

Relationship between foramen magnum position and locomotion in extant and extinct hominoids

Dimitri Neaux¹, Thibaut Bienvenu^{2,3}, Franck Guy², Guillaume Daver², Gabriele Sansalone^{1,4,5}, Justin A. Ledogar¹, Todd C. Rae⁶, Stephen Wroe¹, and Michel Brunet^{2,3}

¹Function, Evolution & Anatomy Research lab, School of Environmental and Rural Science, University of New England, Bldg CO2, Armidale, NSW 2351, Australia

²Institut de Paléoprimatologie et Paléontologie Humaine: Evolution & Paléoenvironnements - UMR CNRS 7262, Université de Poitiers, Poitiers, Bât B35, 6 rue Michel Brunet, 86073, France

³Collège de France, Chaire de Paléontologie Humaine, 3 rue d'Ulm, 75231 Paris, France

⁴ Department of Sciences, Roma Tre University, Largo San Leonardo Murialdo 1, I-00146 Rome, Italy

⁵Center for Evolutionary Ecology, Largo San Leonardo Murialdo 1, I-00146 Rome, Italy

⁶ Centre for Research in Evolutionary, Social and Inter-Disciplinary Anthropology, University of Roehampton, Holybourne Avenue, London, SW15 4JD, United Kingdom

Dimitri Neaux: dimitrineaux@gmail.com

Thibaut Bienvenu: thibaultbienvenu@hotmail.com

Franck Guy: franck.guy@univ-poitiers.fr

Guillaume Daver: guillaume.daver@univ-poitiers.fr

Gabriele Sansalone: gabriele.sansalone@uniroma3.it

Justin A. Ledogar: jledogar@gmail.com

Todd C. Rae: t.rae@roehampton.ac.uk

Stephen Wroe: swroe@une.edu.au

Michel Brunet: michel.brunet@college-de-france.fr

Corresponding author: Dimitri Neaux

dimitrineaux@gmail.com

Function, Evolution & Anatomy Research lab, School of Environmental and Rural Science,
University of New England, Bldg CO2, Armidale, NSW 2351, Australia

Abstract

From the Miocene *Sahelanthropus tchadensis* to Pleistocene *Homo sapiens*, hominins are characterized by a derived, foramen magnum that is anteriorly positioned relative to basicranial structures. It has been previously suggested that the anterior position of the foramen magnum in hominins is related to bipedal locomotor behavior. Yet, the functional relationship between foramen magnum position and bipedal locomotion remains unclear. Recent studies, using ratios based on cranial linear measurements, have found a link between the anterior position of the foramen magnum and bipedalism in several mammalian clades: marsupials, rodents, and primates. In the present study, we compute these ratios in a sample including a more comprehensive data set of extant hominoids and fossil hominins. First, we verify if the values of ratios can distinguish extant humans from apes. Then, we test whether extinct hominins can be distinguished from non-bipedal extant hominoids. Finally, we assess if the studied ratios are effective predictors of bipedal behavior by testing if they mainly relate to variation in foramen magnum position rather than changes in other cranial structures. Our results confirm that the ratios discriminate between extant bipeds and non-bipeds. However, the only ratio clearly discriminating between fossil hominins and other extant apes is that which only includes basicranial structures. We show that a large proportion of the interspecific variation in the other ratios relate to changes in facial, rather than basicranial structures. In this context, we advocate the use of measurements based on basicranial structures only when assessing the relationship between foramen magnum position and bipedalism in future studies.

Keywords: basicranium, bipedalism, hominin, masticatory apparatus

1 **Introduction**

2

3 When compared to other hominoids, extant and extinct hominins are characterized by a derived,
4 anteriorly positioned foramen magnum, highlighting a reorganization of the surrounding
5 basicranial structures (Dart, 1925; Schultz, 1942; Dean and Wood, 1981; Kimbel and Rak,
6 2010). The discoveries of *Sahelanthropus tchadensis* (Brunet et al., 2002; Guy et al., 2005;
7 Zollikofer et al., 2005) and *Ardipithecus ramidus* (White et al., 1994; Suwa et al., 2009; Kimbel
8 et al., 2014), both of which exhibit an anteriorly placed foramen magnum, show that this
9 conformation was acquired by at least the late Miocene. Previous studies suggested that the
10 anterior position of the foramen magnum in hominins is related to a more habitual bipedal
11 locomotor behavior (Broca, 1872; Topinard, 1878; Dart, 1925; Broom, 1938; Le Gros Clark,
12 1955; Tobias, 1967). However, the functional relationship between foramen magnum position
13 and bipedal locomotion remains unclear (Suwa et al., 2009; Ruth et al., 2016). This is because
14 the anterior position of the foramen magnum and obligate bipedalism are only present in humans
15 among extant hominoids. Morphofunctional comparative studies of extant primate cranial base
16 structures are thus inherently limited by the unique nature of the foramen magnum position and
17 locomotor behavior of *Homo sapiens* (see Cartmill, 1990).

18 To address this challenge, Russo and Kirk (2013) tested the hypothesis that an anteriorly
19 positioned foramen magnum is related to bipedalism through a comparison of basicranial
20 anatomy between bipeds and quadrupeds belonging to three mammalian clades: marsupials (e.g.,
21 bipedal kangaroos and wallabies vs. quadrupedal marsupials), rodents (e.g., bipedal kangaroo
22 rats and jerboas vs. quadrupedal rodents) and primates (humans vs. other hominoids). They used
23 three ratios to describe the position of the foramen magnum relative to several splanchnocranial

1 structures (i.e. anterior margin of the temporal fossa, posterior aspect of the last molar crown,
2 and midline posterior aspect of hard palate). The results of Russo and Kirk (2013) demonstrated
3 that, when compared to their quadrupedal relatives, bipedal marsupials, rodents, and primates
4 have a foramen magnum that is more anteriorly positioned (see also Brunet et al., 2002; Suwa et
5 al., 2009; Kimbel and Rak, 2010).

6 Ruth et al. (2016) challenged the findings of Russo and Kirk (2013), arguing that the
7 chosen ratios did not accurately relate to foramen magnum position but instead correspond to
8 changes in other cranial structures. Ruth et al. (2016) notably asserted that these ratios are more
9 influenced by masticatory apparatus position and size rather than foramen magnum position.
10 Recently, Russo and Kirk (2017) responded to these criticisms by quantifying the position of the
11 foramen magnum using a new metric based on the position of the spheno-occipital
12 synchondrosis. This new ratio has the advantage of being based on basicranial structures only
13 and does not take into account features related to the masticatory apparatus. Using this metric,
14 Russo and Kirk (2017) confirmed their previous results (Russo and Kirk, 2013), stating that a
15 relationship exists between foramen magnum position and bipedalism in mammals.

