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THE ACQUISITION OF PASSIVE
WITH INSTRUMENTAL PREPOSITIONAL PHRASES IN ENGLISH

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I. Introduction

The goal of this paper is to find out when and how children understand a passive sentence with an instrumental prepositional phrase (IPP) like the following:

- (1) The fish was eaten with a fork.
- (2) The thief was shot with a gun.

Studies of how the passive in English is acquired have been carried out widely and fruitfully for quite some time. However, our knowledge of how the passive with IPP is acquired is still zero. This study is intended to be a beginning research work in this respect, and it may have a wider application for some related structures for future research.

Another important issue this study bears on is that by studying the acquisition of the passive with IPP, we have a test of previous claims about the

studies that are particularly of interest here. One is the Maturation Theory proposed by Borer and Wexler (Borer & Wexler (1987)), which is given to account for the fact that children are found to comprehend and produce short passives (e.g., without by phrase) and passives involving action verbs earlier than long passives and passives involving non-action verbs. The reason they give is that at a certain stage, children can comprehend and produce only adjectival passives, for the machinery of forming an "A-Chain" in order to complete the derivation of a passive construction has not matured. In this paper, we are going to show that

theory is that no passives with IPP can be acquired if children do not show their understanding of the verbal

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passive. The passive with IPP will be acquired automatically only after they have verbal passives.

The other theory of interest here is the Affectedness Theory which is proposed by M. Anderson (1979) and followed by D. Lebeaux (1985), T. Roeper (1984) and others. Lebeaux claims that the Affected Argument Constraint (AAC) is universal and that Children at first use the AAC to produce passives with actional verbs, which means that they only recognize those "affected objects". Naturally, passives that involve non-actional verbs will not be learned until they know the property of case-absorption of -ed.

What I will argue in this paper is that IPP always connects an agent involved in an action, therefore, even if a passive is ambiguous between an adjectival passive and a verbal passive, there will be only the verbal reading left if IPP is added to it. I will show later that IPP is actually a syntactic trigger of the agent in a passive sentence. Furthermore, IPP can only go with action verbs and verbs with affected objects if the verbs are transitive. Thus, when children are confronted with a passive sentence with IPP, on the one hand, they use the "Affectedness Argument Constraint" to detect a trace; and on the other hand they use IPP to find out the agent either explicit or implicit in a passive sentence with IPP. The central idea is that the agentivity and the semantic notion of "affectedness" are the keys to the understanding of the passive structure for the children, which implies that when a verbal passive does not have a syntactic trigger like IPP they will get the passive if the verb can help the child get the affected object, consequently, the agent.

In section 2, we are going to talk about the properties of IPP and some interesting facts about IPP in detail. Section 3 will examine different accounts for how the passive is acquired. In section 4, we will present motivations and the reasoning behind the present experiment and different predictions about the acquisition of the passive with IPP. In Section 5 we will describe the experiment in detail with the procedures and methods etc. as well as the results. We will conclude this paper with a discussion of the experiment in section 6.

II. Instrumental Prepositional Phrase

What is an instrumental prepositional phrase (IPP)? How does it behave in adult grammar? How do IPPs behave with respect to passives? These are the main issues we are going to address in this section.

1. Properties of IPPs

The functions of the with-phrase in English are various as illustrated by the following examples (3a--c) (all of which are VP complements) and (4) (where the with-phrase is an NP complement¹). The with-phrase we are interested in is (3 a) in which with introduces an instrument by assigning instrumental case or by assigning an instrumental θ -role to the NP. Hence, IPP specifies that in (3a) with a knife is an instrument used in an action (the cutting) by an Agent (by John in this sentence).

- (3) a. John cut the salami with a knife. (Instrument)
 b. John cut the salami with difficulty. (manner)
 c. John left with a knife/his wife.
 (accompaniment)
- (4) The man with a yellow hat took George to the fire station. (accompaniment)

There are various interesting properties of IPP².

¹. The examples in (3 a--c) and (4) do not list all the functions of with-phrase in English. I have no intention of giving a uniform treatment of the with-phrase in this paper. I assume that, structurally speaking, examples in (3) are in the VP domain (VP complements), and (4) is in the NP domain (an NP complement). Later, in my experiment I will be concerned only with the instrumentals and the accompaniment of NPs.

². Defining the status of IPP as an argument or adjunct has remained a controversial question in the literature. For example, some say it is an argument just like agents and themes which are arguments of the verbs (see Bresnan (1982)); some say it is only an adjunct that is linked to the verb (see Carlson and Tanenhaus (1987), Grimshaw (1986) among others). As a thorough discussion of this topic is beyond the scope of this paper, I don't intend to join the argument. But I believe that my study to be shown later somehow gives support for the former view. Besides, there is a distinction between sentential

Since an instrument is something that is used by somebody or some animal that can take part in an action, the basic property of IPP is that it requires an Agent to appear either explicitly or implicitly in a sentence. In the examples below we can see IPPs go well with sentences that have Agents regardless of whether or not the verbs are transitive, although intransitive verbs with IPPs are much more limited than transitive verbs with IPPs.

- (5) John killed the man with a gun/a knife/a pillow
etc.
- (6) a. John was walking with a stick.
b. John was swimming with a flipper.³

Further evidence for the connection between the IPPs and Agents can be found in the sentences that involve experiencer verbs. The subject of a sentence with an experiencer verb can be an Agent or a Theme. As predicted, sentences are always good with IPPs when the Agents are the subjects, but IPPs are not so comfortable in those sentences in which the Themes are the subjects.

- (7) a. He amused me.
b. His performance amused me.
- (8) a. He amused me with his performance.
b. *His performance amused me with a funny somersault.
- (9) a. He annoyed me.
b. He annoyed me with his picture.

adverbials and VP adverbials. Although I assume IPP is a VP adverbial, I leave this as an open question since this is not the main concern of this paper.

³. I owe example (6b) to Edwin Williams.

There are differences between the with-phrases in (5) and (6) though. Like instrumentals in a transitive sentence, the with-phrases in (6) also indicate concrete tools that are used in the actions. But somehow we can also interpret them as accompaniment while this reading is almost impossible in sentences in (5). We can say John swims with a funny swimming suit, in which the instrumental reading disappears.

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- (10) a. His picture annoyed me.
b. ?His picture annoyed me with a scratch.

We could accept (8b) and (10b) only if we assume that the with-phrases are NP complements that have been extraposed to the end of the sentences, and not instrumentals. More evidence for the Agent-IPP connection can be found from sentences with unaccusative verbs, as pointed out by Joan Bresnan (according to Hale and Keyser (1987), instrumentals do not go well with unaccusatives. Thus the following sentences are ungrammatical unless they are interpreted as middles.

- (11) a. *The ship sank with a torpedo.
b. *The door opened with a skeleton key.

From here we can further predict that IPPs can occur with sentences with Agents even though the Agents may not be overtly present.

- (12) a. John_i promised Bill_j PRO_i to cut the salami with
the knife.
b. The salami was cut (by John) with a knife.
c. The ship_i was sunk _i (by the crew_j) PRO_i to
arrest the captain with the handcuffs.

The IPP goes well in the control sentence in (12a) because the Agent role is assigned by the verb of the lower clause and it is controlled by John in the higher clause. (12b) and (12c) show that the optionality of the Agent role doesn't affect the presence of the instrumentals. It can still occur in the passive sentence (12b), and in the passive with a purpose clause (12c). I will talk about the interaction between the passive and the IPP in detail later.

So far we have given convincingly strong evidence to show that the Agent role licenses the existence of an IPP because wherever an IPP occurs there is always an Agent-IPP connection. However, the middle construction apparently seems to be a problem for our claim. Nevertheless, we will show below that it is not.

The middle construction is found to involve agency (Keyser and Roeper (1984)) because the verbs of the middle construction are actually of transitive origin. The peculiar thing about the middle construction is that the subject, like the passive, is the externalized theme; while the Agent, unlike the passive, cannot be present overtly. However, this Agent is felt and is

understood somehow. It cannot be expressed overtly in a by-phrase as shown in (13a), and it cannot control an infinitival purpose clause as shown in (13b)--a striking contrast with (12b) and (12c) above: (Examples below are cited from Hale and Keyser (1987)⁴

- (13) a.*Limestone crushes easily by children.
b.*This corn grinds easily to feed the chicken.

Furthermore, a similar contrast is shown by Jaeggli (1986) with adverbs which Jackendoff (1972) calls agent-oriented adverbs:

- (14) a. The price was decreased willingly.
b.*The price decreased willingly.

Hale and Keyser (1987) argues that the absence of the syntactically-realized Agent Role in the middle construction is due to the fact that there is no Agent θ -role in the middle verb⁵. Nevertheless, it is possible that IPP can occur in the middle construction:

- (15) a. Limestone crushes easily with a sledgehammer.
b. This bread cuts easily with a hacksaw.

Thus, instead of the Agent-IPP connection we have argued for above, we see a Theme-IPP connection in the middle construction. But remember we say there is an understood agency involved in the construction. In fact, this Agency exists without the presence of the IPP. It exists even without the presence of the common adverbs (like easily), although under condition. Hale and Keyser (1987) give the following examples to show that it is not necessary to have an adverb in the middle:

- (16) a. This bread won't cut.
b. This bread cut. (contrastive)

Therefore, we can say that although it may be true that the middle verb does not assign an Agent θ -role

⁴. Most of the examples of the middle construction are drawn from their work unless otherwise specified.

⁵. Another alternative would be to assume that middles still assign Agent θ -role, but it is [-argument], which means this θ -role has to remain implicit (see Cinque (1980); Roeper (1987)). On either view the Agency is there. Thus IPPs are allowed to appear in middles.

