# Genetic Identification of Cell Types Underlying Brain Complex Traits Yields Novel Insights Into the Etiology of Parkinson's Disease

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#### 29 Abstract

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31 Genome-wide association studies (GWAS) have discovered hundreds of loci associated with complex 32 brain disorders, and provide the best current insights into the etiology of these idiopathic traits. 33 However, it remains unclear in which cell types these variants are active, which is essential for 34 understanding etiology and subsequent experimental modeling. Here we integrate GWAS results with 35 single-cell transcriptomic data from the entire mouse nervous system to systematically identify cell 36 types underlying psychiatric disorders, neurological diseases, and brain complex traits. We show that 37 psychiatric disorders are predominantly associated with cortical and hippocampal excitatory neurons, 38 as well as medium spiny neurons from the striatum. Cognitive traits were generally associated with 39 similar cell types but their associations were driven by different genes. Neurological diseases were 40 associated with different cell types, which is consistent with other lines of evidence. Notably, we found 41 that Parkinson's disease is not only genetically associated with cholinergic and monoaminergic 42 neurons (which include dopaminergic neurons from the substantia nigra) but also with neurons from 43 the enteric system and oligodendrocytes. Using post-mortem brain transcriptomic data, we confirmed 44 alterations in these cells, even at the earliest stages of disease progression. Our study provides an 45 important framework for understanding the cellular basis of complex brain maladies, and reveals an 46 unexpected role of oligodendrocytes in Parkinson's disease.

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#### 48 Introduction

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50 Understanding the genetic basis of complex brain disorders is critical for identifying individuals at risk, 51 designing prevention strategies, and developing rational therapeutics. In the last 50 years, twin 52 studies have shown that psychiatric disorders, neurological diseases, and cognitive traits are strongly 53 influenced by genetic factors, explaining a mean of ~50% of the variance in liability <sup>1</sup>, and GWAS 54 have identified thousands of highly significant loci <sup>2–5</sup>. However, interpretation of GWAS results 55 remains challenging. First, >90% of the identified variants are located in non-coding regions <sup>6</sup>, 56 complicating precise identification of risk genes and mechanisms. Second, extensive linkage 57 disequilibrium present in the human genome confounds efforts to pinpoint causal variants and the 58 genes they influence . Finally, it remains unclear in which tissues and cell types these variants are 59 active, and how they disrupt specific biological networks to impact disease risk.

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Functional genomic studies from brain are now seen as critical for interpretation of GWAS findings as they can identify functional regions (e.g., open chromatin, enhancers, transcription factor binding sites) and target genes (via chromatin interactions and eQTLs) <sup>7</sup>. Gene regulation varies substantially across tissues and cell types <sup>8,9</sup>, and hence it is critical to perform functional genomic studies in empirically identified cell types or tissues.

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Multiple groups have developed strategies to identify tissues associated with complex traits <sup>10–14</sup>, but few have focused on the identification of salient cell types within a tissue. Furthermore, studies aiming to identify relevant cell types often used only a small number of cell types derived from one or few different brain regions <sup>4,12–18</sup>. For example, we recently showed that, among 24 brain cell types, four types of neurons were consistently associated with schizophrenia <sup>12</sup>. We were explicit that this conclusion was limited by the relatively few brain regions we studied; other cell types from unsampled regions could conceivably contribute to the disorder.

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75 Here, we integrate a wider range of gene expression data - tissues across the human body and 76 single-cell gene expression data from an entire nervous system - to identify tissues and cell types underlying a large number of complex traits (Figure 1A,B). We expand on our prior work by showing 77 78 that additional cell types are associated with schizophrenia. We also find that psychiatric and cognitive 79 traits are generally associated with similar cell types whereas neurological disorders are associated 80 with different cell types. Notably, we show that Parkinson's disease is associated with cholinergic and 81 monoaminergic neurons (as expected as these include dopaminergic neurons from the substantia 82 nigra), but also with enteric neurons and oligodendrocytes, providing new clues into its etiology. 83

## 84 *Results*85

- 86 Genetic correlations among complex traits
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88 Our goal was to use GWAS results to identify relevant tissues and cell types. Our primary focus was 89 human phenotypes whose etiopathology is based in the central nervous system. We thus obtained 90 18 sets of GWAS summary statistics from European samples for brain-related complex traits. These 91 were selected because they had at least one genome-wide significant association (as of 2018; e.g., 92 Parkinson's disease, schizophrenia, and IQ). For comparison, we included GWAS summary statistics 93 for 8 diseases and traits with large sample sizes whose etiopathology is not rooted in the central 94 nervous system (e.g., type 2 diabetes). The selection of these conditions allowed contrasts of tissues 95 and cells highlighted by our primary interest in brain phenotypes with non-brain traits. For Parkinson's 96 disease, we meta-analyzed summary statistics from a published GWAS <sup>19</sup> (9,581 cases, 33,245 97 controls) with self-reported Parkinson's disease from 23andMe (12,657 cases, 941,588 controls) after 98 finding a high genetic correlation  $(r_g)^{20}$  between the samples  $(r_g=0.87, s.e=0.068)$ . In this new meta-99 analysis, we identified 61 independent loci associated with Parkinson's disease (49 reported previously <sup>18</sup> and 12 novel) (Figure S1). 100

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We estimated the genetic correlations  $(r_g)$  between these 26 traits. We confirmed prior reports <sup>21,22</sup> that psychiatric disorders were strongly inter-correlated (e.g., high positive correlations for schizophrenia, bipolar disorder, and MDD) and shared little overlap with neurological disorders

- 105 (**Figure S2** and **Table S1**). Parkinson's disease was genetically correlated with intracranial volume <sup>18</sup> 106 ( $r_g$ =0.29, s.e=0.05) and amyotrophic lateral sclerosis (ALS,  $r_g$ =0.19, s.e=0.08), while ALS was 107 negatively correlated with intelligence ( $r_g$ =-0.24, s.e=0.06) and hippocampal volume ( $r_g$ =-0.24, 108 s.e=0.12). These results indicate that there is substantial genetic heterogeneity across traits, which 109 is a necessary (but not sufficient) condition for trait associations with different tissues or cell types.
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- 111 Association of traits with tissues using bulk-tissue RNA-seq
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We first aimed to identify the human tissues showing enrichment for genetic associations using bulktissue RNA-seq (37 tissues) from GTEx <sup>8</sup> (**Figure 1**). To robustly identify the tissues implied by these 26 GWAS, we used two approaches (MAGMA <sup>23</sup> and LDSC <sup>13,24</sup>) which employ different assumptions (**Methods**). For both methods, we tested whether the 10% most specific genes in each tissue were enriched in genetic associations with the different traits (**Figure 1B**).

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119 Examination of non-brain traits found, as expected, associations with salient tissues. For example, as 120 shown in Figure 1D and Table S2, inflammatory bowel disease was strongly associated with immune 121 tissues (blood, spleen) and alimentary tissues impacted by the disease (small intestine and colon). 122 Lung and adipose tissue were also significantly associated with inflammatory bowel disease, possibly 123 because of the high specificity of immune genes in these two tissues (Figure S3). Type 2 diabetes 124 was associated with the pancreas, while hemoglobin A1C, which is used to diagnose type 2 diabetes 125 and monitor glycemic controls in diabetic patients, was associated with the pancreas, liver and 126 stomach (Figure 1D). Stroke and coronary artery disease were most associated with blood vessels 127 (Figure 1D, Figure S4) and waist to hip ratio was most associated with adipose tissue (Figure S4). Thus, our approach can identify the expected tissue associations given the pathophysiology of the 128 129 different traits.

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131 For brain-related traits (Figure 1C, S4 and Table S2), 13 of 18 traits were significantly associated 132 with one or more GTEx brain regions. For example, schizophrenia, intelligence, educational 133 attainment, neuroticism, BMI and MDD were most significantly associated with brain cortex, frontal 134 cortex or anterior cingulate cortex, while Parkinson's disease was most significantly associated with the substantia nigra (as expected) and spinal cord (Figure 1C). Alzheimer's disease was associated 135 136 with tissues with prominent roles in immunity (blood and spleen) consistent with other studies <sup>25–27</sup>, 137 but also with the substantia nigra and spinal cord. Stroke was associated with blood vessel (consistent 138 with a role of arterial pathology in stroke) <sup>28</sup>. Traits with no or unexpected associations could occur 139 because the primary GWAS had insufficient sample size for its genetic architecture <sup>29</sup> or because the 140 tissue RNA-seq data omitted the correct tissue or cell type. 141

In conclusion, we show that tissue-level gene expression allows identification of relevant tissues for complex traits, indicating that our methodology is suitable to explore trait-gene expression associations at the cell type level.

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- 146 Association of brain phenotypes with cell types from the mouse central and peripheral nervous system
- We leveraged gene expression data from 39 broad categories of cell types from the mouse central and peripheral nervous system <sup>30</sup> to systematically map brain-related traits to cell types (Figures 2A, S5). Our use of mouse data to inform human genetic findings was carefully considered (see Discussion).

