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**Citation:** Wang, J., Yan, S. ORCID: 0000-0001-8968-6616, Ma, Q., Wang, J., Xie, Z. and Marran, S. (2020). Modelling of focused wave interaction with wave energy converter models using qaleFOAM. Proceedings of the Institution of Civil Engineers - Engineering and Computational Mechanics, doi: 10.1680/jencm.19.00035

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## Numerical Simulation of Focused Wave Interaction with WEC Models using galeFOAM

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## Abstract (150 – 200 words)

The paper presents a numerical investigation of the interaction between focused waves and wave energy converter (WEC) models using a hybrid solver, qaleFOAM, which couples a two-phase incompressible Navier-Stokes (NS) solver OpenFOAM/InterDyMFoam with the Quasi Lagrangian-Eulerian Finite Element Method (QALE-FEM) based on the fully nonlinear potential theory (FNPT) using the domain-decomposition approach. In the qaleFOAM, the NS solver deals with a small region near the structures (NS domain), where the viscous effect may be significant; the QALE-FEM covers the remaining computational domain (FNPT domain); an overlap (transitional) zone is applied between two domains. The WEC models, mooring system and the wave conditions are specified by the CCP-WSI (Collaborative Computational Project in Wave-Structure Interaction) Blind Test Series 2. In the numerical simulation, the incident wave is generated in the FNPT domain using a self-correction wavemaker and propagates into the NS domain through the coupling boundaries and attached transitional zones. An improved passive wave absorber is imposed at the outlet of the NS domain for wave absorption. The practical performance of the qaleFOAM is demonstrated by comparing its prediction with the experimental data, including the wave elevation, motion responses (surge, heave and pitch) and mooring load.

## Keywords chosen from ICE Publishing list

Fluid Mechanics; Mathematical Modelling; Renewable Energy

## List of notations (examples below)

$\rho_w$	is the density of the water
$\phi$	is the velocity potential
$\eta$	is the free surface elevation
$\vec{u}$	is the fluid velocity
$p$	is the pressure
$w$	is the weighting function ranging from 0 to 1
$d$	is the water depth
$\vec{U}_h$	is the horizontal velocity component
$U_z$	is the vertical velocity component
$\tilde{\omega}$	is the instantaneous wave frequency
$\tilde{k}$	is the instantaneous wave number
$\tilde{\eta}$	is the recorded wave elevation at the wave absorber
$\vec{n}_h$	is the normal direction of the absorber surface

# 1 Introduction

2

3 Reliable prediction on the structural responses in waves plays an essential role on the design, deployment  
4 and operation of the offshore and marine structures, such as the wave energy converters (WECs). For  
5 survivability of the structure, its behaviour in realistic extreme wave conditions need to be paid extra  
6 attention. Such extreme wave conditions are often generated in physical and numerical wave tanks using  
7 a focused wave group, e.g. the NewWave theory (Tromans et al. 1991). Consequently, modelling the  
8 wave-structure interaction (WSI) in focused waves attracts the interests from both the academia and  
9 industrial.

10

11 To model WSIs, numerous numerical models and software have been developed based on a wide range of  
12 theoretical models, including the fully nonlinear potential theory (FNPT), where the fluid is assumed to  
13 be incompressible, irrotational and inviscid, and the single- or multi-phase Navier-Stokes (NS) models  
14 with or without turbulence modelling. The performances of these models rely on the effectiveness of  
15 generating incident waves in the far field, modelling the wave propagation, simulating structural  
16 responses and resolving small-scale turbulence/viscous effects in the near field. For the non-breaking  
17 extreme waves, it is widely accepted that the FNPT model can satisfactorily reproduce the wave  
18 conditions and model their propagation in a large computational domain (e.g. Grilli et al., 2001; Ma et  
19 al., 2001, 2006, 2015; Ning et al., 2008, 2009; Stansby, 2013; Engsig-Karup et al., 2016; Wang et al.,  
20 2018). For simulating structural responses, the FNPT model can also deliver a promising accuracy if the  
21 structure is relatively big compared with the wave length (Celebi et al, 1998; Kashiwagi, 2000; Tanizawa  
22 and Minami,2001; Wu and Hu, 2004; Bai and Eatock Taylor, 2006; Yan and Ma, 2007; Ma and Yan,  
23 2009; Hu et al. 2020), due to insignificant viscous effects involved in such problems. This was further  
24 confirmed by the final report of the first CCP-WSI (Collaborative Computational Project in Wave-  
25 Structure Interaction) blind test held in ISOPE 2018 (Ransley et al. 2019), in which cases with a fixed  
26 FPSO subjected to extreme wave conditions were numerically simulated using various numerical models  
27 and compared with the experimental data. The blind test minimised the possibility of numerical  
28 calibrations or tuning for the participated numerical models, due to the fact that the experimental data was  
29 released after the numerical predictions were submitted, and, therefore, largely reflects the reliabilities of  
30 participated numerical models for daily practices without available experimental data. One conclusion

31 given by Ransley et al (2019) is that FNPT methods have performed equally as well as the high fidelity  
32 methods; the FEM-based FNPT method, i.e. the Quasi Lagrangian Eulerian Finite Element Method  
33 (QALE-FEM, Ma & Yan, 2006,2009; Yan & Ma, 2007; Ma et al. 2015), is at least 1.5 orders of  
34 magnitude faster than the quickest NS code and has comparable predictive capability in these cases  
35 (Ransley et al. 2019), where the viscous and the turbulent effects are insignificant (Yan and Xie et al.  
36 2019).

37

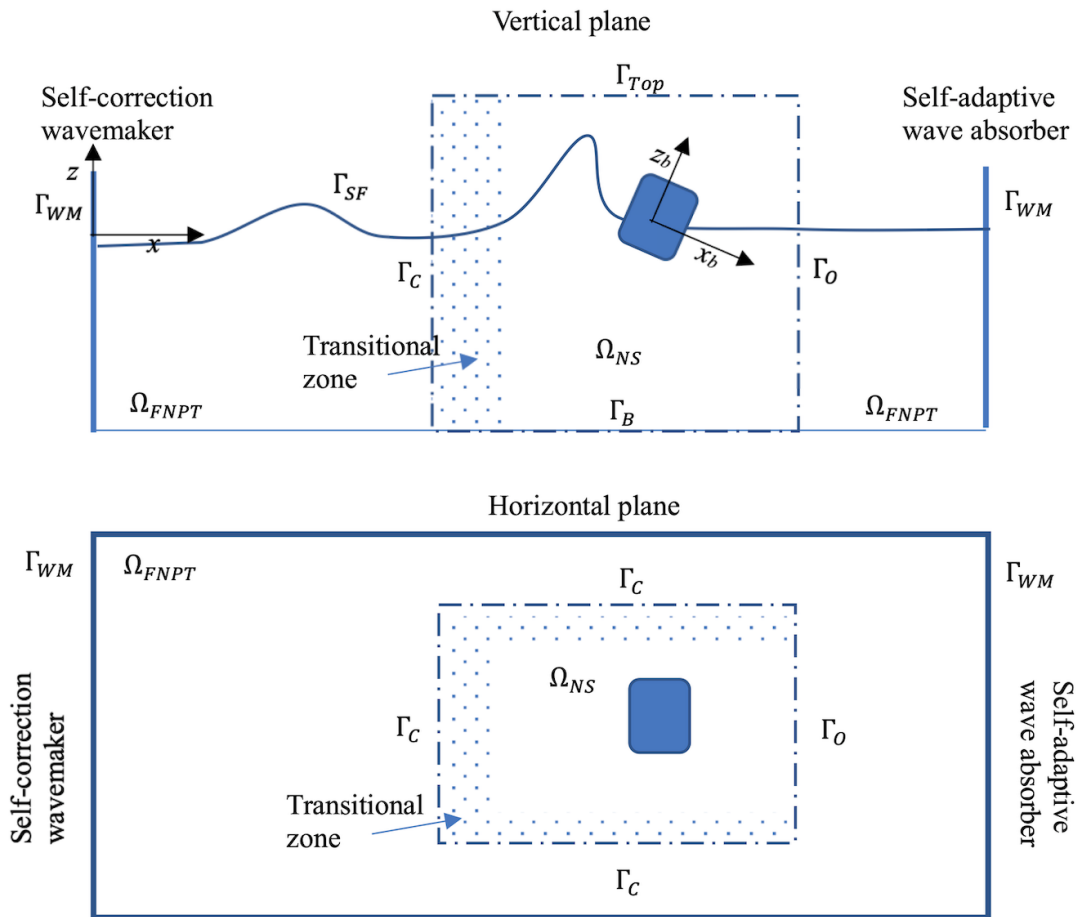
38 However, if the relative size of the structure is small compared with the characteristic wave length, e.g.  
39 within the range of the application of the Morison's equation (usually  $< 0.2$  characteristic wavelength),  
40 the viscous effects become important. The viscous effects may also be significant when the motion of the  
41 structure is significant (e.g. Yan & Ma, 2007; Hu et al, 2020) and/or the fluid is sloshing in a confined  
42 zone (e.g. Yan and Ma et al. 2019). For such problems, the NS models may be necessary and the potential  
43 theory is not suitable, unless an appropriate artificial viscosity is applied (e.g. Yan & Ma, 2007). The  
44 artificial viscosity is often numerically calibrated using available experimental results or reliable high-  
45 fidelity predictions. This obviously brings inconvenience and uncertainty into the numerical practices.  
46 However, the NS model is more time-consuming compared with the FNPT models, as evidenced by  
47 Ransley et al. (2019), not only because of its higher degree of complexity of the governing equations, but  
48 also due to the fact that a much finer temporal-spatial resolutions are required by the former to achieve  
49 convergent results. For these reasons, the NS models are rarely applied to modelling WSIs in large  
50 spatial-temporal domain. In many applications (e.g. Hildebrandt and Sriram, 2014; Hu et al, 2014, 2017),  
51 the computational domain of the NS model is limited to a finite space near the structure (near field). This  
52 implies that one needs to accurately specify the wave field on the wave generation boundaries of the  
53 computational domain. A few tools (e.g. Jacobsen et al. 2011; Hu et al. 2014) are available for specifying  
54 the wave conditions using different wave theories, e.g. the linear wave theory, second-order wave theory,  
55 Stokes wave theory, stream functions and high-order potential theories (e.g. OceanWave3D, Engsig-  
56 Karup et al. 2008). Recently, developments on hybrid models, combining the NS solver with simplified  
57 theory, for modelling WSIs have attracted interest of world-wide researchers. They take the advantages of  
58 the simplified theories for robust modelling of large-scale wave propagations within their range of  
59 application and the advantages of the NS models on resolving small-scale viscous/turbulent effects,  
60 vortex shedding and flow separation, fluid compressibility and aeration. By limiting the computational