- (18) a. John cut the salami (with a knife).
 b. The salami was cut (by John).
 c. The salami was cut (by John) with a knife.
- (19) a. John loved Mary (*with a hug).
 b. Mary was loved (by John).
 c. *Mary was loved (by John) with a hug.⁶

I assume the reason why both structures in (17) can take IPPs and the asymmetry shown in (18) and (19) also lies in this Affectedness Constraint. In fact, given this, we find we can account for the asymmetry between nonactional passives and the middles as well. Below, we show a summary of how passives (actional or nonactional), middles, and nominalizations behave with respect to IPPs, by-phrases, and to the notion of "affectedness":

⁶. Charles Clifton (personal communication) pointed out to me that sentences with nonactional verbs like love can still take a with-phrase as shown in the following:

- (i) a. John loved Mary with passion.
 b. Mary was loved with passion.

I believe he was right about suggesting that this with-phrase is not instrumental. We find it doesn't mean that with passion can only appear in sentences with nonactional verbs, which indicates that the appearance of this kind of with-phrase is not restricted by the Affectedness Constraint.

- (ii) a. John kissed Mary with passion.
 b. Mary was kissed with passion.

We also find that with passion can be paraphrased by the corresponding adverb passionately:

- (iii) a. Mary was loved passionately.
 b. Mary was kissed passionately.

Therefore, we can conclude that the with-phrase that can go with nonactional verbs can only be the adverbial of manner, and not an IPP. This is because IPPs are concrete objects that can be used in an action while manner can never be something actual or concrete that you can really grasp with your hands or other equipment.

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Structure	by-phrase	IPP	Affectedness
Nominalization	(+)	(+)	+
Actional passive	(+)	(+)	+
Nonactional passive	(+)	-	-
Middles	-	(+)	+

Note: Parentheses indicate the optional occurrence of the elements.

From this table we find that in the middles the superficial Theme-IPP connection allows the existence of the IPP while the superficial *by*-phrase in non-actional passives cannot have the Agent-IPP connection. While we have taken pains to explain the Agency-IPP connection in the middles, however, we find we are in trouble again--the superficial *by*-phrase in nonactional passive cannot take IPP. Why can we not have Agent-IPP connection this time? Why is it that the Agent doesn't license the presence of IPP? This is not a problem, as can be seen, if we look at the third column of the table. Any structure that can take Affected object can take IPP. Therefore, it is the Affectedness that is directly related to the presence of the IPP. This, in turn, implies that IPP is in the domain of the VP. If a verb is transitive, then only when the connection between the affected object and the IPP is formed can the VP connect the Agent. Otherwise, the Agent cannot connect the IPP if the object is not affected. Just as this theory predicts no Agent-IPP connection can be formed in nonactional passive since within the VP, no Affected-object--IPP connection can be formed in the first place.

On the other hand, with the Affectedness, we find the apparent mystery shown by the middles solved so easily and so readily without the need of any further explanation. Thus so long as there is an affected object in a sentence IPPs can occur. The reason why Agent cannot occur in the middle is that syntactically there is no room for it since the affected object has taken its subject's place. Consequently, this semantically affected object suggests semantic Agency in the middles. Recall we argue that even though in some structures Agent is not overtly present, IPPs can still occur in passives and in control sentences. However, never in those sentences can we find the affected Theme being absent except in those sentences

that involve intransitive verbs (e.g., (6a) and (6b)⁷. This, in fact, also fits the observation made by Grimshaw (1986) that in English the internal argument (e.g., the object) should obligatorily be present either in VP or in noun phrases, but the Agent is only optional in noun phrases. Therefore, I believe this Affected-Theme-IPP connection is the second property of IPPs.⁸

Up to now, I think the nature of IPP is clearly laid out. I contend that the two properties of IPP are related and depend on each other. The Affectedness property licenses the occurrence of IPPs if the verbs are transitive. The Agency property licenses the occurrence of IPPs if the verbs are intransitive. The two properties would collapse into one if we only had transitive verbs taking IPPs (because Agency always occurs if there are Affected objects). Therefore, we find that transitive verbs with IPPs are unmarked and it is not so common for intransitive verbs to take IPPs because they only fit the Agency condition.

2. The Interaction between IPPs and Passives

Given the two properties of IPPs, how they should behave with respect to passives is easily predicted. Below, I will give a brief analysis of the passive construction and then discuss the interaction between passives and IPPs.

The passive structure is a construction that involves a movement from object position to subject position. This movement is motivated by two properties of the structure stated by Chomsky (1981) such as (a) [NP,S] does not receive a θ -role and (b) [NP,VP] does not receive Case within VP. The argument in [NP, VP] thus has to move with the θ -role that is assigned by the verb to the subject position to receive case. As a result of the application of the movement, the moved object postulates a trace after the verb, thus, forming a so-called "A-chain" (argument chain). Following

⁷. We will talk more about the intransitive sentences later.

⁸. The second property shown by IPPs in a sense gives a convincing argument for its status as VP complement. Furthermore, it somehow suggests that verbs with Affected objects are qualified to have agents either syntactically or semantically.

adjectival passive--and one that exhibits verbal properties--the verbal passive. Therefore, if (24a) is interpreted as (24b), the whole predicate is taken to designate a property of the subject, which is an adjectival passive. On the other hand, if (24a) is interpreted as (24c), that is, the subject functions as the object of the activity and an implicit argument is present, then it is a verbal passive.

As we can see, the difference between the two passives lies in whether or not there is an implicit agent. But since morphologically adjectival passives and verbal passives share the same affix--ed and whether the implicit Agent reading is involved or not depends on the context sometimes, it is not an easy thing to do to distinguish the two. Nevertheless, three diagnostic environments for adjectival passive are advanced by Levin & Rappaport (1986) among others. First, the prefix un- always attaches to passive participles. But it never attaches to verbs, therefore, there is reason to believe that the past participles it attaches to are also adjectives. Secondly, only adjectives but not verbs can follow verbs such as seem, remain, sound, look, and appear, which select only adjectival compliments. A passive participle appearing as the complement to such verbs is therefore taken to be adjectival, not verbal.

- (25) a. The dog seemed hungry.
 b. The dog seemed combed.
 c.*The dog seemed kicked.

Thirdly, only adjectives and not verbs may occur as pre-nominal modifiers of nouns.

- (26) a. a broken glass
 b.*a dropped glass

Needless to say, these diagnostic environments are helpful for distinguishing verbal passives from adjectival passives. But as for an ambiguous short passive sentence, it seems we need information from the context as well as the three diagnostic environments.

So far, we have shown that the formation of the passive construction is complicated by different verbs. Putting passives with nonactional verbs aside at the present, there are verbs that can only be verbal passives once passivized. There are also verbs that are ambiguous between adjectival passives and verbal

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passives. Given the properties of IPPs, the predictions are clear if IPPs are added to passives.

Recall that the two properties of IPPs are (a) IPPs entail Agents; and (b) IPPs appear only with "Affected" objects, consequently, two predictions are made. First, the distinction of the two kinds of verbs (verbs like hit, and verbs like break) with respect to nonactional passives disappears. Since both kinds take affected objects, IPPs are qualified to appear in both but cannot appear in nonactional passives as shown in (19c) before. (For the sake of convenience, it is repeated below in (27c).)

- (27) a. The horse was hit with a bat.
b. The glass was broken with a bat.
c. *Mary was loved with a hug.

Secondly, the adjectival-verbal ambiguity disappears in (27b) as well, for only the verbal reading is forced. In other words, the Agent-IPP connection is being formed, even though the Agent may be implicit.

Just because IPP can disambiguate an ambiguous passive sentence, it seems we have found a better test to see whether a passive is adjectival or verbal, as pointed out to me by Roeper. Borer and Wexler (1987) use by-phrase to do this test. They say that constructions which are unambiguously adjectival and not verbal do not admit by-phrases easily. The following examples are drawn from their work:

- (28) a. the fact was unknown (*by Peter)
b. the uninhabited island (*by the British)
c. the closed door (*by Peter)
d. the torn doll (*by Peter)

But there are too many counter examples to this test. In their footnote 3 they cite examples from Roeper (1983) (as (29a-b) below). Pinker et al (1987) also cited such examples from Wasow (1977) who pointed out explicitly that adjectival passives can take by-phrase (as (29c-d) below).

- (29) a. the code was unbroken by the Russian
b. the island was uninhabited by Mankind
c. the child was unwanted by his parents
d. John remained feared by all

Borer & Wexler (1987) claimed that these kinds of examples "represent an exception rather than a norm", for they noticed that the "generic" Agents seem better than specific ones. But we find IPP such a handy and powerful tool for the test that no further explanation is necessary to exclude certain examples:

- (30) a. the code was unbroken (by the Russian) (* with
the translation)
b. the island was uninhabited (by Mankind) (*with
shelters)
c. the child was unwanted (by his parents)
(*with the cradle)
e. John remained feared (by all) (*with the guns)

To sum up, in this section we have discussed how IPP behaves in adult grammar. The two properties of IPPs help us make two clear predictions concerning the interaction of IPPs with passives. The affectedness property of IPP successfully explains why nonactional passives cannot take IPPs while the other property of IPP--Agency entailment convincingly shows why the adjectival-verbal ambiguity disappears without the need of any other diagnostic tests.

Then we are faced with the following questions: if a passive with IPP is unambiguously verbal, when will it be possible for children to understand such a structure? How can children know that the IPP is disjoint in reference with the subject in a passive sentence with IPP? (e.g., how can they know the surface subject is not the user of the instrument?) What helps them to connect the IPP with the agent that is implicit? Hence, these become the central concern of this paper.

