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**Increased resting hippocampal and basal ganglia perfusion  
in people at ultra high risk for psychosis: replication in a  
second cohort**

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4 **ultra high risk for psychosis: replication in a second cohort**  
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**ABSTRACT**

We recently reported that resting hippocampal, basal ganglia and midbrain perfusion is elevated in people at ultra-high risk (UHR) for psychosis. The present study sought to replicate our previous finding in an independent UHR cohort, and examined the relationship between resting perfusion in these regions, psychosis and depression symptoms, and traumatic experiences in childhood. Pseudo-Continuous Arterial Spin Labelling (p-CASL) imaging was used to measure resting cerebral blood flow (rCBF) in 77 UHR for psychosis individuals and 25 healthy volunteers in a case-control design. UHR participants were recruited from clinical early detection services at three sites in the South of England. Symptoms levels were assessed using the Comprehensive Assessment of At Risk Mental States (CAARMS), the Hamilton Depression Scale (HAM-D), and childhood trauma was assessed retrospectively using the Childhood Trauma Questionnaire (CTQ). Right hippocampal and basal ganglia rCBF was significantly increased in UHR subjects compared to controls, partially replicating our previous finding in an independent cohort. In UHR participants, positive symptoms were positively correlated with rCBF in the right pallidum. CTQ scores were positively correlated with rCBF values in the bilateral hippocampus and negatively associated with rCBF in the left prefrontal cortex. Elevated resting hippocampal and basal ganglia activity appears to be a consistent finding in individuals at high risk for psychosis, consistent with data from preclinical models of the disorder. The association with childhood trauma suggests that its influence on the risk of psychosis may be mediated through an effect on hippocampal function.

**Keywords:** Schizophrenia, Ultra high-risk, Cerebral blood flow, Childhood trauma

## INTRODUCTION

Alterations in hippocampal anatomy and function are among the most robust biological findings in schizophrenia<sup>1,2</sup>, and have also been reported in people at ultra high risk (UHR) of developing psychosis<sup>3-7</sup>. These observations are consistent with preclinical models, which posit a key role for the hippocampus in the development of psychosis. Such models also suggest that resting hippocampal activity is increased prior to illness onset and linked to elevated activity in regions involved in dopamine signalling in the striatum and midbrain<sup>8</sup>. Resting cerebral activity in these regions can be assessed in vivo by measuring resting cerebral blood flow (rCBF), which is closely correlated with the level of local neural function due to neuro-vascular coupling<sup>9,10</sup>, and can be measured using a Magnetic Resonance Imaging technique called pseudo-Continuous Arterial Spin Labelling (p-CASL). In a previous study using this approach, we found that subjects at UHR for psychosis exhibited increased rCBF in the bilateral hippocampus/subiculum, basal ganglia and midbrain, relative to controls<sup>11</sup>. These data, along with independent findings using a different method for measuring cerebral perfusion<sup>5</sup>, provided the first evidence that the increased resting activity evident in preclinical models of psychosis<sup>12</sup> was also evident in humans at high risk for psychosis.

However, initial findings in psychosis research have not always been replicated, and recently this has become a particular issue for neuroimaging studies because of concerns about image analysis methods<sup>13,14</sup>. The present study sought to address this issue by aiming to replicate our previous finding of elevated hippocampal, basal ganglia and midbrain rCBF in UHR individuals. We repeated the study using the same neuroimaging methods in a second, and completely independent sample of UHR subjects and healthy controls. We tested the hypothesis that the UHR group would

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2  
3 again show elevated hippocampal, basal ganglia and midbrain rCBF relative to the  
4  
5 controls. We then tested if elevated rCBF in these regions was associated with  
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7 psychotic symptoms. Because depressive symptoms are also prevalent in about 40%  
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9 of UHR subjects <sup>15</sup>, and major depressive disorder is associated with alterations in  
10  
11 hippocampal volume and function <sup>16, 17</sup>, we also tested if elevated rCBF in the  
12  
13 hippocampus was specific to psychosis, or was also associated with depressive  
14  
15 symptoms scores.  
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19 We then sought to examine the relationship between rCBF in hippocampal, basal  
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21 ganglia and midbrain regions and childhood trauma in UHR subjects. Childhood  
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23 adversity is an important risk factor for psychosis <sup>18 19-21</sup>, and for other psychiatric  
24  
25 disorders <sup>22</sup>. Exposure to environmental risk factors for psychosis may be especially  
26  
27 influential during developmentally sensitive periods such as childhood <sup>23</sup>. However,  
28  
29 the mechanisms through which environmental factors such as trauma in childhood  
30  
31 alter brain development and increase risk for psychosis in adulthood remains unclear.  
32  
33 One approach that can be used to address this issue is to examine the relationship  
34  
35 between neuroimaging findings in adults and a measure of the extent to which they  
36  
37 experienced trauma in childhood. A recent Positron Emission Tomography (PET)  
38  
39 study employing this approach found that adversity in childhood was linked to  
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41 elevated striatal dopamine function in adulthood <sup>24</sup>. However, whilst volumetric <sup>25, 26</sup>  
42  
43 and functional neuroimaging studies <sup>27</sup> in adults with a history of childhood trauma  
44  
45 report alterations in hippocampal and other regions, no studies have examined the  
46  
47 relationship between rCBF and childhood trauma in an UHR cohort. Experimental  
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49 studies in rodents have shown that peri-pubertal stress <sup>28</sup> can lead to alterations in  
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51 striatal and cortical development and function <sup>29, 30</sup>. Based on these rodent studies and  
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3 findings in human subjects, we predict that in UHR subjects, childhood trauma will be  
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5 associated with increased rCBF in hippocampal, basal ganglia and midbrain regions.  
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## 10 11 **METHODS**

