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Melanopsin expressing intrinsically photosensitive Retinal Ganglion cells in retinal disease.

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1 Melanopsin expressing intrinsically photosensitive Retinal Ganglion Cells (ipRGCs) are the
2 third photoreceptor class in the eye.¹⁻³ ipRGCs are an atypical photoreceptor type separate
3 from the rod and cone photoreceptor classes that have an intrinsic photoresponse and
4 extrinsically transmit outer retinal photoreception. They signal locally within the retina and
5 distribute light information across more than a dozen distinct brain regions.^{1,2,4-8} The primary
6 function of ipRGCs is for non-image forming photoreception but emerging evidence
7 indicates they have roles in image forming vision.^{8,9} The non-image forming functions
8 include the signalling of environmental irradiance level to entrain the central body clock
9 located in the suprachiasmatic nucleus (SCN) to the solar day to maintain the circadian
10 rhythm to near a 24-hour day and night cycle, and for mediating the pupil light reflex (PLR)
11 via signalling to the olivary pretectal nucleus (OPN).^{1,2,10-12} The most prominent ipRGC
12 contribution to the PLR is the post-illumination pupil response (PIPR), the sustained
13 constriction after offset of high irradiance, short wavelength light; this characteristic affords
14 the direct measurement of ipRGC function in humans.^{11,13,14} While there is a long history of
15 research of conventional retinal ganglion cell morphology, physiology, connectivity, function
16 and central projections,^{3,15} ipRGC research into their subtypes, central projections and their
17 function is still in its infancy. Moreover, our knowledge is predominantly derived from
18 transgenic animal models (for comprehensive reviews see ^{3,14,16}) and new areas of
19 investigations are beginning to define the functional roles of ipRGCs in humans,^{11,17-19} with
20 important reference to applications in the detection and monitoring of inner and outer retinal
21 disease.^{14,20-25} This review will consider the effect of retinal disease on ipRGC function, and
22 will introduce new paradigms for measuring inner and outer retinal function in age-related
23 macular degeneration.

24

25

26 **intrinsically photosensitive Retinal Ganglion Cells (ipRGCs); the “novel-old” cell**

27

28 The initial evidence of a third photoreceptor class was available as early as 1927 when Keeler
29 et al.²⁶ demonstrated that mice with severe outer retinal photoreceptor loss retained a pupil
30 light response. More recently, normal photoentrainment and pupil responses were observed in
31 humans who were blind due to extensive outer retinal damage.²⁷ The photopigment
32 melanopsin (opn4) was first discovered in the frog (*Xenopus laevis*) skin melanophores, deep
33 brain nuclei, the iris and retina⁴ and then in a distinct ganglion cell population in humans, the
34 ipRGCs.^{8, 28} The melanopsin photopigment is diffusely expressed along the dendrites and
35 soma of ipRGCs (~ 3 molecules. μm^{-2}),⁷ and is lower in density compared to rod and cone
36 photopigments ($\sim 25,000$ molecules. μm^{-2}), but the melanopsin signal amplification is higher.
37 While rods and cones signal with graded membrane voltages, melanopsin phototransduction
38 shows different electrophysiological responses to light; ipRGCs signal to the brain using
39 action potentials (spikes), the single photon absorption response is larger than in rods⁷ and
40 their response is sluggish in onset and slow in termination¹ lasting some 10 s, which is about
41 100-fold longer than in cones and 20-fold longer than in rods.⁷ Recent measurements show
42 that 10 h of constant light activation of ipRGCs continuously evoke action potentials, so that
43 irradiance changes can feasibly be tracked during the day.²⁹ The long operational timescales
44 and slow kinetics of ipRGCs increase sensitivity through long temporal summation.

45

46 Evidence from studies of the human pupil light reflex indicates that melanopsin is a bistable
47 photopigment and unlike conventional photopigments that are dependent on exogenous
48 supply of a chromophore, melanopsin is thought to regenerate from photoconversion.¹⁷ The
49 intrinsic ipRGC response gives maximum depolarisation in response to short wavelength
50 (blue appearing light), high retinal irradiance ($> \sim 11.5$ log quanta. $\text{s}^{-1}.\text{cm}^{-2}$) lights with a λ_{max}

51 of about 482 nm.^{1, 8, 11} The data in **Figure 1** show that the peak opn4 ipRGC spectral
52 sensitivity derived from a criterion post-illumination pupil response (PIPR) in humans is
53 positioned in the short wavelength region of the spectrum between the S-cone and rod
54 nomograms. The half-maximal PIPR occurs at a retinal irradiance of about 13.7 log quanta.s⁻¹.
55 cm⁻² in humans. The ipRGCs also receive extrinsic input from rods and cones as shown in
56 mice and primates,^{8, 30, 31} presumably via bipolar (excitatory) and amacrine (inhibitory)
57 cells^{16, 31} that subserves a faster temporal response than the melanopsin elicited intrinsic
58 response.³² ipRGCs are thought to have unmyelinated axons consistent with the slow
59 conduction velocities of fibres within the retinohypothalamic tract (RHT) as shown in studies
60 of primates.³³

