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COPD Maintenance Therapy with Tiotropium/Olodaterol Compared with Tiotropium: An Analysis in the Absence of Additional ICS Therapy

Peter M. A. Calverley^a, Alberto de la Hoz^b, Wenqiong Xue^c, Gary T. Ferguson^d, and Marc Miravitlles^e D

^aClinical Science Centre, Institute of Ageing and Chronic Disease, University of Liverpool, Liverpool, UK; ^bBoehringer Ingelheim International GmbH, Ingelheim am Rhein, Germany; ^cBoehringer Ingelheim Pharmaceuticals, Inc, Ridgefield, CT, USA; ^dPulmonary Research Institute of Southeast Michigan, Farmington Hills, MI, USA; ^ePneumology Department, Hospital Universitari Vall d'Hebron/Vall d'Hebron Research Institute (VHIR), CIBER de Enfermedades Respiratorias (CIBERES), Barcelona, Spain

ABSTRACT

The American Thoracic Society guidelines recommend long-acting β_2 -agonist (LABA)/long-acting muscarinic antagonist (LAMA) dual bronchodilation over LAMA or LABA monotherapy as maintenance therapy for patients with chronic obstructive pulmonary disease suffering from dyspnea or exercise intolerance. Previous studies, which included patients receiving background inhaled corticosteroids (ICS), have shown the benefits of dual bronchodilation over monotherapy. This analysis aimed to confirm the benefits of LAMA/LABA over LAMA alone, without any confounding effects from ICS use. This pooled post hoc analysis compared the efficacy of tiotropium/olodaterol with tiotropium alone in patients from the TONADO[®] and OTEMTO[®] clinical trials who were not receiving ICS at study entry or during the studies. We analyzed change from baseline in trough forced expiratory volume in 1s (FEV₁), St. George's Respiratory Questionnaire (SGRQ) score and Transition Dyspnea Index (TDI) score in all patients, by Global Initiative for Chronic Obstructive Lung Disease (GOLD) stage, baseline SGRQ score, and Baseline Dyspnea Index score. In this analysis of 1596 patients, tiotropium/olodaterol improved trough FEV₁, SGRQ and TDI compared with tiotropium alone. The observed mean differences were: trough FEV₁, 0.054 L (95% confidence interval [CI] 0.036, 0.073; p < 0.001); SGRQ, -1.918 (95% Cl -2.994, -0.843; p < 0.001); and TDI, 0.575 (95% Cl 0.301, 0.848; p < 0.001). Similar improvements were seen in each of the subgroup analyses. Tiotropium/olodaterol therapy significantly improved lung function, symptoms and health status compared with tiotropium alone. In a population free from ICS treatment, these data confirm the benefits of dual bronchodilation versus monotherapy.

Plain language summary

It is recommended that most people with COPD begin treatment with two types of inhaled medicine. These medicines are known as LAMAs and LABAs, and they help to make breathing easier. Doctors can prescribe these treatments alone or together (LAMA/LABA). In the past, several studies have shown that combining LAMAs with LABAs is better than giving either treatment alone. However, many patients in these studies also received an inhaled steroid treatment in addition to their LAMA and/or LABA therapy, which can make it harder to interpret the study results. To help doctors decide whether combined LAMA/LABA treatment is better than single treatment, we looked at people with COPD who took LAMA or combined LAMA/LABA treatment - without inhaled steroids - as part of two large studies (TONADO® and OTEMTO®). We measured changes in how well the lungs worked, patients' health status, or their ability to breathe. After 12 weeks, each of these outcomes was better in patients who received LAMA/LABA than in patients who received LAMA alone. These findings are similar to those from studies that included patients receiving steroids in addition to the other treatments. These results support recommendations to doctors to prescribe combined LAMA/LABA treatment to their patients with COPD, rather than LAMA alone.

A graphical abstract to accompany this manuscript is included in the online supplement.