61 domain for the NS model to a small temporal/spatial zone, e.g. near the structure or where/when breaking  
62 wave occurs, they are expected to achieve robust solutions without comprising the overall computational  
63 accuracy. Broadly speaking, applying these tools with the NS models leads to a hybrid model combining  
64 these wave theories with the NS model using one-way zonal approach (space-splitting or domain  
65 decomposition). Both the function-splitting, e.g. the velocity-decomposition (Edmond et al, 2013), space-  
66 splitting /domain-decomposition (e.g. Colicchio et al, 2006; Yan and Ma, 2010a; Hildebrandt et al., 2013;  
67 Sriram et al. 2014; Fourtakas et al. 2017; Li et al. 2018; Zhang et al. 2020) and time-splitting approaches  
68 (e.g. Wang et al, 2018) have been attempted. Systematic reviews on the development of the hybrid  
69 models can be found in Sriram et al. (2014), Li et al. (2018), Wang et al. (2018) and Zhang et al (2020).  
70 The effectiveness of the hybrid model on improving the computational efficiency has been reported by  
71 recent CCP-WSI blind test for modelling the interaction between the focused wave and the floating  
72 bodies (Ransley, Yan and Brown et al, 2020). It was concluded that the hybrid methods combining the  
73 FNPT with NS solvers, including the qaleFOAM combining the QALE-FEM with openFOAM (Li et al.,  
74 2018; Yan et al 2019; Yan et al. 2020) and a one-way hybrid model combining the FNPT with SPH  
75 (Zhang et al 2020), demonstrate a potential improvement in the required CPU effort when compared to  
76 the most robust NS solvers participating to the test, including one adopting the linear and second-order  
77 wave condition in the OpenFOAM (wave2Foam, Jacobsen et al. 2011) . It is admitted that the  
78 implementations of different numerical models, e.g. the computational domain and mesh sizes, are  
79 considerably influenced by users' experiences, since no specific domain/mesh are provided for  
80 standardisation. Nevertheless, the comparison by Ransley, Yan and Brown et al. (2020) may demonstrate  
81 a better practical performance of the hybrid model for WSI problems than both the potential theory and  
82 NS solvers.

83

84 This paper contributes to the CCP-WSI Blind Test Series 2, in which the cases with two simplified WEC  
85 models subjected to focusing waves with different wave conditions are set. The details of the case  
86 configurations can be found in Ransley, Brown and Hann, et al. (2020). The sizes of the WEC models in  
87 this test are considerably smaller than the characteristic wavelength, implying that the associated viscous  
88 effect may be significant. Furthermore, one of the WEC model is a cylinder with a moonpool at its centre,  
89 in which the liquid sloshing is expected to bring additional viscous damping for suppressing the wave-  
90 induced motions of the WEC model. Following Yan et al. (2020), the qaleFOAM with an improved

91 passive wave absorber is applied to model the cases considered in the blind test. The numerical results on  
 92 the motions of the WECs have been obtained before the experimental data were released. This paper  
 93 mainly focuses on the comparison with the experimental data to demonstrate the practical performance of  
 94 the qaleFOAM. For this purpose, all results presented in this paper are the originally submitted ones but  
 95 additional quantitative analysis is added.



96  
 97 Figure 1. Schematic sketch of the domain decomposition and the coupling approach of the qaleFOAM  
 98 ( $\Omega_{FNPT}$  does not include the floating structure)  
 99

## 100 2. Mathematical formula

101 The hybrid model, qaleFOAM, combines the QALE-FEM and OpenFOAM/InterDyMFoam (Jasak, 2009)  
 102 using the domain-decomposition strategy. The details of the qaleFOAM have been given by Li et al.  
 103 (2018) but a summary is given herein for completeness. Figure 1 illustrates the coupling of the FNPT  
 104 and NS solvers, which are combined via a coupling boundary,  $\Gamma_c$ . The FNPT domain ( $\Omega_{FNPT}$ ) starts from a  
 105 location far away from the structures, where a wavemaker is used to generate the incoming wave. The

106 length of the FNPT domain shall be sufficient to cover the inlet of the NS domain ( $\Omega_{NS}$ ). In this paper,  
107 one-way coupling is adopted and, therefore, the solution in  $\Omega_{FNPT}$  is only used to provide an accurate wave  
108 condition at  $\Gamma_c$ . This means that the diffraction and radiation caused by the structures do not need to be  
109 reproduced in  $\Omega_{FNPT}$  and thus the structure is omitted from  $\Omega_{FNPT}$ . The right end of  $\Omega_{FNPT}$  is an absorption  
110 boundary and the self-adaptive wave absorber (Yan et al. 2016) is employed. The absorption efficiency  
111 of the absorber is approximately 95% in terms of wave energy for the case considered in this paper and is  
112 at a similar level for a wide range of nonlinear regular and irregular waves, as demonstrated by Yan et al.  
113 (2016). As all other techniques, perfect absorption is impossible and the reflection from the right end of  
114  $\Omega_{FNPT}$  exists no matter how small it is. Such reflection can influence the structural responses when it  
115 approaches the structure site. To minimise the effect, the length of  $\Omega_{FNPT}$  is specified to be sufficiently  
116 long such that required duration of the results is obtained before the reflection wave reaches the structure  
117 site. In  $\Omega_{FNPT}$ , the QALE-FEM is used to solve the governing equations and its high robustness on  
118 modelling nonlinear waves up to wave breaking (Yan and Ma, 2010b) assures a good overall robustness  
119 of the qaleFOAM, even though a long  $\Omega_{FNPT}$  may be implemented to ensure a tolerable error caused by  
120 the reflection from the end of  $\Omega_{FNPT}$  during the simulation.  $\Omega_{NS}$  is bounded by the coupling boundaries  $\Gamma_c$   
121 at its left end and two sides in longitude direction (dashed line in Figure 1), seabed  $\Gamma_B$ , a pressure  
122 inlet/outlet boundary on the top  $\Gamma_{TOP}$ , where the total pressure is specified as the atmospheric pressure,  
123 and the right end boundary  $\Gamma_O$ . In  $\Omega_{NS}$ , the multiphase solver interDyMFoam, based on the finite volume  
124 method (FVM) with volume of fluid (VOF) technique for identifying the fluid phases, is used. On the  
125 coupling boundary  $\Gamma_c$ , the velocity and pressure for the NS solver are fed by the QALE-FEM using,

126

$$127 \quad \vec{u}(x, y, z) = \begin{cases} \nabla\phi(x, y, z) & z \leq \eta \\ (1 - R_z)\nabla\phi(x, y, \eta) + R_z\vec{u}_w(x, y, z) & z > \eta \end{cases}$$

128 1.

$$129 \quad p(x, y, z) = \begin{cases} -\rho_w \frac{\partial\phi}{\partial t} - \rho_w \frac{|\vec{\nabla}\phi|^2}{2} - \rho_w g z & z \leq \eta \\ 0 & z > \eta \end{cases}$$

130 2.

