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# **A CONCEPTUAL APPROACH TO TRACK DESIGN CHANGES WITHIN A MULTI-DISCIPLINARY BIM ENVIRONMENT**

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# 1 AN APPROACH TO TRACK DESIGN CHANGES WITHIN A 2 MULTI-DISCIPLINARY BIM ENVIRONMENT

3 **Abstract:** Successful management of design changes is critical for the  
4 efficient delivery of construction projects. Building Information Modeling  
5 (BIM) is envisioned to play an important role in integrating design,  
6 construction and facility management processes through coordinated  
7 changes throughout the project life-cycle. BIM currently provides  
8 significant benefits in coordinating changes across different views in a  
9 single model, and identifying conflicts between different discipline-  
10 specific models. However, current BIM tools provide limited support in  
11 managing changes across several discipline-specific models. This paper  
12 describes an approach to represent, coordinate, and track changes within a  
13 collaborative multi-disciplinary BIM environment. This approach was  
14 informed by a detailed case study of a large, complex, fast-tracked BIM  
15 project where we investigated numerous design changes, analyzed change  
16 management processes, and evaluated existing BIM tools. Our approach  
17 characterises design changes in an ontology to represent changed  
18 component attributes, dependencies between components, and change  
19 impacts. It explores different types of dependencies amongst different  
20 design changes and describes how a graph based approach and  
21 dependency matrix could assist with automating the propagation and  
22 impact of changes in a BIM-based project delivery process.

23 **Keywords:** building; design management; ontology; dependency matrix;  
24 change management; level of development; model evolution, traceability

25 **Introduction**

26 Changes in design are inevitable due to the iterative and exploratory nature of design.  
27 The content and structure of design is not static but subject to continual changes even  
28 after construction has started, particularly on fast-track projects. Design changes often  
29 generate unanticipated side effects on project cost, schedule and quality (Lee and Pena-  
30 Mora 2007), which can become a major cause of project delay, cost overruns, defects,  
31 and even project failure (Hao et al. 2008). The real impacts of changes are often  
32 overlooked or revealed later in the process when making adjustments or identifying  
33 alternative solutions (Isaac and Navon 2009). Current tools and methods used for  
34 project planning and design provide limited support for evaluating the consequences of  
35 a specific change before the project plan and design are fully updated (Hegazy et al.  
36 2001; Motawa et al. 2007). The timely identification and analysis of the consequences  
37 of design changes is therefore critical for the successful delivery of construction  
38 projects, particularly as more projects are being executed using fast-track approaches.

39 Building Information Modeling (BIM) is envisioned to play an important role in  
40 identifying the impacts of project changes. BIM is becoming more prevalent in the  
41 construction industry (Arayici et al. 2011) and change management has been identified  
42 as an important application area (Aslani et al. 2009; Hajian and Becerik-Gerber 2009).  
43 Moreover, the capability of integrating time and cost within BIM (4D or 5D integration)  
44 along with the prospects for the integration of data from multiple disciplines and  
45 sources via BIM-servers, demonstrates the significant potential of BIM to facilitate the  
46 management of changes throughout the project life cycle. However, the current reality  
47 of BIM use on construction projects demonstrates that significant challenges remain in  
48 realising this vision. Project teams still rely largely on subjective expert opinion and

49 manual analysis of 2D drawings to identify the impact of changes due to the lack of  
50 computer-based support required by practitioners (Navon and Isaac 2009).

51 This paper describes a conceptual approach to represent, coordinate, and track  
52 design changes in the context of a collaborative multi-disciplinary BIM environment.  
53 We developed this approach by analyzing design changes and change management  
54 practices on a large, complex fast-track BIM project, and by evaluating the capabilities  
55 of state-of-the-art BIM tools to assist with the change management process. Our  
56 approach characterises design changes in an ontology to represent changed component  
57 attributes, dependencies between components, and change impacts. We propose a  
58 graph based approach and dependency matrix as a mechanism to assist with the  
59 automatic propagation and impact of changes in a BIM-based multi-disciplinary project  
60 environment.

## 61 **Related Research**

62 Research on change management systems has tended to focus on documenting best  
63 practice for managing changes (e.g., CII 1994; CIRIA 2001), developing change  
64 management systems (e.g., Ibbs et al. 2001), evaluating the effects of project changes  
65 on certain project elements (e.g., Lee et al. 2004), and investigating the IT-based change  
66 management approaches in construction (e.g., Soh and Wang 2000). A number of  
67 research efforts (e.g., Mokhtar et al. 1998; Hegazy et al. 2001) have focused on  
68 developing information models intended to improve the coordination of design  
69 information through the management of design changes. Mokhtar et al. (1998)  
70 presented a central database of building components data to track past changes and  
71 assist in the planning and scheduling of the future ones. Isaac and Navon (2009)  
72 developed a graph-based model for automatic identification of the possible

73 consequences of changes prior to their implementation in the design and planning of  
74 building projects. The model utilizes available sources of project information in order to  
75 identify the impact of changes on cost, schedule and performance of the project. More  
76 recent publication of these authors focuses on extracting information about existing  
77 project elements and their relationships from BIM using the IFC platform. Their  
78 approach however considers only the spatial relationships between different systems or  
79 components of a building and does not consider the functional or operational  
80 dependencies of different parts or components within a system (this issue will be  
81 discussed further in subsequent sections).

82         Other research efforts have investigated the utility of BIM tools and AEC-  
83 related software for automating the tracking and management of project changes. Wang  
84 et al. (2007) presented a semi-automated approach for detecting the differences between  
85 versions of a data model. Their approach incorporated a taxonomy for classifying  
86 version differences. Akcamete et al. (2009) performed two construction case studies in  
87 order to understand the types of changes that occur during the life-cycle of a project,  
88 with a particular focus on facilities management and maintenance activities. They  
89 discuss some challenges associated with managing such changes to the successive  
90 updates of building information models. They also investigated the capability of  
91 commercially available BIM tools to address these challenges. Nour and Beucke (2010)  
92 introduced an approach to integrate object versioning (as a change management  
93 concept) and the IFC model (as a neutral building information model) to address  
94 requirements of design change management in a multidisciplinary collaborative  
95 environment. Koch and Firmenich (2011) goes further in providing an approach for  
96 integrating existing version-oriented information (i.e. state-oriented descriptions of

97 virtual buildings) with change-oriented information using processing-oriented  
98 modelling.

99         Researchers have emphasized a need for adding change-based information or  
100 semantics to existing building models (Koch and Firmenich 2011), providing  
101 computational support for identifying the impact of changes (Akcamete et al. 2009), and  
102 developing computer-based tools in order to automate the change management process  
103 (Isaac and Navon, 2008). Navon and Isaac (2009) identified three general problems or  
104 shortcomings of the existing computer models of building projects:

105         (1) Project data are currently stored in different sub-models, e.g. requirement,  
106             design, planning, risk, etc, which are not fully integrated (the need for an  
107             integrative model).

108         (2) It is very difficult to update the building model following changes in the project.  
109             The model should allow continuous modification of data and the automatic  
110             propagation of changes (the need for an adaptive model).

111         (3) Building models should incorporate uncertainties and link the project  
112             components explicitly both to the identified sources of uncertainty, and to the  
113             assessed probability distributions of the cost and duration of the planned  
114             activities (the need for a stochastic model).

115 Our research mainly focuses on the first two requirements identified by Navon and  
116 Isaac (2009) which are also exemplified by (Koch and Firmenich 2011), i.e. the need for  
117 an integrative and adaptive model, and attempts to lay down a foundation to address  
118 these requirements in the context of BIM-based change management. It is argued that  
119 an adaptive and integrative BIM would be able to maintain the consistency of data  
120 throughout the building model when a specific component is modified and would  
121 support the automatic propagation of changes, thereby relieving users from having to

122 perform the necessary adjustments of the model manually. We investigate the  
123 conceptual characteristics of design changes that have an essential role in such  
124 adaptation and integration processes and provide some insights to assist with  
125 automating the propagation of changes in a BIM environment.

126 This research builds on the ontology developed by Akcamete et al. (2009) to represent  
127 design change characteristics and extend it to provide richer change attributes and to  
128 represent change patterns and dependencies. We categorize the design changes  
129 encountered throughout our case study in a taxonomy of changes similar to those  
130 developed by Akcamete et al. (2009). Although their focus was more on operation and  
131 maintenance activities, the change characteristics they identified provide conceptual  
132 facets that are also applicable to building design and construction. We extended these  
133 characteristics to build a richer set of change attributes and to represent change patterns  
134 and dependencies. The graph-based model developed by Issac and Navon (2013) gets  
135 input from BIM and other information sources in order to track the consequences of  
136 changes. In contrast, our approach identifies different types of dependencies and  
137 provides specifications on what additional information is needed in a BIM to be able to  
138 comprehensively track the consequences of design changes. Our approach also builds  
139 on the classification of version differences developed by Wang et al. (2007) to support  
140 change tracking and complements the processing-oriented modeling suggested by Koch  
141 and Firmenich (2011).