16

17 *Objective #1*

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19 In this context, our first objective is to assess if the use of a more comprehensive sample of
20 extant hominoid specimens allows corroborating Russo and Kirk (2013, 2017) findings. We use
21 linear measurements and similar ratios in order to facilitate comparison of our results with those
22 of previous analyses. We first test the hypothesis (hypothesis 1) that ratios can distinguish
23 humans from non-bipedal extant hominoids. We compute and compare the ratios for *H. sapiens*

1 and 18 other species belonging to *Pan*, *Gorilla*, *Pongo*, *Hylobates*, *Nomascus*, *Symphalangus*,
2 and *Bunopithecus*. If hypothesis 1 is rejected, the findings of Russo and Kirk (2013, 2017) will
3 be not corroborated when a larger taxonomic group is included in the study. If the results are
4 consistent with hypothesis 1, our study will confirm that the ratios proposed by Russo and Kirk
5 (2013, 2017) distinguish bipedal (*H. sapiens*) from non-bipedal extant hominoids.

6

7 *Objective #2*

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9 Russo and Kirk (2013, 2017) also suggested that their ratios may be good proxies with which to
10 appraise bipedalism in fossil hominins possessing a wide variety of basicranial shapes (Ross and
11 Henneberg, 1995; Nevell and Wood, 2008; Kimbel and Rak, 2010). We compute the ratios
12 proposed by Russo and Kirk (2013, 2017) in a sample of extinct hominins in order to appraise
13 this statement. We test the hypothesis (hypothesis 2) that the values of the ratios can distinguish
14 extinct hominins from non-bipedal extant hominoids. A rejected hypothesis 2 will indicate that
15 factors, other than locomotor behavior, are likely to play a part in the ratio values. If the results
16 are in line with hypothesis 2, our study will confirm that the studied ratios are good descriptors
17 of bipedalism in extinct hominins.

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19 *Objective #3*

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21 As the ratios defined by Russo and Kirk (2013) have been criticized by Ruth et al. (2016), who
22 asserted that they are likely to be affected by the masticatory apparatus, we test the hypothesis
23 (hypothesis 3) that the ratios mainly describe variation in foramen magnum position rather than

1 changes in facial structures. We quantify the variation in the structures related to the studied
2 ratios using geometric morphometric methods on 3D homologous landmarks. If a significant
3 proportion of the variation is related to landmarks located on the face, hypothesis 3 will be
4 rejected and the masticatory apparatus is likely to influence the ratios that include facial features.
5 If most of the variation is related to basicranial landmarks, notably the basion, results will be in
6 line with hypothesis 3.

7

8 **Material and methods**

9 *Material*

10

11 The sample consists of 171 crania, including 157 extant hominoid specimens belonging to 19
12 different species (Table 1). All extant individuals were determined to be adults based on the full
13 eruption of the third molars. These specimens are housed in the American Museum of National
14 History (New-York, USA), the National Museum of Natural History (Washington, USA), the
15 Natural History Museum (London, UK), the Institut de Paléoprimatologie, Paléontologie
16 Humaine: Evolution et Paléoenvironnements (Poitiers, France), and the Musée Royal de
17 l'Afrique Centrale (Tervuren, Belgium). The list of specimens, including museum specimen
18 number, sex, and location can be found in the Supplementary Online Material (SOM). In order to
19 assess shape variations in *H. sapiens*, we use a sample including specimens belonging to
20 different populations (see SOM). The human sample comprises six morphologically “extreme”
21 specimens, i.e., individuals exhibiting the largest distances from the consensus shape and the
22 largest pairwise distances from each other, in a principal component analysis of 88
23 geographically diverse *H. sapiens* crania by Ledogar et al. (2016). The sample also includes 14

1 extinct hominins belonging to 9 different species (Table 1). For most of the specimens, we
2 worked with three-dimensional (3D) virtual representations in PLY file format generated from
3 Computed Tomography (CT) scans, with pixel size and slice thickness adjusted according to the
4 cranial size of each specimen ranging from 0.3 mm to 1 mm. Data were taken on virtual
5 reconstructions for three extinct hominins (TM 266-01-060-1, STS 5, and OH 5). Details of these
6 reconstructions can be found in Zollikofer et al. (2005) for TM 266-01-060-1 and in Wroe et al.
7 (2010) for STS 5 and OH 5. Finally, for three other extinct hominin specimens (KNM-ER 1813,
8 D2700, and La-Chapelle-aux-Saints 1), we worked with research quality casts.

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10 [Position of Table 1]

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12 *Data acquisition*

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14 The 3D virtual representations were placed in *norma basilaris* using the Avizo v6.0 software.
15 First, the Frankfort Horizontal plane (FHP) was defined between the right and left porion (most
16 lateral point at the center of the upper margin of the external auditory meatus) and the left
17 orbitale (lowest point on the orbital margin) using the “fit to points” option of the “oblique slice”
18 tool. If the porion was missing on one side, as it is the case in few fossil specimens, its
19 counterpart on the contralateral side of the cranium was mirrored relative to the midsagittal
20 plane. Snapshots were taken perpendicular to the FHP (i.e., in *norma basilaris*) with the
21 “perspective” tool sets on “orthogonal view” in order to avoid any distortion related to
22 perspective. The research quality casts of KNM-ER 1813, D2700 and, La-Chapelle-aux-Saints 1
23 were photographed following the protocol set by Russo and Kirk (2013), which also consider the

1 plane perpendicular to the FHP to defined the *norma basilaris*. As several specimens (STS 5,
2 KNM-WT 17000 and OH 5) were available both as 3D virtual representations and as casts, we
3 were able to assess the similarity of the two approaches using a correlation coefficient on
4 landmark coordinates (see below).

5 Linear measurements on the images were carried out using the NIH ImageJ software
6 (Schneider et al., 2012). Russo and Kirk (2013, 2017) used four ratios based on the position of
7 the foramen magnum relative to: (1) anterior margin of the temporal fossa, (2) posterior aspect of
8 the last molar crown, (3) midline posterior aspect of hard palate, and (4) midline sphenoccipital
9 synchondrosis. The palate ratio is not included in the present study, as most of the fossil
10 specimens are missing the posterior aspect of hard palate. To assess cranial size, Russo and Kirk
11 (2013) used the geometric mean of cranial length (L), defined as the prosthion-opisthocranion
12 segment, and cranial width (W), defined as bizygomatic width. As the zygomatic arches are
13 broken in many fossil specimens, W is defined here as biporion breadth. We used a correlation
14 coefficient to assess the similarity between bizygomatic width and biporion breadth in 30 extant
15 individuals, i.e., 3 specimens of each of the following species: *H. sapiens*, *Pan troglodytes*, *Pan*
16 *paniscus*, *Gorilla gorilla*, *Gorilla beringei*, *Pongo pygmaeus*, *Pongo abelii*, *Hylobates lar*,
17 *Nomascus concolor*, and *Symphalangus syndactylus*. In the present study, the temporal fossa
18 ratio is computed as the mean of the left and right anterior temporal fossa to basion segments
19 (TF1 and TF2) over the geometric mean of L and W (Fig. 1, Table 2). The molar ratio is
20 computed as the mean of the left and right posterior molar to basion segments (M1 and M2) over
21 the geometric mean of L and W. The basioccipital ratio is computed as the sphenobasion to
22 basion segment (SB) over the geometric mean of L and W. The L, W, TF1, TF2, M1, M2, and
23 SB lengths were all directly obtained from the snapshots of the scans or the digital photographs

