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Wilson, G and McGowan, CM (2019) Recovery heart rates as a predictor of race position in race-fit National Hunt racehorses. Comparative Exercise Physiology, 15 (5). pp. 307-312. ISSN 1755-2540

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1 **Recovery heart rates as a predictor of race position in race-fit National Hunt**
2 **Racehorses**

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7

8 **Abstract**

9 Prediction of race-fitness using the principles of excess post-exercise oxygen
10 consumption is a potentially valuable applied exercise physiology tool. We
11 hypothesised that horses with a faster heart rate recovery (HRR) after a field test
12 would perform better in their subsequent race. Twenty mature (17 experienced, 3
13 unraced) National Hunt horses (15 geldings, 5 mares; 6.5 ± 1.1 years; 489 ± 33.5 kg),
14 underwent 34 pre-race 3-interval field exercise tests using telemetric heart rate (HR)
15 and global positioning satellite (GPS) monitoring on a 1400m track inclined 32m.
16 Horses were classified into 3 groups based on post-exercise HRR values obtained 1
17 minute after peak HR during interval 3 (>140 bpm; unfit; 120-140bpm; fit-to-race; $<$
18 120bpm; fully fit). All horses were from the same yard, under the same management
19 and in their final stage of training (race-ready). Horses were excluded if they were
20 lame or clinically unwell. The outcome measure of finishing in the top third of the field
21 was compared to classification using 2x2 tables (Statcalc, EpiInfo). Peak HR, peak
22 speed and 1 minute HRR were 213.4 ± 5.1 bpm, 49.3 ± 1.8 kph and 125.3 ± 15.8 for
23 interval 3. Horses classified as unfit (n=8) did not race. Fully fit and fit-to-race horses
24 competed in 26 jump races (23 hurdles, 3 bumper; 3200 – 5000m). Fully fit (n= 16)
25 horses were more likely to finish in the top 3rd of the field than fit-to-race (n=10) (OR
26 12.0; 95%CI 1.8-81.7; P=0.01). We conclude that HRR following interval exercise can
27 be used as a predictor of race position in National Hunt racehorses and a useful guide
28 for trainers.

29 **Key words:** Equine, GPS, Fitness, interval training, excess post-exercise oxygen
30 consumption (EPOC)

31

32 **Introduction**

33 Heart rate recovery (HRR) following both sub-maximal and maximal exercise using
34 the principles of excess post-exercise oxygen consumption has been well established
35 as a reliable predictor of overall cardiovascular fitness in human athletes (Hagberg et
36 al., 1980; Blomqvist and Saltin, 1983; Daanen et al., 2012). Additionally, HRR is
37 established as a reliable and independent predictor of cardiovascular mortality in
38 healthy adults (Nishime et al., 2000). The use of HRR provides a non-invasive and
39 inexpensive objective method for clinical practitioners to monitor health and
40 rehabilitation (Nishime et al., 2000) and for exercise physiologists to monitor fitness
41 levels and adjust training loads accordingly (Rabbani et al., 2018).

42 Field-based exercise testing in equine disciplines has been established as a
43 repeatable and valid tool for monitoring fitness in horses (Couroucé 1999; Davie and
44 Evans, 2000; Davie et al., 2002; Vermeulen and Evans 2006; Munsters et al., 2013).
45 Although field-based exercise testing has inherent limitations, including inability to
46 control environmental variables such as temperature, airflow and ground conditions
47 that can make standardisation of testing difficult (Couroucé-Malblanc and Van Erck-
48 Westergren, 2014), it has been used to predict successful performance in
49 Standardbreds, Thoroughbreds and Warmblood sports horses (Leleu et al., 2005;
50 Gramkow and Evans, 2006; Munsters et al., 2014). Most standardised testing
51 practices rely primarily on monitoring heart rate (HR) for any given sub-maximal
52 workload and measuring concomitant blood lactate (Couroucé 1999; Davie and
53 Evans, 2000; Munsters et al., 2014). HR and concomitant blood lactate responses are
54 greatly dependent on individual aerobic capacity which can be affected by inherent
55 factors such as breed and age, or by the influence of training (Couroucé-Malblanc and
56 Hodgson, 2014).

