## North East Linguistics Society

Volume 26 Proceedings of the North East Linguistic Society 26

Article 24

1996

# Two Types of Negative Polarity Items

Hotze Rullmann University of Groningen

Follow this and additional works at: https://scholarworks.umass.edu/nels



Part of the Linguistics Commons

### **Recommended Citation**

Rullmann, Hotze (1996) "Two Types of Negative Polarity Items," North East Linguistics Society. Vol. 26, Article 24.

Available at: https://scholarworks.umass.edu/nels/vol26/iss1/24

This Article is brought to you for free and open access by the Graduate Linguistics Students Association (GLSA) at ScholarWorks@UMass Amherst. It has been accepted for inclusion in North East Linguistics Society by an authorized editor of ScholarWorks@UMass Amherst. For more information, please contact scholarworks@library.umass.edu.

## Two Types of Negative Polarity Items

Hotze Rullmann

University of Groningen

#### 1. Two Recent Accounts of Any

Treatments of negative polarity items (NPIs) in theoretical linguistics are often primarily concerned with the English word any. However, within the class of NPIs there is considerable variation in distribution and interpretation, both within English and crosslinguistically. This paper contributes to a broadening of our perspective by discussing two types of NPIs found in Dutch and other languages, and comparing their properties to those of any. The theoretical background for the discussion is provided by two recent analyses of anv.

As is well-known, any can be used both as an NPI and as a free-choice item:

- (1) John doesn't know any laywers.
- Any lawyer could tell you that. (2)

Initial attempts to unify these two uses by assuming that any is uniformally a wide scope universal quantifier are now widely considered to be inadequate in the light of evidence showing that at least negative polarity any must be existential (Ladusaw 1979, Carlson 1980). More recently, a different kind of unified approach has been proposed according to which any is not a universal quantifier but an indefinite, building on the Kamp/Heim view of indefinites as items whose quantificational force depends on their context. The two accounts of any I will discuss here both take this approach.

One account is that of Lee and Horn (1994) (henceforth: L&H). According to their

<sup>&#</sup>x27;The research reported in this paper has been supported financially by the 'Piotuer'-program of the Dutch Organization for Scientific Research (NWO) as part of the project 'Logical Patterns in Language Structure and Language Use'. For discussion and comments I am grateful to my colleagues in the project, in particular Jack Hoeksema, as well as to audiences at NELS 26, Brown University, and UMass/Amherst. Published by ScholarWorks@UMass Amherst, 1996

proposal, any is an indefinite that incorporates the semantics of the scalar focus particle even. This analysis is a recent representative of a whole line of research that regards any (and NPIs generally) as referring to the extreme value on some scale (e.g. Schmerling 1971, Fauconnier 1975a,b, Krifka 1994, Israel 1994, Lahiri 1995). In L&H's analysis this scale can either be a 'quantity scale' or a 'kind scale', illustrated by the paraphrases in (3) and (4), respectively.

- (3) John doesn't know any lawyers = John doesn't know even a single lawyer
- Any lawyer can tell you that = Even the most ignorant lawyer can tell you that (4)

The other recent unified analysis of any as an indefinite is due to Kadmon and Landman (1993) (henceforth: K&L). According to them an NP of the form any CN has the semantics of the corresponding indefinite a CN with additional semantic/pragmatic characteristics contributed by any. These semantic/pragmatic characteristics are widening and strengthening:

- WIDENING: In an NP of the form any CN, any widens the interpretation of the common noun phrase (CN) along a contextual dimension.
- STRENGTHENING: Any is licensed only if the widening that it induces creates a stronger statement, i.e., only if the statement on the wide interpretation entails the statement on the narrow interpretation.

Although the proposals by K&L and L&H are similar in certain ways, they also differ in some respects. In K&L's analysis the notion of scale does not play any role. Widening just refers to the replacement of the set denoted by the CN by a superset; the elements of these sets do not have to be ordered on a scale. In K&L's theory there also is no sense in which any is associated with an endpoint of a scale. Another difference is that even is a focus particle, and on a L&H-style analysis we therefore expect focus to play a role in the interpretation of any. If K&L's analysis is right, we would not expect any to be sensitive to focus.

