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Smallholder grain postharvest management in a variable climate: Practices and perceptions of smallholder farmers and their service-providers in semi-arid areas

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1 **Smallholder grain postharvest management in a variable climate: Practices and perceptions** 2 **of smallholder farmers and their service-providers in semi-arid areas**

3 **Abstract**

4
5
6 Field data on current crop postharvest management practices and perceptions from smallholder
7 farming communities in an increasingly variable climate are scarce. Our study used a multi-
8 dimensional approach to explore the practices and perceptions of these communities and their
9 service-providers regarding grain postharvest management in semi-arid Mbire and Hwedza districts
10 in Zimbabwe. A total of 601 individual household interviews, six focus group discussions with
11 women and men, and interviews with 40 district stakeholders and 53 community key informants
12 were conducted. Farmers and service-providers explained how climate change was threatening food
13 security; causing reduced and more variable maize and sorghum yields of below 0.5 t/ha, alongside
14 higher grain storage insect pest pressure. Increased food insecurity and concerns regarding grain
15 theft have driven a shift from bulk storage in traditional outdoor free-standing granaries to
16 polypropylene bags stacked inside the living quarters. Poor and improper use of grain protectants
17 in these circumstances exacerbate the health-related risks. Agricultural extension officers were the
18 most common source of agronomic and postharvest information followed by farmer-to-farmer
19 information exchange. Targeted postharvest training; participatory field trials involving agricultural
20 extension staff, farmers and other service-providers; and policy dialogue around grain postharvest
21 management and food security are proposed to help in strengthening the capacity to reduce grain
22 postharvest losses under increasingly unpredictable conditions.

23
24 **Key words:** storage pest management, smallholder grain storage, climate change impacts,
25 postharvest management policy dialogue

26 27 **1. Introduction**

28
29 Southern Africa has been experiencing fluctuating annual rainfall patterns and increasing
30 temperatures (Brown et al. 2012; IPCC 2014). There is a clear shift in the prevailing climate with
31 an increased frequency of drought and occurrence of extreme weather events which pose a
32 significant risk to the existing food, biological and livelihood systems (Thornton et al. 2014),
33 associated national policies and human health (Mubaya et al. 2012). The changes in temperature
34 and rainfall patterns in some sub-Saharan Africa (SSA) countries such as Zimbabwe suggest that a
35 new classification of agro-ecological regions may be required to correspond to the current climatic
36 patterns (Mugandani et al. 2012; Nyabako and Manzungu 2012). In Zimbabwe, agro-ecological

37 regions I and II (see details in Mugandani et al. (2012)) are primarily high-potential, specialised or
38 intensive mixed farming system areas, while agro-ecological region III has semi-intensive farming
39 systems. Regions IV and V are primarily dry, characterized by low, inconsistent rainfall (<600 mm)
40 and high temperatures with extensive farming systems. There is a growing realization that
41 temperature, increased ultra-violet radiation and lower relative humidity levels will affect pest
42 distribution and other characteristics leading to greater field and postharvest pest problems (Sharma
43 and Prabhakar 2014). Direct impacts of climate change on postharvest factors are barely reported
44 in the literature (Karuppaiah and Sujayanad 2012; Moses et al. 2015; T. Stathers et al. 2013),
45 highlighting the need to investigate the perceptions, knowledge and practices of smallholder farmers
46 and their service-providers living in the most-affected semi-arid areas of developing countries.

47
48 Insect pests are poikilothermic ectotherms and thus respond to climate-induced changes in
49 environmental temperature. Temperature increases towards the optimum development ranges (T_{opt})
50 intensifies insect pest activity, e.g. flight, mating, feeding and growth rate and consequently shorten
51 generation time and increased reproductive rates (Neven 2000; Willmer et al. 2005). Thus, the
52 current warming trends in southern Africa (IPCC 2014) are likely to increase postharvest pest
53 activity, abundance and distribution with negative effects on the efficacy of current pest control
54 measures (Sharma 2014; T. Stathers et al. 2013). There has been limited field work on how abiotic
55 climatic factors affect stored grain systems (Moses et al. 2015) and how smallholder farmers
56 perceive and respond to effects of climate change on their stored-food reserves (T. Stathers et al.
57 2013). The insufficient understanding of climate change impacts on smallholder agri-food systems
58 led the Zimbabwean Government to draft the Zimbabwe National Climate Response Strategy
59 (Ministry of Environment and Natural Resources Management 2013) to leverage greater efforts
60 towards reducing climate change-related risks. The relationships between climate change and
61 postharvest crop losses are not yet well-understood at any level (T. Stathers et al. 2013).

62
63 Existing postharvest losses of maize across SSA are already significant. The African Postharvest
64 Losses Information System (APHLIS) estimates suggest an annual loss of 19% of the maize
65 produced in Zimbabwe during the period 2009 to 2018, and 12-13% for sorghum (Rembold et al.
66 2011; APHLIS 2019). These losses occur at different stages along the postharvest chain, starting
67 from harvesting to consumption (see details in Tefara (2012)). Losses during the storage phase are
68 known to be more important, with insect pests being a key causal factor. Whilst a range of different
69 insect pests attack stored maize, the larger grain borer (LGB), *Prostephanus truncatus* Horn. is of
70 special interest as it has only recently occurred and rapidly spread in Zimbabwe and neighboring
71 countries (Nyagwaya, Mvumi & Saunyama, 2010; Rembold et al., 2011; Muatinte et al., 2019).

72 *Prostephanus truncatus* destroys a high fraction of the grains it attacks compared to other pests such
73 as *Sitophilus* spp. which attack many grains but destroy relatively few. While the threat to food
74 security has increased due to the greater climate and related postharvest challenges previously
75 mentioned, the lack of clear and specific policies that speak to the specific associated threats is a
76 cause for concern.

77
78 The current study was conducted to: (i) determine the existing postharvest management (PHM)
79 practices and perceptions of smallholder farmers and their service-providers in the context of
80 changing climates; (ii) explore the effects of climate change and variability on smallholder farmer
81 postharvest management practices in two climatically contrasting (rainfall, temperature, and
82 farming system) agro-ecological zones of semi-arid Zimbabwe; and (iii) identify possible policy
83 interventions that may increase food and nutrition security from a postharvest management
84 perspective.