III. Previous Accounts

It is clear that two things are involved in a passive sentence with IPP, that is, the sentence should be a passive and the sentence should have an instrument. If we want to see how children acquire passives with IPP, two natural questions arise: how do children acquire IPP in active sentence? How do children acquire a passive without IPP? In this section we are going to present some previous accounts for the two acquisition processes. Below we will show that the study by Randall (1982) gives us very helpful implications for the acquisition of IPP in active sentences. Then we will present two different accounts of the acquisition of passives. One is called the

Maturation Theory proposed by Borer and Wexler (1987). The other is the Affectedness Theory (Anderson (1979); Lebeaux (1985); Roeper (1985); Pinker et al (1987))

1. The Acquisition of IPP in Active Sentences

Previous studies that were cited by Randall (1982) showed that "by 3 years of age, children control both accompaniment phrase in NPs (which begin occurring in production data by about 2.5 years, see Bellugi (1967)) as well as verbs with IPPs (which emerge at about 3 years of age (J. de Villiers, personal communication))" (Randall 1982, p.140). In order to show this point more clearly, we will briefly present Randall's study below.

Randall's study focuses on questions such as "What are the structures and constraints of complex morphology? How are they learned?" She conducted two pretests and three experiments in order to determine the place of the Morphological Inheritance Hypothesis she proposed in a theory of the acquisition of morphology. What is relevant to our study here is that she tested her theory with the help of with-phrase complements in her experiments.

According to the adult grammar, morphological complements are different in complement structures of verbs and deverbal form. In general, derived forms are more limited than their bases in the complements they follow. This group, following Randall, will be called non-inheritance morphologically complex form, for they do not automatically inherit the subcategorizations of their underlying forms. The agentive suffix -er belongs to this group. A nominal in -er can only inherit the direct object of its underlying verb, if there is one. (e.g., the painter of the picture), which is the unmarked form, following Carlson and Roeper (1980). Other than that, no additional verbal modifiers may appear, unless they can be given a nominal-modifier interpretation. Therefore, a writer with a candybar cannot be interpreted as a man who writes with a candybar, in which the with-phrase is interpreted as a verbal complement--the instrument of the activity. The only possible reading for this phrase is that the with-phrase should be interpreted as an accompaniment of the agentive noun "a writer".

There are also derived forms, however, that allow multiple verbal complements to appear after the noun, including all of the strictly subcategorized

complements. This group, therefore, is classified as "inheritance" in Randall's term. -ing nominal inherits all the verbal complements beyond the direct objects. The function of the with-phrase in A lady eating with chopsticks is identical with the function of with-phrase in A lady is eating with chopsticks.

The Morphological Inheritance Principle says (Randall, 1982: 220) "A derived item inherits the full subcategorization of its base if it maintains either the category and/or the meaning of the base form. If both of these are changed, the derived form inherits only the unmarked portion of the base form's subcategorization." What this principle predicts would be that children will initially overgeneralize inheritance to all forms which they identify as deverbal. A series of experiments were conducted with a picture identification task on 21 children, ranging from 3.0 to 7.4 years old. A group of 12 adults also participated in the study.

In one of Randall's pretests, she used phrases like a boy without shoes and a lady eating with chopsticks in order to see if the subjects had previously mastered the interpretations of prepositional phrases in simple NPs and VPs. The results provided convincing evidence for her theory. Children's responses were exactly the same with adults--100% correct. This is to say, there is no question that children understand the instrumental reading and the accompaniment reading of with-phrase equally well.

More interestingly, just as the Morphological Inheritance Principle predicted, Randall's other experiments showed strong evidence for overgeneralization of instrumental reading of with-phrase in -er forms. For example, when the children were asked to point out the picture(s) for phrases like a diver without a mask, in addition to the correct choice (the accompaniment reading), children also selected 82% of the time the picture that corresponds to the instrumental and not to the accompaniment reading for the PP. None of the adults, in contrast, selected this picture.

What Randall's studies have told us is that children at really very young ages do not only show their understanding of the with-phrase, but also overgeneralize the usage of the instrumental reading of the with-phrase. The reason why they do so is that they innately know that a with-phrase is a property of

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a verb. They may think that IPP is an internal argument of the VP, or at least in the domain of a verb. The implication we get is that it is possible that children will show their knowledge of IPP in passive sentences, too. More importantly, if this is really the case then IPP may help the child understand verbal passives better due to the properties that IPP has.

2. Previous Accounts for the Acquisition of Passives

It is commonly believed that children seem to have more difficulty in comprehending and producing passives that involve nonactional verbs than passives with actional verbs. Various reasons are offered depending on different theories. In the following, we will examine Borer and Wexler's Maturation theory (1987) first, and then the theory of the Affectedness school (David Lebeaux (1985), Roeper (1984), Pinker et al (1987) to list a few here).

A. The Maturation Theory

The Maturation Hypothesis is a theory of the development of certain aspects of linguistic competence. The rationale of this theory is that assuming that some linguistic principles are innately situated in the brain as innate biological characteristics of human beings, which are not learned but are mature only at a later stage, the same should be true for linguistic principles. They are not constant through development. Rather, they mature and become available only as the child grows older. This will take time because "The biological program underlying the formal principles guides their development over time." (Borer & Wexler, 1987:124). What they claimed certainly sounds like a reasonable theory.

As far as the acquisition of passive is concerned, they presented three facts. The first one is based on Maratsos et al. (1983) that children at a certain stage perform better on passive constructions which involve actional verbs before passives which involve verbs which are not actional. The second observation is that long passives (with *by*-phrase) seem to be acquired later than short passives (Maratsos (1983)). The third observation concerning the acquisition of short passives is that children seem to perform better on short passives that don't have implicit agent (adjectival passives) than the short passives that involve implicit argument (verbal passives). According

to Borer & Wexler, this is because the maturation of the "A-chain" plays a crucial role in the development of the acquisition of passives.

The so-called "A-chain" refers to any structure that involves movement from an argument position to another argument position. As for the verbal passive, the moved object forms a chain with the trace that is left behind after the movement due to the Projection Principle. On the other hand, adjectival passives only show lexically a category change, and they do not involve syntactic movement, therefore no chain will be formed. Borer & Wexler claimed that there is a [+SR] semantic restriction that forms part of Universal Grammar⁹. Therefore, the child somehow knows this restriction innately, and is able to tell that only actional verbs can form adjectival passives, and that nonactional verbs and those actional verbs that are not open to adjectival analysis cannot do so. Assuming this to be the case, they predict that what the child can comprehend and produce first are only lexical or adjectival passives. He will not be able to comprehend verbal passives, for the formation of the "A-chain" is not available to the child yet. Consequently, passives with non-actional verbs and long passives, which are definitely passives that involve "A-chain", will be acquired by the child only when the machinery of the "A-chain" matures.

Given their explanation, it seems all the facts of the acquisition of passive fall out naturally and automatically. Nevertheless, as we examine more carefully their theoretical claims and the evidence they supply, we will see that many things will be called into question.

First of all, although it seems reasonable, actually, it is very revolutionary to claim that linguistic competence should be an instance of biological characteristics that cannot be learned but have to await their maturation at certain stage, if not available at early stage. Nevertheless, it is not

⁹. This [SR], to the best of my understanding, refers to a constraint that can pick out those actional verbs that have the potential to derive adjectival passives. This group of verbs are [+SR] because they can stand all the adjectival criteria we talked about before. Therefore, nonactional verbs and those verbs that can not form adjectival passives are excluded.

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quite clear "what matures when", as Williams phrased it (Roeper & Williams, 1987). Certainly, man's ability to speak is undoubtedly a biological one, which makes man different from other animals. This ability does not show right at birth, but develops and matures at a later stage. To say this ability undergoes biological maturation is as convincing as to say that human sexual characteristics, which do not develop until adolescence, are instances of biological characteristics. We know what matures when more or less for these instances¹⁰. But to say that linguistic ability matures and develops by itself in the process of acquisition needs more research to support it. I don't think Borer & Wexler will have much objection to this point as they also admitted (1987:130) that "maturation of explicit pieces of grammatical competence has not been suggested or studied." Although they did supply evidence to study one of the linguistic principles---A-Chain formation, still there is much to be desired, as we will show later.

Secondly, as far as the acquisition facts go, whether acquisition of short passive should necessarily precede long passive is also questionable. Some investigators have supplied evidence to show that passives with *by* phrase do occur as early as although not earlier than and not as frequent as the occurrence of the short passives. Amy Weinberg (1987, Roeper And Williams:176) cited from the studies conducted by Maratsos and Aabramovitch (1975) who showed "that long and short passives are acquired at the same time in the course of development". In some toy-moving tasks conducted by Roeper and other researchers (1981), the preschoolers (though the exact age is not revealed) gave 87 percent correct responses to agentless passives like the rock was thrown, and 95 percent correct responses to agentive passives like the pig was chased by the horse. This percentage can be taken as direct counter evidence to Borer & Wexler's account. The results showed that children not only do better on long passives than short passives but also can comprehend unambiguous verbal passives as well, for the research-

¹⁰. In fact, Charles Clifton pointed out to me that the notion of maturation is not so clear even in cases other than language. eg., environmental factors (especially hormonal factors) are very important in allowing the maturation of sexual characteristics, just as experience is very important in allowing language development.

ers took special care in choosing verbs that cannot be analyzed adjectivally. More convincing, was Horgan's experiment cited by Borer & Wexler, which showed in an elicited production task that children did use by-phrase occasionally. The boys in the experiment produced the passives with by-phrase 6.45% of the time; girls 19.20% of the time. The children tested were of the ages between 24ms--48ms. Of course there are individual differences across the children, and it did show that children didn't use by-phrase overwhelmingly all the time. Still the above data should not be neglected. Notice that this is a production task. Of course, children tend to speak short sentences instead of long sentences owing to their cognitive or other related limitations. It is common knowledge that people, generally speaking, understand more than what they can speak. Once they speak a sentence, it is rare that they don't know what the sentence means, especially, if a certain structure is used productively. The same should be and is true for children. What's more, just by saying that children only speak short passives doesn't prove or disprove that children only have adjectival but not verbal passives. More convincing evidence needs to be found to prove this point.

