Frequency and developmental timing of linear enamel hypoplasia defects in Early Archaic Texan hunter-gatherers

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Digital photographs taken under controlled conditions were used to examine the incidence of linear enamel hypoplasia defects (LEHs) in burials from the Buckeye Knoll archaeological site (41VT98 Victoria county, Texas), which spans the Early to Late Archaic Period (ca. 2500-6500 BP uncorrected radiocarbon). The majority (68 of 74 burials) date to the Texas Early Archaic, including one extremely early burial dated to 8,500 BP. The photogrammetric data collection method also results in an archive for Buckeye Knoll, a significant rare Archaic period collection that has been repatriated and reinterred. We analyzed the incidence and developmental timing of LEHs in permanent canines. Fifty-nine percent of permanent canines (n = 54) had at least one defect. There were no significant differences in LEH frequency between the maxillary and mandibular canines (U = 640.5, n1 = 37, n2 = 43, p = .110). The sample studied (n=92 permanent canines) had an overall mean of 0.93 LEH defect per tooth, with a median of one defect, and a mode of zero defects. Average age at first insult was 3.92 (median = 4.00, range = 2.5 - 5.4) and the mean age of all insults per individual was 4.18 years old (range = 2.5 - 5.67). Age at first insult is consistent with onset of weaning stress—the weaning age range for huntergatherer societies is 1-4.5. Having an earlier age of first insult was associated with having more LEHs (n = 54, rho = -0.381, p = 0.005).



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- 11 12
- 13 Highlights
- Our study population were hunter-gatherers spanning the Early to Late Archaic period
- We analyzed incidence and developmental timing of Linear Enamel Hypoplasia defects in canines
- Fifty-nine percent of canines in this population had one or more defects
- Average developmental age of first insult was is 3.92 years
- Having an earlier age of first insult was associated with having more LEHs

23

22 Abstract

Digital photographs taken under controlled conditions were used to examine the 24 incidence of linear enamel hypoplasia defects (LEHs) in burials from the Buckeye Knoll 25 archaeological site (41VT98 Victoria county, Texas), which spans the Early to Late Archaic 26 Period (ca. 2500-6500 BP uncorrected radiocarbon). The majority (68 of 74 burials) date to the 27 28 Texas Early Archaic, including one extremely early burial dated to 8,500 BP. The photogrammetric data collection method also results in an archive for Buckeye Knoll, a 29 significant rare Archaic period collection that has been repatriated and reinterred. We analyzed 30 the incidence and developmental timing of LEHs in permanent canines. Fifty-nine percent of 31 permanent canines (n = 54) had at least one defect. There were no significant differences in LEH 32 frequency between the maxillary and mandibular canines (U = 640.5, n1 = 37, n2 = 43, p =33 .110). The sample studied (n=92 permanent canines) had an overall mean of 0.93 LEH defect per 34 tooth, with a median of one defect, and a mode of zero defects. Average age at first insult was 35 3.92 (median = 4.00, range = 2.5 - 5.4) and the mean age of all insults per individual was 4.1836 years old (range = 2.5 - 5.67). Age at first insult is consistent with onset of weaning stress—the 37 weaning age range for hunter-gatherer societies is 1-4.5. Having an earlier age of first insult was 38 associated with having more LEHs (n = 54, rho = -0.381, p = 0.005). 39

41 Introduction

The Buckeye Knoll site (41VT98) contains a prolonged record of short-term continuous site 42 use over a period of 8,000 years (8,500-500 BP) with evidence of resource caching for future 43 occupations. We know very little about Archaic life history and Buckeye Knoll constitutes one of 44 the largest populations available for testing hypotheses regarding health and disease in this early 45 46 period of North American prehistory. Excavation uncovered 75 discrete burial loci and recovered a minimum number of 116 individuals that were dated to 8500-3500 BP using tooth and bone 47 collagen samples. Buckeye Knoll was exhumed and reburied in compliance with the Native 48 American Graves Protection and Repatriation Act (NAGPRA), so any future data collection or 49 analysis must come from the digital photographs collected for archival purposes (Ricklis et al., 50 2012c). 51