57 Field-based exercise testing is ideally minimally invasive and minimally disruptive of
58 routine training practices. Heart rate monitors are non-invasive and can be easily
59 applied by trainers during routine exercise, especially in actively competing animals.

60 Heart rate responses to submaximal and maximal exercise have been used to assess
61 fitness and predict performance in Thoroughbreds (Gramkow and Evans, 2006;
62 Evans, 2007), yet the use of HRR to assess fitness in racehorses has been relatively
63 limited compared to the human discipline. Despite HRR being well correlated with
64 fitness in endurance horses (Rose, 1983), only one study has been published in the
65 Thoroughbred racehorse. The study evaluated flat racehorses and found lactate but
66 not post exercise HR correlated with timeform ratings following a treadmill exercise
67 test (Evans et al., 1993) which may explain why it appears that HRR has been used
68 infrequently in field exercise tests in Thoroughbreds.

69 To date, no study has investigated the relationship between HRR in Thoroughbred
70 racehorses and race performance using field-based testing. This study aimed to
71 compare HRR after a three-interval field exercise test to race position in a competition
72 undertaken three days later in a group of National Hunt racehorses. We hypothesised
73 that horses with a faster HRR would perform better in their subsequent race.

74 **Materials and methods**

75 The study was a retrospective analysis of data obtained from a single licensed training
76 centre in Great Britain with standardised management practices during the period of
77 the study. The study was approved by the Veterinary Research Ethics Committee
78 (VREC704), University of Liverpool, and the trainer gave full consent for data to be
79 analysed and published. The data collection was ongoing and part of a protocol set
80 up by one of the authors with the trainer (GW) and managed by the trainer who
81 selected and collected HR data for mature (> 5-years) horses that he (the trainer)
82 determined to be ready to race. By definition, this excluded any horse that was ill, did
83 not eat all of its food or demonstrated musculoskeletal pain or lameness precluding
84 racing. Selection criteria was based on time frame: horses racing between January
85 2017 and December 2017 under National Hunt rules in GB (www.racingpost.co.uk).

86 Trainer selected race-ready horses were fitted with a telemetric HR monitor and global
87 positioning satellite (GPS) monitoring system (M400 model, Polar Electro-Oy, Finland)
88 prior to exercise and exercised by their usual exercise rider. The HR monitor
89 electrodes were placed on two anatomical points of the horse; on the left-side wither
90 with the saddle pads and saddle placed over to hold in position, and under the girth of

91 the saddle over the heart base adjacent to the left elbow, with the girth placed over to
92 hold position on the skin and secured with a rubber strap. The electrodes were
93 attached to the telemetric unit that was fixed to the neck strap of the bridle and this
94 transmitted a signal to a watch worn by the rider, displaying HR, speed and distance
95 in real time. Prior to the placing of the electrodes, the rider applied a damp sponge to
96 the anatomical sites and also dampened the electrodes to ensure good conductivity.
97 The rider then ensured an established signal on the watch, before mounting for the
98 exercise session. Each electrode unit had been individually paired to an individual
99 watch receiver, to ensure no cross signaling could occur between horses.

100 Prior to the three-interval field exercise test, all horses were ridden from the stable
101 yard to an all-weather trotting enclosure adjacent to the all-weather gallop and
102 performed trotting circuits as a warm-up. The number of circuits of trotting and length
103 of each was under the trainer's discretion and interspersed with short walking periods.
104 The total duration of warm-up was <10-minute.