## 142 **Research Methodology and Process**

143 We studied the design and construction of the Pharmaceutical Sciences Building  
144 project, a \$150 million project that was constructed at the University of British  
145 Columbia (UBC), Vancouver, Canada (Figure 1 a). We conducted a long-term



146 ethnographic field study of this large, complex, fast-track building project, with  
147 complex Mechanical, Electrical, and Plumbing (MEP) systems, to examine change  
148 management in the context of a collaborative, multi-disciplinary BIM environment.  
149 BIM was used during the design and construction of this project as a contractual  
150 requirement and because of its potential to improve the design and construction  
151 coordination and constructability.

152         We studied the project extensively to collect data on design changes, to  
153 document the requirements of practitioners in managing changes in design, and to  
154 evaluate the functionality of commercially available state-of-the-art BIM tools,  
155 including Autodesk® Revit®, Navisworks®, Solibri Model Checker™ (SMC), and  
156 Vico Doc Set Manager™ against these requirements. We collected data through  
157 observational studies of over 40 BIM coordination meetings, extensive field studies,  
158 formal and informal communication with design and construction professionals, and  
159 analysis of design changes and related project artifacts (e.g., BIM's, 2D drawings,  
160 RFI's, change logs, site instructions, etc.). Figure 1 (b) and 1 (c), respectively, show  
161 BIM coordination meetings in the architect's office during the early stages of the  
162 project, and at the construction site afterwards. More detailed description about this  
163 BIM project is provided in Pilehchian and Staub-French (2012).

164         From this observation-based extensive data collection process, we identified the  
165 common characteristics and patterns of the design changes and developed an ontology  
166 of design changes. We developed a graph-based approach to trace and facilitate the  
167 tracking of design changes across different models and levels of detail. The next  
168 sections describe the design changes in detail and the ontology of design changes we  
169 developed to represent design change characteristics and the nature and dependency  
170 between changes.

## 171 **Ontology of Design Changes**

172 We analysed the numerous examples of design changes that we documented in our  
173 study to identify and characterize the common characteristics of design changes in a  
174 taxonomy of changes. Table 1 shows a portion of this taxonomy that includes the first  
175 twenty recorded changes. The common facets identified during this classification  
176 provide the basis for developing the ontology of design changes.

177         The developed ontology explicitly defines a BIM-based conceptual structure to  
178 organize project changes and highlights the characteristics that are essential for tracking  
179 the impact of design changes. In developing the ontology, the most generic  
180 characteristics of changes are defined first as object-oriented, adaptation oriented and  
181 integration oriented change classes. Object-oriented classes represent change  
182 characteristics that are related to geometry, position or specification of components.  
183 Adaptation-oriented classes constitute important component characteristics that are  
184 important for updating the building model following the changes in the project by  
185 continuous modification of data and the automatic propagation of changes. Integration  
186 oriented change classes, on the other hand, represent those component characteristics  
187 that are important for integrating project data that are currently stored in different sub-  
188 models (e.g., requirement, design, planning, scheduling, risk, etc.). The development of  
189 these upper level classes is informed by previous research, as noted earlier. A bottom-up  
190 approach was then used to define the most specific classes, essentially the leaves of the  
191 hierarchy. These classes are based on the common facets we identified throughout the  
192 classification of changes encountered during the case study. We subsequently grouped  
193 these lower-level facets into mid-level concepts (subclasses) and related them to the  
194 top-level characteristics in a superclass–subclass hierarchy to develop the BIM-based  
195 ontology of changes. The developed ontology is comprised of three main classes, six

196 mid-level classes and twenty-two subclasses, which include thirty-six facets in total.  
197 Table 2 presents this ontology and briefly illustrates the classes, subclasses and their  
198 important facets.

### 199 *Attributes of Design Changes for Tracking their Consequences*

200 This section elaborates on the attributes or specific characteristics of design changes  
201 that should be taken into consideration for tracking design change consequences. In  
202 doing so, we use three broad examples of design changes that we documented during  
203 the course of the case study and that emphasize object-oriented, integration-related, and  
204 adaptation-related characteristics. An earlier version of the first two examples was  
205 reported in a conference paper (Pilehchian and Staub-French 2012). For each example,  
206 we highlight important characteristics, describe the current practice for managing these  
207 changes, investigate the functionality of existing BIM tools, and summarize the findings  
208 in terms of requirements for tracking the consequences of design changes automatically.

#### 209 *Example #1: Relocation of Fire-rated Walls*

210 This example emphasizes the object-oriented characteristics of design changes. Due to  
211 architectural requirements, the initial arrangement of two-hour fire-rated walls changed  
212 slightly. The construction manager noticed the effect of this change on the wall  
213 openings, and consequently on the arrangement of their internal framing. Thus, he  
214 wanted to know which walls would be affected to modify their assembly prior to  
215 installation. To address this issue, we investigated a range of possible methods for  
216 tracking such changes in an information model. We also evaluated the capability of a  
217 state-of- the-art BIM tool to detect such changes (Pilehchian and Staub-French 2012).

#### 218 Critique of Current Practice

219 The implementation process of this change was comprised of two stages. The first stage  
220 was identifying any probable consequence of the change, such as the effect of the  
221 location of the walls on the openings required for the penetration of mechanical system  
222 components. In the second stage, the affected areas were detected by tracking the  
223 location of the repositioned walls.

224         With respect to the identifying the impacts of the change, BIM did not play a  
225 significant role in facilitating this process. The consequences of this change were  
226 ultimately identified based on the subjective expert opinions provided by different  
227 specialists, such as the architect and the construction manager. The minimal role of BIM  
228 in this decision making process was primarily due to the limitations of commercially  
229 available BIM tools in detecting most *analytical* or *spatial dependencies* between  
230 different building systems and components, e.g. dependency of the arrangement of a  
231 wall internal framing on the position of the wall and the wall opening arrangement.  
232 Spatial dependencies arise due to the geometry (e.g. shape) or position/location of  
233 components in 3D space such as the relationship between the height of a wall and  
234 elevation of the roof slab. Analytical dependencies refer to such relationships that link  
235 different parts or components of a system (e.g., HVAC system, plumbing system, steel  
236 structures) in order to perform a specific function or operation. Regarding the tracking  
237 of the location of repositioned walls, despite all the efforts expended on utilizing BIM  
238 tools to facilitate and expedite this process, the tracking of these changes were  
239 eventually performed by means of traditional methods such as manual comparison of  
240 2D drawings by the construction team. This was mainly due to limited capabilities of  
241 the BIM tools for tracking changes between different revisions of the model and their  
242 weakness in effectively presenting the results. In addition, the utility of modern 2D  
243 software tools, which were specifically designed to track changes in 2D drawings, were

244 another driving factor for the construction team to track changes in 2D rather than in  
245 BIM. Further details about this issue are provided in the next section.

#### 246 Analysis of Existing BIM Tools

247 In this specific example, we examined a state-of-the-art BIM tool, Solibri Model  
248 Checker<sup>TM</sup> (SMC), to detect changes that occurred in the location of wall openings  
249 between two revisions of the model. As shown in Figure 2 (a) – 2 (c), the results of our  
250 first attempt indicated that 322 openings were *added to the model*, 242 openings were  
251 *deleted* from the model and 61 openings were *modified* (Pilehchian and Staub-French  
252 2012).

253 Further investigation showed that many of the detected additions or deletions  
254 were incorrect as the added or deleted openings were identical. This usually happens  
255 because the wall and its openings were simply removed and then *recreated* at the same  
256 location. Such deletions and recreation of identical components might have occurred  
257 during modifications of adjacent components or due to *splitting* or *merging* of the  
258 existing components. A number of detected changes were also negligible adjustments in  
259 the openings location or geometry, which should not be considered as a change. In  
260 terms of modified components, we noticed that a component would be reported as  
261 modified if a change were made in any of its attributes, such as *position*, *geometry* or  
262 *specifications*. However, the concern was only about changes in a specific attribute, i.e.  
263 position of the component. Thus, many of the detected changes were not the intended  
264 target of our analysis.

265 In another attempt, we used *SMC* to detect changes in the location of the walls,  
266 instead of their openings only. To obtain clearer results, we narrowed down our  
267 comparison to the east side of the first level of the building. We also focused just on a

268 specific attribute, i.e. the *position* of the walls, and excluded changes in any other  
269 attributes such as *geometry* or *specifications* of the walls. The results of this analysis  
270 still include many irrelevant changes, which reduce the traceability and reliability of the  
271 results. However, due to the capability of this BIM tool to present the footprint of walls  
272 in the results, actual changes could be traced, to some extent, by visual comparison of  
273 the approximate locations of the changed and existing walls.

274 To draw a comparison between the functionality of BIM and current 2D tools,  
275 we examined a modern 2D software tool, Vico Doc Set Manager<sup>TM</sup>, to track the change  
276 of the wall locations between different versions of the drawings. This tool could overlay  
277 two revisions of drawings to specify the probable changes. The results of this  
278 comparison could be reviewed in three modes: side by side, highlight with color-coding,  
279 and slider mode (a slider bar could be dragged across the screen to reveal each of the  
280 two overlay drawings). The identified changes then could be marked with cloud marks  
281 and an RFI document could be generated for each identified change. Figure 2 (d) shows  
282 the result produced by Vico Doc Set Manager<sup>TM</sup>.

