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1 **Influences of luminance and accommodation stimuli on pupil size and pupil center**
2 **location**

3

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20 **Abstract**

21

22 **PURPOSE.** To investigate effects of luminance and accommodation stimuli on pupil size
23 and pupil center location and their implications for progressive addition lens wear.

24 **METHODS.** Participants were young and older adult groups ($n=20$, 22 ± 2 years, age range
25 18-25 years; $n=19$, 49 ± 4 years, 45-58 years). A wave aberrometer included a relay system to
26 allow a $12.5^\circ \times 11^\circ$ background for the internal fixation target. Participants viewed the target
27 under a matrix of conditions with luminance levels 0.01, 3.7, 120 and 6100 cd/m^2 and with
28 accommodation stimuli up to 6 diopters in 2 diopter steps. Pupil sizes and their centers,
29 relative to limbus centers, were determined from anterior eye images.

30 **RESULTS.** With luminance increase, reduction in pupil size was accentuated by increase in
31 accommodation stimulus in the young, but not in the older, group. As luminance increased,
32 pupil center location altered. This was nasally in both groups with an average shift of
33 approximately 0.12mm. Relative to the lowest stimulus condition, the mean of the maximum
34 absolute pupil center shifts was $0.26\pm 0.08\text{mm}$ for both groups with individual shifts up to
35 0.5mm, findings consistent with previous studies. There was no significant effect of
36 accommodation on pupil center locations for either age group, or evidence that location was
37 influenced by the combination of luminance and accommodation stimulus that resulted in any
38 particular pupil size.

39 **CONCLUSIONS.** Variations in luminance and accommodation influence pupil size, but
40 only the former affects pupil center location significantly. Pupil center shifts are too small to
41 be of concern in fitting progressive addition lenses.

42

43 Keywords: accommodation, presbyopia, progressive addition lenses, pupil centration, pupil
44 size

45 **INTRODUCTION**

46 The magnitude and the structure of the aberrations of the eye change with pupil diameter,
47 pupil center location and accommodation. Visual performance is closely dependent on these
48 three entities and there are no reports directly quantifying their mutual interactions.

49 Previous studies have investigated shifts in pupil center location upon changes in
50 pupil size due to illumination changes or to mydriatic drugs.¹⁻⁸ Amidst considerable variation
51 between people, generally pupil dilation is accompanied by temporal pupil center shifts.
52 There are different effects between natural and anticholinergic drug-induced dilation^{1,4} with
53 the latter showing a tendency for superior shifts, while Porter et al.⁵ obtained infero-nasal
54 shifts with the sympathomimetic dilator phenylephrine. The maximum shifts reported are 0.5-
55 0.6 mm. Yang et al.⁴ did not find the changes to be related significantly to refraction or to
56 age.

57 None of these studies considered the effect of accommodation on pupil center
58 location. There are neurological and mechanical influences which might affect pupil center
59 location: pupillary constriction due to accommodation is controlled by area 19 of the visual
60 cortex whereas that due to increase in luminance is controlled by the pretectal nucleus,⁹ and
61 during accommodation the crystalline lens thickens and moves forward, causing the iris to be
62 in contact with the protruding anterior lens surface over a greater area.

63 Pupil position moves inferiorly and nasally relative to a spectacle lens when gaze is
64 shifted from a distant to a near target. Progressive addition lens designs should be optimized
65 for any additional pupil shifts relative to the eye itself. Although likely to be small, such
66 changes influence the eye's optical aberrations and potentially play a significant role in lens
67 acceptability. Mutual interactions between changes in pupil size and center location, optical
68 aberrations and the eye's accommodation will provide important information in
69 understanding the eye's optics and in successful spectacle lens fitting.

70 This study investigated changes in pupil size and pupil center location due to the
71 influences of luminance and accommodation stimulation, and their implications for
72 progressive addition lens wear.

73

74 **METHODS**

75 The study complied with the tenets of Declaration of Helsinki and was approved by the
76 University' Human Research Ethics Committee. The participants were staff and students of
77 Queensland University of Technology in good general and ocular health, with tested eyes
78 having best corrected visual acuities $\geq 6/6$, subjective spherical equivalent refractions within
79 $\pm 3D$, and cylinder $\leq 0.75 D$. There were 20 young participants (mean age 22 ± 2 years, age
80 range 18-25 years, spherical equivalent $-1.45 D \pm 0.94 D$) and 19 older participants (mean
81 age 49 ± 4 years, age range 45-58 years, spherical equivalent $-1.80 D \pm 1.56 D$).

82 The experiment was performed with room lights off and the non-tested eyes occluded.
83 Measurements were done on right eyes, except that left eyes were used when right eye visual
84 acuity was poorer than 6/6 (2 cases) or refraction was $< -3 D$ (1 case). No refractive
85 correction or eye drops were used.