105 The protocol involved a 3-interval field exercise test three days prior to racing. The
106 track was a 1400m (7 furlongs) long wood chip track (CPA Horticulture, England) that
107 gradually inclined to a peak height of 32m. The protocol was untimed but unaltered
108 from the routine fast work days prior to racing (a "work day") and involved horses
109 running singly in an increasing protocol of canter (typically 40 km/h) to half pace
110 (typically 45 km/h) to three quarter pace (typically 49 km/h) over the interval distance.
111 After slowing at the end of each interval at the end of the track, recovery between
112 intervals was for the horses to be ridden at a walk pace back down the side of the
113 track for 600m (3 furlongs). This initial recovery phase incorporated the post-peak HR
114 1 minute time period that was used to determine the post-exercise HRR analysis for
115 fitness categorisation. After the initial walk, the riders then proceeded to trot their horse
116 for the remainder 800m (4 furlongs) of the all-weather track, back to the start. The
117 procedure was repeated twice during the exercise testing. After the 3rd interval all
118 horses were walked back to the stables to be unsaddled and 'washed down'. The heart
119 rate monitors were stopped after total exercise cessation and the data was
120 downloaded as described below.

121 Post-exercise, data was downloaded to the online portal (<https://flow.polar.com/>) via
122 linking the watch receiver unit to a laptop. Individual data was then extracted from

123 each exercise interval including peak HR, peak speed and 1 minute HRR. Given that
124 there does not exist any data in the equine literature on HRR in exercise and
125 subsequent race performance in racehorses, we extrapolated the post 1-minute
126 recovery protocol from the human literature where this is widely used across many
127 sports (Hagberg et al., 1980; Blomqvist and Saltin, 1983; Daanen et al., 2012). The
128 data were accessible by both the trainer and author (GW) and cross referenced with
129 the published race records (www.racingpost.co.uk) for race type (steeplechase,
130 hurdle, or national hunt flat race - known in horse racing in GB as a 'bumper'), race
131 distance and time, race going, any noted problems and finishing position in the
132 subsequent race three days after the field exercise test. Race finishing position was
133 compared with the HRR of the 3rd interval from the exercise session three days earlier.

134 Data was recorded in a spreadsheet (Microsoft Excel) using horse initials only. Using
135 the HRR following interval three, horses were classified into three groups >140bpm;
136 unfit; 120-140bpm; fit-to-race; < 120bpm; fully fit. Peak HR, speed and HRR was
137 compared between groups for all three intervals using one-way ANOVA (Stata version
138 10, Statcorp, College Station TX, USA). Where significant group differences were
139 detected post hoc analysis with Bonferroni correction was used. Due to the variable
140 field sizes, finishing position was divided into top third and bottom two thirds of the
141 race and compared against the classification groups. The outcome measure of
142 finishing in the top third of the field was compared to classification using 2x2 tables
143 (Statcalc, EpiInfo). Results are presented as mean \pm SD.

144 **Results**

145 There were 20 racehorses, including 15 geldings and five mares, aged 6.5 ± 1.1 years
146 and weighing 489 ± 33.5 kg. Three of the 20 were previously unraced, whilst 17 horses
147 had at least one prior season of racing. The horses underwent a total of 34 exercise
148 tests, collectively, over the testing period. Thirteen horses were tested once, two twice,
149 three were tested three times and two horses were tested four times over the 12 month
150 period. Heart rate recovery measured after the completion of the 3rd interval of the
151 SET resulted in horses being classified as fully fit (n=16), fit-to-race (n=10), or unfit
152 (n=8) .

153 Overall mean (\pm SD) peak HR for interval 1 (198.8 ± 5.7 bpm), interval 2 (208.3 ± 5.6
154 bpm) and interval 3 (213.4 ± 5.0 bpm) was not different between groups ($P > 0.1$). Mean
155 (\pm SD) peak speed for interval 1 was not different between fully fit (40.0 ± 1.3 kph) and
156 fit-to-race (40.0 ± 1.5 kph), but was higher for unfit horses (43.0 ± 1.9 kph) than fully
157 fit ($P < 0.001$) and fit-to-race ($P < 0.01$). Peak speed for interval 2 was not different
158 between fully fit (44.5 ± 3.0 kph) and fit-to-race (44.7 ± 3.1 kph), or between unfit (47.6
159 ± 1.0 kph) and fit-to-race horses ($P > 0.1$), but was higher for unfit horses than fully fit
160 ($P < 0.05$). Mean (\pm SD) peak speed for interval 3 (49.3 ± 1.8 kph) was not different
161 between groups ($P > 0.1$; Table 1).

