

# Creation of glass-characteristic point defects in crystalline SiO<sub>2</sub> by 2.5 MeV electrons and by fast neutrons<sup>Ch</sup><sub>up</sub>

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## ABSTRACT

Point defects in crystalline SiO<sub>2</sub>, created by 2.5 MeV electron irradiation at dose below the amorphization threshold or by fast neutrons, were compared by luminescence spectroscopy. Oxygen dangling bonds (“non-bridging oxygen hole centers”, NBOHCs), peculiar to amorphous state of SiO<sub>2</sub>, were detected for the first time in electron-irradiated non-amorphized  $\alpha$ -quartz crystal. Their presence may signal the formation of nucleation centers in crystal structure as the first step to radiation-induced amorphization. Compared to crystal, irradiated by  $10^{19} \text{ cm}^{-2}$  fast neutrons, their concentration was over 100 times lower, and their inhomogeneous broadening was at least 2.5 times smaller. Divalent silicons (“silicon oxygen deficiency centers”, SiODC(II)), inherent to oxygen-deficient or irradiated SiO<sub>2</sub> glass, were detected in neutron-irradiated ( $10^{19} \text{ n/cm}^2$ )  $\alpha$ -quartz but were not found after the electron irradiation. Radiation-induced interstitial O<sub>2</sub> molecules, characteristic to irradiated glassy SiO<sub>2</sub> and other oxide glasses, are found in  $\alpha$ -quartz only after neutron irradiation. The oxygen atoms, displaced by the 2.5 MeV e<sup>-</sup> irradiation of  $\alpha$ -quartz for fluences up to  $10^{19} \text{ e}^-/\text{cm}^2$  evidently stays entirely in the peroxy linkage (Si-O-O-Si bond) form.

## 1. Introduction

Glassy SiO<sub>2</sub> (“silica”, “quartz glass”, “amorphous SiO<sub>2</sub>”) is the most important material for optical fiber waveguides, high-power laser optics and for radiation-resistant optics. Point defects in silica, created during the manufacturing or by irradiation are detrimental for most applications and have been treated in numerous works. As compared to single-crystal studies, spectroscopy of point defects in glass generally gives less information, due to their random orientation and much larger static site-to-site fluctuations (“inhomogeneous broadening”) in glassy matrices. Therefore, for compounds, which exist both in crystalline and glassy states, it would be advantageous to use the crystal as a “test medium”: to study the defects in detail in crystals, and then to apply the gained knowledge to the corresponding defects in glass.

Crystalline SiO<sub>2</sub> polymorph,  $\alpha$ -quartz, is commonly considered as such “ordered counterpart” of glassy SiO<sub>2</sub>. Both materials consist of corner-shared SiO<sub>4</sub> tetrahedra of nearly the same sizes. Si-O bond lengths are 160.4 and 161.3 pm in  $\alpha$ -quartz [1] and  $\approx 160$  pm in silica [2]. The amorphous/glassy disorder is characterized by distributions of Si-O-Si angles, inter-tetrahedral torsional angles and medium-range

connection topology (variations in the sizes of (Si<sub>n</sub>O)<sub>n</sub> (n = 3,4,5,6...) rings in the structure). These differences reduce the density of silica (2.20 g/cm<sup>3</sup>) compared to  $\alpha$ -quartz (2.65 g/cm<sup>3</sup>). A frequently over-looked point is that they also radically change the nature of point defects in both materials. Indeed, the majority of the point defects present in amorphous SiO<sub>2</sub> are not directly observable in crystalline SiO<sub>2</sub>.

An exception from this rule is the simplest Frenkel defect, the oxygen vacancy, which is observed both in crystalline and glassy SiO<sub>2</sub> with nearly similar optical absorption (OA) bands at 7.6 eV [3]. In contrast, the dangling bond type defects, characteristic to glassy SiO<sub>2</sub>, are completely absent in  $\gamma$ -irradiated non-amorphized  $\alpha$ -quartz [3–6] and thus cannot be studied in a strictly crystalline environment. This is unfortunate, since these defects are the most important ones in optical applications of glassy SiO<sub>2</sub>.

The oxygen dangling bond (siloxyl radical, “non-bridging oxygen hole center”, NBOHC) is a paramagnetic defect, which commonly gives the main contribution to radiation-induced OA in high purity silicas in the visible and in the mid-UV to vacuum-UV ranges [7,8]. The OA spectrum of NBOHCs is broad and typically overlaps with OA of other defects, therefore, apart from electron paramagnetic resonance (EPR)

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[9], its most reliable spectral fingerprint is photoluminescence (PL) band at 1.9 eV with decay time  $\tau \approx (10\text{--}20)$   $\mu\text{s}$ , excited into 2.0 and 4.8 eV OA bands, and showing zero-phonon line (ZPL) and vibronic structures under site-selective excitation [10,11]. NBOHCs are present in any irradiated silica or on the surface of activated SiO<sub>2</sub> particles [12], unless interstitial H<sub>2</sub> molecules have been added into glass to improve radiation toughness by converting NBOHCs to Si-O-H groups [13]. In contrast, despite the high sensitivity of the PL techniques, NBOHC's are not detected in  $\gamma$ -irradiated  $\alpha$ -quartz [5,6]. Calculations show [14] that NBOHC's on surfaces of crystalline SiO<sub>2</sub> should have similar properties to NBOHCs in bulk silica.

Another intrinsic dangling bond type defect, divalent silicon ("type II silicon oxygen-deficiency center", "SiODC(II)") gives rise to an UV absorption band at 5.04 eV ("B<sub>2</sub>" band) in oxygen-deficient or irradiated glassy SiO<sub>2</sub>. It is commonly the main culprit for lowering of the UV-transmission limit in infrared-transmitting "dry" (Si-OH-free) optical fiber waveguides [15]. It shows 2 characteristic PL bands at 2.6 eV ( $\tau = 10.2$  ms [4]) and 4.4 eV ( $\tau = 4$  ns [16]). Similar to the case of NBOHC, these bands are absent in the PL spectra of  $\gamma$ -irradiated  $\alpha$ -quartz [5,6].

The third intrinsic defect, inherent exclusively to the glassy form of SiO<sub>2</sub>, is interstitial O<sub>2</sub> molecule, formed by dimerization of radiation-displaced oxygen atoms. It is created by irradiation both in silica [3,4,9,17,18] and in many multicomponent oxide glasses [19,20]. Apart from nuclear particle [18,21] or ionizing [17,18,22] radiation, interstitial O<sub>2</sub> is efficiently created in glassy SiO<sub>2</sub> as well by fs laser irradiation [23]. In contrast to silica, the interstitial O<sub>2</sub> in  $\alpha$ -quartz is undetectable after  $\gamma$ -irradiation [3]. However it is found in quartz after particle irradiation [18], or after focused e<sup>-</sup> irradiation in electron microscope beyond the amorphization fluence [22].