61

62 **Morphological diversity of ipRGCs**

63

64 Since their initial discovery, five ipRGC subtypes (M1-M5) have been identified using
65 transgenic mouse models. They are defined based on the stratification of their dendrites
66 within the extreme outer and inner laminae of the inner plexiform layer (IPL). Three subtypes
67 have been identified in rats, with dendrites stratifying in either the outer margin (M1) or inner
68 side (M2) of the inner plexiform layer, or stratifying in both, the outer and inner plexuses
69 (M3).³⁴ Similar dendritic stratifications have been described for M1 and M2 cells in primate
70 retinae.³¹ ipRGCs have the largest identified retinal ganglion cell dendritic fields (~400 -1200
71 μm) but have small somata and represent only a small subset (about 3000 cells or ~0.2% -
72 4%) of the total ganglion cell population.⁸ The dendritic fields form a photoreceptive network
73 that is concentrated parafoveally as evidenced in macaque retinas.⁸ The ipRGC subclasses
74 show morphological and functional diversity and the different cortical projections are thought
75 to evoke different behaviours (for review¹⁶). Given that there appears to be some

76 conservation of ipRGC sub-types and pathways between species³⁵, the quantification of
77 ipRGC structure and function in animal models will promote development of new methods
78 for observing ipRGC activity in humans. In brief, M1 cell's dendrites stratify in the OFF
79 sublamina (outer IPL), while M2 subclasses stratify in the inner IPL (ON sublamina). M3 are
80 bistratified and extend their dendrites into both sublaminae. There are discrepancies in the
81 estimates of the relative proportions of these three subclasses, with the proportion of M1 cells
82 varying between 22% and 68%, the proportion of M2 cells varying between 40% and 53%,
83 and the proportion of M3 cells varying between 7% and 26%,^{36, 37} the variation possibly
84 arising due to methodological differences in their labelling. Moreover, dendritic arbors of M1
85 and M2 subtypes show a large amount of overlap³⁸ with M2 subtypes having larger and
86 complex dendritic fields, and larger somata compared to M1 subtypes,^{16, 39} M1 cells display
87 larger membrane depolarisation compared to M2 and are about 10-fold more sensitive to
88 light.⁴⁰ Primate M1 cells also show intra-retinal branching to provide synaptic feedback, an
89 atypical morphological feature of ganglion cells exiting the retina.³⁵ The M3 subtype is
90 morphologically comparable to M2⁴⁰ but its dendrites are absent in some areas of the retina,³⁸
91 hence M3 subtypes might only play a role in non-image forming visual processes because
92 complete coverage of the visual field by ganglion cells is important for image forming
93 vision.⁴⁰

94

95 In mammals, M1 cells have the highest expression of the opn4 melanopsin photopigment
96 followed by M2 and M3. In mice, M1 and M2 cells primarily receive excitatory inputs from
97 ON pathways and M1 exhibiting much larger synaptic responses than M2 cells.⁴⁰ There is
98 evidence in mice that M1 and M2 convey light information differently with M2 being more
99 reliant on outer retinal synaptic inputs than M1 cells that seem to respond to light using the
100 intrinsic melanopsin pathway only.⁴⁰ Primate ipRGCs also have a spatially overlapping,

101 colour-opponent (L+M-cone)-ON and (S-cone)-OFF receptive field structure, with
102 projections to the LGN.⁸ Two additional subtypes of ipRGC cells have been discovered in
103 mice. These are classified as M4 and M5 and stratify in the inner sublamina with M4 being
104 the largest of all ipRGC subtypes.⁴⁰ M4 and M5 do not however, show melanopsin
105 immunostaining but are still capable of a weak intrinsic response.³⁹ While both M1 and M2
106 cell subtypes are found in primate retinæ,^{8, 35} it remains subject to further in depth
107 investigations if the subtypes have similar characteristics to those as shown in rodents.

108

109 **ipRGC projections and their functional characteristics**

110

111 The axons of an ipRGC can branch out to multiple brain regions.⁴¹ In rodents, about 80% of
112 M1 cells project to the SCN, the master circadian clock.³⁷ Similarly, 80% of M1 and M2 cells
113 project to the OPN, the control centre of the pupillary light reflex, with M1 cells
114 predominantly projecting to the OPN shell and a larger amount of non M2 cells
115 predominantly projecting to the OPN core.³⁷ The reason for differential inputs from M1 and
116 M2 to the SCN and OPN is unknown but it is thought that it may play a role in the overall
117 dynamic range of the response to retinal irradiance.³⁷ M1 ipRGCs are considered to
118 predominantly drive the pupil light reflex in rodents and humans.^{11, 42} There is evidence that
119 Brn3b transcription factor negative M1 cells in mice innervate the SCN whereas Brn3b
120 positive M1 cells project to all other brain regions receiving ipRGC inputs, including those
121 for the pupil control pathway, yet these cells have the same morphological and
122 electrophysiological characteristics.⁴³

123

124 ipRGC subtypes further project to the intergeniculate leaflet (IGL), the centre for circadian
125 entrainment, the ventrolateral preoptic nucleus (VLPO), the control centre of sleep, the dorsal

