Avoidance of Self During CRISPR Immunization

Jake L. Weissman¹, Arlin Stoltzfus^{2,3}, Edze R. Westra⁴, and Philip L. F. Johnson^{1,*}

¹Department of Biology, University of Maryland College Park, MD, USA
²Office of Data and Informatics, Material Measurement Laboratory, NIST
³Institute for Bioscience and Biotechnology Research, 9600 Gudelsky Drive, Rockville, MD 20850
⁴Environment and Sustainability Institute, Centre for Ecology and Conservation, University of Exeter, Biosciences, Penryn, Cornwall, UK
*Correspondence: plfj@umd.edu (P.L.F. Johnson)

Keywords: autoimmunity; self-nonself recognition; priming

Abstract

1

2

3

4

5

6

7

8

9

10

11

12

13

The battle between microbes and their viruses is ancient and ongoing. CRISPR immunity, the first and, to-date, only form of adaptive immunity found in prokaryotes, represents a flexible mechanism to recall past infections while also adapting to a changing pathogenic environment. Critical to the role of CRISPR as an adaptive immune mechanism is its capacity for self versus non-self recognition when acquiring novel immune memories. Yet, CRISPR systems vary widely in both how and to what degree they can distinguish foreign from self-derived genetic material. We document known and hypothesized mechanisms that bias the acquisition of immune memory towards non-self targets. We demonstrate that diversity is the rule, with many widespread but no universal mechanisms for self vs. non-self recognition.

Distinguishing Self from Non-Self During the CRISPR Immune Response 15

Viruses of microbes severely impact their hosts' population and evolution-16 ary dynamics [1, 2], and, as a result, prokaryotes have evolved a number 17 of anti-viral defense systems, some quite complex [3, 4, 5, 6]. Among the 18 best-studied classes of host defense systems are the CRISPR immune sys-19 tems, which can acquire novel and highly specific immune "memory" (in the 20 form of short DNA fragments called "**spacers**": see Glossary) and then use 21 this memory to degrade matching viral genetic material [7, 8]. Typically, 22 immunity proceeds in three steps (1) spacer acquisition (sometimes called 23 'adaptation' in the literature) [8, 9], (2) biogenesis of short guide RNAs 24 (crRNAs) corresponding to the host's spacer repertoire [10, 11, 12], (3) 25 targeting and degradation of the matching sequence on the invading genome 26 (the "protospacer") [8, 10, 11, 12]. During this multi-stage process the host 27 cell must successfully identify foreign genetic material and distinguish these 28

potential targets from self genetic material, or else risk costly autoimmunity ²⁹ and inefficient clearance of viral pathogens. ³⁰

Therefore, CRISPR's capacity for self versus non-self recognition is criti-31 cal to its role as an adaptive immune mechanism. All immune systems face 32 a fundamental trade-off between pathology induced by the pathogen and 33 pathology associated with autoimmunity. Unlike innate immune systems, the 34 inherent flexibility of adaptive immune systems makes autoimmunity a recur-35 ring threat, thus favoring the evolution of continuously acting mechanisms 36 to avoid self-targeting during the lifetime of an organism. In the vertebrate 37 adaptive immune system, numerous mechanisms are well understood to pre-38 vent autoimmunity through both biased (i.e., against non-self) acquisition 39 of immunity and biased targeting [13]. Similarly, CRISPR may differentiate 40 self from non-self at multiple stages of immunity. Indeed, non-self recognition 41 in CRISPR immunity has been demonstrated during spacer acquisition (dis-42 cussed below, e.g., [14]) and target degradation (via mechanisms that prevent 43 cleavage of self targets, e.g., [15]). In principle non-self recognition could also 44 occur during crRNA maturation if self-targeting sequences were not allowed 45 to fully mature (in a process akin to **thymic selection** in vertebrate adaptive 46 immune systems [13]), though to our knowledge this has not been observed. 47 The details of CRISPR immunity, and specific protein machinery involved, 48 are quite variable across systems (see Box 1 for an overview), leading to cor-49 responding variability in the mechanisms of non-self recognition employed by 50 different CRISPR systems. 51

Box 1 - The unity and diversity of CRISPR defense systems

CRISPR arrays are loci on the host genome where memories (spacers) are stored [7], and the CRISPR-associated (Cas) proteins are the machinery responsible for both the acquisition of novel memories and the use of current memories in immune defense [16]. All CRISPR systems share the same core acquisition genes, *cas1* and *cas2*, though the acquisition process may differ in many details between systems (with some systems using additional acquisition proteins [17, 18], and some even acquiring spacers from RNA [19]; see [20, 21] for in-depth reviews of the mechanics of spacer acquisition). In contrast, the Cas targeting machinery, or "effector" module, is highly variable among system types, and is used as the basis for classifying systems [16, 22]. Systems are grouped into two classes on the basis of whether their effector module consists of a single Cas protein (e.g., Cas9 in type II systems or Cas12 in type V systems) or complex of Cas proteins (e.g., the Cascade complex and Cas3 in type I systems). Below the class level, systems can be classified into at least 6 types and 33 subtypes, though the majority of systems belong to types I, II and III, with type I being the most prevalent among sequenced genomes [17, 16, 22]. System types and subtypes have important functional differences (e.g., RNA targeting in type VI systems [23, 24, 25]) that influence their capacity for self vs. non-self recognition (main text).

Here we focus on mechanisms of self vs. non-self recognition during CRISPR spacer acquisition, as these create a heritable non-self bias passed down through a lineage (though see [26, 27] for examples of recognition during targeting).