85

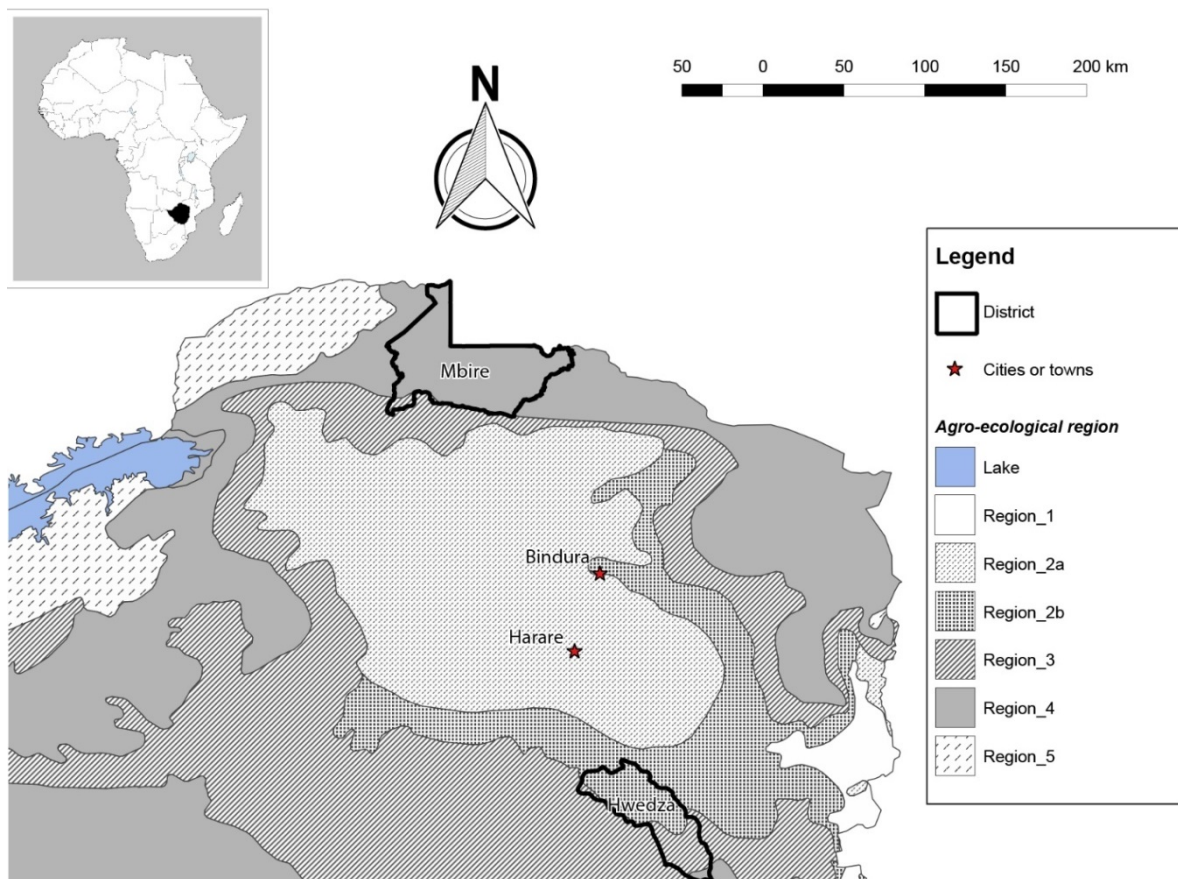
86 **2. Methods**

87

88 **2.1 Study area**

89 Hwedza and Mbire districts in Zimbabwe were purposively selected to provide not only contrasting
90 agro-ecological zones, but also to explore the influence of their diverse biophysical and socio-
91 economic circumstances, with both being perceived as vulnerable to climate-related risks. Hwedza
92 district lies in the Save Valley basin and is pre-dominantly in agro-ecological region II and III,
93 characterised by annual rainfall of 750 – 1000 mm per annum and a mean annual temperature range
94 of 18-30 °C (Mugandani et al. 2012; Vincent et al. 1960). The smallholder farmers in this area
95 practice rain-fed farming (Mugandani et al. 2012). Signs of climate change have already been
96 experienced in Hwedza district through higher temperatures and increased frequency of mid-season
97 droughts (Mapfumo et al. 2010; Rurinda et al. 2015). Mbire district is mainly in agro-ecological
98 region IV and is characterised by low annual rainfall below 450 mm and generally high temperatures
99 of between 28-42 °C (Mugandani et al. 2012; Vincent et al. 1960).

100



101

102 **Fig. 1** Part of map of Zimbabwe showing the study areas

103

104 Mbire district is a sedimentary region located within the sandy plateau of the Zambezi Valley basin,
 105 rendering it prone to flooding and erosion (Dube et al. 2014), compared to the granite-derived sandy
 106 and clay soils with a belt of para-ferralitic soils in Hwedza (Nyamapfene 1985; Wuta and
 107 Nyamugafata 2012). Much of Mbire's agriculture is flood-based along river and stream banks
 108 (Dube et al. 2014), and the vegetation largely consists of drought-resistant trees and bushes.
 109 However, Hwedza has savanna woodland with *Brachystegia* spp as the dominant tree and
 110 *Hyperennia* spp. as the dominant grass species (Gadzirayi et al. 2007; Macdonald 2003). These
 111 conditions may predispose stored maize grain to damage from *P. truncatus* which is known to thrive
 112 in naturally wooded areas (Dunstan and Magazini 1980; Harnish and Krall 1984; B. Muatinte et al.
 113 2019; Nang'ayo et al. 2002).

114

115 2.2 Target population, sample size, sampling techniques and data collection methods

116 The study was conducted between December 2013 and March 2014 during the postharvest season
 117 in Hwedza and Mbire districts. Three wards (a ward is an administrative geographical area lying at
 118 a level between a village and a district) were selected (Table 1) using a purposive sampling
 119 technique in each district in consultation with district stakeholders to include locations where
 120 farmers were actively producing crops and livestock.

121

122 **Table 1** Number of community key informants and key secondary stakeholders interviewed in
 123 Hwedza and Mbire districts

District	Ward	Coordinates (Lat, Long)	*Key Secondary Stakeholders	Community Key Informants
Hwedza	Makwarimba	31.6452 -18.6486		7
	Ushe	31.8667 -18.7995	18	7
	Goneso	31.8795 -18.8885		8
<i>Subtotal</i>			18	22
Mbire	Chirunya	30.5877 -16.3146		10
	Chitsungo	30.4714 -16.2986	22	11
	Mahuwe	30.7434 -16.3788		10
<i>Subtotal</i>			22	31
<i>Grand Total</i>			40	53

124 *Key secondary stakeholders were not selected at ward level but only at district level from prominent agribusiness
 125 enterprises and technical stakeholders or service-providers within the district

126

127 STATA 12 was used to randomly pick 10 villages from each of the selected wards. Sampling frames
 128 consisting of lists of all households in the selected wards were obtained from local staff of the
 129 Department of Agricultural Technical and Extension Services (AGRITEX), in the Ministry of
 130 Agriculture, Mechanisation and Irrigation Development. Ten households were randomly picked
 131 from each of the selected villages. In total, 100 households were sampled per ward to give coverage
 132 of approximately 10% of the existing number of households within each ward. In Hwedza district,
 133 a total of 300 households were interviewed while 301 were interviewed in Mbire giving a total of
 134 601 respondent households for the two districts. To cater for cases where the originally selected
 135 household was child-headed, the household head (HHH) was absent or deceased, a 40% reserve of
 136 the randomly selected ten households per village constituted a pool for replacement.