126 and ventral lateral geniculate nucleus (LGN), the lateral habenula, the medial amygdala, the
127 supraoptic nucleus, the posterior pretectal nucleus, the intergeniculate leaflet (IGL) and
128 superior colliculus (SC) and many more brain regions.^{5, 9, 39} While the projections of M3 cells
129 are not known, most of the M2, M4 and M5 cells project to dorsal LGN, suggestive of a role
130 for these subclasses in image forming vision, in addition to projections to the core of the
131 OPN, but for which there is presently no assigned function.³⁹

132

133 Melanopsin derived activity in the normal mouse dorsal LGN is evident as a prolonged firing
134 of neurons when stimulated with long duration, high irradiance, short wavelength stimuli and
135 the discrimination of high irradiance lights from a dark background in rodless/coneless mice
136 may reflect melanopsin signalling.⁹ Given that bipolar cells and conventional ganglion cells
137 signal contrast, determining the role of melanopsin for signalling the perceptual correlate of
138 brightness will be important for explaining human behavioural magnitude estimation of
139 brightness⁴⁴ and luxotonic units in the visual cortex as identified in cat⁴⁵ and macaque.⁴⁶
140 Melanopic metamers can produce perceptible changes in human brightness perception, but
141 not in chromaticity,⁴⁷ though the cone opponent receptive fields in primate ipRGCs⁸ indicate
142 that brightness changes should be accompanied by a chromaticity change. As the
143 understanding of ipRGC contributions to image forming vision advances, there will likely be
144 a re-defining of the standard model of human trichromacy,⁴⁸ of photometry and melanopsin
145 photoreception.⁴⁹

146

147 There is emerging evidence that light information mediated via ipRGCs can directly
148 influence higher cognitive function and brain processing for emotions.^{50, 51} Studies
149 demonstrate that ipRGCs can influence mood and learning through projections to the limbic
150 areas of the brain including the lateral habenula and the medial amygdala.^{2, 5, 51} Aberrant light

151 cycles can cause depression-like behaviours in animals with intact ipRGCs whereas ipRGCs
152 knock out animals do not have these symptoms.⁵¹ A link between ipRGCs and exacerbation
153 of migraine headache by light has been proposed based on observations that axons from
154 ipRGCs project to dura sensitive neurons in the posterior thalamus.⁵² Photosensitive blind
155 individuals with migraine still show a pupil light reflex and photoentrainment indicative of
156 functional ipRGCs. Light has also been shown to enhance learned fear in transgenic mice,
157 and that this requires signalling via ipRGC pathways.⁵⁰ The development of new assessment
158 paradigms in humans, especially through use of the pupil light reflex, will provide novel
159 techniques for assessing behaviours beyond its traditional application as an objective measure
160 of visual and pupillary pathways linking midbrain and autonomic function.

161

162

163 **The light reflex of the pupil: An objective, behavioural measure of inner and outer**
164 **retinal function**

165

166 Since Loewenfeld's⁵³ seminal contribution on the pupil, the ipRGCs have been identified as a
167 primary neural substrate of the pupillary control pathway. The pupil light reflex (PLR) is the
168 only measureable, non-invasive physiological response to directly reflect the behaviour of the
169 three retinal photoreceptor classes in the human eye; rods, cones and ipRGCs. As such, non-
170 invasive pupillometry techniques afford objective measurement of inner retinal (ipRGC)
171 function and outer retinal (rod and cone) function^{13, 14, 19, 21, 25, 54, 55} in response to high retinal
172 irradiance long and short wavelength lights that favour outer and inner retinal responses,
173 through analysis of the components of the light response of the pupil including the latency to
174 constriction, the transient pupil response, the constriction amplitude,^{54, 55} the post illumination

175 pupil response (PIPR) amplitude and redilation time constant (**Figure 2**). (e.g. ^{13, 14, 18, 20-22, 24,}
176 ⁵⁴⁻⁵⁶)

177 Current understanding is that the initial pupil constriction amplitude is mediated by outer
178 retinal (rod and cone) contributions.^{13, 42} The outer retinal contribution to steady-state
179 pupillary response is dominated by rods with a smaller contribution by cones for light
180 presentations of shorter than 10 s; the ipRGC contribution increases with longer presentation
181 durations but the rod photoreceptor still make large contributions.¹³ The L- and M-cone
182 contribution to the steady-state pupil diameter is more than a factor of three less than the
183 ipRGC contribution.⁵⁷ At light levels above which rods are incapable of supporting image-
184 forming vision, rods signal via the rod-cone pathway and extrinsically via the ipRGC
185 pathway for circadian photoentrainment.⁵⁸

186

187 The inner retinal contribution from intrinsic ipRGC activity is observed as a sustained
188 constriction of the post-illumination pupil response (PIPR) after offset of the high irradiance
189 short wavelength light (**Figure 2**);¹¹ the PIPR can be measured as percentage (or millimetre
190 difference) to the resting baseline pupil diameter during the plateau which is typically 30 s
191 after light offset,^{11, 14, 19} as the net PIPR which is the difference in the plateau amplitude of the
192 long and short wavelength,¹⁸ as the amplitude at 6s post-illumination²¹ and as the early and
193 late Area Under the Curve (AUC).⁵⁶ That the sustained PIPR derived from the plateau metric
194 is controlled by the ipRGC photoresponse when assayed with high irradiance, 10 s light
195 pulses, has been confirmed by spectral sensitivity of the plateau PIPR by our group (**Figure**
196 **1**) and Gamlin and colleagues.^{11, 14} Additional PIPR metrics such as the 6s metric and early
197 and late AUC have not been confirmed by spectral sensitivity but are most likely controlled

198 by the intrinsic ipRGC response as the PIPR amplitude is wavelength and irradiance
199 dependent.