Introduction

The Global Initiative for Chronic Obstructive Lung Disease (GOLD) strategy report recommends that patients be treated with long-acting β_2 -agonists (LABA) or long-acting muscarinic antagonists (LAMA) therapy as first-line treatment, with dual bronchodilation recommended for more symptomatic patients. Inhaled corticosteroids (ICS) should be reserved for patients with high eosinophil counts (>300 cells/µL) and with a history of frequent exacerbations (≥2 moderate exacerbations or >1 severe

CONTACT Peter M. A. Calverley 😡 pmacal@liverpool.ac.uk 🗈 Clinical Science Centre, Institute of Ageing and Chronic Disease, University of Liverpool, Liverpool, UK

• Supplemental data for this article can be accessed here.

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exacerbation in the previous year) [1]. Furthermore, the American Thoracic Society (ATS) recommends dual bronchodilation in patients with COPD who experience dyspnea or exercise intolerance [2]. The National Institute for Health and Care Excellence (NICE) recommends dual LAMA/LABA therapy in patients with COPD with no indication of asthmatic features or corticosteroid responsiveness who remain breathless or have exacerbations despite optimized non-pharmacologic management and use of short-acting bronchodilators [3].

These recommendations are largely based on studies that included patients on a range of background therapies [4–7], including ICS use in a proportion of patients [4, 7]. Patients who are prescribed ICS differ from those not given these treatments and report more exacerbations of disease [8], which can impact their health status. Hence, it cannot be presumed that treatments are equally effective in patients requiring and not requiring regular ICS.

To be confident that the guidance to use dual bronchodilation in many COPD patients is applicable to those naïve to ICS, we sought to determine if dual bronchodilation with LAMAs/LABAs is more effective than a single bronchodilator in patients with COPD unconfounded by ICS use. We performed a pooled *post hoc* analysis of patients with COPD from the TONADO[®] 1&2 [4] and OTEMTO[®] 1&2 [9] studies excluding around 44.5% of patients who were receiving ICS from the original trial populations who were randomized to receive tiotropium 5 µg or tiotropium/olodaterol 5/5 µg.

We assessed the impact of dual bronchodilation with tiotropium/olodaterol on lung function, health status and symptoms versus tiotropium monotherapy, using a range of endpoints including trough forced expiratory volume in 1 s (FEV₁), St. George's Respiratory Questionnaire (SGRQ) total score and Transition Dyspnea Index (TDI) focal score. We also evaluated whether the baseline status of these endpoints impacted patients' responses to treatment.

Methods

The study designs and inclusion criteria of the 52-week TONADO 1&2 (NCT01431274 and NCT01431287) and 12-week OTEMTO 1&2 (NCT01964352 and NCT02006732) studies have previously been described [4, 9]. These replicate, double-blind, parallel-group, active-controlled, multicenter, randomized, Phase III studies assessed patients with moderate-to-severe (OTEMTO) or moderate-to-very-severe (TONADO) COPD, with a focus on lung function, health status, and dyspnea severity [4, 9]. While OTEMTO included placebo-controlled and comparator-controlled arms, TONADO did not include a placebo-controlled arm [4, 9].

Patients

The current analysis includes patients with moderate-to-verysevere COPD (GOLD stage 1–4) from the TONADO and OTEMTO studies. At baseline, patients included in this analysis were receiving either no maintenance therapy, LABA monotherapy, LAMA monotherapy or dual LAMA/LABA bronchodilator therapy, and received either tiotropium monotherapy or tiotropium/olodaterol dual bronchodilation therapy during the TONADO and OTEMTO clinical trials. Patients who were on ICS were excluded from this analysis.

Treatments were administered once daily with the Respimat[®] inhaler (Boehringer Ingelheim, Ingelheim am Rhein, Germany). Rescue medication (salbutamol [albuterol]) was provided as required for all study participants.

The studies included in this *post hoc* analysis were previously approved by the review boards of the relevant national, regional or independent ethics committee or institutional review boards. These studies were conducted in accordance with the Declaration of Helsinki and Good Clinical Practice guidelines. Patients in these studies provided written informed consent.

Study endpoints and assessments

Change from baseline to Week 12 in trough FEV_1 , SGRQ total score, and TDI focal score was calculated from pooled data for all patients and subsets of these patients.