137

138 To triangulate and complement the household level data, qualitative data were collected from the
 139 district stakeholders and the community. At least ten qualitative stakeholder interviews were
 140 conducted at each district level, while at least seven key informant interviews were conducted in
 141 each of the three wards in each district giving a total of 22 and 31 community key informants in
 142 Hwedza and Mbire Districts respectively (Table 1). In both districts, focus group discussions
 143 (FGDs) were conducted with groups comprising of 15-20 women and 15-20 men of varying age
 144 groups and resource-endowments. Community leaders including village heads, headmen,
 145 councillors and traditional healers also participated in the FGDs. A total of 40 stakeholders were
 146 interviewed using a qualitative checklist tool. The stakeholders were selected on the basis of (i) area
 147 of specialization, (ii) working experience in the district, and (iii) link to farming activities, the

148 environment and climate-related issues. They included Government of Zimbabwe departments,
149 Non-Governmental Organisations, parastatals, farmers' unions and agro-dealers.

150
151 Tools used in the community profiling of climatic hazards and risks for the FGDs (FGDs),
152 individual stakeholders and local or community key informants and individual household interviews
153 were jointly developed by a trans-disciplinary team from the Food and Agricultural Organization
154 of the United Nations, University of Pretoria, Natural Resources Institute of the University of
155 Greenwich, Soil Fertility Consortium of Southern Africa (SOFECSA) and the University of
156 Zimbabwe. Following pre-testing and adjustments, household data were collected using a structured
157 and coded questionnaire with a few open-ended questions that were post-coded. Tools for
158 qualitative data collection were similarly pre-tested and adjusted accordingly prior to
159 administration. All the tools were administered manually through face-to-face interviews.

160
161 **2.4 Data management and analysis**

162 The household questionnaire data were entered into CSPRO 6.1 software before being exported to
163 IBM SPSS 16 software for statistical analysis. Analysis included cross-tabulations, calculation of
164 frequencies, correlations and Chi-square tests of associations (Arkkelin 2014; Kpolovie 2017). The
165 qualitative data collected were largely nominal in nature, with the scales of measurement used for
166 farmers' knowledge, and perspectives being ordinal, while quantitative data were recorded for
167 farmers' resource endowments. Qualitative data from FGDs, key informant interviews and district
168 stakeholders were processed by a multi-disciplinary team of researchers who summarised similar
169 responses from different respondents and categorised the responses into thematic areas. In addition
170 to providing a broader picture of issues and trends in the study communities and districts targeted,
171 the qualitative data were used to explain some of the observations from the quantitative analysis of
172 household level data.

173

174 3. Results

175

176 3.1 Household characteristics

177 There were over 10% more female-headed households amongst the respondents in Hwedza than
178 Mbire district (Table 2). The typical age range of the HHH across the two districts was 40-60 years,
179 while over 18% of the HHHs were older than 70 years. More than 60% of households were in
180 monogamous marriages, with twice as many (29.7% vs 12.6%) widowed respondents in Hwedza
181 than Mbire respectively, and more polygamous marriages in Mbire than Hwedza (Table 2). Literacy
182 was high in both districts with > 88% of HHHs being able to read and write. Only 12 and 6.7 % of
183 HHHs interviewed in Mbire and Hwedza respectively, had not acquired any formal education.
184 Almost 18% of the HHHs interviewed in Hwedza were functionally disabled with limited
185 participation in physical activities around the household.

186

187 The main cereal crop grown in Hwedza was maize (98%) while in Mbire both maize (77%) and
188 sorghum (18%) were grown as main crops (Table 2). In Mbire, farmers also grew a lot of their crops
189 in fields along the river banks. Respondents in Mbire indicated a strong preference for maize as
190 opposed to sorghum, regardless of its frequent failure under dryland production due to the low
191 rainfall experienced in the area.

192

193 Income was mainly derived from cropping practices and livestock to varying degrees in both
194 Hwedza and Mbire (Table 2). Where respondents' main livelihood/ income source had changed in
195 the last 10 to 20 years, there had been a perceived decrease in income for 47% and 68% of
196 responding households in Hwedza and Mbire respectively. In contrast, few respondents perceived
197 an increase in household incomes (23 % and 7 % in Hwedza and Mbire respectively), or no change
198 for 23% (Hwedza) and 21 % (Mbire). The main reason given for the observed change in livelihood
199 source was changes in markets and sales (16% and 49% in Hwedza and Mbire respectively),
200 followed by climate issues (14% in both Hwedza and Mbire) (Fig 2).

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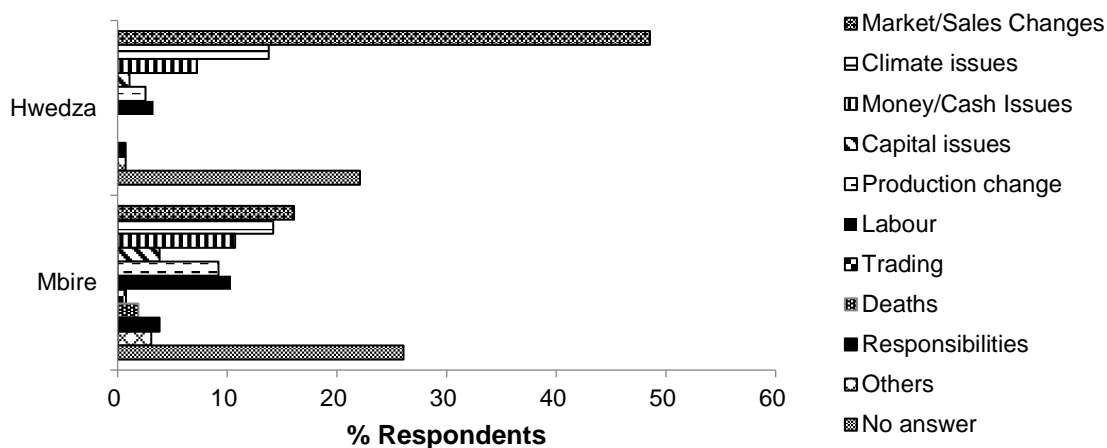
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203 **Table 2** Sex, education and crop choice statistics of household heads (HHH) interviewed in Mbire
 204 and Hwedza districts, Zimbabwe

Socio-economic characteristic of HHH*	Response	Proportion of respondents (%)	
		Hwedza (N= 300)	Mbire (N= 301)
Sex	male	69.3	82.1
Age (years)	<40	23	38.3
	>70	17.7	9.7
Marital status	Married/ single spouse	64.3	73.8
	Married/ polygamous	1.7	10.3
	Widowed	29.7	12.6
	Separated/ divorced	3.7	2.7
	Cohabiting	0.0	0.0
	Single/ never married	0.7	0.7
Ability to read or write	yes	89.3	87.7
Functionally disabled?	yes	17.7	9.3
Education level	None	6.7	12.0
	Primary	43.3	38.9
	Secondary	47.3	47.5
	Tertiary	2.0	1.7
	Vocational	0.3	0.0
	Other	0.3	0.0
Main crops grown	Maize	98	77
	Sorghum	0	18
	Millet, Wheat, Cassava	0	0
	Other	0	1
Main income sources	Sale of cereals and pulses	19.8	4.7
	Sales of own vegetables/fruits	19.1	7.0
	Sale of cash crops	5.2	36.9
	Sale of livestock	4.1	17.9
	Irregular daily labour, casual worker	12.4	12.0
	Remittances	14.8	2.6