162 Mean (\pm SD) 1 minute post-peak HR for interval 1 was not different between fully fit
163 (102.1 ± 15.5 bpm) and fit-to-race (108.0 ± 8.2 bpm) or between unfit (110.5 ± 4.8
164 bpm) and fit-to-race horses ($P > 0.1$), but was higher for unfit horses than fully fit
165 ($P < 0.05$). Mean 1 minute post-peak HR for interval 2 was not different between fully
166 fit (108.1 ± 7.2 bpm) and fit-to-race (114.4 ± 7.1 bpm), but was higher for unfit horses
167 (127.1 ± 8.0 bpm) than fully fit ($P < 0.001$) and fit-to-race ($P < 0.01$). Mean 1 minute
168 post-peak HR for interval 3 was different between all groups ($P < 0.001$). Mean 1 minute
169 post-peak HR was lower in fully fit (113.1 ± 8.4 bpm) compared to fit-to-race ($124.4 \pm$
170 2.2 bpm; $P < 0.01$) and unfit horses ($149.3 \pm 8.7.1$ bpm; $P < 0.001$). Fit to race horses
171 had lower 1 minute post-peak HRs than unfit horses ($P < 0.001$; Table 1).

172 Table 1. Descriptive data for 20 racehorses during 34 three interval (INT) exercise
173 tests classified as either 'fit' ($n = 16$; with a heart rate recovery [HRR] 1 minute after the
174 completion of the third interval of the SET of < 120 beats per minute [bpm]) or 'fit-to-
175 race' ($n = 10$; with HRR 1 minute after the completion of the third interval of the SET
176 of $120-140$ bpm) or unfit ($n = 8$; HRR 1 minute after the completion of the third interval
177 of the SET of > 140 bpm). Results are presented as mean \pm SD. Fit-to-race horses had
178 1 minute HRR significantly higher than fit horses only in interval 3 ($P < 0.01$).

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	Fit			Fit-to-race			Unfit		
	INT 1	INT 2	INT 3	INT 1	INT 2	INT 3	INT 1	INT 2	INT 3
Peak speed km/h	40±1	45±3	49±2	40±1	45±3	49±1	43±2 ^{*,**}	48±1 [*]	50±2
Peak HR bpm	197±6	206±6	212±5	199±4	210±3	216±4	202±6	211±5	213±5
1 minute HRR bpm	102±6	108±7	114±8	108±8	114±7	125±2 [*]	111±5 [*]	127±8 ^{*,**}	149±7 ^{*,**}

186 * significantly different from fully fit horses, ** significantly different from fit-to-race
 187 horses ($P<0.05$)

188 There were 26 races which included 3 bumpers (2 miles on the flat) and 23 hurdles
 189 with between 8 and 12 flights (jumps) and distance from 2 miles (3200 m) to 3 miles
 190 and half a furlong (5000 m). Fully fit (n=16) horses were more likely to finish in the top
 191 3rd of the field than fit-to-race (n=10) (OR 12.0; 95%CI 1.8-81.7; $P=0.01$; Figure 1).
 192 Horses classified as unfit (n=8) did not race.

193 Figure 1. Recovery heart rates (HRR) compared to finishing position in 20 racehorses
 194 following 34 exercise tests (SETs). Horses were classified as either 'fully fit' (n=16;
 195 with a post-peak heart rate [HR] 1 minute after the completion of the third interval of
 196 the SET of <120 beats per minute [bpm]) or 'fit-to-race' (n=10; with post-peak HR 1
 197 minute after the completion of the third interval of the SET of 120-140 bpm). Fully fit
 198 horses had higher odds of finishing in the top third of the field (OR 12.0; 95%CI 1.8-
 199 81.7; $P=0.01$).