131

132 in which  $\rho_w$  is the density of the water;  $\phi$  is the velocity potential;  $\eta$  is the free surface elevation;  $\vec{u}$  is the  
133 velocity vector and  $p$  the pressure. It is noted that the FNPT is a single-phase model only describing the



134 water flow. In Eq. (1), the velocity of the flow above the free surface (i.e.  $z > \eta$  the air phase) is specified  
 135 by a weighted summation of the corresponding water velocity on the free surface ( $\nabla\phi(x, y, \eta)$ ) and the  
 136 wind velocity,  $\vec{u}_w(x, y, z)$ , where  $R_z$  is a ramp function ranging from 0 to 1, to ensure a smooth transition  
 137 of the fluid velocity from the water phase to the air phase.  $R_z = 1 - e^{-\beta(z-z_t)/l_z}$  when the volume  
 138 fraction  $\alpha$  at a surface cell on  $\Gamma_c$  is smaller than 0.01, otherwise,  $R_z = 0$ , where  $\beta$  is an exponential  
 139 coefficient,  $l_z$  is the size of the transition zone and  $z_t$  is the vertical coordinate corresponding to the upper  
 140 boundary of the surface cell in which  $\alpha > 0.01$ . In this paper,  $\vec{u}_w(x, y, z) = 0$ ,  $\beta = 5$  and  $l_z$  equal to the  
 141 vertical cell size near the free surface at  $\Gamma_c$  are appropriate according to the preliminary test. The volume  
 142 fraction at a surface cell on  $\Gamma_c$  is specified by the ratio of the wetted surface area against the total area of  
 143 the cell after the free surface at  $\Gamma_c$  is determined by  $\eta$ . Detailed numerical formulation may be found in  
 144 Yan and Ma (2010a) and Jacobsen et al.(2011).

145

146 It is noted that Eq. (2) can be used to specify the pressure at  $\Gamma_c$  of  $\Omega_{NS}$ , acting as a pressure boundary  
 147 condition. However, applying both Eq. (1) and (2) for velocity and pressure boundary conditions at  $\Gamma_c$   
 148 results in a scenario that the velocity-pressure relation at such boundary follows the Bernoulli's equation  
 149 and thus the NS equation is not satisfied, possibly yielding a unsmoothed NS solutions near  $\Gamma_c$ . In the  
 150 qaleFOAM, two techniques have been employed to overcome the problem. The first one is to use Eq. (1)  
 151 to specify the velocity boundary condition and to impose the fixed Flux Pressure condition, available in  
 152 OpenFOAM, as the pressure boundary condition. The 2<sup>nd</sup> approach is to implement a transitional zone  
 153 near  $\Gamma_c$ . (Fig. 1), similar to the relaxation zone suggested by Jacobsen et al. (2011). In the transitional  
 154 zone, the NS-solution  $f$  (velocity and pressure) is corrected by  $f_{QALE}w + f_{NS}(1 - w)$ , where subscripts  
 155 QALE and NS stand for QALE-FEM solution and NS solution respectively;  $w$  is the weighting function,  
 156 which is 1 on  $\Gamma_c$ , and 0 on the other boundary of the transitional zone, and the exponential function  
 157 following Jacobsen et al. (2011) is employed. This does not only ensure a smooth transition of the  
 158 solutions within the transitional zone, but also absorb the reflection/radiation waves from the structures.  
 159 The length of the transitional zone is determined based on a preliminary test, which suggests that a length  
 160 of 1 to 2 characteristic wave length is sufficient (Li et al, 2018).

161

162 The wave in the qaleFOAM is generated by the QALE-FEM in  $\Omega_{FNPT}$  using a second order wavemaker  
 163 theory (Schaffer, 1996) and propagates towards  $\Omega_{NS}$  through the coupling boundary  $\Gamma_c$ . Due to the fact

164 that neither the shape nor the motion of the wavemaker are specified in the blind test, to reproduce the  
165 wave conditions identical to that in the laboratory, a self-correction technique (Ma et al. 2015) is  
166 employed in this study. A summary of this technique is given here for completeness. The initial  
167 amplitudes and phases of the wave components driving the motion of the wavemaker are given by  $a_i^0 =$   
168  $\sqrt{2S(\omega_i)\Delta\omega}$  and  $\varphi_i^0 = k_i x_f - \omega_i t_f$ ,  $i = 1, 2, \dots, N$ , where  $x_f$  and  $t_f$  are the specified focusing location and  
169 time, respectively. The target spectrum  $S^*(\omega)$  and phase  $\varphi^*$  are obtained by applying FFT to the measured  
170 surface elevation  $\eta^*(t, x_r)$  at a specific gauge location  $x_r$  in the experiment. Then iterations are carried out  
171 in the following procedures: (i) At the  $n$ th iteration, the wavemaker motion is specified by using  $a_i^n$  and  
172  $\varphi_i^n$ , based on the second order wavemaker theory (Schäffer, 1996), and the surface elevation  $\eta^n(t, x_r)$  is  
173 recorded; (ii) The amplitude and the phase of each component are corrected by  $a_i^{n+1} =$   
174  $a_i^n \sqrt{S^*(\omega_i)/S^n(\omega_i)}$ ,  $\varphi_i^{n+1} = \varphi_i^n + \varphi_m^*(\omega_i) - \varphi_m^n(\omega_i)$ , where the subscription  $m$  denotes the average  
175 phase within the range  $[\omega_i - \Delta\omega/2, \omega_i + \Delta\omega/2]$ ; (iii) The error between  $\eta^*(t, x_r)$  and  $\eta^n(t, x_r)$  is calculated by  
176 using the formula,  $Err = \max\{(\eta^* - \eta^n)^2 / \eta^{*2}\}$ . If  $Err$  is sufficiently small, the iteration stops; Otherwise,  $n$   
177  $= n + 1$ , go to step (i). Although this approach seems to calibrate the wave in the observation point,  
178 numerical investigations have indicated that the wavemaker motion specified in such a way result in a  
179 satisfactory agreement between the numerical wave elevation with the experimental data at other  
180 locations (Ma et al, 2015; Yan et al, 2020).

181

182 On the right end of the NS domain,  $\Gamma_O$ , a fully absorption of the reflected wave from this boundary or a  
183 free passage of the incoming wave is expected. In our previous paper (Li et al. 2018), this boundary was  
184 treated in the same way as the left end. The numerical investigation by Li et al. (2018) has demonstrated  
185 the effectiveness of this approach for a satisfactory absorption of the reflected waves. However, in this  
186 paper, the improved passive wave absorber (Wang et al. 2019; Yan et al. 2020) is employed. On the  
187 boundary applying such absorber, a fixed Flux Pressure condition is imposed, the fluid velocity above the  
188 free surface (air phase) is specified by a zero-gradient condition, whereas the fluid velocity below the free  
189 surface (water phase) are given by

190

$$191 \quad \vec{u}_h(t) = \tilde{\omega}(t) \frac{\cosh(\tilde{k}(t)(z+d))}{\sinh(\tilde{k}(t)d)} \tilde{\eta}(t) \cdot \vec{n}_h$$

192 3.

193  $\frac{\partial U_z}{\partial z} = 0$

194 4.

195

196 where  $\vec{U}_h$  and  $U_z$  are the horizontal and vertical velocity components, respectively;  $\tilde{\omega}$ ,  $\tilde{k}$ ,  $\tilde{\eta}$  are  
 197 instantaneous wave frequency, wave number and the wave elevation recorded at the location of the  
 198 absorber;  $\vec{n}_h$  is the normal direction of the absorber surface. Once  $\tilde{\eta}$  is recorded,  $\tilde{\omega}$  can be obtained using  
 199 the EKF filter and  $\tilde{k}$  can be determined using the linear wave dispersion. The effectiveness of the  
 200 improved passive wave absorber has been demonstrated in Wang et al. (2019) and readers are referred to  
 201 these references for further details. For the boundary on the floating body surface, the moving-wall  
 202 velocity boundary condition and a zero-gradient pressure condition are imposed.

203

204 In the qaleFOAM, the NS equation, continuity equation and the transport equation for the volume fraction  
 205 are solved in the arbitrary Lagrangian Eulerian (ALE) forms in order to use the dynamic mesh technique.  
 206 After the governing equations are solved, the force and moment on the floating body can be evaluated.  
 207 The following six-degree-of-freedom (6DoF) motion equation is solved in a body-fixed coordinate  
 208 system ( $O_b$ - $x_b$ - $y_b$ - $z_b$ , as sketched in Fig. 1), where the origin  $O_b$  locates at the centre of the gravity of the  
 209 floating body, following Yan and Ma (2007) and Ma and Yan (2009),

210

211  $[M]\dot{\mathbf{U}}_c = \mathbf{F}$

212 5.

213  $[I]\dot{\boldsymbol{\Omega}} + \boldsymbol{\Omega} \times [I]\boldsymbol{\Omega} = \mathbf{N}$

214 6.

215  $\frac{d\mathbf{S}}{dt} = \mathbf{U}_c$

216 7.

217  $[B]\frac{d\boldsymbol{\theta}}{dt} = \boldsymbol{\Omega}$

218 8.