As in our previous study of schizophrenia based on a small number of brain regions <sup>12</sup>, we found the strongest signals for telencephalon projecting neurons (i.e. excitatory neurons from the cortex, hippocampus and amygdala), telencephalon projecting inhibitory neurons (i.e. medium spiny neurons from the striatum) and telencephalon inhibitory neurons (**Figure 2A** and **Table S3**). We also found

- 157 that other types of neurons were associated with schizophrenia albeit less significantly (e.g., dentate 158 gyrus granule neurons or hindbrain neurons). Other psychiatric and cognitive traits had similar cellular 159 association patterns to schizophrenia (Figures S5-6 and Table S3). We did not observe any 160 significant associations with immune or vascular cells for any psychiatric disorder or cognitive traits.
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Neurological disorders generally implicated fewer cell types, possibly because neurological GWAS had lower signal than GWAS of cognitive, anthropometric, and psychiatric traits (Figure S7). Consistent with the genetic correlations reported above, the pattern of associations for neurological disorders was distinct from psychiatric disorders (Figures S5-6), again reflecting that neurological disorders have minimal functional overlap with psychiatric disorders <sup>21</sup> (Figure S2).

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Stroke was significantly associated with vascular smooth muscle cells (**Figure 2A**) consistent with an important role of vascular processes for this trait. Amyotrophic lateral sclerosis (a motor neuron disease) was significantly associated with peripheral sensory neurofilament neurons, possibly because of transcriptomic similarities between peripheral sensory and motor neurons (which were not sampled) (**Figure S5**). Alzheimer's disease had the strongest signal in microglia, as reported previously<sup>11,17,31</sup>, but the association did not survive multiple testing correction.

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We found that Parkinson's disease was significantly associated with cholinergic and monoaminergic 175 176 neurons (Figure 2A and Table S3). This cluster consists of neurons (Table S4) that are known to degenerate in Parkinson's disease <sup>32–34</sup>, such as dopaminergic neurons from the substantia nigra (the 177 178 hallmark of Parkinson's disease), but also serotonergic and glutamatergic neurons from the raphe nucleus <sup>35</sup>, noradrenergic neurons <sup>36</sup>, as well as neurons from afferent nuclei in the pons <sup>37</sup> and the 179 180 medulla (the brain region associated with the earliest lesions in Parkinson's disease <sup>32</sup>). In addition, 181 hindbrain neurons and peptidergic neurons were also significantly associated with Parkinson's 182 disease (with LDSC only). Therefore, our results capture expected features of Parkinson's disease and suggest that biological mechanisms intrinsic to these neuronal cell types lead to their selective 183 184 loss. Interestingly, we also found that enteric neurons were significantly associated with Parkinson's 185 disease (Figure 2A), which is consistent with Braak's hypothesis, which postulates that Parkinson's disease could start in the gut and travel to the brain via the vagus nerve <sup>38,39</sup>. Furthermore, we found 186 187 that oligodendrocytes (mainly sampled in the midbrain, medulla, pons, spinal cord and thalamus, Figure S8) were significantly associated with Parkinson's disease, indicating a strong glial component 188 to the disorder. This finding was unexpected but consistent with the strong association of the spinal 189 190 cord at the tissue level (Figure 1C), as the spinal cord contains the highest proportion of 191 oligodendrocytes (71%) in the nervous system <sup>30</sup>. Altogether, these findings provide genetic evidence 192 for a role of enteric neurons, cholinergic and monoaminergic neurons, as well as oligodendrocytes in 193 Parkinson's disease etiology.

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## 195 <u>Neuronal prioritization in the mouse central nervous system</u>196

- 197 A key goal of this study was to prioritize specific cell types for follow-up experimental studies. As our 198 metric of gene expression specificity was computed based on all cell types in the nervous system, it 199 is possible that the most specific genes in a given cell type capture genes that are shared within a 200 high level category of cell types (e.g. neurons). To rule out this possibility, we computed new 201 specificity metrics based only on neurons from the central nervous system (CNS). We then tested 202 whether the top 10% most specific genes for each CNS neuron were enriched in genetic association 203 for the brain related traits that had a significant association with a CNS neuron (13/18) in our initial 204 analysis.
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Using the CNS neuron gene expression specificity metrics, we observed a reduction in the number of neuronal cell types associated with the different traits (**Figure S9**), suggesting that some of the signal was driven by core neuronal genes. For example, the association of telencephalon projecting 209 excitatory neurons with intracranial volume (Figure S5) was not significant using the CNS neuron 210 specificity metric (Figure S9). However, we found that multiple neuronal cell types remained 211 associated with a number of traits. For example, we found that telencephalon projecting excitatory 212 and projecting inhibitory neurons were strongly associated with schizophrenia, bipolar disorder, 213 educational attainment and intelligence using both LDSC and MAGMA. Similarly, telencephalon 214 projecting excitatory neurons were significantly associated with BMI, neuroticism, MDD, autism and 215 anorexia using one of the two methods (Figure S9), while hindbrain neurons and cholinergic and 216 monoaminergic neurons remained significantly associated with Parkinson's disease (Figure S9).

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Altogether, these results suggest that specific types of CNS neurons can be prioritized for follow-up
 experimental studies for multiple traits.

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221 <u>Cell type-specific and trait-associated genes are enriched in specific biological functions</u> 222

223 Understanding which biological functions are dysregulated in different cell types is a key component 224 of the etiology of complex traits. To obtain insights into the biological functions driving cell-type/trait 225 associations, we evaluated GO term enrichment of genes that were specifically expressed (top 20% 226 in a given cell type) and highly associated with a trait (top 10% MAGMA gene-level genetic 227 association). Genes that were highly associated with schizophrenia and specific to telencephalon 228 projecting excitatory neurons were enriched for GO terms related to neurogenesis, synapses, and 229 voltage-gated channels (Table S5), suggesting that these functions may be fundamental to 230 schizophrenia. Similarly, genes highly associated with educational attainment, intelligence, bipolar 231 disorder, neuroticism, BMI, anorexia and MDD and highly specific to their most associated cell types 232 were enriched in terms related to neurogenesis, synaptic processes and voltage-gated channels 233 (Table S5). In contrast, genes highly associated with stroke and specific to vascular cells were 234 enriched in terms related to vasculature development, while genes highly associated with ALS and 235 peripheral sensory neurofilament neurons were enriched in terms related to lysosomes. 236

Genes highly associated with Parkinson's disease and highly specific to cholinergic and monoaminergic neurons were significantly enriched in terms related to endosomes and synapses (**Table S5**). Similarly, genes highly specific to oligodendrocytes and Parkinson's disease were enriched in endosomes. These results support the hypothesis that the endosomal pathway plays an important role in the etiology of Parkinson's disease <sup>40</sup>.

Taken together, we show that cell type-trait associations are driven by genes belonging to specific
biological pathways, providing insight into the etiology of complex brain related traits.

- 246 Distinct traits are associated with similar cell types, but through different genes
- 248 As noted above, the pattern of associations of psychiatric and cognitive traits were highly correlated 249 across the 39 different cell types tested (Figure S6). For example, the Spearman rank correlation of 250 cell type associations (-log<sub>10</sub>P) between schizophrenia and intelligence was 0.96 (0.94 for educational 251 attainment) as both traits had the strongest signal in telencephalon projecting excitatory neurons and 252 little signal in immune or vascular cells. In addition, we observed that genes driving the association 253 signal in the top cell types of the two traits were enriched in relatively similar GO terms involving 254 neurogenesis and synaptic processes. We evaluated two possible explanations for these findings: (a) 255 schizophrenia and intelligence are both associated with the same genes that are specifically 256 expressed in the same cell types or (b) schizophrenia and intelligence are associated with different 257 sets of genes that are both highly specific to the same cell types. Given that these two traits have a 258 significant negative genetic correlation ( $r_a$ =-0.22, from GWAS results alone) (Figure S2 and Table 259 S1), we hypothesized that the strong overlap in cell type associations for schizophrenia and 260 intelligence was due to the second explanation.

262 To evaluate these hypotheses, we tested whether the 10% most specific genes for each cell type 263 were enriched in genetic association for schizophrenia controlling for the gene-level genetic 264 association of intelligence using MAGMA. We found that the pattern of associations were largely 265 unaffected by controlling the schizophrenia cell type association analysis for the gene-level genetic association of intelligence and vice versa (Figure S10). Similarly, we found that controlling for 266 educational attainment had little effect on the schizophrenia associations and vice versa (Figure S11). 267 268 In other words, genes driving the cell type associations of schizophrenia appear to be distinct from 269 genes driving the cell types associations of cognitive traits.