1 of the casts. For a few fossil taxa, measurements were only available on one side of the cranium.
2 In this case, only one TF (or one M) was taken into account to compute the ratio. Also, when
3 porion was missing on one side, W was computed as twice the distance between the preserved
4 porion and the midsagittal plane. Finally, the spheno-occipital synchondrosis was not visible in
5 all specimens, so the basioccipital ratio was not computed for the specimens where the
6 sphenobasion could not be confidently identified. These specimens are identified in SOM.

7 Cranial base shape is also described using eight two-dimensional landmark coordinates
8 (Fig. 1; Table 2). Landmarks were placed on the images with tpsDig v2.32 (Rohlf, 2015). If a
9 landmark were missing, its counterpart on the other side of the cranium was mirrored relative to
10 the midsagittal plane. To validate this approach, we estimated a landmark on 5 complete
11 specimens belonging to 5 different species (i.e., *H. sapiens*, *Pan troglodytes*, *G. gorilla*, *Pon.*
12 *pygmaeus*, *H. lar*). We tested for differences between the estimated landmarks and the real ones
13 using a MANOVA. To test for measurement and landmark repeatability, one female *G. gorilla*
14 specimen was resampled three times on three different days.

15

16 [Position of Figure 1]

17 [Position of Table 2]

18

19 *Analyses*

20

21 Species belonging to the genus *Hylobates* have been previously described as morphologically
22 uniform (Groves, 1972; Chatterjee, 2009; Fleagle, 2013; Neaux, 2017). We tested for differences
23 in the ratios between the seven studied species of *Hylobates* using ANOVAs to define if they

1 should be computed separately or together in the following analyses. We did the same with the
2 three species of the genus *Nomascus*, also belonging to the Hylobatidae family.

3 Contrary to Russo and Kirk (2013, 2017), we compared more than two groups for each
4 ratio. The significance of the ratios differences was therefore evaluated using a pairwise
5 permuted ANOVA applying the wrapper function `pwperanovac()` (Sansalone et al., 2016),
6 available in SOM. Holm correction was performed, to account for unbalanced sample size
7 (Holm, 1979). As the genus *Bunopithecus* included only two specimens, it was not included in
8 the pairwise ANOVAs. Ratio differences between groups have been visualized through boxplots
9 using the wrapper function `boxord(?)` (Sansalone et al., 2016), available in SOM. Statistics were
10 performed in the R statistical environment (R Development Core Team, 2016).

11 The analysis of landmark variations was performed using MorphoJ v1.06 (Klingenberg,
12 2011). Symmetric configurations from original landmark coordinates and a Procrustes
13 superimposition including the specimens for which the temporal fossa, molar, and basioccipital
14 ratios have been measured, were computed. Principal component analysis (PCA) was computed
15 to visualize the overall morphological variations and the distribution of individuals in the shape
16 space. We computed the influence of any single landmark on each significant principal
17 component (PC) as the square root of the sum of the squared coordinate loadings for that
18 landmark (Baab and McNulty, 2009; Bienvenu et al., 2011).

19

20 **Results**

21 *Error tests*

22

1 Results show a very strong and significant relationship between the results of CT and
2 photographic methods (STS 5: $r=0.95$, $p<0.01$; KNM-WT 17000: $r=0.98$, $p<0.01$; OH 5: $r=0.98$,
3 $p<0.01$). Therefore, photographs of the casts of KNM-ER 1813, D2700, and La-Chapelle-aux-
4 Saints 1 casts were included in the study. The relationship between biporion breadth and
5 bizygomatic width is also very strong and significant ($r=0.97$, $p<0.01$), showing that the first
6 distance is a good proxy for the second. MANOVA results show no significant differences
7 between mirror-estimated and actual landmarks (Wilk's $\lambda=0.87$, $F[2,7]=0.48$, $p=0.63$), allowing
8 estimates to be used for those specimens missing one side. Measurement errors show no
9 significant differences between the repeated samples for linear measurements ($F[2,15]=0.01$,
10 $p=0.99$) or landmarks (Wilk's $\lambda=0.99$, $F[4,34]=0.01$, $p=0.99$).

11 No significant difference was found between the seven species of *Hylobates* nor between
12 the three species of *Nomascus* for the temporal fossa (respectively $F[6,11]=0.67$, $p=0.72$ and
13 $F[4,2]=2.23$, $p=0.23$), molar ($F[6,11]=1.59$, $p=0.22$ and $F[4,2]=0.91$, $p=0.46$), and basioccipital
14 ratios ($F[6,11]=2.42$, $p=0.12$ and $F[4,2]=0.91$, $p=0.46$). For this reason, the species belonging to
15 the *Hylobates* genus were computed together in the following analyses, as were the species
16 belonging to the *Nomascus* genus.

17

18 *Objective #1*

19

20 Temporal fossa (Fig. 2), molar (Fig. 3) and basioccipital ratios (Fig. 4) distinguish humans from
21 non-bipedal extant hominoids (Table 3). There are significant pairwise differences between *H.*
22 *sapiens* and all the other extant taxa (Table 4). Significant differences exist also between non-
23 bipedal extant taxa.

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[Position of Figure 2]
[Position of Figure 3]
[Position of Figure 4]
[Position of Table 3]
[Position of Table 4]

Objective #2

The temporal fossa ratio (Fig. 2) partly distinguishes most bipeds (extant and extinct) from non-bipedal taxa, with two exceptions: *Australopithecus africanus* and *Paranthropus boisei* fall within the range of extant non-human hominoids (Fig. 2; Table 3). Similarly, *A. africanus* is also found within the non-hominin extant hominoid range for the molar ratio (Fig. 3; Table 3). Having relatively low values when compared to other non-bipedal taxa, a considerable number of *Pan paniscus* specimens also fall within the range of extinct hominins for the temporal fossa (Fig. 2) and molar (Fig. 3) ratios. The basoccipital ratio (Fig. 4) distinguishes more clearly bipeds (extant and extinct) from non-bipedal taxa with only few specimens of *G. gorilla* and *Pan troglodytes* falling into the hominin range. The value for *A. africanus* stays higher than those of other fossil specimens but, contrary to what is observed for the temporal fossa and molar ratios, it stays well under the means of extant non-bipedal taxa.