A mixed-effects model for repeated measures (MMRM) was used to generate adjusted means of treatment effect, including the fixed effects of treatment, study, planned test day, treatment-by-test day interaction, baseline and baseline-by-test day interaction, plus the random effect of patient. The current analyses were conducted on the whole population, GOLD 2 and 3 subgroups, Baseline Dyspnea Index (BDI) ≤ 6 and > 6 subgroups, and SGRQ < median and \geq median subgroups. Median SGRQ scores were selected for this analysis to create reasonably sized subgroups.

Responder rates for these endpoints were also analyzed using a logistic regression model that included the covariates study and treatment. Responders for trough FEV₁ (a change of >100 mL), SGRQ (a decrease of \geq 4.0 points) and TDI (an increase of \geq 1.0 point versus baseline at the time of analysis) were defined based on suggested minimum clinically important differences (MCID) [4, 10–12]. All *p*-values included in this analysis are nominal.

Safety endpoints were assessed through the number of adverse events (AEs) reported in the trials for the pooled population.

Results

Baseline characteristics

Baseline characteristics were collected from 1596 patients with moderate-to-very-severe COPD (GOLD stage 2–4) who were not treated with ICS at baseline or during the study period. The majority of patients (92.4%) were GOLD stage 2 or 3 (Table 1). At baseline, 1078 patients (67.5%) were maintenance naïve (not receiving LAMA, LABA or ICS), 299 (18.7%) were receiving LAMA monotherapy, 127 patients (7.9%) were receiving LAMA/LABA therapy, and 92 (5.7%) were receiving LABA monotherapy. Post-randomization, 825 patients (51.7%) received tiotropium and 771 patients (48.3%) received tiotropium/olodaterol. Baseline

Table 1. This is currently in the middle of the introduction - please could it be moved to the next page, closer to where it's first cited.

Characteristic	Tio (<i>n</i> = 825)	T/O (n = 771)
Age, years	63.5 (8.4)	63.6 (8.7)
Male, n (%)	585 (70.9)	525 (68.1)
Smoking status, n (%)		
Never smoked	0 (0.0)	0 (0.0)
Ex-smoker	463 (56.1)	399 (51.8)
Current smoker	362 (43.9)	372 (48.2)
Weight, kg	76.9 (19.2) ^a	75.1 (18.5) ^b
Pre-bronchodilator FEV ₁ , L	1.318 (0.53)	1.296 (0.51)
Pre-bronchodilator FVC, L	2.795 (0.85)	2.770 (0.86)
Pre-bronchodilator FEV ₁ /FVC, %	47.189 (11.88)	46.798 (11.26)
Baseline SGRQ score	42.4 (17.7) ^c	42.0 (17.2) ^d
Baseline BDI score	6.6 (2.2) ^e	6.7 (2.1) ^f
Baseline medication, n (%)		
Treatment-naïve	560 (67.9)	518 (67.2)
LABA only	52 (6.3)	40 (5.2)
LAMA only	151 (18.3)	148 (19.2)
LAMA + LABA	62 (7.5)	65 (8.4)
GOLD stage, n (%)		
1	2 (0.2)	0 (0.0)
2	490 (59.4)	460 (59.7)
3	269 (32.6)	255 (33.1)
4	64 (7.8)	56 (7.3)

Data are mean (SD) unless otherwise stated.

^{a-f}Some patient data were not available for each of the recorded outcomes: ^an = 822, ^bn = 760, ^cn = 820, ^dn = 765, ^en = 808, ^fn = 765.

BDI, Baseline Dyspnea Index; FEV₁, forced expiratory volume in 1 s; FVC, forced vital capacity; GOLD, Global Initiative for Chronic Obstructive Lung Disease; LABA, long-acting β_2 -agonist; LAMA, long-acting muscarinic antagonist; SD, standard deviation; SGRQ, St. George's Respiratory Questionnaire; T/O, tio-tropium/olodaterol; Tio, tiotropium.

characteristics were similar between patients randomized to tiotropium or tiotropium/olodaterol (Table 1).