86 Pupil images and wave aberrations were measured with a modified COAS-HD
87 Hartmann-Shack aberrometer (Wavefront Sciences Inc., USA). In its usual operation, the
88 internal target of the aberrometer is fogged automatically by about 1.5 D. However, the
89 position of the internal target can be controlled manually by changing the "slider" value in
90 the COAS-HD program. In order to estimate the slider value for a given accommodative
91 demand a calibration procedure was performed. A telescope focused for distance by one of
92 the authors was placed with its objective at the usual eye position. Trial lenses ranging from –
93 6.50 D to +8.00 D power in 0.50 D steps were placed in front of the objective and the slider
94 value was adjusted so that the internal target was in focus. The sign of the lens power was

95 then changed to simulate refraction. A second order fit was performed to determine the
96 relationship between the refraction and slider position. The refraction is *mean spherical*
97 *equivalent refraction – accommodation stimulus*. Mean spherical equivalent refraction was
98 determined from the automatic slider position mode of the instrument, averaging 3 spherical
99 equivalent refractions for a 4 mm pupil, using 2nd and 4th order Zernike aberration terms.

100 Participants placed their heads on the aberrometer's chin rest and fixated the white
101 internal target through an optical relay system that provided a wide field of view.¹⁰ The
102 internal target provided the accommodative stimulus. There were 4 background luminance
103 levels (level 1 0.01 cd/m², level 2 3.7 cd/m², level 3 120 cd/m² and level 4 6100 cd/m²) and up
104 to 4 accommodation stimulus levels (0, 2, 4 and 6 D). Luminance was measured with a
105 Topcon BM-7A luminance colorimeter (Topcon Corporation, Japan). Internal target
106 luminance was increased as background luminance increased so that the participants were
107 able to focus easily on the internal target in the presence of the glare due to the background.
108 The luminances of the internal target were 0.01, 0.8, 3.7 and 52 cd/m² for luminance levels 1,
109 2, 3, and 4, respectively.

110 Powerpoint slides were projected from an LCD projector (Epson EMP-1810) onto a
111 rear projection screen, 1.8 m from the eye, that was viewed through the relay system. The
112 projected slides formed 12.5° horizontal x 11° vertical white backgrounds for the target
113 (Figure 1). Luminance level 1 was produced using the internal target only, luminance level 2
114 was produced with a Kodak ND-1 gelatin filter in front of the projector, and luminance levels
115 3 and 4 were produced by altering slide brightness without the filter. To make the internal
116 target visible against the background, a black square of cardboard (2.5° subtense) was placed
117 on the screen.

118 All luminance levels were used for a given accommodation stimulus before
119 proceeding to a higher accommodation stimulus. Three measurements were taken for each

120 luminance-accommodation stimulus combination. Accommodation stimuli increased until
121 participants reported that the target could no longer be made to appear clear, up to a
122 maximum of 6D.

123 The eye images were analyzed using ImageJ (developed by Wayne Rasband, National
124 Institutes of Health, available at <http://rsbweb.nih.gov/ij/index.html>). An algorithm fitted a
125 rotated ellipse using the least squares method to 8 user selected points across each of the
126 limbus and pupillary margins. The algorithm estimated x, y coordinates of the pupil center
127 relative to the limbus center. Signs of pupil center location were corrected to account for the
128 image rotation due to the relay system and for the left and right eyes. Nasal and superior pupil
129 center locations were taken as positive.

130 Analysis for the young and older groups was done up to 6 D and 4 D accommodation
131 stimuli, respectively. As two young participants could not see the 6D stimulus clearly and 7
132 older participants could not see the 4D stimulus clearly, missing value analysis was done
133 using a regression model with IBM SPSS package (IBM Corporation, USA). Repeated
134 measures analysis of variance was used to investigate effects of luminance and
135 accommodation on pupil diameter and pupil center location (separately in horizontal and
136 vertical directions) for each age group. Post-hoc t-tests with Bonferroni correction, to
137 compensate for multiple pairwise comparisons, compared the different luminance or
138 accommodation stimulus conditions.

139 Apart from absolute shifts, where mean changes in pupil size or pupil center location
140 between conditions are given in the text and figures, these include only participants who
141 could be compared across all conditions i.e. 18/20 and 12/19 participants in the young and
142 older groups, respectively.

143

144

145 **RESULTS**

146 **Pupil Size**

147 Figure 2 shows pupil diameters at each accommodation stimulus for the 4 luminance levels.
148 The maximum mean changes in pupil diameter across the luminance-accommodation
149 stimulus combinations were 3.8 mm for the young group (comparing luminance level 1 - 0D
150 accommodation stimulus combination with luminance level 4 – 6D accommodation stimulus
151 combination) and 2.6 mm for the older group (comparing luminance level 1 - 0D
152 accommodation stimulus combination with luminance level 4 – 4D accommodation stimulus
153 combination). This shows pupil constriction with increase in luminance ($F_{15, 19} = 236$, $p <$
154 0.001 for the young group and $F_{11, 18} = 58$, $p < 0.001$ for the older group), with all but one
155 pair-wise comparison of luminance levels being significant. Also, the pupil size became
156 smaller with increase in accommodation stimulus for the young group ($F_{15, 19} = 30$, $p <$
157 0.001), with all pair-wise comparisons of stimuli being significant. For the older group, there
158 was no significant change of pupil size with accommodation ($p = 0.12$).

