  
 "Don't make noise because you're father is reading his book"

Finally, consider the example in (47), containing a complement of a verb of perception. Here again we find Topic-Predicate order, where the Topic *Rabe* is in its nominative form. Evidence that the bracketed string in (47) is a constituent comes from (48), where "Rabe entered the room" have undergone clefting:

- (47) Ren'ny zaza [ Rabe niditra tao amin'ny efitrano ]  
 heard-Det child Rabe Pst-enter:ST Pst-Deic in-Det room  
 "The child heard Rabe come into the room"

- (48) [ Rabe niditra tao amin'ny efitrano ] no ren'ny zaza  
 Rabe Pst-enter:ST Pst-Deic in-Det room Cleft heard-Det child  
 "Rabe coming into the room is what the child heard"

Now here, we might suppose that verbs of perception take VP complements, in which case the attested order would be predicted both by my tree and by the tree in (2). However, it seems unlikely to me that the bracketed constituents in (47) and (48) are VPs, given that the embedded verbs are marked for tense, and (more importantly) given that *Rabe* has the properties of a Topic rather than a VP-internal subject (e.g. it need not be an Agent, but can carry any theta role).

<sup>5</sup> Thanks to Diane Massam for suggesting this test to me.

## 6. Conclusion

In this paper I have argued that Malagasy has a bipartite clause structure consisting of a Predicate constituent and a Topic field. I have also argued that the linear ordering of these elements, Predicate-Topic, can be obtained without assuming that Malagasy has specifiers to the right of heads, if we assume that the Predicate pied-pipes to a position above the Topic, within an expanded COMP structure. In contrast to the traditional view of Malagasy phrase structure, as exemplified by the tree in (2), the pied-piping theory makes a major prediction about word order in embedded clauses, namely that, in clauses where the landing site for PredP raising is absent, the order of elements should be Topic-Predicate rather than Predicate-Topic. Initial evidence suggests that this prediction is borne out.

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