### 12 13 14 *Participants and Assessment*

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17 The study had National Research Ethics Service (NRES) approval and all participants  
18 gave written informed consent to participate. One hundred and two participants (25  
19 healthy controls (CTRL) and 77 participants at UHR of psychosis) participated in the  
20 study. UHR subjects were recruited through clinical early detection services at three  
21 sites: OASIS (Outreach and Support in South London) <sup>31</sup>, part of the South London  
22 and Maudsley NHS Trust; the West London Early Intervention Service, part of the  
23 West London Mental Health NHS trust; and CAMEO, part of the Cambridge and  
24 Peterborough NHS trust. All of the neuroimaging data were acquired at the Centre for  
25 Neuroimaging Sciences, King's College London. Diagnosis of the UHR state was  
26 made according to PACE criteria, using information acquired from the  
27 Comprehensive Assessment of At Risk Mental States (CAARMS <sup>32</sup>). Briefly, this  
28 required that participants had one or more of the following: a) attenuated psychotic  
29 symptoms (APS) b) brief limited intermittent psychotic symptoms (BLIP: a history of  
30 one or more episodes of frank psychotic symptoms that resolved spontaneously within  
31 1 week in the past year) or c) a recent decline in function, together with either the  
32 presence of schizotypal personality disorder or a family history of psychosis in a first  
33 degree relative. All UHR participants met criteria for APS, 5 additionally met criteria  
34 for a BLIP and 2 for a recent decline in function/family history. Social and  
35 occupational functioning was measured using the GAF <sup>33</sup>  
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6 Eight of the UHR participants were being treated with low doses of antipsychotic  
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8 medications (Quetiapine n=4, Olanzapine n=2, Risperidone n=2) and 19 with  
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10 antidepressant medications (Mirtazapine = 3, Citalopram = 2, Sertraline = 9,  
11  
12 Fluoxetine = 3, Amitriptyline = 1, Venlafaxine = 1). Healthy controls were recruited  
13  
14 from the local community. Control participants with a history of psychiatric disorders  
15  
16 or who were receiving prescription medications were excluded. None of the control  
17  
18 subjects had a history of neurological illness, or met DSM-IV criteria for drug or  
19  
20 alcohol dependence. All participants (in both groups) had an estimated pre-morbid IQ  
21  
22 in the normal range (i.e. 80-110), as assessed using the National Adult Reading Scale  
23  
24 (NART)<sup>34</sup>. Depression was assessed using the Hamilton Depression Scale (HAM-D)  
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28<sup>35</sup>. Hamilton Anxiety (HAM-A)<sup>35</sup> scores were also obtained for use as a covariate in  
29  
30 statistical models (see below). Subjects were asked to provide information on tobacco  
31  
32 (number of cigarettes per day) and cannabis use (0 = no use, 1 = experimental use, 2=  
33  
34 occasional use, 3 = moderate use, 4 = heavy use). Subjects who met DSM-IV criteria  
35  
36 for a substance use disorder were excluded. Childhood trauma was assessed using the  
37  
38 Childhood Trauma Questionnaire (CTQ)<sup>36</sup>. This widely used instrument provides a  
39  
40 retrospective measure of physical, emotional and sexual abuse that occurred before  
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42 the age of 17 years. CTQ data were available in 38 UHR participants but not in  
43  
44 CTRL.  
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#### 51 *p-CASL protocol and Image preprocessing*

52  
53 Arterial spin labelling allows the quantification of resting cerebral blood flow (rCBF)  
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55 measures in units of ml/100g of tissue/per second. To optimise the sensitivity to  
56  
57 regional tissue perfusion and neural activity, p-CASL images were acquired after a  
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3 long (1.5s) post-labelling delay, to ensure that the data reflected perfusion at the level  
4 of capillary micro-circulation, which is most closely associated with neural function <sup>9</sup>.  
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7 p-CASL acquisition parameters and p-CASL image pre-processing procedures are  
8 detailed in the Supplementary Information document and elsewhere <sup>11</sup>.  
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### 11 12 *Statistical analysis*