Thirdly, it seems that the claim that [+SR] is innate to the child leaves much to be desired. Borer & Wexler used this so-called universal principle to explain why the acquisition of passives with action verbs precedes that of passives with nonaction verbs. Under this view, the child is supposed to know which verb can be used adjectivally and which verb cannot. Only action verbs can be used preminally and as complements for verbs like seem, look, appear, and sound. Nonactional verbs cannot. Therefore, the child should know that actional verbs are [+SR] whereas nonactional verbs are [-SR]. Assuming this is true, only adjectival passives are what children can comprehend and produce. However, this is such a loose restriction that you can find exceptions too easily.

In the first place, as we have said before, not all the action verbs can be used adjectivally. There are quite a lot of cases that can show that the adjectival uses of some actional verbs are no good, besides sentences (24). Nevertheless, this group of verbs, like those verbs that can be adjectival, are also "a subset of actional verbs" (B & W, 1987: 143). The reason they give for why this group is [-SR] is that they cannot form adjectives. Remember nonactional

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verbs are also [-SR]. Then this generalization is not formed by the nature of verbs (actional verbs are so different from nonactional verbs) but by the facts they show. Hence, if those verbs are not open to the analysis of adjectival passives they are [-SR] regardless of whether they are actional or not; then if they are [-SR], no adjectival passives can be derived. With this constraint, this group of verbs and nonactional verbs are grouped together as if there were no differences between the two groups. Otherwise, a further distinction besides [\pm SR] should be made. This is a position that is hard to take.

In the second place, there are cases to show that some nonactional verbs may be [+SR] because adjectival uses of some nonactional verbs are not always bad. Michiko Terada (current volume) presented cases where native speakers of English feel the following are just fine.

- (31) a. hated cat
 b. reported news
 c. preferred reading

Weinberg (1987:178) also listed examples which show that context helps to improve the acceptability of the adjectival use of nonactional verbs.

- (32) After seeing so many orphans, a loved child is a
 pleasure to see.
 (33) The child seems loved, but then she shows up with
 all those bruises.

They themselves also say that there are exceptions like unseen, unknown, unlike. But this time the explanation is that the prefix un is being [+SR]. However, no explanation can be found to account for (31-33). Even if there can, it will be nothing but another principle to take care of another group of exceptions.

These cases show that it is not true that adjectives are formed only by actional verbs and that nonactional verbs cannot be used adjectivally. If this is the case, then how can a child tell that some of the action verbs cannot be used adjectivally, and that some of the nonaction verbs can be. Naturally, it is questionable to claim that this restriction is innate to the child. Besides, this principle cannot explain why passives with nonactional verbs should come later

than passives that have concrete actional verbs. As Roeper pointed out (personal communication) their argument only makes sense if one argues that it is true for adults as well as for children. But as we have shown this is not the case. Certainly, a more convincing theory is needed.

B. The Affectedness Account

Following Anderson's (1979) observation that there is Affectedness involved concerning the formation of nominalizations in English, David Lebeaux (1985, cited in Roeper, 1987 and in Terada, 1987) proposes that there is an Affected Argument Constraint (AAC) as being universal, which holds both in the child's grammar and the adult grammar. It says that Affected Objects are internal arguments of a verb, which must occur within a verb phrase. If an NP is [+affected] in a VP in the deep structure, that object can be moved, and it always leaves a trace next to the verb. Thus, this so called AAC successfully accounts for the fact that nominals and middles and compounds as well as actional passives are all subject to this constraint but not nonactional passives. Thus, the representation of passive is the following:

(34) the boy_i was chased t_i___.

Current linguistic theory says that verbs assign case. An affected object, being an internal argument of the verb, should always get case from the verb. This is taken care of by the Case theory with respect to the Government. However, Lebeaux suggests that a verb requires a syntactic object as well as a semantic one, while a nominalization may only require an object semantically. Hence, while the syntactic analysis allows passive to occur without a semantic trigger, the nominalization requires the semantic trigger of an affected object. Therefore, passives can escape the AAC constraint if the syntactic object is not affected while other structures have to observe the constraint strictly.

With this constraint, Lebeaux also accounted for the fact that children's first passives are only passives that involve affected objects. In turn, this implies that their first passives should involve actional verbs rather than nonactional verbs, for children utilize only the semantic feature but not syntactic feature, as children do not initially assign case. Because of this constraint there will be a stage

at which children cannot comprehend a passive where the subject is the non-affected object like John in John was liked.

As we can see, this constraint plays a very important role in adult grammar. It also illustrates the deterministic character of language acquisition. Although it lacks a clear linguistic definition, as pointed out by Roeper (1985)¹¹, it serves as one instance of some non-linguistic trigger for linguistic knowledge. It doesn't matter if it has a syntactic character or not. The point is that it is crucial for the syntactic analysis. Lebeaux and Roeper convincingly showed that this is an early constraint on passives hypothesized by children. Pinker et al (1987) also argued that Affectedness is one of the major defining properties of the core passives rule.

In spite of the powerfulness of this notion, it should be carefully remembered, following Roeper, that passive structure involves a mechanical interaction between three modules of grammar: case-assignment, morphology, and syntactic movement. Lebeaux assumes five steps of acquisition of the passive construction as cited below:

- (35)
1. Passive form is recorded from surface structure
 2. In the passive where a +affected element moves, a trace is posited with AAC.
 3. A chain is formed. Since a chain is always Case-marked, a child finds out that the object position is not Case-marked.
 4. A child notices that passive morphology absorbs Case since verbs usually Case-mark the objects.
 5. Passive is grammaticalized.

Following this model, Terada (1987) showed that the 3-year-old children successfully posited a trace after the verb in small clause construction. With this theory, Pinker et al (1987) also showed children have a

¹¹ Roeper's study is on the acquisition of implicit arguments. However, on the one hand, passive is a construction that bears implicit argument; on the other hand, in another study of his (1981), he showed that of all the structures (except control structure) that bear implicit arguments, passive is the first to appear in child language. Therefore, we think at this stage, the acquisition of implicit arguments is on the same line with the acquisition of passive.

tendency to passivize nonactional verbs less productively than actional verbs.

Although this model correctly points out that at one step a child notices that passive morphology absorbs Case, it is not quite clear how this becomes possible and how important a role this case-absorption plays. Besides, we are not quite clear when and how the child realizes the existence of the Agent role in the passive. Roeper, following the Affectedness Argument Constraint, in his study of the acquisition of implicit arguments, advances the idea that there are two different ways of identifying trace in the object position of the verb: a) semantic recognition of an internal argument, or b) recognition that *-ed* absorbs case. Following Chomsky's syntactic Projection Principle which prevents deletion of thematic roles through syntactic movements, Roeper proposes that this principle operates in the lexicon as well. In other words, it is the affixation that helps to preserve thematic roles. Verbal affixes are considered to be able to maintain thematic roles. Thus the acquisition of passives or say the acquisition of implicit arguments boils down to the acquisition of affixation. The reason that the child can learn the thematic properties of affixes is that the principles of preservation of the thematic roles by affixation is innate. Therefore the child should simply have to recognize affixes and the preservation of thematic roles is automatic. It follows that as soon as a child can divide between a verb and an affix, she will know that the thematic roles are present. The way to find out if this is so is to seek a correlation between the productivity of affixation and the preservation of thematic roles. Therefore, the Affectedness theory becomes more convincing and more refined.

Since the properties of IPP have something related to this Affectedness, I will continue this study along the lines of the Affectedness Theory.

IV. The Acquisition of Passives with IPP

Now we come to the main concern of our study. We will see how the two theories (Maturational Theory and the Affectedness Theory) we presented above predict the acquisition of passive with IPP. I basically assume the line of the latter one and offer my own ideas on how this will be acquired, given the specialties that IPP has.

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Given that the Maturational Theory says that all the passives at the earliest stage are no other than adjectival passives and that all other passives should be acquired instantaneously only once the ability of forming that "A-chain" is matured, then we are making the following predictions: at a certain stage 1) if a child is confronted with a simple ambiguous passive sentence like the glass was broken s/he will choose the stative reading even though it may be a verbal reading for the adult in the circumstances. If s/he is confronted with a verbal passive, like the horse was kicked, s/he will still treat it as an adjectival or s/he will not be able to give any interpretation at all. Because they cannot realize that there is a trace after the verb. Naturally, random responses are expected from the child.

Under the Affectedness Theory, the child is able to identify the affected object as an internal argument which is moved to the subject position. At the stage that the passive is not grammaticalized, the child will not necessarily get the Agent in the passive if the structure is open to a lexical analysis. However, the realization of the existence of the trace after the verb will be evoked if they are confronted with passives that can only be verbal passives. This process may not be stable since the role that morphology has played in the passive structure may be neglected. But a semantic Agent is possibly realized.

Let us consider in detail what the two theories would say about the acquisition of passive with IPP. Suppose a child is given two sentences like the following:

(36) The board was broken with an ax.