205

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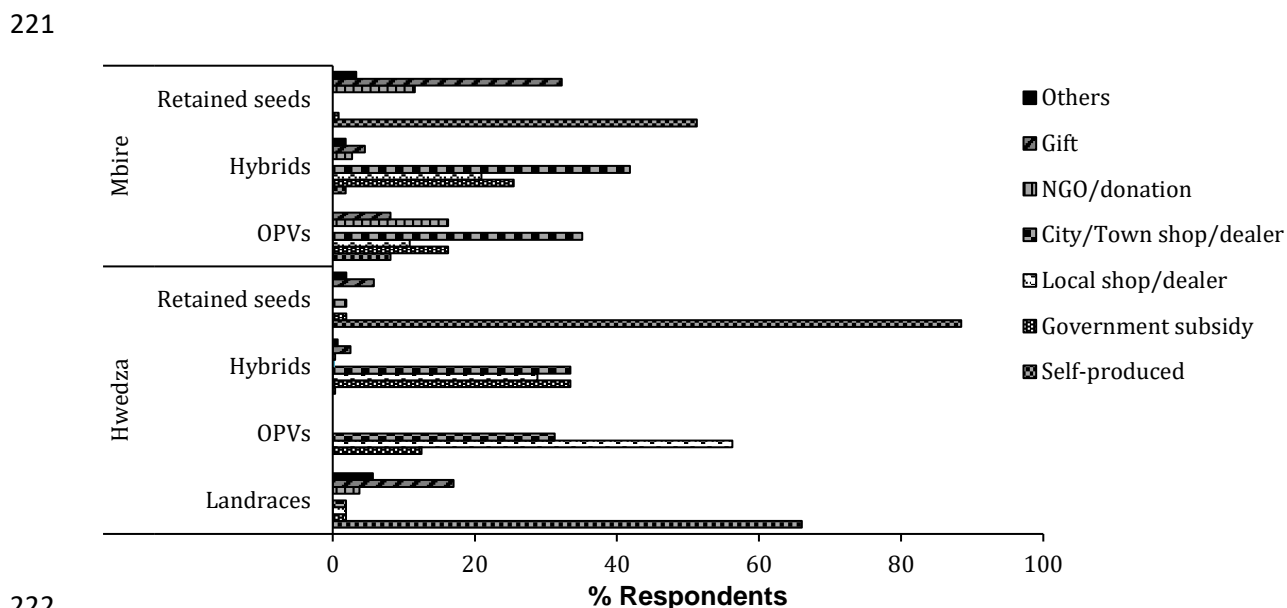


207
 208 **Fig. 2** Reasons for change in main livelihood / income source during the last 10-20 years as
 209 perceived by smallholder farmers in Mbire and Hwedza districts, Zimbabwe

210
 211 **3.2 Current postharvest practices**

212
 213 **3.2.1 Source of seed**

214 At the time of this study, there was a high usage of self-produced maize planting seed amongst
 215 farmers in both Hwedza and Mbire districts with more than 50% of maize seed being self-retained.
 216 Most farmers in Hwedza (95%) planted the higher yielding commercial maize hybrids while in
 217 Mbire, use of hybrids, was relatively low (37%), with most farmers planting either landraces (47%)
 218 or retained seeds including hybrids (40%). The hybrid maize seed included both certified
 219 commercial seed readily available at local or town shops as well as subsidised seed provided under
 220 Government of Zimbabwe support programmes (Fig 3).



222
 223 **Fig. 3** Sources of planted maize seed in 2011/12 season in Mbire and Hwedza districts, Zimbabwe
 224 (OPVs = open pollinated varieties)

225
226 For farmers who planted maize in the 2011/2012 season, 83% and 60% used self-retained seed in
227 Hwedza and Mbire, respectively. Stakeholders interviewed reported the wide fluctuations in rainfall
228 patterns and extended dry spells as contributing to agricultural problems currently being faced by
229 their communities. High incidences of poor seed germination were reported by stakeholders and
230 farmers, and were linked to inadequate rainfall, unpredictable rainfall patterns and extended dry
231 spells to which farmers responded by retaining extra seed for re-planting. The few farmers in Mbire
232 who manage to grow maize achieve similar production levels to Hwedza farmers because the Mbire
233 farmers cultivate the crop along the river banks which have rich sedimentary soils.

234

235 **3.2.2 Pre-storage moisture content determination**

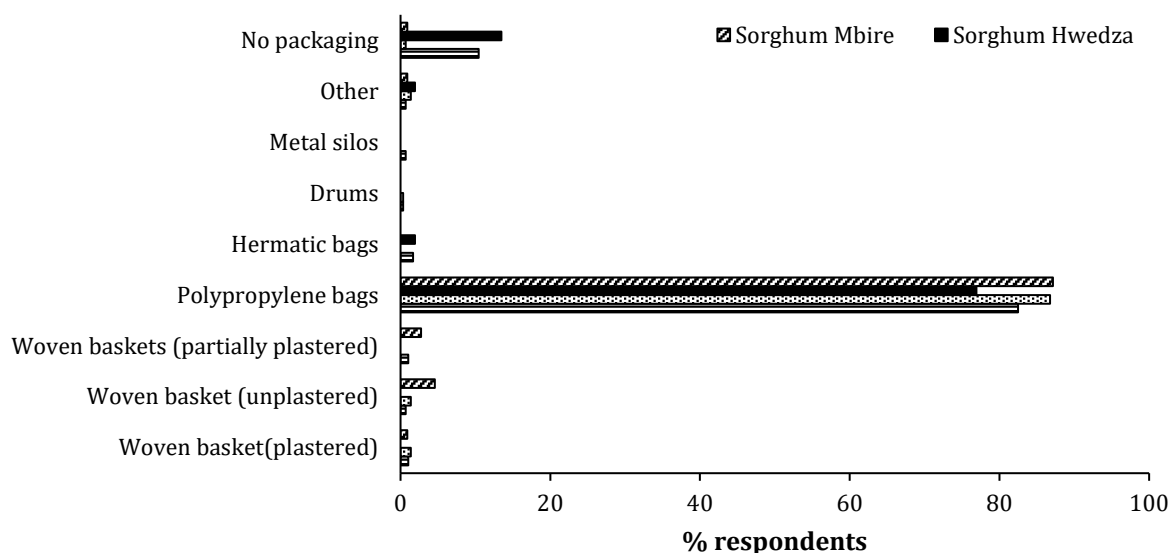
236 The grain moisture content prior to storage was mainly assessed by visually inspecting it for colour,
237 texture and physical damage, with 54% of maize respondents doing this while only 18% of the
238 sorghum grain respondents did it. Other methods included the length of time the grain was left
239 drying in the sun (18%) and the hardness of grain when it was bitten (11%), although this was less
240 commonly used for traditional grains (<4%). Over half of the farmers who reported that they faced
241 postharvest challenges after harvest (Mbire 84%, Hwedza 59%), reported using visual methods to
242 check grain moisture content.