283 Based on the feedback provided by the construction team, the results of Vico  
284 Doc Set Manager<sup>TM</sup> were more practical in terms of addressing the location of changes  
285 and traceability of changes. For example, the overlaid layout produced by Vico Doc Set  
286 Manager<sup>TM</sup> contains all grid lines, dimensions and descriptive texts that were already  
287 presented in the drawings but *SMC* could only produce a partial footprint of the walls at  
288 each floor.

### 289 Summary of Findings

290 The results of our investigation demonstrate that the examined BIM tool can help in  
291 tracking the history of changes between revisions of models but owing to its

292 shortcomings, the construction team still preferred to use drawings and 2D software  
293 tools to track such changes. The main shortcomings of the BIM tool are as follows:

294 (1) The studied BIM tool was not able to link the characteristics of *recreated*,  
295 *merged*, or *split* components to the characteristics of the original components. In  
296 order to track changes between different revisions of a model, the following  
297 transfers of component characteristics should occur automatically:

- 298 • *Recreated* components should inherit the characteristics of the deleted  
299 component.
- 300 • *Merged* components should inherit the characteristics of their parent  
301 components.
- 302 • *Split* components should inherit characteristics of the original component.

303 (2) From the perspective of the majority of the construction team, the 3D-  
304 presentation of the changes generated by the studied BIM tools was not as  
305 effective as the 2D-presentation. Therefore, the construction team preferred to  
306 track changes by comparing drawings even though it might need to be done  
307 manually. BIM tools such as Autodesk® Revit® are able to create a 2D plan or  
308 section view and update it automatically to any change in model components.  
309 The presentation of the comparison results would be much clearer if they were  
310 marked in any plan or section view.

### 311 *Example #2: Changes in HVAC Routing*

312 This change focuses on adaptation-oriented characteristics of a design change. To avoid  
313 clashes between HVAC ductwork and a column capital in a congested space above the  
314 ceiling of the third floor, the routing and the size of the duct needed to be changed. The  
315 duct passed over the shower area so a minimum ceiling height of three meters was

316 required (Figure 3). At the time of this change, the construction of the column and the  
317 floor slab was completed and the routing of HVAC ducts was being checked for  
318 possible clashes with other systems prior to fabricating the duct.

### 319 Critique of Current Practice

320 Clashes between different MEP systems were a major source of changes in the studied  
321 project. To resolve such clashes, prior to each meeting, the latest version of  
322 architectural, structural, mechanical and electrical models, which were updated  
323 separately by the relevant discipline specialists, were integrated into one single model  
324 using Navisworks<sup>®</sup>. Then, an automatic clash detection search was conducted and the  
325 results were reviewed during the meetings. Alternative solutions for each clash were  
326 explored by the discipline specialists and the overall consequences of each alternative  
327 solution were investigated based on subjective expert opinions. It was a significant  
328 challenge to develop a solution for each clash due to the numerous constraints imposed  
329 by the different engineering disciplines, the accelerated rate of progress in the building's  
330 construction, and the geometric and building system complexity of the building. In this  
331 particular example, changing the route of HVAC duct and reducing its size in the  
332 proximity of the column capital was the final solution. Figure 3 shows the clash report  
333 prepared for this change. In this report, the top picture is a screenshot of the  
334 Navisworks<sup>®</sup> model showing different building systems at the location of the clash and  
335 the bottom picture shows the annotations on the LCD screen captured in the form of a  
336 screenshot. The annotations demonstrate the solution discussed during the BIM  
337 meeting. These annotations and the screenshot were captured by the smart tools  
338 mounted on each LCD screen in the BIM trailer.



339           Despite the capabilities of Navisworks® for automatic clash detection, the  
340 consequences of the change discussed in this example were ultimately identified based  
341 on expert opinion, with little use of BIM in automating any aspect of this resolution  
342 process. The primary reason is due to the shortcomings of BIM tools for detecting most  
343 *analytical* or *spatial dependencies* between different building systems represented in  
344 multiple discipline-specific models, the issue to be further explained in the next section.

#### 345 Analysis of Existing BIM Tools

346 Identification of *spatial* and *analytical dependencies* is essential for recognizing the  
347 components affected by a change and the corresponding chains of successive changes  
348 caused by an initial change. However, due to the variety of these dependencies and the  
349 technical logic behind them, this process is complicated and challenging.

350           *Spatial dependencies* between components are somewhat easier to track and  
351 visualize as they are related to the geometry or position of components. For instance,  
352 the relocation of the main air supply duct affected secondary ducts *connected* to it and  
353 the steel hangers that *supported* it. It also might influence a number of *adjacent*  
354 components such as pipes, cable trays, concrete walls and columns. Moreover, as the  
355 duct was *surrounded* in the small space between the floor slab and the ceiling, a  
356 considerable change in the location of the duct could affect its surrounding components  
357 such as the ceiling and the concrete floor slab.

358           Investigation of *analytical dependencies* is more complicated as it needs  
359 specific technical information and expertise. For instance, prior to the local change in  
360 the size of the duct, the new size should be checked against *mechanical requirements*  
361 such as the air change rate. Similarly, other analytical relationships, such as

362 *architectural, operational, maintenance, structural, and electrical requirements* may  
363 also need to be examined, thus necessitating the inputs of different disciplines.

364 The *level of propagation* of a change, and thus its consequences, depends on the  
365 extent of such dependencies. The more dependencies to the other components exist, the  
366 more *extensive* the *propagation* and consequences are. For instance, as there are  
367 minimal dependencies between the location of a partition wall and the other building  
368 systems and components, a slight change in the location of the partition wall causes  
369 only *local propagation* of the change, which affects the element of the partition wall  
370 itself or at most the component that are connected to it. On the other hand, a change in  
371 primary design parameters such as the basement height can affect most systems and  
372 components of the basement or all other floors thereby causing *extensive propagation*  
373 of the change. As an example between these two extreme levels, a change in the route  
374 of HVAC ductwork on a specific floor can affect other systems of that floor, but not the  
375 other floors. Such a *regional propagation* is due to several special or analytical  
376 dependencies between the changed component and the other systems and components  
377 of that region.

378 It should be noted that a level of propagation is a qualitative identifier used to  
379 characterize the complexity and extensiveness of the propagation of changes. It is not  
380 directly used in the graph-based approach per se, but it enables to identify the  
381 complexity of a graph. It also helps to understand the type of dependencies that would  
382 influence the propagation of changes. For example, local propagation is mainly  
383 governed by geometrical dependencies whereas analytical dependencies play a more  
384 significant role in regional and more extensive types of propagation.

385 Commercially available BIM tools are able to detect a number of *spatial*  
386 *dependencies*, for example, if a change happens in a floor elevation, the length of

387 columns will be automatically updated. They also have some limited capability of  
388 detecting and tracking *analytical dependencies*. Navisworks® and *SMC*, for example,  
389 are able to check the clear distance between different components and detect  
390 components that do not comply with a minimum preset clearance requirement. While  
391 *SMC*, with its rule-based reasoning approach and rule sets is able to interpret typical  
392 relationships between components and analyze their interferences, these predefined  
393 rules, however, cannot effectively recognize a wide range of logical dependencies

#### 394 Summary of Findings

395 Although the predefined rules in *SMC* still cannot recognize a wide range of logical  
396 dependencies effectively, they highlight the potential of BIM for such functionality.  
397 This capability is crucial in the automatic tracking of the chains of successive changes  
398 in BIM. It was found that BIM was of limited use in the automation of identifying the  
399 consequences of the change in the HVAC duct route and were ultimately identified  
400 based on expert opinion. The capability of Navisworks® for automatic clash detection  
401 and the three-dimensional presentation of these clashes facilitates this process  
402 significantly.

#### 403 *Example #3: Change in Basement Level*

404 This particular change example illustrates integration-oriented characteristics. Due to  
405 the extensive and massive MEP system and limitation of space in the basement and the  
406 interstitial level, these areas were extremely congested and, therefore, subject to a vast  
407 number of clashes between MEP components and frequent changes. During the early  
408 BIM meetings, the design team noticed that the height of these levels should be  
409 increased to provide more space and resolve clashes in these areas. Considering the

410 concurrency of the design and construction, the proper timing of this change was  
411 imperative because the change in the level of basement could affect the early stages of  
412 construction (excavation, shoring and foundation).

### 413 Critique of Current Practice

414 Similar to the previous examples, the consequences of this change was identified based  
415 on expert opinion during BIM meetings. The integrated Navisworks® model was  
416 reviewed in the meeting. However, this model did not contain any information about the  
417 actual construction status of the building components, i.e. whether a component is  
418 *fabricated, erected or constructed*, that is crucial in decision-making about the proper  
419 timing of changes in order to reduce the *impact of the changes* in construction.