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#### 271 <u>Multiple cell types are independently associated with brain complex traits</u> 272

273 Many neuronal cell types passed our stringent significance threshold for multiple brain traits (Figure 274 2A and S5). This could be because gene expression profiles are highly correlated across cell types 275 and/or because many cell types are independently associated with the different traits. In order to 276 address this, we performed univariate conditional analysis using MAGMA, testing whether cell type 277 associations remained significant after controlling for the 10% most specific genes from other cell 278 types (Table S6). We observed that multiple cell types were independently associated with age at 279 menarche, anorexia, autism, bipolar, BMI, educational attainment, intelligence, MDD, neuroticism and 280 schizophrenia (Figure S12). As in our previous study <sup>12</sup>, we found that the association between 281 schizophrenia and telencephalon projecting inhibitory neurons (i.e. medium spiny neurons) appears 282 to be independent from telencephalon projecting excitatory neurons (i.e. pyramidal neurons). For Parkinson's disease, we found that enteric neurons, oligodendrocytes and cholinergic and 283 284 monoaminergic neurons were independently associated with the disorder (Figure 2B), suggesting 285 that these three different cell types play an independent role in the etiology of the disorder.

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287 Replication in other single-cell RNA-seq datasets

To assess the robustness of our results, we repeated these analyses in independent RNA-seq datasets. A key caveat is that these other datasets did not sample the entire nervous system as in the analyses above.

293 First, we used a single-cell RNA-seq dataset that identified 88 broad categories of cell types (565 294 subclusters) in 690K single cells from 9 mouse brain regions (frontal cortex, striatum, globus pallidus 295 externus/nucleus basalis, thalamus, hippocampus, posterior cortex, entopeduncular 296 nucleus/subthalamic nucleus, substantia nigra/ventral tegmental area, and cerebellum) <sup>41</sup>. We found 297 similar patterns of association in this external dataset (Figure 3A, S14 and Table S7). Notably, for 298 schizophrenia, we strongly replicated associations with neurons from the cortex, hippocampus and 299 striatum. We also observed similar cell type associations for other psychiatric and cognitive traits 300 (Figure 3A, S13, S14 and S15). For neurological disorders, we found that stroke was significantly 301 associated with mural cells while Alzheimer's disease was significantly associated with microglia 302 (Figure S14). The associations of Parkinson's disease with neurons from the substantia nigra and 303 oligodendrocytes were significant at a nominal level in this dataset (P=0.006 for neurons from the 304 substantia nigra, P=0.027 for oligodendrocytes using LDSC) (Table S3). By computing gene 305 expression specificity within neurons, we replicated our previous findings that neurons from the cortex 306 can be prioritized for multiple traits (schizophrenia, bipolar, educational attainment, intelligence, BMI, 307 neuroticism, MDD, anorexia) (Figure S16).

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309 Second, we reanalyzed these GWAS datasets using our previous single-cell RNA-seq dataset (24 310 cell types from the neocortex, hippocampus, striatum, hypothalamus midbrain, and specific 311 enrichments for oligodendrocytes, serotonergic neurons, dopaminergic neurons and cortical 312 parvalbuminergic interneurons, 9970 single cells; **Figure 3B, S17** and **Table S8**). We again found 313 strong associations of pyramidal neurons from the somatosensory cortex, pyramidal neurons from 314 the CA1 region of the hippocampus (both corresponding to telencephalon projecting excitatory 315 neurons in our main dataset), and medium spiny neurons from the striatum (corresponding to 316 telencephalon projecting inhibitory neurons) with psychiatric and cognitive traits. MDD and autism 317 were most associated with neuroblasts, while intracranial volume was most associated with neural 318 progenitors (suggesting that drivers of intracranial volume are cell types implicated in increasing cell 319 mass). The association of dopaminergic adult neurons with Parkinson's disease was significant at a 320 nominal level using LDSC (P=0.01), while oligodendrocytes did not replicate in this dataset, perhaps 321 because they were not sampled from the regions affected by the disorder (i.e. spinal cord, pons, 322 medulla or midbrain). A within-neuron analysis again found that projecting excitatory (i.e. pyramidal 323 CA1) and projecting inhibitory neurons (i.e. medium spiny neurons) can be prioritized for multiple 324 traits (schizophrenia, bipolar, intelligence, educational attainment, BMI). In addition, we found that 325 neuroblasts could be prioritized for MDD and that neural progenitors could be prioritized for 326 intracranial volume (Figure S18) in this dataset. 327

328 Third, we evaluated a human single-nuclei RNA-seg dataset consisting of 15 different cell types from 329 cortex and hippocampus <sup>42</sup> (Figure 4A and Table S9). We replicated our findings with psychiatric and 330 cognitive traits being associated with pyramidal neurons (excitatory) and interneurons (inhibitory) from 331 the somatosensory cortex and from the CA1 region of the hippocampus. We also replicated the 332 association of Parkinson's disease with oligodendrocytes (enteric neurons and cholinergic and 333 monoaminergic neurons were not sampled in this dataset). No cell types reached our significance 334 threshold using specificity metrics computed within-neurons, possibly because of similarities in the 335 transcriptomes of neurons from the cortex and hippocampus. 336

337 Fourth, we evaluated a human single-nuclei RNA-seq dataset consisting of 31 different cell types 338 from 3 different brain regions (visual cortex, frontal cortex and cerebellum) (Figure 4B and Table 339 **S10).** We found that schizophrenia, educational attainment, neuroticism and BMI were associated 340 with excitatory neurons, while bipolar was associated with both excitatory and inhibitory neurons. As observed previously <sup>11,17,31</sup>, Alzheimer's disease was significantly associated with microglia. 341 342 Oligodendrocytes were not significantly associated with Parkinson's disease in this dataset, again 343 possibly because the spinal cord, pons, medulla and midbrain were not sampled. No cell types 344 reached our significance threshold using specificity metrics computed within neurons in thid dataset.

Most cell type-trait associations were attenuated using human single-nuclei data compared with mouse single-cell RNA-seq data, suggesting that the transcripts that are lost by single-nuclei RNAseq are important for a large number of disorders and/or that the controlled condition of mouse experiments provide more accurate gene expression quantifications (see **Discussion** and **Figure S19**).

352 Comparison with case/control differentially expressed genes at the cell type level

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354 We compared our findings for Alzheimer's disease (Table S3, Figure 4B, Figure S14) with a recent 355 study that performed differential expression analysis at the cell type level between 24 Alzheimer's 356 cases and 24 controls <sup>43</sup> (prefrontal cortex, Brodmann area 10). We tested whether the top 500, top 357 1000 and top 2000 most differentially expressed genes (no pathology vs pathology) in six different 358 cell types (excitatory neurons, inhibitory neurons, oligodendrocytes, oligodendrocytes precursor cells, 359 astrocyte and microglia) were enriched in genetic associations with Alzheimer's disease using 360 MAGMA. Consistently with our results, we found that genes differentially expressed in microglia were 361 the most associated with Alzheimer's disease genetics (Table S11), indicating that our approach 362 appropriately highlight the relevant cell type at a fraction of the cost of a case-control single cell RNA-363 seg study. As performing case-control single cell RNA-seg studies in the entire nervous system is currently cost prohibitive, the consistency of our results with the case-control study of Alzheimer's 364

disease suggests that our results could be leveraged to target specific brain regions and cell types in
 future case-control genomic studies of brain disorders.

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368 Validation of oligodendrocyte pathology in Parkinson's disease

370 We investigated the role of oligodendrocyte lineage cells in Parkinson's disease. First, we confirmed 371 the association of oligodendrocytes with Parkinson's disease by combining evidence across all 372 datasets (Fisher's combined probability test, P=2.5\*10<sup>-7</sup> using MAGMA and 6.3\*10<sup>-3</sup> using LDSC) (Table S3 and Figure S20). Second, we tested whether oligodendrocytes were significantly 373 374 associated with Parkinson's disease conditioning on the top neuronal cell type in the different datasets 375 using MAGMA and found: (a) that oligodendrocytes were independently associated from the top neuronal cell type in our main dataset and in the Habib replication dataset <sup>42</sup> at a Bonferroni significant 376 level (P=7.3\*10<sup>-5</sup> and P=1.7\*10<sup>-4</sup> respectively), (b) nominal evidence in the Saunders dataset <sup>44</sup> 377 (P=0.018), (c) weak evidence in the Skene <sup>12</sup> (P=0.12) and Lake <sup>45</sup> datasets (P=0.2) and (d) combining 378 379 the conditional evidence from all datasets, oligodendrocytes were significantly associated with 380 Parkinson's disease independently of the top neuronal association (P=1.2\*10-7, Fisher's combined 381 probability test).

