1 2	Semicircular canal size and locomotion in colobine monkeys: a cautionary tale
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29 ABSTRACT

30

The semicircular canals of the inner ear are the organ of balance, tracking head rotation during 31 32 movement and facilitating stabilisation of vision. Morphological characteristics of the canals are 33 correlated with agility scores related to locomotion. To date, however, the relationship between 34 canal morphology and specific locomotor behaviours, such as leaping, is unclear. Knowledge of 35 such a relationship could strengthen the inferences of locomotion of extinct taxa. To test this, crania 36 of two sets of closely related primate species (Presbytis melalophos and P. potenziani; Colobus 37 guereza and C. polykomos) that differ in the percentage of leaping in their locomotor repertoire 38 were examined using microscopic computed tomography (uCT). Three-dimensional virtual models 39 of the bony labyrinth were derived and the radius of curvature of each of the three canals was 40 evaluated relative to cranial size. The findings are contradictory; one leaping form (*P. melalophos*) 41 differs from its congener in possessing significantly larger lateral canals, a pattern seen in previous 42 studies of primates, while the other leaper (C. guereza) has significantly smaller posterior canals 43 than its close relative. These results undermine efforts to determine specific locomotor behaviours from the bony labyrinth of extinct primates. 44

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48 INTRODUCTION

49

50	Locomotor studies of primates focus primarily on the postcranial evidence (e.g., Su and Jablonski,
51	2009). Hard and soft tissue characteristics generally associated with habitual locomotor modes are
52	found in the limbs (Fleagle, 1976, 1977), although non-locomotor activity also affects the
53	postcrania (Dunham et al., 2015). More recently, however, the advent of modern imaging
54	techniques has allowed the examination of the internal portions of the cranium, including the
55	labyrinth of the temporal bone, which includes the organ of balance (Spoor & Zonneveld, 1998).
56	Exploration of the link between labyrinth morphology and motion has yielded substantial results,
57	both within primates and among mammals more generally (Spoor et al., 2007; Welker et al., 2009;
58	Billet et al., 2012; Pfaff et al., 2015).
59	
60	The inner ear (Fig. 1) is comprised of numerous connected cavities known as the osseous (or bony)
61	labyrinth in mammals (Gray, 1908; Spoor & Zonneveld, 1995, 1998; Schmelzle et al. 2007; Welker
62	et al., 2009; Billet et al., 2012; Gunz et al., 2012; Pfaff et al., 2015) and other vertebrates (Boistel et
63	al., 2011). The bony labyrinth consists of the cochlea, vestibule and semicircular canals
64	(Sinnatamby, 2006), in which are contained the components of the membranous labyrinth: cochlea
65	duct, the sac-like utricle and saccule, and the semicircular ducts, respectively (Gray, 1908;
66	Sinnatamby, 2006; Walker et al., 2008). The cochlea houses the hearing system, while the vestibular
67	system (vestibule and semicircular canals) forms the organ of balance. These systems reside within
68	the otic capsule of the petrosal portion of the temporal bone (Lieberman, 2011).
69	
70	Figure 1 about here
71	
72	The membranous labyrinth is smaller in diameter (lumen) than the enclosing osseous labyrinth and

The membranous labyrinth is smaller in diameter (lumen) than the enclosing osseous labyrinth, and
is separated from the bony wall by a supporting fluid known as perilymph (Ekdale, 2013). The

74 membranous labyrinth contains fluid known as endolymph (Spoor, 2003; Sinnatamby, 2006), a heavy gelatinous fluid (Gibson, 1968) that responds to rotational acceleration of the head with a 75 76 corresponding deflection, which in turn moves the hair-like cilia inside the semicircular ducts 77 proportionally to the force of the turn; the corresponding signal is transmitted to the brain via the 78 vestibulocochlear nerve (Gibson, 1968; Mather, 2009). The semicircular canals (and the ducts 79 within them) are orientated in roughly orthogonal planes; thus, the brain interprets rotary head 80 movement through a combination of input in three different axes: pitch, roll and yaw (Walker et al., 81 2008). It is the membranous labyrinth that determines the crucial factors for balance sensitivity 82 (e.g., size of the semicircular ducts), but as these variables are difficult or impossible to determine 83 after death, characteristics of the bony canals alone are used in studies of the relationship between 84 labyrinth morphology and locomotion (Spoor, 2003).