Part of the motivation for L&H's theory comes from data showing that many languages have NPIs which explicitly consist of an indefinite plus some particle meaning even. Examples of such NPIs - which I will call even-NPIs - can be found in Dutch, German, Hindi and Korean (Lee and Horn 1994, Lahiri 1995). The discussion in this paper is mostly based on data from Dutch, but I hope it has some relevance for other languages as well. The question therefore is not whether there are NPIs which can be analyzed as an indefinite plus even, but whether all NPIs should be analyzed that way. The main point of this paper is to argue that this is not the case. I will show that there is a second class of NPIs in Dutch (referred to as wh-NPIs) which differ from the even-NPIs precisely in the fact that they are neither focus-sensitive nor scalar. For these NPIs a nonscalar analysis along the lines of K&L may be more appropriate. A further question is whether English any behaves like the scalar even-NPIs, as L&H predict. Again the answer is no. By the criteria that are developed to show that Dutch wh-NPIs are different from even-NPIs, any turns out to behave just like the non-scalar wh-NPIs. However, as I will show in the final section, there also is one respect in which even-NPIs and wh-NPIs pattern https://scholarworks.umass.edu/nels/vol26/iss1/24

#### TWO TYPES OF NEGATIVE POLARITY ITEMS

alike but contrast with any. I will suggest that this is due to the fact that any can be used non-emphatically, but wh-NPIs and even-NPIs can't.

### 2. Even-NPLs

Dutch has a class of NPIs which overtly express the semantics that L&H propose for English any. They consist of an indefinite NP (or other phrase) preceded by a particle meaning `even'. The particle in question is ook maar (Paardekooper 1979, Vandewege 1980/81, van der Wouden 1994). Some examples of this type of NPI are given in (5).

(5) ook maar iemand ('even somebody/anybody')
ook maar iets ('even something/anything')
ook maar een boek
ook maar ergens ('even a/one book')
('even somewhere/anywhere')

The claim that the particle ook maar (lit. `also only') means `even' requires some explication. Rooth (1985; ch. IV) has shown that there are two kinds of even in English: ordinary even and NPI even, which only occurs in downward entailing contexts (but see Wilkinson 1993 for an opposing view). In English the two forms of even are homophonous, but in many other languages they are distinguished lexically (von Stechow 1991, König 1991). One of these languages is Dutch, which uses zelfs for ordinary even, but ook maar for NPI even. Zelfs and ook maar are focus particles (just like even), which means that they are associated with a syntactic phrase bearing intonational focus. The use of focus presupposes the existence of a set of alternatives to the focused item. When associated with a scalar focus particle like zelfs or ook maar, these must be ordered on a scale which ranks the alternatives according to how likely they are to satisfy the open proposition obtained by replacing the focused item with an appropriate variable. The focused constituent must represent the item on the bottom of the scale, that is, the least likely alternative. This can be illustrated with the following example (small capitals indicate stress or pitch accent):

Niemand heeft dit boek ook maar GEOPEND.
 no one has this book even<sub>NPI</sub> opened
 `No one has even OPENED this book.'

Here the relevant scale must be one ranking various actions one can perform with a book, for instance: reviewing it, reading it, burning it, opening it, and so on. The use of *ook maar* in (6) introduces the presupposition (or conventional implicature) that *opening this book* is the least likely value for X which would make the open proposition "No one has X-ed this book" true. For the purposes of this paper, this informal characterization of the semantics of *zelfs* and *ook maar* will have to suffice. For more explicit accounts of the semantics of scalar focus particles, the reader is referred to Jacobs (1983), Rooth (1985),

von Stechow (1991), and references cited there.

Coming back to the class of even-NPIs in Dutch (that is, indefinites preceded by ook maar) (7) can serve as a typical example. Here ook maar is attached to the indefinite NP een student (`one student'), where the numeral is focused. The scale is therefore one that ranks natural numbers (1, 2, 3, ...). Since talking to m students implies talking to n students for any n such that 0 < n < m, the least likely value for n in "No one has talked to n students" is n = 1. Below we will see that a different presupposition is introduced if instead of the determiner the noun is focused.

(7) Niemand heeft met ook maar EEN student gesproken. nobody has with even<sub>NPI</sub> one student talked `Nobody has talked to even ONE student.'

Although the indefinite *een student* (`a/one student') itself is not an NPI, *ook maar een student* is. It can only be used in the family of NPI-licensing contexts known from the literature, which includes comparatives, questions, and conditionals (for more details about the distribution of *ook maar*, see van der Wouden 1994):

- (8) Hij heeft met (\*ook maar) een student gesproken. he has with (even<sub>NPI</sub>) a student spoken `He has spoken with a student'
- (9) Hij verdient meer dan ook maar IEMAND gedacht had. he earns more than even<sub>NPI</sub> anyone/someone thought had 'He's earning more than anyone had thought.'
- (10) Heb je met ook maar IEMAND gesproken? have you with even<sub>NPI</sub> anyone/someone spoken `Did you speak with anyone?'
- (11) Als hij met ook maar EEN student heeft gesproken, weet hij dat dit niet kan. if he with even<sub>NPI</sub> one student has spoken, knows he that this not can 'If he has spoken with any student, he knows that this is impossible.'