159 160 **Pupil Center Location**

161 Relative to the luminance 1 – 0D accommodation stimulus combination, the mean of the
162 maximum absolute pupil center shifts were 0.20 ± 0.09 mm horizontally and 0.18 ± 0.05 mm
163 vertically for the young group, and 0.17 ± 0.05 mm horizontally and 0.22 ± 0.10 mm
164 vertically for the older group. Combining the horizontal and vertical shifts, the mean of the
165 participants' maximum absolute pupil center shifts were 0.26 ± 0.08 mm for both groups.

166 Figure 3 shows the pupil center locations at each accommodation stimulus for the 4
167 luminance levels. The trend is for shift in the nasal direction as luminance increased, with
168 mean shift from the lowest to the highest stimulus combination of $+0.11 \pm 0.14$ mm and 0.12
169 ± 0.09 mm for the young and older groups, respectively. Luminance affected pupil center
170 location significantly in the horizontal direction only ($F_{15, 19} = 20$, $p < 0.001$ for young group

171 and $F_{11, 18} = 15$, $p < 0.001$ for older group), with luminance levels 3 and 4 being significantly
172 different from level 1 for both groups. There was no significant effect of accommodation
173 stimulus for either age group either horizontally or vertically.

174 Although the mean pupil center shifted little with variations in effects of luminance
175 and accommodation stimulus (Figures 3), there were substantial shifts for some participants.
176 Sixteen of the young participants and thirteen of the older participants had absolute pupil
177 center shifts ≥ 0.2 mm relative to the luminance level 1 - 0D accommodation stimulus
178 combination (Figure 4). One young participant had 0.50 mm nasal and 0.06 mm superior
179 shifts accompanying 2.4 mm pupil constriction from luminance level 1 - accommodation 0D
180 combination to luminance level 4 – accommodation 6D combination (Figure 5).

181

182 **Pupil size and pupil center interaction**

183 Figure 6 shows pupil center locations as a function of pupil diameter for each participant.
184 Pupil center shifted significantly in the nasal direction (positive shift) with decrease in pupil
185 size, with rates of change of -0.022 mm/mm and -0.039 mm/mm for young and older groups,
186 respectively. The young group also showed significant inferior shift at the rate of -0.013
187 mm/mm with increase in pupil size.

188 Figure 7 is similar to Figure 6, but does not distinguish between luminance levels,
189 excludes the participants reporting blur of the highest accommodation stimulus, and has
190 regressions for the different accommodation conditions. Evidence for different interactions of
191 pupil center location with pupil size, at different accommodation conditions, would be shown
192 by different heights or slopes of the regressions. Analysis by t-tests shows no such
193 significance, so it appears that the interactions do not vary with accommodation. This means
194 that the pupil center location for any pupil size does not appear to be influenced by the

195 combination of luminance and accommodation used to produce the pupil size, at least for the
196 conditions of the study.

197
198 **DISCUSSION**

199 We investigated effects of luminance and accommodation stimulus on pupil size and
200 location. As luminance increased, the expected reduction^{11,12} in pupil size occurred. This was
201 accentuated by increase in accommodation stimulus in a young adult group, but not in an
202 older adult group. As luminance increased, the pupil center shifted. This was nasally in both
203 subject groups with an average nasal shift of approximately 0.12 mm and considerable
204 variation between participants with individual shifts up to 0.5 mm, findings consistent with
205 previous studies.^{1-5,8} It is interesting that similar nasal shifts occurred for the two groups
206 despite the younger group having a larger range of pupil sizes (e.g. mean range 3.7 mm
207 compared with 2.5 mm for the older group in Figure 2).

208 New findings are that there was no significant effect of accommodation on pupil
209 center locations for either age group, and that there was no evidence that the location was
210 influenced by the combination of luminance and accommodation stimulus that resulted in any
211 particular pupil size.

212 It is likely that greater pupil center shifts could have been obtained if we had been
213 able to obtain a larger range of pupil sizes. Smaller pupils could have been achieved by
214 higher luminances or a larger field.^{13,14} Watson & Yellott's "unified" pupil size program¹²
215 predicts that pupil size at 6100 cd/m² and 22 years decreases from 3.5 mm for a 12° diameter
216 field (mean 3.3±0. mm for our young group for zero accommodation stimulus) to 2.4 mm for
217 a 90° field; the slope of -0.02 mm horizontal decentration/mm change in pupil diameter in
218 Figure 7Aa indicating a further (+) 0.02 mm shift in the nasal direction is likely. Similarly,
219 they predicted pupil size at 6100 cd/m² and 49 years decreases from 3.2 mm for a 12°
220 diameter field (3..5 mm for our older group) to 2.3 mm for a 90° field, with the slope of -0.03

221 mm/mm in Figure 7Ba indicating a further (+)0.03 mm nasal shift. Other studies using
222 natural pupils^{2-4,6} were also restricted, at least at the small end of the pupil size range, and
223 otherwise might have shown greater pupil center shifts.