Objective #3

1 In the PCA, PC1 and PC2 explain, respectively, 65.89% and 14.23% of the total variance (Fig.
2 5). On PC1, toward positive scores, the anterior temporal fossa is displaced laterally and the
3 posterior molar landmark is displaced laterally and posteriorly. Porion is displaced laterally and
4 anteriorly, and basion and sphenobasion are displaced anteriorly. On PC2, toward higher scores,
5 the anterior temporal fossa is displaced anteriorly and the posterior molar is displaced medially
6 and posteriorly. Porion is displaced anteriorly, and basion and sphenobasion are displaced
7 posteriorly. The weightings of landmarks on PC1 and PC2 are presented in Table 5. A
8 substantial proportion of the changes on PC1 are associated with the posterior molar landmarks
9 (2, 3). On PC2, changes are also mostly related to the basion (6), and the anterior cranial fossa
10 landmarks (1, 4).

11

12 [Position of Figure 5]

13 [Position of Table 5]

14

15 **Discussion**

16

17 *Objective #1*

18

19 Our findings support hypothesis 1 as we found significant differences for the temporal fossa,
20 molar, and basioccipital ratios between bipedal (*H. sapiens*) and non-bipedal extant hominoids.
21 The present study was therefore able to replicate the findings of Russo and Kirk (2013, 2017)
22 using a more comprehensive sample. Our work supports the hypothesis that the ratios proposed
23 by Russo and Kirk (2013, 2017) are reliable descriptors of bipedalism in extant hominoids.

1 However, our results show that significant differences also exist between non-bipedal extant
2 hominoids, underlining that the studied ratios are influenced by factors other than bipedalism. It
3 is not certain that the variety of locomotor behavior, other than bipedalism, found in apes, can
4 explain these differences. Indeed, previous works found similar foramen magnum positions in
5 *Gorilla* and *Pongo* (Dean and Wood, 1982, 1982), two taxa possessing very different locomotor
6 behaviors (Cant, 1987; Remis, 1998; Thorpe and Crompton, 2006). Conversely, allometry can be
7 one of the factors explaining these interspecific differences as its influence is not entirely
8 removed in the computation of the ratios, even if cranial size is taken into account. It may
9 explain the distinctions between taxa with great size differences such as Hylobatidae and great
10 apes (Leslie and Shea, 2016), or *Pan paniscus* and the other great apes (Shea, 1983; Lieberman
11 et al., 2007). Another reason, advanced by Ruth et al (2016) for the temporal fossa and molar
12 ratios, is that other cranial morphological structures, not located in the basicranium, may
13 influenced the computed values.

14

15 *Objective #2*

16

17 Our results for the basioccipital ratio are in line with hypothesis 2 as this metric
18 differentiates bipeds (extant and extinct) from non-bipedal taxa with only a few specimens of *G.*
19 *gorilla* and *Pan troglodytes* falling within the hominin range. The present study confirms that the
20 basioccipital ratio defined by Russo and Kirk (2017) is an appropriate descriptors of bipedalism
21 in extinct hominins. For the temporal and the molar ratios, two extinct hominoids are in the range
22 of non-bipedal extant hominoids: *A. africanus* and *Par. boisei*. This suggests once again that

1 morphological features unrelated to basicranial structures or locomotor behavior are likely to
2 influence the values of these ratios.

3

4 *Objective #3*

5

6 Our landmark study does not support hypothesis 3 as it shows that an important part of
7 the variation in the structures related to the studied ratios is related to the face and the
8 masticatory apparatus (anterior temporal fossa and posterior molar landmarks). However, the
9 basion, located on the foramen magnum, represents a great part of the variations on PC2. These
10 results may explain the values for the temporal fossa and molar ratios in *A. africanus* and, to a
11 lesser extent, those of *Par. boisei* for the temporal fossa ratio. These two taxa display higher ratio
12 values than expected considering the position of their foramen magnum relative to the cranial
13 base (Dean and Wood, 1981, 1982).

14

15 *Problems related to the temporal fossa and molar ratios*

16

17 *Australopithecus africanus* exhibits an anteriorly positioned zygomatic root complex,
18 which increases the leverage for the superficial masseter (Rak, 1983; Schwartz and Tattersall,
19 2005; Smith et al., 2015). In *Par. boisei*, the zygomatic arch is widely flared and anteriorly
20 positioned (Rak, 1983; Schwartz and Tattersall, 2005; Smith et al., 2015; Rak and Marom,
21 2017). The study of the shape space shows great interspecific variations in the projection of the
22 zygomatic relative to basicranial structures, which are likely to influence the temporal fossa ratio
23 (Fig. 4, Table 5). This explains why *A. africanus* and *Par. boisei*, both possessing anteriorly

1 projected zygomatic arches, display high temporal fossa ratios that fall within the range of non-
2 bipedal hominoids. This result is in line with the findings of Russo and Kirk (2017) comparing
3 the temporal fossa ratio in two rodents belonging to the Anomaluroidea clade: the bipedal
4 *Pedetes* and the quadrupedal *Anomalurus* (see Fabre et al., 2012, 2013). Russo and Kirk (2017)
5 found that *Anomalurus* has a significantly lower temporal fossa ratio than *Pedetes*. They
6 proposed that this result, which is contrary to their expectations, is due to the far anterior position
7 of the anterior margin of the temporal fossa in *Pedetes*. This example in Anomaluroidea, as well
8 as in *A. africanus* and *Par. boisei* in the present work, suggests that the temporal fossa ratio is
9 strongly influenced by changes in the relative position of splanchnocranial (i.e., facial)
10 structures. In this sense, they are in line with the criticisms forwarded by Ruth et al. (2016) that
11 Russo and Kirk's (2013, 2017) temporal fossa ratio is influenced by cranial structures other than
12 the foramen magnum.

13 STS 5 has been described as being exceptionally prognathic when compared to other
14 specimens of *A. africanus*, such as STS 71 and STS 52a (Rak, 1983; Kimbel and White, 1988;
15 Kimbel et al., 2004). In STS 5, the anteriorly positioned premaxilla is associated with an
16 anteriorly positioned third molar, as these structures are both related to the anteroposterior
17 position of the hard palate (McCollum et al., 1993; McCollum, 2000; Cobb, 2008). The anterior
18 position of the subnasal part of the face in STS 5 is therefore associated with (1) an anterior
19 position of the third molars relative to the whole cranium and (2) a reduction of the distance
20 between the temporal fossa and the third molar as revealed by the low score of STS 5 along PC1
21 in the shape space (Fig. 5). Therefore, the strong subnasal prognathism of STS 5 associated with
22 an anterior position of the third molars may explain its high molar ratio value, within the range of
23 non-bipedal hominoids. These findings reveal that the molar ratio, like the temporal fossa ratio,

1 is likely to be influenced by splanchnocranial structures, not directly related to the position of the
2 foramen magnum (Ruth et al., 2016).

