- 1 *Title*: Climate change impacts on long term forest productivity might be driven by species
- 2 turnover rather than by changes in tree growth
- 3
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21

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Data availability statement: the original data used in this study are either available within the
 article (table S1), are part of on the models used (FORCLIM; Bugmann, 1996), or are available in
 the public domain: Atlas Florae Europaeae (AFE; Jalas & Suominen, 1972–1994; Jalas,
 Suominen, & Lampinen, 1996), EUFORGEN (<u>http://www.euforgen.org/</u>), Climatic Research
 Unit CL v. 2.0 (New, Lister, Hulme, & Makin, 2002) and EURO-CORDEX project (Jacob et
 al., 2014). The climate data was accessed and processed using the DataGURU server
 (dataguru.lu.se).

39

40 Biosketch

- 41 Raúl García Valdés (orcid.org/0000-0001-6399-4709) is a postdoct at CREAF Univ.
- 42 Autònoma de Barcelona. He studies the effects of climate change on tree species distributions,
- 43 forest functioning, and ecosystem services. His research focus on the lack of equilibrium
- 44 between species distribution and climate, and on forest composition functioning relationships.
- 45 He addresses these issues by combining and analysing large tree and trait databases, and by
- 46 developing and coupling species distribution, patch, and forest growth (or gap) models. He is
- 47 currently interested on how climate and tree species, or traits, composition interact and affect
- 48 forest properties and functioning. For a complete list of publications please visit
- 49 <u>https://www.researchgate.net/profile/Raul_Garcia-Valdes</u>

51 Abstract

- 52 Aim: Climate change impacts forest functioning and services through two inter-related effects.
- 53 First, it impacts tree growth, with effects, for example, on biomass production. Second, climate
- 54 change might also reshuffle community composition, with further effects on forest functioning.
- 55 However, the relative importance of these two effects has rarely been studied. Here, we
- 56 developed a novel modelling approach to investigate such importance for forest productivity.
- 57 Location: 11 forest sites in central Europe.
- 58 Time period: Historical (years 1901-1990) and end-of the-century (2070-2100) climatic
- 59 conditions. We simulated 2000 years of forest dynamics for each condition.
- 60 Major taxa studied: 25 common tree species in European temperate forests.
- 61 Methods: We coupled species distribution models and a forest succession model, working at
- 62 complementary spatial and temporal scales, to simulate the climatic filtering shaping potential
- tree species pools, the biotic filtering shaping realized communities, and the functioning of these
- 64 realized communities in the long term.
- 65 Results: Under an average temperature increase (relative to 1901-1990) of between 1.5 °C and
- 66 1.7 °C, changes in simulated forest productivity were mostly caused by changes in the growth of
- persisting tree species. With an average temperature increase of $3.6 \text{ }^{\circ}\text{C} 4.0 \text{ }^{\circ}\text{C}$, changes in
- 68 simulated productivity at currently climatically mild sites were again predominantly caused by
- 69 changes in tree species growth. However, at the currently warmest and coldest sites,
- 70 productivity changes were mostly related to shifts in species composition. In general, at the
- coldest sites, forest productivity is likely to be enhanced by climate change, and at the warmest
- sites productivity might increase or decrease depending on the future regime of precipitation.
- 73 Main conclusions: Combining two complementary modelling approaches that address questions
- at the interface between biogeography, community ecology, and ecosystem functioning, reveals
- that climate change-driven community reshuffling in the long term might be critically important
- 76 for ecosystem functioning.
- 77

78 Keywords:

- 79 Climate change, forest succession modelling, forest community composition, species
- 80 distribution modelling, species range shifts, temperate forests, tree growth, tree species richness.

81 Introduction

Forests cover about a third of the world land surface, harbour most of the terrestrial 82 biodiversity, and represent an important carbon sink. They also play a pivotal role in climate 83 regulation (Chapin, Randerson, McGuire, Foley, & Field, 2008) and provide other important 84 ecosystem services (Kumar, 2012). However, climate change is affecting many of these forest 85 ecosystem services, such as biomass production and carbon sequestration (Kirilenko & Sedjo, 86 2007), with this impact likely to be strengthen in the future (Pachauri et al., 2014). The 87 influence of climate change on forests can be divided into two inter-related effects (Adler, 88 Leiker, & Levine, 2009, Morin et al., 2018). Climate change affects forests by altering tree 89 90 physiological rates (Sack & Grubb, 2001), e.g. growth (Silva & Anand, 2013), phenology (Cleland, Chuine, Menzel, Mooney, & Schwartz, 2007), or survival (Allen, Breshears, & 91 McDowell, 2015), which has direct consequences for ecosystem functioning (e.g. biomass 92 93 productivity). Climate change also affects forest functioning when the pressure of climate 94 change on trees' growth is strong enough to drive species' local extinction and colonization, as 95 seen in natural, experimental and simulated forests (Liang et al., 2016; Morin, Fahse, Scherer-96 Lorenzen, & Bugmann, 2011; Paquette & Messier, 2011). Understanding the relative importance of these two effects would greatly help to improve the projections of the impact of 97 climate change on forest functioning, and on key ecosystem services such as carbon uptake and 98 biomass provision. However, the two effects have been mostly studied separately, and very little 99 100 is known about their relative importance for forest productivity under different circumstances 101 (but see Coomes et al., 2014; Zhang, Niinemets, Sheffield, & Lichstein, 2018).

102 The effects of climate change on species composition might amplify (Zhang et al., 103 2018) or counteract (Fauset et al., 2012) the effects of climate change on tree growth. 104 Furthermore, recent studies found that effects on species composition might be greater than previously expected. García-Valdés, Bugmann, and Morin (2018) found that when tree species 105 106 go extinct in the order we would expect given climate change, reductions in the projected productivity of some forests were greater than we would expect by the single effect of random 107 species loss. Thus, most of Biodiversity-Ecosystem functioning studies (e.g. Liang et al., 2016), 108 might underestimate the strength of biodiversity loss when caused by climate change. Similarly, 109 110 Morin et al. (2018) found that warmer and drier conditions might strongly affect Biodiversity-Ecosystem functioning in forests experiencing the harshest climatic conditions, illustrating the 111 importance of understanding changes in species composition for forest functioning. These 112 113 findings suggest that local conditions, and the magnitude of the change in climate, might interact to determine the relative importance of tree physiological changes, and species 114 115 reshuffling, for forest functioning.

Nonetheless, disentangling these two effects of climate change on ecosystem 116 117 functioning is difficult. While estimating the effects of climate change on tree growth could be relatively straightforward through experiments or long-term observations (Hasenauer, Nemani, 118 Schadauer, & Running, 1999), estimating the effects on species composition is much more 119 120 complicated (Barry et al., 2018). Several mechanisms underlie the effects of climate change on species composition. First, climatic filtering determines whether the local environmental 121 conditions are suitable for a species. Second, biotic filtering occurs when interactions among 122 123 potentially co-occurring species lead to the exclusion or acceptance of some species. While 124 climatic filtering is commonly studied at large spatial scales, such as regions or continents 125 (Thuiller, Lavorel, Araújo, Sykes, & Prentice, 2005), species interactions are mostly studied at 126 the local scale (Mayfield & Levine, 2010). We therefore studied both processes, each at the 127 relevant spatial scale, to quantify their effects on future composition of forest communities.