224 The limited extent to which participants responded to the accommodative stimuli may
225 have been responsible for the limited significant effect of accommodation on pupil size
226 (significant for young group only) and the lack of significance on pupil decentration. Changes
227 in refraction for maximum stimuli level are shown in Figure 8 and it is clear that
228 accommodation response was poor in nearly half the young participants and in all the older
229 participants despite them reporting that the target was clear.

230 As well as the limitations referred to above concerning the limited ranges of pupil
231 sizes and accommodation responses, the other main limitation of this study was the small
232 number of only older subjects (12/19) reporting being able to see the 4 D stimulus clearly and
233 thus complicating the analyses.

234 In fitting progressive addition lenses, distance and near reference locations are
235 located. Distance reference points may be measured with the eyes looking straight ahead. The
236 near reference locations are determined from this, usually by assuming them to be at
237 particular settings on the lens relative to the distance reference locations. Alternatively the
238 near reference locations are measured and the distance reference points are derived, or there
239 is some combination of near monocular pupillary distances and distance fitting heights. The
240 measurements are made without any consideration of possible pupil center shifts
241 accompanying luminance and accommodation changes. In the usual clinical setting, lighting
242 levels are likely to be low photopic and without providing a strong stimulus to
243 accommodation. Assuming that both eyes behave similarly with changes in luminance and
244 accommodation, the average effects on pupil center separation under different conditions are
245 likely to be about 0.2 mm, but with the possibility that this might be up to 1.0 mm in a small

246 proportion of cases e.g. 1/39 eyes in our study. It does not seem that pupil center shifts should
247 be of concern in the use of progressive addition lenses.

248

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251 Anna Puglisi helped with data analysis.

252

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- 284

285 **Figure Captions**

286 Figure 1. Appearance of COAS-HD internal target and background.

287

288 Figure 2. Mean pupil diameter with accommodation stimulus as a function of background
289 luminance for (A) young and (B) older groups. This includes only the participants who
290 reported that the target was clear at the highest accommodation stimulus (19 in young group,
291 12 in older group). The “*” represents significant effect of luminance levels on pupil
292 diameter compared with luminance condition 1. The “#” represents significant effect of
293 accommodation stimulus on pupil diameter compared with O D accommodation stimulus.
294 The error bars are standard deviations. “Acc” represents the accommodation stimulus.

295

296 Figure 3. Mean pupil center location with accommodation stimulus as a function of
297 background luminance for (A) young and (B) older groups along the (a) horizontal and (b)
298 vertical meridians for all the luminance accommodation-stimulus combinations. This figure
299 includes only the participants who reported that the target was clear at the highest
300 accommodation stimulus (19 in young group, 12 in older group). Pupil centers are relative to
301 limbus centers, with positive values indicating nasal/superior locations. The “*” represent
302 significant effect of luminance levels on pupil center location compared with luminance
303 condition 1. The error bars are standard deviations.

304

305 Figure 4. Pupil center shifts from the lowest luminance-accommodation stimulus
306 combination to the highest luminance-accommodation stimulus combination, for (A) young
307 and (B) older groups. This figure includes only the participants who reported that the target
308 was clear at the highest accommodation stimulus (19 in young group, 12 in older group).

309 Black crosses show mean pupil center shifts and the other symbols represent individual
310 participants.

311

312 Figure 5. Pupil size and pupil center location of one participant's left eye for (a) luminance
313 level 1 and 0D accommodation stimulus combination, and (b) luminance level 4 and 6D
314 accommodation stimulus combination.

315

316 Figure 6. Pupil center location as a function of pupil size for (A) young and (B) older groups
317 along (a) horizontal and (b) vertical meridians. This figure includes all participants. Solid
318 lines are regressions, for which the statistics are given in the legend, and the dotted lines
319 represent 95% confidence intervals of the regressions. Positive values correspond to nasal
320 and superior locations. Symbols represent different accommodation-luminance conditions.
321 L1A0 represents luminance level 1 and 0D accommodation stimulus, and so on.

322

323 Figure 7. Pupil center location as a function of pupil size for (A) young and (B) older groups
324 along (a) horizontal and (b) vertical meridians. This figure includes only participants who
325 reported that the target was clear at the highest accommodation stimulus (19 in young group,
326 12 in older group). Regressions are shown for each accommodation stimulus. For
327 accommodation stimulus 0D, the 95% confidence limits of the regression are also shown.