- Third, we tested whether genes with rare variants associated with Parkinsonism (**Table S12**) were specifically expressed in cell types from the mouse nervous system (**Method**). As for the common variant, we found the strongest enrichment for cholinergic and monoaminergic neurons (**Table S13**). However, we did not observe any significant enrichments for oligodendrocytes or enteric neurons using genes associated with rare variants in Parkinsonism.
- 389 Fourth, we applied EWCE <sup>11</sup> to test whether genes that are up/down-regulated in human post-mortem 390 Parkinson's disease brains (from six separate cohorts) were enriched in cell types located in the 391 substantia nigra and ventral midbrain (Figure 5). Three of the studies had a case-control design and 392 measured gene expression in: (a) the substantia nigra of 9 controls and 16 cases <sup>46</sup>, (b) the medial substantia nigra of 8 controls and 15 cases <sup>47</sup>, and (c) the lateral substantia nigra of 7 controls and 9 393 394 cases <sup>47</sup>. In all three studies, downregulated genes in Parkinson's disease were specifically enriched 395 in dopaminergic neurons (consistent with the loss of this particular cell type in disease), while upregulated genes were significantly enriched in cells from the oligodendrocyte lineage. This 396 397 suggests that an increased oligodendrocyte activity or proliferation could play a role in Parkinson's 398 disease etiology. Surprisingly, no enrichment was observed for microglia, despite recent findings <sup>48,49</sup>. 399
- 400 We also analyzed gene expression data from post-mortem human brains which had been scored by 401 neuropathologists for their Braak stage <sup>50</sup>. Differential expression was calculated between brains with 402 Braak scores of zero (controls) and brains with Braak scores of 1-2, 3-4 and 5-6. At the latter 403 stages (Braak scores 3-4 and 5-6), downregulated genes were specifically expressed in dopaminergic neurons, while upregulated genes were specifically expressed in oligodendrocytes 404 405 (Figure 5), as observed in the case-control studies. Moreover, Braak stage 1 and 2 are characterized 406 by little degeneration in the substantia nigra and, consistently, we found that downregulated genes 407 were not enriched in dopaminergic neurons at this stage. Notably, upregulated genes were already 408 strongly enriched in oligodendrocytes at Braak Stages 1-2. These results not only support the genetic 409 evidence indicating that oligodendrocytes may play a causal role in Parkinson's disease, but indicate 410 that their involvement precedes the emergence of pathological changes in the substantia nigra.
- 412 **Discussion**

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414 In this study, we used gene expression data from cells sampled from the entire nervous system to

415 systematically map cell types to GWAS results from multiple psychiatric, cognitive, and neurological

416 complex phenotypes.

418 We note several limitations. First, we again emphasize that we can implicate a particular cell type but 419 it is premature to exclude cell types for which we do not have data <sup>12</sup>. Second, we used gene 420 expression data from mouse to understand human phenotypes. We believe our approach is 421 appropriate for several reasons. (A) Crucially, the key findings replicated in human data. (B) Single-422 cell RNA-seq is achievable in mouse but difficult in human neurons (where single-nuclei RNA-seq is 423 typical <sup>42,45,51,52</sup>). In brain, differences between single-cell and single-nuclei RNA-seg are important as 424 transcripts that are missed by sequencing nuclei are important for psychiatric disorders, and we 425 previously showed that dendritically-transported transcripts (important for schizophrenia) are 426 specifically depleted from nuclei datasets <sup>12</sup> (we confirmed this finding in four additional datasets, 427 Figure S19). (C) Correlations in gene expression for cell type across species is high (median 428 correlation 0.68, Figure S21), and as high or higher than correlations across methods within cell type 429 and species (single-cell vs single-nuclei RNA-seq, median correlation 0.6) <sup>53</sup>. (D) We evaluated 430 protein-coding genes with 1:1 orthologs between mouse and human. These constitute most human 431 protein-coding genes, and these genes are generally highly conserved particularly in the nervous 432 system. We did not study genes present in one species but not in the other. (E) More specifically, we 433 previously showed that gene expression data cluster by cell type and not by species <sup>12</sup>, indicating 434 broad conservation of core brain cellular functions across species. (F) We used a large number of 435 genes to map cell types to traits (~1500 genes for each cell type), minimizing potential bias due to 436 individual genes differentially expressed across species. (G) If there were strong differences in cell 437 type gene expression between mouse and human, we would not expect that specific genes in mouse 438 cell types would be enriched in genetic associations with human disorders. However, it remains 439 possible that some cell types have different gene expression patterns between mouse and human, 440 are only present in one species, have a different function or are involved in different brain circuits. 441

442 A third limitation is that gene expression data were from adolescent mice. Although many psychiatric 443 and neurological disorders have onsets in adolescence, some have onsets earlier (autism) or later 444 (Alzheimer's and Parkinson's disease). It is thus possible that some cell types are vulnerable at 445 specific developmental times. Data from studies mapping cell types across brain development and 446 aging are required to resolve this issue. 447

448 For schizophrenia, we replicated and extended our previous findings <sup>12</sup>. We found the most significant 449 associations for neurons located in the cortex, hippocampus and striatum (Figure 2A, 3) in multiple 450 independent datasets, and showed that these neuronal cell types can be prioritized among neurons 451 (Figure S9, S16 and S18). These results are consistent with the strong schizophrenia heritability 452 enrichment observed in open chromatin regions from: human dorsolateral prefrontal cortex <sup>54</sup>; human 453 cortical, striatal and hippocampal neurons <sup>55</sup>; and mouse open chromatin regions from cortical 454 excitatory and inhibitory neurons <sup>56</sup>. This degree of replication in independent transcriptomic datasets 455 from multiple groups along with consistent findings using orthogonal open chromatin data is notable, 456 and strongly implicates these cell types in the etiology of schizophrenia.

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Moreover, we found that other psychiatric traits implicated largely similar cell types. These biological findings are consistent with genetic and epidemiological evidence of a general psychopathy factor underlying diverse clinical psychiatric disorders <sup>21,57,58</sup>. Although intelligence and educational attainment implicated similar cell types, conditional analyses showed that the same cell types were implicated for different reasons. This suggests that different sets of genes highly specific to the same cell types contribute independently to schizophrenia and cognitive traits.

A number of studies have argued that the immune system plays a causal role in some psychiatric disorders <sup>59,60</sup>. Our results did not implicate any brain immune cell types in psychiatric disorders. We interpret these negative findings cautiously as we did not fully sample the immune system. It is also possible that a small number of genes are active in immune cell types and that these cell types play an important role in the etiology of psychiatric disorders. Finally, if immune functions are salient for a
 small subset of patients, GWAS may not identify these loci without larger and more detailed studies.

472 Our findings for neurological disorders were strikingly different from psychiatric disorders. In contrast 473 to previous studies that either did not identify any cell type associations with Parkinson's disease <sup>61</sup> 474 or identified significant associations with cell types from the adaptive immune system <sup>49</sup>, we found 475 that cholinergic and monoaminergic neurons (which include dopaminergic neurons), enteric neurons 476 and oligodendrocytes were significantly and independently associated with the disease. It is well 477 established that loss of dopaminergic neurons in the substantia nigra is a hallmark of Parkinson's 478 disease. Our findings suggest that dopaminergic neuron loss in Parkinson's disease is at least partly 479 due to intrinsic biological mechanisms. In addition, other type of cholinergic and monoaminergic 480 neurons are known to degenerate in Parkinson's disease (e.g., raphe nucleus serotonergic neurons 481 and cholinergic neurons of the pons), suggesting that specific pathological mechanisms may be 482 shared across these neurons and lead to their degeneration. Two theories for the selective vulnerability of neuronal populations in Parkinson's disease currently exist: the "spread Lewy 483 484 pathology model" which assumes cell-to-cell contacts enabling spreading of prion-like a-synuclein aggregates 62; and the "threshold theory" 63,64 which proposes that the vulnerable cell types 485 486 degenerate due to molecular/functional biological similarities in a cell-autonomous fashion. While both 487 theories are compatible and can co-exist, our findings support the existence of cell autonomous 488 mechanisms contributing to selective vulnerability. We caution that we do not know if all cholinergic 489 and monoaminergic neurons show degeneration or functional impairment. However, analysis of the 490 cellular mechanisms driving the association of cholinergic and monoaminergic neurons with 491 Parkinson's disease revealed endosomal trafficking as a plausible common pathogenic mechanism. 492

493 Interestingly, enteric neurons were also associated with Parkinson's disease. This result is in line with 494 prior evidence implicating the gut in Parkinson's disease. Notably, dopaminergic defects and Lewy 495 bodies (i.e. abnormal aggregates of proteins enriched in α-synuclein) are found in the enteric nervous 496 system of patients affected by Parkinson's disease 65,66. In addition, Lewy bodies have been observed in patients up to 20 years prior to their diagnosis <sup>67</sup> and sectioning of the vagus nerve (which connects 497 498 the enteric nervous system to the central nervous system) was shown to reduce the risk of developing 499 Parkinson's disease 68. Therefore, our results linking enteric neurons with Parkinson's disease 500 provides new genetic evidence for Braak's hypothesis, which postulates that Parkinson's disease 501 could start in the gut, travel along the vagus nerve, and affect the brain years after disease initiation 502 38

- 504 The association of oligodendrocytes with Parkinson's disease was more unexpected. A possible 505 explanation is that this association could be due to a related disorder (e.g., multiple system atrophy, characterized by Parkinsonism and accumulation of a-synuclein in glial cytoplasmic inclusions <sup>69</sup>). 506 507 However, this explanation is unlikely as multiple system atrophy is a very rare disorder; hence, only 508 a few patients are likely to have been included in the Parkinson's disease GWAS which could not 509 have affected the GWAS results. In addition, misdiagnosis is unlikely to have led to the association 510 of Parkinson's disease with oligodendrocytes. Indeed, we found a high genetic correlation between 511 self-reported diagnosis from the 23andMe cohort and a previous GWAS of clinically-ascertained Parkinson's disease <sup>19</sup>. In addition, self-report of Parkinson's disease in 23andMe subjects was 512 513 confirmed by a neurologist in all 50 cases evaluated <sup>70</sup>.
- 514

503

515 We did not find an association of oligodendrocytes with Parkinsonism for genes affected by rare 516 variants. This result may reflect etiological differences between sporadic and familial forms of the 517 disease or the low power and insufficient number of genes tested. Prior evidence has suggested an 518 involvement of oligodendrocytes in Parkinson's disease. For example, α-synuclein-containing 519 inclusions have been reported in oligodendrocytes in Parkinson's disease brains <sup>71</sup>. These inclusions 520 ("coiled bodies") are typically found throughout the brainstem nuclei and fiber tracts <sup>72</sup>. Although the 521 presence of coiled bodies in oligodendrocytes is a common, specific, and well-documented 522 neuropathological feature of Parkinson's disease, the importance of this cell type and its early 523 involvement in disease has not been fully recognized. Our findings suggest that intrinsic genetic 524 alterations in oligodendrocytes occur at an early stage of disease, which precedes the emergence of 525 neurodegeneration in the substantia nigra, arguing for a key role of this cell type in Parkinson's 526 disease etiology.