To what degree and by what mechanisms does CRISPR distinguish self from non-self during the acquisition of novel immune memories? These questions are not easily answered, as measuring preference for non-self spacer acquisition is challenging in natural, and even many experimental, systems. Acquisition of self-targeting spacers is typically toxic for individual cells, as it 61 programs the CRISPR system to cleave the self genome [28]. These instances 62 - even if they incur a major cost of carrying the system - are hard to detect 63 due to the strong negative selection that causes these individuals to be rapidly 64 purged from the population (Fig 1). To avoid the confounding effects of se-65 lection inherent to population-level studies, much of the experimental work 66 we discuss below estimates the rate of acquisition of self-targeting spacers by 67 tracking engineered or mutant systems that are unable to degrade targets, 68 so that self-targeting carries no cost (e.g., [29, 30]). 69

We group mechanisms for non-self recognition into two broad categories, ⁷⁰ (1) those resulting directly from a biased substrate preference by the Cas ⁷¹ acquisition machinery and (2) those resulting indirectly from other aspects ⁷² of the host's ecological or evolutionary dynamics. We demonstrate that diversity is the rule, with many widespread but no universal mechanisms for ⁷⁴ self vs. non-self recognition during spacer acquisition (Table 1). ⁷⁵

Non-Self Recognition Due to Substrate Preference

If the Cas acquisition machinery preferentially associates with foreign genetic 78 material, a strong non-self spacer acquisition bias may result. In order for 79 the Cas machinery to demonstrate this type of substrate preference, there 80 must be some signal recognized by Cas proteins that is enriched in foreign 81 sequences. In cases where no pre-existing spacers targeting the foreign se-82 quence exist ("naive acquisition"), these signals must result from some generic 83 difference between the host genome and the genomes of mobile genetic el-84 ements. Alternatively, if the host already has a fully or partially matching 85 spacer towards the foreign sequence, it may leverage this information to ac-86 quire additional spacers ("primed acquisition"). 87

Established Mechanisms:	Description	System Types
Replicon Counting [14, 31, 32]	The spacer acquisition machinery pref- erentially associates with double-strand breaks, including at collapsed replication forks. Viruses and high-copy plasmids present many more centers of replication than the host genome.	Type I and some type II systems
Synergy with RM Systems [33, 34]	Spacers are acquired from the frag- mented byproducts of restriction en- zymes. Since RM systems can differen- tiate self from non-self, CRISPR inherits this bias.	Type II systems (Potentially other types)
Priming [35, 36, 37]	Pre-existing partial or complete match- ing between a spacer and protospacer leads to a sharp increase in spacer ac- quisition from sites in the same genome. This allows immunity to be rapidly up- dated during host-virus coevolution.	Type I and II sys- tems
^{<i>a</i>} Induction [38]	The <i>cas</i> genes are up-regulated during in- fection or periods of elevated risk of in- fection. Induction is particularly relevant when infection is infrequent.	Variable (Depends on genomic back- ground)
Speculative Mechanisms:		
Transcription de- pendent spacer ac- quisition [19, 39, 31]	Viral genes are highly expressed during infection. This promotes acquisition in systems that acquire spacers from RNA and also potentially those that acquire spacers from DNA.	Some type III, and possibly type I and type VI systems
Protospacer preference [40, 41]	If host has purged potential sites of spacer acquisition from genome, then self-targeting will be less likely.	Type I and II sys- tems (Potentially other types)
^{<i>a</i>} Horizontal transfer of spacers [42, 43, 44, 45]	Recombination occurs between arrays and entire arrays can be transferred hori- zontally. Presumably self-targeting spac- ers have already been selected against at this stage.	General to all sys- tems (Depends on rate of horizontal transfer)

^a Mechanisms arising from features of the host's physiology or ecology rather than any explicit substrate preference of the Cas acquisition machinery.

Table 1: **(Key Table)** Mechanisms of self vs. non-self recognition during spacer acquisition.

Naive Spacer Acquisition

What signals generically distinguish parasitic mobile genetic elements from host sequences? Parasites of all kinds often live and reproduce in large numbers within a given host. Thus, though not perfect signals, sequence multiplicity and replication may serve as indicators of mobile genetic elements. Indeed, some CRISPR systems prefer to acquire spacers from actively replicating sequences within the cell, and this can lead to a strong bias towards non-self acquisition [14, 32].

Working with the *E. coli* type I-E system, Levy et al. [14] demonstrated a 96 preference by CRISPR for free DNA ends during acquisition. Because stalled 97 replication forks frequently produce double-strand breaks in the DNA (i.e., 98 free ends), and because high-copy viruses and plasmids will present many 99 more of these replication forks in the cell than the host genome [14], a strong 100 non-self acquisition bias results [14]. Furthermore, when a break occurs, the 101 RecBCD machinery is recruited and processively degrades the DNA until 102 it reaches a Chi site, producing even more substrate for spacer acquisition. 103 Mobile genetic elements like plasmids and viruses typically lack these Chi 104 sites, meaning that degradation will continue along their genomes, further 105 compounding the resulting non-self bias. Levy et al. [14] estimate a 100- to 106 1000-fold preference for plasmid over host DNA during acquisition in their 107 system. 108

Preference for free DNA ends may be a rather general feature of spacer ¹⁰⁹ acquisition, and has been experimentally observed in multiple *Streptococcus* ¹¹⁰ type II-A systems [32, 37]. Similarly, the *Pyrococcus furiosus* acquisition ¹¹¹ module, encoded alongside type I-G and type III-B effector modules, appears to preferentially acquire spacers from regions that are expected to be ¹¹³ especially prone to double-strand breaks [31]. ¹¹⁴