85

86 Figure 2 about here

87

88 At a relatively wide scale, placental mammal species that move in ways that include quicker and 89 more active actions require changes in the semicircular canals of the inner ear to cope with the more 90 extensive head movement these taxa experience (Spoor et al. 2007; Ryan et al., 2012). This 91 mammalian pattern has been proposed for primates, as well; for example, forms that habitually leap 92 (e.g., galagos, tarsiers) are reported to have relatively large semicircular canals, especially the lateral (Spoor et al. 2007). For this finding to be operationalised with respect to fossil taxa, 93 94 however, it is necessary to demonstrate that similar and/or closely related taxa that differ in 95 locomotor pattern also differ significantly in bony labyrinth morphology, particularly as some extant 96 primates have been shown to deviate from expectation (Spoor et al., 2007). The hypothesis that 97 Colobus possesses larger canals than Procolobus because the former leaps more frequently (Walker 98 et al., 2008) is taken as the starting point of the present investigation.

99

100 To test the prediction that closely related colobines differ in their semicircular canal morphology 101 due to differences in the percentage of travel time spent leaping, an important part of the typical 102 colobine locomotor repertoire (Davison, 1982), two members of the genus Colobus (C. polykomos, 103 C. guereza) and the genus Presbytis (P. melalophos and P. potenziani) that differ in the percentage 104 of leaping in their overall locomotor repertoire (Table 1) are examined here. To support the 105 hypothesis that larger canals are associated with leaping, it is expected that both species pairs will 106 show the same relationship (i.e., the leaping form in each genus will have larger canals than its 107 congener).

108

109 All four of the taxa examined here are medium sized (6-13kg) arboreal monkeys with a high percentage of leaves in the diet (Rowe, 1996; Fleagle, 1999). P. melalophos and P. potenziani live 110 111 on the SE Asian islands of Sumatra and Mentawai, respectively, although *P. melalophos* is also 112 found on the mainland of the Malay Peninsula (Fleagle, 1999). The difference in their degree of leaping behaviour is not obviously related to their habitats, as both species inhabit primary and 113 114 secondary forests (Rowe, 1996). C. polykomos and C. guereza are larger and more dimorphic than the Asian forms, and live in the western and central/eastern regions of the central African rainforest, 115 116 respectively (Fleagle, 1999). The percentage of travel time spent leaping differs between them 117 perhaps because C. polykomos lives primarily in continuous, primary forest, while C. guereza 118 frequents more secondary forest and wooded grassland (Rowe, 1996), where leaping between 119 supports is more likely to be necessary.

120

Phylogenetically, *Colobus* and *Presbytis* are both members of the Colobinae, but belong to separate
branches of the subfamily; *Presbytis* is more closely related to the 'odd nosed' colobines (*Nasalis*, *Rhinopithecus*, *Pygathrix*), while *Colobus* is part of a monophyletic African clade with *Pliocolobus*(Wang et al., 2012). In both cases, the species examined here are very closely related to their
congeners, sharing a common ancestor with only one other species (*P. comata* and *C. vellerosus*,

126 respectively), aside from each other (Ting, 2008; Meyer et al., 2011).

127

128	The decision to examine congeners was taken to diminish the effect of extraneous variables on the
129	ensuing comparisons; this can be useful for detecting adaptive responses (Crews, 1997). Species
130	pairs form natural experiments from which behaviours can be linked to morphology (Fleagle, 1976,
131	1977; Katz and Harris-Warrick, 1999). For example, comparing closely related taxa has the effect
132	of reducing the influence of phylogeny, so that those differences of interest (in this case, frequency
133	of leaping) can be examined in relative isolation (Birkhead et al., 1992). Using two different
134	species pairs eliminates many of the inherent problems (Garland and Adolf, 1994) with standard
135	two-species comparisons.
136	
137	Table 1 about here
138	
139	Materials and methods
140	
141	A mixed-sex sample of wild-shot, adult dry crania of <i>P. melalophus</i> $(n = 5)$ and <i>P. potenziani</i> $(n = 4)$
142	from the collection of the Primate Research Institute of Kyoto University, Inuyama, Japan, and of
143	C. polykomos (n = 6) and C. guereza (n = 5) from the Natural History Museum, London, U.K., was
144	examined. Colobus individuals were scanned at Hull York Medical School using a X-TEK
145	HMX160 high-resolution computed tomography scanner (X-Tek Systems, Tring, U.K.), with no
146	additional filters applied. All scans were taken at 75 Kv and 30 μ A. Voxel size was specimen-
147	dependent, but was ≤0.04mm in all cases. <i>Presbytis</i> scan sets were obtained at the Asahi University
148	School of Dentistry, Japan, using a Scan-Xmate-RB090SS (Comscan Tecno, Sagamihara, Japan).
149	All scans were acquired at 80-90 Kv and 90-100 μ A, with a voxel size ≤ 0.04 mm.
150	