In this way, these three defects, NBOHC, SiODC(II), and interstitial O<sub>2</sub> may be roughly considered as inherent exclusively to the non-crystalline/disordered state of SiO<sub>2</sub>. However, the situation is more nuanced in the case of nuclear particle (neutrons, ions) irradiation of crystalline SiO<sub>2</sub>, when densely spaced multiple defects and local amorphized regions surrounded by crystal lattice can form in particle tracks. Dangling oxygen bonds with "crystal-like" properties: an ordered orientation, a small inhomogeneous broadening – were found in neutron-irradiated ("n-irradiated") quartz, evidently, on the boundaries between the crystalline and disordered regions. This was shown by site-selective PL and EPR study of NBOHC in n-irradiated quartz [24], using the intense and sharp zero-phonon lines of NBOHC at low temperatures. For defects in crystals, ZPLs should have distinct "fixed" peak positions, determined only by the defect structure and thus insensitive to small changes of excitation photon energy. In contrast, in glassy SiO<sub>2</sub> the disorder gives rise to a continuous Gaussian-shaped distribution of ZPL energies. Their emission peak positions follow the resonance ("site selective") excitation energies within this distribution. Its halfwidth

(fwhm) is  $\approx 80$  meV for NBOHCs in the bulk silica [10] and 40 to 60 meV for NBOHCs on the surface of SiO<sub>2</sub> nanoparticles [12]. A superposition of both "crystal" and "glass" cases occurs in n-irradiated  $\alpha$ -quartz: both "crystal-characteristic" ZPLs with well-defined (fixed) peak energies (fwhm < 1 meV) and energy-distributed (fwhm = 20 meV), "glass-characteristic" ZPLs of NBOHC are found [24].

Hence the presence of the PL of O dangling bonds (NBOHCs) in quartz can serve as a sensitive probe for the onset of local amorphization, and the ratio between the numbers of crystal-like and glass-like NBOHCs can reflect the growth of the amorphized regions.

Compared to the fast-neutron or ion irradiation, MeV electron irradiation is "softer", since it does not create single-particle tracks and locally disordered regions. Amorphization of  $\alpha$ -quartz under focused electron microscope beam occurs first at very high fluences of  $\approx 3 \times 10^{20}$  (2.5 MeV e<sup>-</sup>)/cm<sup>2</sup> [25], see [26] for a recent review. Irradiation fluences  $\approx 10^{19}$  cm<sup>-2</sup> are reachable on linear electron accelerators. In this way, the onset of amorphization and the creation of dangling bond type defects in  $\alpha$ -quartz just below the amorphization

threshold can be explored.

As discussed above, apart from NBOHCs, two additional intrinsic defects can be regarded as peculiar to the glassy state of SiO<sub>2</sub>: two-fold coordinated silicon SiODC(II) [4] and interstitial O<sub>2</sub> molecule [18]. The purpose of the present study is to check for the onset of creation of these three "glass-characteristic" defects in crystalline SiO<sub>2</sub> by e<sup>-</sup> irradiation at doses below the amorphization threshold. That includes: (1) search for creation and spectral properties of oxygen dangling bonds in  $\alpha$ -quartz irradiated with 2.5 MeV electrons below amorphization threshold doses; (2) to check for the creation of SiODC(II) defects in  $\alpha$ -quartz by particle or e<sup>-</sup> irradiation; and (3) to check for the possible interstitial O<sub>2</sub> formation in electron-irradiated  $\alpha$ -quartz.

## 2. Experimental

### 2.1. Samples and irradiation

High purity synthetic  $\alpha$ -quartz samples (Asahi Glass/Tokyo Denpa) with typical metallic impurity concentrations (according to manufacturer, in cm<sup>-3</sup>):  $2 \times 10^{15}$  Al,  $2 \times 10^{15}$  Li,  $1 \times 10^{15}$  Na,  $5 \times 10^{13}$  Fe,  $4 \times 10^{13}$  Ge, similar to ones used in the previous work [3], were studied. They were irradiated by 2.5 MeV electrons using SIRIUS Pelletron linear accelerator at Ecole Polytechnique, Palaiseau with fluences between  $1.2 \times 10^{18}$  and  $3.0 \times 10^{19}$  e<sup>-</sup>/cm<sup>2</sup>, electron flux  $5.5 \times 10^{13}$  e<sup>-</sup>/cm<sup>2</sup> s (dose rate 51 MGy/h) and sample temperature 60 °C. The absorbed dose was calculated from the electron stopping power tables for SiO<sub>2</sub> [27] to range from 0.30 to 7.62 GGy, respectively, with close to uniform energy deposition (within 90% for the thickest 0.5 cm sample). Electron-irradiated samples were compared to fast (E > 1 MeV) n-irradiated ( $1 \times 10^{19}$  n/cm<sup>2</sup>) and  $\gamma$ -irradiated (13 MGy)  $\alpha$ -quartz samples, used in our previous work [18,24]. Three samples of synthetic SiO<sub>2</sub> glass were measured as a reference: (1) unirradiated oxygen deficient commercial KUVI type "dry" glass ( $< 5 \times 10^{16}$  SiOH/cm<sup>3</sup>;  $\approx 10^{16}$  SiODC(II)/cm<sup>3</sup>), (2) unirradiated oxygen-excess glass with  $2.8 \times 10^{17}$  interstitial O<sub>2</sub> molecules/cm<sup>3</sup> (type "Suprasil W"), and (3) n-irradiated ( $1 \times 10^{19}$  n/cm<sup>2</sup>) glass ("Suprasil W"), containing  $\approx 1.1 \times 10^{19}$  NBOHC/cm<sup>3</sup>. The neutron- and  $\gamma$ -irradiations have been performed previously in "IRT-2000" research reactor. The fast neutron (E > 1 MeV) flux was  $3 \times 10^{13}$  n/(cm<sup>2</sup> s), energy distribution peak at 1.3 MeV, sample temperature  $\sim 200$  °C.  $\gamma$ -irradiation was performed by liquid In-Ga-Sn alloy irradiation loop with <sup>116</sup>In as  $\gamma$ -ray source with average energy of 1.15 MeV and dose rate  $\sim 100$  kGy/h, sample temperature  $\sim 80$  °C. The native  $\gamma$ -ray background was not separated during the neutron-irradiations, since the radiation effects were dominated by neutrons.

### 2.2. Instrumentation

Time-resolved PL spectra were measured at the room temperature using 4th harmonic (266 nm, 4.66 eV) of Q-switched Nd-YAG laser (Quanta Ray Indi), pulse length 10 ns, energy 1.5 mJ. PL was recorded by a 193 mm focal length spectrograph (Andor Shamrock193i) equipped with iStar734-18 image-intensified CCD camera. Time-resolution better than 10 ns was facilitated by gating the image intensifier with a pulse of appropriate width, delayed relative to the laser pulse. Luminescence decay kinetics was obtained from series of spectra, taken by stepping the delay of a fixed-width time gate. The kinetics of the triplet luminescence of SiODC(II) centers in SiO<sub>2</sub> glass was measured using 250 nm excitation by mechanically chopped light of Xe-lamp, filtered through AMKO LTI 200 mm monochromator, with PL emission detected by Hamamatsu R955 photomultiplier and FastComtec 7882 multichannel counter.