200

201 Despite recent advances in understanding ipRGC function in nocturnal animal models (e.g.
202 mice), there are significant knowledge gaps about how the fundamental properties and
203 functional signatures of the ipRGC light response translate to diurnal humans. There are few
204 investigations of these unique cells and their various roles in human eye diseases. Our group
205 established the role of ipRGCs in the functional differentiation of early and advanced
206 glaucoma²⁵ and in the measurement of the progression of diabetes using the pupil light
207 reflex.⁵⁴ The study of ipRGC function in advanced glaucoma has shown that the PIPR
208 amplitude correlates with the visual field defect.²⁰ A study by La Morgia et al⁵⁹ observed that
209 ipRGCs are resistant in mitochondrial optic neuropathies such as Leber hereditary optic
210 neuropathy (LHON) and dominant optic atrophy (DOA). Retinitis pigmentosa (RP) has been
211 studied in humans^{14, 21, 23, 60} using the pupil light reflex to differentiate between extrinsic (rod
212 and cone) and intrinsic ipRGC contributions and show extrinsic and intrinsic losses increase
213 with disease progression.²³ Morphological studies in a rat model of RP show that ipRGC
214 density and dendritic arborization decrease in advanced stages of the disease.³⁴ Persons with
215 seasonal affective disorder (SAD) have a reduced post-illumination pupil response, indicative
216 of altered light signalling via ipRGCs and may have a genetic variation within the *opn4* gene,
217 suggestive of a possible role of ipRGCs in its pathogenesis.^{61, 62}

218

219 Under experimental conditions controlling exogenous cues of circadian activity, our
220 laboratory provided the initial evidence that ipRGCs have a circadian response synchronised
221 to melatonin onset in humans whereas outer retinal inputs to the pupil did not,¹⁹ thereby
222 indicating the PIPR as a non-invasive marker of the circadian rhythm. Münch, Leon, Crippa

223 and Kawasaki⁶³ have independently confirmed this influence of the circadian clock on ipRGC
224 inputs to the PIPR function. As the current test protocols are refined and new, rapid test
225 methodologies emerge, the PLR assessment of inner and outer retinal dysfunction in retinal
226 disease will find new roles in the detection and monitoring of progression of retinal and optic
227 nerve disease, and for the assessment of circadian function and dysfunction.

228

229 **The pupil light reflex in age-related macular degeneration (AMD)**

230

231 The primary anatomical and functional changes observed in age-related macular degeneration
232 (AMD) occur in the paracentral retina;⁶⁴ ipRGCs spiral around the foveal pit and have their
233 highest distribution paracentrally,⁸ thus making these cells a likely target in this condition. In
234 particular, AMD affects the outer and inner retinal layers, including retinal ganglion cells in
235 advanced stages.^{65, 66} There is histological evidence of an age-related loss of ganglion cells
236 and almost 50% loss of ganglion cells in neovascular AMD.⁶⁵ The effect of age on the ipRGC
237 controlled post-illumination pupil response however, has been considered in only two studies,
238 and one showed that the PIPR was independent of age,¹⁸ the other showed a enhanced pupil
239 responses in healthy older persons,²⁴ hence further investigations are required to understand
240 these relationships.

241

242 While established psychophysical methods such as dark adaptation,⁶⁷ mesopic vision⁶⁸ flicker
243 perimetry⁶⁹ and electrophysiological techniques^{70, 71} can be effective and valuable for
244 determining functional deficits in different retinal layers and different stages of AMD, they
245 are limited to the measurement of specific retinal layers, do not assess inner and outer retina
246 simultaneously under the same test and adaptation conditions, and can be time consuming.
247 Pupil measurements have been recorded in AMD, though they only assessed outer retinal

248 (rod and/or cone) contributions to the pupillary control pathway,^{72, 73} and the studies
249 generally observed that pupil responses on the measured variables were dysfunctional. The
250 measurement of ipRGC function in AMD using pupil paradigms remains to be determined.