243

244 **3.2.3 Grain storage packaging**

245 Most farmers (82% in Hwedza and 87% in Mbire), used polypropylene bags as the main packaging
246 containers for storage of maize grain (Fig 4). Sorghum grain was also stored packaged in
247 polypropylene bags (77% in Hwedza and 87% in Mbire). Over 10% of farmers reported not using
248 any packaging at all in both districts, i.e. they stored bulk grain. There was however, a significant
249 correlation between the protectant treatment applied and the packaging used ($P = 0.009$). Key
250 informant interviews and FGDs in both districts, reported the need for greater emphasis on grain
251 storage and improved food preservation techniques given that the yields of major cereals were
252 continuously decreasing due to climate change.

253



254

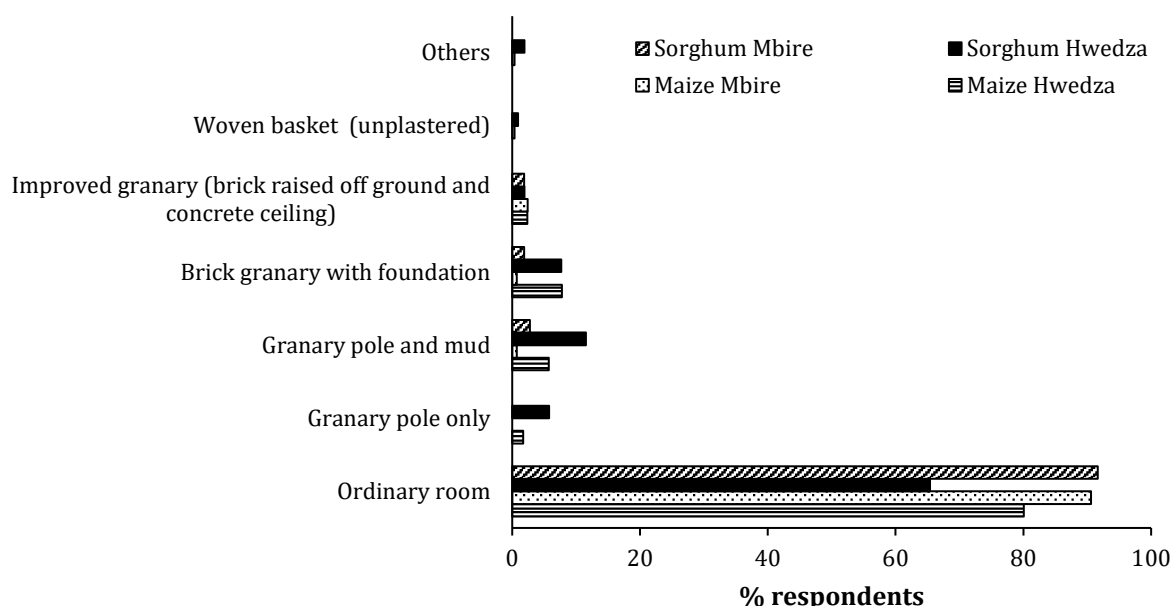
255 **Fig. 4** Type of packaging used for stored maize and sorghum

256

257 **3.2.4 Grain storage facilities**

258 The majority of households (80% and 91% in Hwedza and Mbire respectively), used ordinary rooms
 259 inside their living quarters for storing their maize grain as opposed to solid stand-alone outdoor
 260 granaries or other options (Fig 5). FGD discussions and anecdotal data suggested that security of
 261 the grain was the main concern in shifting place of storage.

262



263

264 **Fig. 5** Storage facilities used for storing maize and sorghum grain by smallholder farmers in Mbire
 265 and Hwedza districts, Zimbabwe

266

267 Only 8% of Hwedza farmers were using brick granaries with a foundation, and only 6% used pole
 268 and mud granaries. For sorghum storage, ordinary rooms were the most commonly used storage
 269 structure in both Hwedza (65%) and Mbire (92%), with externally-located purpose-built structures
 270 being less prevalent especially in Hwedza, i.e. pole and mud granaries (17%), improved granaries
 271 (17%), and brick granaries with a foundation (17%).

272

273 3.2.5 Stored grain protection

274 The use of commercially-available chemical grain storage protectants was high amongst
 275 respondents, 88% of farmers in Hwedza, and 81% of farmers in Mbire. Traditional treatments (e.g.
 276 gum tree leaves, ash, plant extracts) were still used by some households mainly in Mbire (12%). In
 277 both districts, only 7-8% of households did not use any storage protection treatments at all.
 278 Compared to 10 to 20 years ago, the use of plant extracts as a maize grain protectant has declined
 279 by two-thirds from 11% to 4% in both Hwedza and Mbire, while the use of chemical grain
 280 protectants has increased from 77% to 82% in Hwedza, and from 52% to 71% in Mbire (Table 3).

281

282 **Table 3** Changes in grain protectant use on maize and sorghum in Mbire and Hwedza districts
 283 compared to 10-20 years ago as perceived by smallholder farmers

District	Grain type	Period	Grain protectant used				
			None	Ash	Commercial grain storage pesticide	Plant extracts	Others
Hwedza (N=300)	Maize	10-20 years ago (%)	9.1 _a	3.0 _a	76.8 _a	10.7 _a	0.3 _a
		Current (2013) (%)	12.0 _a	2.0 _a	81.7 _a	4.0 _a	0.3 _a
	Sorghum	10-20 years ago (%)	94.9 _a	0	4.4 _a	0.7 _a	0
		Current (2013) (%)	94.9 _a	0	4.7 _a	0.3 _a	0
Mbire (N=301)	Maize	10-20 years ago (%)	16.3 _b	19.9 _b	51.8 _b	11.3 _a	0.7 _a
		Current (2013) (%)	15.0 _b	9.6 _b	71.4 _b	3.7 _a	0.3 _a
	Sorghum	10-20 years ago (%)	66.8 _b	5.3 _a	21.9 _a	5.0 _b	1.0 _a
		Current (2013) (%)	64.8 _b	3.0 _a	29.9 _b	2.0 _a	0.3 _a

284 *Note: Values for the same crop row (i.e. including rows denoting current and 10-20 years ago) not sharing the same*
 285 *subscript are significantly different at $p < 0.05$ in the two-sided test of equality for column proportions. Cells with no*
 286 *subscript were not included in the test. Tests assume equal variances.*