CW-mode visible-to-UV range PL spectra were taken at temperatures between 14 K and 300 K with sample placed in closed-cycle He refrigerator (Leyboldt Heraeus RDK 10-320). The PL was recorded by 300 mm Andor Shamrock 303i spectrograph with Andor DU971N-UVB

cooled CCD camera. The excitation sources were CW HeeNe laser (5 mW,  $\lambda = 632.81$  nm Thorlabs HNL050L) with Semrock LP01-633RS long-pass filter blocking the laser light, and 150 W Xe lamp, filtered through two (tandem) AMKO LTI 200 mm monochromators. The spectral resolution for low-T measurements with HeeNe laser excitation was 0.5 or 0.8 meV (full width at half maximum, fwhm determined using Ne spectral line at  $\lambda = 650.65$  nm). The spectral calibration of Shamrock 303i spectrograph was finely adjusted at each used diffraction grating position by measuring the line-spectrum of Ne discharge lamp. This allowed for an accurate comparison between spectral positions of PL lines, obtained in different measurement sessions.

Near-infrared PL spectra of interstitial O<sub>2</sub> molecules were recorded at room temperature under 766 nm CW excitation by diode laser (700 mW, Leading Tech Laser Co, model ADR 1805), using AMKO LTI 200 mm monochromator and Hamamatsu R5509-43 liquid N<sub>2</sub>-cooled photomultiplier tube. Spectral resolution was 5 nm (fwhm).

The Raman spectrum of the n-irradiated crystal was compared to that of pristine crystal using Fourier-transform infrared Raman spectrometer (Bruker Equinox, excitation at  $\lambda = 1064$  nm, resolution  $8\text{ cm}^{-1}$ ).

### 3. Results

Since the red PL of oxygen dangling bonds (NBOHC) in SiO<sub>2</sub> glass has lifetime in 10...20  $\mu\text{s}$  range, and an excitation band around 4.8 eV [10], time-resolved PL spectra in  $\mu\text{s}$  range with 4.66 eV excitation were measured (Fig. 1). The top trace (a) shows PL spectrum of electron-irradiated (7.62 GGy,  $3 \times 10^{19}\text{ cm}^{-2}$ )  $\alpha$ -quartz. Its peak position (1.90 eV) and fwhm (0.19 eV) is very close to their values for similar time-resolved PL peaks of n-irradiated  $\alpha$ -quartz (1.89 eV, 0.17 eV, Fig. 1b) and of n-irradiated SiO<sub>2</sub> glass (1.90 eV, 0.17 eV, Fig. 1c). After correction for slightly different delay/gate parameters, it can be estimated that PL intensity of e<sup>-</sup>-irradiated crystal (Fig. 1a) is > 100 times lower than in the case of n-irradiated crystal (Fig. 1b). The slightly larger fwhm of the PL band in e<sup>-</sup> irradiated quartz could be due to an additional unresolved component in the 2 to 2.3 eV range. A PL band, similar to Fig. 1a, with  $\approx 100$  times less intensity was observed as well in less-irradiated ( $1.2 \times 10^{18}\text{ e}^{-}/\text{cm}^2$ ) quartz (not shown). No such PL band could be detected in time-resolved PL spectra of  $\gamma$ -irradiated (13

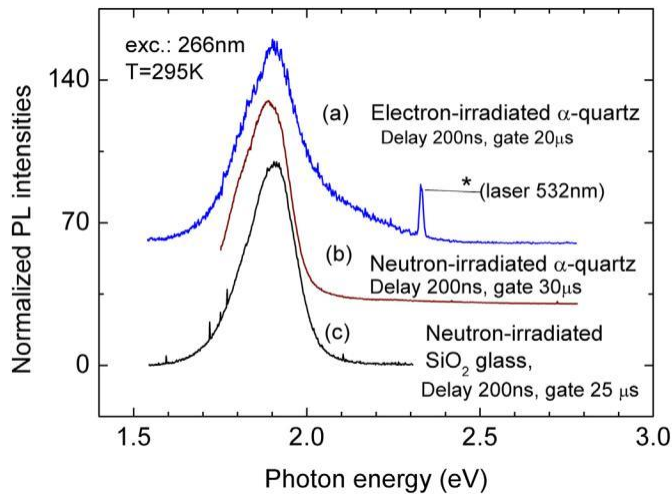


Fig. 1. Microsecond-range time-resolved photoluminescence spectra of 2.5 MeV electron-irradiated ( $3 \times 10^{19}\text{ e}^{-}/\text{cm}^2$ )  $\alpha$ -quartz crystal (a), neutron-irradiated ( $1 \times 10^{19}\text{ n/cm}^2$ )  $\alpha$ -quartz crystal (b), and neutron-irradiated ( $1 \times 10^{19}\text{ n/cm}^2$ ) synthetic SiO<sub>2</sub> glass (c). Excitation energy was 4.66 eV (266 nm), the time-resolved luminescence was recorded during the time gate, delayed relative to the 10 ns laser pulse. Gate widths and delays are indicated at each spectrum.  $T = 295$  K. The peak intensities are independently normalized, the spectra (a) and (b) are up-shifted by 30 and 60 arb. units for visibility.

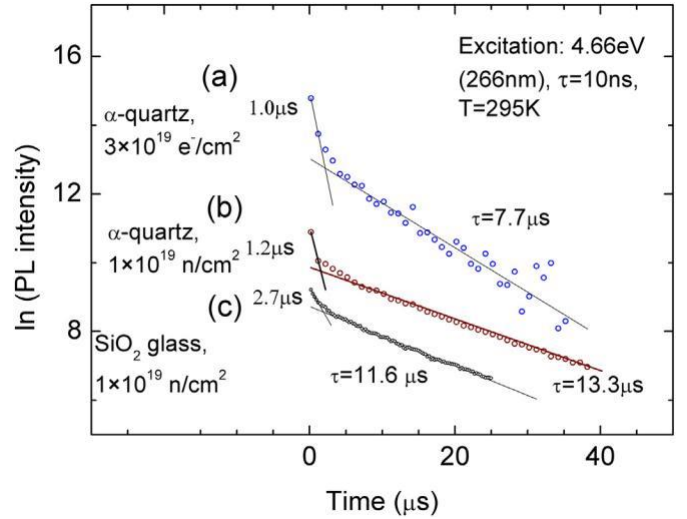


Fig. 2. Decay kinetics of the red photoluminescence in electron-irradiated  $\alpha$ -quartz (a), neutron-irradiated  $\alpha$ -quartz (b), and neutron-irradiated synthetic SiO<sub>2</sub> glass (c). Kinetics is obtained by integrating spectral regions (1.7–2.1) eV, (1.80–1.95) eV and (1.85–1.95) eV, respectively, which correspond to the peaks in luminescence spectra of Fig. 1.

MGy) or unirradiated  $\alpha$ -quartz, measured under the same conditions. Its absence allows to estimate that it is over 2000 times weaker than the PL band in Fig. 1a.

The decay kinetics of the 1.9 eV PL bands of Fig. 1 are shown in Fig. 2. The solid lines show the linear least squares fits to PL kinetics drawn in semi-log scale. The common features for all three samples are a short component in 1 to 3  $\mu\text{s}$  range and a longer component in 7 to 13  $\mu\text{s}$  range. The decay of the 1.9 eV PL in the e<sup>-</sup>-irradiated crystal is  $\approx 2\times$  shorter than that in n-irradiated glass. A comparison between the time-resolved spectra, measured during the first 3  $\mu\text{s}$  and those measured at longer delay times (not shown), indicates that the short kinetics component is at least partially due to an admixture of an additional PL band in the 2 to 2.3 eV region.