251

252 The PLR response to high irradiance rectangular light pulses (e.g. 10 s pulse as shown in
253 **Figure 2**) is now routinely measured in clinical studies,^{18-20, 23, 54} and here we introduce a
254 sinusoidal test paradigm that allows the study of inner and outer retinal contributions to the
255 phasic pupil response. Informed consent was obtained from all participant's and the
256 experiments were approved by the QUT Human Ethics Committee and conducted in
257 accordance with the principals expressed in the Declaration of Helsinki. **Figure 3** shows the
258 PLR a 59 year old healthy female control participant (VA 6/6) without any ocular disease.
259 The pupil trace is the response to a large 34° diameter, 0.5 Hz sinewave stimulus [6 cycles,
260 11.9 s duration; 464 nm or 635 nm] centred on the pupil in Maxwellian view and with a
261 corneal irradiance of 15.1 log photon.cm⁻².s⁻¹. As per the response to a 10 s pulse (**Figure 2**),
262 the sustained PIPR for the 0.5 Hz stimulus is observed after offset of the short wavelength
263 (464 nm) stimulus light and the response to the control long wavelength stimulus (638 nm)
264 returns to baseline within about 20 seconds after light offset. As for the pulsed stimuli,
265 metrics are available to quantify outer retinal function (e.g. maximum and transient
266 constriction amplitudes) and inner retinal function (e.g. PIPR metrics). In addition, the phasic
267 pupillary response to the sinewave stimulus allows analysis of the phase and peak-to-trough
268 amplitude during the sinusoidal stimulus presentation. A “Phase Amplitude Percentage”
269 (PAP) parameter can then be determined from the average long (638nm) and short (464nm)
270 wavelength peak-to-trough phase amplitudes according to Equation 1,

271

$$\left(\frac{638nm - 464nm}{638nm} \right) * 100 \quad \text{Eq. 1.}$$

272 The PAP metric (Eq. 1) reflects inner and outer retinal interactions. For retinal irradiances
273 below melanopsin threshold that are driven by rods and cones only,^{74, 75} the peak-to-trough
274 amplitudes of the phasic response for long and short wavelengths are similar (i.e. the PAP
275 approaches zero). However, for retinal irradiances above melanopsin threshold where the
276 phasic response is predominantly driven by cones but with ipRGC contributions⁷⁵ (**Figure 3**),
277 the short wavelength peak-to-trough phase amplitude is lower relative to the long wavelength
278 amplitudes (i.e. the PAP is non-zero), possibly due to ipRGC contributions that are inhibitory
279 in nature.³¹

280

281 In the following, we present a framework and application of the pupil light reflex as an
282 objective behavioural measure of inner and outer retinal function in AMD. We propose that
283 for light levels that activate melanopsin, the Phase Amplitude Percentage (PAP) and the PIPR
284 metrics will be reduced if disease causes an alteration in ipRGC function. Given the PLR
285 further provides a measure of rod and cone function as derived from the transient pupil
286 constriction or the amplitude of constriction, these components may be reduced due to
287 disease causing outer retinal deficits. The relative level of defect observed for a recording
288 condition will depend on test parameters including the stimulus size⁷⁶ and retinal irradiance;
289^{14, 21, 75} larger stimulus sizes will be more sensitive to inner retinal function due to the larger
290 receptive fields of ipRGCs (conversely, smaller stimulus sizes are more sensitive to outer
291 retinal dysfunction;⁷⁷ and retinal irradiances below melanopsin threshold provide isolation of
292 outer retinal function).^{14, 21} When this framework is applied to AMD, distinct patterns of
293 inner and outer retinal functional deficits should be apparent depending on the AMD stage, as
294 predicted from histological,⁶⁶ psychophysical and electrophysiological data.⁷⁸ Here we focus
295 on stimulus conditions designed to optimize ipRGC activation.

296

297 In the following exemplars in **Figure 4** we report the pupil light reflex in two AMD stages
298 (early and neovascular) using large stimuli and high retinal irradiances (*c.f.* **Figure 3**) to
299 illustrate the effect of manifest AMD on ipRGC function. **Figure 4A** shows the PLR for a
300 male patient (76 years old, VA 6/6 both eyes) with early AMD (intermediated drusen > 125
301 μm ; AREDS classification) and **Figure 4B** shows the PLR for a female patient (74 years, VA
302 6/12 both eyes) with advanced neovascular AMD (AREDS 4b) who is currently undergoing
303 anti-VEGF treatment in both eyes. **Table 1** gives the outer and inner retinal metrics and
304 includes the confidence limits of a healthy control group for comparison ($n = 5$; mean = 60
305 years old; range 56-69 years old; 3 Female, 2 male; VA 6/6). Of note, the PIPR metrics are
306 reduced, indicating that both the early and neovascular AMD patients have altered inner
307 retinal ipRGC inputs to the pupillary control pathway, with the late AMD patient having
308 predominantly a larger level of ipRGC dysfunction. There is also evidence of outer retinal
309 dysfunction with these large, high irradiance stimuli, in accordance with photoreceptor
310 alterations that can occur with drusen as shown with psychophysical methods in early AMD.
311 Future investigations are now required to comprehensively study ipRGC function in AMD
312 and its relationship to outer retinal function with a view to developing these novel test
313 protocols for quantifying retinal inputs to the pupillary control pathway to determine different
314 stages of disease, and possibly for monitoring progression.