151 Semicircular canal parameters were determined using a modified version (Schmelzle et al. 2007) of

152	the methods outlined in Spoor et al. (2007). Virtual 3D models of the labyrinth (Fig. 2) were
153	constructed via manual segmentation in Avizo ver. 8 (Visualization Sciences Group, Burlington,
154	MA). Although other parameters of vestibular morphology have been investigated (Pfaff et al.,
155	2015; Grohé et al., 2016), the present study focuses on the radius of curvature (R) as a measure of
156	canal size (Schmelzle et al., 2007). R is derived from internal height and width measurements:
157	height is measured from the protrusion of the ampulla at the lowest point of the canal to its
158	maximum possible extent on the canal opposite, width was then determined as the maximum length
159	line intersecting the height line at 90° (Fig. 3). R is calculated as $0.5 \times ((\text{Height} + \text{Width}) \div 2)$.
160	
161	Figure 3 about here
162	
163	To scale for size, values for each canal were derived by dividing R by the cranial body mass
164	estimate prosthion-inion cranial length (Martin and Ross, 2005); previous work (Johnson, 2012) on
165	Colobus shows that similar results obtain using other canal variables and scalars. The resulting
166	data were analysed for normality, then by independent samples tests in IBM SPSS ver. 21 with $\alpha =$
167	0.05 for significance.
168	
169	Results
170	
171	Examples of the virtual reconstructions of the canals are shown in Figure 4. The mean values of R
172	and cranial length are given in table 2. The 95% confidence intervals of the regression slopes of R
173	against size included isometry except in the case of the anterior canal, where the lower confidence
174	interval approached isometry. No samples of R for which $n > 5$ differed significantly from normality

- 175 (the small sample of *P. potenziani* precluded analysis).
- 176 Neither species pair differed significantly in size-corrected R for anterior canals (Fig. 5). In

177	Presbytis, however, the species that leaps more frequently (P. melalophos) shows significantly
178	larger lateral canals; $t = 2.867$, $df = 7$, Sig. (2-tailed) = 0.024. The results for <i>Colobus</i> , however,
179	show an entirely different pattern; the leaping form (C. guereza) has both anterior and lateral canals
180	that are statistically indistinguishable from its congener, but possesses posterior canals that are
181	significantly smaller; $t = -2.656$, $df = 10$, Sig. (2-tailed) = 0.024 (Fig. 5).
182	
183	Figure 4 about here
184	
185	Figure 5 about here
186	
187	Discussion
188	
189	In Presbytis, the difference (larger lateral canals) between species that vary widely in the amount
190	they leap during locomotion is in the same canal and in the same direction as that reported for other
191	primates (Spoor et al., 2007). Combined with the fact that this statistically significant difference is
192	found even at relatively small sample sizes (n=4-6), this could be construed as support for previous
193	interpretations. The fact that Colobus species show a completely different pattern (the leaping form
194	has smaller posterior canals), however, suggest that caution should be exercised when attempting to
195	use the Presbytis results on their own to infer behaviour in extinct forms.
196	
197	The failure of primate congeners that differ in specifics in the locomotor repertoire to show a

consistent pattern in semicircular canal size suggests that either a) leaping reflects a sufficiently
different aspect of locomotor function from the multivariate agility categories utilised previously

200 that the morphological signals in the organ of balance are not comparable, b) increasing the amount

- 201 of leaping in the locomotor repertoire by over 100% has no effect on labyrinth morphology, or c)
- 202 the influence of drift at the lower taxonomic level examined here is greater than any inferred

functional adaptation. Precisely which of these possibilities is most probable is unclear at present.

205 Even though it had been suggested previously (Spoor et al., 2007; Walker et al., 2008) that leaping 206 may have a critical effect on the organ of balance, the differences between the broad behavioural 207 categories of agility used in more wide-ranging studies on the one hand and specifically leaping on 208 the other may be sufficient to result in distinct patterns of labyrinthine morphology. As the agility 209 scores used in previous research (e.g., Spoor et al., 2007) include speed (and, presumably, 210 additional attributes of locomotor behaviour), it may be that these factors (rather than percentage of 211 leaping) have affected the results. Indeed, although the larger lateral canals of tarsiers have been 212 linked explicitly to their leaping behaviour (Spoor et al., 2007), they have also been suggested to be 213 the result of the increased degree of head rotation associated with their vertical clinging and leaping 214 mode of movement (Spoor and Zonneveld, 1998). If this is the case, leaping may not be a 215 sufficiently powerful selective pressure to affect the organ of balance substantially, in which case 216 other explanations for the significant results obtained above are necessary.