Species distribution models (hereafter "SDMs") typically work by correlating the 128 129 recorded presences of individual species with environmental variables (Gotelli et al., 2009), and 130 can be used to simulate climatic filtering. Although this approach carries some caveats (see 131 Dormann et al., 2012 and Discussion section), SDMs are particularly robust for measuring the 132 environmental tolerances of species that are broadly distributed (Early & Sax, 2014; Estrada, 133 Delgado, Arroyo, Traba, & Morales, 2016), as are the species considered here. Forest 134 succession models (FSMs) - also called gap models (Bugmann, 2001) - can be used to simulate 135 forest community dynamics from a few hundred square-meters up to landscape scale), given a 136 specific starting species pool (Chauvet, Kunstler, Roy, & Morin, 2017). FSMs are based on a minimum number of ecological assumptions (Botkin, Janak, & Wallis, 1972), and rely on the 137 ecophysiological responses of trees to abiotic factors (including climate), and biotic factors (i.e. 138 139 inter and intra-specific interactions), to simulate individual tree growth and succession 140 dynamics (colonizations and extinctions) over time (Bugmann, 2001). FSMs can hence simulate 141 both the biotic filtering of species by forecasting the realized tree community (i.e. at long-term 142 equilibrium), and the effects of climate change on tree growth.

143 In this study, we coupled SDMs and FSMs to assess the relative importance of climate 144 change effects on tree growth and species composition for the productivity of central European 145 forests. Previous studies have coupled SDM-like modules with a process-based component simulating key processes, such as dispersal and demography (e.g. range dynamic models; 146 Sarmento Cabral et al., 2013), and/or competition, e.g. the hybrid FATE-H model that considers 147 148 only plant functional types (Boulangeat, Georges, & Thuiller, 2014). At a larger spatial scale, 149 Meier, Lischke, Schmatz, and Zimmermann (2012) coupled a SDM with a FSM to predict the 150 range-shift rates of several tree species under climate change, while accounting for interspecific 151 competition. However, to our knowledge, no previous work has compared how climate change

- 152 will affect forest productivity through both altered species growth and composition by coupling
- 153 SDMs' with FSMs' projections. Our study is thus among the first to take advantage of the
- 154 complementarity of the two kinds of models to address questions at the interface between
- biogeography, community ecology, and ecosystem functioning. Specifically, we used 11 forest
- sites as an example and aimed at answering the following questions:
- 157 (1) How will climate change affect long-term forest aboveground biomass productivity158 in European temperate forests?
- (2) What will be the relative contribution of the changes in tree growth and of the shiftsin species composition to such changes in forest productivity?
- 161 (3) How will current local climatic conditions and the magnitude of climate change162 influence the patterns found in (1) and (2)?

163 Material and methods

164 <u>Overview</u>

165 In summary, we simulated the productivity of 11 forest sites across central Europe 166 under historical (1901-1990) climatic conditions, and future (2071-2100) conditions, given four climate change projections. To do so, we first used SDMs (Fig. 1) to forecast the future 167 168 suitability of 25 common tree species (i.e. climatic filtering). We then combined the suitabilities 169 for all species in each location to generate *potential* species pools (e.g. Thuiller et al., 2005). Second, starting from these potential species pools and bare-ground conditions (no trees in the 170 site), we used the local-scale FSM FORCLIM (Bugmann, 1996) to simulate 2000 years of forest 171 172 succession (i.e. biotic filtering), leading to *realized* forest communities. Once each community had reached equilibrium (after 1000 years) we aggregated the simulated annual productivity 173 across all trees in the site. Finally, we calculated the relative contribution of the changes in tree 174 175 growth and in community composition on the differences in productivity between the current 176 climate and the future climatic conditions. We explain all these steps in detail below.

177

178 <u>Study sites</u>

The geographic background from which species distributions and climatic data were drawn for SDMs comprises Europe from $-10^{\circ}9'23''$ E to $30^{\circ}43'0''$ E and $34^{\circ}59'30''$ N to $70^{\circ}58'33''$ N. We simulated forest growth in 11 temperate forest sites across central Europe (nine in Switzerland and two in Germany; Table S1). These sites cover a broad range of temperature and precipitation conditions (Table S1), and represent the diversity of environments and forest types in central Europe, as illustrated by previous studies (Bugmann, 1994; Morin et al., 2011).

186

187 <u>Climate data for Species Distribution Models</u>

We used historic climate data (climatology over the 1961-1990 period) from the 188 Climatic Research Unit CL v. 2.0 dataset (New, Lister, Hulme, & Makin, 2002) and projected 189 190 future climate data from the EURO-CORDEX project (Jacob et al., 2014), both at 10' 191 resolution. Two Representative Concentration Pathways (RCPs): 4.5 and 8.5, and two General 192 Circulation Models (GCMs): CERFACS-CNRM-CM5 (CNRM-CM5) and ICHEC-EC-EARTH 193 (EC-EARTH) were used in this study. Projected future climate data were downscaled via the 194 Rossby Centre regional climate model (RCA4) within the CORDEX project. We used four 195 climatic variables: mean annual growing degree-days ($> 5^{\circ}$ C), mean temperature of the coldest 196 month, annual precipitation, and a summer moisture index (potential evapotranspiration divided

197 by precipitation). These variables have been previously used to model plant and vertebrates in

198 Europe and they reflect two primary properties of climate (energy and water) that have been

199 shown to affect species distributions (Araújo, Alagador, Cabeza, Nogués-Bravo, & Thuiller,

200 2011; Morrison, Estrada, & Early, 2018). We used averaged annual values of these climatic

variables for the 1961-1990 period as historical climatic conditions. For future climatic

- 202 conditions, we used averaged simulated data of the variables for the 2071-2100 period under
- 203 four climate change projections
- 204

205 <u>Climate data for the Forest Succession Model</u>

206 We simulated 2000 years of forest dynamics with FORCLIM, given a "historical-like" 207 climate (baseline) projection and four "future-like" climate change projections (RCPs 4.5 and 208 8.5, and GCMs CNRM-CM5 and EC-EARTH, downscaled using RCA4). To do so we needed a 209 generic 2,000 year-long time-series of monthly temperature (T) and precipitation (P) that incorporated inter-annual variability. For this purpose, we used the climate simulator embedded 210 211 in FORCLIM, which uses the monthly mean and standard deviation of T and P, and the 212 correlation between them (Bugmann, 1994). For the historical-like climate, we generated 2000 213 years of data directly using the mean, standard deviation and correlation of monthly T and P 214 (from Bugmann, 1994), which was calculated from historic (1901–1990) data from the Swiss Meteorological Agency (Bantle, 1989). Such data have previously been used to calibrate and 215 validate forest productivity simulated by FORCLIM in our study sites. These data were 216 217 analogous to, but not the same as the climatic time series from the 1901-1990 period (which we could not use as the time series was not long enough). 218

219 To simulate future climate conditions, we could not directly use data from GCM climate 220 projections because these models use a different "historical" data for bias correction to the data we used (1901-1990 data from Bugmann, 1994). To circumvent this problem, we calculated a 221 climatic anomaly for each climate projection (see Morin & Chuine, 2005). For each climate 222 223 projection we calculated the differences in monthly T and P between the future climate (years 2071-2100) and the current climate (years 2006-2016). These anomalies quantify how much T 224 225 and P would vary from baseline values under every climate projection. We added these 226 anomalies to the means of the historical climate data from Bugmann (1994), and for each 227 climate projection we generated 2000 years of climate data for each of the four future climate 228 projections. All climate time-series thus contained no trend. In the projected future climate time-229 series, the estimated changes in temperature depended mostly on the RCPs, while the estimated 230 changes in precipitation depended mostly on the GCMs. The differences between the four climate projections and historical climate (1901-1990 period from Bugmann, 1994) were: (1) 231

232 RCP 4.5-CNRM-CM5, moderately warmer with more precipitation; (2) RCP 4.5-EC-EARTH,

233 moderately warmer with similar precipitation; (3) RCP 8.5-CNRM-CM5, much warmer with

more precipitation; and (4) RCP 8.5-EC-EARTH, much warmer with similar precipitation. The

stress experienced by trees increases sequentially under projections 1-4. See Table S1 and S2for specifics.