#### Wh-NPIs

The second type of NPI found in Dutch consist of a wh-phrase followed by the particle-combination dan ook (lit. `then also').<sup>3</sup> Some examples are given in (12) (see also Paardekooper 1978):

(12) a. wie dan ook ('anybody')
who PRT PRT
b. welke jongen dan ook ('any boy')
which boy PRT PRT

#### TWO TYPES OF NEGATIVE POLARITY ITEMS

c. welke twee jongens dan ook ('any two boys') which two boys PRT PRT

Just like *even*-NPIs, *wh*-NPIs can occur in the standard NPI-environments, such as the scope of a negative quantifier like *niemand* (`no one'), comparatives, questions and conditionals:

- (13) Niemand heeft met welke student dan ook gesproken.
  no one has with which student PRT PRT spoken
  `No one has spoken with any student.'
- (14) Hij verdient meer dan wie dan ook gedacht had. he earns more than who PRT PRT thought had `He's earning more than anyone had thought.'
- (15) Heb je met wie dan ook gesproken? have you with who PRT PRT spoken `Have you spoken with anyone?'
- (16) Als hij met welke student dan ook heeft gesproken, weet hij dat dit niet kan. if he with which student PRT PRT has spoken, knows he that this not can If he has spoken with any student, he knows that this is impossible.'

Unlike even-NPIs, however, wh-NPIs also have a free-choice use, which is illustrated in (17) and (18).

- (17) Je mag trouwen met wie dan ook.
  you may marry with who PRT PRT
  'You may marry anyone.'
- (18) Kasparov kan winnen van welke tegenstander dan ook.
  Kasparov can win against which opponent PRT PRT
  `Kasparov can win against any opponent.'

Wi-NPIs then are allowed in (roughly) the same set of contexts as English any. Just like any, they are excluded in straightforward non-intensional, episodic sentences:

\*Jacob is gisteren getrouwd met wie dan ook.
 Jacob has yesterday married with who PRT PRT
 \* Jacob married anyone yesterday.'

Giving a formal characterization of the notion of free-choice context is notoriously difficult, and I will not try to do see here. I will come back to the contrast between wh-NPIs and even-NPIs with respect to free-choice readings in section 6.

## 4. Three Differences between Even-NPIs and Wh-NPIs

In many cases, even-NPIs and wh-NPIs can be substituted for each other without Published by Scholar Works@HMass Amherst, 1996

- (20) a. Niemand heeft met ook maar een student overleg gepleegd.
  no one has with even<sub>NPI</sub> one student consulted
  `No one consulted any students.'
  - Niemand heeft met welke student dan ook overleg gepleegd.
     no one has with which student PRT PRT consulted
     No one consulted any students.'
- (21) a. Iedereen die ook maar ergens naar school geweest is moet kunnen lezen. everyone who even<sub>NPI</sub> some/anyplace to school been has must can read `Everyone who has been to school anywhere must be able to read.'
  - b. Iedereen die waar dan ook naar school geweest is moet kunnen lezen. everyone who where PRT PRT to school been has must can read `Everyone who has been to school anywhere must be able to read.'

This suggests that the two types of NPIs have the same interpretation. It can be shown, however, that *even*-NPIs and *wh*-NPIs behave differently under certain conditions. These differences come down to the fact that whereas *even*-NPIs are inherently scalar and sensitive to focus, *wh*-NPIs are neither.

The first contrast between even-NPIs and wh-NPIs has to do with the effect of focus on interpretation. Since even is focus-sensitive, we expect the interpretation of even-NPIs to partly depend on focus. This is indeed what we find:<sup>4</sup>

- (22) Niemand heeft ook maar EEN stripboek gelezen.
  no one has even<sub>NPI</sub> ONE comic book read
  'No one has read even ONE comic book'
- (23) Niemand heeft ook maar een STRIPBOEK gelezen.
  no one has even<sub>NPI</sub> a COMIC BOOK read
  'No one has read even a COMIC BOOK'

In (22), the focus is on the numeral, and the scale is therefore one of numbers (1, 2, 3, etc.). In (23), the head noun is focused, and the relevant scale is one ranking different kinds of reading materials, say comic books, novels, textbooks and encyclopedias. As a result the sentences give rise to different scalar presuppositions. (22) says that n=1 is the least likely value to make the open proposition "No one read n comic books" true. (23) presupposes that the open proposition "No one read an X" is least likely to be true for the value X=comic book. This presupposition will for instance be satisfied in a context where people prefer reading comic books to reading other things, such as novels. (24) further illustrates the focus-sensitivity of *even*-NPIs. Here focus can be in at least five different places, each resulting in different scalar presuppositions.