Fig. 3 shows a comparison between the PL spectra of neutron- (top, panel (a)) and e<sup>-</sup>-irradiated (bottom, panel (b))  $\alpha$ -quartz measured at temperatures between 14.5 K and 300 K with narrow-band (632.81 nm, 1.959 eV) excitation by HeeNe laser. Its energy falls into the region where sharp absorption lines of NBOHC are present [10] and therefore this excitation may be site-selective. Due to the much weaker PL intensity, the e<sup>-</sup>-irradiated sample was measured with worse spectral resolution (fwhm = 0.8 meV) as compared to the spectra of n-irradiated sample (fwhm = 0.5 meV). Both sets of PL spectra show a number of sharp bands, marked by vertical lines, arbitrary enumerated towards descending energies. The solid lines indicate bands, present both in neutron- and e<sup>-</sup>-irradiated samples, the dotted lines (#1, #3, #6) show bands observed only in one sample.

The peak positions and their “as measured” fwhm’s are summarized in Table 1. The fwhm of some PL bands, (e.g., the line #2 at 1.928 eV, fwhm = 0.88 meV) in e<sup>-</sup>-irradiated sample, are close to instrument resolution, (fwhm  $\approx 0.8$  meV @ 1.9 eV), indicating that the true half-widths of these lines are much smaller. However, the same line (#2) in n-irradiated quartz (Fig. 3, a) is measurably broader (fwhm = 1.99 meV, measured with resolution 0.5 meV) (Table 1). This allows to conclude that the true fwhm of this line in n-irradiated crystal is at least  $\approx (5-10)$  times larger than in e<sup>-</sup>-irradiated crystal.

Most of the sharp lines in spectra of Fig. 3 vanish on warming of the samples to 200 K. However, few lines (denoted as V1...V4) persist at the room temperature, indicating that they are most likely Raman lines of  $\alpha$ -quartz. Indeed, their spectral shifts relative to the excitation wave-length (632.81 nm) are 207, 266, 357, and 466  $\text{cm}^{-1}$  for lines V1, V2, V3, and V4, respectively. They correspond well to the reported Raman



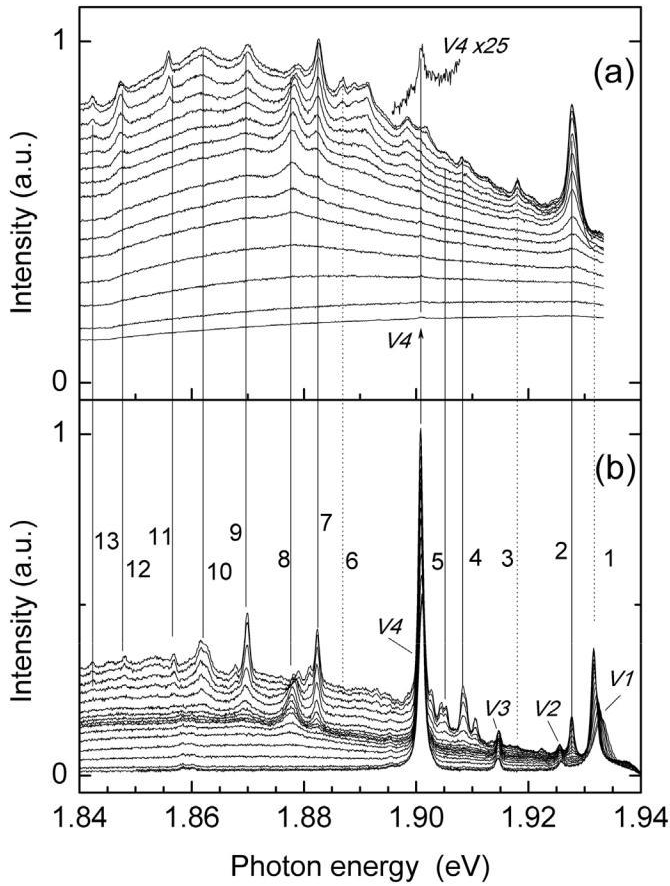


Fig. 3. Site-selective PL spectra of  $\alpha$ -quartz irradiated by  $1 \times 10^{19} \text{ n/cm}^2$  (top panel (a)) and by  $1.43 \times 10^{19} \text{ e}^-/\text{cm}^2$  (bottom panel (b)). Excitation wave-length is 632.8 nm (1.959 eV). The measurement temperatures (in K) are (top-down): panel (a): 14.5, 20, 30, ..., 70, 80, 100, 120, 140, 170, 200, 240, 270; panel (b): 14.5, 20, 30, ..., 90, 100, 120, 140, 170, 200, 240, 270, 300 (K). Vibrational Raman lines V1...V4 correspond to Raman shifts 208, 264, 357, 466  $\text{cm}^{-1}$ , respectively. Arrow at V4 (panel a, bottom trace) points to the low-intensity 466  $\text{cm}^{-1}$  Raman band measured at  $T = 270 \text{ K}$ . This band is shown in  $\times 25$  magnification (shifted) at the top. Vertical solid lines indicate PL peaks, detected in both samples, dotted lines (#1, #3, #6) point to PL peaks, resolved only in one sample. Both sets of spectra are independently normalized to 1 at  $T = 14.5 \text{ K}$  and have a common baseline at 0. Spectral resolutions are 0.5 meV (a) and 0.8 meV (b).

line positions in  $\alpha$ -quartz: 207 (A<sub>1</sub>), 265 (E), 356 (A<sub>1</sub>), and 464 (A<sub>1</sub>)  $\text{cm}^{-1}$  [28]. The 207  $\text{cm}^{-1}$  line shifts to 218  $\text{cm}^{-1}$  and its fwhm de-creases from 24 to 8  $\text{cm}^{-1}$  upon cooling from 300 K to 14 K.

The presence of Raman lines allows for a correct comparison between PL line intensities in different samples by normalizing the PL intensities to the accompanying Raman line intensity. For the PL line at 1.928 eV (line #2 in Fig. 3 and Table 1) the intensity ratios relative to the 466  $\text{cm}^{-1}$  Raman line (V4) are 206 and 0.124 for the n-irradiated and  $\text{e}^-$ -irradiated samples, respectively. Hence the intensity of this PL line in n-irradiated sample is  $\approx 1600$  times stronger compared to that in the  $\text{e}^-$ -irradiated sample.

The Raman spectrum of n-irradiated  $\alpha$ -quartz in Fig. 3a is largely obscured by PL. The infrared Raman ( $\lambda_{\text{excit.}} = 1064 \text{ nm}$ ) spectrum of this sample (not shown) was free of PL background. It revealed no change in line positions or halfwidths as compared to pristine  $\alpha$ -quartz. The fwhm of the dominant 466  $\text{cm}^{-1}$  line was 17  $\text{cm}^{-1}$  in both cases (spectrometer resolution 8  $\text{cm}^{-1}$ ). The position of 207  $\text{cm}^{-1}$  line, which is known [29] to broaden and shift to 162  $\text{cm}^{-1}$  upon quartz  $\alpha$ - $\beta$  phase transition, was not changed by n-irradiation.