219 where  $\mathbf{F}$  and  $\mathbf{N}$  are the external forces and moments acting on the floating body in the body-fixed coordinate  
 220 system;  $\mathbf{U}_c$  and  $\dot{\mathbf{U}}_c$  are translational velocity and acceleration at its gravitational centre (rotational centre);

221  $\Omega$  and  $\dot{\Omega}$  are its angular velocity and acceleration;  $\theta(\alpha, \beta, \gamma)$  are the Euler angles and  $S$  is the translational  
 222 displacement. In Eq. (5) and (6),  $[M]$  and  $[I]$  are the mass and inertia-moment matrices, respectively.  $[B]$   
 223 in Eq. (8) is the transformation matrix formed by Euler angles and defined as

$$224 \quad [B] = \begin{bmatrix} \cos\beta\cos\gamma & \sin\gamma & 0 \\ -\cos\beta\sin\gamma & \cos\gamma & 0 \\ \sin\beta & 0 & 1 \end{bmatrix}$$

225 It is easy to deduce that  $\Omega \times [I]\Omega = \mathbf{0}$  and  $[B]$  is a unit matrix for the cases with 3 DoF, i.e. surge, heave  
 226 and pitch. After the translational and rotational motions of the floating body are obtained by Eqs. (5-8), the  
 227 OpenFOAM mesh will be updated using the dynamic mesh technique.

228  
 229

Table 1 Wave Condition

Case ID	An(m)	fp(Hz)	h(m)	Hs(m)	kA
1BT2	0.25	0.3578	3.0	0.274	0.128778
2BT2	0.25	0.4	3.0	0.274	0.160972
3BT2	0.25	0.4382	3.0	0.274	0.193167

230  
 231

Table 2 Mass and Moment of Inertia

Model	m(kg)	$Z_{COM}$ (m)	$I_{xx}$ (kgm <sup>2</sup> )	$I_{yy}$ (kgm <sup>2</sup> )	$I_{zz}$ (kgm <sup>2</sup> )
1	43.674	0.191	1.620	1.620	1.143
2	61.459	0.152	3.560	3.560	3.298

232  
 233

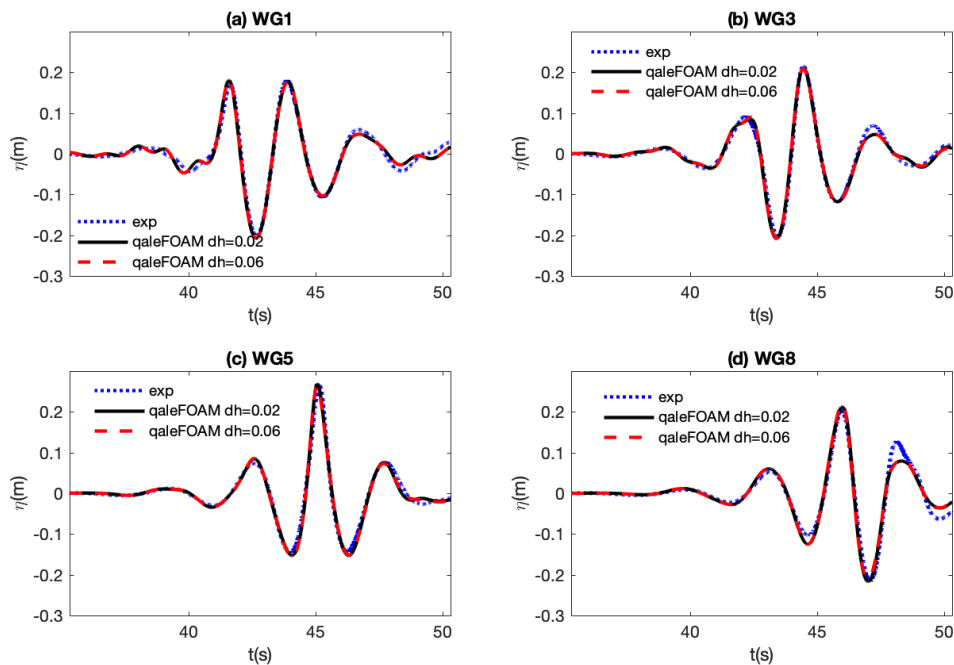
### 234 3. CCP-WSI Blind Test

235 For all cases considered by the CCP-WSI blind test, the experiment was performed in the wave basin at  
 236 the University of Plymouth, which features 35 m in length, 15.5m in width and 3m in depth. Flap wave  
 237 paddles are installed to generate three-dimensional waves. The temporal variation of surface elevations at  
 238 various locations is recorded by 13 wave gauges (WG) with sampling frequency of 128Hz. The sketches  
 239 of the geometry of the wave basin and the distribution of the gauges can be found in Ransley, Brown and  
 240 Hann et al (2020) . Three wave conditions are used and summarized in Table 1. Two models of point-  
 241 absorber WECs with a specific mooring system are initially placed at where WG5 is located. The  
 242 geometries of these models are illustrated by Ransley, Brown and Hann et al (2020). The mass (m),  
 243 moments of inertias ( $I_{xx}$ ,  $I_{yy}$  and  $I_{zz}$ ) at the centre of the mass (CoM) are summarised in Table 2, in which  
 244  $Z_{COM}$  stands for the vertical distance from the CoM to the bottom of the models. For both models, the  
 245 mooring line is a linear spring with a stiffness of 67 N/m and a rest length of 2.224 m.

246 **3.1 Wave generation and absorption**

247 For all wave conditions, the corresponding empty-tank simulation are carried out to examine whether the  
 248 target waves are generated properly. The wave is generated using the self-correction wavemaker in the left  
 249 end of  $\Omega_{\text{FNPT}}$  aiming to reproduce the same time history of the wave elevations recorded at WG5. In the  
 250 empty tank test,  $\Omega_{\text{FNPT}}$  starts from the wavemaker and the length of  $\Omega_{\text{FNPT}}$  is 50 m, which is longer than the  
 251 physical wave tank. As indicated above, this is to minimise the error caused by the reflection from the right  
 252 end of  $\Omega_{\text{FNPT}}$ , where a self-adaptive wave absorber is imposed and produces approximately 95% absorption  
 253 efficiency.  $\Omega_{\text{NS}}$  starts at  $x = 11.55$  m, between WG1 and WG2. Generally speaking, the length of  $\Omega_{\text{NS}}$  shall  
 254 be sufficient to accommodate the transitional zone, whose thickness is 1.5 m in the front side and 0.5 m  
 255 near the size boundaries of  $\Omega_{\text{NS}}$ , according to the preliminary investigations. To investigate the absorption  
 256 efficiency of the improved passive wave absorber applied at the right end of  $\Omega_{\text{NS}}$ ,  $\Omega_{\text{NS}}$  ends at  $x = 17.55$  m,  
 257 where WG8 is placed. Using such a configuration, the gauge data at WG8 can be used as a reference to  
 258 qualify the absorption efficiency. The height and width of  $\Omega_{\text{NS}}$  are 6m and 3m respectively. For all cases, a  
 259 laminar model is specified as the turbulence properties.

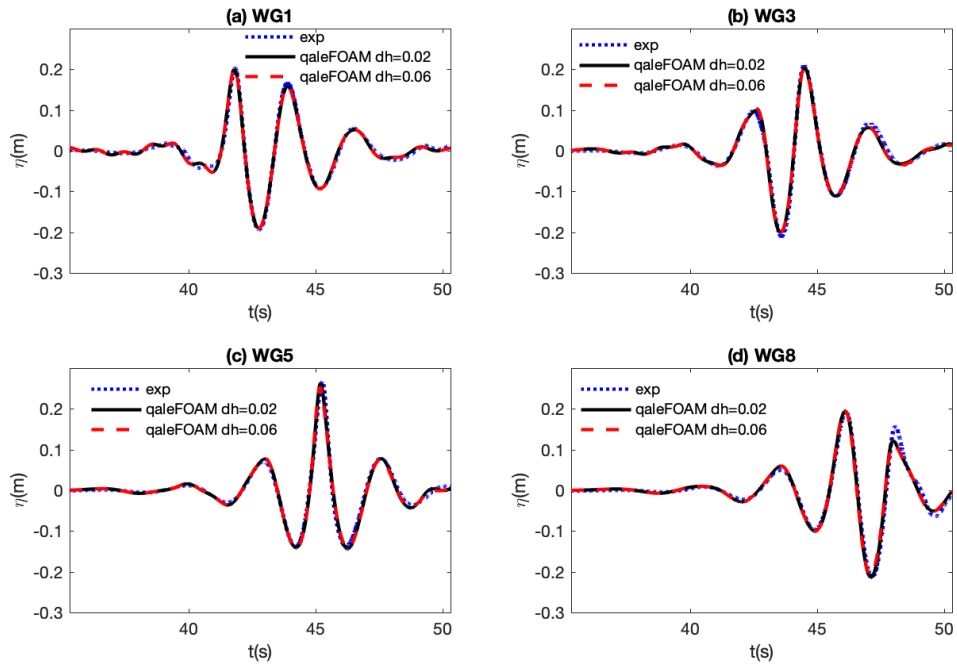
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261