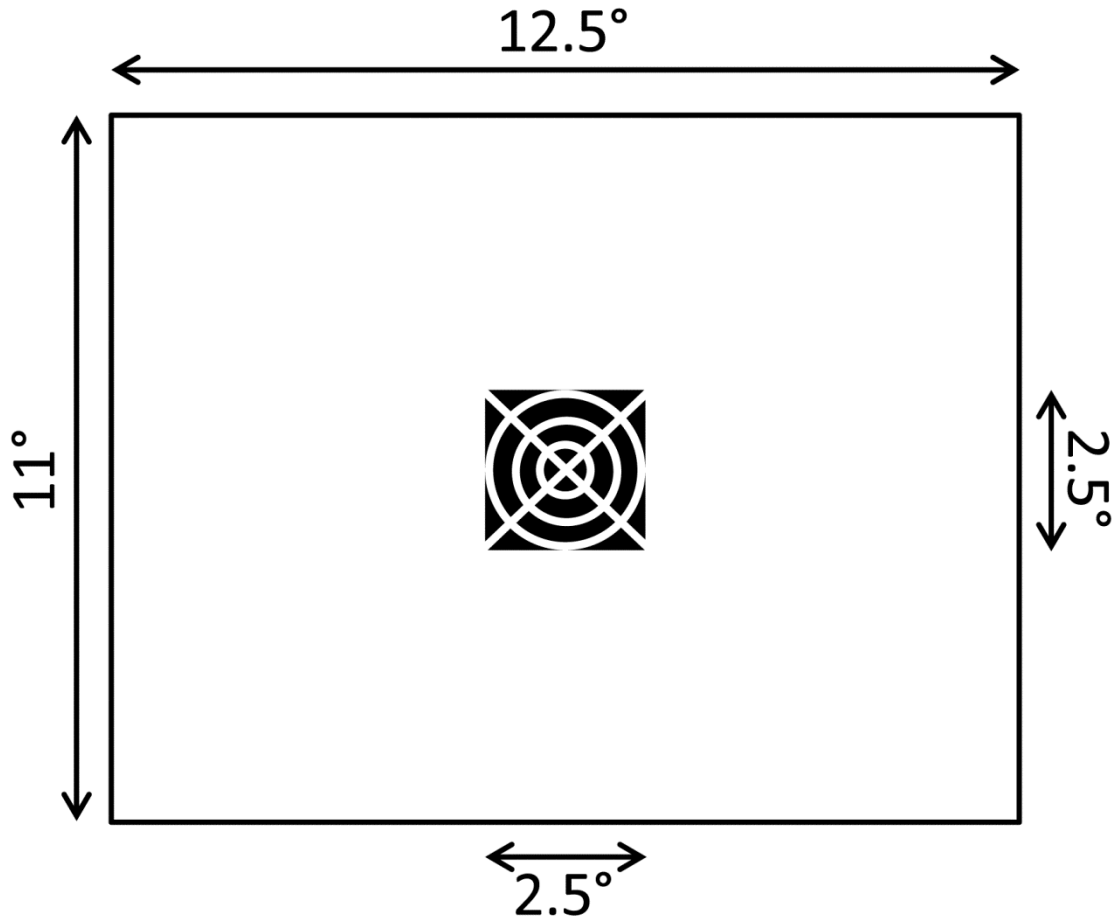
328

329 Figure 8. Accommodation responses for young and older subjects in response to 6 D and 4 D
330 accommodation stimuli, respectively. Response has been determined from differences in
331 spherical equivalent refraction, derived from average defocus aberration coefficients at 3 mm
332 pupils, between 0D accommodation stimulus and the high accommodation stimulus.

333 Luminance level 1 was used for the low accommodation stimulus and luminance level 4 was

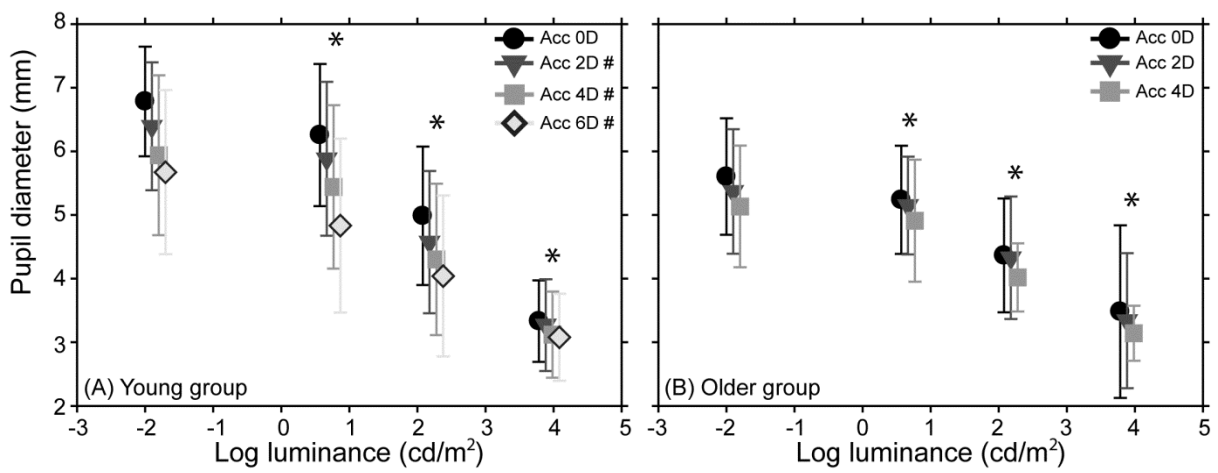
334 used for the high accommodation stimulus. Only participants who reported that the target was
 335 clear at these stimuli are included.