315

316 **Conclusion and future directions**

317

318 Light is required every day, with very specific irradiance, duration and timing, to reset the
319 circadian ‘body clock’, and to regulate many neuronal processes. This light is received,
320 transduced and transmitted to the brain by ipRGCs. Research is now beginning to discover
321 the roles of ipRGCs in human eye disease, with advances identifying the roles of ipRGCs in

322 different stages of glaucoma,^{20, 25} retinitis pigmentosa,^{14, 21, 23, 34, 60} LHON and DOA,⁵⁹
323 diabetic retinopathy⁵⁴ and circadian health.^{19, 61, 63} It is now becoming clear that the pupil light
324 reflex will have a role as a rapid clinical assessment tool to simultaneously determine inner
325 and outer retinal function in patients with eye diseases including AMD. Novel pupil
326 paradigms and metrics such as the sinusoidal stimuli protocol proposed here may be
327 particularly helpful in discriminating functional impairment in AMD, in addition to other
328 retinal/optic nerve disease, and research is ongoing to understand the sensitivity and
329 specificity of these tests for detection and the monitoring of progression.

330

331 ipRGCs signal to brain areas linked with depression⁵¹ and sleep^{1, 11} but whether reduced
332 ipRGC function is associated with depression and sleep disorders that are commonly found in
333 AMD (and other ocular disease), is still to be determined. At present, ipRGC dysfunction has
334 been associated with seasonal affective disorder (SAD), with patients showing gene variants
335 in the *opn4* photopigment having a higher risk for developing the SAD.⁶² Importantly, a
336 potent treatment of SAD is short wavelength (blue light) light therapy at irradiance levels that
337 activate ipRGCs. Melatonin is released by the SCN to initiate the sleep phase, and melatonin
338 secretion is suppressed by light.⁷⁹ There is evidence that AMD patients can show higher than
339 normal melatonin levels.⁸⁰ Our working hypothesis is that patients with advanced AMD may
340 have uninhibited melatonin release due to abnormal ipRGC inputs to the SCN, therefore these
341 patients may be more likely to develop depression and sleep disorders. Research is currently
342 undergoing in our laboratory to define and understand these relationships between ipRGC
343 function and non-retinal symptoms in AMD.

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599 **FIGURE LEGENDS**

600 **Figure 1.** Visual pigment nomogram of the *opn4* melanopsin derived from a criterion post-
601 illumination pupil response (PIPR, square symbols) in a human participant closely matches
602 measurements of ipRGCs from *in vitro* primate retinal preparations.⁸ The rod (R) and S-, M-
603 and L-cone corneal spectral sensitivities of Smith and Pokorny are also shown. Modified after
604 Markwell, Feigl and Zele (2010).¹⁴

605

606 **Figure 2.** The consensual pupil light reflex of the left eye of a healthy young participant with
607 no retinal abnormalities (37 y/o; 6/6 VA) in response to a 10 s, 464 nm or 638 nm rectangular
608 light pulse with a corneal irradiance of 14.5 log photon.cm⁻².s⁻¹ centred on the pupil of the un-
609 dilated fellow right eye in Maxwellian view (retinal irradiance: 464 nm = 14.15
610 log photon.cm⁻².s⁻¹; 638 nm = 14.35 log photon.cm⁻².s⁻¹). The PLR is indicated by the thick
611 (638 nm and 464 nm) traces. Thin traces show the linear and exponential model fits. The
612 plateau metric (horizontal dashed line) quantifies the PIPR response to the 464 nm light as
613 ~88% of the initial pre-stimulus baseline pupil diameter after 60 s (12% net PIPR; recovery
614 rate = -.09 mm sec⁻¹), whereas the PIPR to the 638 nm light of the same irradiance returns to
615 the baseline pupil diameter within 20 s post-illumination (recovery rate = -.25 mm sec⁻¹).
616 The 6s metric measures the redilation amplitude 6 seconds after light offset (60%, net PIPR =
617 28%). The 464 nm early area under the curve (10-20 s early AUC) PIPR is 237.1 (113.8 for
618 the 638 nm light) and the late AUC PIPR (20-40 s) is 266.4 (3.3 for the 638 nm light).

619

620 **Figure 3.** The consensual pupil light reflex of the left eye of a healthy older participant with
621 no retinal abnormalities (59 y/o; 6/6 VA) measured in response to a 0.5 Hz sinewave stimulus
622 (6 cycles, 11.9 sec duration; sinewave shown on the x-axis) with a corneal irradiance of 15.1
623 log photon.cm⁻².s⁻¹ centred on the pupil of the dilated (Tropicamide 1%) fellow right eye in

624 Maxwellian view (retinal irradiance: 464 nm = 14.6 log photon.cm⁻².s⁻¹; 638 nm = 14.90
625 log photon.cm⁻².s⁻¹). The Phase-Amplitude Percentage (PAP) difference between short and
626 long wavelength peak-to-trough amplitudes during the sinusoidal stimulus presentation is
627 thought to reflect the interaction between inner and outer retinal contributions to the pupil
628 control pathway.