Nevertheless, Wei et al. [30] working with the *Streptococcus thermophilus* ¹¹⁵ DGCC7710 type II-A CRISPR1 locus found that spacers were acquired as ¹¹⁶ frequently from the host genome as a plasmid, indicating no non-self bias. ¹¹⁷ This is a particularly confusing result as the type II-A CRISPR3 locus from 118 the same strain was recently shown to have a preference for free DNA ends 119 [37]. It is possible that the CRISPR1 and CRISPR3 loci of S. thermophilus 120 are functionally quite different (after all, they do have different acquisition 121 rates [46]). More likely, we think, is that the identity of the substrate used 122 in each experiment influences the outcome. Specifically, the plasmid used by 123 Wei et al. [30] is thought to have relatively low copy number (~ 3 copies per 124 cell [30], in contrast to the high burst-size lytic phages used by others [37]). 125 We would expect only a weak preference for plasmid-derived spacers in this 126 case, because the number of plasmid replicons is similar to the number of 127 host replicons. Following this logic, we predict that the more rapidly a virus 128 or plasmid reproduces inside the cell, the more replicons it will produce, 129 and thus the more prone it will be to spacer acquisition. Thus, we might 130 expect large, low-copy plasmids and lysogenic phage to coexist for a longer 131 period of time with an active CRISPR system than high copy plasmids or 132 lytic viruses. Similarly, rapidly replicating hosts that are effectively polyploid 133 would be more prone to self-targeting than slow-growing hosts [47, 48, 49]. 134 In fact, this could partially explain why CRISPR is more prevalent among 135 organisms we expect to be slower-growing (e.g., extremophiles, some archaea, 136 anaerobes [50, 51]). Related to this point, we might expect CRISPR to be 137 less effective at acquiring immunity towards mobile genetic elements that 138 employ rolling-circle replication (which have only a single replication fork 139 per genome and may reproduce serially) [52]. For example, in a type II-A 140 system spacers were not acquired from staphylococcal phage $\phi 12\gamma 3$ while 141 it underwent rolling-circle replication, but were only acquired during early 142 stages of infection [32]. On the other hand, contrary to our expectation, it 143 seems that in some plasmids rolling-circle replication may promote spacer 144 acquisition, likely due to a dependence on DNA nicking at the origin of 145 replication [31]. 146

CRISPR may also be able to directly leverage expression level as a sig- 147

nal of growth rate. During infection, many viruses subvert host transcrip-148 tional processes so that host genes are down-regulated even as viral genes 149 are transcribed at a high rate [53]. In these cases, systems that acquire spac-150 ers directly from RNA [19] might favor non-self protospacers. Acquisition 151 from RNA has only been experimentally observed in certain type III sys-152 tems where the *cas* acquisition machinery is fused to a reverse transcriptase 153 [19], but bioinformatic evidence suggests that RNA-targeting type VI sys-154 tems may also acquire spacers directly from RNA [54, 23, 24, 25]. Even in 155 systems that acquire spacers from DNA, spacer-acquisition hot-spots have 156 been observed in highly expressed genes [39, 31]. It has been hypothesized 157 that transcription may make the DNA physically more accessible to the Cas 158 machinery [39], or may cause double-strand breaks [31]. 159

CRISPR's preference for free DNA ends may also bias acquisition towards 160 non-self in an entirely growth-independent manner via a synergy with innate 161 immune systems, specifically restriction-modification (**RM**) systems. These 162 systems degrade mobile genetic elements and may provide substrates for 163 spacer acquisition [33, 34]. RM systems have been shown to increase the 164 rate of spacer acquisition [33] and also tend to co-occur with CRISPR when 165 looking broadly across species [55]. A CRISPR-RM synergy would allow 166 spacer acquisition to benefit from the strong non-self recognition capacity of 167 RM systems (based on methylation patterns), and might be quite general, as 168 the vast majority of prokaryotes encode at least one RM system [56, 55]. 169

Finally, we note that if the Cas acquisition machinery prefers specific 170 motifs present in only some subsets of potential spacers [41], then selection 171 against these sequences on the host genome may lead to a non-self acquisition 172 bias. Under this mechanism, the non-self signal is not specifically enriched 173 in non-self sequences in general (as discussed above), but rather depleted in 174 the host (via the strong selective pressure imposed by self-targeting). Ac-175 quisition biases are well documented, with many systems requiring a 2- to 176 8-bp system-specific protospacer adjacent motif (PAM) directly upstream 177

of the protospacer [57, 40, 58]. Even among protospacers with the appro-178 priate PAM there is evidence for strong acquisition biases on the basis of 179 motifs internal to the protospacer [39, 59, 41], and single mutations in the 180 protospacer can drastically alter these biases [60]. Motif-avoidance in the 181 host genome will not be possible in the case of short or degenerate motifs 182 (i.e., most PAMs), but may be feasible in the case of longer, less abundant 183 motifs (similar to the avoidance of restriction sites seen on some genomes 184 [61]). Even in this case, viruses are also likely to be under strong pressure 185 to purge preferred motifs (e.g., PAM avoidance in viruses [62]), limiting the 186 ability of this mechanism to differentiate non-self sequences. Thus while the 187 principle behind motif-depletion is quite general (any host can evolve in such 188 a way), its non-self biasing effects are likely to be somewhat weaker than the 189 other substrate preferences discussed above. 190