237

238 <u>Species data and the Species Distribution Models</u>

239 We considered 25 of the most common tree species in this region (Table S3). We used presence data from the Atlas Florae Europaeae (AFE; Jalas & Suominen, 1972–1994; Jalas, 240 241 Suominen, & Lampinen, 1996). When a species was not recorded in the Atlas, we used 242 distribution data from EUFORGEN (http://www.euforgen.org/; see Table S4). AFE presence 243 data were from 50 km × 50 km Universal Transverse Mercator (UTM) grid cells, and 244 EUFORGEN presence data were range maps. We transformed EUFORGEN range maps into 245 \sim 50km UTM cells to run the models. We calculated the average historical climatic conditions in 246 each 50-km grid cell from the 10' climate grid resolution. The relationships between historical 247 climatic variables and species' distributions were modelled using seven SDM techniques: 248 generalized linear models (GLM), generalized additive models (GAM), generalized boosting 249 models (GBM), classification tree analysis (CTA), artificial neural networks (ANN), flexible discriminant analysis (FDA), and surface range envelope (SRE). Models were calibrated for the 250 historical period (1961–1990) using an 80% random sample of the initial data, and cross-251 252 validated against the remaining 20% of the data, using the area under the receiver operator 253 characteristic curve (AUC) and the true skill statistic (TSS). SDMs were calculated 10 times, 254 each time selecting a different 80% and 20% of the data for calibration and evaluation. Results 255 from each SDM technique were then included in an ensemble model if the AUC from cross-256 validation was higher than 0.8 and TSS was higher than 0.6 (similar to Araújo et al., 2011). 257 However, the final ensemble model for each species was calibrated using 100% of the species 258 distribution data to maximise the amount of data available for projections. For each species, the 259 ensemble was calculated using the mean probability of occurrence, weighted proportional to the 260 AUC and TSS obtained on the evaluation data. Ensemble models calibrated at 50-km resolution were downscaled to obtain suitability in each 10' grid cell. We projected ensemble models to 261 262 future climatic conditions at 10' resolution for the four future climate projections (the combination of GCMs and RCPs). This approach follows methods employed by Araújo et al. 263 264 (2011), though using more recently constructed climate data. All models were run in R (R Core Team, 2014) using default options of the *biomod2* package (Thuiller, Georges, & Engler, 2013). 265

267 *Forecasting of potential tree species pool in each site*

For each site and climate projection, we used the SDM-predicted suitability for each species to build a local species pools. To use the continuous suitability projections (rather than use an arbitrary threshold to distinguish suitable or unsuitable), we built 100 potential species pools for each site and climate projection and included each species proportionally to its climate suitability. For example, if the suitability of a given species in a given site and for a given climate projection was 0.6, this species would be included in 60 (randomly chosen) of the 100 species pools for that site and climate projection.

275

276 *Forest Succession Model*

277 FORCLIM projections have been shown to be robust under various climatic conditions 278 across a large number of studies (Bircher, Cailleret, & Bugmann, 2015; Gutiérrez, Snell, & 279 Bugmann, 2016; Rasche, Fahse, & Bugmann, 2013; e.g. Rasche, Fahse, Zingg, & Bugmann, 280 2011). Its projections of forest biomass productivity have been validated for the sites used in 281 this study and using climate values generated with the same historical means, standard 282 deviations and cross-correlations as the ones used here (Rasche et al., 2013). It has also been 283 specifically used to study climate change effects on forest functioning on these sites (Didion, 284 Kupferschmid, Wolf, & Bugmann, 2011; Mina et al., 2017; Morin et al., 2018; Rasche et al., 285 2013).

286 In FORCLIM, the establishment, growth and mortality of trees are simulated using the abiotic and biotic conditions in small independent patches (800 m² in this study). Tree location 287 288 in the patch is not estimated, and all trees compete for light. The properties of several patches 289 are aggregated to calculate forest properties across larger extents (Bugmann, 2001; Shugart, 290 1984). Tree establishment is modelled as a stochastic process, depending on species-specific 291 responses to light availability at the forest floor, growing degree-days, drought occurrence, and 292 minimum and maximum winter temperature. Tree growth is measured as stem diameter 293 increment, which depends on each species' optimum growth rate, abiotic conditions 294 (temperature, drought, and soil nitrogen), and biotic conditions (light availability, possibly 295 reduced due to shading by competitor trees). Therefore, while competition for water and 296 nitrogen between individuals are not taken into account explicitly in the model, soil water and 297 nitrogen content constrain tree establishment and growth differentially between species in a given site, which in turn affects competition between trees (Appendix S1). Competition for light 298 299 is modelled by calculating the amount of available light for each individual tree depending on 300 tree height and the crown sizes of competing trees. FORCLIM also incorporates a shade tolerance parameter (Ellenberg, 1991), defining the classic trade-off between growth in full light and 301

302 survival in shade. Tree mortality has two components: (1) a 'background' mortality, which is

- 303 constant across time and depends on the species' maximum longevity, and (2) growth-related
- mortality reflecting the effect of stressful conditions on tree survival (i.e., trees with decreased 304
- vigour are more likely to die). The species parameters for FORCLIM can be found in Table S3, 305
- 306 and more details about the model can be found in Appendix S1, and in Didion, Kupferschmid,
- 307 Zingg, Fahse, and Bugmann (2009), and Bugmann (1996).
- 308
- 309

Simulations of forest succession dynamics

For each set of climate conditions (historical and future), we simulated 2000 years of 310 311 forest dynamics with FORCLIM. This allowed us to assess the relative contribution of tree 312 growth and species composition to climate-driven changes in productivity between the historical 313 and future periods. However, this approach means that the simulations should not be taken as 314 predictions of forest composition and productivity for the end of the 21st century, because the effect of, e.g. species colonization, is not realistic for short-term projections. 315

316 After having checked that FORCLIM simulations conducted in the same conditions (site, 317 climate, species pool) yielded very similar results after 2000 years, we performed one FORCLIM 318 simulation for each site (n = 11), each set of climate conditions (historical and future, n = 5) and 319 each species pool (n = 100). Each simulation included 100 patches of 800 m² each, 320 corresponding to an 8 ha forest. FORCLIM simulations started from bare-ground to avoid the influence of starting conditions. For each FORCLIM simulation, only the species in the site's 321 322 species pool - determined by the SDMs - were allowed to colonize the patches. The simulations were run for 2,000 years to allow forests to reach equilibrium in total biomass and composition, 323 324 thus avoiding transient states. We extracted the productivity and composition from simulations 325 after 1000 years, to allow the system to reach equilibrium. To avoid temporal autocorrelation we extracted values from the first year of each century after the year 1,000 (i.e., the years 1100, 326 1200, ... 2000; cf. Morin et al., 2011) and averaged the results from these sampled years across 327 328 patches. For the calculation of the realized community composition we considered that a species was present in a community only if its simulated biomass reached $1 \text{ Mg} \cdot \text{ha}^{-1}$. 329

330

331 *Quantifying growth and composition effects of climate change*

332 To quantify the effects of climate change mediated by tree growth change, we calculated the proportion of productivity change in each site that was produced by species found 333 334 under both present and future climatic conditions. To quantify the effects of climate change mediated by species composition shift, we calculated the productivity loss caused by species 335

extinction, and the productivity gained by species colonization. The relative importance ofcolonization and extinction was calculated by dividing the productivity change caused by either

colonization or extinction by the summed changes in productivity (previously transformed toabsolute values).