420 However, due to shortcomings of the utilized BIM tools and the BIM coordination  
421 processes in integrating such data into the model, the design team was typically  
422 informed about the construction constraints through conventional methods.  
423 Consequently, BIM was again of limited use in automating this process. These  
424 shortcomings of BIM tools are discussed next.

### 425 Analysis of Existing BIM Tools

426 Parameters such as the elevation of the basement floor are among fundamental design  
427 parameters that need to be set in early stages of the design process (*basic design* or  
428 early *detail design*). However, complexity of the design may cause uncertainty in such  
429 parameters and further changes might be required as the design evolves. In terms of the  
430 extent of propagation, any change in such basic design parameters will cause *extensive*  
431 consequences, termed hereafter as an *extensive change*. Acceptable *timing* of such  
432 *extensive* changes is limited to specific milestones that should be determined based on  
433 the *design or construction status* of the affected components. In the *design phase, cost*

434 and *time impacts* of the change depend on the progress in design of other affected  
435 components and can be calculated based on the amount of rework required for the  
436 relevant modifications. In the *construction phase*, however, based on the progress in  
437 construction of each affected component, the *cost and time impacts* associated with the  
438 change would increase significantly and sometimes to a degree that the change would  
439 no longer be feasible. In this example, the change in the basement elevation would  
440 affect the basement and all other components that have a *spatial* or *analytical*  
441 *dependency* with the basement components, i.e., almost all building components  
442 including foundations and the base slab. However, since none of the building  
443 components had been constructed at the time of the change, the critical milestone that  
444 determines the acceptable timing of the change would be the start of the construction in  
445 the construction schedule (foundations/ base slab). Therefore, in order to determine the  
446 acceptable timing of such changes, important project data such as *construction*  
447 *schedule* and updated *construction status* of components should be integrated into the  
448 BIM.

449 To investigate the capabilities of current BIM tools in terms of integration of  
450 construction date, such as the updated *construction status* of each component, we  
451 developed a 4D as-built model during construction of the project. This model only  
452 included components that were under construction or already constructed. We gathered  
453 the latest construction status of the components during our site visits or through the  
454 online pictures taken by the security camera mounted on the roof of an adjacent  
455 building. We examined different capabilities of Autodesk® Revit® and Navisworks® for  
456 development of such models by utilizing different modeling approaches such as phase-  
457 based modeling, definition of groups based on timing of construction, and the use of  
458 section boxes to prepare the model.

459 In our first attempt, we developed a simple 4D model using Navisworks®  
460 Timeliner that only contained actual dates and a few tasks corresponding to older,  
461 recent and new construction activities. The main challenges in this process were:

- 462 • The extensive time required for filtering and separating new constructed  
463 components from the other components as the model contained a wide range of  
464 tiny secondary components, which were split from main components due to the  
465 geometric complexity and irregularity of the structure.
- 466 • The necessity of splitting a number of components at “Construction Joints” as  
467 Navisworks® is incapable of modifying the geometry of components.
- 468 • Revising the as-built model due to revisions in the design model.

469 To address the first two challenges we utilized Autodesk® Revit® to split  
470 components at the construction joints, group secondary components into components  
471 and categorized the main components into construction phases. Each time we were  
472 updating the model, we were defining a new phase that was then assigned to the  
473 individual components that were recently constructed or were under construction.

474 The update of the as-built model due to the revision in the original BIM was  
475 another challenge, and in fact the most significant one. After each revision in the  
476 original BIM, a complete iteration of almost the whole process was required to develop  
477 a new as-built model based on the new design model. To address this challenge, we  
478 used a number of section boxes to split the model into different segments that  
479 correspond to different construction phases approximately. Although this method was  
480 rough and inaccurate at the component level, it could provide an overall overview of the  
481 construction status and its update was significantly quicker and simpler than the  
482 previous method.

## 483 Summary of Findings

484 In order to track the consequences of changes, the project data, such as *construction*  
485 *status* of different components, *cost*, *time schedule* and *client's objectives*, need to be  
486 integrated with a BIM. Currently, these data, if captured, are stored in different  
487 databases that are not linked to the model. Although 4D and 5D modeling help to  
488 integrate a portion of this data into the model, the BIM still does not contain all data that  
489 is essential to track impacts of changes. In addition, although BIM helped to represent  
490 the *construction status* of different components, the constraints imposed by such  
491 progress in construction were ultimately identified according to subjective expert  
492 opinion and BIM was of limited use in automating this process.

## 493 **A Conceptual Approach for Tracking Changes**

494 In the previous section, we explored the primary characteristics of design changes that  
495 are essential for tracking their consequences. These characteristics and their main facets  
496 were summarized in Table 2. In this section, we describe our conceptual approach to  
497 track design changes that involves three distinct aspects: (1) tracking and tracing  
498 dependencies, (2) the deduction of dependencies from a BIM, and (3) the generation of  
499 dependency graphs. This section will end with a discussion of the challenges of this  
500 approach, and in particular, the challenge of managing change as the model evolves  
501 throughout the different phases of design.

## 502 ***Tracing and Tracking Dependencies***

503 In our graph-based approach, the component attributes are linked directly and  
504 indirectly through different types of dependencies in a graph. Accordingly, when an  
505 attribute of a specific component is changed, different components, which are affected  
506 by that change, can be traced in the graph. We then represent these graphs in the form of

507 dependency matrices, which assist in developing a computational approach for  
508 automatic propagation of design changes in a BIM. Graph-based approaches to  
509 modeling problems have been already used in decision analysis (Morgan and Henrion  
510 1990) and workflow management (Reichert and Dadam 1998) and, specifically, in  
511 identifying the implication of changes in construction projects (Isaac and Navon 2009).  
512 Isaac and Navon (2013) also explored the extraction of building project information  
513 from various documents and databases, including BIM. However, they were more  
514 focused on representing the impact of changes across the project, including the project  
515 plan and resources, and did not represent the nuances and diversity of design changes  
516 and the different types of dependences that exist between building components as we  
517 have observed on actual projects.

518         Different types of dependencies between component attributes exist in a BIM  
519 that are useful to track the chain of successive changes created by an initial change in a  
520 component attribute. These dependencies can be shown through a graph-based  
521 approach. Figure 4 (a) depicts, in the form of a graph, the dependencies between the  
522 attributes of the HVAC duct, the column capital, the cable tray and the sanitary pipe,  
523 which were discussed in Example #2. The graph nodes represent the component  
524 attributes that are linked directly or indirectly through different types of dependencies  
525 presented by arrows. The arrow tail specifies the changed component attribute and its  
526 head points to the affected component attribute. The three-letter abbreviation beside or  
527 over each arrow indicates the type of dependency between the two attributes (refer to  
528 Table 2 for the types of dependencies and their abbreviations). If the link represents  
529 more than one type of dependency, the abbreviation of each type is indicated and they  
530 are separated by a comma. As this figure shows, any changes in the HVAC duct  
531 *geometry* (i.e. its *size*) or position will affect the position of the *adjacent* cable tray and



532 sanitary pipe due to required minimum clearances (*operational dependencies*) or  
533 architectural limitations regarding the ceiling height (*architectural dependencies*).  
534 However, a change in the ductwork does not affect the position of column capitals  
535 because column capitals are always located at the top of columns. Likewise, the duct  
536 position can be affected by any changes in the position of its adjacent components.  
537 Moreover, probable changes in the sizes of the column capital or the cable tray can  
538 affect the position of the duct but changes in the sanitary pipe size is usually small and  
539 does not affect the position of the duct. Furthermore, the column capital size may  
540 change because of changes in the column spans (i.e. its *position*) or their specifications,  
541 such as concrete or reinforcement properties. Moreover, *mechanical dependencies* link  
542 the *size* and the *position* of each mechanical system, such as HVAC ducts and sanitary  
543 pipes. Likewise, due to *electrical dependencies*, the *size* of cable trays correlates with  
544 their *specifications*, such as their capacity in terms of the weight of cables they can  
545 support.

546         The developed dependency graph can be represented in the form of a  
547 dependency matrix in order to communicate the specifications of the graph to a  
548 computer, which could assist with the automatic tracking of changes. In essence, the  
549 rows and columns in the dependency matrices represent the nodes in the graphs and  
550 entries in the matrix indicate whether a link exists between the nodes. Figure 4 (b)  
551 depicts the development of the dependency matrix for the provided example. The size  
552 of the matrix is  $12 \times 12$  as it represents the dependencies between four components ( $n=4$ )  
553 and the characteristics of each component are controlled by three sets of attributes  
554 ( $m=3$ ). Likewise, we can integrate all component-based dependency graphs and develop  
555 a dependency network, which represents the relationships between all components  
556 attributes in the network.