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15 Analyses of demographic and global rCBF data were performed in SPSS version 22  
16 using appropriate parametric and non-parametric tests. Statistical analyses of regional  
17 rCBF data were performed using Statistical Parametric Mapping Version 8  
18 (<http://www.fil.ion.ucl.ac.uk/spm/software/spm8>). We tested for significant group  
19 effects in rCBF quantities in CTRL and UHR using a region of interest (ROI)  
20 approach. ROIs were specified using coordinates from our previous rCBF study in a  
21 completely independent sample of UHR and CTRL subject (based on the contrast  
22 UHR > CTRLS <sup>11</sup>) (MNI coordinate system). ROIs were specified in the bilateral  
23 hippocampus/subiculum region (right ROI x, y, z = 20, -28, -8 and left ROI x, y, z = -  
24 22, -28, -8), the bilateral basal ganglia (right pallidum/putamen ROI x, y, z = 22, -12,  
25 -4, and the left pallidum/putamen ROI x, y, z = -18, -8, -4), and the left midbrain (ROI  
26 x, y, z = -10, -32, -18). Spheres (6mm) were then constructed to form a mask  
27 containing all ROIs. Statistical inferences were made at  $p < 0.05$  with Family Wise  
28 Error (FEW) correction for multiple comparisons at the voxel-level after applying  
29 small volume correction (SVC). Regional (ROI) group effects were tested using  
30 independent t-tests in SPM-8 including nuisance covariates (see below). Mean global  
31 rCBF was extracted from each individual subject to assess global effects and an  
32 independent t-test was performed in SPSS. rCBF values (ml/100g/min) x10) were  
33 extracted from peak activations for use in the plots shown in figures 1 and 2 (for  
34 illustrative purposes and to check for outliers). As antipsychotic (AP) medication is  
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3 known to affect rCBF <sup>37</sup>, additional analyses were conducted after UHR subjects  
4 receiving AP medication (n=8) had been excluded. To ensure group tests were  
5 conducted in the same way as our previous study <sup>11</sup> the following covariates were  
6 included in statistical models: age, gender, global rCBF, anxiety (HAM-A scores) and  
7 cigarettes per day.  
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18 To establish the effect of symptoms and childhood trauma scores on regional rCBF  
19 values, we used CAARMS positive symptom, HAM-D and CTQ scores (available in  
20 38 UHR subjects) as regressors in separate statistical models. Cigarettes per day and  
21 cannabis use were included as covariates of no interest in the regression model as  
22 both have been reported to affect rCBF <sup>38, 39</sup>. Statistical inferences were made at  
23  $p < 0.05$  with FWE correction for multiple comparisons at the voxel-level after  
24 applying SVC. For completeness an exploratory whole brain analysis was also  
25 conducted to assess wider effects of symptoms and childhood trauma on rCBF.  
26 Significant results are reported at a FWE cluster level ( $p < .05$ ) using a cluster  
27 detection threshold of  $p < .001$  <sup>14, 40</sup> to reduce likelihood of false positive results.  
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## 44 RESULTS

### 45 Demographic, clinical and medication data

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48 These data are summarised in Table 1. CTRL and UHR participants did not differ  
49 significantly in terms of age, gender, handedness, premorbid IQ or cigarettes smoked  
50 per day. However UHR participants were less educated and used more cannabis, and  
51 as would be expected, UHR participants had higher levels of anxiety and depression.  
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3 All of the UHR participants met the Attenuated Psychotic Symptoms criteria for  
4 inclusion in the study. A minority also met criteria for BLIPS (n=5) or the  
5 schizotypy/familial risk criterion (n=2). The mean CTQ score for UHR participants  
6 was 56 meaning that as a group these UHR participants reported moderate to severe  
7 levels of childhood trauma <sup>36</sup>.  
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18 TABLE 1 HERE  
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### 23 Global rCBF

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26 Mean global rCBF (grey and white matter) did not differ significantly between the two  
27 groups (35.6 (s.d = 8.1) vs. 36.1 (s.d = 6.61) ml/100g/min respectively) ( $t_{(101)} = 0.63$ ,  
28  $p = .94$ ).  
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34 FIGURE 1 HERE  
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### 40 Regions of Interest

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43 *Hippocampal/subiculum rCBF*: Relative to the CTRL group, UHR participants  
44 showed increased rCBF in the right hippocampal ROI (hippocampal body extending  
45 to the subiculum/parahippocampal gyrus (x, y, z = 24, -24, -6; Z = 2.99; K<sub>E</sub> = 42;  
46  $p_{\text{FWE}} = 0.021$ ; cohen's d = .62)) (Figure 1A). The group effect in the left hippocampal  
47 ROI (x, y, z = -30, -32, -4; Z = 2.14; K<sub>E</sub> = 15;  $p_{\text{FWE}} = 0.15$ ; cohen's d = .40) was non-  
48 significant. There were no hippocampal regions in which the UHR group showed  
49 reduced rCBF relative to the CTRL group. When the 8 UHR using antipsychotic  
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3 medication were removed from the model the result in the right hippocampal ROI  
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5 remained significant ( $x, y, z = 24, -24, -6$ ;  $Z = 3.00$ ;  $K_E = 38$ ;  $p_{FWE} = 0.024$ ; **cohen's d**  
6  
7 **= .63**).  
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11 *Basal Ganglia rCBF*: Relative to the CTRL group, UHR participants showed  
12 increased rCBF in the right basal ganglia ROI (in the pallidum/putamen ( $x, y, z = 22,$   
13  $-8, -2$ ;  $Z = 2.85$ ;  $K_E = 16$ ;  $p_{FWE} = 0.03$ ; cohen's  $d = .65$ )) (Figure 1B). The group effect  
14  
15 in the left basal ganglia ROI ( $x, y, z = -22, -12, -6$ ;  $Z = 1.69$ ;  $K_E = 4$ ;  $p_{FWE} = 0.25$ ;  
16  
17 cohen's  $d = .30$ ) was non-significant. There were no basal ganglia regions in which  
18  
19 the UHR group showed reduced rCBF relative to the CTRL group. When the 8 UHR  
20  
21 using antipsychotic medication were removed from the model the result in the right  
22  
23 basal ganglia ROI remained significant ( $x, y, z = 22, -8, -2$ ;  $Z = 2.98$ ;  $K_E = 38$ ;  $p_{FWE} =$   
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25  $0.021$ ; **cohen's d = .68**).  
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34 *Midbrain ROI rCBF*: There were no suprathreshold group effects within the midbrain  
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36 ROI.  
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FIGURE 2 HERE