3

4 **Conclusions**

5

6 The temporal fossa, molar, and basioccipital ratios defined by Russo and Kirk (2013, 2017) are
7 reliable descriptors of bipedalism in extant hominoids (hypothesis 1). The basioccipital ratio is
8 the only reliable ratio when extinct specimens are included (hypothesis 2), as a strong component
9 of the variation within the temporal fossa and molar ratios is likely to be related to the
10 masticatory apparatus (hypothesis 3).

11 This major problem associated with the use of the temporal fossa and molar ratios was
12 already identified by Russo and Kirk (2013), who noted that their “measures of relative basion
13 position could arguably reflect variation in craniofacial morphology unrelated to foramen
14 magnum position” (Russo and Kirk, 2013; p. 659). On that point, our study is in line with Ruth et
15 al. (2016), who noted that these ratios mostly describe the relative positioning of
16 splanchnocranial structures. Importantly, these structures are highly influenced by masticatory
17 adaptations. For example, studies of bite force leverage in the crania of *A. africanus* and *Par.*
18 *boisei* (e.g., Demes and Creel, 1988; Eng et al., 2013; Smith et al., 2015) suggest that both
19 species, and *Paranthropus* in particular, could generate bite forces more efficiently than extant
20 chimpanzees, in part due to their derived zygomatic morphology. Indeed, the zygomatic is
21 shaped by numerous selective forces, including diet and feeding, visual acuity, and facial
22 mobility (Dechow and Wang, 2016; Rak and Marom, 2017; Ledogar et al. 2017; Weber and
23 Krenn, 2017).

1 The use of metrics based on the facial traits to assess the position of midsagittal
2 basicranial structures is also made less relevant by the fact that several studies have found that
3 the midline cranial base and the face may belong to different modules, possibly influenced by
4 different developmental and functional integration pathways (Bastir and Rosas, 2006; Gkantidis
5 and Halazonetis, 2011; Neaux et al., 2013). In this context, the use of measurements only based
6 on basicranial structures, such as basioccipital ratios (Russo and Kirk, 2017), or the position of
7 basion relative to the bicarotid chords (Schaefer, 1999; Ahern, 2005), are probably more reliable
8 means with which to assess foramen magnum position. An alternative solution may lie in the
9 continued development of 3D geometric morphometric analysis of basicranial structures
10 (Aristide et al., 2015), as well as in the generalization of 3D craniofacial morphological
11 integration studies (Bastir and Rosas, 2016; Neaux, 2017).

12

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14

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Table 1. Sample studied.

Species	Number	Fossil specimens
<i>Homo sapiens</i>	24	
<i>Pan troglodytes</i>	26	
<i>Pan paniscus</i>	13	
<i>Gorilla gorilla</i>	23	
<i>Gorilla beringei</i>	11	
<i>Pongo pygmaeus</i>	19	
<i>Pongo abelii</i>	5	
<i>Hylobates lar</i>	3	
<i>Hylobates muelleri</i>	3	
<i>Hylobates agilis</i>	3	
<i>Hylobates klossii</i>	3	
<i>Hylobates alibarbis</i>	2	
<i>Hylobates moloch</i>	3	
<i>Hylobates pileatus</i>	2	
<i>Nomascus leucogenys</i>	3	
<i>Nomascus concolor</i>	4	
<i>Nomascus gabriellae</i>	1	
<i>Symphalangus syndactylus</i>	7	
<i>Bunopithecus hoolock</i>	2	
<i>Sahelanthropus tchadensis</i>	1	TM 266-01-060-1
<i>Australopithecus africanus</i>	1	STS 5

<i>Paranthropus aethiopicus</i>	1	KNM-WT 17000
<i>Paranthropus boisei</i>	2	KNM-ER 406, OH 5
<i>Homo habilis</i>	1	KNM-ER 1813
<i>Homo erectus</i>	3	KNM-ER 3733, KNWT-15000, D2700
<i>Homo heidelbergensis</i>	2	Kabwe 1, Petralona 1
<i>Homo neanderthalensis</i>	2	La Ferrassie 1, La-Chapelle-aux-Saints 1
Early <i>Homo sapiens</i>	1	Skhul V

Table 2. Definition of landmarks.

Landmark	Number	Definition
Anterior temporal fossa	1, 4	Most anterior point of the temporal fossa
Posterior molar	2, 3	Most posterior point of the last adult molar
Porion	5,7	Most lateral point at the center of the upper margin of the external auditory meatus
Basion	6	Most anterior midsagittal point of the foramen magnum
Sphenobasion	8	Midline point of the spheno-occipital synchondrosis

Table 3. Mean, 95% confidence interval for the mean (95%CI), standard deviation (Sd), minimum value (Min), and maximum (max) value for the temporal fossa (TF), molar (M) and basioccipital (BO) ratios in each studied taxa.

TF ratio

Mean 95% CI Sd Min Max

<i>Homo sapiens</i>	0.46	0.01	0.03	0.41	0.52
<i>Pan troglodytes</i>	0.55	0.01	0.02	0.52	0.59
<i>Pan paniscus</i>	0.53	0.01	0.03	0.49	0.57
<i>Gorilla gorilla</i>	0.56	0.01	0.03	0.51	0.61
<i>Gorilla beringei</i>	0.61	0.02	0.03	0.57	0.66
<i>Pongo pygmaeus</i>	0.58	0.02	0.04	0.52	0.64
<i>Pongo abelii</i>	0.54	0.01	0.01	0.52	0.55
<i>Hylobates</i>	0.57	0.01	0.02	0.52	0.61
<i>Nomascus</i>	0.56	0.02	0.02	0.52	0.59
<i>Symphalangus syndactylus</i>	0.64	0.01	0.02	0.61	0.66
<i>Bunopithecus hoolock</i>	0.65	0.08	0.05	0.61	0.68
<i>Sahelanthropus tchadensis</i>	0.49	-	-	-	-
<i>Australopithecus africanus</i>	0.58	-	-	-	-
<i>Paranthropus aethiopicus</i>	0.51	-	-	-	-
<i>Paranthropus boisei</i>	0.55	0.02	0.02	0.54	0.56
<i>Homo habilis</i>	0.52	-	-	-	-
<i>Homo erectus</i>	0.49	0.03	0.03	0.47	0.52
<i>Homo heidelbergensis</i>	0.42	0.00	0.00	0.42	0.42
<i>Homo neanderthalensis</i>	0.44	0.04	0.03	0.42	0.46

M ratio

	Mean	95% CI	Sd	Min	Max
<i>Homo sapiens</i>	0.34	0.01	0.02	0.30	0.39
<i>Pan troglodytes</i>	0.49	0.01	0.03	0.44	0.53