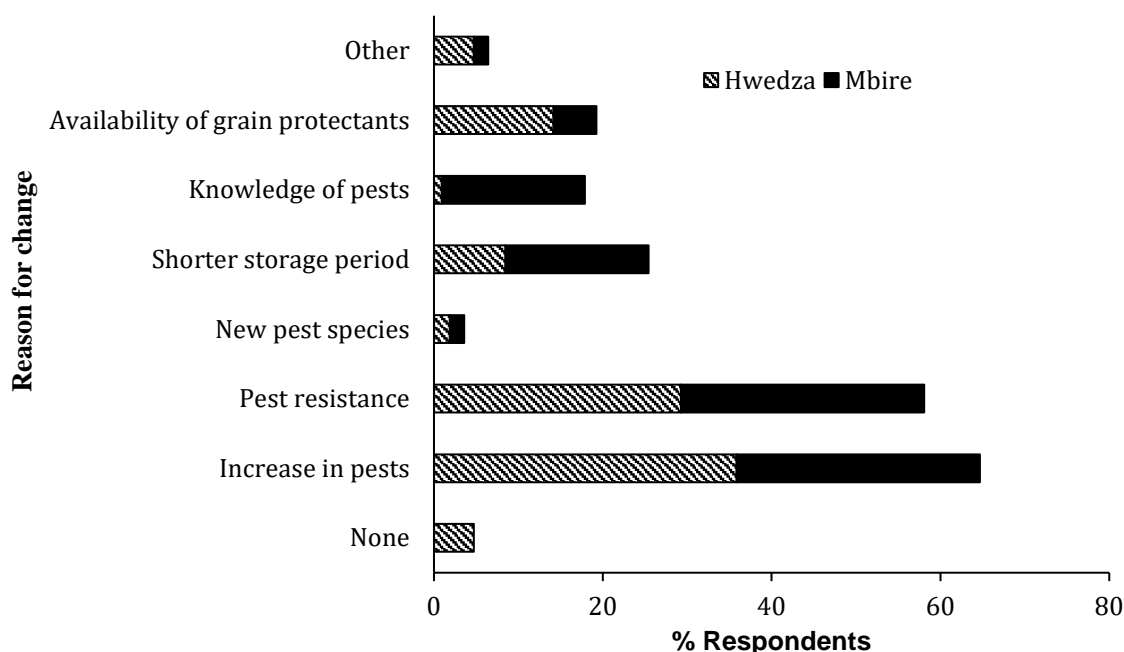
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288 Over 94% of farmers in Hwedza and 65% of farmers in Mbire were not using any storage protectant
 289 on their sorghum grain, and there has been no significant change since 10 to 20 years ago. There
 290 has, however, been significant increase in the use of commercial pesticides and significant decrease
 291 in plant extract use for traditional grains (Table 3). In Hwedza, 61% of farmers indicated there had

292 been no change in the quantity of chemical protectants used, while 31% reported having increased
 293 their dosage, and 7% claimed to have decreased their dosage. In Mbire district, 78% indicated no
 294 change in dosage used, and 15% indicated an increase in the amount of protectant used, and 7%
 295 claimed to have decreased their dosage.

296
 297 Among those reporting an increase in the pesticide dosage used when storing maize now compared
 298 with 10-20 years ago (>30%) or for sorghum (>50%), an increase in pests and insecticide tolerance
 299 were the dominant explanations given (Fig 6). Over 93% of farmers did not provide a response to
 300 the question on the reason for change in chemical protectant use in sorghum. The level of knowledge
 301 about storage pests was reported to have increased mainly in Mbire (17%) resulting in general
 302 increases in chemical protectant use especially on maize grain (52 to 71% of respondents).

303



304

305 **Fig. 6** Reasons for change in chemical grain protectant use for maize as perceived by smallholder
 306 farmers in Mbire and Hwedza districts, Zimbabwe

307

308 Stakeholder interviews revealed that although farmers in both districts have increasingly adopted
 309 the use of commercial synthetic grain protectants, in Mbire these grain protectants were now also
 310 being applied on traditional grains (mainly sorghum) and pulses (mainly cowpeas). This increased
 311 adoption of synthetic commercial grain protectants was attributed to an increase in populations of
 312 storage pests observed in stored grain, especially weevils, *Sitophilus* spp. for cereals and bruchids,
 313 *Callosobruchus* spp. for cowpeas. Key informants and farmers reported that the shortage of maize
 314 grain supplies in Mbire was exacerbated by the lack of a national Grain Marketing Depot in the

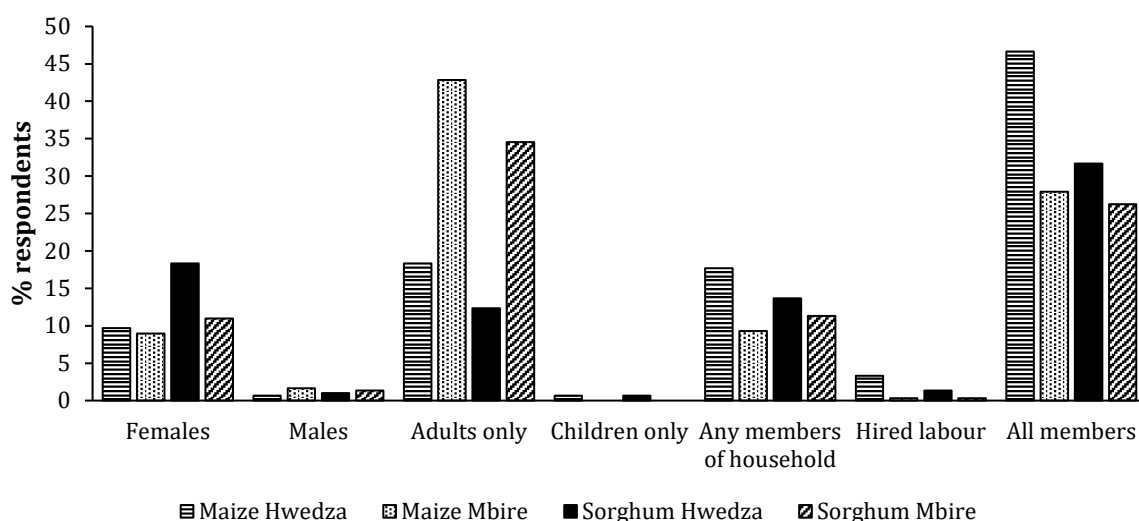
315 area. Farmers in FGDs reported that when they sell their livestock, there were no ready grain
 316 suppliers offering grain for sale at reasonable commercial prices commensurate with the livestock
 317 sale prices. Private traders were reported to bring mainly maize grain but at exorbitant prices,
 318 leaving farmers economically disadvantaged especially when bartering their livestock for maize
 319 grain.

320

321 **3.2.6 Gender roles in crop postharvest management**

322 Grain postharvest management activities in the two districts were mainly done by members of the
 323 family with very little use of hired labour (Fig 7). In most households, all the family members helped
 324 with the postharvest activities.