*rCBF associations with symptoms and childhood trauma*

CAARMS Positive symptoms: There was no association between CAARMS positive  
symptom scores and rCBF in the bilateral hippocampal or midbrain ROIs. There was  
a significant positive correlation between CAARMS positive scores in the right basal

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3 ganglia ROI (globus pallidus/putamen (x, y, z = 28, -12, -4; Z=3.32;  $K_E = 29$ ;  $p_{FWE} =$   
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5 .008) (Figure 2 A and D). Exploratory whole brain analysis was non-significant.  
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8 Depressive symptoms (HAM-D): There were no significant associations between  
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10 HAM-D scores and rCBF in any ROI. Exploratory whole brain analysis was also  
11  
12 non-significant.  
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15 Childhood Trauma and rCBF: ROI analysis revealed a positive association between  
16  
17 CTQ scores and rCBF in right hippocampus/subiculum (right: x, y, z = 24, -30, -12;  
18  
19 Z = 3.82;  $K_E = 59$ ;  $p_{FWE} = 0.034$ ) and the left parahippocampal gyrus extending to the  
20  
21 thalamus (left: x, y, z = -18, -28, -4; Z = 3.00;  $K_E = 60$ ;  $p_{FWE} = 0.021$  ) (Figure 2 B  
22  
23 and D). The association between CTQ scores and rCBF in basal ganglia and midbrain  
24  
25 ROIs was non-significant. Whole brain analysis revealed that CTQ scores were  
26  
27 negatively associated with rCBF in a large cluster spanning the left inferior frontal  
28  
29 gyrus (x, y, z = -58, 18, 22; Z = 4.42;  $K_E = 308$ ;  $p_{FWE} < 0.01$ ) and superior/medial  
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31 prefrontal cortex (x, y, z = -4, 6, 70; Z = 4.33;  $K_E = 209$  ;  $p_{FWE} < .001$ ).  
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FIGURE 3 HERE

## DISCUSSION

The first aim of the present study was to replicate our previous finding of elevated hippocampal, basal ganglia and midbrain rCBF<sup>11</sup> in a larger, independent cohort of UHR individuals. We were unable to replicate our previous finding of elevated rCBF in the midbrain. Furthermore, elevated hippocampal and basal ganglia rCBF were not seen bilaterally, but were instead restricted to the right hemisphere. It is unclear why elevated midbrain and left hippocampal/basal ganglia rCBF were not observed in this

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3 second cohort. Both cohorts presented with similar levels of UHR symptoms  
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5 although the current group of UHR subjects were better matched to their control  
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7 group in terms of IQ and cigarette smoking. However, elevated rCBF in the right  
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9 hippocampus/subiculum and basal ganglia does appear to be a robust finding in UHR  
10  
11 subjects (effect sizes in these regions were similar to those seen in our previous study  
12  
13 i.e. in the small to medium range). This finding remained significant after excluding  
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15 the minority of UHR participants taking antipsychotic medication, and was not  
16  
17 attributable to a difference in global rCBF levels, which were not significantly  
18  
19 different between groups. Elevated hippocampal rCBF is also consistent with  
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21 evidence from studies using other MRI techniques reporting that UHR subjects show  
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23 increased resting hippocampal perfusion<sup>5, 41</sup>, reductions in hippocampal grey matter  
24  
25 volume<sup>3</sup> and activation during cognitive tasks<sup>7, 6</sup>. Findings are also in line with data  
26  
27 from preclinical models of psychosis that indicate that hippocampal neuronal activity  
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29 is increased, leading to altered activity in striatal/basal ganglia regions involved in  
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31 dopamine regulation<sup>8</sup>. Consistent with our previous study however, elevated  
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33 hippocampal rCBF was not associated with levels of attenuated positive symptoms.  
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35 Neither, in this second cohort, were hippocampal rCBF levels associated with  
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37 depressive symptoms. Interestingly, rCBF levels in the right pallidum *were* associated  
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39 with attenuated positive symptoms. The pallidum is part of the basal ganglia and a  
40  
41 network of subcortical regions involved in the regulation of striatal dopamine function  
42  
43<sup>8</sup>, which, has been shown to be aberrant in UHR subjects<sup>42, 43</sup>.