<i>Pan paniscus</i>	0.43	0.01	0.02	0.38	0.47
<i>Gorilla gorilla</i>	0.50	0.01	0.03	0.45	0.57
<i>Gorilla beringei</i>	0.59	0.03	0.05	0.51	0.66
<i>Pongo pygmaeus</i>	0.55	0.02	0.05	0.46	0.66
<i>Pongo abelii</i>	0.50	0.01	0.02	0.48	0.52
<i>Hylobates</i>	0.47	0.01	0.03	0.43	0.51
<i>Nomascus</i>	0.47	0.01	0.01	0.45	0.49
<i>Symphalangus syndactylus</i>	0.55	0.01	0.02	0.53	0.58
<i>Bunopithecus hoolock</i>	0.53	0.10	0.07	0.48	0.58
<i>Sahelanthropus tchadensis</i>	0.40	-	-	-	-
<i>Australopithecus africanus</i>	0.52	-	-	-	-
<i>Paranthropus aethiopicus</i>	0.40	-	-	-	-
<i>Paranthropus boisei</i>	0.42	0.03	0.02	0.41	0.44
<i>Homo habilis</i>	0.39	-	-	-	-
<i>Homo erectus</i>	0.41	0.03	0.03	0.37	0.43
<i>Homo heidelbergensis</i>	0.33	0.02	0.01	0.32	0.34
<i>Homo neanderthalensis</i>	0.39	0.04	0.03	0.37	0.41

BO ratio

	Mean	95% CI	Sd	Min	Max
<i>Homo sapiens</i>	0.15	0.01	0.01	0.13	0.18
<i>Pan troglodytes</i>	0.18	0.01	0.02	0.15	0.21
<i>Pan paniscus</i>	0.20	0.01	0.02	0.18	0.22
<i>Gorilla gorilla</i>	0.18	0.01	0.02	0.15	0.20

<i>Gorilla beringei</i>	0.18	0.01	0.01	0.16	0.20
<i>Pongo pygmaeus</i>	0.17	0.01	0.01	0.16	0.19
<i>Pongo abelii</i>	0.18	0.01	0.01	0.17	0.19
<i>Hylobates</i>	0.20	0.01	0.02	0.17	0.24
<i>Nomascus</i>	0.20	0.01	0.01	0.17	0.21
<i>Symphalangus syndactylus</i>	0.22	0.01	0.01	0.21	0.25
<i>Bunopithecus hoolock</i>	0.25	-	-	-	-
<i>Sahelanthropus tchadensis</i>	0.15	-	-	-	-
<i>Australopithecus africanus</i>	0.16	-	-	-	-
<i>Paranthropus aethiopicus</i>	0.15	-	-	-	-
<i>Paranthropus boisei</i>	0.15	0.00	0.00	0.14	0.15
<i>Homo habilis</i>	-	-	-	-	-
<i>Homo erectus</i>	0.14	0.02	0.02	0.13	0.16
<i>Homo heidelbergensis</i>	0.14	0.00	0.00	0.14	0.14
<i>Homo neanderthalensis</i>	0.14	0.00	0.00	0.14	0.14

Table 4. P-values of the non-parametric pairwise ANOVAs between the extant studied species for the temporal fossa (TF), molar (M), and basioccipital (BO) ratios. P-values significant at 0.05 are in bold.

	<i>Pan troglodytes</i>	<i>Pan paniscus</i>	<i>Gor. gorilla</i>	<i>Gor. beringei</i>	<i>Pon. pygmaeus</i>	<i>Pon. abelii</i>	<i>Hylobates</i>	<i>Nomascus</i>	<i>Sym. Syndactylus</i>
TF ratio									

<i>H. sapiens</i>	<0.01	<0.01	<0.01	<0.01	<0.01	<0.01	<0.01	<0.01	<0.01
<i>Pan troglodytes</i>		0.17	0.13	<0.01	0.10	0.15	0.30	0.57	<0.01
<i>Pan paniscus</i>			0.08	<0.01	<0.01	0.51	<0.01	0.50	<0.01
<i>Gor. gorilla</i>				<0.01	0.18	0.08	0.55	0.56	<0.01
<i>Gor. beringei</i>					0.51	0.03	0.01	0.04	0.37
<i>Pon. pygmaeus</i>						0.53	0.36	0.15	0.01
<i>Pon. abelii</i>							0.24	0.14	0.03
<i>Hylobates</i>								0.23	<0.01
<i>Nomascus</i>									<0.01

M ratio

<i>H. sapiens</i>	<0.01	<0.01	<0.01	<0.01	<0.01	<0.01	<0.01	<0.01	<0.01
<i>Pan troglodytes</i>		<0.01	0.28	<0.01	<0.01	0.69	0.63	0.50	<0.01
<i>Pan paniscus</i>			<0.01	<0.01	<0.01	<0.01	<0.01	0.05	<0.01
<i>Gor. gorilla</i>				<0.01	<0.01	0.80	0.12	0.15	0.02
<i>Gor. beringei</i>					0.82	0.04	<0.01	<0.01	0.68
<i>Pon. pygmaeus</i>						0.63	<0.01	<0.01	0.84
<i>Pon. abelii</i>							0.85	0.14	0.05
<i>Hylobates</i>								0.50	0.01
<i>Nomascus</i>									0.01

BO ratio

<i>H. sapiens</i>	<0.01	<0.01	<0.01	<0.01	<0.01	<0.01	<0.01	<0.01	<0.01
<i>Pan troglodytes</i>		0.10	0.84	0.45	0.87	0.02	0.35	<0.01	0.02
<i>Pan paniscus</i>		0.11	0.03	0.04	0.93	0.57	0.93	0.08	0.03

<i>Gor. gorilla</i>	0.96	0.54	0.80	0.01	0.37	<0.01	0.04
<i>Gor. beringei</i>		0.50	0.63	0.04	0.14	<0.01	0.05
<i>Pon. pygmaeus</i>			0.39	0.01	0.12	<0.01	0.06
<i>Pon. abelii</i>				0.62	0.87	0.04	0.07
<i>Hylobates</i>					0.56	0.16	0.08
<i>Nomascus</i>						0.11	0.09

Table 5. Loadings of landmarks on each significant principal components.