Primed Spacer Acquisition

By far the most specific and reliable indicator of a non-self sequence is that 192 the host already has a spacer targeting that sequence (assuming selection 193 has purged all self-targeting spacers from the population, Fig 1). While this 194 specific type of information is useless when the host encounters a completely 195 new mobile element, preexisting immune memory can be extremely useful in 196 the context of an ongoing coevolutionary arms race. For example, viruses 197 frequently coevolve with their hosts to overcome CRISPR immune targeting 198 [63, 64, 65, 66]. A single mutation in the viral protospacer or PAM can be 199 enough to completely prevent CRISPR targeting [63, 40, 58]. How does the 200 host keep up during fast-paced coevolutionary dynamics? Many CRISPR 201 systems, it turns out, are able to quickly update their immune targeting 202 when a foreign sequence encodes a protospacer that has a partial or complete 203 match in the host's CRISPR array [36, 35, 67, 20]. Such "priming" can lead 204 to strongly biased acquisition from already-recognized enemies. 205

191

Mechanistically, priming relies on CRISPR's preference for free DNA ends 206

[14, 32]. DNA fragments produced by CRISPR's immune activity become the ²⁰⁷ substrates for spacer integration by the Cas acquisition machinery [68, 37]. ²⁰⁸ Perfect spacer-protospacer matches stimulate the most efficient primed spacer ²⁰⁹ acquisition [69], but even partial matches may lead to low rates of degradation ²¹⁰ and stimulate the acquisition of spacers [70, 37]. ²¹¹

Priming is a widespread phenomenon, and has been observed experimentally to be acting in type I-B [71, 72], I-C [60], I-E [36, 35, 67], I-F [73], and type II-A [37] CRISPR systems. Bioinformatic evidence has suggested that type II-C systems may also be capable of priming [74]. Type III systems tend to be quite tolerant of mismatches during targeting [75], and thus are less likely to require priming to overcome pathogen coevolution [21], perhaps explaining why priming has not been observed in these systems to-date.

Despite the generality of this mechanism across type I and II CRISPR sys-219 tems, some important differences exist. There are particular strand and spa-220 tial biases of primed acquisition that vary between systems, likely resulting 221 from the fact that the type I endonuclease Cas³ moves along the DNA pro-222 cessively whereas the type II endonuclease Cas9 remains associated with the 223 free ends [37]. These differences are also seen in terms of PAM-dependence, 224 where priming in the type II-A system is reliant on the presence of an intact 225 PAM sequence, which is required for endonuclease activity to produce a frag-226 mented substrate for acquisition [37]. In contrast, PAM-independent priming 227 has been observed in a type I-E system, where recognition of a protospacer 228 target lacking an appropriate PAM leads to recruitment of Cas3 in such a 229 way that endonuclease activity is inhibited. Following recruitment, Cas3 230 acts as a molecular motor and moves processively along the DNA strand, po-231 tentially promoting spacer uptake in regions quite distant from the original 232 protospacer match [76, 77]. 233

Finally, how effective is priming as a mechanism for self versus non-self ²³⁴ recognition? In one type I-F study system, priming led to strongly biased acquisition towards non-self (500-fold over naive acquisition), but promiscuous ²³⁶ tolerance of partial matches lead to an elevated number of self-acquisition ²³⁷ events, so that the absolute number of self-targeting spacers was approximately the same in naive and primed states [39]. Thus priming may cause ²³⁹ strongly non-self biased acquisition, but it may simultaneously not affect, or ²⁴⁰ may even increase, the absolute rate of self-targeting by the spacer acquisition ²⁴¹ machinery. ²⁴²

Non-Self Biases Related to Host Physiology 243 and Ecology 244

So far we have discussed a number of ways in which the Cas spacer acquisition machinery may respond preferentially to non-self sequences. Even in the absence of such a preference, environmental cues may lead to non-self biased spacer content in the host CRISPR array. In general, we expect these mechanisms to be weaker than many of the preference-based mechanisms discussed above, but they may still be of ecological or evolutionary importance. 240

Expression of the *cas* Genes

Though not often discussed explicitly as a means of self vs. non-self recog-252 nition, cas genes are often up-regulated in response to infection, or under 253 conditions where infection is likely to occur [38]. This amounts to a form of 254 temporal biasing, limiting acquisition events to periods where foreign DNA 255 is likely to be present in the cell. Across systems and host species, though, 256 patterns of expression are variable [38]. The *cas* genes can be up-regulated in 257 response to various stimuli that may correspond to increased infection risk, 258 including nutrient concentrations [78, 79, 80], temperature [81], and host 259 density [82, 83]. Systems may even be up-regulated as a direct response to 260 viral contact or ongoing infection [38, 84]. For a comprehensive discussion of 261 CRISPR regulation, a large and active research area in itself, see Patterson 262

We expect the conditions associated with induction to be correlated with 267 the risk of infection, and these indicators likely vary across environments 268 and taxa. Induction will be particularly important for the self vs. non-self 269 recognition when viral (or plasmid) infection is a rare occurrence, since at all 270 other times the only substrate for spacer acquisition will be the host genome. 271 Therefore, if pathogen exposure varies in time, hosts can maximize their ca-272 pacity for self vs. non-self recognition by employing a strategy that combines 273 induction with various mechanisms to bias the Cas acquisition machinery's 274 substrate preference (discussed earlier). Possibly of note, cas genes are typ-275 ically found as a single operon [17], and often are co-transcribed (e.g., [80]). 276 This implies a temporal coupling of the Cas acquisition and effector com-277 plexes, consistent with the idea that at times of increased infection the host 278 will want to both use and add to its spacer repertoire. 279