Fig. 4 shows a comparison between the millisecond-range time-

Table 1

Peak positions and their halfwidths (fwhm) in PL/Raman spectra of  $\text{e}^-$ -irradiated and neutron-irradiated  $\alpha$ -quartz, measured at 14 K (Fig. 3). Spectrometer resolution was 0.8 meV and 0.5 meV, respectively. The corresponding Raman shifts at  $T = 300 \text{ K}$  and symmetries for Raman lines V1-V4 are given in par-entheses.

Peak # in Fig. 3	$1.4 \times 10^{19} \text{ e}^-/\text{cm}^2$		$1.0 \times 10^{19} \text{ neutrons/cm}^2$	
	Pos. (eV)	fwhm (meV, res. 0.8 meV)	Pos. (eV)	fwhm (meV, res. 0.5 meV)
1	1.932	1.10		
2	1.928	0.88	1.928	1.99
3			1.918	0.97
4	1.908	1.24	1.908	0.95
5	1.905	1.61	1.905	1.10
6			1.887	0.96
7	1.882	0.80	1.883	1.38
8	1.879	0.89	1.879	1.80
9	1.870	0.96	1.870	1.96
10	1.862	2.40	1.861	3.80
11	1.857	0.81	1.856	0.71
12	1.848	0.66	1.847	1.40
13	1.842	0.78	1.842	0.82
V1 (208 $\text{cm}^{-1}$ , A <sub>1</sub> )	1.933	2.75		
V2 (266 $\text{cm}^{-1}$ , E)	1.926	1.07		
V3 (357 $\text{cm}^{-1}$ , A <sub>1</sub> )	1.914	1.00		
V4 (466 $\text{cm}^{-1}$ , A <sub>1</sub> )	1.901	1.40	1.901	0.95

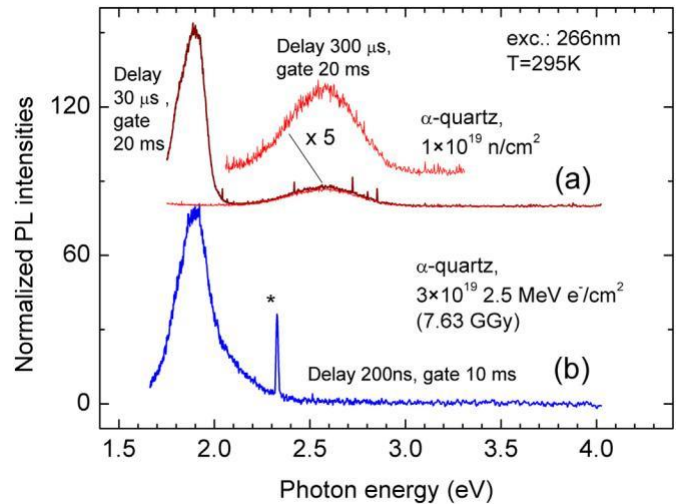


Fig. 4. Millisecond-range time-resolved photoluminescence spectra of  $\alpha$ -quartz, irradiated by fast neutrons ( $1 \times 10^{19} \text{ n/cm}^2$ ), (a) and by 2.5 MeV electrons ( $3 \times 10^{19} \text{ e}^-/\text{cm}^2$ ) (b). Excitation by 4.66 eV (266 nm) 10 ns laser pulses, the PL was recorded during delayed time-gate. Gate widths and delays are indicated. The peak intensities are independently normalized, 30 $\times$  higher gain was used for (b). Spectra (a) are up-shifted for visibility. The peak of the "slow band" in (a) is at 2.57 eV, halfwidth (fwhm) = 0.38 eV.

resolved PL spectra of n-irradiated and  $\text{e}^-$ -irradiated  $\alpha$ -quartz. The line marked by \* is laser harmonic. Only the red PL band at 1.90 eV, similar to the one found in microsecond range (Fig. 1a) is observed for  $\text{e}^-$ -irradiated crystal (Fig. 4, b). In contrast, in n-irradiated crystal an additional, "slow" PL band, which does not disappear after 300  $\mu\text{s}$  delay after the laser pulse is observed with peak at 2.57 eV and fwhm 0.38 eV (Fig. 4, a). The decay kinetics of this band is exponential with  $\tau = 8.7 \text{ ms}$  at  $T = 295 \text{ K}$  (Fig. 5, top).

For comparison, the decay kinetics of the blue 2.7 eV triplet PL of SiODC(II) centers in oxygen deficient glassy  $\text{SiO}_2$  is shown at the bottom, it yields an exponential decay constant  $\tau = 9.9 \text{ ms}$  at  $T=295\text{K}$ .

Fig. 6. (Color online). Ultraviolet photoluminescence of neutron-

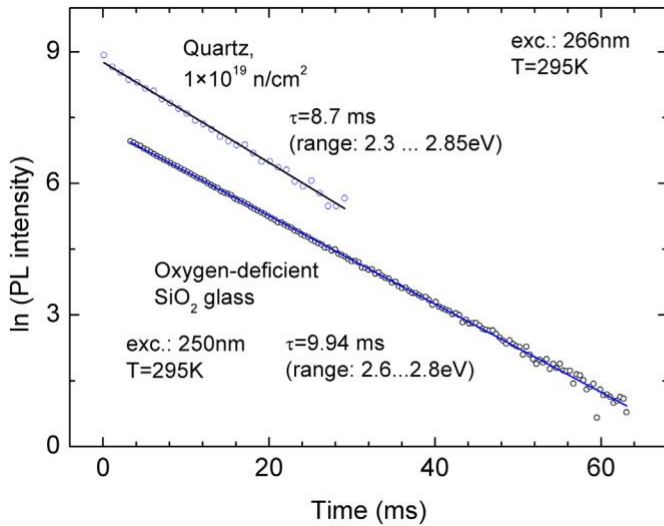


Fig. 5. Decay kinetics of the 2.6 eV luminescence band (Fig. 4) induced by neutron irradiation of  $\alpha$ -quartz (top) compared to the decay of the luminescence of the SiODC(II) – centers in unirradiated oxygen deficient SiO<sub>2</sub> glass (bottom).