Efficacy at week 12

Trough FEV₁

An increase of 0.141 ± 0.007 L in trough FEV₁ from baseline to 12 weeks of treatment was noted for patients treated with tiotropium/olodaterol; with an increase of 0.086 ± 0.007 L for patients receiving tiotropium monotherapy. The adjusted mean difference between tiotropium/olodaterol and tiotropium was 0.054 ± 0.010 L (95% confidence interval [CI] 0.036, 0.073; p < 0.001) (Figure 1(a)), with similar results irrespective of baseline GOLD stage, SGRQ score or BDI score (Figure 1(a,b)). For GOLD 4 patients, not included in Figure 1 due to small sample size, an increase of 0.115 ± 0.016 L was noted for patients treated with tiotropium/olodaterol, with an increase of 0.057 ± 0.015 L for patients receiving tiotropium monotherapy.

In terms of responders, of the 763 tiotropium/olodateroltreated patients, 451 (59.1%) achieved the MCID of >100 mL change in trough FEV₁; of the 818 tiotropiumtreated patients, 342 (41.8%) achieved the MCID; odds ratio 2.012 (95% CI 1.647, 2.458; p < 0.001).

St. George's Respiratory Questionnaire

A decrease (improvement) from baseline in SGRQ total score was noted after 12 weeks of treatment for patients treated with tiotropium/olodaterol (-6.317 ± 0.403 points) and tiotropium monotherapy (-4.399 ± 0.396 points). The adjusted mean

difference between tiotropium/olodaterol and tiotropium was -1.918 ± 0.548 points (95% CI -2.994, -0.843; p < 0.001) (Figure 2(a)). Similar results were shown in the subgroup analysis for GOLD 2 patients (Figure 2(a)) and when stratified by baseline median SGRQ (43.1 points) and baseline BDI status (p < 0.05) (Figure 2(b)). In the GOLD 3 subset of patients, the improvement in SGRQ score did not reach statistical significance (p = 0.054). For GOLD 4 patients, a change of -10.74 ± 1.756 points was noted for patients treated with tiotropium/olodaterol, with a change of -7.948 ± 1.692 points for patients receiving tiotropium monotherapy.

For the responder analysis, of the 734 tiotropium/olodaterol-treated patients, 424 (57.8%) achieved the MCID of \geq 4.0-point decrease in SGRQ score; of the 772 tiotropiumtreated patients, 377 (48.8%) achieved the MCID; odds ratio 1.439 (95% CI 1.173, 1.765; p < 0.001).

Transition Dyspnea Index

After 12 weeks of treatment, the mean TDI score improved by 2.154 ± 0.100 points for patients treated with tiotropium/olodaterol and 1.579 ± 0.098 points with tiotropium monotherapy. The improvement was significantly greater with tiotropium/olodaterol compared with tiotropium (treatment difference: 0.575 ± 0.140 points; 95% CI 0.301, 0.848; p < 0.001) (Figure 3(a)). Similar results were seen in the subgroup analyses by GOLD 2/3, SGRQ and BDI (Figure 3(a,b)) (p < 0.05). For GOLD 4 patients, an increase of 2.378 ± 0.384 points was noted for patients treated with tiotropium/olodaterol, with an increase of 1.234 ± 0.371 points for patients receiving tiotropium monotherapy.

In terms of responders, of the 741 tiotropium/olodateroltreated patients, 449 (60.6%) achieved the MCID of \geq 1.0 point; of the 777 tiotropium-treated patients, 379 (48.8%) achieved the MCID; odds ratio 1.642 (95% CI 1.337, 2.018; *p* < 0.001).

Safety

Table 2 shows a summary of AEs for the combined steroidfree population. The proportion of patients with any AE was similar between the two treatment arms and most AEs were mild or moderate. In the tiotropium arm, 61.8% of patients reported at least one AE versus 61.0% in the tiotropium/olodaterol arm. The proportion of patients with an investigator-defined drug-related AE was also similar between the two treatment arms: 46 (5.6%) in the tiotropium arm and 49 (6.4%) in the tiotropium/olodaterol arm.

Discussion

This post hoc analysis of data from participants in the TONADO and OTEMTO studies assessed whether dual bronchodilation with tiotropium/olodaterol was superior to tiotropium monotherapy, in a population of patients not using ICS. After 12 weeks, tiotropium/olodaterol provided significantly greater improvements in trough FEV₁, TDI, and SGRQ versus tiotropium monotherapy in all patients (p < 0.001) and in most subgroups analyzed (p < 0.05). Additionally, more responders showing improvements



Figure 1. (a) Change in trough FEV₁ at Week 12 from baseline; all patients and by GOLD status. (b) Change in trough FEV₁ at Week 12 from baseline; by baseline SGRQ and BDI status.