44  
45 We also aimed to investigate the relationship between rCBF levels and childhood  
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47 trauma in UHR subjects. We found that CTQ scores in our UHR subjects were in the  
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49 moderate to severe range<sup>36</sup>, consistent with previous reports of increased levels of  
50  
51 childhood trauma in UHR cohorts<sup>24 20 19</sup>, and the well-established link between  
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3 childhood adversity and psychotic disorders in adulthood<sup>18</sup>. Within our UHR  
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5 sample, CTQ scores were positively correlated with rCBF levels in the bilateral  
6  
7 hippocampus extending to the thalamus and parahippocampal gyrus (left ROI). Whole  
8  
9 brain analysis showed that CTQ scores were also negatively associated with rCBF in  
10  
11 the left inferior and superior frontal gyrus. Previous neuroimaging studies in UHR  
12  
13 subjects have reported alterations in rCBF<sup>11, 5</sup>, activation<sup>6, 7, 44</sup> and volume<sup>3, 4</sup> in  
14  
15 hippocampal and prefrontal regions. However, surprisingly few studies have  
16  
17 examined the relationship between neuroimaging measures in UHR subjects and a  
18  
19 history of childhood trauma. The only previous study of this kind in subjects at UHR  
20  
21 for psychosis reported that childhood adversity was linked to increased striatal  
22  
23 dopamine synthesis capacity in adulthood, although this effect was evident across  
24  
25 both UHR subjects and controls<sup>24</sup>. In patients with psychosis, one study described an  
26  
27 association between childhood trauma and reduced prefrontal volume<sup>45</sup>, but another  
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29 failed to find an association between childhood trauma and hippocampal volume<sup>46</sup>.  
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31 However the sample sizes in the studies to date have been relatively small;  
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33 investigations involving larger samples are needed, particularly given the  
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35 heterogeneity of the UHR category<sup>47</sup>.

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41 A recent meta analysis of volumetric imaging studies across psychiatric diagnoses  
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43 found a robust relationship between a history of childhood trauma and reduced  
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45 hippocampal and dorsolateral prefrontal volumes in adulthood<sup>25</sup>. It is possible that  
46  
47 alterations in volume and function in hippocampal and prefrontal regions, due to  
48  
49 childhood trauma, underlie vulnerability to a range of psychiatric disorders. Indeed,  
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51 within UHR cohorts there are high levels of comorbidity, particularly with depression  
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15. A previous perfusion study reported altered prefrontal and hippocampal rCBF in

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3 patients with depression <sup>53</sup>. However, in the present study, we did not observe an  
4  
5 association between rCBF levels and depressive symptoms.  
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8 Interestingly, the results of the present study show that elevated hippocampal rCBF,  
9  
10 whilst associated with childhood trauma, was not directly related to levels of  
11  
12 attenuated psychotic symptoms. It seems reasonable to speculate that elevated  
13  
14 hippocampal rCBF in UHR subjects may be associated with a general psychiatric  
15  
16 vulnerability. Accordingly, it is well established that the majority of UHR subjects do  
17  
18 not go on to develop a psychotic disorder <sup>48</sup> and a significant proportion have  
19  
20 additional clinical needs <sup>49</sup>.  
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24 Mechanistically, interactions between the prefrontal cortex, hippocampus (and  
25  
26 amygdala) are thought to be critical for normal emotional and stress regulation <sup>50</sup>, and  
27  
28 these regions have well-established roles in cognitive and mnemonic processing,  
29  
30 which are known to be impaired across a range of psychiatric diagnoses.  
31  
32 Hippocampal and prefrontal regions seem to be particularly susceptible to effects of  
33  
34 environmental stressors, particularly in early life <sup>25</sup>. Adverse environmental  
35  
36 experiences can lead to stress sensitisation and increased stress responsivity, which is  
37  
38 thought to reflect disruption of hippocampal-prefrontal interactions <sup>51</sup>.  
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#### 43 *Limitations*

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46 Although our sample was a good size, UHR and CTRL participants were not matched  
47  
48 for education levels, cannabis use or anxiety levels. Whilst, this is not uncommon in  
49  
50 case control studies comparing psychosis or psychosis risk populations to healthy  
51  
52 controls we accounted for these group differences by including these factors in our  
53  
54 analyses. Because CTQ data were not available from our healthy control participants,  
55  
56 we could not assess whether the relationship between childhood trauma and  
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3 hippocampal rCBF that we identified is specific to UHR subjects. The relationship  
4  
5 between childhood trauma and rCBF in healthy populations has not been examined  
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7 before, but a recent meta-analysis found that childhood adversity was associated with  
8  
9 reduced hippocampal volume in non-clinical and general population samples <sup>26</sup>.  
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11 Further, CTQ scores were not available for all of the subjects in the UHR sample, and  
12  
13 this may have limited our power to detect significant associations between childhood  
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15 trauma and rCBF in other brain regions. Some participants were unwilling to  
16  
17 complete a questionnaire on this sensitive topic, while others were unable to provide  
18  
19 accurate or complete information, thus reducing the number of participants in which  
20  
21 CTQ data were available. It is also worth noting that a recent study reported that  
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23 young adults that retrospectively recalled having been being maltreated (i.e. using the  
24  
25 CTQ) had a particularly elevated risk for psychopathology. However, when  
26  
27 prospective informant-reports from caregivers and clinicians are used instead, the  
28  
29 relationship between childhood trauma and later psychiatric problems appears to be  
30  
31 less robust <sup>54</sup>. Nevertheless, the number of subjects in whom these data were available  
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33 was comparable to that in previous studies of this type <sup>24, 25</sup>. Although most of our  
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35 UHR subjects were medication-naïve, a minority (8 of 77) had been treated with low  
36  
37 doses of antipsychotic drugs which could have altered both the severity of psychotic  
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39 symptoms and rCBF <sup>37</sup>. However, the main findings remained significant after  
40  
41 exclusion of these subjects. UHR subjects typically go on to have diverse clinical  
42  
43 outcomes, with some developing psychotic or other Axis-I disorders, others having  
44  
45 persistent attenuated symptoms, and some improving such that they no longer meet  
46  
47 the inclusion criteria for the UHR state <sup>52</sup>. The UHR sample we studied remains to be  
48  
49 followed up, at which point it will be possible to examine the relationship between  
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51 baseline rCBF and these different outcomes.  
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6 *Conclusions*  
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9 Elevated resting activity in the right hippocampus and pallidum appears to be a  
10 consistent finding in people at UHR for psychosis. Increased rCBF in the  
11 hippocampus may be related to the severity of traumatic experiences in childhood.  
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24 clinical teams.  
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	UHR mean (n=77)	UHR sd	CTRL Mean (n=25)	CTRL sd	Statistics	p
Age (yrs)	22.6	3.64	23.9	2.85	t = 1.77	.09
NART IQ (estimated)	102.15	14.89	102.83	13.33	t = .20	.84
Years of Education	14.59	2.22	15.84	3.56	t = 2.13	.04
Cigarettes per day	6.28	8.18	3.72	5.50	t = -1.46	.14
Cannabis use (Median) <sup>a</sup>	2	--	1	--	Z = -1.91	.05
GAF	59.8	9.23	92.68	5.02	t = 15.24	<.001
Symptoms	58.61	11.70	92.40	5.11	t = 14.98	<.001
Disability	61.66	12.43	92.60	4.97	t = 14.93	<.001
CAARMS Total	42.17	21.96	--	--		
CAARMS Pos	10.08	4.32	--	--		
CAARMS Neg	4.97	4.11	--	--		
HAM-A	18.34	9.54	3.04	3.83	t = -7.79	<.001
HAM-D	16.88	10.35	1.33	2.93	t = - 6.73	<.001
CTQ <sup>b</sup>	56.00	8.10	--	--		
	N	%	N	%	Statistics	p
Past or Present MDD/Anxiety Disorder	24	31				
Antipsychotic Medication	8	10.3%	--	--		
Antidepressant Medication	19	24.6%				
Gender (Male)	44	57	13	52	0.66	0.72