325



326

327 **Fig. 7** Household members' involvement in the postharvest management of the maize and sorghum
 328 in Mbire and Hwedza districts, Zimbabwe

329

330 In 12-43% of responding households, only the adults did the PH activities, and in 10-20% of
 331 households only female members did the PH activities. There were very few households (<3%)
 332 where only males did the PH activities, and even fewer (<2%) where only children did the PH
 333 activities (Fig 7).

334

335 **3.3 Grain postharvest challenges and their causes**

336 Most respondents could not explain what caused their postharvest challenges (81% in Mbire, 27%
 337 in Hwedza). Attack by pests beginning in the field was cited as the leading cause of postharvest
 338 challenges in Hwedza (41%), but less so in Mbire (10%), while 21% of respondents in Hwedza,
 339 reported that storage pests accounted for the losses in maize.

340
341 Many Mbire farmers (38%) indicated that they suspected new postharvest insect species had arrived
342 in their area, while in Hwedza only 3% indicated new insect species as a potential problem. It was
343 not clear if the farmers knew which insect species caused postharvest losses. In Hwedza, 74% of
344 farmers did not respond to the question on changes in the seasonality of insects (Table 4). Changes
345 in seasonality of the occurrence of pests were noted by 23% of respondents in Hwedza and 36% in
346 Mbire.
347

348 **Table 4** Effects of temperature and rainfall changes on pest abundance and seasonality as perceived by smallholder farmers in Mbire and Hwedza
 349 districts, Zimbabwe

District	Question	Responses	Perceived mean temperature change (%)					Perceived mean rainfall change (%)				
			No response	Increased	Decreased	Range altered	Other	No response	Increase	Decreased	Range altered	Other
Hwedza (n=300)	Perceived effect on pest abundance change	• No response	12.4	78.1	2.7	4.1	2.7	5.5	8.2	69.9	12.3	4.1
		• Increase pest abundance	6.4	81.8	3.4	7.9	0.5	1.5	11.8	81.3	4.9	0.5
		• Decreased pest abundance	4.1	83.3	4.2	4.2	4.2	0	12.5	79.2	8.3	0
	Perceived effect on pest seasonality change	• No response	8.6	81.4	0.5	7.7	1.8	2.7	10.9	76	8.6	1.8
		• Changed seasonality of pests	4.4	83.8	10.3	1.5	0	1.5	11.8	83.8	2.9	0
		• New pest species	11.2	44.4	22.2	22.2	0	0	0	100	0	0
		• Other	0	100	0	0	0	0	0	100	0	0
	Mbire (n=301)	Perceived effect on pest abundance change	• No response	34.6	46.2	15.4	3.8	0	11.5	0	57.7	30.8
• Increase pest abundance			5.9	64.4	18.4	10.9	0.4	0.8	13	66.5	19.7	0
• Decreased pest abundance			10.8	50	32.1	7.1	0	10.7	32.1	42.9	14.3	0
• Other			0	75	0	0	25	0	62.5	12.5	0	25
Perceived effect on pest seasonality change		• No response	19.1	67.6	11.8	1.5	0	5.9	13.2	60.3	20.6	0
		• Changed seasonality of pests	3.7	68.5	26.9	0.9	0	0.9	16.7	72.2	10.2	0
		• New pest species	7.8	51.3	16.5	23.5	0.9	2.5	11.3	55.7	29.6	0.9

351 In Hwedza and Mbire, 58% and 28% of farmers respectively indicated that they noticed changes in
 352 grain damage levels through the increase in visible holes on kernels, while a few farmers reported
 353 changes to the smell, taste and appearance of grain. There were no respondents who reported
 354 noticing damage holes on traditional grains such as sorghum.

355

356 **Table 5** Use of damaged grain by smallholder farmers in Mbire and Hwedza districts, Zimbabwe

	Maize		Sorghum	
	Hwedza (%)	Mbire (%)	Hwedza (%)	Mbire (%)
	(n=126)	(n=176)	(n=90)	(n=65)
Food destroyed	4.0	2.4	-	-
Given to animals	29.4	7.2	3.3	4.6
Still consumed	62.7	82.4	2.2	46.2
N/A	4.0	8.0	95.5	49.2

357 *N/A = a respondent who responds that he/she has no answer to the question*

358 *(-) = no respondent offered this option as an answer*

359

360 When grain was observed to be insect damaged during storage, the main action taken by respondents
 361 was to still consume the grain. In both districts, over 60% of farmers reported keeping damaged
 362 maize grain for family consumption, while 29% of respondents in Hwedza and 7% in Mbire fed the
 363 damaged maize grain to livestock, and only 4 and 2% of farmers in the respective districts reported
 364 destroying damaged grain (Table 5).

365

366 **3.4 Perceptions of climate change and postharvest-related factors**

367 Temperature and humidity were perceived to have an effect on postharvest pest abundance and
 368 seasonality. The stakeholders interviewed held similar views to the farmers regarding temperature
 369 increase and rainfall decrease coinciding with a relative increase in postharvest and field pests
 370 (Table 6). The frequent dry spells/droughts and problems of precipitation amounts being too low to
 371 sustain crop production coupled with increased demand for food aid, were also mentioned by district
 372 key informants as challenges (Table 6).

373

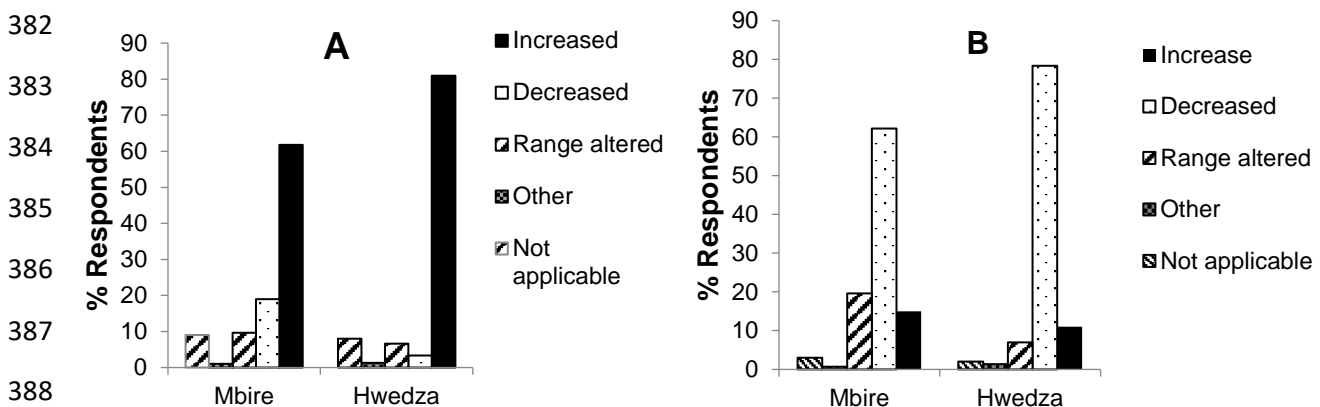
374 **Table 6** Evidence of climate trends and impacts from key informants based on experiences in the
 375 last 10 – 20 years in Mbire and Hwedza districts, Zimbabwe