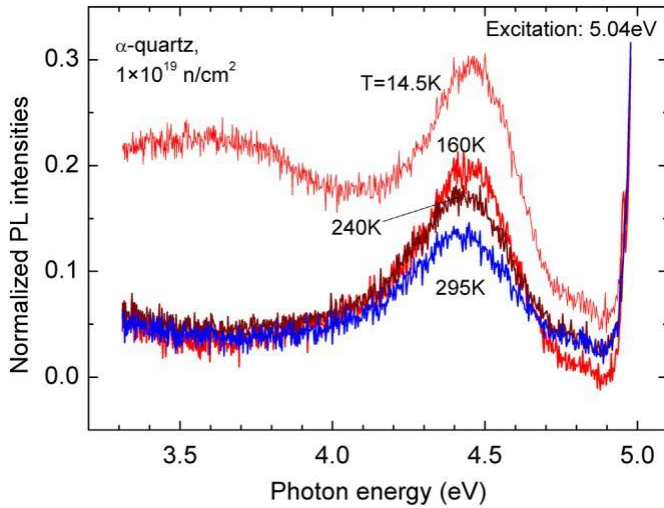


Fig. 6. Ultraviolet photoluminescence of neutron-irradiated ( $1 \times 10^{19} \text{ n/cm}^2$ )  $\alpha$ -quartz under excitation at 5.04 eV, the peak position of the absorption band of SiODC(II) centers in SiO<sub>2</sub> glass. Spectral resolution 16 meV at 4.4 eV.

irradiated ( $1 \times 10^{19} \text{ n/cm}^2$ )  $\alpha$ -quartz under excitation at 5.04 eV, the peak position of the absorption band of SiODC(II) centers in SiO<sub>2</sub> glass. Spectral resolution 16 meV at 4.4 eV.

The UV-range PL of n-irradiated crystal is shown in Fig. 6. A PL peak with peak at 4.38 eV and fwhm = 0.35 eV at T = 295 K is present under 5.04 eV excitation. On cooling to 14 K its intensity grows moderately and another PL peak at 3.5 eV appears (top curve). In  $e^-$ -irradiated sample the 4.38 eV PL band is completely absent, only the 3.5 eV PL band appears at low temperatures (spectrum not shown).

Fig. 7 shows the near-infrared PL spectra, recorded with 1.618 eV (766 nm) excitation at room temperature. The characteristic “singlet oxygen” PL band at  $\approx 1283 \text{ nm}$  (0.966 eV) due to interstitial O<sub>2</sub> molecules is present in n-irradiated quartz (trace b). It is completely absent in  $e^-$ -irradiated quartz samples (traces c,d). A sample of non-irradiated oxygen-rich SiO<sub>2</sub> glass containing  $2.8 \times 10^{17} \text{ O}_2/\text{cm}^3$  was measured as a reference (peak at 1275 nm, trace a).

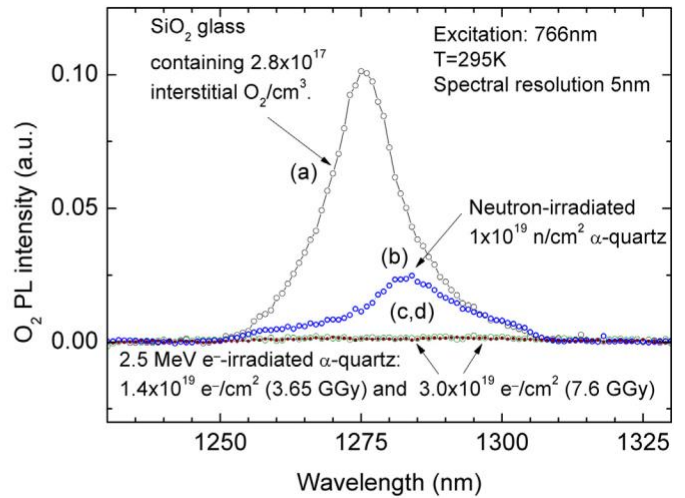


Fig. 7. Infrared luminescence of interstitial O<sub>2</sub> molecules in unirradiated SiO<sub>2</sub> glass, manufactured under oxygen-excess conditions (a) and of neutron irradiation-induced O<sub>2</sub> molecules in  $\alpha$ -quartz (b). Luminescence of O<sub>2</sub> in electron-irradiated  $\alpha$ -quartz was not detected (c,d). All spectra were taken under the same conditions.

## 4. Discussion

### 4.1. Oxygen dangling bonds

It is well-established (see [10,24] and references therein) that the ubiquitous 1.9 eV PL band in silica glass (Fig. 1c), which is also present in n-irradiated  $\alpha$ -quartz (Fig. 1, b), is caused by oxygen dangling bonds (NBOHC). The peak position and fwhm of the PL spectrum of  $e^-$ -irradiated  $\alpha$ -quartz (Fig. 1a) are similar to those of n-irradiated quartz or glass (Fig. 1a,b,c). Further, the lifetimes of the PL are very close in all three cases (Fig. 2). These similarities indicate that the red PL band in  $e^-$ -irradiated quartz is due to NBOHCs. As far as we know, this is the first observation of NBOHCs in non-amorphized crystalline SiO<sub>2</sub>, which has not been damaged by ion- or neutron-irradiation, capable of track formation. Additionally, the present data confirms the previously reported [5,6] absence of NBOHC PL in  $\gamma$ -irradiated quartz.

The assignment of the red PL in  $e^-$ -irradiated quartz is further supported by low-temperature site-selective PL spectra (Fig. 3). They show a number of sharp lines common both to n-irradiated (Fig. 3a) and  $e^-$ -irradiated (Fig. 3b) quartz. The line #7 at 1.882–1.883 eV has been previously identified as zero-phonon line [24] (named “ZPL2” in [30]) of a separate distinct sub-type of NBOHC’s in n-irradiated quartz. The line #9 at 1.870 eV belongs to another sub-type of ordered NBOHC’s. It coincides with the most intense line in the multiline structure (“L3” [30]) observed in time-resolved site-selective PL spectra of NBOHCs under near-resonance (1.879 eV) excitation.

The most intense line among the previously reported ZPLs of NBOHC in n-irradiated quartz is “ZPL1” at 1.933 eV [24,30]. However, it is absent in Fig. 3a, evidently because the energy of HeeNe laser photons (1.959 eV) does not exactly match the narrow near-resonance absorption/excitation lines of this sub-type of NBOHCs. In  $e^-$ -irradiated quartz (Fig. 3b) there is a 1.933 eV line at 300 K, which evidently is the  $207 \text{ cm}^{-1}$  Raman “soft mode” line of  $\alpha$ -quartz [28]. It sharpens and shifts to  $218 \text{ cm}^{-1}$  (1.9316 eV absolute position) on cooling to 14 K. The strong T-dependence of this line and its sensitivity to quartz  $\alpha$ - $\beta$  transition is well-known [29].

The previous work [24] has shown that in n-irradiated quartz there are 2 groups of NBOHC’s: (a) crystal-like, comprising several sub-types with distinct ZPL energies, (b) glass-like, embedded in disordered (amorphized) local environment and having much broader, continuous ZPL energy distribution. Comparison between Fig. 3a and b reveals that

the  $e^-$ -irradiated sample has a higher sharp-line to broadband PL intensity ratio, as compared to n-irradiated quartz. Part of the broadband component is of homogeneous origin, due to the phonon sidebands, which should be similar for the same generic type of defect centers. The increased broadband intensity in the n-irradiated sample then indicates a larger inhomogeneous broadening, and/or a larger volume fraction of amorphized regions. The on-average higher crystalline order around NBOHC's sites in  $e^-$ -irradiated quartz is confirmed by the significantly smaller fwhm of the sharp PL lines in  $e^-$ -irradiated sample. For example, the fwhm of line #2 at 1.928 eV for  $e^-$ -irradiated sample is over 10 times narrower compared to it in n-irradiated sample (Results section and Table 1).