Horizontal Transfer of Immune Memory

Horizontally transferred spacers, if coming from a closely related strain, are 281 likely to target non-self. This conclusion follows from the assumption that 282 the standing spacer diversity in a population has already experienced strong 283 selection against self-targeting spacers (Fig 1). This line of logic also suggests 284 that spacers acquired via horizontal transfer will be particularly beneficial to 285 their hosts (Box 2). Such a mechanism will only be relevant to individu-286 als if horizontal transfer of immunity is very frequent, which appears to be 287 the case. CRISPR arrays are extremely labile [85, 43], and spacers can be 288 transferred via recombination between arrays [42]. Homology between spac-289 ers and viral genomes may actually help these arrays propagate themselves 290 via transduction [45]. In fact, it has even been proposed that repeats are 291

highly conserved across systems specifically to aid in the horizontal transfer ²⁹² of spacers between arrays through homologous recombination [44]. Clearly, ²⁹³ these spacers will only be useful if they come from individuals that share ²⁹⁴ viral pathogens (typically in the same species), though in general we expect ²⁹⁵ horizontal transfer to be most common among closely related organisms (e.g., ²⁹⁶ [86]). ²⁹⁷

Box 2 - The fitness of acquired spacers

CRISPR immunity is often referred to as "Lamarkian" [87], but this is an anachronistic and controversial term [88], with no clear translation into contemporary molecular biology. It is clear, all else being equal, that spacer acquisition will favor locally abundant mobile genetic elements, as there will be many opportunities for acquisition from these sequences. This abundance-bias, independent of any non-self bias, may prove to be either adaptive or maladaptive depending on the mobile element concerned. In the case of phage, acquisition from locally-abundant pathogens is likely to represent a fitness benefit. At the same time, we expect beneficial plasmids or beneficial genes on those plasmids specific to an environment to be locally enriched in that environment (due to selection; [89]), meaning that CRISPR may be more likely to target these sequences, ultimately leading to a loss in relative fitness as compared to CRISPR-lacking strains (e.g., [90]). Thus a preference for spacer acquisition from locally abundant mobile genetic elements does not necessarily lead to a consistent change in fitness, but may amplify preexisting costs or benefits of CRISPR immunity. This is further complicated by the fact that CRISPR does not necessarily prevent horizontal gene transfer over longer timescales [91].

A slightly different line of logic applies to spacers gained via horizontal transfer. Beneficial spacers are likely to have undergone positive selection, and costly spacers will have been selected against. Thus we expect beneficial spacers to be enriched in the population, and therefore more likely to be transferred than costly ones. Since spacers themselves have been "prescreened" in this case, we expect horizontal transfer to yield spacers that are not only strongly biased towards non-self (i.e., are not harmful), but also that specifically target the most common pathogens in a given environment (i.e., confer the greatest fitness benefit).

Concluding Remarks

CRISPR systems employ a diverse set of mechanisms for non-self recog-300 nition during spacer acquisition, and some of these mechanisms are quite 301 widespread. No mechanism, though, is universal (Table 1), and even those 302 that are widespread show a great deal of variability in their details across 303 systems. Included in this diversity are some organisms that are able to cir-304 cumvent the issue of self-targeting induced mortality entirely. In certain 305 highly polyploid archaea, the presence of many chromosomal copies appears 306 to allow for rapid template-based repair, and this in turn abolishes the cost 307 of self-targeting spacers under natural conditions [92]. Even so, an inability 308 to recognize non-self could still negatively impact the efficiency with which 309 infections are cleared. 310

299

324

Despite the enormous diversity of CRISPR systems, there are some com-311 monalities across mechanisms for non-self recognition, specifically that many 312 rely on CRISPR's preference for free DNA ends (Fig 2). This dependency is 313 obvious in some cases, such as CRISPR's synergy with RM systems and in the 314 context of certain priming mechanisms, but free ends may also contribute to 315 transcription-dependent spacer acquisition. This suggests that DNA ends are 316 a universal signal of infection that can promote recognition of non-self DNA 317 across host taxonomic domains and across classes of mobile genetic elements 318 (e.g., plasmids, viruses). If this is true, we might expect other infection-319 response mechanisms to also specifically target free DNA ends, including 320 mechanisms controlling the induction or targeting activity of CRISPR im-321 mune systems, as well as response mechanisms found in completely distinct 322 classes of prokaryotic antiviral defense systems. 323

Glossary

• Cas: The CRISPR-associated protein machinery that is involved in 325 acquisition of novel spacers, crRNA processing, and immune targeting. 326

- CRISPR Array: The genomic location at which CRISPR immune 327 memories (spacers) are stored. 328
- crRNA: A short RNA produced from a transcribed and processed 329 CRISPR array. The crRNAs guide the Cas effector proteins to a specific 330 target.
- Thymic Selection: A key step during T-cell maturation in the vertebrate thymus that promotes functional immunity while reducing autoimmunity. In order to be retained, developing T-cells must show at least minimal binding to an MHC molecule (promoting immunity) but not excessive binding to MHC-presented self-antigens (reducing autoimmunity).
- PAM: A protospacer adjacent motif is found directly upstream of the protospacer in many systems; typical length is 2-8nt. 339
- Protospacer: The target sequence matching a spacer from which ³⁴⁰ that spacer was originally derived (e.g., the target sequence on a viral genome). ³⁴²
- RM: Restriction-modification systems are a nearly ubiquitous class of innate immune systems in prokaryotes that differentiate self from nonself using DNA methylation patterns. 344
- **Spacer:** An individual CRISPR immune memory. Typically, spacers ³⁴⁶ are about 30 bp corresponding to some matching target on a viral or ³⁴⁷ plasmid genome. ³⁴⁸
- Lamarkian Inheritance: A theory of inheritance attributed to Jean-Baptiste Lamark that proposed that organisms pass on physical changes acquired during their lifetime to their offspring. The precise definition of "Lamarkism" and its relevance (if any) to modern biology have been hotly debated.