340

341 <u>Statistical analyses</u>

342 To test whether the future projected change in forest productivity varied across an environmental gradient, we fitted linear regressions between forest productivity in each site and 343 its mean annual temperature (MAT), total annual precipitation (TAP), and precipitation relative 344 to potential evapotranspiration (P/PET). Climate data were obtained from Bugmann (1994) and 345 346 were calculated from historic (1901–1990) data from the Swiss Meteorological Agency (Bantle, 347 1989). We also fitted linear regressions between the relative importance of colonization and 348 extinction for productivity, and the above climatic variables. Finally, we fitted a linear regression between the future change in productivity and future change in realized species 349

350 richness at each site.

Results 351

352

Climate change effects on potential species pools and richness (SDMs output) 353

354 In most climate change projections and sites, climatic suitability for study species increased (Fig. 2 A-C). However, under extreme warming (RCP 8.5) and the driest conditions 355 356 (EC-EARTH model; Fig. 2D), potential species richness increased in the coldest sites (Adelboden, Bever, Davos and Grande Dixence), but remained the same or decreased in the 357

358 warmest sites (Basel, Bern, Cottbus, Huttwil, Schaffhausen, and Sion).

359

360 *Effect of climate change on realized species richness*

361 Under historical climatic conditions, simulated realized species richness varied from three (out of 25) in Grande Dixence and Davos to 11 in Huttwil and Bern (Fig. S1B). Under 362 363 most climate change projections, the number of realized species increased in most of the sites 364 (Fig. 2, lower panels). Although under the extreme RCP8.5-EC-EARTH projection, the realized 365 species richness decreased in the warmest sites (Fig. 2H).

366

367 *Climate change effects on forest productivity*

368 The impact of climate change on forest productivity varied greatly along the climatic 369 gradient, and with different intensity depending on the climate projection (Fig. 3 A-D). The 370 greatest impact occurred in Sion, the warmest and second driest site, where productivity 371 decreased by between -67.6% and -100%. However, with a projected increase in precipitation 372 greater than 10.0% (CNRM-CM5 model in Table S1), forest productivity increased in all sites 373 (Fig. 3 A and C), except Grande Dixence, Basel and Sion. With a very weak precipitation 374 change (EC-EARTH model in Table S1), forest productivity increased in the coldest sites and decreased in most of the warmest sites (Fig. 3 B and D). 375

376 Historical precipitation was positively correlated with the change in productivity under 377 two climate change projections (p = 0.043 with RCP 4.5-EC-EARTH and p = 0.034 with RCP 378 8.5-EC-EARTH; Fig. S2A). Temperature was negatively correlated with productivity change under one projection (p = 0.009 with RCP 8.5-EC-EARTH; Fig. S2B). P/PET was positively 379 correlated with the change in productivity under three projections (p = 0.028 with RCP 4.5-EC-380 EARTH, p = 0.027 with RCP 8.5-CNRM-CM5, and p = 0.002 with RCP 8.5-EC-EARTH; Fig. 381 S2C). 382

384 *The relative importance of the growth and composition effects on forest productivity*

With the climate scenario RCP 4.5, which projected a moderate increase in local 385 386 temperature relative to the historical period, simulated changes in productivity were driven almost exclusively by the effects of climate change on tree growth of surviving species (Figs. 3 387 E-F and 4). The rise in MAT was on average between +1.5 [+1.1, +2.0] °C and +1.7 [+1.5, 388 +2.2] °C (Table S1), and the average rise in winter temperature was between +2.0 [+1.5, +2.6] 389 390 $^{\circ}$ C and +2.7 [+2.1, +3.1] $^{\circ}$ C (Table S2). With such temperature increase there was a positive correlation between the increase in productivity and the increase in species richness under the 391 392 two GCMs (p = 0.003; Fig. 5), but change in species richness did not strongly contribute to 393 changes in productivity (Fig. 3 E-F). With the climate scenario RCP 8.5 that projected a 394 stronger increase in local temperature (Fig. 3 G-H), there was also a positive relationship between the increase in realized species richness and the increase in productivity (p = 0.054 and 395 $R^2 = 0.35$ with the CNRM-CM5, p = 0.006 and $R^2 = 0.58$ with the EC-EARTH GCM; Fig. 5). 396 397 Under this more extreme temperature increase, changes in species richness (Fig. 5) and 398 community composition did strongly contribute to the changes in productivity (Figs. 3 G-H and 399 4). The increase in MAT ranged from +3.6 [+2.8, +4.5] °C to +4.0 [+2.9, +4.9] °C (Table S1), and the increase in winter temperature from +3.8 [+2.9, 5.5] °C to +4.5 [+4.1, +5.6] °C (Table 400 401 S2).

402 The importance of community composition effects varied across the study sites (Fig. 3 403 E-H). Their importance related to the current local temperature, which was negatively correlated 404 with the importance of colonization under three climate projections ($p \le 0.05$; Fig. S3C). The 405 importance of the composition effects was also correlated with current P/PET under one

406 projection (p = 0.021; Fig. S3E) and did not correlate with current precipitation (Fig. S3 A-B).

407 Discussion

408

409 Our simulations of forests located across a large climate gradient in central Europe showed that future changes in forest productivity might strongly depend on local temperature, 410 411 P/PET, and precipitation (Fig. S2; Allen et al., 2015). Simulated forest productivity increased at 412 high elevations, a result which we expect to be similar for sites at high latitudes (Füssel, 413 Kristensen, Jol, Marx, & Hildén, 2017), where cold temperature currently limits tree establishment, growth and survival (Nemani et al., 2003). Climate change also created warmer 414 415 winters in these sites (Table S2), which allowed new species to establish by decreasing the 416 constraints on establishment (as shown in empirical studies, e.g. Conedera, Wohlgemuth, Tanadini, & Pezzatti, 2018). Climate change also produced longer growing periods that 417 418 increased the productivity of the species currently present (consistently with recently observed trends, e.g. Boisvenue & Running, 2006). Contrarily, in the lowlands, simulated productivity 419 420 decreased when climate change led to an increase in drought stress (mostly by increasing 421 temperature and not changing the precipitation regime), which became a major constraint for 422 tree growth and survival (as observed in Carnicer et al., 2011; Reyer, 2015). However, in a 423 scenario of climate change with increased precipitation and only moderate temperature increase, 424 productivity increased in the lowlands (Fig. 3A) because drought stress did not increase while 425 winter temperature was lower and the growing season was longer (Table S2). While the former 426 (increase of temperature with a decrease in precipitation), is predicted to occur in the southern 427 half of Europe, the latter is typically predicted for higher latitudes, starting in central 428 Scandinavia (Füssel et al., 2017).