In this way, the "crystal-like" subset of NBOHCs in  $e^-$ -irradiated quartz exists in more ordered sites, as compared to n-irradiated quartz. Their concentration is, probably, quite low. The PL intensity at 295 K and UV excitation is > 100 times lower, compared to n-irradiated quartz (Fig. 1a,b). This ratio is even larger for the sharp features in low-T PL spectra, for example, it is  $\approx 1600$  for the intensities of the 1.928 eV line (#2, Fig. 3, T = 14 K). However, in the latter case the ratio can additionally depend on the exact energy of the excitation used (HeNe laser, 1.959 eV in this case), since it can be accidentally selective, and has higher probability to be "off-resonance" with the narrower excitation/absorption lines in  $e^-$ -irradiated samples.

As signified by its name, the oxygen dangling bond has its terminal oxygen projecting into some structural void and interacting mainly only with its "own" SiO<sub>4</sub> tetrahedron, in approximate C<sub>3v</sub> local symmetry ("basis interactions"). Interactions from the opposite side, ("void interactions") are much weaker. Luminescent NBOHCs are well-known to exist on SiO<sub>2</sub> surfaces [10,12,31]. The disorder around the surface NBOHC's, measured by the fwhm of the distribution of resonance ZPL energies was found to be smaller as compared to bulk NBOHCs (40 meV vs. 80 meV) [32]. The creation of NBOHCs in  $e^-$ -irradiated quartz indicates a formation of internal voids of size comparable to voids in glassy SiO<sub>2</sub>. The extreme sharpness of non-resonantly excited ZPLs in  $e^-$ -irradiated quartz (Fig. 3) points to a creation of non-broadened NBOHC's, whose "basis interactions" are clearly with ordered crystal-line SiO<sub>2</sub> lattice, while the "void interactions" are either negligible (large voids) or uniform, due to the same shape of all voids, causing all centers to be equal.

The average diameter of interstitial voids in silica glass is estimated as  $\approx 5$  Å from positron annihilation studies [33]. Similar size voids develop in  $\alpha$ -quartz on n-irradiation of fluences >  $10^{19}$  n/cm<sup>2</sup> [34] or after ion implantation [35]. In the case of  $e^-$ -irradiation of  $\alpha$ -quartz it has been observed that disordered ("metamict") inclusions (diameter  $\approx 10$  nm at dose 10 GGy) initially grow heterogeneously, at irregularities of the crystal structure, most likely at precursor sites: hydroxyl impurities or dislocations [36]. The amorphization proceeds when sufficient concentration of point defects has accumulated and is complete at  $\approx 1$  TGy [36,37]. 2.2 MeV TEM study suggests even a lower amorphization dose of  $\approx 100$  GGy [25]. The present data (max. dose 7.62 GGy) do not allow to distinguish between the initial creation of voids at intact (intrinsic) quartz sites or from precursor-related sites. Calculations of NBOHCs on surfaces of different SiO<sub>2</sub> polymorphs have shown that their properties are only little changed by a presence of neighboring OeH group [14,38]. In the light of the previous works ([25,36,37]), the initial growth at precursor-sites is more likely.

#### 4.2. Divalent Si - ODC(II)

Given the creation of "amorphous-state" defects (NBOHC's) in high-dose  $e^-$ -irradiated quartz, it is of interest to look for a simultaneous creation of other defects, specific to amorphous SiO<sub>2</sub>. Type II silico-n-oxygen-deficiency center "SiODC(II)" is a much studied instance of such dangling bond/surface type defect. Its early assignment to "un-relaxed" oxygen vacancy has changed later to divalent Si atom, co-ordinated by 2 bridging oxygens in SiO<sub>2</sub> network on surface of silica or

on inner surface of a structural void. (see [4,9,39] for review). After observation of "crystal-like" and "glass-like" NBOHCs in n-irradiated quartz [24] it is reasonable to expect similar findings for SiODC(II) too. Overlapping PL bands, similar to singlet PL of SiODC(II), with peaks between 4.2 and 4.4 eV have been reported in n-irradiated quartz [40]. However, the long-lived triplet PL band of SiODC(II) at 2.6...2.7 eV with lifetime  $\tau$  of  $\approx 10$  ms has not been reported in quartz. Its decay constant can be regarded as the most unambiguous "fingerprint" of SiODC(II), since no other PL centers with  $\tau$  in the 3.0-30 ms range are known in crystalline or glassy SiO<sub>2</sub>. For example, a similarly positioned 2.7 eV PL band is reported in  $\gamma$ -irradiated quartz [5], however, its lifetime (3.6 ns) indicates its completely different origin.

The time-resolved "slow" PL band at 2.6 eV in n-irradiated quartz (Fig. 4a) has peak position, halfwidth and, the most important, the decay constant very close to those of SiODC(II) in glassy SiO<sub>2</sub> (Fig. 5): 2.6 vs. 2.7 eV, 0.38 vs. 0.4 eV and 8.7 vs. 9.9 ms, respectively. This confirms that SiODC(II) is created in n-irradiated quartz. This conclusion is further corroborated by the presence of the expected singlet PL band of SiODC(II) (Fig. 6), with peak position and fwhm (4.38 eV/ 0.35 eV @300 K) close to those in glass (4.3-0.4-4 eV/0.37 eV [4]).

Different from the case of NBOHCs, the low-temperature UV PL of SiODC(II) (Fig. 6) does not show any zero-phonon or vibronic sharp lines above the noise level. The expected ZPL position is at  $\approx 4.7$  eV, halfway between the peaks of PL (4.4 eV) and excitation (5.04 eV [4]). Arbitrary assuming "average" energy of the coupled phonons/local vibrations as  $\hbar\omega \approx 600$  cm<sup>-1</sup>, the Huang-Rhys factor S can be estimated as (Stokes shift)/ $2\hbar\omega \approx 4$  and the expected intensity of ZPL relative to the entire spectrum as  $e^{-S} \approx 0.02$ . Sharp line of this magnitude should be detectable even on the relatively high noise background of Fig. 6. Its absence indicates that either the neutron-created SiODC(II) are all strongly inhomogeneously broadened (unlike the case of NBOHC's), or that actual S values are significantly larger than 4 due to interactions with lower energy phonons, and therefore the ZPL intensity is low even in the absence of inhomogeneous broadening.

In the case of e-irradiated quartz, the characteristic slow triplet PL of SiODC(II) is not found (Fig. 4b), despite the high detectivity of time-resolved/laser-excited PL technique. On the other hand, as discussed above, NBOHC's are created in the same sample (Figs. 1-4). This may indicate that a larger scale local damage, as compared to simple O vacancies or NBOHCs, is needed to create divalent Si in quartz. This conclusion is in accord with the observations that SiODC(II) is most efficiently created by types of irradiation, which cause a massive localized damage (amorphization, nanovoids): ion beam [41], fs-laser writing [42,43], and is not created by "soft" (e.g.,  $\gamma$ - or electron) irradiation [5,6], capable of displacing only single oxygen atoms.