Dental enamel hypoplasia defects represent an interruption in the growth process of teeth 52 and can be attributed to genetics (Brook, 2009; Hart et al., 2002; Zilberman et al., 2004), trauma 53 54 (Brook, 2009), and insult (Goodman, 1988; Sarnat and Schour, 1942; Sarnat and Schour, 1941). Those linked to external biological insult (e.g., foreign disease pathogen, injury) develop when 55 resources normally directed to growth and development are rerouted to defending the body or are 56 57 only insufficient to sustain maintenance activities (e.g., malnourishment, diarrhea) (Sarnat and Schour, 1942; Sarnat and Schour, 1941). Enamel hypoplastic defects occur on the buccal and labial 58 surfaces of teeth and mostly commonly manifest as transverse grooves, or linear enamel 59 60 hypoplasia (LEH), but also can appear as pits or grooves (Hillson and Bond, 1997). Because teeth do not remodel, defects captured during growth and development are permanent and have been 61 62 used to infer early life health in a number of populations (e.g. Berbesque and Doran, 2008; 63 Guatelli-Steinberg et al., 2004; Hoover and Matsumura, 2008; Lieverse et al., 2007; Temple,

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2010). Of particular note are the associations between weaning stress (e.g. Herring et al., 1998; 64 Katzenberg et al., 1996; Moggi-Cecchi et al., 1994) and earlier age at death (DeWitte and 65 Stojanowski, 2015; Walter and DeWitte, 2017; Yaussy et al., 2016). 66 A major shift in dietary pattern and environmental adaptations occurred in the southern 67 United States during the transition from early to mid-Holocene. This period was a time of dramatic 68 69 worldwide changes in temperature, sea level, and coastal 'configuration'. Buckeye Knoll may have been in a period of climatic transition, the severity of which is unknown. The climate 70 reconstruction of Buckeye Knoll was primarily from palynology. Two cores were taken from the 71 72 Guadalupe River Flood Plain adjacent to the Buckeye Knoll Site for palynological analysis. These cores enable a regional vegetation reconstruction extending back to 9500 cal. B. P. until present. 73 During this period, there were marked changes in climate reflected in the pollen taxa represented, 74 particularly circa 6000 BP when climate change resulted in enough increases in upland-prairie 75 biomass that it may have caused a shift in subsistence strategy (Ricklis et al., 2012a). This might 76 be a factor in the overall levels of systemic stress in populations of this time period, such as 77 Buckeye Knoll. Here, we aim to infer nonspecific nutritional and developmental stresses via the 78 developmental timing and frequency of linear enamel hypoplasia defects (LEH) in the canines 79 using photogrammetric methods. 80

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82 Methods

83 Study Site Description

The first evidence for human activity at Buckeye Knoll dates to the Paleo-Indian period and consists of scattered artifacts, specifically stone darts. Prolonged occupation of the site begins in the Archaic period, which is marked by a variety of human activities linked to repeated short-term occupation. Primary artifacts include debitage, projectiles, tools, beads, bone, shell,

and hearths. More recent artifacts include indigenous ceramics. The site record contains evidence
for a prolonged record of short-term continuous use for a period of 8,000 years (8,500-500 BP).
Of particular interest are large pits which may have been used to store food which suggests
longer occupations of up to a few months; even more interesting is evidence for material caching
which suggests intentional regular re-occupation (Ricklis et al., 2012c).

93 Faunal remains recovered from the site are abundant—74,000 identifiable fragments representing a minimum of 126 vertebrate taxa including fish (mostly gar), small mammals 94 (often rodents), some large mammals (e.g., deer), and rarely birds. The pattern of resource 95 exploitation evidenced by faunal analysis suggests that opportunistic hunting of larger game was 96 gradually replaced by increased emphasis on net-fishing (evidenced by a shift from larger to 97 smaller fish body sizes) and wider exploitation of other taxa; this may be attributable to 98 increased population demands over time (Ricklis et al., 2012c) or the previously noted climate 99 change that resulted in changes to the local environment and possible dietary shifts in response to 100 101 that change.

A total of 75 discrete burials containing 119 individuals were excavated. The majority of 102 burials were single interment but there were also graves containing multiple individuals. All but 103 104 one burial (dated to the Late Archaic) were interred on the Knoll Top. Of the remaining 74 burials, the vast majority (n=68) date to the Texas Early Archaic, including one extremely early 105 burial dated to 8,500 BP. The Texas Early Archaic burial dates tend to cluster between 7,400-106 6300 BP-the lack of non-mortuary activity at the site during the 7th millennium (roughly 7,000-107 6200 BP) suggests that the Knoll Top space was reserved exclusively for treatment of the dead 108 109 during this time (Ricklis et al., 2012b; Ricklis et al., 2012c). Texas Early Archaic burials are 110 associated with artifacts that form a unique mortuary assemblage that is closely related to Middle

Archaic period (i.e., ca. 8,000-5,000 BP) cultures in the Mississippi Valley region and beyond.
Thus, this assemblage reflects larger regional cultural associations. During this period, flexed or
semi-flexed burials were most common followed by a smaller number of disarticulated
individuals, and an even smaller number of individuals interred in sitting postures. The Late
Archaic period was characterized by extended burials (Ricklis et al., 2012b).