## 557 *Deduction of Dependencies from a BIM*

558 In order to generate dependency graphs, information related to the components and their  
559 dependencies (i.e., spatial and analytical dependencies) needs to be identified and stored  
560 in a common model. Component specific information and spatial dependencies between  
561 components can be extracted from BIM. IFC is a suitable platform for the extraction of  
562 such object-oriented building information. For the extraction of spatial dependencies,  
563 modern BIM tools and related research (e.g., Nguyen et al. 2005) provide algorithms for  
564 the deduction of the relevant topological relations, such as adjacency, containment,  
565 separation, etc. The extracted information, however, needs to be stored and  
566 manipulated in the form of a matrix structure. A unique ID number would be assigned  
567 to each component attribute, which identifies its corresponding entry in different vectors  
568 of data.

569 In terms of deducing analytical dependencies, these dependencies are currently  
570 not stored in BIM applications, and we suggest that a hybrid of object-oriented and  
571 subject-oriented modeling approaches be utilized to store them. Design requirements  
572 will be defined as different design subjects (e.g., structural and mechanical  
573 perspectives) and each design subject will consist of the dependencies that satisfy a set  
574 of specific project requirements. Using this approach, the dependency data will not be  
575 lost when the original objects are replaced with their subcomponents while the model  
576 progresses to the next Level of Development/Detail (LOD) because the subcomponents  
577 inherit the dependencies that already exist between their parent components. We will  
578 discuss this further in a subsequent section on reasoning about model evolution.

## 579 *The Generation of Dependency Graphs*

580           Once all dependencies are derived, the dependency graph will be formed. The  
 581 graph is manipulated in the form of a matrix structure based on the concept of adjacency  
 582 matrix and relevant graph-theoretic data structure. Any proposed change in different  
 583 component attributes will be presented as an initial “change vector.” The change vector  
 584 is a matrix with one row only (row vector). Each entry of this vector has a logical value  
 585 (0 or 1) that defines whether each component attribute has changed or not. A change  
 586 vector is defined as:

587            $C = \text{Change Vector} = \{[C_1], \dots, [C_n]\}$

588            $[C_i] = \text{Change vector for component } i = \{c_1, \dots, c_j, \dots, c_m\}$

589            $c_j = \begin{cases} 1 : & \text{if attribute } j \text{ of the component } i \text{ has changed} \\ 0 : & \text{if attribute } j \text{ of the component } i \text{ has not changed} \end{cases}$

590           This initial change vector only determines the initial change and not the  
 591 changes that happen as the consequence of this initial change. Therefore, we call it  $C_0$ .  
 592 The effect of this change on the other component attributes can be determined by the  
 593 product of multiplying this vector and the Dependency Matrix:

594            $C_1 = C_0 * D$

595           The calculated change vector ( $C_1$ ) indicates the direct effect of the initial change  
 596 vector ( $C_0$ ) and shows the first group of affected component attributes in the series of  
 597 successive changes caused by the initial change. These new changes also generate a  
 598 second group of successive changes. The attributes affected by these successive changes  
 599 can also be determined by the product of  $C_1$  and the Dependency Matrix as follows:

600            $C_2 = C_1 * D$

601           The chain of successive changes ( $C_1, C_2, \dots$ ) are thus determined by multiplying  
 602 the initial change vector and the Dependency Matrix ( $D$ ) and iterating this operation by  
 603 using the product of the first operation as a new proposed change until no new attribute

604 is affected by the last group of effected attributes ( $C_i = C_{i-1}$ ). The product of the first  
605 operation will identify the components directly affected by the proposed change, similar  
606 to clustering algorithms, such as Iterative Conductance Cutting (ICC) algorithm  
607 (Kannan et al. 2004), and each further iteration will add new components to the chain of  
608 successive changes until the propagation of changes is completed. After the completion  
609 of many iterations, similar to path search algorithms, such as Dijkstra's shortest path  
610 algorithm (Dijkstra 1959), all possible change paths will be determined. More detailed  
611 explanation about this process is provided in Pilehchian (2012).

612         While the computer implementation of the adjacency matrix will likely have  
613 more computational costs, tracking changes using the adjacency matrix is likely to be  
614 more efficient than the existing clustering and path search algorithms. Isaac and Navon  
615 (2013) reported that poor results were obtained when the ICC clustering algorithm was  
616 applied to what they called as the Project Connectivity Model (PCM), which is the  
617 graph-based model that included complex project information. And the clustering  
618 algorithm was generally not able to decompose the graphs into distinct clusters at all  
619 (Issac and Navon 2013). However, the adjacency matrix approach does not appear to  
620 have such a limitation. Moreover, entries in the adjacency matrix can be weighted to  
621 represent particular consequences of changes, such as costs or stochastic data (e.g.,  
622 probability of changes and risks).

### 623 ***Challenges and Future Directions***

624 In the previous section, we investigated the dependencies between component attributes  
625 and introduced dependency graphs and their matrix representation, which could provide  
626 a basis for automatically tracking these dependencies in BIM. In this section, we discuss  
627 the more challenging and complex issue of tracking changes as the model evolves

628 throughout the different phases of design. Specifically, we focus on the changes in the  
629 quantity and quality of the dependencies throughout the evolution of BIM and  
630 investigate how this evolution affects the tracking of changes in BIM.

631 Figure 5 illustrates the evolution of a BIM and depicts the formation of different  
632 types of dependencies between component attributes throughout this evolution process.  
633 In the early stages of the project (*feasibility* and *conceptual design*), the information  
634 model, if it exists, only includes very basic aspects of design. The *conceptual model*  
635 may include basic components, such as spaces, areas, floors and the main components  
636 of the structural systems and the building envelope. In this stage, incorporation of  
637 changes in design needs minimum effort and the majority of available BIM tools are  
638 able to implement them automatically since the number of components and their spatial  
639 and analytical dependencies are limited. During *basic design*, models include the  
640 majority of main components, such as column, beams, floor slabs, doors and windows.  
641 However, models include only *basic attributes* of these components (*geometry, position*  
642 and probably *material* type) and models do not include most detailed attributes of these  
643 components (*elements, semantic properties* and *material specifications*). During the  
644 basic and detailed design phases, the focus of modeling is on development of systems  
645 and components respectively. Thus, the increase in the number of components and  
646 component attributes causes an exponential increase in the number of *spatial* and  
647 *analytical dependencies*. This reduces the capability of BIM tools in automatically  
648 tracking the consequence of changes significantly as commercially available BIM tools  
649 can only identify a limited range of *spatial dependencies* and do not recognize most  
650 *analytical dependencies*. This limitation is further exacerbated when the LOD increases  
651 during the design process as more components, component attributes and elements are  
652 created and thus their *dependencies* become more complicated. This increases the *time*

653 and *cost* of incorporating changes in the model and in the design. The practical number  
654 of dependencies that can be manipulated through the approach suggested in this paper  
655 depends on the computational capacity of the utilized computer (CPU speed and  
656 memory). Given the conceptual stage of this research and the lack of empirical  
657 evidence, we are unable to provide the exact relationship between the number of  
658 components/attributes and their dependencies with the performance of BIM tools for  
659 automatically tracking the consequences of design changes.

660         The dependencies formed during such evolution link either the components of  
661 the model at a particular design stage or the components of different revisions of the  
662 model created while the model progresses from one LOD to the next. To distinguish  
663 between these two types of dependency, we call the former *intra-model dependency*  
664 and the latter *inter-model dependency*. Overall, compared to the effect of *intra-model*  
665 *dependencies*, propagation of changes through *inter-model dependencies* affects a  
666 wider range of components. Thus, identifying *inter-model dependencies* is crucial in  
667 controlling the *impacts of changes* by managing their *timing*. To elaborate on this issue,  
668 we consider the change in the basement height that was discussed in Example #3. The  
669 diagram presented in Figure 5 depicts a part of the chain of successive changes created  
670 by the initial change in the basement height. This change is propagated through *intra-*  
671 *model* (shown by green solid lines) and *inter-model dependencies* (shown as red dashed  
672 lines). The elevations of floors and basement height are among the primary parameters  
673 that are supposed to be finalized during the *basic design* phase. If such primary  
674 parameters change during further stages of the design, such as *detailed design*, it causes  
675 *extensive* successive changes in a wide range of the model components created as  
676 subcomponents of the basement space, such as basement walls and foundations. This  
677 *extensive propagation* of changes is due to formation of *inter-model dependencies*

678 between the primary component (basement space) and secondary components with  
679 higher LOD created as supplementary to this primary component during the evolution  
680 of the model from basic design to detailed design stage (e.g. basement walls and  
681 columns). Likewise, a change in the basement height at the fabrication or construction  
682 stage propagates more extensively due to formation of *inter-model dependencies*  
683 between the components created at detailed design stage and the vast numbers of  
684 supplementary component and elements (e.g. formwork and reinforcing bars) created by  
685 the progress to higher LOD.