<sup>1</sup> assume that the indefinite determiner een (where the vowel is a schwa) is just the unstressed form of the https://scholarworks.umass.edu/nels/vol26/iss1/24 6

- (24) a. Niemand heeft ook maar EEN artikel over een popster gelezen.

  no one has even<sub>NPt</sub> one article about a rock star read

  `No one has read even ONE article about a rock star.'
  - b. Niemand heeft ook maar een ARTIKEL over een popster gelezen.
  - c. Niemand heeft ook maar een artikel OVER een popster gelezen.
  - d. Niemand heeft ook maar een artikel over EEN popster gelezen.
  - e. Niemand heeft ook maar een artikel over een POPSTER gelezen.

By contrast, the interpretation of wh-NPIs is independent of focus. Ordinarily, stress is always on the wh-word, and putting it somewhere else is rather marginal to begin with. But to the extent that it is possible to focus different parts of the noun phrase in sentences like (25) and (26), this merely has the effect of an unbound focus: the focused constituent contains new information or is contrasted with something else. No scales are introduced and there are no scalar presuppositions of the kind we get in (22)-(24). For instance, if the head noun stripboek ('comic book') is focused in (25), no presuppositions arise concerning the likelihood of people reading comic books as opposed to, say, novels. This means that in (25) and (26) there is no association with focus in the sense of Rooth (1985). Unlike even-NPIs, wh-NPIs are not focus-sensitive.

- (25) Niemand heeft welk stripboek dan ook gelezen.
  no one has which comic book PRT PRT read
  `No one has read any comic books.'
- (26) Niemand heeft welk artikel over welke popster dan ook gelezen. no one has which article about which rock star PRT PRT read `No one has read any article about any rock star.'

Another difference between even-NPIs and wh-NPIs can be observed when we consider conditionals containing NPIs with a numeral greater than one:

- (27) Als ook maar TWEE studenten dit probleem uitkiezen, ben ik tevreden. if even we students this problem choose, am 1 satisfied 1f even (only) TWO students choose this problem, I'm satisfied.'
- (28) Als welke twee studenten dan ook dit probleem uitkiezen, ben ik tevreden. if which two students PRT PRT this problem choose, am I satisfied 'If any (group of) two students choose this problem, I'm satisfied.'

In (27), there is a scalar presupposition that the speaker is less likely to be satisfied if two students choose the problem than if more than two do. This in turn implies that since the speaker is already satisfied if only two students choose the problem, she will in all likelihood be even more satisfied if three students choose it. (28) on the other hand allows no inferences about what happens if three students choose the problem. It's rather a statement about (arbitrary) pairs of students. It could be used for instance by a professor who assigns a set of homeworks from which each student has to choose one, but who hopes that one particular problem is chosen by two students (it doesn't matter which ones),

so that they can compare answers.<sup>5</sup> If any two students choose this problem, the professor is satisfied. If more than two students do, she may not be satisfied, for instance because she wants the other students to work on something else. Rather than giving rise to a scalar presupposition, the *wh*-NPI in (28) serves to indicate that it is immaterial which two students choose the problem.

A third difference between even-NPIs and wh-NPIs is the fact that measure nouns and so-called minimizers can be used in the former, but not the latter. Minimizers are (usually idiomatic) NPIs referring to minimal quantities which are the symbolic lowest point of some scale. Typical examples in English are a red cent or (to drink) a drop.

- (29) Ik denk niet dat dit ook maar een minuut zal duren.
  I think not that this even<sub>NPI</sub> a minute will last
  'I don't think this will even last a minute.'
- (30) \*Ik denk niet dat dit welke minuut dan ook zal duren. I think not that this which minute PRT PRT will last
- (31) Niemand heeft ook maar een rode cent verdiend. no one has even<sub>NPI</sub> a red cent earned 'No one has earned even a red cent.'
- (32) \*Niemand heeft welke rode cent dan ook verdiend. no one has which read cent PRT PRT earned

This contrast can be understood if we assume that *even*-NPIs are inherently scalar, but *wh*-NPIs aren't. Measure nouns are ranked a long a scale (e.g. second, minute, hour, day, etc.) and minimizers inherently refer to the minimal point on a scale. Both are therefore compatible with the use of a scalar focus item like *ook maar*. *Wh*-NPIs on the other hand behave just like strong determiners which also cannot be used with measure phrases and minimizers:

- (33) \*It lasted that/every minute.
- (34) \*He didn't earn that/every red cent.