116

117 Photogrammetric Materials and Methods

Photographs were used for data collection because the Buckeye Knoll sample was reinterred. Reliability of LEH scoring is more robust in photogrammetric methods, with a significant increase in LEH number identified compared to direct examination method (Golkari et al., 2011). This method was successfully applied to a similar published study on another Early Archaic population, Windover (Berbesque and Doran, 2008).

Photographs were taken of the left maxillary and mandibular canines using the Nikon 990 123 Coolpix in macro mode. The diminished focal length presents some difficulty with depth or 124 125 focus on anything other than one plane. As teeth are often curved, every attempt was made to capture the labial surface of the tooth with most clarity. Multiple photographs were taken from 126 different angles to ensure defects were scorable. A metric scale was placed in the plane of the 127 tooth surface in each photograph. The photographs were taken in high quality TIFF file format. 128 Missing teeth or teeth too worn to score were excluded from analysis. In some cases, dental 129 calculus prevented an accurate measurement of crown height, and measurements were then taken 130 from the bottom of the calculus to the top of the crown. These measurements are primarily for 131 quality control in using an imaging software for analysis. 132

Permanent canines were chosen for data collection because they have a prolonged period of crown formation (7.5 months to 6.5 years for maxillary canines and 10.5 months to 5.5 years for mandibular canines) (AlQahtani et al., 2014) and can best capture the peak window of developmental stress caused by weaning (Sandberg et al., 2014). LEH was scored in Microsoft Paint. Once scored, the images were imported into Scion Image for analysis (a PC friendly software modeled after the National Institute of Health ImageJ, which is commonly used in morphometrics studies) (Scion, 2000–2001).

Developmental timing of each defect was determined using the estimate by Reid and Dean 140 (Reid and Dean, 2000), which necessitates estimation of complete, unworn crown height for 141 142 every tooth. An estimate of completeness for each canine was based on surrounding dentition and other canines within the population. The median percent complete for permanent dentition is 143 85% overall. Mandibular canines were 86% complete, and maxillary canines were 81% 144 complete. This visual estimate of complete canine height provided a wear estimate for each 145 canine. Because this population has significant dental wear, stage of development for each 146 defect was determined by measuring the distance from the cemento-enamel junction to the 147 bottom of each defect rather than from the tip of the cusp down to the defect. All statistical 148 analysis was conducted using SPSS version 22. None of the variables met the assumptions of a 149 150 normal distribution, so nonparametric statistics were used for all analyses.

To place Buckeye Knoll in context with similar populations, data from this study were compared to published data from populations dating to an average of 3000 years or older contained in the public *Global History of Human Health Database* (Steckel and Rose, 2002) (see Table 1). Buckeye Knoll was also compared with another Early Archaic population, Windover (8,120–6,980 14C years B.P. uncorrected), using the same methods deployed in this study

- 156 (Berbesque and Doran, 2008).
- 157 Insert Table 1.
- 158
- 159
- 160 Results

There were 41 deciduous canines in the sample and 92 permanent canines. The 161 162 permanent dentition consisted of 37 maxillary canines and 43 mandibular canines—12 could 163 not be identified as maxillary or mandibular. The permanent dentition had a hypoplasia frequency rate of 59% (n=54 canines with at least one hypoplastic defect) in the population. 164 There was an overall mean of 0.93 defects per permanent canine, with a median of one defect, 165 and a mode of zero defects. We did not analyse deciduous dentition for timing of defects. Out 166 of 41 deciduous canines in the population, only one defect was found. 167 Despite limited demographic information available for these mostly isolated dentition, 168 there were associated skeletal material for some individuals--allowing for a basic breakdown 169 by sex and age category (adults versus juvenile with permanent dentition). Juveniles with 170 permanent dentition had higher rates of multiple defects than the general population (see Table 171 2). Table 2 provides breakdown of the sample by presenting frequency and portion of the 172 overall sample by LEH count (range = 0 - 4) and demographic category. 173 Insert Table 2. 174