686         The graph-based approach we illustrated in the previous section could be  
687 utilized as a base for tracking changes propagated through *intra-model dependencies*,  
688 when we only need to track the dependencies between components of the same model at  
689 a specific LOD. However, this approach is not effective when the model progresses  
690 from one LOD to the next LOD unless we integrate the dependency information stored  
691 in the older model into the new one. This means that the secondary components created  
692 as supplements to the primary components with lower LOD should inherit the  
693 dependencies which were already formed between the primary components. For  
694 example, the basement space (a mass component) is the primary component with the  
695 lowest LOD created at the basic design stage. Basement walls and the basement floor  
696 are basement subcomponents created while the model progresses to the detailed design  
697 stage. Thus, these subcomponents inherit the dependencies that already existed between  
698 the original component attributes, such as the dependencies between parameters related  
699 to the basement geometry and position. Likewise, the reinforcing bars and the formwork  
700 of the basement floor and its walls are subcomponents with the highest LOD, which are  
701 created at the construction stage. These subcomponents inherit the dependencies that

702 already exist between the basement floor and its walls while the model evolves to the  
703 construction stage.

704         As we discussed in Example #2 of the case study, BIM potentially is able to  
705 store the dependencies between components of the same LOD, i.e. intra-model  
706 dependencies. However, commercially available BIM tools are not able to detect all  
707 dependencies between components and even if they detect them, they store them as  
708 object-oriented data. Thus, the dependency data will be lost as soon as the original  
709 objects, i.e. primary components, are replaced with their subcomponents while the  
710 model progress to the next LOD. A subject-oriented modeling approach, as discussed  
711 previously, could be a solution to address this shortcoming. This approach has been  
712 introduced in the design of software systems (Clarke et al. 1999) and proposed for the  
713 development of change management tools (Isaac and Navon 2009). In this approach, the  
714 model is divided into design subjects (e.g., structural integrity and HVAC) and each  
715 design subject consists of the dependencies that should satisfy a set of specific project  
716 requirements. For example, the design subject of basement sizing consists of adjusting  
717 the position and geometry of its components (such as its floor slab and walls) to satisfy  
718 the requirements of minimum space for HVAC, plumbing and electrical systems.  
719 Accordingly, a subject-oriented modeling approach is capable of interconnecting  
720 dependencies between components of different systems with different LODs throughout  
721 the evolution of design. Hence, adopting a hybrid method consisting of both object-  
722 oriented and subject-oriented approaches can expand the capabilities of BIM tools such  
723 that they can propagate changes through different versions of models prepared at  
724 different stages of the design.



## 725 **Conclusions**

726 In this research, we examined change management in the context of a multi-disciplinary  
727 collaborative BIM environment during the design and construction of a fast-track  
728 building project. We identified the common characteristics of design changes  
729 encountered throughout the project design and construction to develop an ontology of  
730 design changes. The ontology provides a common understanding of the characteristics  
731 of design changes for practitioners who need to use BIM tools for managing changes,  
732 and identifies relationships and dependencies between different facets of changes and  
733 their impact on the project costs and schedule.

734 During the course of this study, we examined the capability of three, i.e.,  
735 Autodesk® Revit®, Navisworks®, Solibri Model Checker™, in the context of BIM-  
736 based change management and investigated their potential benefits and shortcomings in  
737 terms of the management of changes. While state-of-the-art BIM tools are helpful in  
738 tracking the history of changes between revisions of models, they have a number of  
739 shortcomings to track changes. SMC, for example, was not able to link the  
740 characteristics of *recreated*, *merged*, or *split* components to the characteristics of the  
741 original components thereby limiting tracking changes between different revisions of a  
742 model. Furthermore, state-of-the-art BIM tools still cannot recognize a wide range of  
743 logical dependencies of design changes effectively. In order to track the consequences  
744 of changes, the project data, such as *construction status* of different components, *cost*,  
745 *time schedule* and *client's objectives*, need to be integrated in a BIM model. Currently,  
746 these data, if captured, are stored in different databases that are not linked to the model.  
747 Although 4D and 5D modeling help to integrate a portion of these data into the model,  
748 but BIM still does not contain all data that are essential to track impacts of changes. As

749 a result, practitioners still prefer to use drawings and 2D software tools to track changes  
750 and rely heavily on subjective expert opinions for decision making.

751 Different types of dependencies between component attributes exist in a BIM,  
752 within the attributes of a component or between the attributes of different components.  
753 A graph-based approach can be very useful to show and trace the dependencies between  
754 different component attributes and to track the chain of successive changes created by  
755 an initial change in a component attribute. Our approach has the potential of addressing  
756 the challenging task of re-establishing the dependencies and updating the dependency  
757 graph after each revision in BIM during the design. The real challenge for tracking  
758 changes remains as the model evolves or progresses from one LOD to the next. BIM  
759 potentially is able to store the dependencies between components of the same LOD, i.e.  
760 *intra-model dependencies*. Moreover, identifying the dependencies of components of  
761 different revisions of the model while the model progresses from one LOD to the next  
762 (i.e. *inter-model dependencies*), is still a challenge with current BIM tools.

763 Additional research is required to further extend the ontology of design changes  
764 developed in order to validate its breadth, depth, and completeness. Further research is  
765 also required to verify the different spatial and analytical dependencies and to identify  
766 other important attributes that might be required for automatic recognition of these  
767 dependencies in an information model. Finally, the graph-based approach needs to be  
768 implemented and tested in a BIM environment to examine its effectiveness to manage  
769 and track changes throughout the design and construction process, which will be the  
770 focus of the next phase of this research.

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**Table 1.** Taxonomy of first twenty recorded changes

No	Change Subject	Date	Initiating Department	Component Type	Was it Modeled?	Changed Attributes	Spatial Dependencies	Analytical Dependencies	Change Type	Extent of Propagation
1	Plumbing specification	2010-09-08	Mechanical	Document	No	Specification	None	MEC, ARC	MOD	Extensive
2	Plumbing Penetrations	2010-09-14	Mechanical	Piping/ Penetration	Yes	Position: CRD	ADT	MEC, ARC	MOD	Local
3	Elevator shaft	2010-09-17	Structural	Opening/ Floor slab	Yes	Geometry: SHP, DIM	CNT, ADT	STR, MEC	ADD	Local
4	Column at gridline 1	2010-09-17	Structural	Column	Yes	Position: CRD	CNT, ADT	STR, ARC, MEC, ELC, OPR	MOD	Regional
5	Pull Pit	2010-09-17	Structural	Pit: Wall, Floor	Yes	None	CNT, ADT	STR, ARC, ELC, OPR	ADD	Regional
6	Structural IFC revision	2010-10-01	Structural	Many	NA	None	NA	NA	MOD	Local
7	Column size	2010-11-01	Structural	Column	Yes	Geometry: DIM	ADT, CNT	STR	MOD	Regional
8	Column orientation	2010-11-01	Structural	Column	Yes	Position: ORN	ADT, CNT	STR	MOD	Regional
9	Column rebar	2010-11-01	Structural	Column	No	Specification: ELM	None	STR	MOD	Local
10	Top of wall	2010-11-02	Architectural	Wall	Yes	Geometry: DIM	ADT	ARC, MEC, ELC	MOD	Local
11	Elevator #5 opening	2010-11-08	Architectural	Wall	Yes	Geometry: SHP, DIM	CNT	ARC, STR	MOD	Local
12	Slab Acoustic Isolation joint	2010-11-09	Architectural	Joint	No	Geometry, Position	CNT	MEC, ARC	MOD	Local
13	Slab opening at A.IS. Joint	2010-11-09	Architectural	Slab	Yes	Geometry: SHP, DIM	None	MEC, ARC	MOD	Local
14	Location of plumbing wall	2010-11-10	Architectural	Wall	Yes	Position: CRD	ADT	MEC, ARC	MOD	Local



15	Slab Openings- Lecture hall	2010-11-16	Mechanical	Opening/ Floor slab	Yes	Geometry: SHP, DIM	CNT, ADT	MEC, STR, ARC	ADD, MRG	Local
16	Slope of Floor Slab	2010-11-21	Structural	Floor slab	Yes	Geometry: DIM	SRB	ARC	MOD	Local
17	Louver Block-out	2010-12-13	Mechanical	Wall/ openings	Yes	Geometry: SHP, DIM	CNT, ADT	STR, MEC,ARC	ADD	Local
18	Partition Layout	2010-12-14	Architectural	Partitions	Yes	Geometry, Position	ADT	ARC, MEC	MOD, SPL	Local
19	Ceiling Height	2011-04-21	Architectural	Ceiling	Yes	Position	CNT, ADT	ARC, MEC, ELC, OPR	MOD	Regional
20	Cable Tray Relocation	2011-04-21	Electrical	Cable tray	Yes	Position	CNT, ADT	ELC, ARC	MOD	Local

Note: Abbreviations related to different types of dependencies are defined in Table 2

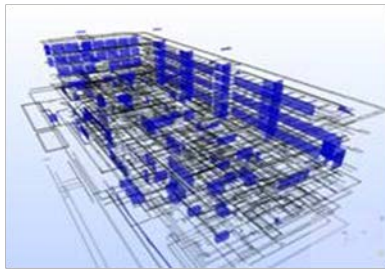
**Table 2.** An ontology of design changes