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- 430

Shifts in tree species richness and composition drive productivity in the harshest climates

431 We found that under moderate warming, changes in projected forest productivity were 432 caused almost exclusively by the effects of climate change on tree growth (Fig 3 E-F; see 433 Coomes et al., 2014). Contrarily, under extreme warming, and in locations at both ends of the 434 temperature gradient, changes in productivity were driven mostly by changes in species 435 composition (Fig 3 G-H). The increasing correlation between the relative importance of 436 simulated colonization (i.e. a measure of the composition effect) and both local temperature and 437 P/PET under the harshest climate projection (+3.6 °C and -1.1% TAP; Fig. S3 C and E) further 438 shows the strong role of species composition under harsh climatic conditions. This finding 439 matches with empirical evidence of the role of tree richness on forest productivity along the latitudinal gradient in Europe (Jucker et al., 2016). We also observed a positive correlation 440 441 between the change in species richness and in productivity (p < 0.054 across all climate

projections; Fig. 5). This supports theoretical studies which find forest productivity is especially
sensitive to species loss in the harshest climates (see García-Valdés et al., 2018; Morin et al.,
2018).

The link between forest species richness and ecosystem productivity (e.g. Liang et al., 2016; Paquette & Messier, 2011) is usually explained by a greater niche partitioning in more diverse communities (Loreau et al., 2001; Morin et al., 2011). In simulated forests in the coldest sites, the effects of species richness and composition were strengthened because climate change allowed new species to colonize and be productive (Fig. 3 and S3; Coomes et al., 2014). Contrarily, in the warmest-driest sites, climate change caused the extinction of key species (Reyer, 2015), which reduced species richness and productivity.

The observed importance of community composition change in cold and warm-dry sites 452 453 in our study (see Anderegg & HilleRisLambers, 2019; Morin et al., 2018) is consistent with an 454 extension of the stress gradient hypothesis (SGH; Bertness & Callaway, 1994; Crain & 455 Bertness, 2006). This hypothesis states that the frequency of interspecific competitive 456 interactions decreases in intensity with increasing abiotic stress. In our study sites, it is likely 457 that species extinctions occurred when the species were at the boundaries of their suitability before climate change. At sites with intermediate temperature, such species were probably 458 suppressed by competition before climate change and did not contribute significantly to the total 459 460 productivity of the community. Hence, their extinction under climate change did not change 461 greatly the total productivity of the site. Contrarily, at both ends of the temperature gradient, 462 harsh climatic conditions reduced competitive interactions. This means, that in the absence of 463 climate change, species that were close to their climatic limits could still contribute substantially 464 to the total productivity of the site because they faced weak competition. Hence, their extinction 465 with climate change substantially affected the total productivity of the community. With colonizations, we could expect a similar effect. In sites with mild climate conditions, colonizing 466 467 species probably remained close to the species' climatic limits (as climate change had transformed the site from unsuitable to suitable), and were unlikely to become dominant, thus 468 469 probably not contributing substantially to the total productivity. This occurs because they still 470 had to deal with biotic interactions (McGill, Enquist, Weiher, & Westoby, 2006), and were not a 471 strong competitor under such conditions (low suitability and strong competition). In climatically harsh sites, colonizing species could immediately become dominant because it was less likely 472 that they encountered strong competitors, as such site have a lower species richness on average. 473

474

475 *Increased sensitivity of warmest forests to climate change*

476 Our simulations suggested that rapid and steep changes might arise in forest functioning 477 (i.e. productivity) due to climate change. In the warmest site, a complete elimination of the forest cover was projected in the event of the most severe climate change scenario (Fig. 3H). In 478 479 this scenario increase in drought stress drove the forest system to a change in community type 480 (e.g. from forest to scrublands or meadows). García-Valdés et al. (2018) hypothesized such drastic changes in forest functioning when simulating a large number of species extinctions. 481 482 Here, using a realistic scenario of composition change, we confirmed that such a drastic change 483 could indeed occur in one of the study sites.

484

485 *Limitations of the approach*

486 To our knowledge this is the first study to couple models at complementary spatial, and 487 temporal, scales (SDMs and FSMs), to quantify the relative importance of changes in growth 488 and composition in mediating the effects of climate change on forest productivity. Although 489 these simulations compared climates that are only 80 years apart (from now to the end of the 490 century), they mimic long-term dynamics in order to compare mature forests. This means that 491 simulations do not consider transient processes (e.g. disturbance, management, or brief extreme 492 climatic events). Results should thus not be considered as short-term predictions, but instead 493 estimates of the importance of climate change composition effects on mature forests, in 494 comparison with growth effects. Our approach carries other limitations: (1) we used correlative 495 SDMs that entail caveats (García- Valdés, Zavala, Araújo, & Purves, 2013; Pearson & Dawson, 496 2003). However, correlative SDMs work well for widespread species such as those used here 497 (Early & Sax, 2014) and process-based SDMs (e.g. Chuine & Beaubien, 2001) could not be 498 used for so many species. (2) Our simulation design, relying on 2000-years simulation in both 499 historical and future conditions, allows assessing the relative contribution of changes in tree 500 growth and species composition to be assessed, and notably highlights the possible strength of 501 compositional effects on changing productivity. However, these simulations cannot be directly 502 used to infer forest composition and productivity at the end of the 21st century. Local species 503 extinction by 2100 might be well reproduced by our design, because the exposition to the novel 504 climate conditions may directly affect the adult trees of the sensitive species, while preventing the establishment of their seedlings. But site colonization by new species under the new 505 506 conditions occurs through much slower dynamics, which means that the impact of these new 507 colonizations would not be realistic for short-term projections. (3) We could not have measured 508 the interaction between the growth and composition effects unless we had imposed a strong artificial control of the simulation, which would prohibit the measurement of complex 509 510 community responses. (4) We did not consider species currently absent from central Europe. (5)

We ignored the possibility of plastic, or micro-evolutionary, responses of species (e.g. Jump & 511

Peñuelas, 2005; Lavergne, Mouquet, Thuiller, & Ronce, 2010). (6) The importance of the 512

composition effects might be further strengthened by taking into account interactions besides 513

competition for light (e.g. Jactel & Brockerhoff, 2007). (7) The generated climate data had a 514

515 temporal resolution of one month, so extreme events occurring at shorter scale were not

516 considered. (8) Finally, we used the climatic anomaly between 2006-2016 and 2070-2100 as a

measurement of climate change, which probably made the projections of forest responses 517

- 518 conservative.
- 519

Importance of climate change-composition effects on forests 520

521 Our results highlight that composition effects on productivity could become very 522 important under extreme changes in climate, which is likely to occur regarding the forecasts 523 about the magnitude of climate change (Field, 2014). Our results also show that such composition effects might become especially important in some sites: negatively in terms of 524 525 productivity in forests with warm and dry conditions, but positively in sites with cold 526 conditions. Hence, we believe that our projections demonstrate that the role of species range-527 shifts (i.e., composition effects) when simulating impacts of climate change on forests could be 528 more important than previously anticipated, notably under harsh environmental conditions. The 529 role of species' range shifts and community composition's changes is very often neglected in studies of climate change impacts on ecosystem functioning. We thus call for more research to 530 531 improve our understanding of these effects considering the likelihood of an extreme change in climate. 532

533 References

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- 717 *Data availability statement:* the original data used in this study are either available within the
- article (table S1), are part of on the models used (FORCLIM; Bugmann, 1996), or are available in
- the public domain: Atlas Florae Europaeae (AFE; Jalas & Suominen, 1972–1994; Jalas,
- 720 Suominen, & Lampinen, 1996), EUFORGEN (<u>http://www.euforgen.org/</u>), Climatic Research
- 721 Unit CL v. 2.0 (New, Lister, Hulme, & Makin, 2002) and EURO-CORDEX project (Jacob et
- al., 2014). The climate data was accessed and processed using the DataGURU server
- 723 (dataguru.lu.se).