629

630 **Figure 4.** The data in the left column show the consensual pupil light reflex of the left eye of
631 patients with AMD measured in response to a 0.5 Hz sinewave stimulus (6 cycles, 11.9 sec
632 duration; sinewave shown on the x-axis) with a corneal irradiance of 15.1 log photon.cm⁻².s⁻¹
633 centred on the pupil of the dilated (Tropicamide 1%) fellow right eye in Maxwellian view.
634 The images in the right column show the central retina as obtained with optical coherence
635 tomography (Cirrus OCT, Zeiss, Germany). **Panel A.** A patient (male, age 75 years; VA
636 6/7.5) with drusen due to early AMD (retinal irradiance: 464 nm = 14.45 log photon.cm⁻².s⁻¹;
637 638 nm = 14.93 log photon.cm⁻².s⁻¹). **Panel B.** A patient (female, age 74 years; VA 6/12)
638 with neovascular AMD (retinal irradiance: 464 nm = 14.46 log photon.cm⁻².s⁻¹; 638 nm =
639 14.93 log photon.cm⁻².s⁻¹). The level of ipRGC dysfunction is increased in the patient with
640 neovascular AMD.

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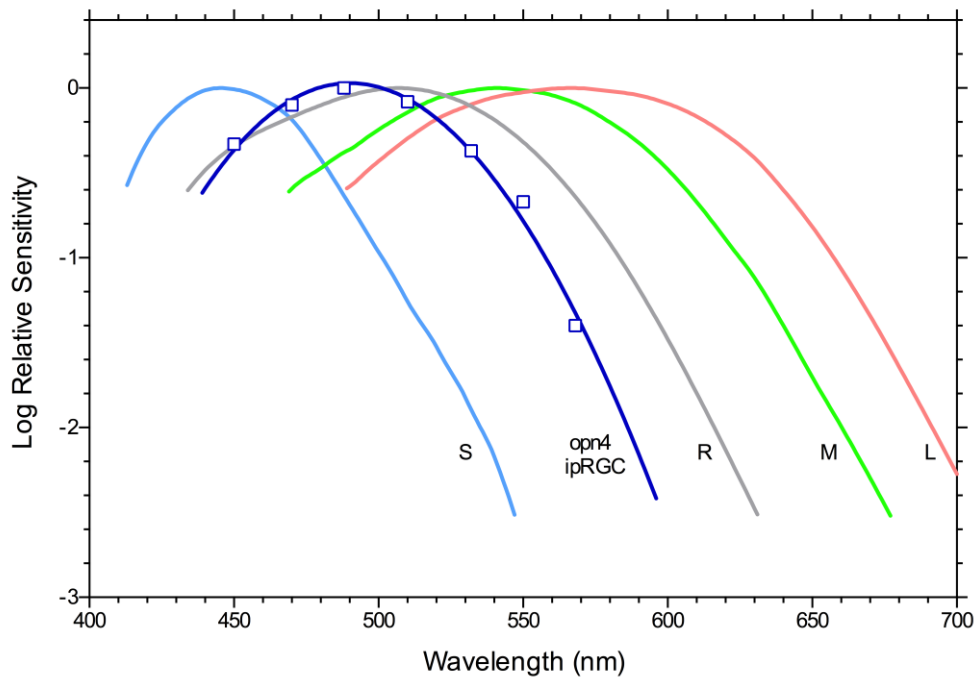
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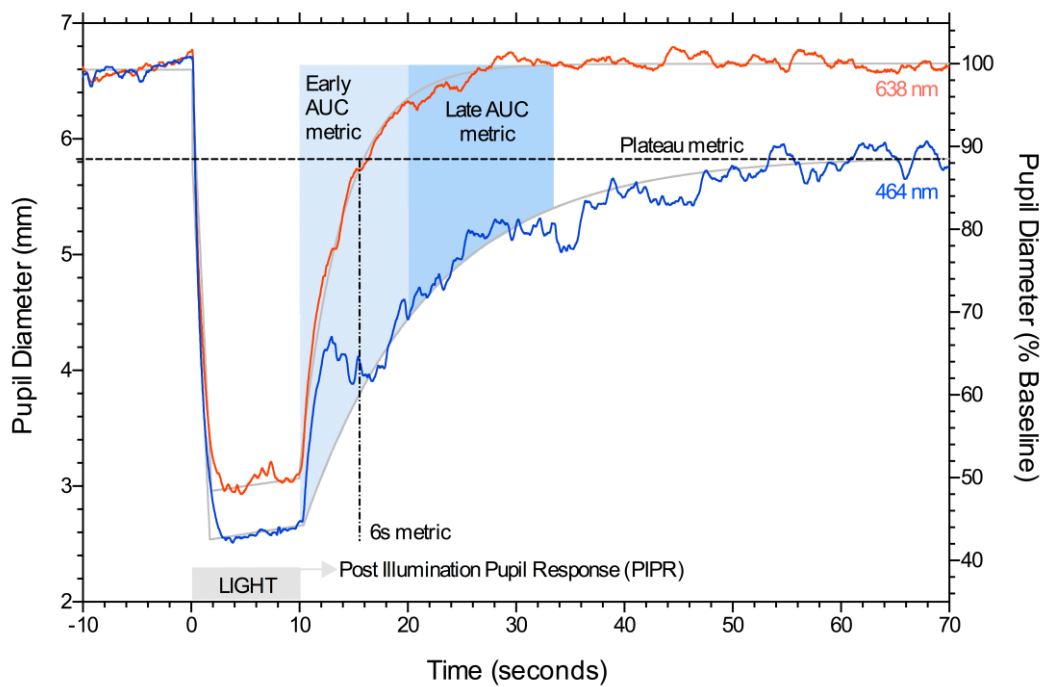
649 **Figure 1**