Classes & Sub-classes		Facets: Description/Example	
<b>Object-Oriented</b>	<b>Change Type</b>	Addition (ADD)	<i>Creating a new component</i>
		Deletion (DEL)	<i>Deleting an existing component</i>
		Modification (MOD)	<i>Modification in attributes of an existing component</i>
		Recreate (REC)	<i>Deleting a component then adding a new one with similar attributes</i>
		Merge (MRG)	<i>Combining two or more components to create a new component</i>
		Split (SPL)	<i>Dividing a component into two or more components</i>
	<b>Changed Component Attributes</b>	Geometry	Shape (SHP): <i>cubic, cylindrical, rectangular, plate</i>
			Dimensions (DIM): <i>Shape, length, width, thickness, diameter, slope</i>
		Position	Coordinates (CRD): <i>X, Y, Z</i>
			Orientation (ORN): <i>Rx, Ry, Rz</i>
		Specification	Material (MAT): <i>concrete, mild steel, galvanized steel</i>
			Elements (ELM): <i>Stud, Rebar: size, shape, arrangement</i>
			Semantic Properties (PRP): <i>Fire-rating, acoustic, water proof</i>
<b>Adaptation Oriented</b>	<b>Dependencies between Components</b>	Spatial	Connected To (CNT) : <i>column and floors, main and secondary ducts</i>
		Dependencies	Adjacent To (ADT): <i>duct and adjacent pipes, duct and ceiling</i>
			Supported By (SPB): <i>duct and steel hangers</i>
			Surrounded By (SRB): <i>duct and false ceiling/ plenum area</i>
	Analytical Dependencies	Structural (STR): <i>sleeves size and arrangement of rebar</i>	
		Architectural (ARC): <i>room functionality and exposed duct</i>	

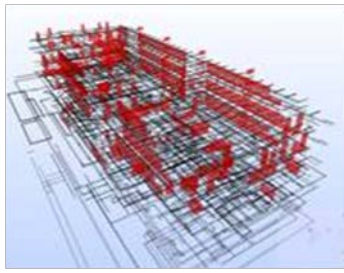
			Mechanical (MEC): <i>size and location of air supply duct</i>	
			Electrical (ELC): <i>size of cable tray and motor power</i>	
			Operational (OPR): <i>clearance around a pipe</i>	
	<b>Propagation of Changes</b>	Level of Propagation		Extensive: <i>Substantial effects on many components</i>
				Regional: <i>Affect several adjacent components</i>
				Local: <i>Minimal effect on other components</i>
		Type of Dependencies		Intra-model: <i>between components with same LOD</i>
	Inter-model : <i>between components with different LOD</i>			
<b>Integration Oriented</b>	<b>Change Timing</b>	Conceptual design	<i>During early decision making about the primary design aspects</i>	
		Basic design	<i>During early stages of the design but prior to the full extended design</i>	
		Detail design	<i>During the detailed design but prior to any procurement/ construction</i>	
		Procurement	<i>After Purchase Order but prior to fabrication</i>	
		Fabrication	<i>After Fabrication but prior to erection</i>	
		Construction	<i>After commence of construction</i>	
	<b>Change Impact</b>	Cost	<i>The impacts of the change on the project cost</i>	
		Time schedule	<i>The impacts of the change on the project time schedule</i>	
		Client's Objective	<i>The impacts of the change on the client's objectives</i>	



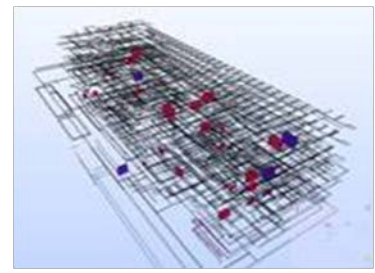
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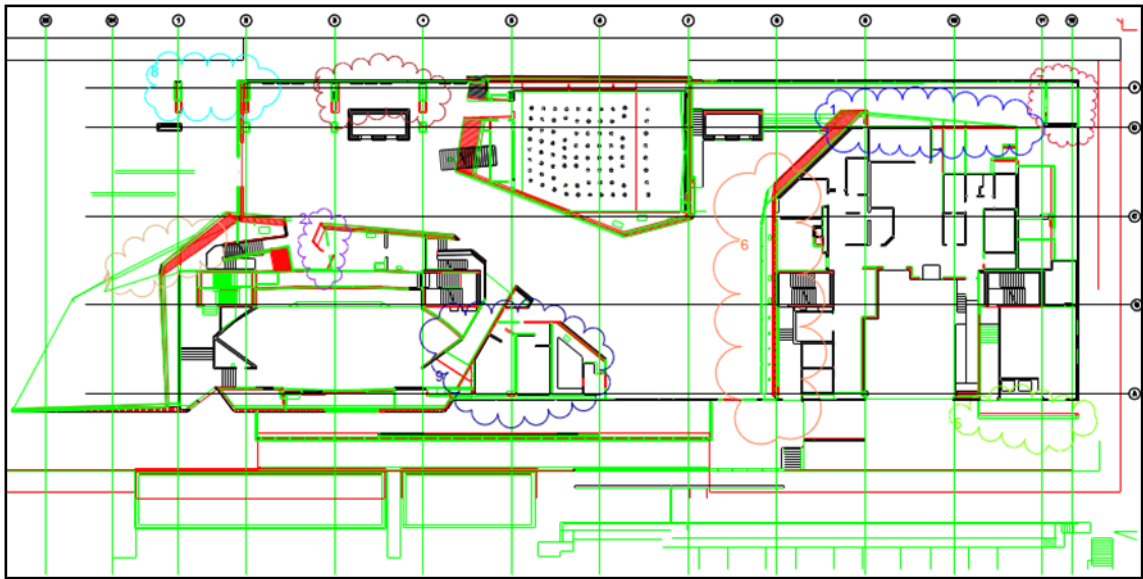
(a)  
Added Openings: 322



(b)  
Deleted Openings: 242

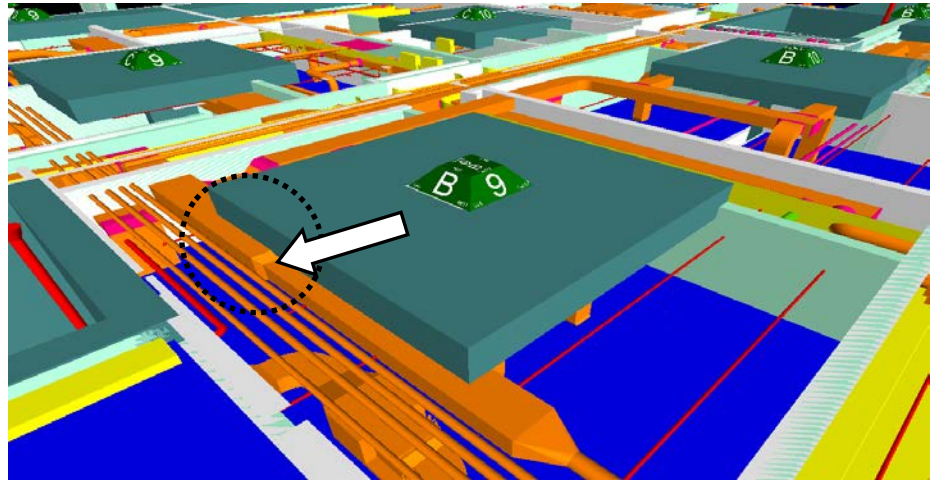
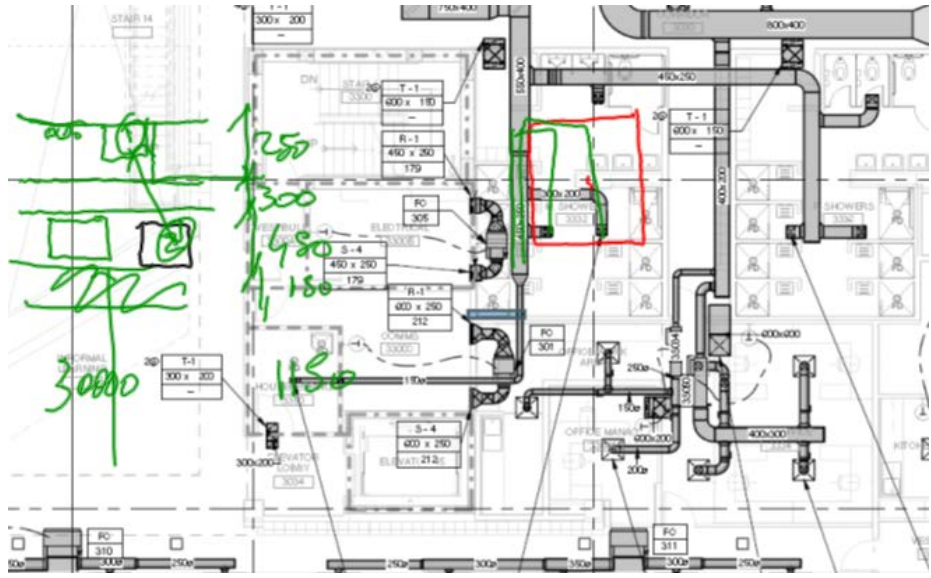