The closest crystal analog to the two-fold coordinated (divalent) Si atom in amorphous SiO<sub>2</sub> is oxygen divacancy, which can be viewed as divalent Si, neighbored by two Si dangling bonds, created by a removal of two bridging oxygen atoms from SiO<sub>4</sub> tetrahedron in  $\alpha$ -quartz lattice. It has been suggested [44] as a "bulk" version of "surface-type", isolated divalent Si. This idea can be reviewed in the light of the present results.

The lower limit of the concentration of O divacancies in our  $\alpha$ -quartz sample can be roughly estimated, by assuming that the oxygen atoms are displaced only by the knock-on damage, disregarding other efficient creation mechanisms, like ionization. Using oxygen displacement threshold energy 50 eV and electron beam energy 2.5 MeV, the oxygen knock-on cross section in SiO<sub>2</sub> can be calculated as  $\sigma_K = 1.1 \times 10^{-23}$  cm<sup>2</sup> (for details see the discussion on O displacement energy in SiO<sub>2</sub> and eq. 6 for evaluation of  $\sigma_K$  in review [26]). The probability of displacement of O atom by irradiation fluence  $\Phi = 3 \times 10^{19}$  e<sup>-</sup>/cm<sup>2</sup> is  $W_1 = 1 - \exp(-\Phi\sigma_K) \approx \Phi\sigma_K = 3.33 \times 10^{-4}$ . With  $\alpha$ -quartz having  $N = 5.32 \times 10^{22}$  O atoms/cm<sup>3</sup>, the estimated concentration of displaced O atoms, which roughly equals the concentration of O vacancies is  $NW_1 = 1.77 \times 10^{19}$  cm<sup>-3</sup>.

To create O divacancy, one of the 6 oxygen atoms, neighboring the oxygen vacancy must be additionally displaced. Assuming unchanged O



displacement energy, the probability of this process is  $W_2 = 6 W_1^2 = 6.64 \times 10^{-7}$ , and the estimated concentration of O di-vacancies is  $NW_2 = 3.53 \times 10^{16} \text{ cm}^{-3}$ .

This concentration of divacancies should give an observable luminescence, if they were responsible for SiODC(II) PL: 10 times lower SiODC(II) concentrations are detectable in glassy SiO<sub>2</sub> by conventional PL spectrometers. The above estimates of vacancy and divacancy concentrations are very crude and can easily be wrong by 1–2 decimal orders of magnitudes, in particular, because they ignore the reverse process of vacancy-interstitial recombination. However, pulsed laser excitation and time-resolved multichannel recording technique gives an additional sensitivity increase margin of  $> 10^3$  times and PL centers in concentrations  $< 10^{14} \text{ cm}^{-3}$  should be detectable. Therefore the data tentatively indicate that O divacancy in quartz crystal does not give PL bands similar to those of SiODC(II). Structural voids larger than re-presented by oxygen divacancy and a significant local damage, like in neutron tracks, could be necessary for SiODC(II) to form in quartz. This agrees well with the studies of Au<sup>+</sup> ion-beam damage in glassy SiO<sub>2</sub> [41], which indicate that SiODC(II) creation efficiency clearly correlates with nuclear energy loss.

#### 4.3. Interstitial O<sub>2</sub>

Infrared luminescence spectra of irradiated  $\alpha$ -quartz show presence of  $\approx 6 \times 10^{16} \text{ cm}^{-3}$  interstitial O<sub>2</sub> molecules in n-irradiated sample (Fig. 7b) and their absence ( $< 10^{15} \text{ cm}^{-3}$ , Fig. 7c,d) in e<sup>-</sup> irradiated samples. In the same time, the data discussed in the previous section indicate that at least  $10^{18} \text{ cm}^{-3}$  oxygen vacancies exist in the  $10^{19} \text{ e}^-/\text{cm}^3$  irradiated crystal. Different to the case of glassy SiO<sub>2</sub> [17], in the nearly intact crystal lattice of electron-irradiated  $\alpha$ -quartz the displaced oxygen atom evidently does not dimerize to form interstitial O<sub>2</sub>, but interacts instead with a normal "bridging" oxygen and forms a peroxy linkage (Si-O-O-Si bond), as predicted by most calculations, e.g., [45,46]. It is calculated that O<sub>2</sub> molecule in  $\alpha$ -quartz can be located in the structural channels along the c-axis, forms an angle of 51° between OeO direction and c-axis, however, it is strongly confined and causes a distortion of the surrounding quartz lattice [47]. The estimated energy of incorporation of O<sub>2</sub> in interstitial voids increases from 0.5 to 3.5 eV when going from amorphous SiO<sub>2</sub> to  $\alpha$ -quartz [48]. In contrast to these studies, interstitial O<sub>2</sub> was calculated to be the main diffusing species both in cristobalite and  $\alpha$ -quartz structures [49]. However, the presence of interstitial O<sub>2</sub> in undamaged  $\alpha$ -quartz has never been experimentally demonstrated, while there is ample Raman [19–21,23], PL [17,18,22, 24], EPR [9] or diffusion-based evidence for O<sub>2</sub> in silica and silica-based glasses. The present data further confirm the results of the previous study [3], performed at  $\approx 140\times$  lower dose (0.051 GGy  $\gamma$ -rays), that the POL configuration rather than interstitial O<sub>2</sub> may be the preferable way of incorporation of excess oxygen in undamaged  $\alpha$ -quartz lattice, and that creation of larger voids than available in an intact  $\alpha$ -quartz structure is required for interstitial O<sub>2</sub> configuration to exist.

#### 5. Conclusion

In this study, amorphous state-characteristic defects, oxygen dangling bonds (NBOHCs) were detected for the first time in crystalline SiO<sub>2</sub> irradiated by MeV electrons below the amorphization threshold. Compared to NBOHCs in amorphous SiO<sub>2</sub>, they show significantly smaller inhomogeneous broadening and are thus well-suited for de-tailed spectroscopic studies. Further studies of their specific properties on crystalline and amorphous SiO<sub>2</sub> surfaces may be helpful in understanding the different toxicities of crystalline and amorphous SiO<sub>2</sub> surfaces, which are related to the high reactivity of surface NBOHCs [38,50]. In contrast, two other amorphous-state-characteristic defects, divalent Si atom ("SiODC(II)", silylene center) and interstitial O<sub>2</sub> molecule were not found in the same e<sup>-</sup> irradiated  $\alpha$ -quartz samples. They were, however, present in neutron-irradiated quartz, evidently, in

the particle tracks. The creation of divalent Si in neutron-irradiated quartz was unambiguously confirmed by detecting of its long-lived triplet luminescence. While divalent Si is less convenient than NBOHC for site-selective luminescence studies due to its stronger electron-phonon coupling, more detailed low T studies of divalent Si in quartz matrix look promising.

All three defects, NBOHC, divalent Si and O<sub>2</sub>, require a presence of internal interstitial voids in SiO<sub>2</sub> with sizes, evidently larger than available in pristine  $\alpha$ -quartz. However, only NBOHC's were found in e<sup>-</sup> irradiated quartz, exposed to doses below the amorphization threshold. It remains to establish whether they were created from their natural precursors, lattice-bound silanol groups (Si-O-H), typically decorating lattice defects in synthetic quartz, or that their appearance is the first mark of the onset of amorphization.

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