We have identified three empirical differences between even-NPIs and wh-NPIs which together show that the former are both scalar and focus-sensitive, but the latter are neither. A L&H-style semantics is obviously appropriate for even-NPIs, because they explicitly contain a particle meaning 'even'. But what sort of semantics can we adopt for wh-NPIs? The only non-scalar semantics for NPIs available in the literature — or at least the only one that is worked out in great detail and supported by a wealth of data — is the one proposed by K&L for English any. We have seen that wh-NPIs have the same distribution as any: they occur not only in all the standard environments that license NPIs (comparatives, questions, conditionals, etc.), but also in free-choice contexts. K&L's analysis explains why wh-NPIs are not sensitive to focus and why they carry no scalar presuppositions, because widening and strengthening are operations that do not involve

#### TWO TYPES OF NEGATIVE POLARITY ITEMS

scales or depend on focus. This analysis can also explain the incompatibility of wh-NPIs with measure nouns and minimizers. Since minimizers like red cent are idiomatic expressions which do not literally refer to anything but only in a metaphorical sense stand for the minimal point on a scale, it doesn't make any sense to talk about widening their denotation. Similarly, a measure noun like minute does not refer to a set of entities and therefore widening its denotation is not a meaningful operation. Minutes and 'red cents' are simply not among the entities in our domain of discourse. These considerations makes it at least plausible that wh-NPIs are amenable to a K&L-style analysis.

The arguments I've given in favor of a K&L-semantics for wh-NPIs have for the most part been negative ones: wh-NPIs are not focus-sensitive and not scalar. There also is a more positive, albeit somewhat speculative, reason to adopt K&L's in terms and widening and strengthening for wh-NPIs. In an important typological study of indefinite pronouns, Haspelmath (1993) shows that wh-NPIs of this type can be found in a wide range of languages. He argues that they are derived historically from concessive conditional clauses like that in (35a) by a grammaticalization process in which the conditional clause is first reduced to an NP plus particle (see the intermediate step (35b)) and then becomes an argument of the main clause (see (35c)).

- (35) a. Niemand wil met een student spreken, welke student het ook is. no one wants with a student talk, which student it PRT PRT is.

  'No one wants to talk to a student, whichever student it is.'
  - Niemand wil spreken met een student, welke student dan ook.
     no one wants talk with a student, which student PRT PRT
     `No one wants to talk with a student, no matter which student.'
  - Niemand wil spreken met welke student dan ook.
     no one wants talk with which student PRT PRT
     `No one want to talk with any student.'

Whatever the details of this grammaticalization process, it is tempting to take the history of this construction as a clue to its interpretation. What then is the semantics of concessive conditionals, which are the source construction of wh-NPIs? Intuitively what the concessive clause in (35a) does is to leave the choice of the student referred to in the main clause up to the hearer. The meaning of the sentence is something like the following: "You, the hearer, may pick a student x; whatever choice you make, the proposition `No one wants to talk to x' will be true." Because it indicates that the choice of student is left up to the hearer, the concessive clause has the effect of strengthening the proposition expressed by the main clause. In other words, the function of the concessive clause is to strengthen the main clause by widening the potential denotation of the noun student. From a historical perspective, it seems then that the sort of semantics that K&L have proposed for any is appropriate for wh-NPIs in Dutch. I admit though that this suggestion is based on somewhat circumstantial evidence. If wh-indefinites have the historic source suggested by Haspelmath, this does not necessarily mean that they have the same semantic function as concessive conditionals, for the simple reason that the semantics of expressions and Published by Scholar Works @UMass Amhersted 926, the precise semantics of concessive conditionals is still largely uncharted territory. Nevertheless, I think K&L's widening-andstrengthening analysis is the most plausible candidate available for wh-NPIs. I leave it for further research to find additional empirical support for this claim.

The discussion in this paper has so far been about even-NPIs and wh-NPIs in Dutch, although the theories adopted to explain their behavior were originally proposed as accounts of English any. This raises the question whether we can use the tests developed in this section to help us decide between K&L's and L&H's proposal as the most appropriate account of any. I will show that any is insensitive to focus and non-scalar, making K&L's theory the most plausible one.

## 5. Another Look at Any

Let's consider the first test, the effect of the placement of focus. Compare (36a,b) with (37a,b).

- (36) a. No one has read ANY comic books.
  - b. No one has read any COMIC BOOKS.
- (37) a. No one has read even ONE comic book.
  - b. No one has read even a COMIC BOOK.

In (37a,b) the scalar focus particle *even* associates with focus, and as a result the two sentences presuppose different scales and generate different scalar presuppositions. For instance, if in a certain context the salient reading materials are comic books and novels, then (37b) presupposes that "No one read a comic book" is less likely than "No one read a novel". By contrast, (36b) carries no scalar presuppositions. This sentence is consistent with a situation in which people are more likely to read novels than comic books. The function of focus in this sentence is merely contrastive. The conclusion we can draw from this is that *any* is not focus-sensitive in the way that *even* is.

Turning to the second test — conditionals with numerals greater than one — we see that any does not carry the scalar presuppositions characteristic for even. Just like (28), (38) can be used without any implications about what happens if more than two students choose this problem. The sentence could for instance be continued with: "but no more than two should choose it." Any merely serves to indicate that it doesn't matter which two students choose the problem."