Acknowledgments

JLW was supported in part by NSF award DGE-1632976. ERW acknowledges ³⁵⁵ funding from the Natural Environment Research Council (NE/M018350/1). ³⁵⁶ The identification of any specific commercial products is for the purpose of ³⁵⁷ specifying a protocol, and does not imply a recommendation or endorsement ³⁵⁸ by the National Institute of Standards and Technology. ³⁵⁹

References

- Suttle, C.A. (2007) Marine viruses-major players in the global ecosystem. Nat. Rev. Microbiol. 5, 801
- [2] Marston, M.F. et al. (2012) Rapid diversification of coevolving marine Synechococcus and a virus. Proceedings of the National Academy of Sciences 109, 4544–4549
- [3] Goldfarb, T. *et al.* (2015) BREX is a novel phage resistance system widespread in microbial genomes. *The Embo Journal* 34, 169–183
- [4] Koonin, E.V. et al. (2017) Evolutionary genomics of defense systems in archaea and bacteria. Annu. Rev. Microbiol. 71, 233–261
- [5] Doron, S. *et al.* (2018) Systematic discovery of antiphage defense systems in the microbial pangenome. *Science*, eaar4120
- [6] Ofir, G. *et al.* (2018) Disarm is a widespread bacterial defence system with broad anti-phage activities. *Nature Microbiology* 3, 90
- [7] Mojica, F.J.M. et al. (2005) Intervening sequences of regularly spaced prokaryotic repeats derive from foreign genetic elements. J. Mol. Evol. 60, 174–182
- [8] Barrangou, R. et al. (2007) CRISPR provides acquired resistance against viruses in prokaryotes. Science 315, 1709–1712

- [9] Wei, Y. et al. (2015) Sequences spanning the leader-repeat junction mediate CRISPR adaptation to phage in Streptococcus thermophilus. Nucleic Acids Res. 43, 1749–1758
- [10] Hale, C. et al. (2008) Prokaryotic silencing (psi) RNAs in Pyrococcus furiosus. RNA 14, 2572–2579
- [11] Carte, J. et al. (2008) Cas6 is an endoribonuclease that generates guide RNAs for invader defense in prokaryotes. Genes & development 22, 3489–3496
- [12] Brouns, S.J. et al. (2008) Small CRISPR RNAs guide antiviral defense in prokaryotes. Science 321, 960–964
- [13] Jr, C.A.J. et al. (2001) Immunobiology. Garland Science, 5th edition
- [14] Levy, A. et al. (2015) CRISPR adaptation biases explain preference for acquisition of foreign DNA. Nature 520, 505–510
- [15] Westra, E.R. et al. (2013) Type I-E CRISPR-Cas systems discriminate target from non-target DNA through base pairing-independent PAM recognition. PLos Genet. 9, e1003742
- [16] Makarova, K.S. et al. (2018) Classification and nomenclature of CRISPR-Cas systems: where from here? The CRISPR journal 1, 325– 336
- [17] Makarova, K.S. et al. (2015) An updated evolutionary classification of CRISPR-Cas systems. Nat. Rev. Microbiol. 13, 722–736
- [18] Shiimori, M. et al. (2018) Cas4 nucleases define the PAM, length, and orientation of DNA fragments integrated at CRISPR loci. Mol. Cell 70, 814–824
- [19] Silas, S. et al. (2016) Direct CRISPR spacer acquisition from RNA by a natural reverse transcriptase–Cas1 fusion protein. Science 351, aad4234
- [20] Jackson, S.A. et al. (2017) CRISPR-Cas: Adapting to change. Science 356, eaal5056
- [21] McGinn, J. and Marraffini, L.A. (2019) Molecular mechanisms of CRISPR–Cas spacer acquisition. Nat. Rev. Microbiol. 17, 7–12

- [22] Makarova, K.S. et al. (2019) Evolutionary classification of crispr–cas systems: a burst of class 2 and derived variants. Nat. Rev. Microbiol., 1–17
- [23] Abudayyeh, O.O. et al. (2016) C2c2 is a single-component programmable RNA-guided RNA-targeting CRISPR effector. Science 353, aaf5573
- [24] Smargon, A.A. et al. (2017) Cas13b is a type VI-B CRISPR-associated RNA-guided rnase differentially regulated by accessory proteins Csx27 and Csx28. Mol. Cell 65, 618–630
- [25] Meeske, A.J. *et al.* (2019) Cas13-induced cellular dormancy prevents the rise of CRISPR-resistant bacteriophage. *Nature*, 1
- [26] Westra, E.R. et al. (2013) CRISPR-Cas systems preferentially target the leading regions of mobf conjugative plasmids. RNA Biol. 10, 749–761
- [27] Goldberg, G.W. et al. (2014) Conditional tolerance of temperate phages via transcription-dependent CRISPR-Cas targeting. Nature 514, 633– 637
- [28] Stern, A. et al. (2010) Self-targeting by CRISPR: gene regulation or autoimmunity? Trends Genet. 26, 335–340
- [29] Yosef, I. et al. (2012) Proteins and DNA elements essential for the CRISPR adaptation process in Escherichia coli. Nucleic Acids Res., gks216
- [30] Wei, Y. et al. (2015) Cas9 function and host genome sampling in type II-A CRISPR-cas adaptation. Genes & Development 29, 356-361
- [31] Shiimori, M. et al. (2017) Role of free DNA ends and protospacer adjacent motifs for CRISPR DNA uptake in Pyrococcus furiosus. Nucleic Acids Res. 45, 11281–11294
- [32] Modell, J.W. et al. (2017) CRISPR-Cas systems exploit viral DNA injection to establish and maintain adaptive immunity. Nature 544, 101–104
- [33] Dupuis, M.E. et al. (2013) CRISPR-Cas and restriction-modification systems are compatible and increase phage resistance. Nat. Commun. 4, 2087