Fig. 1: Models coupling used in this study. First, climatic suitabilities for 25 species were

projected for each site and climate projection using SDMs. These 25 suitabilities were then

- 728 aggregated to build potential species pools. To include the variability inherent to the suitabilities
- and to avoid choosing arbitrary thresholds, we built 100 species pools for each site and climate
- projection (i.e. if a species had a suitability of 0.6 it was included in 60 of the 100 species
- pools). Finally, succession dynamics (including tree growth) on the long-term, were simulated
- vising a FSM. Figure modified from García-Valdés and Morales-Castilla (2016).





Fig. 2: Effect of climate change on the number of potentially occurring species, projected with the SDMs assuming a threshold in suitability of 50% (upper panels), and on the number of realized species, simulated with the FSM, assuming that only species with more than 1 Mg \cdot ha⁻¹ are present in each site (lower panels). No bar means that there is no change in the number of species. Sites are ranked according to their historical temperature, which correlated strongly with the importance of the community composition effects on productivity. Sites on the left

have the lowest historical temperature and sites on the right have the highest temperature.





742Fig. 3: Effect of climate change on each forest annual aboveground biomass productivity (Mg \cdot 743ha⁻¹.yr⁻¹), relative to a baseline (i.e., current climate) projection. Study sites are ranked from the744coldest (left) to the warmest (right). Upper panels show total effect, and lower panels show the745effect on forest productivity of colonizations, extinctions, and growth decrease or increase of746species. To assess community composition, we considered that a species was present in a site747whether its biomass reached at least 1 Mg \cdot ha⁻¹.



Fig. 4: Species productivity $(Mg \cdot ha^{-1} \cdot yr^{-1})$ at each site given a stable climate and four climate

change scenarios. The species codes are the following: AAlb: *Abies alba*; ACam: *Acer*

- 753 campestre; AGlu: Alnus glutinosa; AInc: Alnus incana; APse: Acer pseudoplatanus; AVir:
- 754 *Alnus viridis*; BPen: *Betula pendula*; CAve: *Corylus avellana*; CBet: *Carpinus betulus*; FExc:
- 755 Fraxinus excelsior; FSyl: Fagus sylvatica; LDec: Larix decidua; PAbi: Picea abies; PCem:
- 756 Pinus cembra; PMon: Pinus montana; PNig: Populus nigra; PSyl: Pinus sylvestris; PTre:

- 757 *Populus tremula*; QPet: *Quercus petraea*; QPub: *Quercus pubescens*; QRob: *Quercus robur*;
- 758 SAlb: *Salix alba*; TCor: *Tilia cordata*; TPla: *Tilia platyphyllos* and UGla: *Ulmus glabra*.



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Fig. 5: Future change in realized species richness *vs*. future change in forest productivity (%)

vinder different climate change projections, relative to baseline projections using current climate.

Supporting Information 763 764 Appendix S1: FORCLIM description Table S1: Study sites description of current and future conditions. 765 Table S2: Study sites description of changes in climate seasonality. 766 Table S3: Tree species parameters in FORCLIM. 767 Table S4: Information source of the species data used for the SDMs. 768 769 Fig. S1: Sites potential, and realized, species richness, and productivity with historical climatic 770 conditions. Fig. S2: Correlation between the future change in productivity and local climate. 771

- Fig. S3: Correlations between the relative contribution of colonizations and extinctions and
- 773 local climate.

Supporting Information

						MAT (°C)			TAP (mm)						
					R	CP 4.5	RCP 8.5			RCI	P 4.5	RCP 8.5			
Site name	Latitude (°N)	Longitude (°E)	Elevation (m.a.s.l.)	Current climate	CNRM- CM5	EC-EARTH	CNRM- CM5	EC-EARTH	Current climate	CNRM-CM5	EC-EARTH	CNRM-CM5	EC-EARTH		
Adelboden	46.5	7.6	1325	5.66	7.56	7.63	10.13	10.59	1355	1665	1415	1516	1306		
Basel	47.5	7.6	317	9.34	10.54	10.8	12.5	12.84	799	887	821	845	738		
Bern	46.9	7.4	570	8.02	9.44	9.62	11.41	11.68	1017	1095	1018	1100	909		
Bever	46.6	9.9	1712	1.47	3.03	3.38	5.45	6.1	853	913	830	914	835		
Cottbus	51.8	14.3	76	9.13	10.29	10.63	12.14	12.22	574	633	616	677	598		
Davos	46.8	9.8	1590	2.5	4.01	4.26	6.53	7.18	1077	1201	1104	1239	1068		
Grande Dixence	46.1	7.4	2166	1.15	2.8	3.04	5.14	5.75	1041	1050	1033	1106	982		
Huttwil	47.1	7.9	638	8.08	9.54	9.7	11.49	11.75	1311	1421	1351	1423	1232		
Schaffhausen	47.7	8.6	400	8.58	9.96	10.06	11.88	12.18	887	1039	900	954	925		
Schwerin	53.6	11.4	45	8.43	9.57	10.01	11.26	11.29	625	709	655	727	617		
Sion	46.2	8.6	542	8.94	10.91	11.13	13.35	13.73	578	592	598	673	677		
					+1.49 °C	+1.72 °C	+3.63 °C	+4.00 °C		+10.24 %	+2.40 %	+11.00 %	-1.11 %		
Averaged differences with historical climate					[+1.1 °C,	[+1.5 °C,	[+2.8 °C,	[+2.9 °C,		[+0.9 %,	[-2.7 %,	[+5.8 %,	[-10.6 %,		
					+2.0 °C]	+2.2 °C]	+4.5 °C]	+4.9 °C]		+22.9 %]	+7.3 %]	+17.9 %]	+17.1 %]		

Table S1: Description of the study sites: latitude, longitude, elevation, and current and future mean annual temperature (MAT) and total annual precipitation (TAP).

Table S2: Projected future change in seasonality of mean annual temperature (MAT) and total annual precipitation (TAP). Differences in temperature are expressed in °C, and in precipitation in percentage.