(37) Bert was pushed with one hand.

Notice the verb break in (36) could be ambiguous between adjectival or verbal interpretation. But the verb push in (37) is unambiguously verbal. Under the Maturational Theory, at a certain stage, no passive with IPP will be acquired by the children, since it is unambiguously verbal. Therefore, for (36) the child will either still give an adjectival reading of the sentence in spite of the existence of the IPP, thus giving an accompaniment reading of the with-phrase. Or, (s)he realizes there is an IPP in the sentence, which turns the passive into a verbal passive just like Bert was pushed, then (s)he will not be able to

interpret the sentence anymore. Consequently, (37) will be as hard as or maybe harder than Bert was pushed.

Under the Affectedness Theory, (37) will be interpreted in the same way as Bert was pushed, since a trace will be forced to be present anyway. Put it another way, we know for sure that it is possible that passive with IPP could be acquired by children whose knowledge of passives is not at the last stage yet. However, this theory will not tell us how children interpret the IPP in connection with passive exactly. For example, we will not know for sure how the child gives up the adjectival reading of the verb break in (36) and only interpret it verbally. How can the child find out that the surface subject is not the agent for the instrument?

Following Roeper (1984), I assume that there are two general factors which play an important role in acquisition:

- (38) Children prefer sentences that include agents.
- (39) Children prefer sentences that include affecteds.

These two factors can successfully explain that sometimes children may fail to comprehend a passive sentence because no Agent is present or because the affected object is absent from the position after the verb. However, on the other hand, they can also tell us once the child can find something that can turn to the two rules they are using, the comprehension of the passive structure (and other structures as well) may become easier. Thus, I find it helpful if we manipulate the "triggering" idea discussed in Pinker (1984) and in Roeper (1984). Since the properties of IPP involve both Agent and Affected object, then I assume that in a passive sentence with instrumentals, IPP may in fact serve as a syntactic trigger for the understanding of such a structure. Since the existence of the IPP always implies the existence of the Agent either explicitly or implicitly, the child is forced to find the implicit Agent in such a structure, giving up the adjectival passive interpretation for (36) and strengthening the chain formed with the moved affected object and the trace left after the verb in (37). Therefore, for a passive with IPP, we can say the child uses the Affected Argument Constraint to find out the trace, and s/he uses the Agent-IPP connection to find out the implicit agent. I believe this is true not

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only in the process of acquisition but also in the adult grammar, as we have shown that for an adult a passive without IPP could be ambiguous, too. Thus, the proposal that IPP is a trigger for the implicit argument in passive helps us to solve the problems which cannot be dealt with directly by AAC. In fact, we also find support for this idea from other researchers. Pinker et al (1987) suggested that the extra auxiliary being as in the dog is being chased by the bear may have served as an additional surface cue to the passive. They also cited Stromswold et al. (1985)'s work, whose work shows that at certain ages children's comprehension of passive sentences improves with the number of passive surface cues included in a sentence.

However, since acquisition is affected by many factors (for example, the rare occurrence of passive with IPP, the burden it adds to the process of parsing as IPP makes the sentence longer), we really need to test out if this is true even though in theory we can say that passive with IPP is very possibly to be acquired by children at a fairly young age.

Thus, we have given the reasons and the motivations that are behind our experiments to be presented soon.

To sum up, in this section we have shown the two possibilities predicted by the Maturation Theory and the Affectedness Theory. We have proposed in line with the Affectedness theory, that IPP may be a syntactic trigger that helps the understanding of the structure of passive with IPP for the child. Our next step is to see if there is such an Agent-IPP connection for the child.

V. The Experiment

In this section we are going to put our hypotheses into practice and provide experimental evidence for the predictions that are made by the theories we have talked about. The experiments are designed to seek the answers for the following questions:

1. Is it the case that children's first passives are exclusively adjectival passives?
2. When can children understand passives with IPP? Do they understand Passives with IPP only after they know adjectival passives?

3. How can children understand passives with IPP? Do they know that the subject is disjoint in reference with the instrument (IPP)? What helps them to associate the implicit argument as the Agent to use the instrument?
If children cannot understand passives with IPP, why not? What prevents them from understanding them?

General Introduction:

A picture identification task was designed with three kinds of sentences among 7 three-year-old children and 10 four-year-old children in Sand Hill Nursery School in Leverett, Massachusetts. The three kinds of sentences are 1) IPPs in active voice; 2) short passives; and 3) passives with IPP. (We will call the testing of the three kinds of sentences three tests later on although the three kinds were tested at the same time to individual children.) For each sentence there are three pictures as a set for the children to choose the correct answers from. In order to let children feel at ease with the experimenter so that what we get should be the true responses from the children, the experimenter visited the nursery school several times before the experiment, taking part in whatever the activities were going on at the time. The experiment was done individually with each child, who was asked to listen to a sentence uttered by the experimenter and to identify the correct picture(s) that represents what the experimenter said. Assuming that the experiment should be conducted in such a way that children would not feel bored but interested instead, we asked the children to choose the correct picture by putting a sticker on the pictures. (We found this is a good way to keep the child interested in the task.) Before the child was tested individually, how the experiment should go was explained to all the children jointly with the help of their teachers in the day care center. When it was clear the child knew what (s)he should do, the test sentences were presented in the frame of a question: "Can you show me in which picture 'The boy was digging a hole with a feather' ?" Sentences were repeated if the child did not seem to understand them since the experimenter spoke with a foreign accent. The child was also told that (s)he could choose more than one picture, and (s)he was also assured that there was no right or wrong answers to each sentence since (s)he was encouraged and praised all the time during the experiment. Altogether there

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were 17 testing sentences, for each individual the test took about 10 minutes (at most 15 minutes).

Test of IPP in Active Sentences

Although Randall's experiment already showed that children understand instrumental phrases at a very early age, still IPP in active voice could serve as a kind of pretest for IPPs in passives. As a pretest, during the playing time, the experimenter tried to use IPPs both in active and passive voices when talking with some of the children. Sentences like "Pass me the ball with the bat" "Was the ball hit with my hand?" were used to communicate with the children. The children did seem sensitive to the sentences because sometimes they stopped to reflect the sentences or asked "Why?" There was one girl who had just turned three who did everything accordingly, which relieved the worry we had (We were not quite sure if IPP was too difficult for younger children or not). Nevertheless, we still think it is necessary to include such a test in our experiment. Because on the one hand, the majority of the children were not spoken to with the experimental sentences; on the other hand we wanted to examine if children could show their knowledge of IPP without strongly depending on the reference they could see in the right context.

It is said that good testing sentences are those antipragmatic ones, which can reveal the child's true knowledge of grammar (Randall 1982), therefore, we chose three antipragmatic IPP sentences and two normal IPP sentences in the test. Sentences like The boy was digging a hole with a feather, represent the antipragmatic ones since nobody could use a feather to dig a hole unless the feather was a magic one in a fairy tale. Sentences like The bear held a doll with a basket represent the normal case. The assumption was that if the child could still interpret with a feather instrumentally in spite of the impossibility, we know for sure that the child understands the function of IPP.

Three pictures for each sentence were presented to the children which varied according to the various functions that a with-phrase has (e.g., instrument,

accompaniment of VP and accompaniment of NP)¹². But in each set of pictures, definitely there was one being instrumental while the other two alternate with a picture showing the accompaniment of the Theme (a possible reading); accompaniment of the Agent (an unlikely reading for adults, or a picture that showed no connection between the instrument and the Agent (again an impossible reading). Below is an example with the descriptions of the pictures for the testing sentence shown in (40). (The underlined letter shows the correct picture.)

(40) dig: The boy was digging a hole with a feather.

- Pictures:
- a. The boy with a feather was digging a hole (with-phrase as an extraposed accompaniment of the boy)
 - b. The boy was digging a hole with a feather (IPP)
 - c. The boy was digging a hole which had a feather (Accompaniment of a hole)

The prediction is that if the child can feel the function of the IPP in such kind of sentences, (s)he would choose picture (B) in spite of everything. On the other hand, if the with-phrase sounds like something that described the object "the hole", or the subject "the boy", the child would choose picture (c) which showed the object accompaniment reading and picture (a), which is the least possible reading for the adult.

Scoring

Although the children were encouraged to choose whichever picture they wanted, and some children chose more than two pictures, only the first choice of IPP was considered correct in scoring the test. This was so because we believed the first choice would reveal the true spontaneous interpretation of the child's understanding of the sentences. Also this test was only to make sure that children do know the function of IPP in active voice so that IPP shouldn't be considered

¹². Only these three kinds of with-phrase can take concrete objects. The function of the with-phrase that can take abstract object (e.g., with passion; with ease) is excluded because we assume the acquisition of this kind should come much later than that of the other three kinds.

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as a decisive factor if children failed to comprehend a passive with IPP in Test 3.

Results and Discussion

Results are shown in Table 1. As two types of sentences are included we label them "antipragmatic" and "non-antipragmatic" respectively in the table. There could be four interpretations according to the arrangement of the pictures. Column (a) indicates the IPP interpretation which certainly is the result we want (also it is the adult's interpretation). Column (b) is the accompaniment of the Theme reading, taking with-phrase as an NP complement. Accompaniment of the Agent (Acc of Ag) is column (c), which is a result of extraposing the complement of the subject (very unlikely in adult grammar in this respect). The least possible reading is that the with-phrase is "doing" something without any connection with an Agent, which is represented in column (d).