- [34] Hynes, A.P. et al. (2014) Adaptation in bacterial CRISPR-Cas immunity can be driven by defective phages. Nat. Commun. 5, 4399
- [35] Datsenko, K.A. et al. (2012) Molecular memory of prior infections activates the CRISPR/Cas adaptive bacterial immunity system. Nat. Commun. 3, 945
- [36] Swarts, D.C. et al. (2012) CRISPR interference directs strand specific spacer acquisition. PLoS One 7, e35888
- [37] Nussenzweig, P.M. *et al.* (2019) Cas9 cleavage of viral genomes primes the acquisition of new immunological memories. *Cell host & microbe*
- [38] Patterson, A.G. et al. (2017) Regulation of crispr-cas adaptive immune systems. Curr. Opin. Microbiol. 37, 1–7
- [39] Staals, R.H.J. et al. (2016) Interference-driven spacer acquisition is dominant over naive and primed adaptation in a native CRISPR-Cas system. Nat. Commun. 7, 12853
- [40] Mojica, F.J.M. et al. (2009) Short motif sequences determine the targets of the prokaryotic CRISPR defence system. *Microbiology* 155, 733–740
- [41] Heler, R. et al. (2019) Spacer acquisition rates determine the immunological diversity of the type II CRISPR-Cas immune response. Cell Host & Microbe 25, 242–249
- [42] Held, N.L. et al. (2010) CRISPR associated diversity within a population of Sulfolobus islandicus. PLoS One 5, e12988
- [43] Puigbò, P. et al. (2017) Reconstruction of the evolution of microbial defense systems. BMC Evol. Biol. 17, 94
- [44] Yair, Y. and Gophna, U. (2019) Repeat modularity as a beneficial property of multiple CRISPR-Cas systems. RNA Biol. 16, 585–587
- [45] Varble, A. et al. (2019) Recombination between phages and CRISPR-Cas loci facilitates horizontal gene transfer in staphylococci. Nature Microbiology 4, 956
- [46] Paez-Espino, D. et al. (2015) CRISPR immunity drives rapid phage genome evolution in Streptococcus thermophilus. mBio 6, e00262–15

- [47] Akerlund, T. et al. (1995) Analysis of cell size and DNA content in exponentially growing and stationary-phase batch cultures of Escherichia coli. J. Bacteriol. 177, 6791–6797
- [48] Nielsen, H.J. et al. (2007) Dynamics of Escherichia coli chromosome segregation during multifork replication. J. Bacteriol. 189, 8660–8666
- [49] Sun, L. et al. (2018) Effective polyploidy causes phenotypic delay and influences bacterial evolvability. PLoS Biol. 16, e2004644
- [50] Weinberger, A.D. *et al.* (2012) Viral diversity threshold for adaptive immunity in prokaryotes. *mBio* 3, e00456–12
- [51] Weissman, J.L. *et al.* (2019) Visualization and prediction of CRISPR incidence in microbial trait-space to identify drivers of antiviral immune strategy. *The ISME Journal*
- [52] Wawrzyniak, P. *et al.* (2017) The different faces of rolling-circle replication and its multifunctional initiator proteins. *Front. Microbiol.* 8, 2353
- [53] Nechaev, S. and Severinov, K. (2003) Bacteriophage-induced modifications of host RNA polymerase. Annual Reviews in Microbiology 57, 301–322
- [54] Toro, N. et al. (2019) Recruitment of reverse transcriptase-Cas1 fusion proteins by type VI-A CRISPR-Cas systems. Front. Microbiol. 10, 2160
- [55] Oliveira, P.H. et al. (2014) The interplay of restriction-modification systems with mobile genetic elements and their prokaryotic hosts. Nucleic Acids Res. 42, 10618–10631
- [56] Roberts, R.J. et al. (2010) REBASE—a database for DNA restriction and modification: enzymes, genes and genomes. Nucleic Acids Res. 38, D234–D236
- [57] Bolotin, A. et al. (2005) Clustered regularly interspaced short palindrome repeats (CRISPRs) have spacers of extrachromosomal origin. Microbiology 151, 2551–2561
- [58] Shah, S.A. et al. (2013) Protospacer recognition motifs. RNA Biol. 10, 891–899

- [59] Paez-Espino, D. et al. (2013) Strong bias in the bacterial CRISPR elements that confer immunity to phage. Nat. Commun. 4, 1430
- [60] Rao, C. et al. (2017) Priming in a permissive type IC CRISPR–Cas system reveals distinct dynamics of spacer acquisition and loss. RNA 23, 1525–1538
- [61] Rusinov, I. et al. (2015) Lifespan of restriction-modification systems critically affects avoidance of their recognition sites in host genomes. BMC genomics 16, 1084
- [62] Kupczok, A. and Bollback, J.P. (2014) Motif depletion in bacteriophages infecting hosts with CRISPR systems. BMC Genomics 15
- [63] Deveau, H. et al. (2008) Phage response to CRISPR-encoded resistance in Streptococcus thermophilus. J. Bacteriol. 190, 1390–1400
- [64] Andersson, A.F. and Banfield, J.F. (2008) Virus population dynamics and acquired virus resistance in natural microbial communities. *Science* 320, 1047–1050
- [65] Laanto, E. et al. (2017) Long-term genomic coevolution of host-parasite interaction in the natural environment. Nat. Commun. 8, 111
- [66] Common, J. et al. (2019) CRISPR-Cas immunity leads to a coevolutionary arms race between Streptococcus thermophilus and lytic phage. *Philosophical Transactions of the Royal Society B* 374, 20180098
- [67] Fineran, P.C. et al. (2014) Degenerate target sites mediate rapid primed CRISPR adaptation. Proceedings of the National Academy of Sciences 111, E1629–E1638
- [68] Künne, T. et al. (2016) Cas3-derived target DNA degradation fragments fuel primed CRISPR adaptation. Mol. Cell 63, 852–864
- [69] Semenova, E. et al. (2016) Highly efficient primed spacer acquisition from targets destroyed by the Escherichia coli type IE CRISPR-Cas interfering complex. Proceedings of the National Academy of Sciences 113, 7626–7631