200

201 **Discussion**

202 This results of this study support the hypothesis that horses with a faster HRR
203 following interval exercise training perform better in their subsequent race than horses
204 with slower HRR. This contradicts earlier research in flat racehorses following a two
205 increment treadmill test (Evans et al., 1993) but represented a very different test in a
206 different group of horses. The horses in the current study were all mature with a mean
207 age of 6.5 years compared to 3 years for the flat racehorses. Older horses may be
208 more familiar with their training routine and training environment and less affected by
209 the excitement of interval exercise (Hodgson, 2014).

210 The current study used a three interval test and differences in HRR between the fully
211 fit and fit-to-race groups were only apparent following the third interval. Tests with
212 fewer intervals may not be as discriminatory, which may explain why the two increment
213 treadmill exercise test in the earlier research did not show a relationship between
214 timeform rating and 1 minute HRR (Evans et al.,1983). It may also be that the small
215 sample size in both studies may have meant there was insufficient power to detect the
216 relationship between performance and HRR. Larger numbers may have allowed
217 discrimination using 1 minute HRR after only one or two intervals. However, the test
218 was designed to be applicable to a typical training yard with similar numbers of horses,
219 in which case the three intervals are likely to be required to discriminate.

220 The difference may also be related to treadmill testing used by Evans et al. (1993),
221 which may predict racing performance less well than a ridden interval test. Although
222 field exercise testing is inherently more variable, there are significant differences in
223 physical work load and biomechanically when comparing competition horses on a race
224 track and a treadmill, and given field testing includes the effect of a rider, it is likely
225 that the workload is more representative of the competition environment (Munsters et
226 al; 2014).

227 The performance measure in the flat racehorses was timeform rating (Evans et al.,
228 1993) which indicates cumulative racing ability rather than immediate fitness. In the
229 current study, several horses performed the exercise test more than once, moving

230 between unfit, fit-to-race and fully fit categories. Using HRR allowed differentiation of
231 the current stage of fitness, whereas timeform rating may not.

232 National Hunt horses are typically trained to run over much longer distances than flat
233 racehorses, as well as including jumping efforts. The horses in this study competed
234 over 3200-5000m so had a greater aerobic contribution to exercise than short distance
235 flat racing horses that raced from 1200m – 2400m without jumping (Evans et al., 1993;
236 Gerard et al., 2014). This means that tests of HRR, which reflect aerobic capacity
237 (Daanen et al., 2012), are likely to be better suited to National Hunt racehorses. This
238 is supported by the fact that HRR is well correlated with fitness in endurance
239 competition (Rose, 1983) and HRR has been shown to be better in higher performing
240 warmblood sport horses than average performing counterparts following various
241 intensities of submaximal standardised exercise testing (Bitschnau et al., 2010).

242 Despite the significant association of HRR on subsequent performance, the prediction
243 was not perfect where in a small proportion of horses' classification did not accurately
244 predict race performance. Further, it is not known if the unfit classified horses would
245 have performed well as they did not race. Unfit classified horses had faster peak
246 speeds than fully fit horses in intervals 1 and 2, and fit-to-race horses in interval 1. It
247 is unknown whether the higher peak speed was brief or throughout the interval, but
248 this could have prolonged HRR in these horses. However, peak speed was not
249 different in interval 3 and there was no difference in peak speed in any interval
250 between fully fit and fit-to-race horses. Various factors could have affected the HRR
251 during the exercise test e.g. excitement, pulling excessively or not putting in a hard run.
252 Similarly, although none of the horses were recorded as to have had a bad run or fall
253 in their subsequent race, there could have been race related factors affecting the
254 finishing position. However, from a fitness and welfare point of view, especially in such
255 long races as National Hunt races, this system is likely to encourage only the fittest
256 horses to be presented for competition which may reduce pulling up or falls during
257 racing (Ely et al., 2010).

258 This study was a small study with a small group of horses on a single training
259 premises. Cut-offs for fully fit, fit-to-race and unfit classifications were based on
260 extrapolation from the human field and observation. The exercise test was not fully
261 controlled, with the interval timings being judged by the riders and not a timing system.

262 Furthermore, many factors affect race performance on the day. However, based on
263 the results of this study, further research to confirm these findings in other training
264 centres, on larger groups of horses and in different types of racehorses are warranted.