			MAT	Г (°С)		TAP (%)						
		RC	CP 4.5	R	CP 8.5	RC	P 4.5	RCI	P 8.5			
Site name	Season	CNRM- CM5	EC-EARTH	CNRM- CM5	EC-EARTH	CNRM-CM5	EC-EARTH	CNRM-CM5	EC-EARTH			
	Spring	0.97	1.18	3.13	3.28	-9.99	6.90	-13.98	-0.24			
Adelboden	Summer	2.04	2.05	5.93	6.94	53.68	-1.10	20.12	-8.40			
	Autumn	1.78	2.45	4.30	5.22	12.72	11.66	34.77	-2.31			
	Winter	2.81	2.22	4.54	4.28	18.95	3.64	3.56	-0.63			
	Spring	1.23	1.02	2.98	2.75	-8.57	6.46	-5.34	-0.91			
Basel	Summer	0.26	1.61	2.51	4.62	27.87	-10.04	1.99	-28.08			
	Autumn	0.86	1.58	3.04	3.67	0.05	6.23	15.21	6.49			
	Winter	2.45	1.62	4.11	2.97	21.99	17.09	14.58	1.98			
	Spring	1.44	1.36	3.57	3.21	-5.87	0.34	-2.30	1.40			
Bern	Summer	0.43	1.63	2.65	4.56	17.10	-8.54	6.02	-25.81			
	Autumn	1.00	1.58	3.07	3.66	3.11	8.34	22.19	-7.61			
	Winter	2.81	1.84	4.29	3.23	14.87	4.95	6.67	-1.95			
	Spring	1.04	1.20	3.14	3.23	-19.74	1.56	-8.59	-4.04			
Bever	Summer	0.92	1.69	3.52	5.18	25.83	-0.16	15.43	-4.50			
	Autumn	1.55	2.77	4.87	5.98	2.08	-11.49	7.92	1.09			
	Winter	2.71	1.96	4.37	4.12	9.37	1.00	8.21	0.01			
	Spring	1.17	1.43	2.58	2.62	-2.85	12.83	18.47	30.99			
Cottbus	Summer	0.34	1.25	2.12	3.24	13.24	-4.77	10.54	-20.09			
	Autumn	0.69	1.43	2.94	3.33	4.76	8.02	30.43	-0.67			
	Winter	2.43	1.89	4.40	3.17	26.20	21.01	16.21	22.04			
	Spring	0.98	1.20	3.02	3.07	-15.99	14.24	-11.48	5.57			
Davos	Summer	0.99	1.30	4.10	5.66	23.08	0.35	26.11	1.75			
	Autumn	1.40	2.60	4.60	5.88	17.54	-6.33	21.31	-6.51			
	Winter	2.69	1.94	4.42	4.11	8.37	5.28	11.84	-6.24			
	Spring	0.93	1.17	3.37	3.50	-20.42	-3.00	-13.57	-15.03			
Grande Dixence	Summer	1.04	1.53	3.34	4.71	19.43	-6.09	14.42	-6.90			
	Autumn	1.66	2.45	4.50	5.59	-5.64	7.28	25.97	8.35			
	Winter	2.96	2.40	4.73	4.61	7.05	-0.23	-3.02	-8.28			
	Spring	1.48	1.30	3.49	3.18	-4.02	-2.57	-3.17	-0.75			

Huttwil Summer		0.43	1.64	2.63	4.44	16.98	-5.00	3.94	-21.10
	Autumn	0.97	1.59	3.13	3.65	-1.99	8.64	18.18	-1.31
	Winter	2.98	1.94	4.42	3.43	22.25	14.24	17.44	3.41
	Spring	1.32	1.12	3.03	2.79	-3.67	3.75	-3.04	6.20
Schaffhausen	Summer	0.26	1.67	2.84	4.61	31.88	-7.15	3.46	-17.57
	Autumn	0.84	1.59	3.17	3.64	8.47	7.53	18.94	19.15
	Winter	3.07	1.52	4.15	3.32	26.01	5.60	12.69	19.39
	Spring	1.10	1.65	2.39	2.54	-7.43	-1.28	-1.11	9.37
Schwerin	Summer	0.55	1.23	1.80	3.02	15.10	3.78	10.66	-14.46
	Autumn	0.79	1.44	3.00	2.95	8.56	1.57	36.17	-2.13
	Winter	2.13	2.01	4.14	2.93	36.36	15.72	20.07	8.21
	Spring	2.16	2.17	4.63	4.73	-10.48	-0.22	17.86	-7.97
Sion	Summer	1.49	2.38	3.94	5.38	9.57	10.44	29.96	-20.77
	Autumn	1.34	1.58	3.44	3.50	-1.82	21.26	23.14	62.25
	Winter	2.93	2.65	5.65	5.55	8.63	-18.78	-5.46	30.69
	Spring	1.26	1.35	3.21	3.17	-9.91	3.54	-2.39	2.24
	Spring	[0.93, 2.16]	[1.02, 2.17]	[2.39, 4.63]	[2.54, 4.73]	[-20.42, -2.85]	[-3.00, 14.24]	[-13.98, 18.47]	[-15.03, 30.99]
	Summor	0.79	1.63	3.22	4.76	23.07	-2.57	12.97	-15.08
Mean and range	Summer	[0.26, 2.04]	[1.23, 2.38]	[1.80, 5.93]	[3.02, 6.94]	[9.57, 53.68]	[-10.04, 10.44]	[1.99, 29.96]	[-28.08, 1.75]
across sites	Autumn	1.17	1.92	3.64	4.28	4.35	5.70	23.11	-1.77
	Autumn	[0.69, 1.78]	[1.43, 2.77]	[2.94, 4.87]	[2.95, 5.98]	[-5.64, 17.54]	[-11.49, 21.26]	[7.92, 36.17]	[-8.24, 1.30]
	Winter	2.72	2.00	4.47	3.79	18.19	6.32	9.35	6.24
	winter	[2.13, 3.07]	[1.52, 2.65]	[4.11, 5.65]	[2.93, 5.55]	[7.05, 36.36]	[-18.78, 21.01]	[-5.46, 20.07]	[-8.28, 30.69]

Table S3: Tree species considered and their parameters in FORCLIM.

kName: species name.

kType: species type grouping parameter (foliage type).

kS: allometric parameter for relating diameter and height growth.

kHMax: maximum tree height (m).

kAMax: maximum tree age (years).

kG: growth rate parameter (cm.years⁻¹).

kDDMin: minimal annual degree-day sum (°C.day⁻¹).

kWiTN: mimimum winter temperature threshold (°C). If the species is assumed to have no limitation regarding minimum winter temperature, no value has been specified.

kWiTX: maximum winter temperature tolerated for regeneration (°C).

kDrTol: drought tolerance parameter (0: intolerant / 1: tolerant).

kNTol: nitrogen tolerance parameter (1: needs less N for growth / 5: needs more N for growth).

kBrow: browsing susceptibility (1=less susceptible, ..., 5=more susceptible).

kLy: light requirement of tree saplings (0=low requirement / 1=large requirement).

kLa : shade tolerance of adult trees (1=tolerant, ..., 9=intolerant).

kLQ: leaf litter quality (1=fast, 3=slow decaying).

For more details about FORCLIM, see the following references:

- Bugmann H (1994) On the Ecology of mountainous forests in a changing climate: A simulation study. PhD Thesis. Eidgenössische Technische Hochschule, Zürich.
- Bugmann H (1996) A simplified forest model to study species composition along climate gradients. *Ecology*, 77, 2055-2074.