BDI, Baseline Dyspnea Index; CI, confidence interval; FEV₁, forced expiratory volume in 1 second; GOLD, Global Initiative for Chronic Obstructive Lung Disease; SE, standard error; SGRQ, St. George's Respiratory Questionnaire; T/O, tiotropium/olodaterol; Tio, tiotropium. *N* numbers below bars, adjusted mean response within bars.

greater than the MCID were identified in patients receiving tiotropium/olodaterol than patients receiving tiotropium. Taken together, these results support the recommended use of dual bronchodilation with tiotropium/olodaterol over tiotropium monotherapy in stable COPD patients not receiving ICS.

These results are consistent with those of the primary TONADO and OTEMTO studies, which included patients receiving ICS in addition to study treatments. In TONADO and OTEMTO, greater improvements in FEV₁, SGRQ, and TDI focal score were identified for patients treated with tiotropium/olodaterol after 24 weeks and sustained to 52 weeks (TONADO), or after 12 weeks (OTEMTO) compared with either agent alone [4, 9]. This suggests that the benefits of tiotropium/olodaterol therapy over the monotherapies are seen regardless of ICS use. The present analyses intended to compare tiotropium versus tiotropium/olodaterol; due to the nature of this pooled analysis, comparisons with olodaterol monotherapy were not conducted.

The benefits of dual LAMA/LABA therapy versus monotherapies have been shown in other recent clinical trials. The EMAX trial, for example, showed that the proportion of responders was greater for all symptom outcomes in patients randomized to receive dual bronchodilator therapy (umeclidinium/vilanterol) versus those treated with umeclidinium or salmeterol [13]. Additionally, in these symptomatic, low exacerbation-risk patients with COPD, dual bronchodilation provided early and sustained improvements in lung function and symptoms, with reduced probability of short-term COPD worsening, compared with monotherapy [13]. In a pooled analysis of 23 clinical studies, where 53.6% of



Figure 2. (a) Change in SGRQ at Week 12 from baseline; all patients and by GOLD status. (b) Change in SGRQ at Week 12 from baseline; by baseline SGRQ and BDI status.

BDI, Baseline Dyspnea Index; CI, confidence interval; GOLD, Global Initiative for Chronic Obstructive Lung Disease; SE, standard error; SGRQ, St. George's Respiratory Questionnaire; T/O, tiotropium/olodaterol; Tio, tiotropium.

N numbers below bars, adjusted mean response within bars.

patients were receiving ICS alongside their current therapies, it was reported that combining LAMA/LABA therapies provided numerically better treatment outcomes than LAMA or LABA monotherapies for FEV₁, SGRQ, and TDI [14]. Similarly, in the SHINE study, superior improvements in lung function were identified with dual bronchodilation (indacaterol/glycopyrronium) versus patients who received either indacaterol, glycopyrronium, tiotropium, or placebo [15]. These results were not influenced by concurrent use of ICS [15], adding weight to the argument that dual bronchodilation offers greater improvements compared with monotherapies, regardless of ICS use.

The results of the present analysis support the current ATS and NICE guidance regarding bronchodilation of patients with COPD [2, 3]. The ATS recommends LAMA/ LABA combination therapy over monotherapy for patients

who complain of dyspnea or exercise intolerance [2], while NICE recommends dual LAMA/LABA for patients who experience COPD symptoms despite optimized non-pharma-cologic management and use of short-acting bronchodilators [3].

Additionally, the current analysis of ICS-free patients supports superior efficacy benefits in patients receiving LAMA/LABA over LAMA monotherapy with comparable safety profiles. Similar efficacy and safety results have recently been published for patients who escalated their treatment from LAMA to LAMA/LABA or in maintenancenaïve patients when comparing LAMA to LAMA/LABA in a pooled population that did not exclude patients taking ICS [16, 17].