<sup>&</sup>quot;Carlson (1981) claims that NPI any (as opposed to free choice any) may not occur prior to numerals, "at least in the more formal registers of the standard dialect" (p. 9). He contrasts the grammatical (i), which is an example of free choice any plus a numeral, with (ii), which he marks as "dial."

Any three men could move this stone.

ii. Did any five waiters just walk in?

Native speakers I have consulted do not have a problem with (38), however, where we clearly have an instance of NPI any. It seems then that Carlson's claim is too strong, also in the light of the following example, provided

(38) If any two students choose this problem, I'm satisfied.

The third criterion — compatibility with measure nouns and minimizers — gives the same result as the previous two. The examples (39) and (40) show that *any* cannot be used with measure nouns and minimizers and thus behaves like the Dutch wh-NPIs:<sup>7</sup>

- (39) \*I don't think this will last any minute.
- (40) \*No one has earned any red cent.

These examples should be contrasted with their counterparts with *even* plus an indefinite determiner:

- (41) I don't think this will last even a MINUTE/even ONE minute.
- (42) No one has earned even a RED CENT/even ONE red cent.

On the basis of these three tests, we may conclude that any can not be analyzed as an indefinite which incorporates the meaning of even, as L&H have proposed. Any is neither focus-sensitive nor scalar. This leaves K&L's proposal as offering the most plausible unified semantics for any.

Despite the fact that any and the Dutch wh-NPIs pattern alike on our three tests, there also is an important difference between the two. This will be discussed in section 7. But first I will turn to a fourth difference between between even-NPIs and wh-NPIs in Dutch which was already alluded to earlier.

#### 6. Free-choice Uses

Whereas wh-NPIs can be used in free-choice statements (see (17) and (18)), even-NPIs can't. In this respect also, English any patterns with the wh-NPIs.

- (43) \*Je mag trouwen met ook maar iemand. you may marry with even<sub>NPI</sub> some/anybody
- \*Kasparov kan winnen van ook maar één tegenstander. Kasparov can win against even<sub>NP1</sub> one opponent
- (45) You may marry anyone.
- (46) Kasparov can win against any opponent.

It is tempting to try to explain these facts also in terms of a K&L-style versus a L&H- style semantics. I am somewhat hesitant to do so, however, because cross-linguistically it appears to be possible for *even*-NPIs to have free-choice readings. Lahiri (1995) gives

Note that the expression any minute can be used in sentences like He can come any minute. Here however the PUBLISHED SCHOOL WORKS UMASSIANTHERSE, PUBLISHED IN time (cf. He can come any 11 moment). No scale is unvolved in such cases.

examples of free-choice readings of even-NPIs in Hindi, involving the focus particle bhii (see (47)). The analogue of this sentence in Dutch, (48), is ungrammatical:

- (47) koii bhii ulluu cuuhoN-kaa Sikaar karta hai an even owl mice hunts
  'Any owl hunts mice'
- (48) \*Ook maar een uil jaagt op muizen. even<sub>NPI</sub> an owl hunts at mice
- (49) Zelfs een uil jaagt op muizen. even an owl hunts at mice 'Even an owl hunts mice'

The reason why (48) is out is that *ook maar*, the NPI form of *even*, is used in an environment that doesn't license NPIs. When we replace *ook maar* with its non-NPI counterpart *zelfs*, as in (49), the sentence is fine. The problem is, however, that just like its English translation this sentence does not mean the same thing as *Any owl hunts mice*. I don't know what could explain this difference between Hindi on the one hand and Dutch and English on the other. In any case, the mechanism which Lahiri proposes to derive this interpretation in Hindi, which involves contructing a scale of contextually specified properties, must be assumed not to be universally available. I will leave this issue for further research.

## 7. Non-Emphatic Any

The conclusion that *any* is not equivalent to an indefinite plus *even* was in fact already reached in a paper by Heim (1984), but on the basis of different data. Heim points out that *any* can be used to express an accidental generalization, but NPIs whose semantics incorporates the meaning of *even* can't. The difference between an accidental generalization and a non-accidental one can be illustrated by the following examples (see also Kratzer 1989):

- (50) a. Everyone who ate anything got sick.
  - b. Everyone who ate anything was actually wearing blue jeans.

(50a) can be used to express a non-accidental generalization, because it is possible that there is a causal connection between eating something and getting sick. Under normal circumstances, (50b) however will be an accidental generalization because a causal connection between eating something and wearing blue jeans is very unlikely. Heim notes that certain NPIs, in particular what I've referred to as minimizers, cannot be used in statements expressing such accidental generalizations:

https://scholarworks.umass.edu/nels/vol26/iss1/24

- (51) a. Everyone who ate a single bite got sick.
  - b. #Everyone who ate a single bite was actually wearing blue jeans.