TABLE 1
Distribution of responses to IPP in Active Sentences

Age	(a)	(b)	(c)	(d)
3.0--4.0	49%	28%	20%	3%
4.0--4.11	68%	18%	8%	6%
Total	58.5%	23%	14%	4.5%

As we can see from the Table that the percentage was not very high for the instrumental reading in active sentences. However, we still have reasons to say that instrumental reading is the preferred reading. Notice when compared to other responses IPP reading is the predominant response. If we take the ambiguity of a with-phrase into consideration, that is, a with-phrase is usually ambiguous between an instrument reading, which is a modifier of a verb, and an accompaniment reading, which is a modifier of a noun, then the result could be taken as a further evidence for Randall's conclusions--in spite of the ambiguity children still favor the IPP reading over others. (Of course, the ambiguity could also be taken as one factor for the low readings.) Even in antipragmatic sentences the IPP interpretation is still the dominant results. This is shown in Table 2. The recorded results are only the IPP reading.

TABLE 2
Responses to Antipragmatic and Non-antipragmatic sentences

Age	Antipragmatic	non-antipragmatic
3.0--3.11	52.3%	43%
4.0--4.11	73.3%	60%
Total	62.8%	51.5%

If we say that children didn't understand the function of IPP in active sentences and that they hit upon the correct answer for The boy held a doll with a basket only because of the help of the inference in the possible context, (the 3-year-old group got 80% correct response on this one) then it would be really hard to explain why children gave a predominant instrumental reading on sentences such as Big Bird touched Grover with Bert, a highly unlikely situation in real life (3-year-old group 57% and 4-year-old group 70%).

Therefore, the results of this test suggest the following conclusions. First, the predominant responses to the IPP reading especially the results drawn from the antipragmatic ones indicate that children do know the function of IPP. Secondly, our experiment did show that this ability grows with their age, that is, IPP is a bit difficult for younger children.

Test of Short Passives

Two points were under consideration as the experimental sentences were designed. First, as different kind of verbs bear different properties with respect to short passives, special care was taken to choose the verbs used in the experiment. We tested 6 verbs, two pure verbal verbs, two adjectival verbs and two ergative verbs. Again, for each sentence there were three pictures, one being adjectival; one being verbal; and one in active voice. We determined whether it was really true that children's interpretations of short passives are influenced by different verbs. Secondly, we wanted to determine whether it was really true that there is a stage at which children's passives were only adjectival passives. In order to test this point, we arranged the pictures in each set in such a way that both adjectival and verbal readings were

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available. (that is, we forced an adjectival reading for verbs like chase, which are not open to adjectival analysis). Thus, based on different theories as we sketched previously, we would predict that if children could only understand adjectival passives, they would certainly choose the adjectival reading for those ambiguous verbs (like the bear was washed) and may also choose the forced adjectival reading for verbal passives (creating a chased dog for the dog was chased). Or they would simply be at a loss of what to do and give random results for those verbs that are not open to adjectival passives. Of course, on the other hand, if the results were the opposite--children gave verbal passive interpretations anyway regardless of what type of the verbs are--we may find evidence to argue for the "affectedness" theory. This is because we didn't include nonactional verbs in the tests. No matter what kind the verbs are (adjectival, verbal or ergative), once they are interpreted as passives, they all involve moved "affected" objects as the subjects.

Results and discussion

Again, we only take the first spontaneous response as the children's interpretation of the tested sentences. The responses are the following--verbal passive, adjectival passive, and active sentence readings. These are represented as (a), (b) and (c) respectively in Table 3.

Generally speaking, as shown below, children prefer verbal passives across the board. The 3-year-old group was as good as and even slightly better than the 4-year-old group. But this could not be evidence for Borer & Wexler's theory because they did it better not because they interpret all the sentences as adjectival passives but rather as verbal passives:

TABLE 3
Distribution of Responses to Short Passives

Age	(a) verbal	(b) adjectival	(c) active
3.0--3.11	66.9%	12%	21.1%
4.0--4.11	61.6%	21.7%	16.7%
Total	64.5%	16.5%	19%

Since Borer & Wexler (1987) claimed that the reason why at a certain stage children cannot comprehend verbal passives is that the A-chain formation is not matured, one would expect that not only would there be differences between different verbs, the ages of the children should also make differences. Therefore, we show Table 4 and Table 5 for 3-year olds and 4-year olds respectively. Again, (a), (b) and (c) represents the responses given by the children as verbal passives, adjectival passives, and active sentences with respect to different verbs.

TABLE 4
3-year olds responses to
short passives with respect to different verbs

Verb Type	(a)	(b)	(c)
"pure" action verbs	57.5%	17%	35.5%
Adjectival verbs	100%	0%	0%
Ergative verbs	43%	21%	36%
Total	66.9%	12%	21%

TABLE 5
4-year olds responses to
Short Passives with Respect to Different Verbs

Verb Type	(a)	(b)	(c)
"Pure" action verbs	75%	10%	15%
Adjectival verbs	55%	10%	35%
Ergative Verbs	35%	30%	35%
Total	61.5%	16.5%	19%

Treating passives in general as verbal passives was above chance for both age groups. We find there was no way to interpret the data as any evidence for "adjectival only" hypotheses. It is true that for the younger group, children's performance was even much better for the adjectival verbs than the older children. But this is not a supporting evidence for Borer & Wexler's theory either. First, adjectival verbs are open to both verbal and adjectival analysis,

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however, children especially the younger children, chose the verbal reading instead, suggesting that adjectival passive is not the only and the first available interpretation of short passives. Secondly, there is no way to say this is the result at the stage after the maturation of the A-chain formation either. How can we expect that this maturation process will first take place among the three-year olds rather than the four-year olds? Thirdly, given a closer look at the score we got for adjectival passive reading in general, at best, the three-year olds responded 12% of the time and the four-year olds 16% of the time. Such poor performance on adjectival passive across the board only suggests that once verbal reading is available, children will go to the verbal reading. They did not stick to the adjectival reading of the passive even though we assume that adjectival passives are the first to be acquired. Certainly it is the "Affectedness" theory that is at work.

The only complication of our findings is that children performed poorly on ergative verbs for both groups. The equally distributed responses were roughly those of chance, indicating the children didn't control this kind of passives. This is not surprising as the experiments made by Roeper showed similar results (see Roeper (1984)). For sentences like "The cat is being hidden" 3-4 year olds got 46.7% correct. This was because ergative verbs show a transitive and intransitive variation and within transitive variation there is also verbal and adjectival variation once passivized. Following Roeper, we would say that children were using largely cognitive inference in the analysis of the passive. In an ergative passive, they would find themselves an Agent (if it can be a possible Agent) even if such an Agent is not available in the surface, as ergative verbs can be interpreted intransitively. This view was held by Marantz (1982), according to Pinker et al (1987), that children use agent referents as their subjects. But for our test, there might be another possible explanation suggested by Jill de Villiers (personal communication) that is, the idea that children didn't get them right simply because of the irregular forms of the passive participles of these verbs we chose (eaten, sunk,) (but 100% on cooked as in the Gingerbread boy was cooked). Certainly a more thorough study is needed to prove this point.

To sum up the discussion on this test, although the 64.5% success rate suggests less than complete

mastery, it certainly indicates that it is not the case that children cannot comprehend verbal passives at all, which means this is contrary to the predications made by Borer & Wexler's theory. Even though we assume this is the stage that "A-chain" formation is matured, or in the process of maturing, the fact still needs an explanation that 3-4 year olds did better than 4-5-year olds on adjectival passives and that the adjectival reading was not largely chosen even though that reading was available. Therefore, the evidence we find only strengthens the "affectedness" hypotheses. But children's poor performance on ergatives indicates that children may be reluctant to passivize those sentences for which they can easily find possible Agents first.

Test of IPP in Passive Sentences:

This group was our central concern because we wanted to see if first of all children could understand passives with IPP at all or not since they cannot be analyzed as adjectival passives. We wanted to see if IPP could serve as a syntactic trigger for the understanding of the passives.

Although no matter what kind of verb is used with IPP, only the verbal reading is acceptable, we still had two verbal, two ergative and two adjectival verbs included in the six testing sentences. Again there were three pictures in each set. Pictures were arranged to see (1) if children ignore the passive morpheme and the with-phrase completely so as to give the with-phrase an object interpretation since it appears at the end of each sentence, and (2) if they interpret the with-phrase as the accompaniment reading or not. Even though the accompaniment reading was not preferred, we still let it alternate with the agent accompaniment and the theme accompaniment as a distracter in the pictures. The following example shows how the pictures were arranged:

- (41) lift: Ernie was lifted with a shovel.
 Pictures: a. Ernie was lifting a shovel.
 (Taking the instrument as the object of the verb)
 b. Ernie who was holding a shovel was lifted
 by Bert with two shovels.
 (Accompaniment with the theme)
 c. Ernie was lifted with a shovel by Bert.
 (instrument used by the implicit agent)

Notice we alternate the number of the instruments in the pictures because we wanted to make sure children

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get the right responses due to their true linguistic competence and not merely because of the inferences they could find in the pictures. Also as we did the experiment we asked the children to identify the instruments in each picture first so as to make sure that children shouldn't fail the sentence just because they didn't see the instruments clearly.

Results and discussion

Children responded in 3 ways as the pictures were arranged. Table 6 gives the gross results on this test. Table 7 shows the results of the three-year olds and Table 8 the results of the four-year olds. (a) represents the IPP reading, (b) the accompaniment reading of the theme (the surface subject); (c) the object reading. In this test, we see that the verbal reading is preferred regardless of the differences between the verbs.