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Taken together, we integrated genetics and single-cell gene expression data from the entire nervous system to systematically identify cell types underlying brain complex traits. We believe that this a critical step in the understanding of the etiology of brain disorders and that these results will guide modelling of brain disorders and functional genomic studies.

#### 533 Methods

#### 534 535 <u>GWAS results</u>

536 Our goal was to use GWAS results to identify relevant tissues and cell types. Our primary focus was 537 human phenotypes whose etiopathology is based in the central nervous system. We thus obtained 538 18 sets of GWAS summary statistics from European samples for brain-related complex traits. These 539 were selected because they had at least one genome-wide significant association (as of 2018; e.g., 540 Parkinson's disease, schizophrenia, and IQ). For comparison, we included GWAS summary statistics 541 for 8 diseases and traits with large sample sizes whose etiopathology is not rooted in the central 542 nervous system (e.g., type 2 diabetes). The selection of these conditions allowed contrasts of tissues 543 and cells highlighted by our primary interest in brain phenotypes with non-brain traits.

544

The phenotypes were: schizophrenia <sup>2</sup>, educational attainment <sup>3</sup>, intelligence <sup>15</sup>, body mass index <sup>5</sup>, bipolar disorder <sup>73</sup>, neuroticism <sup>4</sup>, major depressive disorder <sup>74</sup>, age at menarche <sup>75</sup>, autism <sup>76</sup>, migraine <sup>77</sup>, amyotrophic lateral sclerosis <sup>78</sup>, ADHD <sup>79</sup>, Alzheimer's disease <sup>26</sup>, age at menopause <sup>80</sup>, coronary artery disease <sup>81</sup>, height <sup>5</sup>, hemoglobin A1c <sup>82</sup>, hippocampal volume <sup>83</sup>, inflammatory bowel disease <sup>84</sup>, intracranial volume <sup>85</sup>, stroke <sup>86</sup>, type 2 diabetes mellitus <sup>87</sup>, type 2 diabetes adjusted for BMI <sup>87</sup>, store adjusted for BMI <sup>88</sup>, and anorexia nervosa <sup>89</sup>.

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For Parkinson's disease, we performed an inverse variance-weighted meta-analysis <sup>90</sup> using summary statistics from Nalls et al. <sup>19</sup> (9,581 cases, 33,245 controls) and summary statistics from 23andMe (12,657 cases, 941,588 controls). We found a very high genetic correlation ( $r_g$ ) <sup>20</sup> between results from these cohorts ( $r_g$ =0.87, s.e=0.068) with little evidence of sample overlap (LDSC bivariate intercept=0.0288, s.e=0.0066). The P-values from the meta-analysis strongly deviated from the expected (**Figure S22**) but was consistent with polygenicity (LDSC intercept=1.0048, s.e=0.008) rather than uncontrolled inflation <sup>20</sup>.

559

### 560 Gene expression data

561 We collected publicly available single-cell RNA-seg data from different studies. The core dataset of 562 our analysis is a study that sampled more than 500K single cells from the entire mouse nervous 563 system (19 regions) and identified 39 broad categories (level 4) and 265 refined cell types (level 5) 564 <sup>30</sup>. The 39 cell types expressed a median of 16417 genes, had a median UMI total count of ~8.6M and summed the expression of a median of 1501 single cells (Table S14). The replication datasets 565 were: 1) a mouse study that sampled 690K single cells from 9 brain regions and identified 565 cell 566 types <sup>91</sup> (note that we averaged the UMI counts by broad categories of cell type in each brain region, 567 resulting in 88 different cell types); 2) our prior mouse study of ~10K cells from 5 different brain regions 568 569 (and samples enriched for oligodendrocytes, dopaminergic neurons, serotonergic neurons and 570 cortical parvalbuminergic interneurons) that identified 24 broad categories and 149 refined cell types <sup>12</sup>; 3) a study that sampled 19,550 nuclei from frozen adult human post-mortem hippocampus and 571 572 prefrontal cortex and identified 16 cell types <sup>42</sup>; 4) a study that generated 36,166 single-nuclei

573 expression measurements (after quality control) from the human visual cortex, frontal cortex and 574 cerebellum <sup>45</sup>. We also obtained bulk tissues RNA-seq gene expression data from 53 tissues from the 575 GTEx consortium <sup>8</sup> (v8, median across samples).

576

#### 577 Gene expression data processing

578 All datasets were processed uniformly. First we computed the mean expression for each gene in each 579 cell type from the single-cell expression data (if this statistics was not provided by the authors). We 580 used the pre-computed median expression across individuals for the GTEx dataset and excluded 581 tissues that were not sampled in at least 100 individuals, non-natural tissues (e.g. EBV-transformed 582 lymphocytes) and testis (outlier using hierarchical clustering). We then averaged the expression of 583 tissues by organ (with the exception of brain tissues) resulting in gene expression profiles of a total 584 of 37 tissues. For all datasets, we filtered out any genes with non-unique names, genes not expressed 585 in any cell types, non-protein coding genes, and, for mouse datasets, genes that had no expert 586 curated 1:1 orthologs between mouse and human (Mouse Genome Informatics, The Jackson 587 laboratory, version 11/22/2016). Gene expression was then scaled to a total of 1M UMIs (or transcript 588 per million (TPM)) for each cell type/tissue. We then calculated a metric of gene expression specificity 589 by dividing the expression of each gene in each cell type by the total expression of that gene in all 590 cell types, leading to values ranging from 0 to 1 for each gene (0: meaning that the gene is not 591 expressed in that cell type, 0.6: that 60% of the total expression of that gene is performed in that cell 592 type, 1: that 100% of the expression of that gene is performed in that cell type). The top 10% most 593 specific genes (Table S15 and Table S16) in each tissue/cell type partially overlapped for related 594 tissues/cell types, did not overlap for unrelated tissue/cell types and allowed to cluster related 595 tissues/cell types as expected (Figure S23 and Figure S24).

596

#### 597 MAGMA primary and conditional analyses

598 MAGMA (v1.06b) <sup>23</sup> is a software for gene-set enrichment analysis using GWAS summary statistics. 599 Briefly, MAGMA computes a gene-level association statistic by averaging P-values of SNPs located 600 around a gene (taking into account LD structure). The gene-level association statistic is then 601 transformed to a Z-value. MAGMA can then be used to test whether a gene set is a predictor of the 602 gene-level association statistic of the trait (Z-value) in a linear regression framework. MAGMA 603 accounts for a number of important covariates such as gene size, gene density, mean sample size 604 for tested SNPs per gene, the inverse of the minor allele counts per gene and the log of these metrics.

605

606 For each GWAS summary statistics, we excluded any SNPs with INFO score <0.6, with MAF < 1% 607 or with estimated odds ratio > 25 or smaller than 1/25, the MHC region (chr6:25-34 Mb) for all GWAS 608 and the APOE region (chr19:45020859-45844508) for the Alzheimer's GWAS. We set a window of 609 35kb upstream to 10kb downstream of the gene coordinates to compute gene-level association statistics and used the European reference panel from the phase 3 of the 1000 genomes project <sup>92</sup> 610 611 as the reference population. For each trait, we then used MAGMA to test whether the 10% most 612 specific gene in each tissue/cell type was associated with gene-level genetic association with the trait. 613 Only genes with at least 1TPM or 1 UMI per million in the tested cell type were used for this analysis. 614 The significance level of the different cell types was highly correlated with the effect size of the cell 615 type (Figure S25) with values ranging between 0.999 and 1 across the 18 brain related traits in the Zeisel et al. dataset <sup>93</sup>. The significance threshold was set to a 5% false discovery rate across all 616 617 tissues/cell types and traits within each dataset.