262 Figure 2. Comparison of the wave elevation recorded at different locations (case 1BT2, empty tank test,  
 263  $d_{sv} = 0.0175$ m)

264



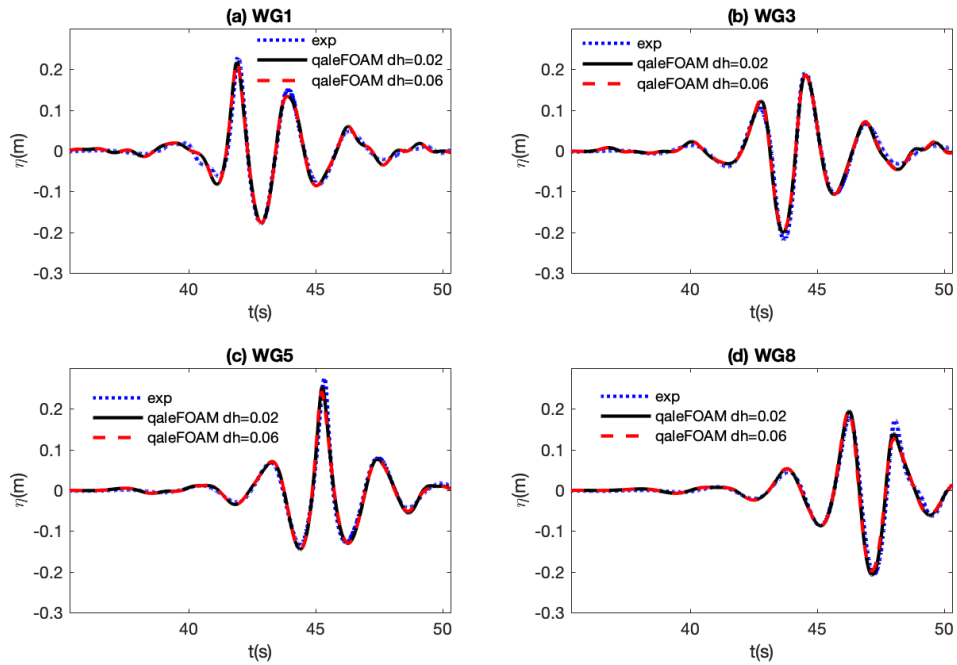
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Figure 3. Comparison of the wave elevation recorded at different locations (case 2BT2, empty tank test,  $d_{sv} = 0.0175\text{m}$ )

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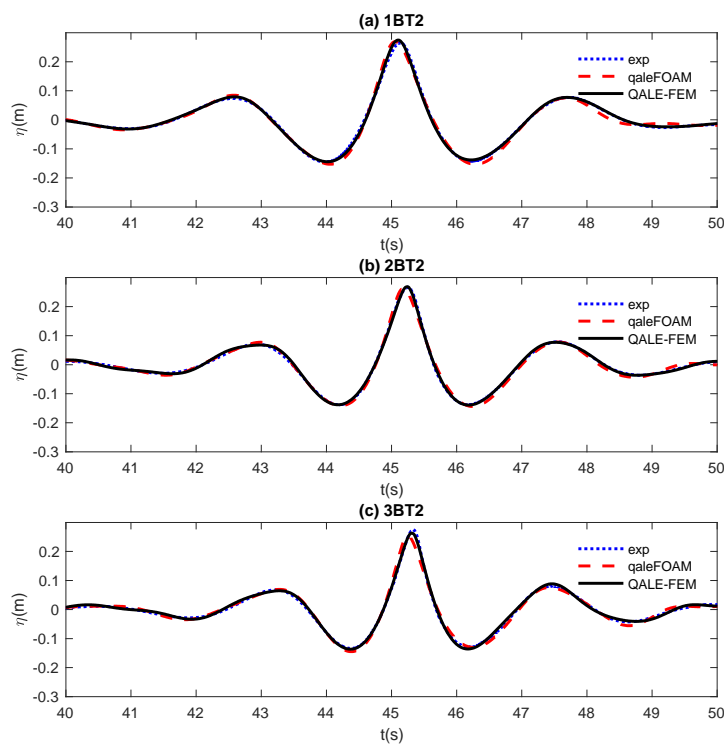
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Figure 4 Comparison of the wave elevation recorded at different locations (case 3BT2, empty tank test,  $d_{sv} = 0.0175\text{m}$ )

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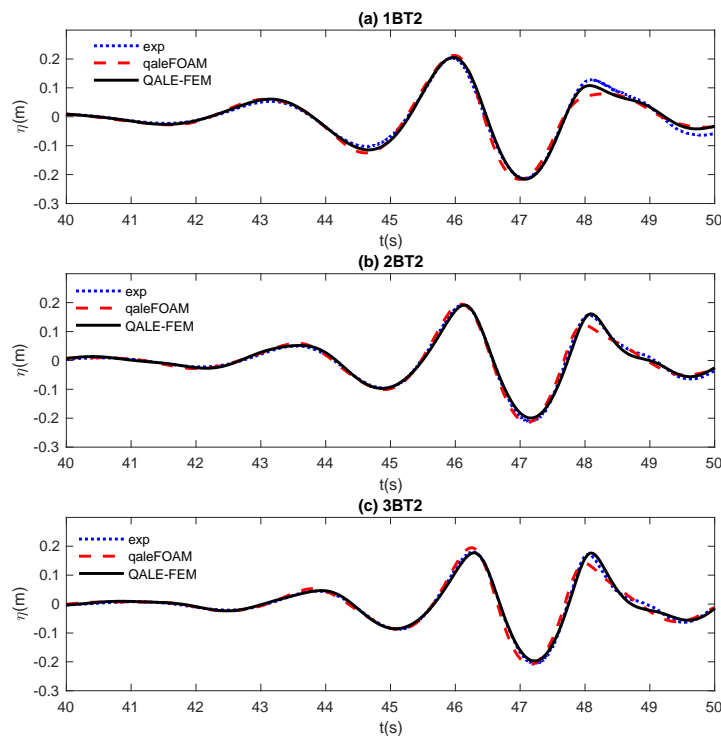
274 The comparisons of the wave elevations at different wave gauges between the qaleFOAM results and the  
 275 experimental data are shown in Figures 2-4, where the qaleFOAM results with two different mesh  
 276 resolutions are plotted. As observed, two sets of the qaleFOAM results are almost identical to each other,  
 277 demonstrating a satisfactory convergence of the qaleFOAM in the empty-tank test. More importantly, the  
 278 qaleFOAM results agree well with the corresponding experimental data. This conforms a satisfactory  
 279 reproduction of the target waves at WG5 by the self-correction wavemaker technique, even though the tank  
 280 geometry and the wavemaker used in the qaleFOAM are different from the experiment.  
 281



282  
 283 Figure 5 Comparison of the wave elevation recorded at WG5 (empty tank test, qaleFOAM:  $d_{sh} = 0.05\text{m}$ ,  
 284  $d_{sv} = 0.0175\text{m}$ ; QALE-FEM:  $d_s = 0.075\text{m}$ )  
 285

286 Although the agreements between the qaleFOAM results and the experimental data at WG5 has proven  
 287 that the present passive wave absorber applied at  $\Gamma_o$  can effectively prevent the wave reflected at  $\Gamma_o$  from  
 288 influencing the wave condition at WG5 during the required duration of the simulation (the blind test  
 289 requires the submission of the time history ranges from 35.3 s to 50.3 s), a further analysis has been  
 290 carried out to quantitatively evaluate the absorption efficiency. As stated by Yan et al (2016), the  
 291 theoretical approach based on the linear regular wave theory may not be applicable to highly nonlinear  
 292 focusing waves considered in this paper, the absorption efficiency is estimated through the relative  
 293 difference between the numerical results adopting the absorber and a reference data which does not

294 include reflection wave, e.g. the corresponding results obtained using a longer tank. One may agree that  
 295 the wave elevation in  $\Omega_{\text{FNPT}}$  by the QALE-FEM can be regarded as the reference data, since  $\Omega_{\text{FNPT}}$  is  
 296 sufficiently long and the reflection wave from the end of  $\Omega_{\text{FNPT}}$  does not reach WG8 at  $t = 50.3$  s. Figures  
 297 5 and 6 compare the wave elevations record at WG5 and WG8, respectively. As observed from Figure 5,  
 298 the qaleFOAM results are very close to the corresponding QALE-FEM results. The relative differences  
 299 between them during  $t = 35.3$  s to 50.3 s are all within 2% for three cases (yielding an absorption  
 300 efficiency of 98%). Nevertheless, at WG8 (Figures 6), the QALE-FEM results agree with the  
 301 experimental data, whereas the qaleFOAM with the wave absorber results in a slightly different results  
 302 from others due to the reflection from  $\Gamma_O$ . The relative difference between the QALE-FEM results and  
 303 the qaleFOAM results are 2%, 4% and 6% (yielding absorption efficiencies of 98%, 96% and 94%) for  
 304 cases 1BT2, 2BT2 and 3BT2, respectively. This is consistent with what Yan et al (2020) concluded.