<b>Handedness (Right)</b>	63	81	23	92	5.09	0.08
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**Table 1:** Participant characteristics for UHR and CTRL groups. sd = standard deviation, NART = National Adult Reading Test, GAF = Global Assessment of Function, CAARMS = Comprehensive Assessment of At Risk Mental State. HAM-A = Hamilton Anxiety scale, HAM-D = Hamilton Depression Scale, MDD + Major Depressive disorder. <sup>a</sup> = data missing in 5 cases, <sup>b</sup> = data available in 38 UHR.



## FIGURE LEGEND

**FIGURE 1** **A)** Coronal sections through the medial temporal lobe showing elevated *rCBF* in UHR relative to CTRL subjects ( $p_{FWE} = .021$ ) and scatter plot showing *rCBF* levels in each case. **B)** Coronal sections through basal ganglia regions showing elevated *rCBF* in UHR relative to CTRL subjects ( $p_{FWE} = .03$ ) and scatter plot showing *rCBF* levels in each case. *rCBF* levels are quantified in  $(ml/100g/sec) \times 10$ .

**FIGURE 2** **A)** Coronal sections and scatter plot, basal ganglia regions where *rCBF* is significantly correlated with CAARMS positive symptom scores ( $p_{FWE} = .008$ ). **B)** Coronal section and scatter plot, medial temporal lobe regions where *rCBF* is positively correlated with CTQ scores ( $p_{FWE} = .024$  (left) and  $.031$  (right)).

**FIGURE 3.** Render and scatter plot, left prefrontal regions where *rCBF* is negatively correlated with CTQ scores (whole brain analysis) ( $p_{FWE} < .001$ )

**SUPPLEMENTARY MATERIAL**

**TITLE: Increased resting hippocampal and basal ganglia perfusion in people at ultra high risk for psychosis: replication in a second cohort**

**RUNNING TITLE: Increased perfusion in adults at risk of psychosis**

**AUTHORS: Paul Allen,<sup>1 2</sup> Matilda Azis,<sup>2</sup> Gemma Modinos,<sup>2</sup>, Matthijs G. Bossong,<sup>2 3</sup> Ilaria Bonoldi,<sup>2</sup> Carly Samson,<sup>2</sup> Beverly Quinn,<sup>4</sup> Matthew J. Kempton,<sup>2</sup> Oliver D. Howes,<sup>2</sup> James M. Stone,<sup>2 5</sup> Maria Calem,<sup>2</sup> Jesus Perez,<sup>4</sup> Matthew R. Broome,<sup>6 7 8</sup> Anthony A. Grace,<sup>9</sup> Fernando Zelaya,<sup>5</sup> Philip McGuire,<sup>2</sup>**