(51b) is odd, because it suggests that there is non-accidental link between eating something and wearing blue jeans. Heim argues that what makes minimizers like a single bite incompatible with accidental generalizations is the fact that — unlike any, but just like our even-NPIs — they are semantically equivalent to expressions containing the word even (following Schmerling 1971). The use of a single bite in (51a), for instance, presupposes that there is a scale ranking amounts of food with a single bite being the element which is least likely to make the open proposition "everyone who ate x got sick" true. In a world such as ours where the more one eats of spoiled food, the more likely one is to get sick, it's easy to imagine a situation where this presupposition is satisfied. However, it's much harder to imagine a situation where eating more of something makes one more likely to be wearing blue jeans, which explains the oddness of (51b). Note that Heim's proposal that minimizers incorporate the semantics of even but that any doesn't, squares well with our observation that any can't be combined with a minimizer.

Turning to Dutch, we find Heim's observation confirmed. NPIs with ook moar cannot be used to express accidental generalizations, not only when they involve a minimizer, as in (52), but also when ook maar is prefixed to a non-scalar indefinite like iets ('something/anything'), as in (53). (lets is a polarity-neutral item which has no direct analogue in English, which is why I've glossed it as 'something/anything'.)

- (52) a. ledereen die ook maar een hap gegeten had werd ziek. everyone who even<sub>NPI</sub> a bite eaten had got sick
  `Everyone who ate even a bite got sick.'
  - b. #ledereen die ook maar een hap gegeten had droeg een spijkerbroek.
    everyone who even<sub>NPI</sub> a bite eaten had wore a blue-jeans
    `Everyone who ate even a bite was wearing blue jeans.'
- (53) a. ledereen die ook maar iets gegeten had werd ziek.

  everyone who even<sub>NPI</sub> something/anything eaten had got sick

  `Everyone who ate anything got sick.'
  - b. #ledereen die ook maar iets gegeten had droeg een spijkerbroek.
    everyone who even<sub>NPI</sub> something/anything eaten had wore a blue-jeans
    `Everyone who ate anything was wearing blue jeans.'

However, the same is true for wh-NPIs, which I have argued to be non-scalar:

- (54) a. ledereen die wat dan ook gegeten had werd ziek. everyone who what PRT PRT eaten had got sick. `Everyone who ate anything got sick.'
  - b. #ledereen die wat dan ook gegeten had droeg een spijkerbroek.
     everyone who what PRT PRT eaten had wore a blue-jeans
     `Everyone who ate anything was wearing blue jeans.'

It seems then that having a semantics which incorporates the meaning of even is not the reason, or at least not the only reason, why certain NPIs can't be used in sentences expressing accidental generalizations, because in this respect, even-NPIs and wh-NPIs in Dutch behave alike and are both different from English any. What sets any apart from both even-NPIs and wh-NPIs? One important property that makes any different is the fact that it can be used non-emphatically. Both even-NPIs and wh-NPIs are obligatorily stressed; in even-NPIs the stress marks the location of the focus that the scalar focus particle associates with, while in wh-NPIs it is the wh-word that is always stressed. English any can be completely unstressed, however. I will call even-NPIs and wh-NPIs, as well as any when it is stressed, emphatic NPIs, and unstressed any a non-emphatic NPI. That stress is the relevant factor can be seen from the fact that the accidental generalization (50b) becomes strange when any is stressed. Also, in Dutch the most straightforward translation of (50b) is (55), where the unstressed indefinite pronoun iets is used where English has anything.

(55) Iedereen die iets gegeten had droeg een spijkerbroek.
everyone who something/anything eaten had wore a blue-jeans
'Everyone who ate something/anything was wearing blue jeans.'

What I would like to suggest is that non-emphatic any has the semantics of a plain indefinite determiner (in English, a(n) or  $\emptyset$ ), the only difference being that in its distribution any is restricted to downward entailing environments. Some support for this idea comes from the behavior of free-choice any. Unlike NPI any, free-choice any must always receive stress. As pointed out by several authors — most recently Dayal (1995) — free-choice any can only be used in non-accidental generalizations like (56a), but not in accidental ones such as (56b), unlike ordinary quantifiers like every (see (56c)).

- (56) a. Anyone who tasted the chili got sick.
  - b. #Anyone who tasted the chili was wearing blue jeans.
  - c. Everyone who tasted the chili was wearing blue jeans.

More importantly, the arguments that K&L give for their analysis of any in terms of widening and strengthening only seem to apply to emphatic any. When we look at examples of non-emphatic any it's very hard to discern any widening or strengthening effect. For instance, I don't think that there is any semantic or pragmatic difference between a yes/no question with an unstressed any and one with an unstressed some, such as (57a) and (b). The emphatic any in (57c) does have the widening and strengthening effect predicted by K&L; the use of the emphatic any indicates that quantities or kinds of beverages that normally wouldn't count, are now included.