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kName	kType	kS	kHMax	kAMax	kG	kDDMin	kWiTN	kWiTX	kDrTol	kNTol	kBrow	kLy	kLa	kLQ	
Abies alba	E5	73	60	700	117	641		-3	0.23	3	5	0.05	1	2	-
Acer campestre	D2	100	23	170	156	1062	-100	8	0.33	3	4	0.1	5	2	
Acer pseudoplatanus	D3	100	37	550	125	898	-100	8	0.25	4	4	0.025	4	2	
Alnus glutinosa	D2	111	31	240	250	898	-16	11	0.08	3	1	0.1	5	1	
Alnus incana	D2	80	22	150	266	610	-100	7	0.08	3	1	0.2	7	1	
Alnus viridis	D2	100	4	100	531	272	-100	-6	0.16	3	1	0.3	7	1	
Betula pendula	D1	108	29	220	278	610	-100	9	0.16	1	1	0.3	9	2	
Carpinus betulus	D3	70	27	220	177	898	-9	9	0.25	4	2	0.075	3	1	
Corylus avellana	D3	142	10	70	95	898	-16	9	0.33	2	2	0.2	6	1	
Fagus sylvatica	D3	64	45	430	191	723	-4	9	0.25	2	3	0.05	1	2	
Fraxinus excelsior	D2	86	42	350	177	980	-17	8	0.16	5	3	0.075	6	1	
Larix decidua	D2	72	52	850	170	323	-11	-1	0.25	1	3	0.4	9	3	
Picea abies	E5	96	58	930	171	385	-100	-1	0.15	2	2	0.1	5	3	
Pinus cembra	E5	40	26	1050	115	323	-11	-6	0.3	1	4	0.2	5	3	
Pinus montana	E5	46	23	300	138	436	-100	-3	0.37	1	3	0.4	9	3	
Pinus sylvestris	E4	111	45	760	119	610	-100	1	0.37	1	3	0.3	9	3	
Populus nigra	D2	120	36	280	285	662	-100	12	0.08	4	2	0.1	5	2	
Populus tremula	D2	126	30	140	310	610	-100	9	0.25	2	2	0.2	7	2	

Quercus petraea	D3	66	45	860	195	785	-5	9	0.25	2	4	0.2	7	2
Quercus pubescens	D3	50	25	500	148	1011	-100	9	0.33	2	4	0.3	7	2
Quercus robur	D3	66	52	1060	195	1042	-17	9	0.33	2	4	0.3	9	2
Salix alba	D1	80	27	170	278	1062	-100	12	0.08	3	2	0.1	5	2
Tilia cordata	D3	106	30	940	114	1339	-19	8	0.33	4	2	0.1	5	2
Tilia platyphyllos	D3	127	39	960	110	1339	-100	8	0.25	5	2	0.075	3	2
Ulmus glabra	D3	127	43	480	153	1062	-16	11	0.25	5	3	0.075	3	1

Table S4: Information source of the species data used for the SDMs.

Species	Source
Abies alba	AFE
Acer campestre	EUFORGEN
Acer pseudoplatanus	EUFORGEN
Alnus glutinosa	AFE
Alnus incana	AFE
Alnus viridis	AFE
Betula pendula	AFE
Carpinus betulus	AFE
Corylus avellana	AFE
Fagus sylvatica	AFE
Fraxinus excelsior	EUFORGEN
Larix decidua	AFE
Picea abies	AFE
Pinus cembra	AFE
Pinus montana	AFE
Pinus sylvestris	AFE
Populus nigra	AFE
Populus tremula	AFE
Quercus petraea	AFE
Quercus pubescens	AFE
Quercus robur	AFE
Salix alba	AFE
Tilia cordata	EUFORGEN
Tilia platyphyllos	EUFORGEN
Ulmus glabra	AFE





2 Fig. S1: Each forest's potential species richness (projected by SDMs), realized species richness

- 3 (projected by SDMs + ForClim), and annual above ground wood productivity (Mg \cdot ha⁻¹·yr⁻¹),
- 4 under baseline (i.e., current climate) conditions. Sites on the left have the lowest temperature
- 5 and sites on the right have the highest temperature.

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7 Fig. S2: Correlation between the future change in productivity, relative to baseline projections,

8 and local temperature, precipitation and P/PET.



Fig. S3: Correlations between the relative contribution of colonizations and extinctions to the 11

- 12 total future change in productivity, and local precipitation, temperature, and P/PET.
- 13

Appendix S1: FORCLIM description

15

FORCLIM v2.9.6 (Didion et al. 2009), is built under the classic scheme of forest gap models, and 16 17 is thus based on a small number of basic ecological assumptions (Shugart, 1984), i.e. (i) the 18 forest stand is abstracted as a composite of many small patches of land (800 m²), each patch 19 having its own dynamics; (ii) patches are horizontally homogeneous, i.e. tree position within a 20 patch is not considered; (iii) the leaves of each tree are located in an indefinitely thin layer at the 21 top of the stem; and (iv) successional processes can be described on each of those patches 22 separately, i.e. there are no connections between patches. From these assumptions, the model follows the standard approach of gap models: the establishment, growth, and mortality of trees 23 24 on the multiple forest patches are simulated, deriving stand properties at a larger spatial extent 25 by averaging the properties simulated at the patch scale, and considering abiotic and biotic limitations to establishment and growth (specifically, growing degree-days, soil moisture and 26 27 nitrogen status as well as light availability at the height of the tree crown, i.e. the outcome of 28 inter- and intraspecific competition).

29 Trees are established with a diameter at breast height of 1.27 cm as a function of 30 species-specific responses to winter temperature, light availability at the forest floor, growing 31 degree-days and browsing pressure; principally, all species (from the species pool chosen) are 32 available for establishment, *i.e.* there is no dispersal limitation in the model. Growth (i.e., stem 33 diameter increment at breast height) is modeled using an empirical equation derived for 34 optimally growing trees (Moore, 1989). Actual tree growth is calculated by modifying the 35 optimum rate to the extent that abiotic or biotic conditions are limiting. Specifically, these are 36 growing degree-days, soil moisture and nitrogen status, crown length, as well as light 37 availability at the height of the tree crown, i.e. inter- and intraspecific competition and thus 38 changes in species composition. In the current version, the model concentrates on competition 39 for light. Other resources, such as nitrogen and soil water, are affecting species performance but 40 they are constant at the site level, ie. not impacted by trees. To calculate weather-dependent 41 factors, mean monthly temperatures and monthly precipitation sums are used. The model is 42 further constrained by soil water holding capacity. From diameter at breast height, the sizes of other tree compartments (e.g., foliage, roots) and total aboveground biomass are estimated using 43 allometric equations, which partly respond to changing competition and thus to diversity 44 45 changes (Bugmann, 1994; Didion, Kupferschmid, Zingg, Fahse, & Bugmann, 2009). Species coexistence in forest gap models is brought about by two main mechanisms: first, trade-offs 46 evident from the life-history strategies, such as high rates of colonization often being tied to low 47 48 shade tolerance, or a typically short lifespan of early successional, fast-growing trees; and

49 second, the fact that cyclical succession is occurring on each individual patch, such that species

- 50 with different properties are able to dominate during different parts of the cycle. Tree mortality
- 51 is stochastic and has a background and a growth-related component. The former depends on
- 52 species maximum longevity, whereas the latter is an integral proxy for stress conditions, i.e. tree
- vigor; since competition affects individual tree growth, it also has an indirect effect on the
- 54 simulated mortality rates via growth-related mortality. Species parameters are provided in Table
- 55 S2.
- 56 FORCLIM has evolved from a simulator of forests in the Swiss Alps to a general model
- 57 that is applicable to temperate forests of central Europe, eastern North America, the Pacific
- 58 Northwest of the US, northeastern China and the Colorado Front Range of the Rocky Mountains
- 59 (Bugmann, 2001; Bugmann & Cramer, 1998; Bugmann & Fischlin, 1996; Bugmann &
- 60 <u>Solomon, 2000; Shao, Bugmann, & Yan, 2001</u>). To our knowledge FORCLIM is the only forest
- 61 succession model that has been demonstrated to be applicable "out of the box", *i.e.* without any
- 62 re-parameterization, across widely different climates while still keeping a species resolution,
- 63 which supports its generality.
- 64

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