There were no significant differences between the maxilla and mandible in timing of earliest defect (Mann Whitney U = 228, earliest maxillary defect N = 20, earliest mandibular N = 27, p = .366) or number of defects (U = 640.5, maxillary defects N = 37, mandibular defects N =

43, p = .110). The mean age for the earliest defect per individual was 3.92 (range = 2.5 - 5.4). 178 Individuals with more LEHs also had earlier age of first insult (n = 54, rho = -0.381, p = 0.005). 179 The mean developmental age of all defects was 4.18 years old (range = 2.5 - 5.67). 180 A comparative analysis of individual LEH frequency in Buckeye Knoll and populations 181 in the Global History of Human Health Database (Steckel and Rose, 2002) found that Buckeye 182 Knoll frequencies were significantly higher with one or more LEH on their canine (see Table 3) 183 (Chi-Square = 58.425, df = 4, p = 0.000). 184 Insert Table 3. 185 186 LEH incidence in another Early Archaic population, Windover, was more than twice that of Buckeye Knoll (see Table 4) (Berbesque and Doran, 2008). LEH data collection methods for 187 both sites used the same photographic methods. 188 Insert Table 4. 189 190 **Discussion and Conclusions** 191 Juveniles with permanent dentition had the highest incidence of LEH. Also, greater 192 numbers of individual LEH were associated with earlier age at death, providing some evidence 193 for a mortality curve that would support the use of LEH as a stress indicator in this population 194 and indicating social factors that warrant further investigation. This finding provides some 195 evidence for the Barker Hypothesis; wherein individuals exposed to stressors earlier in life may 196 actually have damaged immunological competence as a consequence of those stressors 197 (Armelagos et al., 2009; Goodman and Armelagos, 1989). 198 The location of each defect gives insight into the timing of metabolic insult. Cusp enamel 199 completion occurs at 1.7 years for maxillary canines and 0.98 years for mandibular canines (Reid 200

and Dean, 2000). As the first period on the occlusal surface of the crown is often worn away by 201 attrition, much of the data on the second year of life is lost. Clustering of LEH around a location 202 on the tooth that corresponds to a particular age might indicate some stressful milestone event 203 whether culturally flexible (e.g. age of weaning) or not (e.g. birth). Weaning ages across hunter-204 gatherer societies vary considerably, with New World hunter-gatherers weaning earlier 205 206 (mean=2.32 years old) than Old World hunter-gatherers (mean = 3.20 years old) and a combined range of 1 to 4.5 (Marlowe, 2005). Age of the mean earliest defect for Buckeye Knoll is within 207 this range (mean = 3.92), but late for the mean age of weaning in ethnographically described 208 hunter-gatherers in the New World. Perhaps the developmental timing of most LEH defects has 209 less to do with extreme stress from weaning and more with the more with the acute angles 210 formed by the Striae of Retzius relative to the enamel surface to enamel formation. It has been 211 suggested that these acute angles make even small disruptions in enamel production are more 212 pronounced and visible in the intermediate and occlusal thirds of the tooth (Blakey et al., 1994; 213 Newell et al., 2006). 214

Of the limited samples of comparable antiquity (minimally over 3000 years old on 215 average) in the Global History of Human Health Database (Steckel and Rose, 2002; Steckel et 216 al., 2002), most populations demonstrated lower incidence of LEH compared to Buckeye Knoll 217 (59% with at least one defect). The comparative sample with the closest frequency of Buckeye 218 Knoll LEH was Tlatilco. Tlatilco was a sedentary population with evidence of domesticated 219 plants and animals. Sedentary populations and those using domesticated plants were found to 220 have higher incidence of various stress indicators, and agriculturalists are documented as having 221 higher LEH incidence than foragers (Larsen, 1995; Starling and Stock, 2007). 222

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It has been suggested that fishing populations might be at higher risk for LEH defects due 223 to parasite load (Bathurst, 2005). One example of this is found in Japan; prehistoric hunter-224 gatherer-fishers have surprisingly high rates of LEH but these are sedentary complex stratified 225 populations (Hoover and Matsumura, 2008; Temple, 2010). And, the higher incidence of defects 226 is widely documented across the island and throughout time; given the abundance of resources 227 228 and consistently high rates of LEH, a likelier explanation might be a genetic etiology (Hoover and Hudson, 2016; Hoover and Matsumura, 2008; Hoover and Williams, 2016). Coastal 229 populations share a host of traits that may contribute to LEH defects, such as sedentism and 230 reliance on domesticates. Although the Buckeye Knoll population likely relied at least partially 231 on coastal resources, there is no evidence of domesticated plants or animals or sedentism at 232 Buckeye Knoll. 233