TABLE 6
Distribution of responses to IPP in Passive

Age	(a)	(b)	(c)
3.0--3.11	59.7%	26%	14.3%
4.0--4.11	73.5%	18.3%	8.2%
Total	66.6%	22.15%	11.25%

Although the results suggests a less than complete mastery of the structure, still it supplies very important implications. First, we are assured of the fact that IPP is not a factor that will block the understanding of the passive structures. Second, not only that, we see once again that verbal (thus, instrumental) were favored more than accompaniment readings and the surface object reading. Put it another way, children do have passives in their mind. Third, the better performance by the four-year olds seems to suggest that IPP could be serving as a syntactic trigger for the verbal passives (61.6% in short passives, 73.5% in this test). Three-year olds showed a slightly worse performance. However, as we compare the performances on the ergative verbs we noticed that not only the four-year olds did better than they did for the short passives, the three-year olds showed the same tendency.

TABLE 7
Distribution of responses of 3-year olds to
Passive with IPP with respect to different verbs

Verb Type	(a)	(b)	(c)
adjectival verbs	78%	14.5%	7%
Ergative verbs	71.5%	21%	7.5%
"pure" action verbs	36%	42.5%	21.5%

TABLE 8
4-year olds' responses to passives with IPP
with respect with different verbs

Verb Type	(a)	(b)	(c)
adjectival verbs	80%	15%	5%
Ergative verbs	80%	15%	5%
"pure"action verbs	50%	30%	20%

As a matter of fact, we wouldn't expect any differences across the verbs in passives with IPP, for any passives with IPP will be unquestionably verbal. What is striking is when you do compare the different performances on the ergative verbs with and without the IPP. Without IPP, the performance was too poor to be considered as any valuable information to prove that children have verbal passives. With IPP, the performances are all above chance level. Therefore, I consider this as a suggestive conclusion that IPP could be serving as a syntactic trigger.

It seems that the results we got suggest the following explanation. It may be the case that the child could not feel the trace of a short passive sentence if the child could interpret the subject in a sentence which consists of a verb that could be transitive and intransitive. Therefore, he could not feel that the real Agent is not the subject. However, when an instrument appears in the sentence it acts like a syntactic trigger to activate the affectedness of the verb and to figure out that a trace is left behind the verb, and therefore it is the affected argument that is moved to the subject position of the passive. Furthermore, since an instrument requires an Agent

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(although not vice versa) and if they cannot find an explicit Agent in the surface they will infer an implicit agent in the deep structure. Therefore, the association of the instrument and the Agent is complete.

Unfortunately, this theory runs into problems in explaining the fact that children do better with "pure" actional verbs in short passives, but poorly with that kind of verbs with IPP. If there is such a thing that IPP is a trigger for verbal passives, why is it that it didn't seem to at work this time? (Three-year olds got verbal passives reading 57.7% in short passives and 36% in verbal passives with IPP).

We should take some factors into consideration. It is true that passives with IPPs are much longer than short passives. Children tend to comprehend short sentences better. Besides, passive in general is rare in the adult grammar. But passives with IPPs are even rarer. Although in the first test we showed that children do understand the function of IPP, yet they do appear that they still have difficulty understanding the structure well, especially the younger children. In this respect, we should say more research should be done to study this¹³. However, as we said before there shouldn't be any differences across the verbs once IPP is added. The performance of passives with IPP in general is not too bad. Table 9 gives a

¹³. Other factors may concern the validity of the tests. In one instance, IPP is represented by such instrument as involving one part of the body (Bert was pushed with one hand). One question we can raise is whether it should be considered as the same kind of instrument, as with a knife. Maybe this kind of instrument should have been avoided in designing the test in the first place.

Some researchers in an informal meeting of language acquisition held in Amherst suggested that from their experience, children are found to be fond of a particular picture if they think it is funny or for whatever reasons. I also found some children brightened up at some pictures and pointed at the pictures before I finished the sentences. Even though I repeated myself several times they would still insist on the same pictures.

But all these do not mean that they are exactly the factors that prevent the child from understanding the structure in general. These only suggest more careful study should be done.

comparison between responses for the short passives and for the passives with IPP.

TABLE 9¹⁴
Comparison with the Results
Shown in Test 2 and Test 3

Age	Short passives	Passive with IPP
3.0--3.11	66.9%	59.7%
4.0--4.11	61.6%	73.5%
Total	64.5%	66.5%

I assume the differences were not significant enough to make the claim that children understand passives with IPP better. But our experiment still favored the Affectedness Hypotheses and the "triggering" idea in that we notice that among the 3-4-year-old group, 57% of the children do passives with IPP better or as good as they do on short passives. This tendency is even greater when comparing the performances of the 4-5-year old group. 90% of them do passives with IPP better than they do on short passives. Although there is one exception (a four-year-old whose performance on short passive with IPP was not as good as his performance on short passive), the 90% indicates that the results from the 4-year olds are consistent and is good enough for us to say that something is playing a role in helping the children understand verbal passives and to detect the implicit arguments in the passive.

One thing is certain from the table that at least children's knowledge of verbal passives is consistent. Children are more willing to choose the verbal reading when such a reading is available. They are forced to choose the verbal reading anyway once there is only the verbal reading available. With this, we conclude the discussion of the three tests in this Section.

¹⁴. In order to see if the results are significant enough we need to do statistical analyses. All the results shown here are only suggestive. Because, without the statistical analyses, we only interpret them in an intuitive way.

VI. Conclusions and implications

Let's return to the initial predictions made by the two theories.

1. We have found that it is not really true that children's passives at a certain stage are exclusively adjectival. These findings actually follow the conclusion made by Pinker et al (1987:263) that "there is no reason to believe that young children's passives are exclusively adjectival."

2. We do find sufficient evidence to say that children do have verbal passives. If children did not have verbal passives, then they should always take a stative interpretation in short passives and in passives with IPP (choosing the accompaniment reading for the with-phrase). Although the results do not show that children interpret passives all as verbal passives (because they are not all verbal) at least the score of more than 50% of the responses indicate that they do have the verbal reading.

3. We have found that passives with IPP are not only possibly acquired by the children but also we have shown that IPP might be a syntactic trigger for the implicit argument of the passive structure, although a more thorough study is still needed¹⁵. Again, if IPP cannot trigger the implicit agent then no difference should be expected for the responses of ergative verbs with or without IPP, for there is no reason cognitively to assume that the subject is the object with a

¹⁵. Besides IPP, there are other adverbials that can enforce the verbal reading of a passive sentence.

- (a) The table was broken with difficulty.
- (b) The plastic sheet was torn easily.

Adjectival as the verbs are, the adjectival reading disappears completely with the help of the underlined adjuncts. The success of IPP helping the child get the verbal reading of passive suggests that these adjuncts could serve as some syntactic triggers, too. Of course, this also indicates that more work should be done in order to establish this theory on a solid ground.

transitive verb because ergatives allow this kind of a reading anyway for subjects.¹⁶

Of course, our experiment does not say that Borer & Wexler's theory is totally unacceptable. Although the data we have does not favor this analysis, we do not have enough evidence to say the theory is not right either. As Charles Clifton put it, "The children we tested may be too old." That is, the children we tested are past the stage if there is one that requires the maturation of the "A-chain" formation. However, this proposal fits only a portion of the data. It fails to account for the fact that 4-5 year olds do better on passives with IPP than on short passives. It fails to account for the unavailability of adjectival passives to children in short passives, especially when their mastery of verbal passive is not complete.

It is still the case that we don't know when this "A-chain" matures. We don't know how young the child are considered as not past the stage. I tested a 2.8-year old, who is said to be one of the brightest and one of the most verbal children in the Sand Hill Nursery School. The results were too poor to be taken as valuable information to prove she really knew any passives. However, there was also a 2.9-year-old boy whom I tested in his home, who showed at least a partial control over the passive. He got 60% of the time on the instrumental reading of the first test (IPP in active sentence); 83% on the verbal reading of the short passives, and 50% correct answer on passives with IPP. Of course, there are differences across individual children, but the first child we tested was by no means not clever and the second was by no means a child prodigy. (Of course other pragmatic matters should be taken into consideration. For example, the first child may not feel as comfortable with the experimenter as the second child. The first child met the experimenter only once while the second many times; the first one took the test alone with the experimenter at school but the second one took the test at home with the experimenter when he knew that his mother was in another room.) Even though there is a time difference (the first child is one month younger than the second one) and if we assume there is such a stage, we would expect that this process would be really short.

¹⁶. This point was made to me by Roeper through personal communication.

Certainly, a more thorough experiment is needed with the youngest children. Otherwise, the data we have is not really sufficient enough to prove or disprove completely one theory or another.

To sum up, we did an experiment to find out how children comprehend passives with IPP. Our study somehow proves that the Affectedness is a core principle for language acquisition, while at the same time partially challenges the Maturation Hypothesis. It also has raised an important question as to whether there is such a syntactic trigger for the implicit argument in the passive (and other structures). It certainly has suggested that a wider application of this proposal is possible if more research could be done in this area.

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Appendices¹⁷

I. Sentences used in Test of IPP in Active Voice.

1. Grover dropped the doll with a bag.

3-4; 4-5 Pictures:

- | | | |
|---------|-----|---|
| 50% | (a) | Grover dropped the doll with a bag.(IPP) |
| 14% 20% | (b) | Grover with a bag dropped a doll.(accompaniment of the Agent)==(Acc of Agent) |
| 86% 30% | (c) | Grover dropped a doll that is together with a bag. (accompaniment of the theme)== (Acc of Th) |

2. The bear held the doll with a basket.

Pictures:

- | | | |
|---------|-----|---|
| 14% 20% | (a) | The bear was holding his own arms and didn't hold the basket that had a doll in it.
(IPP has no connection with Agent) |
| 10% | (b) | The bear was holding a doll together with a basket.(Acc of Th) |
| 86% 70% | (c) | The bear was holding the doll with a basket. (IPP) |

3. Big Bird touched Grover with Bert.

Pictures:

- | | | |
|---------|-----|---|
| 20% | (a) | Big Bird touched grover who was with Bert. (accompaniment of the theme) |
| 57% 70% | (b) | Big Bird used Bert to touch Grover.(IPP) |
| 43% 10% | (c) | Big Bird who was together with Bert touched Grover.(Acc of Agent) |

¹⁷. For the sake of convenience, the children's responses to each picture were given in the two left columns. The numbers represent the percentage. The example pictures of each set are attached at the end.