217

218 It also could be argued that the amount of difference between taxa in terms of the percentage of 219 leaping in the locomotor repertoire recorded here (over 100% in both cases) is insufficient to affect 220 the organ of balance. If so, then the labyrinth differs from other anatomical systems (e.g., skeletal 221 and muscular; Fleagle, 1976, 1977) that demonstrate just such variation within the same subfamily; indeed, for one case, in the same genus. Again, however, the contradictory nature of the results 222 223 complicates the interpretation, as the significant results suggest that some effect is present, but in 224 two different directions on two different canals. It has also been demonstrated that a substantial 225 amount of phylogenetic signal may be present in labyrinthine morphology of some primate groups 226 (Lebrun et al., 2010), which could affect the results, although the use of congeneric pairs reduces the likelihood that this is a significant factor. In addition, because behavioural flexibility is a 227 228 characteristic of primates, and because behaviour can change more quickly than morphology,

evolutionary lag might be invoked (Blomberg et al., 2003), although it would not fully account forthe conflicting significant differences.

231

232 A final potential interpretation is that the differences seen are the result of genetic drift. If this is the 233 case, it would imply that chance affects labyrinth morphology to a greater extent than forces produced during locomotion. In some ways, drift is (and perhaps should be) the null explanation 234 235 for differences between closely related taxa (or, indeed, individuals); a notion that is receiving more 236 attention recently (e.g., Weaver et al., 2007; Betti et al., 2010). Additional testing would be required 237 to see if the pattern of the variation in semicircular canal radius in colobines is distinguishable from 238 those derived from drift models; if not, no functional explanation for the observed differences 239 would be necessary. Alternatively, it may be that a multivariate approach (Gunz et al., 2012) is 240 needed to differentiate between taxa such as those investigated here, although this may limit the 241 extent to which individual traits of the labyrinth can be subjected to statistical testing. In any event, the fact that these significant patterns are diametrically opposed in two sets of closely related taxa 242 243 reduces the confidence that can be placed on inferences derived from comparisons between fossil and living taxa. 244

245

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393 TABLES

394

395

396 from Fuentes (1996), Gebo and Chapman (1995), McGraw (1998) and Reed (1999). For both 397 genera, the species indicated by an asterisk (*) leaps more than twice as frequently as its congener. 398 399 TAXON % leaping % quadrupedalism % other 400 _____ 401 Presbytis melalophos* 67.5 20.5 12 402 *P. potenziani* 25 75 0 403 Colobus guereza* 38 41 21 404 C. polykomos 14.5 71.2 14.3 405 _____ 406 407 Table 2. Species means for canal radius R (mm) and the cranial size proxy (prosthion-inion length; 408 mm) 409 410 Taxon Anterior Posterior Lateral Size n 411 Presbytis melalophos 5 2.24 2.23 2.34 98.62 412 2.00 Presbytis potenziani 4 2.26 1.97 92.98 413 *Colobus guereza* 6 2.66 2.32 2.22 112.96 Colobus polykomos 6 414 2.48 2.28 109.28 2.61 415 _____ 416

Table 1: Percentage of leaping in the locomotor repertoire of *Presbytis* and *Colobus*. Data derived

418 FIGURE LEGENDS

420	Figure 1: Location of the bony labyrinth (shaded) in the temporal bone, seen in a 3D virtual
421	reconstruction of a <i>Presbytis</i> cranium derived from µCT scans and rendered semi-transparent.
422	
423	Figure 2: 3D virtual reconstruction of the bony labyrinth of <i>Presbytis</i> . The semicircular canals are
424	orientated orthogonally to one another for sensing pitch, roll and yaw of the head. Scale bar = 1mm.
425	
426	Figure 3: Height (h) and width (w) measurements used to calculate canal radius (R). Scale bar =
427	1mm.
428	
429	Figure 4: Virtual canals of a) Colobus guereza, b) C. polykomos (reversed), c) Presbytis
430	<i>melalophos</i> , and d) <i>P. potenziani</i> . Scale bars = 1mm.
431	
432	Figure 5: Box and whiskers plots of size-adjusted R of <i>Presbytis</i> and <i>Colobus</i> semicircular canals.
433	The anterior, posterior and lateral canals are indicated below each chart. The species that leaps
434	more frequently is indicated by the monkey symbol.