336 Figures



337

338 Figure 1



339

340 Figure 2.

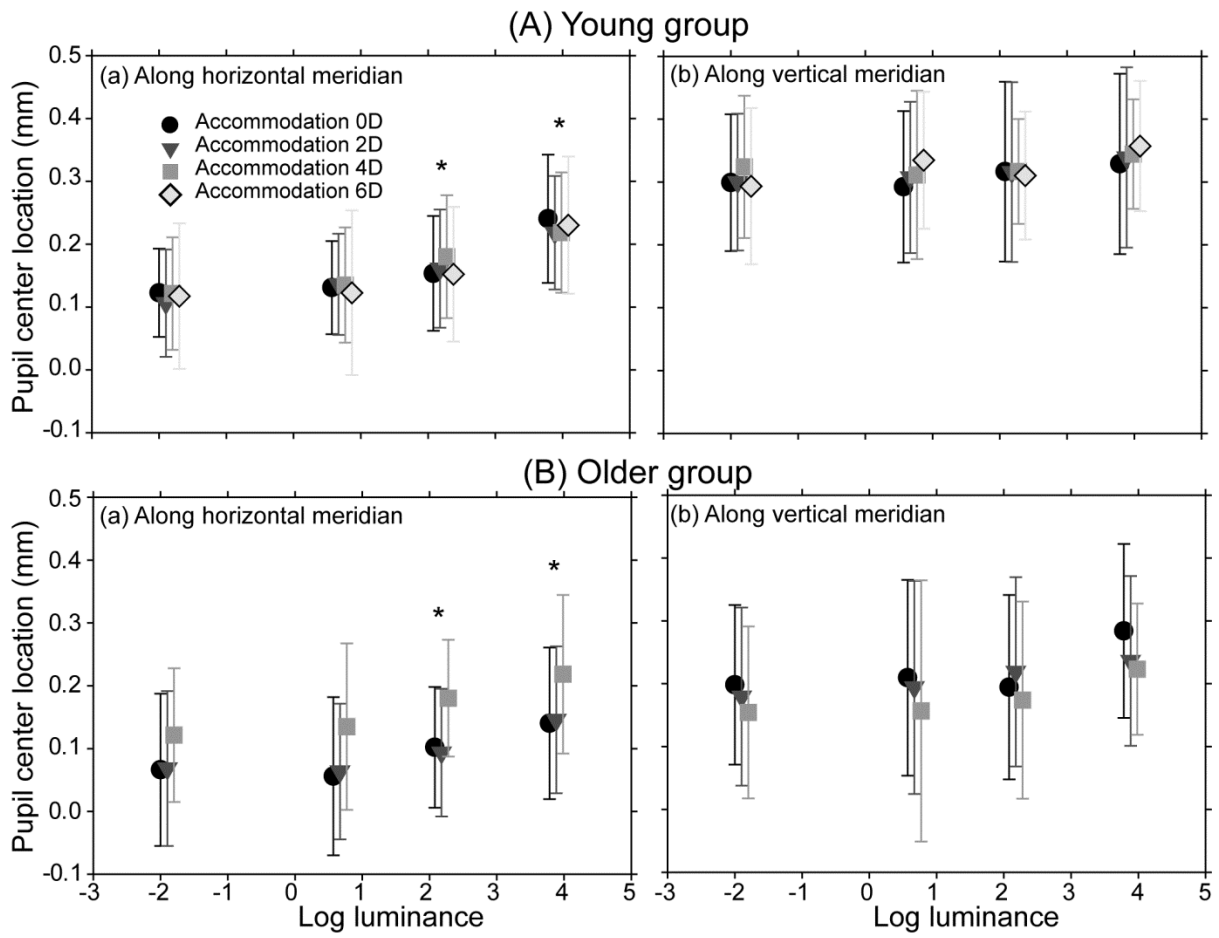


Figure 3