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3-4; 4-5

4. Ernie opened the door with a piece of thread.

Pictures:

- 57% 80% (a) Ernie used a piece of thread to open the door (IPP)
43% 10% (b) Ernie was opening the door which has a piece of thread on the door handle. (acc of Th)
10% (c) The thread opened the door while Ernie was near the door. (IPP has no connection with the Agent)

5. The boy dug a hole with a piece of feather.

Pictures:

- 14% 20% (a) The boy with a feather was digging a hole. (Acc of Agent)
43% 70% (b) The boy used a piece of feather to dig a hole. (IPP)
43% 10% (c) The boy dug a hole which has a piece of feather. (Acc of Th)

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II Sentences in the Test of Short Passive

- 3-4; 4-5; 1. chase (verbal): The dog was chased.
- Pictures:
 29% 70% (a) The dog was chased (by a cat)
 (verbal passive)
 57% 20% (b) The dog was chasing a cat. (active
 sentence)
 14% 10% (c) The dog was chased. (running)
 (forced adjectival passive)
2. wash (adjectival): The bear was washed
- Pictures:
 20% (a) The bear was washing a towel (active)
 100% 70% (b) The bear was washed. (by Bert) (verbal)
 10% (c) The bear was washed. (no agent)
 (adjectival)
- 3-4; 4-5 3. cook (adjectival) The gingerbread boy was cooked.
- Pictures:
 30% (a) The ginger bread boy was cooking
 a fish. (active)
 30% (b) The ginger bread boy was
 cooked (no agent) (adjectival)
 100% 40% (c) The ginger bread boy was cooked.
 (by a cook) (verbal)
4. sink (ergative): The boat was sunk.
- Pictures:
 43% 30% (a) The boat was sunk. (by a man)
 (verbal)
 14% 30% (b) The boat was sinking. (active)
 43% 40% (c) The boat was sunk. (no agent)
 (adjectival)
5. eat (ergative): The fish was eaten.
- Pictures:
 29% 30% (a) The fish was eating (water-
 weeds). (active)
 43% 40% (b) The fish was eaten. (by a cat)
 (verbal)
 29% 30% (c) The fish was eaten. (no agent)
 (adjectival)

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XIAOPING TENG

6. kick (verbal): The horse was kicked.

Pictures:

- | | |
|---------|---|
| 20% | (a) The horse was kicked. (no agent)
(forced adjectival) |
| 86% 80% | <u>(b)</u> The horse was kicked (by a
dog). (verbal) |
| 14% | (c) The horse was kicking a dog.
(active) |

PASSIVE WITH INSTRUMENTAL PREPOSITIONAL PHRASE 361

III. Sentences used in the Test of Passives with IPP

1. roll (ergative): The cat was rolled with one stick.

3-4; 4-5; Pictures:
10% (a) The cat with a stick was rolled (-IPP)

14% (b) The cat was rolling a stick. (with as object)

86% 90% (c) The cat was rolled with a stick. (by a rabbit) (+IPP)
2. push (verbal): Bert was pushed with one hand

Pictures:

43% 60% (a) Bert was pushed with a hand. (by Ernie) (+IPP)

43% 20% (b) Bert was pushing a hand. (with as object)

14% 20% (c) Bert with one hand was pushed . (by Ernie) (Acc of Th)
3. lift (adjectival): Ernie was lifted with one shovel.

Pictures:

14% 10% (a) Ernie was lifting a shovel. (with-phrase as object)

29% 10% (b) Ernie with a shovel was lifted. (by Bert) (Acc of the Th)

57% 80% (c) Ernie was lifted with a shovel (by Bert). (+IPP)
4. tickle (verbal): Grover was tickled with one doll.

Pictures:

29% 40% (a) Grover was tickled with a doll. (by Bert) (+IPP)

71% 40% (b) Grover with a doll was tickled. (by Bert)

20% (c) Grover was tickling a doll. (with-phrase as object)

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XIAOPING TENG

3-4; 4-5

5. Wave (ergative): The flag was waved with two hands.

Pictures:

29% 30%

(a) The flag with 2 hands on it was waved. (Acc of Th)

57% 70%

(b) The flag was waved with 2 hands. (by Bert) (IPP)

14%

(c) The flag was waving 2 hands. (with-phrase as object)

6. break (adjectival) The board was broken with an ax.

Pictures:

100% 80%

(a) The board was broken with an ax. (IPP)

20%

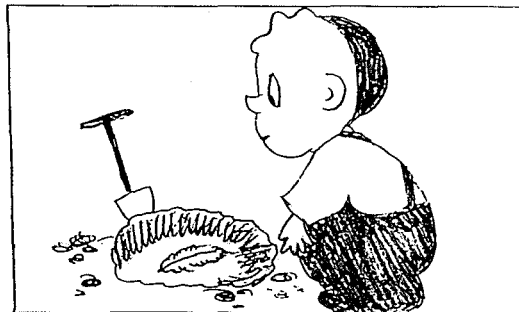
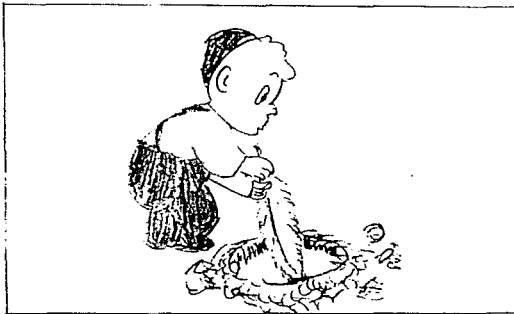
(b) The board with an ax was broken (with a hammer). (Acc of Th)

(c) The board was breaking an ax. (with-phrase as object)

PASSIVE WITH INSTRUMENTAL PREPOSITIONAL PHRASE 363

I. Example pictures used in the Test of IPP in Active Voice.

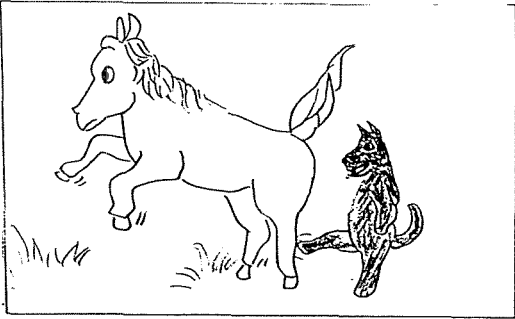
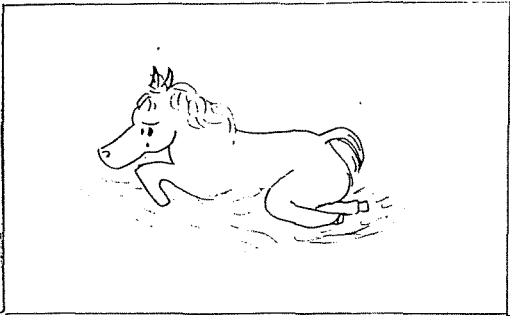
The boy dug a hole with a piece of feather.



II. Example pictures used in the Test of Short Passive

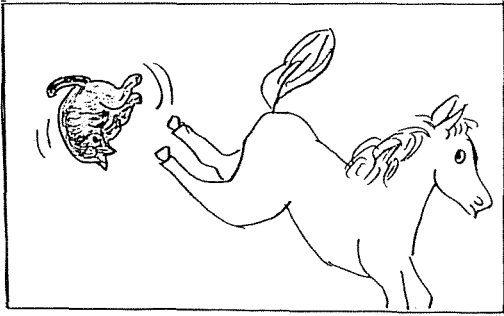
The horse was kicked.

-1-



-2-

-3-

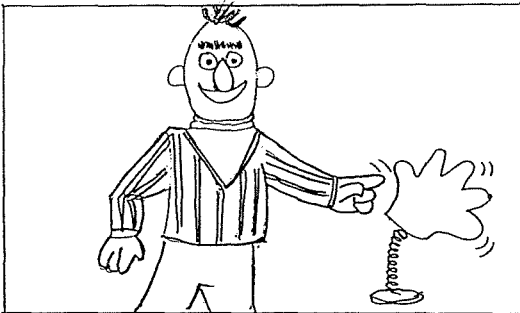
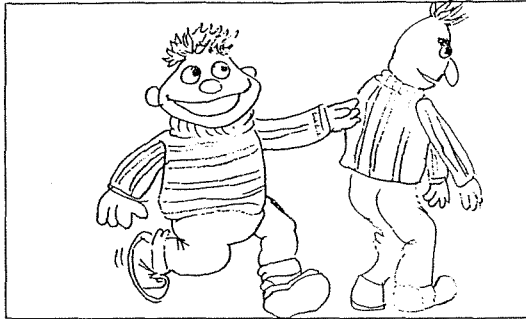


PASSIVE WITH INSTRUMENTAL PREPOSITIONAL PHRASE 365

III. Example pictures used in the Test of Passives with IPP

Bert was pushed with one hand.

-1-



-2-

-3-