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## 26 **METHODS**

### 27 *Neuroimaging protocol*

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30 Subjects were scanned with their eyes open using a General Electric Signa HDX 3.0T  
31 scanner, fitted with a receive only 8-channel phased array head coil at the Department  
32 of Neuroimaging, Institute of Psychiatry, Psychology and Neuroscience. For image  
33 registration both a high resolution T2-weighted Fast Spin Echo (FSE) image  
34 (0.468x0.468x4mm, TE=54.58ms, TR=4380ms, Flip angle 90deg, FoV=240) and a  
35 high-resolution T1-weighted Spoiled Gradient Recalled (SPGR) image  
36 (1.1x1.1x1.1mm, TE=2.848, TR=7.144ms, Flip angle=20deg, FoV=280) were  
37 acquired.  
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50 Resting Cerebral Blood Flow (rCBF) was measured using Continuous Arterial Spin  
51 Labelling (CASL) scans acquired with a 3D Fast Spin Echo (FSE) spiral multi-shot  
52 readout, following a post-labelling delay of 1.5s. This delay has been appropriate for  
53 investigations in participants of a similar age range as the ones included in this study.  
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3 The spiral acquisition used a short (4ms) TE, and 8 spiral-arms (interleaves) with 512  
4 points in each arm. (FSE TE 32ms/TR = 5500ms; ETL = 64). Images were  
5 reconstructed to a  $256^2$  matrix, giving a final spatial resolution of 1x1 mm in plane.  
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7 60 slices of 3mm thickness were obtained. Three pairs of tagged-untagged images  
8 were collected. Background suppression included selective saturation of the image  
9 slab at 4.3s before acquisition, selective inversion 3s before acquisition and non-  
10 selective inversions at 1.5s, 764ms, 334ms and 84ms before imaging. This repeated  
11 inversion achieved successful suppression of the background static tissue signal,  
12 maximizing the sensitivity to blood perfusion.  
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25 Calibration images were collected with the same imaging sequence but with inversion  
26 recovery preparation instead of CASL. One sequence with saturation of 4.3s and then  
27 an inversion at 1650 ms before imaging was used to create a fluid suppressed image.  
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29 A second sequence with saturation at 4.3s and then inversion at both 2408ms and  
30 511ms was also acquired to create a fluid and white matter suppressed image. For  
31 both these sequences, the receiver gain was automatically lowered by 21 dB relative  
32 to the ASL sequence to avoid receiver saturation. These images were used to quantify  
33 blood flow in physiological units (ml blood/100gm tissue/min).  
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45 The sensitivity of the image to water was calibrated at each voxel <sup>1-3</sup>. When multi-  
46 channel coils are employed, the spatially non-uniform sensitivity complicates this  
47 calibration. Often the underlying tissue signal is used as an indicator of water  
48 sensitivity, but a water density in each voxel, or partition coefficient, must then be  
49 assumed. We observed that the signal intensity in the inversion-prepared fluid-  
50 suppressed image was relatively constant for different tissues. This is likely because  
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3 more complete recovery occurs for shorter T1 tissues, which tend to have lower water  
4 density. Using a neighborhood maximum algorithm to avoid regions with partial  
5 volume of suppressed fluid, a low-resolution sensitivity map was created. This map  
6 was calibrated for water sensitivity by assuming the tissue was white matter with a  
7 water concentration of 0.735 gm/ml<sup>4</sup> and a T1 of 900ms, and using the equations for  
8 inversion recovery signal attenuation. By assuming gray matter with a water  
9 concentration of 0.88 gm/ml and a T1 of 1150 there was only a 5% calibration  
10 difference. This calibration produced a sensitivity map, C, equal to the fully relaxed  
11 MRI signal intensity produced by 1gm of water per ml of brain tissue.  
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25 With this co-registered sensitivity map C, we calculated cerebral blood flow (CBF)  
26 using the equation:  
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32 Where  $\rho_b$  is 1.05g/ml (the density of brain tissue;<sup>4</sup>,  $\alpha$  is the labeling efficiency  
33 (assumed to be 95% for labeling times 75% for background suppression;<sup>5</sup>,  $w$  is 1.5s  
34 (the post-labeling delay;<sup>2</sup>,  $tl$  is 500ms (the labeling duration),  $T1_a$  is 1.4 ms,  $\omega_a$  0.85  
35 g/ml (the density of water in blood;<sup>4</sup>,  $S_l$  and  $S_c$  are the signal intensities in the labeled  
36 and control images, respectively).  
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$$44 \quad CBF = \frac{\rho_b(S_c - S_l)}{2\alpha C \omega_a T1_a \exp\left(-\frac{w}{T1_a}\right) \left(1 - \exp\left(-\frac{tl}{T1_a}\right)\right)}$$