- (57) a. Did he DRINK anything?
  - b. Did he DRINK something?

It has often been claimed that the occurrence of an NPI in a question biases it towards a negative answer. As Heim observes, this is not true for questions containing a non-emphatic any, such as (57a). https://scholarworks.umass.edu/nels/vol26/iss1/24

## c. Did he drink ANYthing?

The most direct translation of either (57a) or (57b) in Dutch is with the polarity-neutral indefinite pronoun iets (Heeft hij iets gedronken?). (57c) on the other hand is rendered using either an even-NPI (Heeft hij ook maar iets gedronken?) or a wh-NPI (Heeft hij wat dan ook gedronken?). Again, this suggests that non-emphatic any causes no widening or strengthening and simply has the semantics of a plain indefinite. One immediate consequence of this would be that the restrictions on the distribution of non-emphatic any can not be predicted on the basis of its semantics. Whether this somewhat pessimistic conclusion is indeed warranted remains to be seen. Another open question is whether the opposition between emphatic and non-emphatic NPIs can account for the fact that the latter, but not the former can be used in sentences expressing accidental generalizations. Although Heim has suggested a plausible explanation of this fact for the case of even-NPIs, it is unclear how this can carry over to the non-scalar wh-NPIs.

The main point I've tried to convey in this paper is that in the area of negative polarity there is quite a bit of, often subtle, variation. No single approach is likely to be correct for all NPIs. Rather than drawing conclusions about the entire class of NPIs on the basis of a few cases, we should carefully investigate the detailed properties of each individual item.

#### References

Carlson, Greg N. 1980. Polarity any Is Existential. Linguistic Inquiry 11:799-804.

Carlson, Greg N. 1981. Distribution of Free-Choice Any. In CLS 17, 8-23.

Dayal, Veneeta. 1995. Licensing Any in Non-Negative/Non-Modal Contexts. In SALT V. DMLL, Cornell University.

Fauconnier, Gilles. 1975a. Polarity and the Scale Principle. In CLS 11, 188-199.

Fauconnier, Gilles. 1975b. Pragmatic Scales and Logical Structure. Linguistic Inquiry 6:353-375.

Haspelmath, Martin. 1993. A Typological Study of Indefinite Pronouns. Doctoral dissertation. Freie Universität Berlin.

Heim, Irene. 1984. A Note on Negative Polarity and Downward Entailingness. In NELS 14, 98-107. GLSA. UMass, Amherst.

Israel, Michael. 1994. Polarity Sensitivity as Lexical Semantics. Ms., UC San Diego.

Jacobs, Joachim. 1983. Fokus und Skalen. Tübingen: Niemeyer Verlag.

Kadmon, Nirit, and Fred Landman. 1993. Any. Linguistics and Philosophy 16:353-422

König, Ekkehard. 1991. The Meaning of Focus Particles. London: Routledge.

Kratzer, Angelika. 1989. An Investigation of the Lumps of Thought. Linguistics and Philosophy 12:607-653.

Krifka, Manfred. 1994. The Semantics and Pragmatics of Weak and Strong Polarity Items in Assertions. In SALT IV, 195-219. DMLL, Cornell University.

Ladusaw, William A. 1979. Polarity Sensitivity As Inherent Scope Relations. Doctoral

Lahiri, Utpal. 1995. Negative Polarity in Hindi. In SALT V. DMLL, Cornell University. Lee, Young-Suk, and Laurence Horn. 1994. Any as Indefinite plus Even. Ms., Yale. Paardekooper, P.C. 1978. Wie dan ook enz., vooral als ww-patroondeel. De nieuwe

taalgids 71:569-581.
Paardekooper, P.C. 1979. Ook maar lemand. De nieuwe taalgids 72:429-448.

Rooth, Mats 1985. Association With Focus. Doctoral dissertation, UMass, Amherst.

Schmerling, Susan F. 1971. A Note on Negative Polarity. *Papers in Linguistics* 4.1, 200-206. Linguistic Research Inc., Champaign, Ill.

Vandeweghe, Willy. 1980/81. Ook maar X. Studia Germanica Gandensia 21:15-56.

von Stechow, Arnim. 1991. Current Issues in the Theory of Focus. In Semantik/Semantics, ed. Arnim von Stechow and Dieter Wunderlich, 804-825. Berlin: Walter de Gruyter.

Wilkinson, Karina. 1993. Towards a Unified Semantics of Even: A Reply to Rooth. In SALT III. DMLL, Cornell University.

Wouden, Ton van der. 1994. Negative Contexts. Doctoral dissertation, Rijksuniversiteit Groningen.

Vakgroep Nederlands Rijksuniversiteit Groningen Postbus 716 9700 AS Groningen Nederland rullmann@let.rug.nl