(c)  
Modified Openings: 61

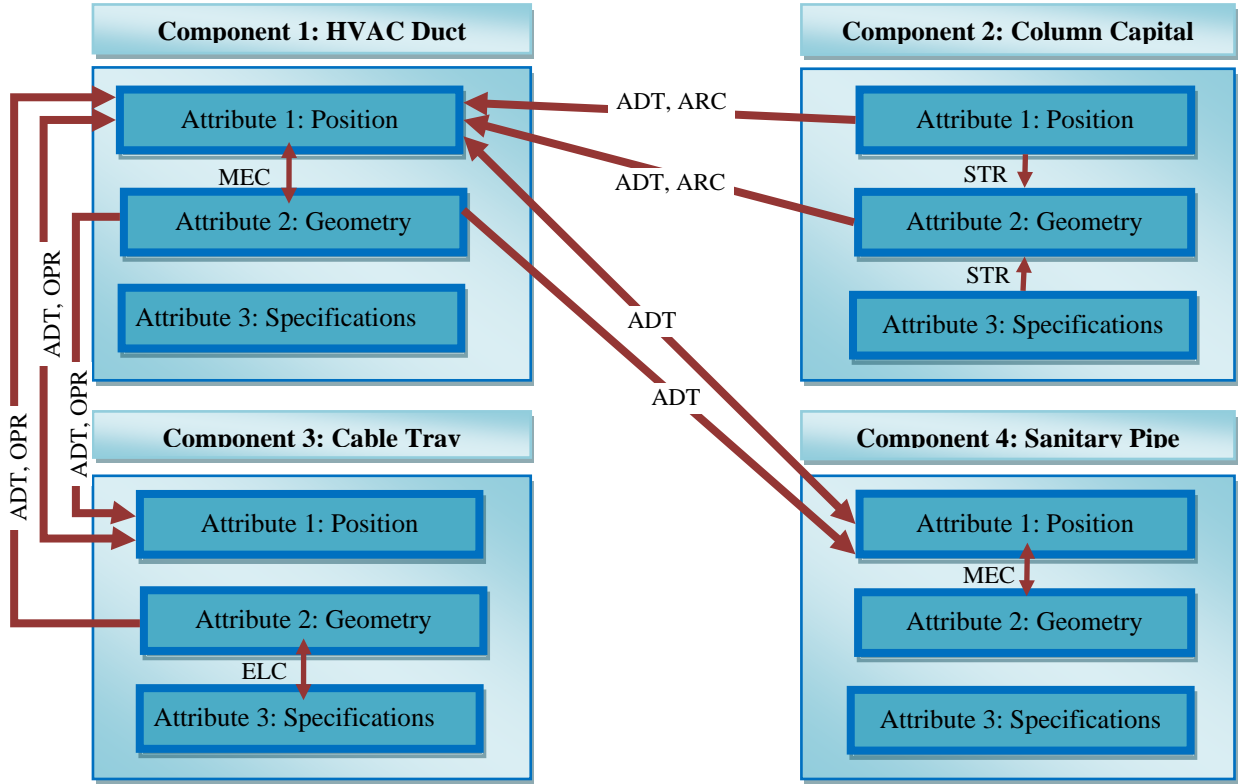


(d)

**Figure 2.** Track of changes in openings - Solibri Model Checker™ (a), (b) & (c) and on 2D (drawings) - Vico Doc Set Manager™ (d)

Clash ID	Status	Location	Received Date	Resolved Date
Level 3 East-CL04	Resolved	Level 3 East- Adjacent to Electrical Room- Col. B9	21 April, 2011	26 April, 2011
Reference Drawings	A2.13 E4.04 M2.08 M2.09 P2.05			
Clash Description	Ductwork clashes with column capital. Ceiling Height of 3000 mm is desired in adjacent shower area.			
Sketch Plan/ Section/ 3D Screenshot				
Solution / Changes	Ductwork and plumbing routes will change and move toward electrical room. Size of duct will reduce at column capital.			
Sketch Plan/ Section/ 3D Screenshot				

**Figure 3.** Clash report relating to the conflict between ductwork and a column capital



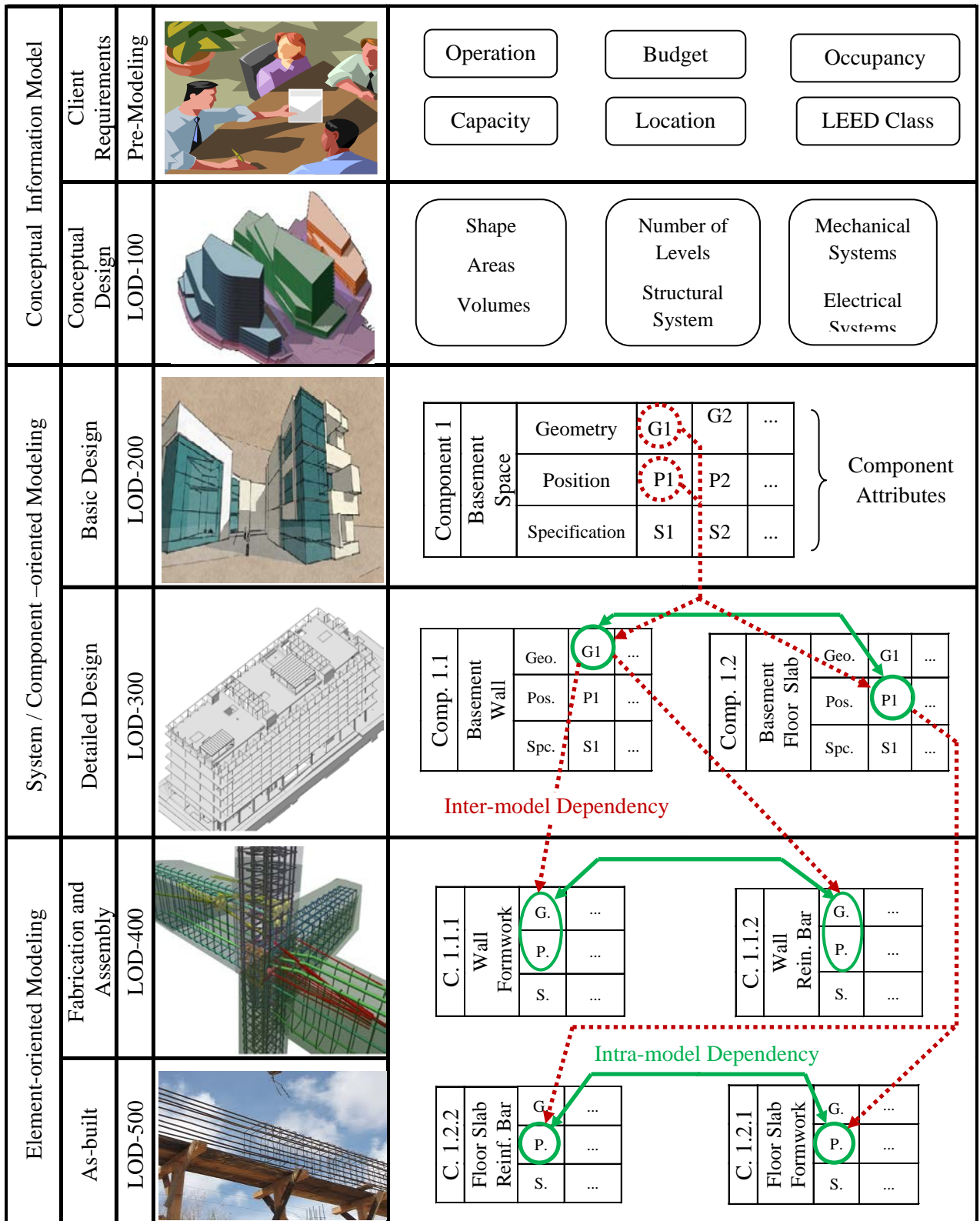
(a)

$$D = \begin{bmatrix} |D_{11}| & \dots & |D_{1n}| \\ \vdots & |D_{ij}| & \vdots \\ |D_{n1}| & \dots & |D_{nn}| \end{bmatrix} = \begin{bmatrix} \left[ \begin{array}{ccc|ccc|ccc} 1 & 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 \\ 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \end{array} \right] \end{bmatrix}$$

$$|D_{ij}| = \begin{bmatrix} d_{11} & \dots & d_{1m} \\ \vdots & d_{pq} & \vdots \\ d_{m1} & \dots & d_{mm} \end{bmatrix} d_{pq} ; d_{pq} = \begin{cases} 1 : & \text{if change in attribute } p \text{ of component } i \\ & \text{affects attribute } q \text{ of component } j \\ 0 : & \text{if change in attribute } p \text{ of component } i \\ & \text{does not affect attribute } q \text{ of component } j \end{cases}$$

(b)

**Figure 4.** Dependency graph corresponding to Example #2 (a) and its matrix representation (b)



**Figure 5.** Formation of inter-model dependencies throughout BIM evolution [For the definition of LOD refer to AIA (2008), Document E202]