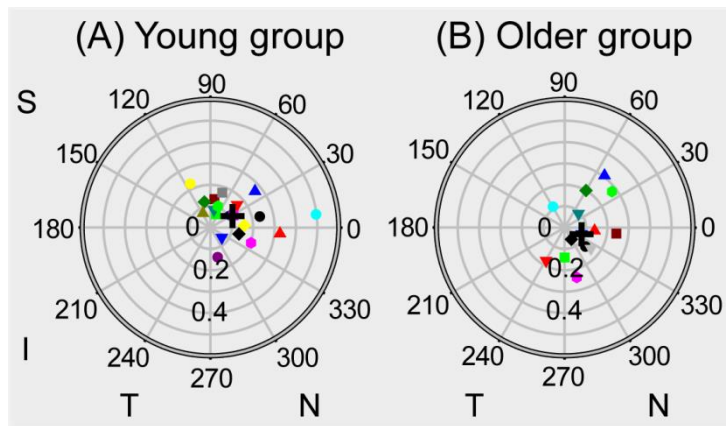
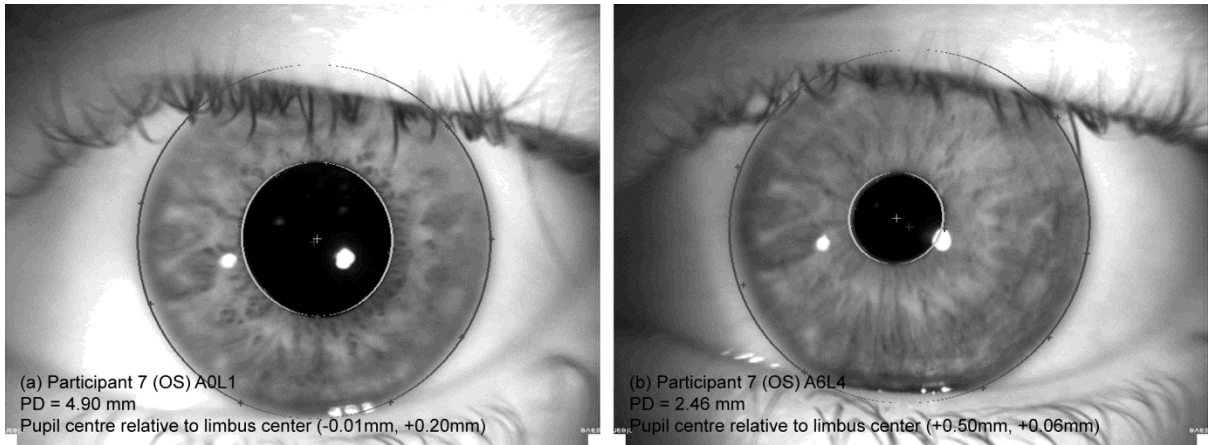
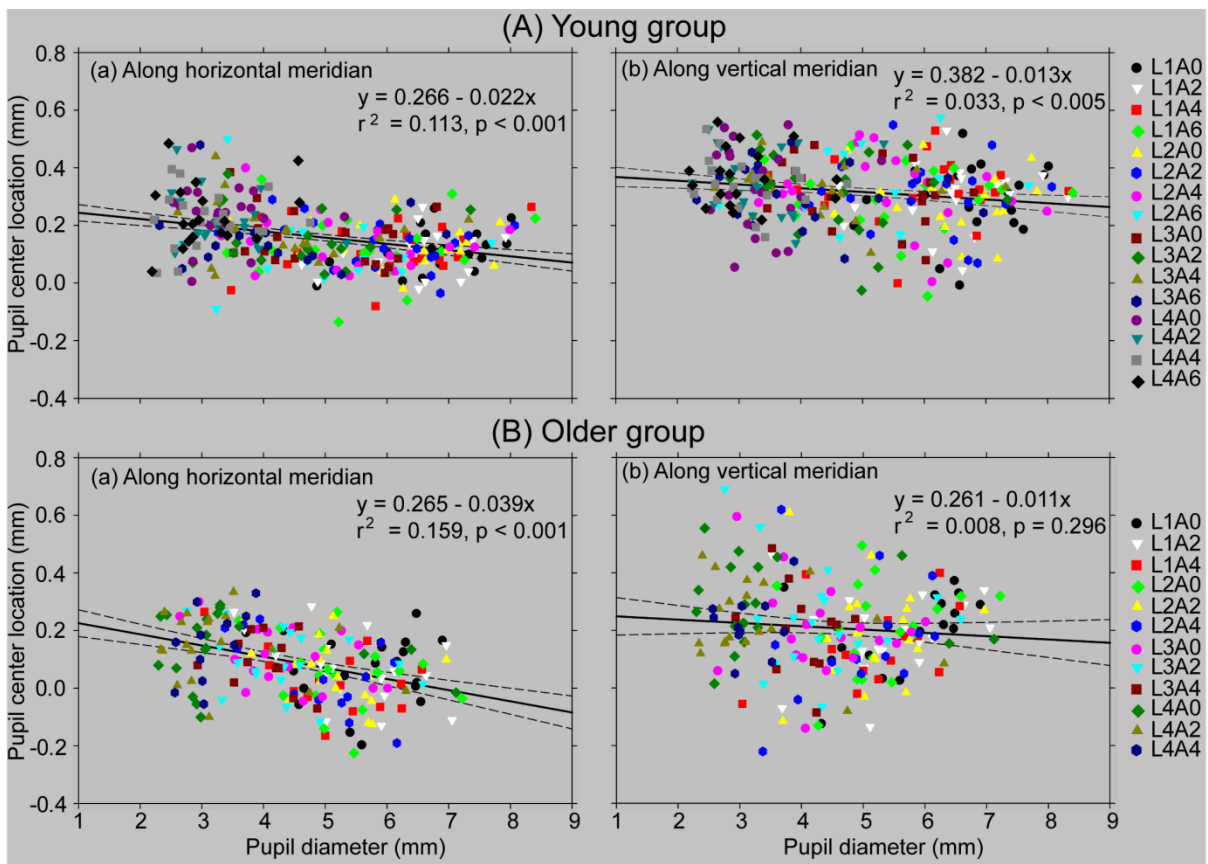