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652 **Figure 2**



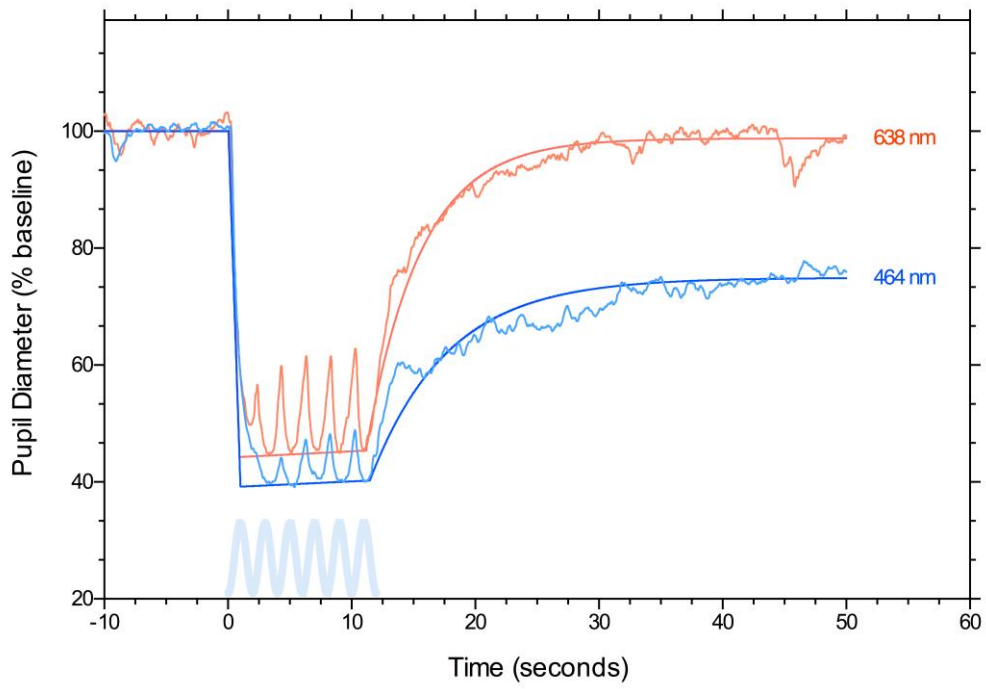
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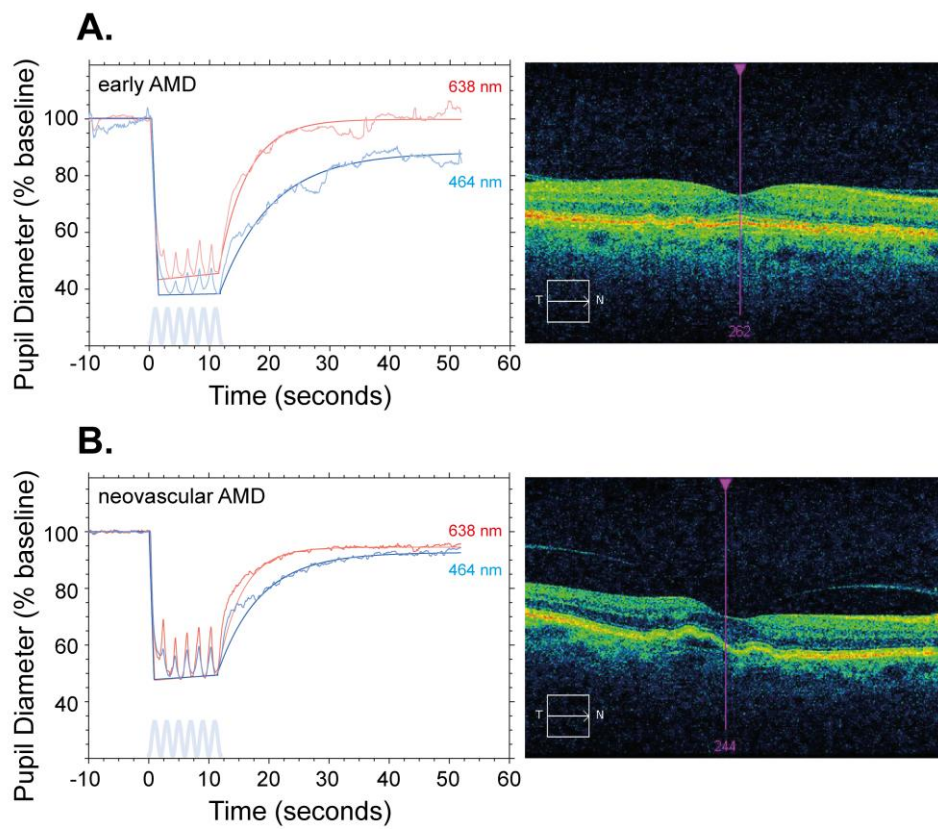
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657 **Figure 3**



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659 **Figure 4**



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