The population most comparable to Buckeye Knoll is Windover. Windover has been 234 assessed for LEH defects using the same methods used in the GHHD as well as the 235 photogrammatic methods. Even when examining data on LEH defects using the unaided eye, 236 Windover had a very high number of individuals affected by LEH defects. In the GHHD, 100% 237 represents a population completely unaffected by LEH, and the GHHD score for LEH in 238 Windover was = 39.5% (Wentz et al., 2006). It is not clear why these two Early Archaic 239 240 populations both appear to have a surprisingly high incidence of LEH, but a possible ecological explanation for the high overall incidence of LEH defects in this population is the climate shift 241 during this time that may have caused physiological stress during periods of diminished 242 243 resources.

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245 Conclusions

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Buckeye Knoll had greater incidence of LEH than any other population in the Global 246 History of Health Database of comparable age. However, these data are taken by unaided visual 247 assessment only, and photogrammetric methods have been shown to result in identification of 248 greater numbers of LEH defects. However, Buckeye Knoll had fewer LEH defects compared 249 with data collected using the same photogrammetric methods from Windover, a population of 250 251 comparable antiquity. It is not clear whether the higher incidence of defects seen in these populations are entirely due to methodological differences in data collection, or whether an 252 environmental factor such as the climate change documented during the Early Archaic period 253 affected the health of coastal/riverine foragers such as the Windover and Buckeye Knoll 254 populations. 255

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Table 1(on next page)

Descriptive Information for Comparative Sites, including Domesticated Plants/Animals

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Site	n	Animals	Plants	Climate	Settlement	Site Date
Preceramico	60	None	None	Subtropical	Mobile	2000-4000
Tlatilco	80	Some	Maize, beans, squash	Temperate	Small / Medium Village	2930-3250
Realto	34	Some	None	Tropical	Settled Dispersed	3450-5876
Sta. Elena	39	None	None	Tropical	Mobile	6600-8250
Buckeye Knoll	92	None	None	Subtropical	Mobile	3500-8500

1 Table 1. Descriptive Information for Comparative Sites, including Domesticated Plants/Animals

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Table 2(on next page)

LEH Count and Frequency by Demographic Category, Buckeye Knoll

¹No sex identification ²Loose, not affiliate with any burial

	Total n	0 L	0 LEH		1 LEH		2 LEH		3 LEH		4 LEH	
		n	Freq	n	Freq	n	Freq	n	Freq	n	Freq	
Males	5	1	0.20	2	0.40	1	0.20	1	0.20	0	0.00	
Females	13	5	0.38	5	0.38	2	0.15	1	0.08	0	0.00	
Juveniles	6	0	0.00	1	0.17	0	0.00	3	0.50	2	0.33	
Adult ¹	9	7	0.78	1	0.11	1	0.11	0	0.00	0	0.00	
Canines ²	59	25	0.42	23	0.39	8	0.14	2	0.03	1	0.02	

1 Table 2. LEH Count and Frequency by Demographic Category, Buckeye Knoll

2 ¹No sex identification

3 ²Loose, not affiliate with any burial

4

Table 3(on next page)

LEH Count and Frequency, Comparative populations.

Site	Total n	0 LEH		1 LEH		2+LEH	
		Count	Freq	Count	Freq	Count	Freq
Preceramico	60	41	0.68	16	0.27	3	0.05
Tlatilco	80	41	0.51	32	0.40	7	0.09
Realto	34	31	0.91	3	0.09	0	0.00
Sta. Elena	39	38	0.97	1	0.03	0	0.00
Buckeye Knoll	92	38	0.41	32	0.35	22	0.24

1 Table 3. LEH Count and Frequency, Comparative Populations

2

3

Table 4(on next page)

LEH Descriptive Statistics, Buckeye Knoll and Windover

	Mand	ibular Canine	Maxillary Canine		
	Windover	Buckeye Knoll	Windover	Buckeye Knoll	
Ν	59	43	48	37	
Mean LEH	2.78	1.07	2	0.7	
Median LEH	3	1	2	1	
Mode LEH	3	0	2	0	
Range	1-6	1-4	1-4	1-4	
SD	1.34	1.06	0.99	0.85	

1 Table 4. LEH Descriptive Statistics, Buckeye Knoll and Windover

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