When determining pharmacologic treatment plans, we should consider the general distribution of patients with



Figure 3. (a) Change in TDI at Week 12 from baseline; all patients and by GOLD status. (b) Change in TDI at Week 12 from baseline; by baseline SGRQ and BDI status.

BDI, Baseline Dyspnea Index; CI, confidence interval; GOLD, Global Initiative for Chronic Obstructive Lung Disease; SE, standard error; SGRQ, St. George's Respiratory Questionnaire; T/O, tiotropium/olodaterol; TDI, Transition Dyspnea Index; Tio, tiotropium. *N* numbers below bars, adjusted mean response within bars.

Characteristic	Tio (<i>n</i> = 825)	T/O (n = 771)
Patients with any AE, n (%)	510 (61.8)	470 (61.0)
Patients with severe AEs, n (%)	80 (9.7)	73 (9.5)
Patients with investigator-defined drug-related AEs, n (%)	46 (5.6)	49 (6.4)
Patients with other significant AEs (according to ICH E3), n (%)	28 (3.4)	14 (1.8)
Patients with AEs leading to discontinuation of trial drug, n (%)	54 (6.5)	31 (4.0)
Patients with serious AEs, n (%)	99 (12.0)	77 (10.0)
Fatal	9 (1.1)	11 (1.4)
Life-threatening	1 (0.1)	1 (0.1)
Disability/incapacity	2 (0.2)	1 (0.1)
Requires hospitalization	87 (10.5)	64 (8.3)
Prolonged hospitalization	1 (0.1)	1 (0.01)
Other	12 (1.5)	6 (0.8)

A patient may be counted in more than one seriousness criterion. Percentages are calculated using total number of patients per treatment as the denominator. MedDRA version used for reporting: 20.0.

AE, adverse event; ICH, International Council for Harmonization; MedDRA, Medical Dictionary for Regulatory Activities.

COPD. The majority of patients with COPD initially fall into GOLD groups A or B [18], and most experience symptoms such as breathlessness rather than frequent exacerbations [19, 20]. Indeed, only a subgroup of COPD patients are frequent exacerbators and should require treatment with ICS [21, 22]. Therefore, studies such as this are important to help determine optimal treatments for those not requiring ICS. Taken together with the results of previous studies, the

present analyses confirm that dual LAMA/LABA therapy provides greater improvements compared with monotherapy, irrespective of ICS use.

The current analysis pools data from four clinical trials, representing a large, clinically relevant patient population and allowing analysis of patients with COPD who were not using ICS at baseline. The patients included in this analysis were generally balanced across the two treatment arms. There was a potential imbalance in patients' smoking status, but we do not believe that this influences the results of the present analysis. However, due to the post hoc nature of the analysis, these results do not conform to the randomization model of statistical inference, limiting the power for statistical comparisons. Another limitation to this analysis is that OTEMTO was only 12 weeks in duration; however, in TONADO, the changes in the primary endpoints were sustained throughout the 52-week treatment period [4]. As no adjustments for multiplicity were conducted in this analysis, the *p*-values are nominal, not confirmatory.

This analysis confirms the benefits of dual bronchodilation versus a single bronchodilator, in a population of COPD patients unconfounded by ICS use. In this pooled analysis of over 1500 steroid-free patients with COPD, optimizing bronchodilator treatment with tiotropium/olodaterol significantly improved lung function, symptoms and health status compared with tiotropium alone (p < 0.001), with similar results when assessed in GOLD 2 and 3 patients and according to their baseline symptoms (SGRQ and BDI) (p < 0.05). Overall, these data support GOLD recommendations of dual bronchodilator therapy without ICS to improve breathlessness and health status, and are in line with recent guidance from the ATS and NICE regarding use of dual bronchodilator therapy as primary therapy for patients with COPD.

Disclosures

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Compliance with ethics guidelines

The studies included in this *post hoc* analysis were previously approved by the review boards of the relevant national, regional or independent ethics committee or institutional review boards. These studies were conducted in accordance with the Declaration of Helsinki and Good Clinical Practice guidelines. Patients in these studies provided written informed consent.

Data availability

The data that support the findings of this study are available from the corresponding author, PMAC, upon reasonable request.

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ORCID

Marc Miravitlles (D) http://orcid.org/0000-0002-9850-9520

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