Landmark	PC1	PC2
1, 4	0.25	0.46
2, 3	0.51	0.31
5, 7	0.34	0.22
6	0.28	0.47
8	0.19	0.26

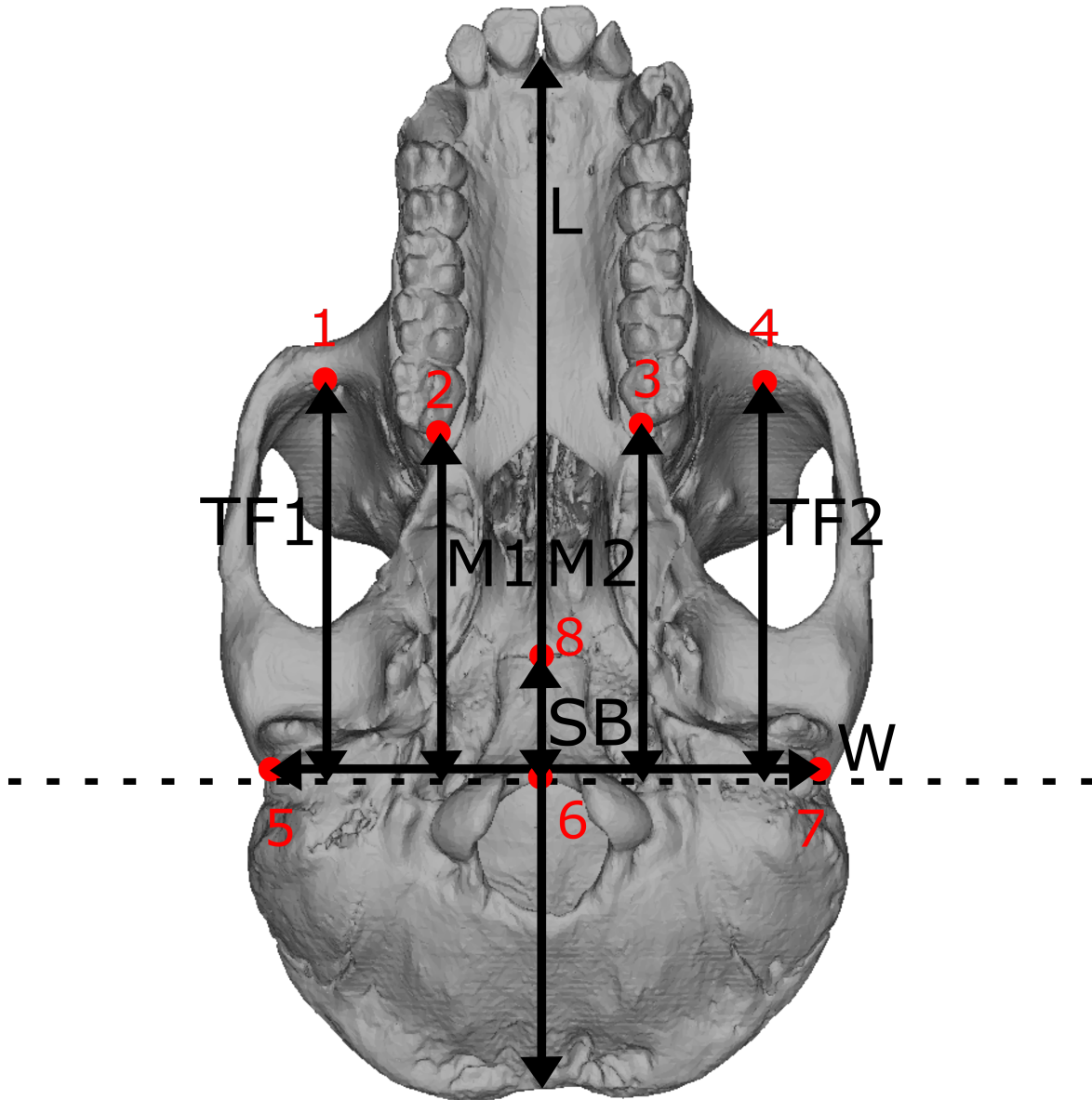


Figure 1. *Gorilla* cranium in *norma basilaris* showing the landmarks and the measurements taken on each specimen. 1: anterior temporal fossa right, 2: posterior molar right, 3: posterior molar left, 4: anterior temporal fossa left, 5: porion right, 6: basion, 7: porion left, 8: sphenobasion, dashed line: basion line, L: cranial length, W: cranial width, TF1 and TF2: anterior temporal fossa to basion segments, M1 and M2: posterior molar to basion segments, SB: sphenobasion to basion segment.

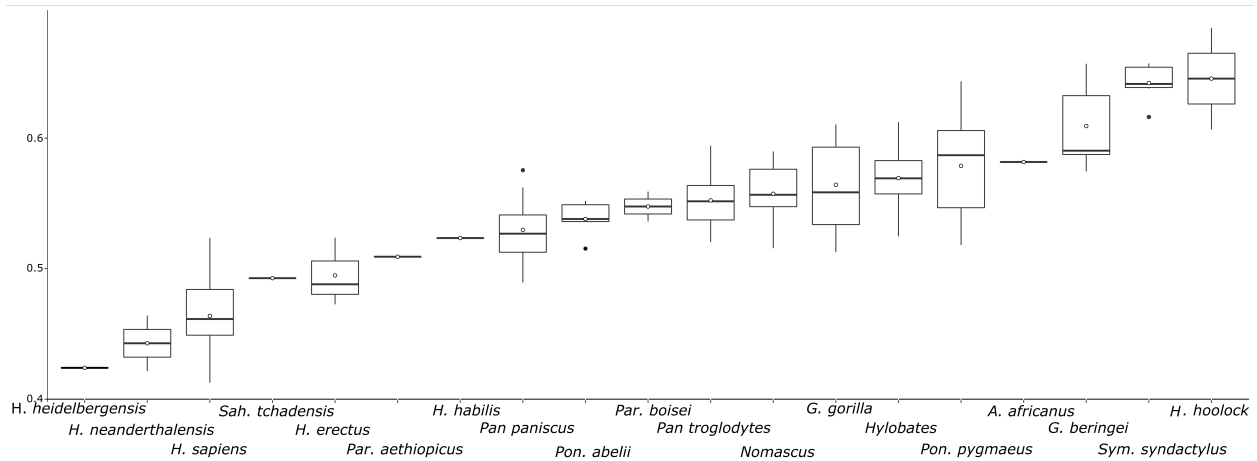


Figure 2. Boxplot of temporal fossa ratio in the studied sample. Bottom and top of the boxes are the first and third quartiles, the horizontal black lines represent the median, the whiskers represent the minimum and maximum values, white dots are the mean and black dots are the outliers.