305  
 306 Figure 6 Comparison of the wave elevation recorded at WG8 (empty tank test, qaleFOAM:  $d_{\text{sh}} = 0.05\text{m}$ ,  
 307  $d_{\text{sv}} = 0.0175\text{m}$ ; QALE-FEM:  $d_s = 0.075\text{m}$ )  
 308

### 309 3.2 Mesh Convergent Test

310 The results shown in Figures 2-6 are obtained in a wave tank without WEC models. For the cases with  
 311 WECs, mesh convergent tests are also carried out. For each WEC model, four sets of computational mesh  
 312 are generated using the snappyHexMesh tool and adopted in the convergent test. The horizontal ( $d_{\text{sh}}$ ) and  
 313 vertical grid sizes ( $d_{\text{sv}}$ ), the total number of grid,  $N_t$ , and the number of grid on the structure surface,  $N_s$ , are



314 summarised in Table 3. In order to capture the nonlinear wave-structure interaction, as well as small-scale  
 315 viscous effects, e.g. boundary layer separation, near the structure, the mesh near a confined zone  
 316 surrounding the WEC model with a radius of 0.5m is refined. One example of the mesh near the WEC is  
 317 illustrated in Figure 7.

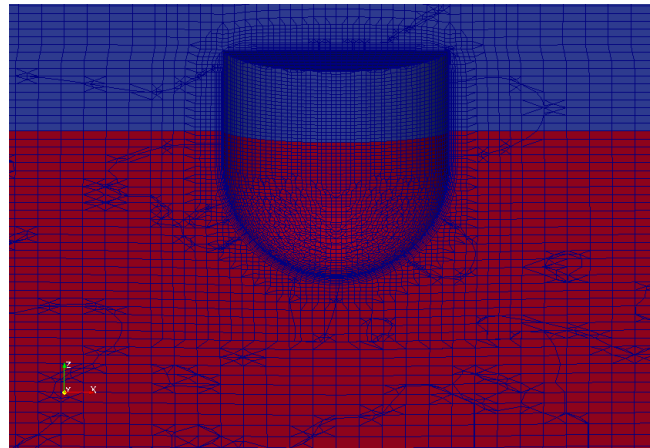
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Table 3 Summary of computational grids used in the convergent test

Model	Mesh	$d_{sh}(m)$	$d_{sv}(m)$	$N_t(M)$	$N_s$
1	Finest	0.04	0.015	1.550	10348
1	Fine	0.05	0.0175	0.956	7512
1	Medium	0.06	0.02	0.613	5346
1	Coarse	0.08	0.02	0.358	3882
2	Finest	0.04	0.015	1.549	20128
2	Fine	0.05	0.0175	0.937	13920
2	Medium	0.06	0.02	0.612	9840
2	Coarse	0.08	0.02	0.376	7244

320



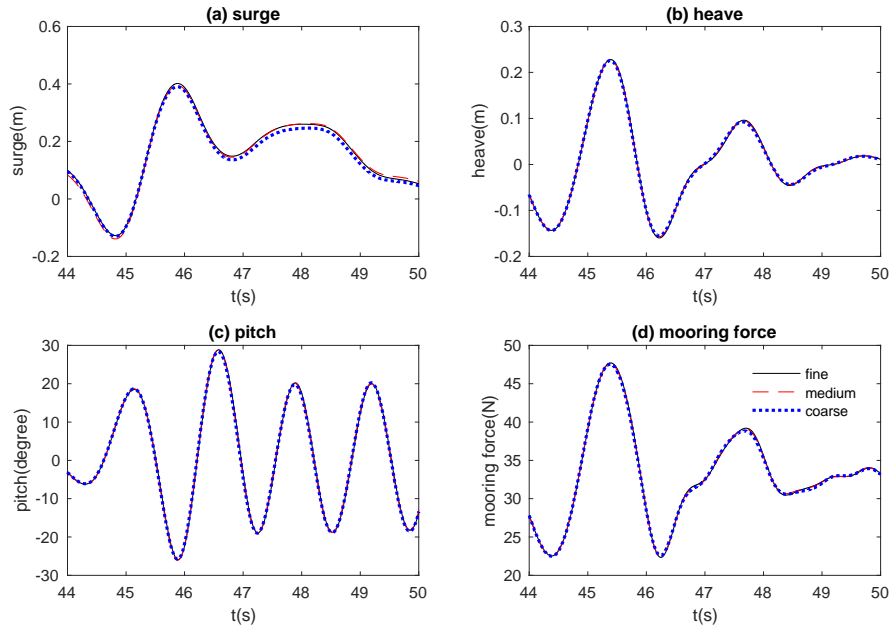
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322 Figure 7 Illustration of the computational mesh near the WEC (Model 1,  $d_{sh} = 0.05m$ ,  $d_{sv} = 0.0175m$ , red:  
 323 water; blue: air)

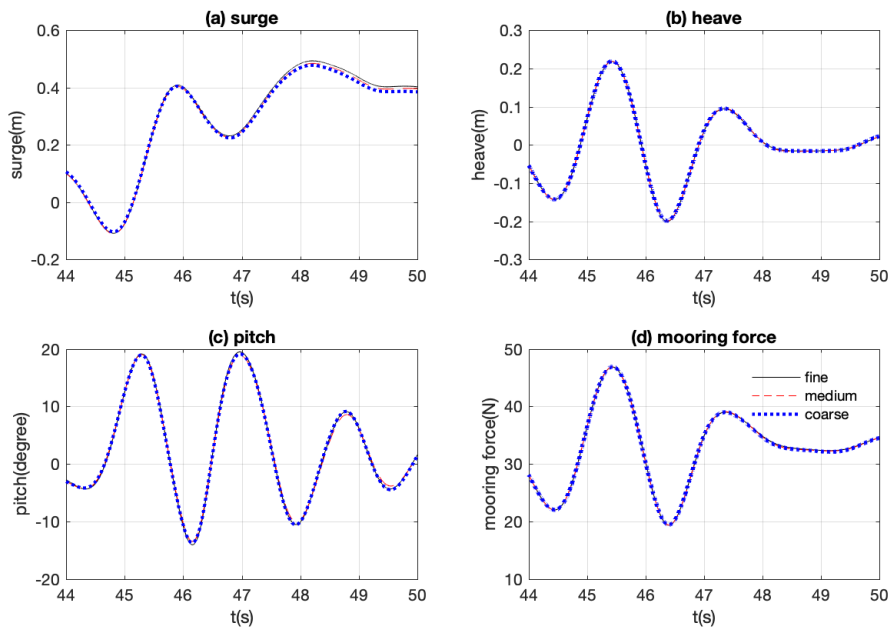
324

325 Figure 8 and Figure 9 compare the motions of and the mooring force on the WEC model 1 and model 2,  
 326 respectively, subjected to the wave condition 3BT2, which has the highest wave steepness ( $kA =$   
 327  $0.193127$ ) within all wave conditions specified by the blind test. It is observed that the present results are  
 328 insensitive to the mesh resolutions for all participated mesh; especially the results with medium mesh  
 329 satisfactorily agree with the corresponding results with finer mesh. Relative errors of the qaleFOAM  
 330 results with different mesh sizes are quantitatively analysed. Some results are summarised in Table 4, in  
 331 which the relative errors of the numerical results with medium mesh in terms of both the peak value ( $E_p$ )  
 332 and the RMS error using the time histories during  $t = 35.3$  s to  $t = 50.3$  s. Similar to Brown et al. (2020),  
 333 the results with finest mesh are regarded as the reference values for the analysis. Considering the fact that

334 the maximum relative errors shown in Table 4 is RMS error of 7.5% for Model 1 subjected to Wave  
 335 2BT2, one may agree that the medium mesh is sufficient to achieve convergent predictions on the WEC  
 336 motions and the mooring force, although similar numerical uncertainty analysis by Brown et al. (2020) is  
 337 not presented.