265 **Conclusion**

266 We conclude that use of HRR following field interval exercise was able to predict
267 subsequent performance measured as race position in National Hunt racehorses. Use
268 of HRR may prove a useful guide for trainers and help ensure horses a fully fit prior to
269 racing.

270

271 **Acknowledgement**

272 The authors wish to thank GB racehorse trainer Richard Phillips, his owners and his
273 staff for their co-operation in this study and allowing full access to his facilities.

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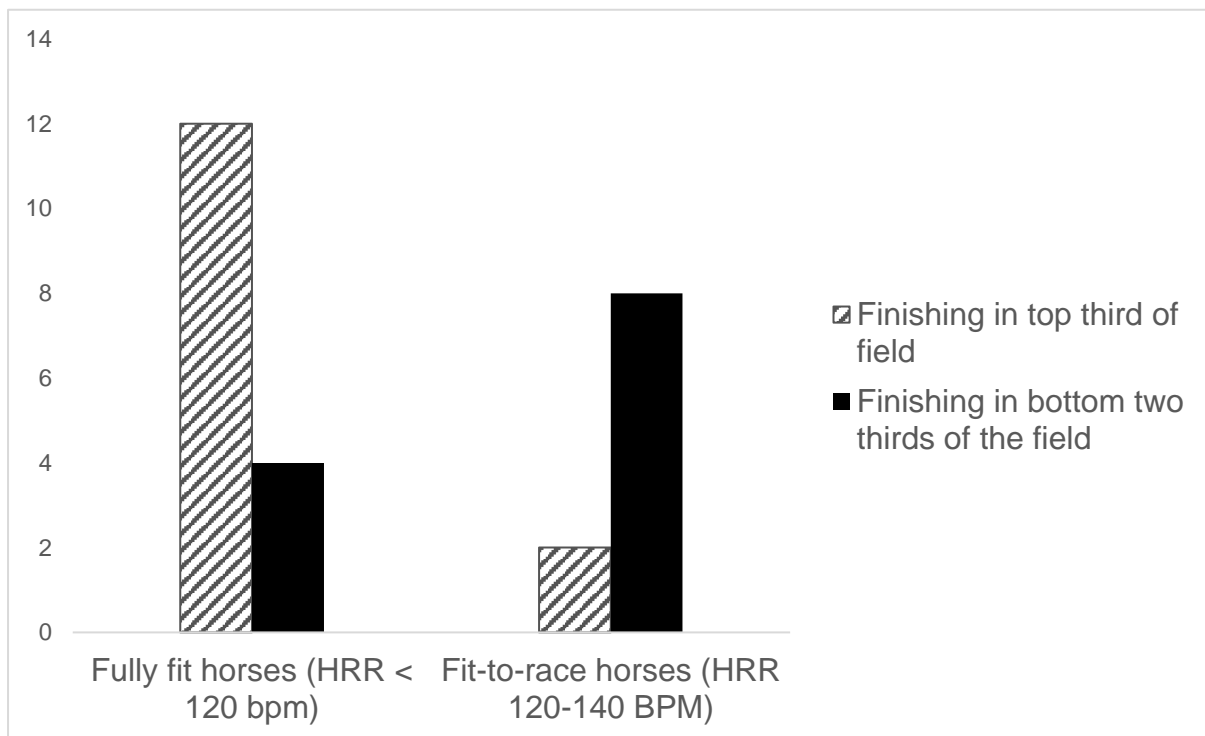
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344 Figure 1. Recovery heart rates (HRR) compared to finishing position in 20 racehorses
345 following 34 exercise tests (SETs). Horses were classified as either 'fully fit' (n=16;
346 with a post-peak heart rate [HR] 1 minute after the completion of the third interval of
347 the SET of <120 beats per minute [bpm]) or 'fit-to-race' (n=10; with post-peak HR 1
348 minute after the completion of the third interval of the SET of 120-140 bpm). Fully fit
349 horses had higher odds of finishing in the top third of the field (OR 12.0; 95%CI 1.8-
350 81.7; P=0.01).

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