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49 The whole ASL pulse sequence, including the acquisition of calibration images, was  
50 performed in 6:08min.  
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55 *Image preprocessing*  
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3 p-CASL images were processed using FMRIB Software Library (FSL) software  
4 applications (<http://www.fmrib.ox.ac.uk/fsl>)<sup>6</sup>. For each participant, one Spoiled  
5 Gradient Recalled (SPGR) scan was used in the preprocessing steps in addition to the  
6 T2 images acquired at the time of both CASL images (baseline and follow-up), which  
7 ensured that the normalization parameters applied to each scan were identical for each  
8 individual. A multi-step approach was performed as follows:  
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- 16 (i) Extra-cerebral signal from the T2 scan was removed using the “Brain  
17 Extraction Tool” (BET) of FSL<sup>7</sup>. The skull stripped T2 volume and its  
18 corresponding binary mask were then coregistered to the rCBF map.  
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- 23 (ii) The coregistered binary mask was multiplied by the rCBF map to remove  
24 extra-cerebral signal from this scan. The skull stripped T2 and rCBF maps  
25 were then coregistered back to the space of the original T2 scan (returned  
26 to their original frame of reference).  
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- 32 (iii) The T2 scan was subsequently coregistered to each subjects structural  
33 (SPGR) scan, with the coregistration parameters applied to the  
34 corresponding rCBF maps and brain extracted T2 scans.  
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- 38 (iv) The SPGR was normalized to MNI space using a non-linear approach using  
39 FNIRT<sup>8</sup> (FMRIB Non-linear Image Registration Tool) and the  
40 transformation matrix was applied to the rCBF map and the T2 scans.  
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- 45 (v) All data were then smoothed using a 6 mm Gaussian Smoothing kernel.  
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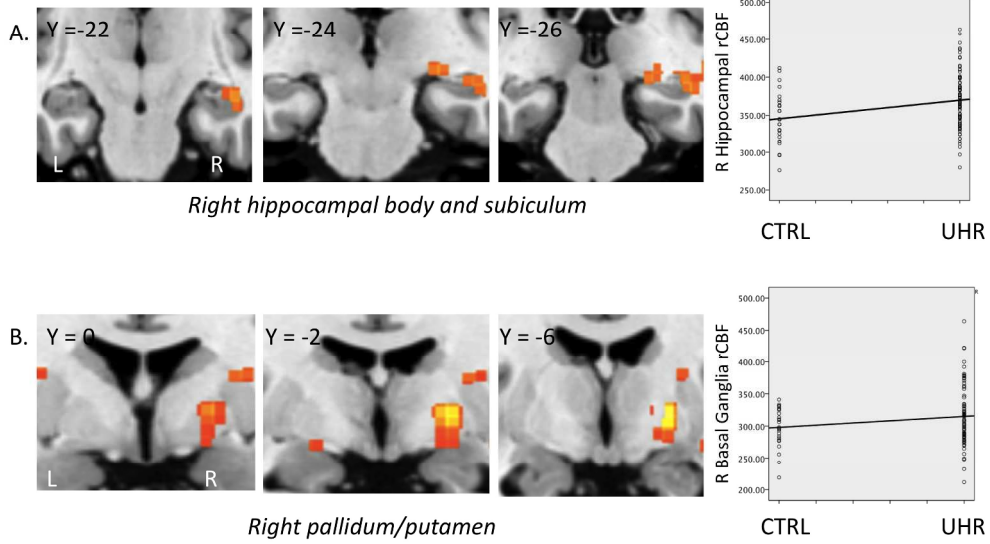
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**rCBF UHR > CTRL**

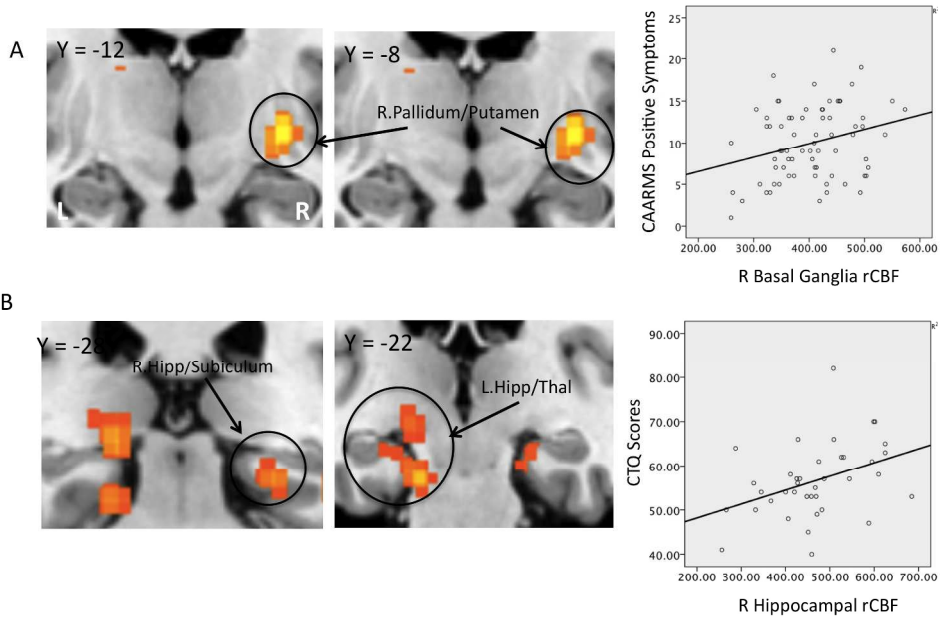


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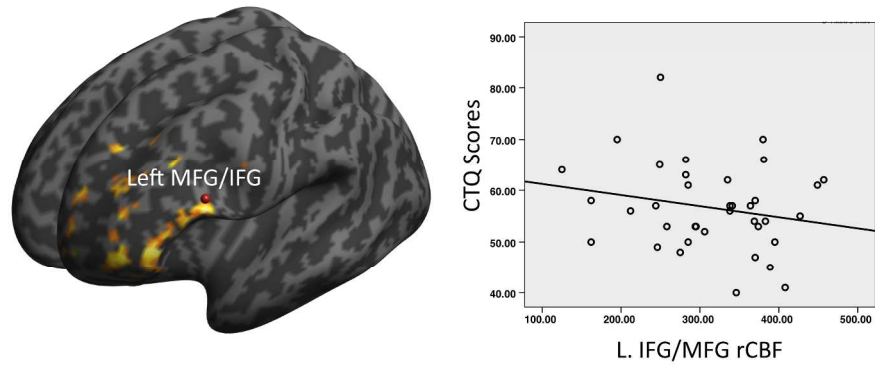
### rCBF Regression



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### rCBF Whole Brain Regression



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