338  
 339 Figure 8 Comparison of the WEC motions and mooring force in the cases with different mesh sizes (case  
 340 3BT2, Model 1)

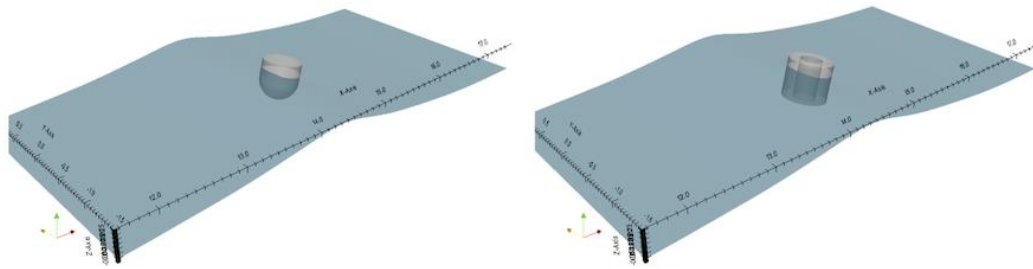


341  
 342 Figure 9 Comparison of the WEC motions and mooring force in the cases with different mesh sizes (case  
 343 3BT2, Model 2)

344

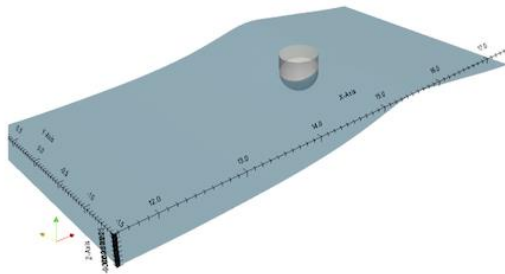
Table 4 Relative error of qaleFOAM results with the medium mesh

Error (%)	Model 1 1BT2	Model 1 2BT2	Model 1 3BT2	Model 2 1BT2	Model 2 2BT2	Model 3 3BT2
$E_p$ (Surge)	0.17	2.53	1.69	0.49	0.84	1.83
$E_p$ (Heave)	0.81	1.05	0.69	0.30	0.22	0.18
$E_p$ (Pitch)	0.27	0.39	0.71	0.44	1.12	2.48
$E_p$ (Force)	0.32	0.44	0.27	0.15	0.08	0.10
RMS(Surge)	5.22	7.47	5.36	1.60	1.12	1.75
RMS(Heave)	1.06	1.90	1.91	0.79	0.97	0.49
RMS(Pitch)	2.08	4.97	4.11	3.53	3.38	3.60
RMS(Force)	0.18	0.28	0.26	0.14	0.15	0.16

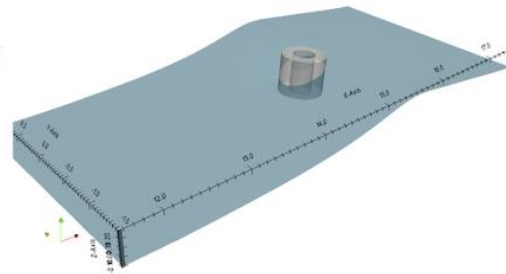


(a) t = 45s Model 1

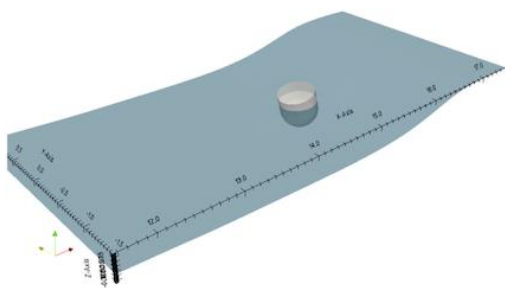
(b) t = 45s Model 2



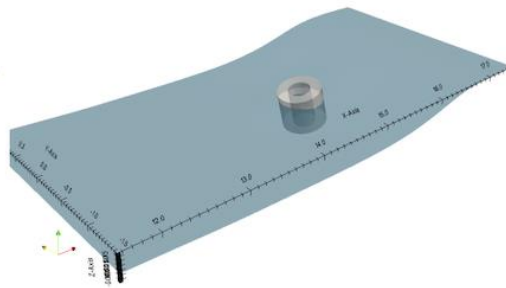
(c) t = 45.5s Model 1



(d) t = 45.5s Model 2



(e) t = 46s Model 1

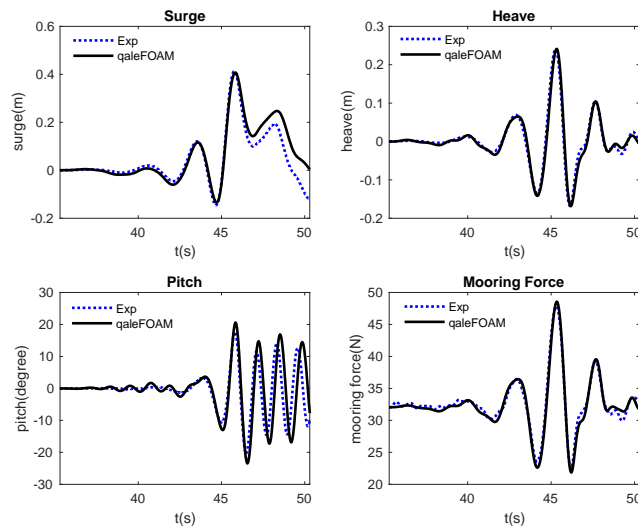


(f) t = 46 s Model 2

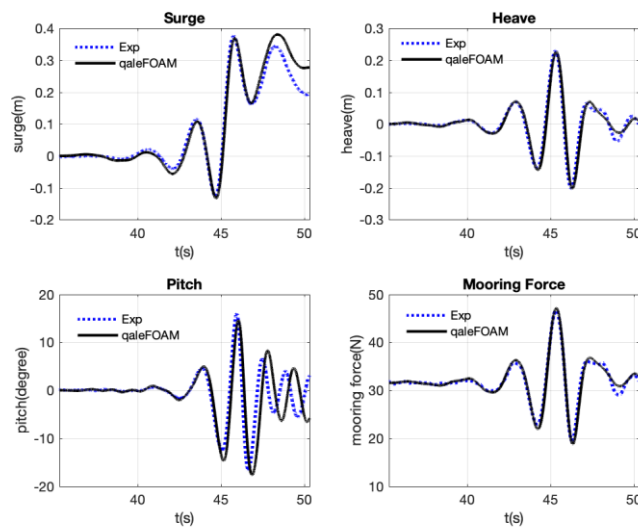
348 Figure 10 Wave elevations near the WECs at t = 45s (case 2BT2)

349 **3.3 Responses of WECs in extreme waves**

350  
 351 By using the medium mesh, the motions of the WECs subjected to three wave conditions are numerically  
 352 simulated and analysed in this section. For demonstration, Figure10 illustrates the free surface profiles near  
 353 the WEC models at three instants around the focusing time, i.e.  $t = 45s$ ,  $t = 45.5s$  and  $t = 46s$ , in the cases  
 354 with wave condition 2BT2. As expected, the presences of the WECs do not seem to disturb the surrounding  
 355 wave field, confirming to the typical feature of slender bodies (the sizes of the WECs considerably smaller  
 356 than the characteristic wavelength).



(a) Model 1

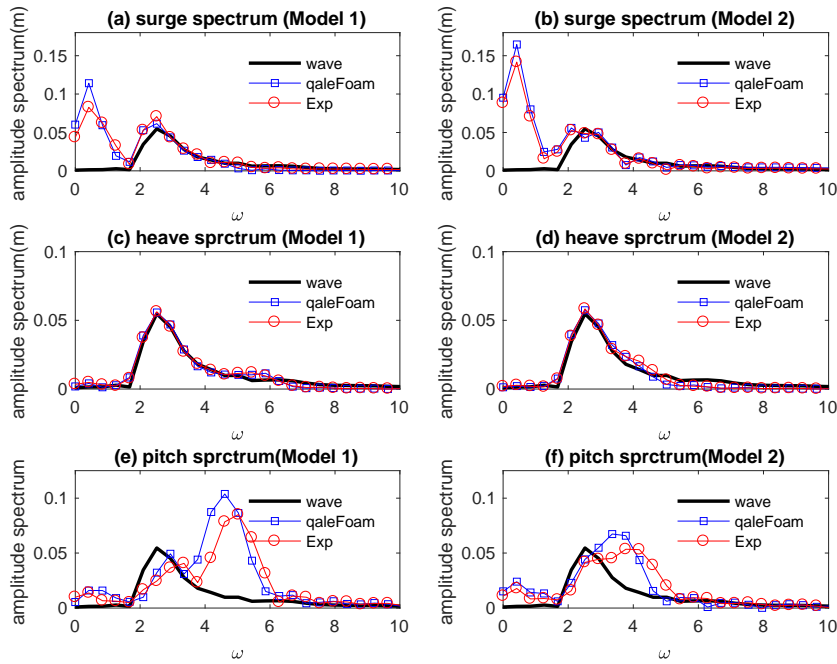


(b) Model 2

Figure 11 Comparison of the time histories of the WEC motions and the mooring loads (case 2BT2)

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Figure 12 Comparison of the amplitude spectra of the WEC motions (case 2BT2)

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Table 5 Relative error of qaleFOAM results with reference to the experimental data

Error (%)	Model 1 1BT2	Model 1 2BT2	Model 1 3BT2	Model 2 1BT2	Model 2 2BT2	Model 3 3BT2
$E_p$ (Surge)	6.94	1.36	7.52	6.20	1.14	7.39
$E_p$ (Heave)	9.77	0.54	3.53	3.41	1.38	1.31
$E_p$ (Pitch)	44.0	16.4	19.9	6.38	8.51	6.75
$E_p$ (Force)	4.72	1.59	0.86	3.45	0.87	2.00