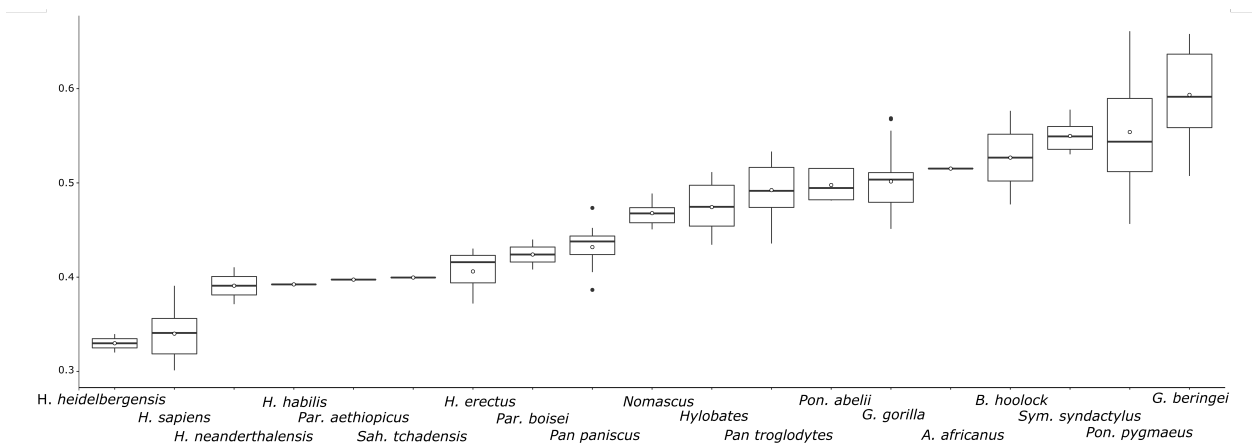


Figure 3. Boxplot of molar ratio in the studied sample. Bottom and top of the boxes are the first and third quartiles, the horizontal black lines represent the median, the whiskers represent the minimum and maximum values, white dots are the mean and black dots are the outliers.

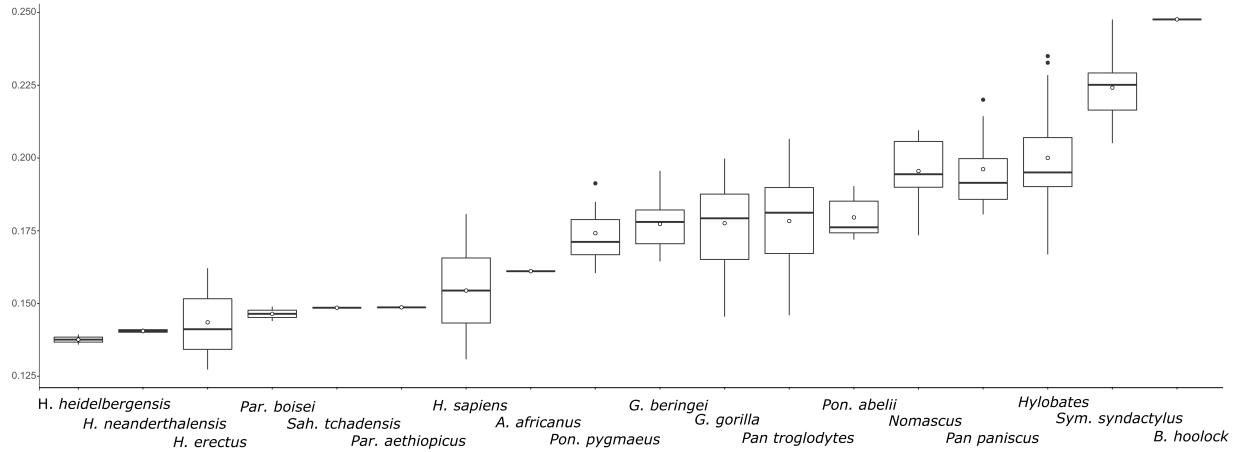


Figure 4. Boxplot of basioccipital ratio in the studied sample. Bottom and top of the boxes are the first and third quartiles, the horizontal black lines represent the median, the whiskers represent the minimum and maximum values, white dots are the mean and black dots are the outliers.

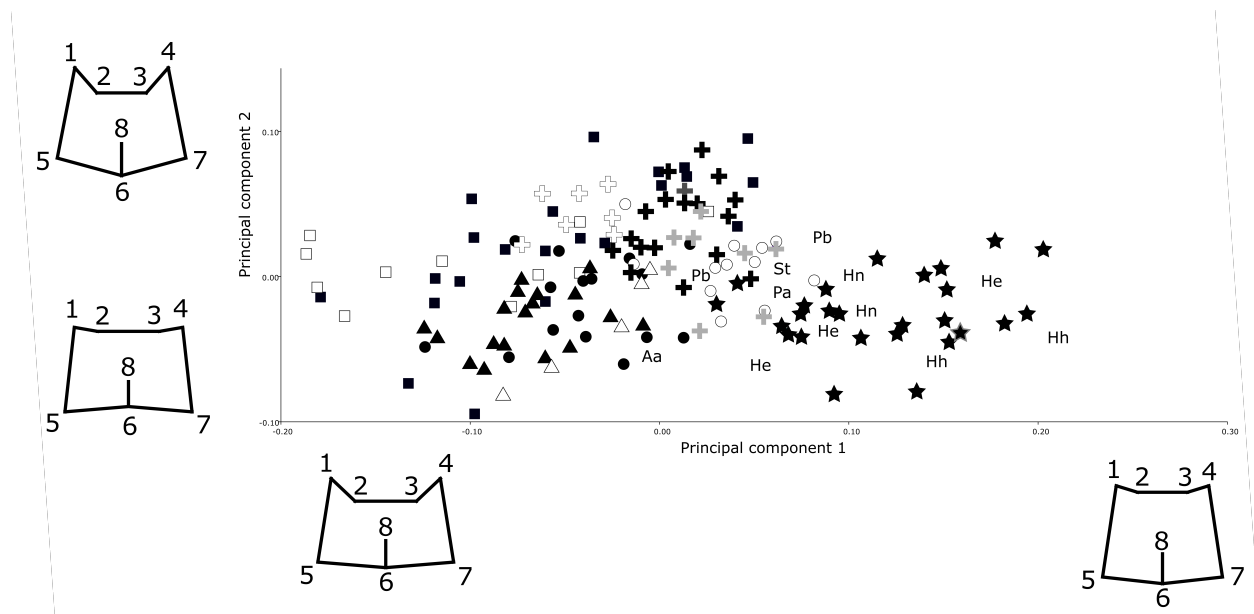


Figure 5. Principal component analysis showing the repartition of the specimens in the PC1-2 morphospace. Stars: *Homo sapiens*, black dots: *Pan troglodytes*, white dots: *Pan paniscus*, black squares: *Gorilla gorilla*, white squares: *Gorilla beringei*, black triangles: *Pongo pygmaeus*, white triangles: *Pongo abelii*, black crosses: *Hylobates*, light grey crosses: *Nomascus*, white crosses;

Symphalangus syndactylus: dark grey cross, St: *Sahelanthropus tchadensis*, Aa: *Australopithecus africanus*, Pa: *Paranthropus aethiopicus*, Pb: *Paranthropus boisei*, He: *Homo erectus*, Hh: *Homo heidelbergensis*, Hn: *Homo neanderthalensis*. The star with a grey border is Skhul V. The wireframes display the shape changes on each axis. 1: anterior temporal fossa right, 2: posterior molar right, 3: posterior molar left, 4: anterior temporal fossa left, 5: porion right, 6: basion, 7: porion left, 8: sphenobasion.