618

MAGMA can also perform conditional analyses given its linear regression framework. We used MAGMA to test whether cell types were associated with a specific trait conditioning on the gene-level genetic association of another trait (Z-value from MAGMA .out file) or to look for associations of cell types conditioning on the 10% most specific genes from other cell types by adding these variables as covariate in the model.

To test whether MAGMA was well-calibrated, we randomly permuted the gene labels of the schizophrenia gene-level association statistic file a thousand times. We then looked for association between the 10% most specific genes in each cell type and the randomized gene-level schizophrenia association statistics. We observed that MAGMA was slightly conservative with less than 5% of the random samplings having a P-value <0.05 (Figure S26).

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We also evaluated the effect of varying window sizes (for the SNPs to gene assignment step of MAGMA) on the schizophrenia cell type associations strength (-log<sub>10</sub>(P)). We observed strong Pearson correlations in cell type associations strength (-log<sub>10</sub>(P)) across the different window sizes tested (**Figure S27**). Our selected window size (35kb upstream to 10 kb downstream) had Pearson correlations ranging from 0.94 to 0.98 with the other window sizes, indicating that our results are robust to this parameter.

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In a recent paper, Watanabe et al. <sup>94</sup> introduced a different methodology to test for cell type – complex trait association based on MAGMA. Their proposed methodology tests for a positive relationship between gene expression levels and gene-level genetic associations with a complex trait (using all genes). Their method uses the average expression of each gene in all cell types in the dataset as a covariate. We examined the method of Watanabe et al. in detail, and decided against its use for multiple reasons.

644

645 First, Watanabe et al. hypothesize that genes with higher levels of expression should be more 646 associated with a trait. In extended discussions among our team (which include multiple 647 neuroscientists), we have strong reservations about the appropriateness and biological 648 meaningfulness of this hypothesis; it is a strong requirement and is at odds with decades of 649 neuroscience research where molecules expressed a low levels can have profound biological impact. 650 For instance, many cell-type specific genes that are disease relevant are expressed at moderate levels (e.g., *Drd2* is in the 10% most specific genes in telencephalon projecting inhibitory neurons but 651 652 in the bottom 30% of expression levels). Our method does not make this hypothesis.

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Second, the method of Watanabe et al. corrects for the average expression of all cell types in a dataset. This practice is, in our view, problematic as it necessarily forces dependence on the composition of a scRNA-seq dataset. For instance, if a dataset consists mostly of neurons, this amounts to correcting for neuronal expression and necessarily erodes power to detect trait enrichment in neurons. Alternatively, if a dataset is composed mostly of non-neuronal cells, this will impacts the detection of enrichment in non-neuronal cells.

660

Third, preliminary results indicate that the method of Watanabe et al. is sensitive to scaling. As different cell types express different numbers of genes, scaling to the same total read counts affects the average gene expression across cell types (which they use as a covariate), leading to different results with different choices of scaling factors (e.g., scaling to 10k vs 1 million reads). Our method is not liable to this issue.

### 667 LD score regression analysis

668 We used partitioned LD score regression <sup>95</sup> to test whether the top 10% most specific genes of each 669 cell type (based on our specificity metric described above) were enriched in heritability for the diverse 670 traits. Only genes with at least 1TPM or 1 UMI per million in the tested cell type were used for this analysis. In order to capture most regulatory elements that could contribute to the effect of the region 671 672 on the trait, we extended the gene coordinates by 100kb upstream and by 100kb downstream of each 673 gene as previously <sup>13</sup>. SNPs located in 100kb regions surrounding the top 10% most specific genes 674 in each cell type were added to the baseline model (consisting of 53 different annotations) 675 independently for each cell type (one file for each cell type). We then selected the coefficient z-score p-value as a measure of the association of the cell type with the traits. The significance threshold was 676

set to a 5% false discovery rate across all tissues/cell types and traits within each dataset. All plots
show the mean -log<sub>10</sub>(P) of partitioned LDscore regression and MAGMA. All results for MAGMA or
LDSC are available in supplementary data files.

We evaluated the effect of varying window sizes and varying the percentage of most specific genes on the schizophrenia cell type associations strength (-log<sub>10</sub>P). We observed strong Pearson correlations in cell type associations strength (-log<sub>10</sub>P) across the different percentage and window sizes tested (**Figure S28**). Our selected window size (100 kb upstream to 100 kb downstream, top 10% most specific genes) had Pearson correlations ranging from 0.96 to 1 with the other window sizes and percentage, indicating that our results are robust to these parameters.

#### 688 MAGMA vs LDSC ranking

In order to test whether the cell type ranking obtained using MAGMA and LDSC in the Zeisel et al. 689 690 dataset <sup>30</sup> were similar, we computed the Spearman rank correlation of the cell types association 691 strength (-log<sub>10</sub>P) between the two methods for each complex trait. The Spearman rank correlation 692 was strongly correlated with  $\lambda_{GC}$  (a measure of the deviation of the GWAS test statistics from the 693 expected) (Spearman  $\rho$ =0.89) (Figure S29) and with the average number of cell types below our 694 stringent significance threshold (Spearman  $\rho$ =0.92), indicating that the overall ranking of the cell types 695 is very similar between the two methods, provided that the GWAS is well powered (Figure S30). In addition, we found that  $\lambda_{GC}$  was strongly correlated with the strength of association of the top tissue 696  $(-\log_{10}P)$  (Spearman  $\rho=0.88$ ) (Figure S31), as well as with the effect size (beta) of the top tissue 697 698 (Spearman  $\rho$ =0.9), indicating that cell type – trait associations are stronger for well powered GWAS. 699 The significance level ( $-\log_{10}P$ ) was also strongly correlated with the effect size (Spearman  $\rho$ =0.996) 700 (Figure S31) for the top cell type of each trait.

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#### 703 Dendritic depletion analysis

704 This analysis was performed as previously described <sup>12</sup>. In brief, all datasets were reduced to a set of 705 six common cell types: pyramidal neurons, interneurons, astrocytes, microglia and oligodendrocyte 706 precursors. Specificity was recalculated using only these six cell types. Comparisons were then made 707 between pairs of datasets (denoted in the graph with the format 'X versus Y'). The difference in 708 specificity for a set of dendrite enriched genes is calculated between the datasets. Differences in 709 specificity are also calculated for random sets of genes selected from the background gene set. The 710 probability and z-score for the difference in specificity for the dendritic genes is thus estimated. 711 Dendritically enriched transcripts were obtained from Supplementary Table 10 of Cajigas et al. <sup>96</sup>. For 712 the KI dataset <sup>12</sup>, we used S1 pyramidal neurons. For the Zeisel 2018 dataset <sup>30</sup> we used all ACTE\* cells as astrocytes, TEGLU\* as pyramidal neurons, TEINH\* as interneurons, OPC as oligodendrocyte 713 714 precursors and MGL\* as microglia. For the Saunders dataset <sup>41</sup>, we used all Neuron.Slc17a7 cellt 715 ypes from FC, HC or PC as pyramidal neurons; all Neuron.Gad1Gad2 cell types from FC, HC or PC 716 as interneurons; Polydendrocye as OPCs; Astrocyte as astrocytes, and Microglia as microglia. The 717 Lake datasets both came from a single publication <sup>45</sup> which had data from frontal cortex, visual cortex 718 and cerebellum. The cerebellum data was not used here. Data from frontal and visual cortices were 719 analyzed separately. All other datasets were used as described in our previous publication <sup>12</sup>. The 720 code and data for this analysis are available as an R package (see code availability below).

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#### 722 <u>GO term enrichment</u>

We tested whether genes that were highly specific to a trait-associated cell type (top 20% in a given cell type) AND highly associated with the genetics of the traits (top 10% MAGMA gene-level genetic association) were enriched in biological functions using the *topGO* R package <sup>97</sup>. As background, we used genes that were highly specific to the cell type (top 20%) OR highly associated with the trait (top

- 10% MAGMA gene-level genetic association).
- 728

#### 729 Parkinson's disease rare variant enrichments

We searched the literature for genes associated with Parkinsonism on the basis of rare and familial mutations. We found 66 genes (listed in **Table S12**). We used linear regression to test whether the zscaled specificity metric (per cell type) of the 66 genes were greater than 0 in the different cell types.

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#### 734 Parkinson's disease post-mortem transcriptomes

735 The Moran dataset <sup>47</sup> was obtained from GEO (accession GSE8397). Processing of the U133a and U133b Cel files was done separately. The data was read in using the ReadAffy function from the R 736 737 affy package <sup>98</sup>, then Robust Multi-array Averaging (RMA) was applied. The U133a and U133b array 738 expression data were merged after applying RMA. Probe annotations and mapping to HGNC symbols 739 was done using the biomaRt R package <sup>99</sup>. Differential expression analysis was performed using 740 limma <sup>100</sup> taking age and gender as covariates. The Lesnick dataset <sup>46</sup> was obtained from GEO 741 (accession GSE7621). Data was processed as for the Moran dataset: however, age was not available 742 to use as a covariate. The Disjkstra dataset <sup>50</sup> was obtained from GEO (accession GSE49036) and processed as above: the gender and RIN values were used as covariates. As the transcriptome 743 744 datasets measured gene expression in the substantia nigra, we only kept cell types that are present 745 in the substantia nigra or ventral midbrain for our EWCE <sup>11</sup> analysis. We computed a new specificity 746 matrix based on the substantia nigra or ventral midbrain cells from the Zeisel dataset (level 5) using 747 EWCE <sup>11</sup>. The EWCE analysis was performed on the 500 most up or down regulated genes using 748 10,000 bootstrapping replicates. 749

#### 750 Code availability

The code used to generate these results is available at: <u>https://github.com/jbryois/scRNA\_disease</u>.
 An R package for performing cell type enrichments using magma is also available from:
 <u>https://github.com/NathanSkene/MAGMA\_Celltyping</u>.