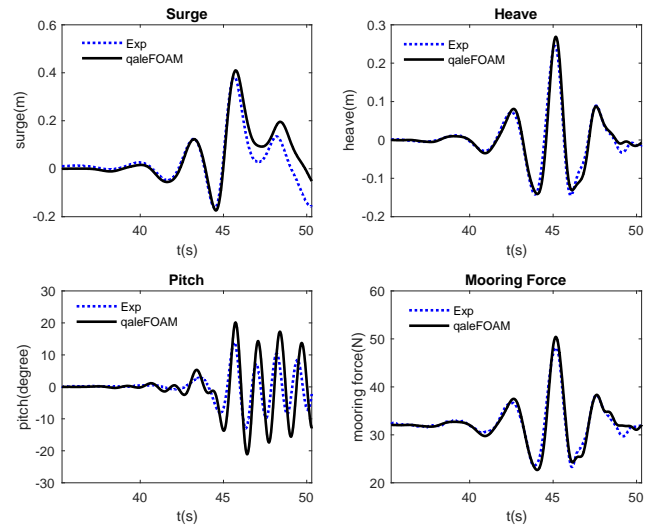
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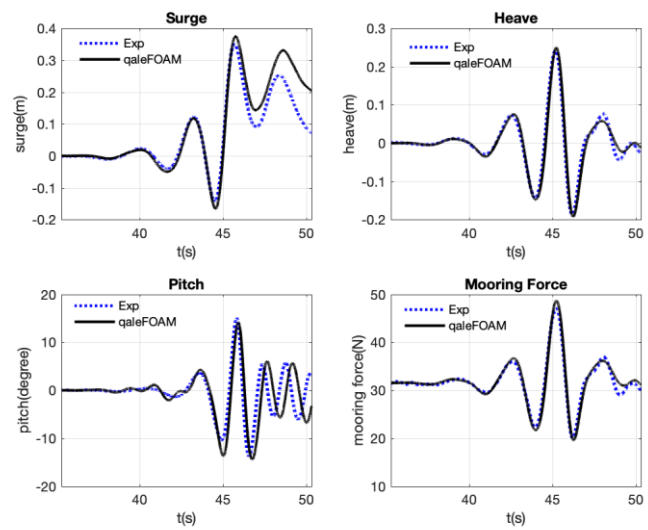
369 The motions of the WECs and the mooring force acting on the WECs in the case shown in Figures 10 are  
370 illustrated in Figure 11. It is found that the profiles of the heave motions largely follow the wave motion  
371 (Figure 5(b)). This can be confirmed by Figure 12, which displays the amplitude spectra of the WEC  
372 motions and the corresponding wave spectrum at WG5 where the WECs are initially located. The spectra  
373 shown in Figure 12 are obtained using the time histories at the duration of 35.3 – 50.3s with a sampling  
374 frequency of 128Hz. As observed from Figure 12(c and d), the amplitude spectra of the wave and the  
375 heave motion are very close, suggesting a linear heave response to the incident wave. However, the surge  
376 motion and the pitch motion exhibit different features from the expected wave at the WEC sites.  
377 Specifically, the surge motions suffer from a long-period oscillation after the focused wave crest passes  
378 the WECs at  $t \approx 45s$  (Figure 11 (a)), whereas the pitch motion exhibits a high-frequency response, which

379 is gradually suppressed in the case with Model 2. These are confirmed by the corresponding spectrum  
 380 analysis shown in Figure 12 (a and b) and (e and f), respectively.

381



(a) Model 1



(b) Model 2

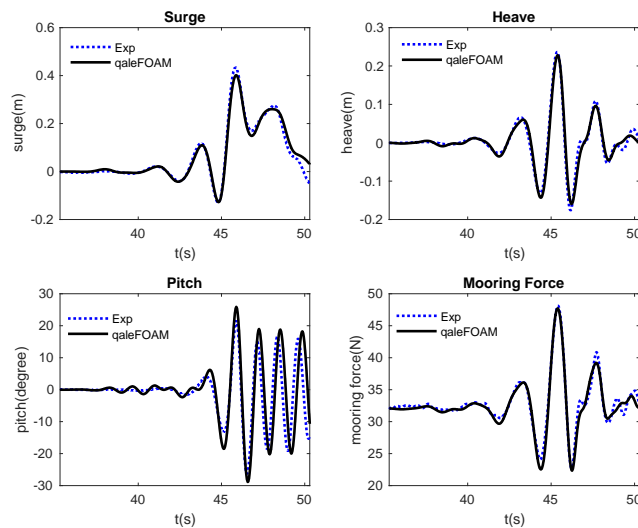
Figure 13 Comparison of the time histories of the WEC motions and the mooring loads (case 1BT2)

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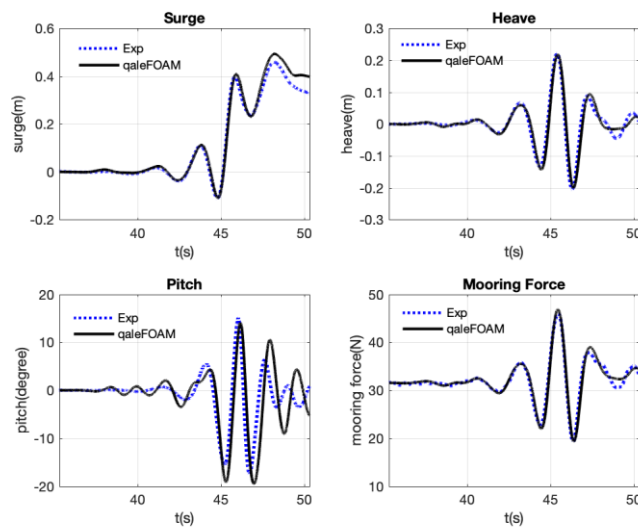
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389 More importantly, the comparisons between the qaleFOAM results and the corresponding experimental  
 390 data shown in Figure 11 and Figure 12 largely reflect the practical performance of the qaleFOAM on  
 391 modelling the motions of the WECs in extreme waves. For three motion modes and the mooring loads,  
 392 the qaleFOAM seems to satisfactorily capture the peak values. The corresponding errors are summarised  
 393 in Table 5. Except the pitch motion, the relative errors on surge, heave and mooring load are all below  
 394 2%. However, the relative error on peak pitch angle is 16.4% and 8.51% for Model 1 and 2 subjected to

395 Wave 2BT2. Not only the peak pitch angle, the spectra shown in Figure 12 (e) and (f) and the  
 396 corresponding time histories shown in Fig. 11 have revealed an unsatisfactory prediction by the  
 397 qaleFOAM. Similar phenomena are observed in other cases with different wave conditions. The  
 398 corresponding motion responses and the mooring loads are shown in Figures 13-14 and the quantitative  
 399 errors on the peak values are summarised in Table 5. In fact, numerical results by other numerical  
 400 methods in Ransley et al (2020) behave similarly in terms of predicting pitch motion. A recent  
 401 sensitivity analysis by Windt et al (2020) has shown that the pitch motion is sensitive to the centre of  
 402 rotation and the moment of inertia. Ransley et al (2020) did not provide the free-decay test for pitch  
 403 motion and, therefore, it is difficult to quantify whether the error in pitch motion is due to incorrect  
 404 measure of the centre of rotation and the moment of inertia.



(a) Model 1



(b) Model 2

Figure 14 Comparison of the time histories of the WEC motions and the mooring loads (case 3BT2)

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#### 410 **4. Conclusions**

411 In this paper, the qaleFOAM is used to numerically simulate the cases specified by the CCP-WSI Blind  
412 Test 2 (Ransley, Brown et al, 2020). All wave conditions summarised in Table 1 have been considered.  
413 The effectiveness of the qaleFOAM on modelling focused wave group is assessed by comparing the wave  
414 elevations in the empty tank tests, in which the WEC models are not placed. The results confirm a  
415 promising accuracy of the qaleFOAM on modelling highly nonlinear water waves. In addition, the  
416 convergence test has demonstrated a good convergence property in terms of predicting the motions of the  
417 WECs and the associated mooring forces. The comparisons on the motion responses of the WECs  
418 between the present numerical results and the experimental data demonstrate a satisfactory accuracy of  
419 the qaleFOAM for modelling the highly nonlinear WSI problems addressed in this paper.

420

421 It is further noted that the CPU time spent on cases 1BT3, 2BT3 and 3BT3 to achieve convergent results  
422 during  $t = 35.3s$  and  $t = 50.3s$  are, respectively 12 hours using an 8-processor MPI parallel computing in a  
423 workstation with Intel Xeon E5-2680, 2.4GHz, 32G RAM. This demonstrates a satisfactory robustness of  
424 the present qaleFOAM.

425

#### 426 **Acknowledgements**

427 The authors gratefully acknowledge the financial support of EPSRC projects (EP/M022382, EP/N006569  
428 and EP/N008863) and UKIERI-DST project (DST-UKIERI-2016-17-0029).

429

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