- [70] Severinov, K. et al. (2016) The influence of copy-number of targeted extrachromosomal genetic elements on the outcome of CRISPR-Cas defense. Frontiers in Molecular Biosciences 3, 45
- [71] Li, M. et al. (2013) Adaptation of the haloarcula hispanica CRISPR-Cas system to a purified virus strictly requires a priming process. Nucleic Acids Res. 42, 2483–2492
- [72] Li, M. et al. (2014) Haloarcula hispanica CRISPR authenticates PAM of a target sequence to prime discriminative adaptation. Nucleic Acids Res. 42, 7226–7235
- [73] Richter, C. et al. (2014) Priming in the Type IF CRISPR-Cas system triggers strand-independent spacer acquisition, bi-directionally from the primed protospacer. Nucleic Acids Res. 42, 8516–8526
- [74] Nicholson, T.J. et al. (2019) Bioinformatic evidence of widespread priming in type I and II CRISPR-Cas systems. RNA Biol. 16, 566–576
- [75] Pyenson, N.C. et al. (2017) Broad targeting specificity during bacterial type III CRISPR-Cas immunity constrains viral escape. Cell host & microbe 22, 343–353
- [76] Redding, S. et al. (2015) Surveillance and processing of foreign DNA by the Escherichia coli CRISPR-Cas system. Cell 163, 854–865
- [77] Dillard, K.E. et al. (2018) Assembly and translocation of a CRISPR-Cas primed acquisition complex. Cell 175, 934–946
- [78] Yang, C.D. et al. (2014) CRP represses the CRISPR/Cas system in Escherichia coli: evidence that endogenous CRISPR spacers impede phage p1 replication. Mol. Microbiol. 92, 1072–1091
- [79] Patterson, A.G. et al. (2015) Regulation of the Type IF CRISPR-Cas system by CRP-cAMP and galm controls spacer acquisition and interference. Nucleic Acids Res. 43, 6038–6048
- [80] Hampton, H.G. *et al.* (2019) Galk limits type IF CRISPR-Cas expression in a CRP-dependent manner. *FEMS Microbiol. Lett.*

- [81] Høyland-Kroghsbo, N.M. et al. (2018) Temperature, by controlling growth rate, regulates CRISPR-Cas activity in Pseudomonas aeruginosa. mBio 9, e02184–18
- [82] Høyland-Kroghsbo, N.M. et al. (2016) Quorum sensing controls the Pseudomonas aeruginosa CRISPR-Cas adaptive immune system. Proceedings of the National Academy of Sciences, 201617415
- [83] Patterson, A.G. et al. (2016) Quorum sensing controls adaptive immunity through the regulation of multiple CRISPR-Cas systems. Mol. Cell 64, 1102–1108
- [84] Ratner, H.K. et al. (2015) I can see CRISPR now, even when phage are gone: a view on alternative CRISPR-Cas functions from the prokaryotic envelope. Current opinion in infectious diseases 28, 267
- [85] Makarova, K.S. et al. (2013) The basic building blocks and evolution of CRISPR-cas systems. Biochem. Soc. Trans. 41, 1392–1400
- [86] Popa, O. et al. (2011) Directed networks reveal genomic barriers and dna repair bypasses to lateral gene transfer among prokaryotes. Genome Res. 21, 599–609
- [87] Koonin, E.V. and Wolf, Y.I. (2016) Just how Lamarckian is CRISPR-Cas immunity: the continuum of evolvability mechanisms. *Biology Di*rect 11, 9
- [88] Wideman, J.G. et al. (2019) Mutationism, not Lamarckism, captures the novelty of CRISPR-Cas. Biology & Philosophy 34, 12
- [89] Koonin, E.V. and Wolf, Y.I. (2009) Is evolution Darwinian or/and Lamarckian? Biology Direct 4, 42
- [90] Jiang, W. et al. (2013) Dealing with the evolutionary downside of CRISPR immunity: Bacteria and beneficial plasmids. PLos Genet. 9, e1003844
- [91] Gophna, U. et al. (2015) No evidence of inhibition of horizontal gene transfer by CRISPR–cas on evolutionary timescales. The ISME Journal 9, 2021–2027

[92] Stachler, A.E. *et al.* (2017) High tolerance to self-targeting of the genome by the endogenous CRISPR-Cas system in an archaeon. *Nucleic Acids Res.*

Figure Legends

Figure 1: Observed frequencies of self-targeting spacers can lead to underestimates of the actual rate of autoimmunity. When acquisition is unbiased, strong selection against self-targeting spacers will purge them from the population. When acquisition is biased, self-targeting spacers will not be acquired in the first place. In both cases, the population will end up with very few self-targeting spacers. Thus, even CRISPR systems that lack a mechanism for self vs. non-self recognition may appear to prefer non-self spacers on the basis of population-level immune diversity.

Figure 2: Multiple mechanisms for non-self recognition may rely on the production of excess free DNA ends by mobile genetic elements. Drawn is a schematic of a host cell infected by multiple plasmids. Regions expected to experience a high rate of double-strand break formation are indicated by red rectangles.