#### 755 Data availability

All single-cell expression data are publicly available. Most summary statistics used in this study are publicly available. The migraine GWAS can be obtained by contacting the authors <sup>77</sup>. The Parkinson's disease summary statistics from 23andMe can be obtained under an agreement that protects the privacy of 23andMe research participants (<u>https://research.23andme.com/collaborate/#publication</u>).

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784 J.B., N.G.S., J.H.-L. and P.F.S. designed the study, wrote and reviewed the manuscript; J.B 785 performed the analyses pertaining to Figure 1-4, Figure S1-S18, Figure S20-S31, table S1-S11 and 786 table S13-S16; N.G.S performed the analyses pertaining to Figure 5, Figure S19 and table S12-S13; 787 T.F.H, L.K. and the I.H.G.C provided the migraine GWAS summary statistics; H.W., the E.D.W.G.P.G.C, G.B. and C.M.B performed the anorexia GWAS; Z.L. contributed to the revision of 788 789 the manuscript, The 23andMe R.T. provided GWAS summary statistics for Parkinson's disease in 790 the 23andMe cohort. L.B. contributed to the post-mortem differential expression analysis (Figure 5); 791 E.A. and K.H. provided expert knowledge on Parkinson's disease and reviewed the manuscript.

#### 792

#### 793 **Potential conflicts of interest**

P.F.S. reports the following potentially competing financial interests. Current: Lundbeck (advisory
 committee, grant recipient). Past three years: Pfizer (scientific advisory board), Element Genomics
 (consultation fee), and Roche (speaker reimbursement). C.M. Bulik reports: Shire (grant recipient,

797 Scientific Advisory Board member); Pearson and Walker (author, royalty recipient).

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- Table S3: Association P-value between cell types from the entire mouse nervous system and all
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- 807 **Table S5:** GO term enrichment of genes highly specific to cell type and diseases
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- Table S11: Association of Alzheimer's disease differentially expressed genes in 6 different cell
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- 819 **Table S12**: Rare and familial genetic mutations associated with Parkinsonism
- 820 **Table S13**: Cell type enrichment results using rare and familial genetic mutations associated with
- 821 Parkinsonism. The one-sided pvalues were computed using linear regression, testing whether the
- 822 average specificity metric of the gene set was higher than 0 (z-scaled specificity metrics per tissue).
- 823 **Table S14**: Summary statistics of cell types from the mouse nervous system (Zeisel et al. 2018)
- 824 **Table S15**: Top 10% most specific genes per tissue for the GTEx dataset
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**Figure 1**: Study design and tissue-level associations. Heat map of trait – tissue/cell types associations (-log<sub>10</sub>P) for the selected traits. (**A**) Trait – tissue/cell types associations were performed using MAGMA and LDSC (testing for enrichment in genetic association of the top 10% most specific genes in each tissue/cell type). (**B**) Tissue – trait associations for selected brain related traits. (**C**) Tissue – trait associations for selected non-brain related traits. (**D**) The mean strength of association (-log<sub>10</sub>P) of MAGMA and LDSC is shown and the bar color indicates whether the tissue is significantly associated with both methods, one method or none (significance threshold: 5% false discovery rate).



839 840 Figure 2: Association of selected brain related traits with cell types from the entire nervous system. 841 Associations of the top 10 most associated cell types are shown. (A) Conditional analysis results for 842 Parkinson's disease using MAGMA. The label indicates the cell type the association analysis is being 843 conditioned on. (B) The mean strength of association (-log<sub>10</sub>P) of MAGMA and LDSC is shown and 844 the bar color indicates whether the cell type is significantly associated with both methods, one method 845 or none (significance threshold: 5% false discovery rate).



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855 856 Figure 4: Human replication of cell type – trait associations. Cell type - trait associations for 15 cell 857 types (derived from single-nuclei RNA-seq) from 2 different brain regions (cortex, hippocampus). (A) 858 Cell type - trait associations for 31 cell types (derived from single-nuclei RNA-seg) from 3 different 859 brain regions (frontal cortex, visual cortex and cerebellum). (B) The mean strength of association (-860 log<sub>10</sub>P) of MAGMA and LDSC is shown and the bar color indicates whether the cell type is significantly 861 associated with both methods, one method or none (significance threshold: 5% false discovery rate). 862 INT (intelligence), SCZ (schizophrenia), EDU (educational attainment), NEU (neuroticism), BMI (body mass index), BIP (bipolar disorder), MDD (Major depressive disorder), MEN (age at menarche), ASD 863 864 (autism spectrum disorder), MIG (migraine), PAR (Parkinson's disease), ADHD (attention deficit 865 hyperactivity disorder), ICV (intracranial volume), HIP (hippocampal volume), AN (anorexia nervosa), 866 ALZ (Alzheimer's disease), ALS (amyotrophic lateral sclerosis), STR (stroke).



**Figure 5**: Enrichment of Parkinson's disease differentially expressed genes in cell types from the substantia nigra. Enrichment of the 500 most up/down regulated genes (Braak stage 0 vs Braak stage 1-2, 3-4 and 5-6, as well as cases vs controls) in postmortem human substantia nigra gene expression samples. The enrichments were obtained using EWCE<sup>11</sup>. A star shows significant enrichments after multiple testing correction (P<0.05/(25\*6).

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# 876 Supplementary Figures877



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 Figure S1: Manhattan plot of Parkinson's disease meta-analysis. The black dotted line represents
 880 the genome-wide significance threshold (5x10<sup>-8</sup>).



Figure S2: Genetic correlation across traits. The genetic correlation across traits were computed using LDSC<sup>101</sup>. Traits are ordered based on hierarchical clustering.



**Figure S3**: Enrichment of immune genes in GTEx tissues. Enrichment pvalues of genes belonging to the GO term "Immune System Process" in the 10% most specific genes in each tissue. The one-sided pvalues were computed using linear regression, testing whether the average specificity metric of the gene set was higher than 0 (z-scaled specificity metrics per tissue). The GO term was selected because it is the most associated with inflammatory bowel disease using MAGMA.



893 894 Figure S4: Tissue - trait associations for all traits. The mean strength of association (-log<sub>10</sub>P) of MAGMA and LDSC is shown and the bar color indicates whether the tissue is significantly associated 895 896 with both methods, one method or none (significance threshold: 5% false discovery rate).



898 899 Figure S5: Associations of brain related traits with cell types from the entire mouse nervous system. Associations of the top 15 most associated cell types are shown. The mean strength of association (-

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log<sub>10</sub>P) of MAGMA and LDSC is shown and the bar color indicates whether the cell type is significantly 902 associated with both methods, one method or none (significance threshold: 5% false discovery rate).



**Figure S6:** Correlation in cell type associations across traits. The Spearman rank correlations between the cell types associations across traits (-log<sub>10</sub>P) are shown. SCZ (schizophrenia), EDU (educational attainment), INT (intelligence), BMI (body mass index), BIP (bipolar disorder), NEU (neuroticism), PAR (Parkinson's disease), MDD (Major depressive disorder), MEN (age at menarche), ICV (intracranial volume), ASD (autism spectrum disorder), STR (stroke), AN (anorexia nervosa), MIG (migraine), ALS (amyotrophic lateral sclerosis), ADHD (attention deficit hyperactivity disorder), ALZ (Alzheimer's disease), HIP (hippocampal volume).



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Figure S7: GWAS signal to noise ratio ( $\lambda_{GC}$ ) by category of GWAS trait. Boxplot of the  $\lambda_{GC}$  of the

915 different GWAS by category of trait.  $\lambda_{GC}$  was estimated using LDSC for each GWAS.



917 918 Figure S8: Number of single cells forming the oligodendrocyte cluster. Number of single cells per 919 region of the mouse nervous system used to estimate the average gene expression of 920 oligodendrocytes.



Figure S9: Associations of brain related traits with neurons from the central nervous system.

Associations of the 15 most associated neurons from the central nervous system (CNS) are shown. The specificity metrics were computed only using neurons from the CNS. The mean strength of association (-log<sub>10</sub>P) of MAGMA and LDSC is shown and the bar color indicates whether the cell type is significantly associated with both methods, one method or none (significance threshold: 5% false discovery rate).