Figure 4



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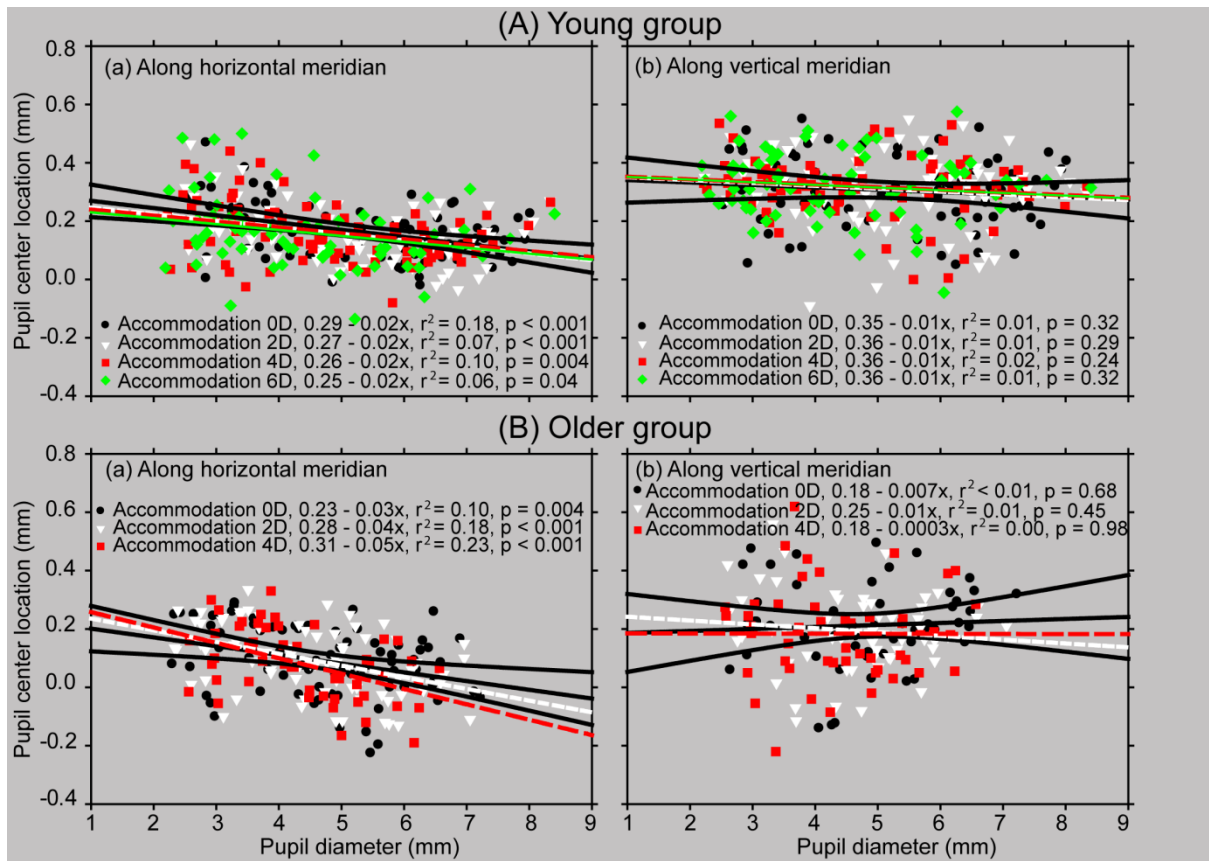
346 Figure 5



347

348 Figure 6

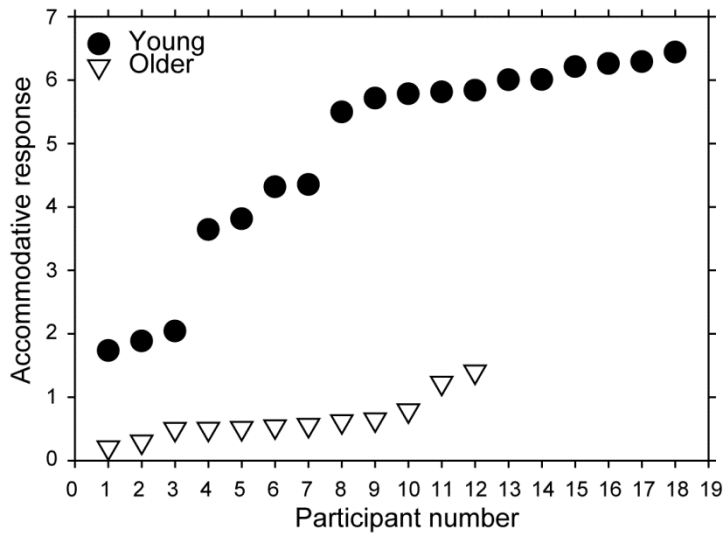
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350

351 Figure 7

352



353

354 Figure 8