Figure S10: Associations of cell types with schizophrenia/intelligence conditioning on gene-level 932 genetic association of intelligence/schizophrenia. MAGMA association strength for each cell type 933 before and after conditioning on gene-level genetic association for another trait. The black bar 934 represents the significance threshold (5% false discovery rate). SCZ (schizophrenia), INT 935 (intelligence).

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938 Figure S11: Associations of cell types with schizophrenia/educational attainment conditioning on 939 gene-level genetic association of educational attainment/schizophrenia. MAGMA association strength 940 for each cell type before and after conditioning on gene-level genetic association for another trait. The 941 black bar represents the significance threshold (5% false discovery rate). SCZ (schizophrenia), EDU 942 (educational attainment).



Figure S12: Conditional analysis results for brain related traits. Conditional analysis results using MAGMA are shown for up to the 5 most associated cell types (if at least 5 cell types were significant 946 947 at a 5% false discovery rate in the original analysis. The color indicates if the cell type is significant at 948 a 5% false discovery rate and the label indicates the cell type the association analysis is being 949 conditioned on.





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952 Figure S13: Replication of cell type – trait associations in 88 cell types from 9 different brain regions. 953 The mean strength of association (-log<sub>10</sub>P) of MAGMA and LDSC is shown and the bar color indicates 954 whether the cell type is significantly associated with both methods, one method or none (significance 955 threshold: 5% false discovery rate). SCZ (schizophrenia), EDU (educational attainment), INT 956 (intelligence), BMI (body mass index), BIP (bipolar disorder), NEU (neuroticism), PAR (Parkinson's 957 disease), MDD (Major depressive disorder), MEN (age at menarche), ICV (intracranial volume), ASD (autism spectrum disorder), STR (stroke), AN (anorexia nervosa), MIG (migraine), ALS (amyotrophic 958 959 lateral sclerosis), ADHD (attention deficit hyperactivity disorder), ALZ (Alzheimer's disease), HIP 960 (hippocampal volume).



962 963 Figure S14: Top associated cell types with brain related traits among 88 cell types from 9 different 964 brain regions. The mean strength of association (-log<sub>10</sub>P) of MAGMA and LDSC is shown for the 15 top cell types for each trait. The bar color indicates whether the cell type is significantly associated 965 966 with both methods, one method or none (significance threshold: 5% false discovery rate).



Figure S15: Correlation in cell type associations across traits in a replication data set (88 cell types,
 9 brain regions). Spearman rank correlations for cell types associations (-log<sub>10</sub>P) across traits are
 shown. SCZ (schizophrenia), EDU (educational attainment), INT (intelligence), BMI (body mass
 index), BIP (bipolar disorder), NEU (neuroticism), PAR (Parkinson's disease), MDD (Major depressive
 disorder), MEN (age at menarche), ICV (intracranial volume), ASD (autism spectrum disorder), STR
 (stroke), AN (anorexia nervosa), MIG (migraine), ALS (amyotrophic lateral sclerosis), ADHD (attention
 deficit hyperactivity disorder), ALZ (Alzheimer's disease), HIP (hippocampal volume).



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978 Figure S16: Associations of brain related traits with neurons from 9 different brain regions. Trait – neuron association are shown for neurons of the 9 different brain regions. The specificity metrics were computed only using neurons. The mean strength of association (-log<sub>10</sub>P) of MAGMA and LDSC is shown and the bar color indicates whether the cell type is significantly associated with both methods,

one method or none (significance threshold: 5% false discovery rate).



984 985 **Figure S17**: Top associated cell types with brain related traits among 24 cell types from 5 different 986 brain regions. The mean strength of association ( $-\log_{10}P$ ) of MAGMA and LDSC is shown for the 15 987 top cell types for each trait. The bar color indicates whether the cell type is significantly associated 988 with both methods, one method or none (significance threshold: 5% false discovery rate).



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   991 Figure S18: Top associated neurons with brain related traits among 16 neurons from 5 different brain regions. The specificity metrics were computed only using neurons. The mean strength of association (-log<sub>10</sub>P) of MAGMA and LDSC is shown for the top 15 cell types for each trait. The bar color indicates
- whether the cell type is significantly associated with both methods, one method or none (significance
- 995 threshold= 5% false discovery rate).
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**Figure S19**: Single nuclei datasets are systematically depleted of dendritically enriched transcripts relative to single-cell datasets. Each bar represents a comparison between two datasets (X versus Y), with the bootstrapped z-scores representing the extent to which dendritically enriched transcripts have lower specificity for pyramidal neurons in dataset Y relative to that in dataset X. Larger zscores indicate greater depletion of dendritically enriched transcripts, and red bars indicate a statistically significant depletion (P < 0.05, by bootstrapping).





Figure S20: Association of Parkinson's disease with oligodendrocytes in the different datasets. The dotted line indicated the nominal significance threshold (P=0.05)

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Figure S21: Gene expression correlation within cell type across species. Pearson correlation of gene
 expression (log<sub>2</sub>(expression) +1) between mouse and human cell types with matching names (from
 Habib et al. 2017 <sup>42</sup>).





Figure S22: Quantile-quantile plot of Parkinson's disease meta-analysis. Quantile-quantile plot of the meta-analyzed pvalues for Parkinson's disease. The y-axis is truncated for clarity. The grey zone around the red line represents the 95% confidence interval for the null distribution.



 $\begin{array}{c} 020\\ 021 \end{array}$  Figure S23: Jaccard index for the top 10% most specific genes in each tissue in the GTEx dataset.

022 Jaccard index were calculated between the top 10% most specific genes in each tissue from the

- 023 GTEx dataset.
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025 026 Figure S24: Jaccard index for the top 10% most specific genes in each cell type in the mouse nervous 027 system (Zeisel et al. 2018). Jaccard index were calculated between the top 10% most specific genes 028 in each cell type from the mouse nervous system (Zeisel et al. 2018).



030 031 Figure S25: Correlation between beta coefficient and significance level. Histograms of the spearman 032 rank correlations between effect size (beta coefficient) and significance (-log<sub>10</sub>P) computed for each 033 trait in the Zeisel dataset. The effect sizes are strongly correlated with the significance level of the cell 034 type with values ranging from 0.999 to 1 using MAGMA and 0.953 to 1 with LDSC. 035



036 037 Figure S26: Number of MAGMA associations with P<0.05 using permuted gene-level genetic 038 associations. Gene labels were randomly permuted a thousand times for the schizophrenia MAGMA 039 gene-level genetic associations (39 cell types \* 1000 permuted labels=39,000 associations with 040 permuted gene labels). The number of permutations with P < 0.05 is shown in blue. The black horizontal bar shows expected number of random associations with P < 0.05 (39,000\*0.05=1950). 041



Figure S27: Correlation in schizophrenia cell type association strengths with different window sizes using MAGMA. Pearson correlations of the cell type association strength (-log<sub>10</sub>P) across different window sizes using MAGMA. The diagonal shows the distribution of the (-log<sub>10</sub>P) for each window size.

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Figure S28: Correlation in schizophrenia cell type association strengths with different window sizes 051 and percentages of most specific genes using LDSC. Pearson correlations of the cell type association 052 strength (-log<sub>10</sub>P) across different window sizes and percentages of most specific genes using LDSC. The diagonal shows the distribution of the (-log<sub>10</sub>P) for the cell type associations using different 053 054 parameters.



Lambda GC **Figure S29**: Correlation between  $\lambda_{GC}$  and similarity in cell type ordering between MAGMA and LDSC. LDSC<sup>101</sup> was used to obtain  $\lambda_{GC}$  (a measure of the deviation of the GWAS statistics from the expected)

for each GWAS. Spearman rank correlation was used to test for similarity in association strength (-

1060 log<sub>10</sub>P) between MAGMA and LDSC for each GWAS among 39 cell types from the nervous system.



Figure S30: Correlation between mean number of significant cell types (5%FDR) ordering between MAGMA and LDSC. The mean number of cell types was obtained by taking the average of the number of cell types that were significantly associated with each trait (FDR<5%) using MAGMA and LDSC. Spearman rank correlation was used to test for similarity in association strength (-log<sub>10</sub>P) between MAGMA and LDSC among 39 cell types from the nervous system.



069 070 Figure S31: The GWAS  $\lambda_{GC}$  is correlated with the strength of association of the top cell type in the 071 Zeisel dataset. Scatter plot of the  $\lambda_{GC}$  (median of chi-squared test statistics divided by expected median of the chi-squared distribution) of each GWAS vs the strength of association of the top Zeisel 072 073 cell type associated with the trait (-log<sub>10</sub>(P<sub>MAGMA</sub>)). Spearman correlation=0.88 (A). Scatter plot of the 074  $\lambda_{GC}$  of each GWAS vs the effect size of the top Zeisel cell type associated with the trait (log<sub>10</sub>(P<sub>MAGMA</sub>)). Spearman correlation=0.9 (B). Scatter plot of the strength of association of the top 075 Zeisel cell type (-log<sub>10</sub>(P<sub>MAGMA</sub>)) of each GWAS vs the effect size of the top Zeisel cell type. Spearman 076 077 correlation=0.996 (C).

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