Climate trend	Hwedza	Mbire
Temperature	<ul style="list-style-type: none"> ▪ Increase in extreme hot (e.g. up to 37°C), and cold temperatures (e.g. ground temperature -6°C, frost) have been recorded 	<ul style="list-style-type: none"> ▪ Increase in extreme hot (e.g. up to 40°C), and extreme cold temperatures (in winter) ▪ Temperature pattern shifting, May and July used to be the coolest months; now only July is cooler (in last 10-20 years)
Precipitation	<ul style="list-style-type: none"> ▪ Unpredictable rainfall (making cropping planning difficult, rain patterns changed since about 2003) ▪ Not sure when season will start; season commencement unpredictable 	<ul style="list-style-type: none"> ▪ Rainfall amounts decreasing and varied or erratic ▪ Cropping seasons becoming shorter ▪ Direction of rainfall has changed; used to come from the East but now comes from the South and with thunder and cyclones (last 10-20 years)
Dry spells	<ul style="list-style-type: none"> ▪ Prolonged dry spells and confusing temperature patterns (in last 10 – 20 years) 	<ul style="list-style-type: none"> ▪ Droughts e.g. 1985/86, 1991/2, 2007/8 due to low rainfall which could not sustain crop production
Pests	<ul style="list-style-type: none"> ▪ Pest increase (in last 10 – 20 years) 	<ul style="list-style-type: none"> ▪ Pest attack increased e.g. in 2013
Food aid	<ul style="list-style-type: none"> ▪ Reduced grain deliveries, increased demand for food aid 	

376

377 In Hwedza, 81% of farmers reported that average temperatures in 2012/2013 had increased
 378 compared to 20 years before-hand, with 62% of farmers in Mbire making the same observation (Fig
 379 8). A higher proportion of farmers in Hwedza district (81%), than in Mbire district (62%) claimed
 380 that average temperatures had increased.

381



389

390 **Fig. 1** Farmer perceptions of changes in (A) mean temperature and (B) rainfall in their district
 391 between 2012/2013 and 20 years beforehand

392
 393 A further 10% and 7 % of respondents in Mbire and Hwedza respectively observed that temperature
 394 range had altered, although they did not specify whether it had decreased or increased. Mean annual
 395 rainfall was noted to have decreased by 78% of farmers in Hwedza and 62% in Mbire (Fig 8).
 396

397 **3.5 Postharvest adaptations in response to climate-related challenges**

398 The mean area under maize production reported by individual households was below 1 ha in both
 399 the 2011/2012 and 2012/2013 season, and for sorghum was below 0.52 ha in both districts. Both
 400 districts reported over a 12% decline in the production area for both maize and sorghum between
 401 the 2011/2012 to 2012/2013 agricultural seasons (Table 7). In Hwedza, 98% of respondents stated
 402 maize was their main staple crop with just 0.3% selecting sorghum. While in Mbire, 77.1% of
 403 respondents stated maize was their main staple crop and 18.3% selected sorghum. Mean purchases
 404 of maize for home consumption were considerably higher in Mbire (522.0 kg) than Hwedza (347.5
 405 kg), while for sorghum, purchases were much higher in Hwedza (900.0 kg), than Mbire (373.6 kg).
 406

407 **Table 7** Mean household grain production area, harvested quantity, quantity consumed and sold,
 408 current stock and storage duration

Parameter	Period	MAIZE				SORGHUM			
		Hwedza		Mbire		Hwedza		Mbire	
		Mean	N	Mean	N	Mean	N	Mean	N
Area	Production in 2011/2012 (ha)	0.967 _a	294	0.681 _b	275	0.175 _a	28	0.491 _b	105
	Production in 2012/2013 (ha)	0.940 _a	295	0.717 _b	275	0.165 _a	26	0.514 _b	104
Harvest	2011/2012 (kg)	682.90 _a	283	615.32 _a	188	275.00 _a	11	430.33 _a	75
	2012/2013 (kg)	599.37 _a	285	443.90 _b	196	234.44 _a	18	375.61 _a	66
Sold	2011/2012 (kg)	367.32 _a	56	555.00 _a	10	5	1	200	2
	2012/2013 (kg)	357.21 _a	43	455.56 _a	9	30	2	100	1
Sales income	2011/2012 (US\$)	172 _a	53	158 _a	8	75	1	64	4
	2012/2013 (US\$)	113 _a	42	168 _a	8	16	2	21	1
Purchased	For home consumption (kg)	347.49 _a	197	522.04 _b	203	900.01	1	373.6	25
Stocks	Current (2013/2014) (kg)	340.21 _a	210	384.77 _a	66	130.00 _a	7	220.00 _a	15
Retention period	2012/2013 (months)*	4 _a	153	0 _b	279	8 _a	299	5 _b	301
	10-20 years ago (months)*	3 _a	3	3 _a	7	13 _a	295	11 _b	301

409 *Note: Mean values in the same row and sub-table not sharing the same subscript for the same crop are significantly different at $p < .05$ in the two-*
 410 *sided test of equality for column means. Cells with no subscript were not included in the test. Tests assume equal variances.³*

411 *1. This category is not used in comparisons because the sum of case weights is less than two.*

412 *2. This category is not used in comparisons because there are no other valid categories to compare*

413 *3. Tests are adjusted for all pairwise comparisons within a row of each innermost sub-table using the Bonferroni correction.*

414 ** Values associated with low responses are for comparison only and may not be a true reflection of possible results*

415 There has been a significant decrease in the stored maize retention period in Mbire with respondents
416 indicating few farmers retain their harvested maize grain beyond three months' storage (Table 7).

417

418 **3.6 Grain marketing and alternative livelihood strategies**

419 Average annual household maize grain sales of 367 kg and 555 kg were reported in Hwedza and
420 Mbire respectively in the 2011/2012 season, while in the subsequent season (2012/2013) sales
421 declined to an average of 357 kg and 456 kg for Hwedza and Mbire respectively (Table 7). Sorghum
422 sales averaged less than 5 kg and 200 kg per annum in Hwedza and Mbire respectively in the
423 2011/2012 season with an increase in the subsequent season to 30 kg for Hwedza and a decrease to
424 100 kg in Mbire (Table 7). In both districts, annual household sales income from maize was more
425 than twice that earned from sorghum. Many of the farmers in both Hwedza (57%) and Mbire (41%)
426 preferred to sell their maize grain to well-established marketing agencies; namely the Grain
427 Marketing Board (GMB); with a significant number (30% in Hwedza and 25% in Mbire) selling
428 maize grain to neighbours. Stakeholders in Mbire also confirmed that farmers were increasingly
429 abandoning the selling of their maize grain to GMB, opting instead to sell to private traders who
430 paid cash on-the-spot although the prices offered were much lower than those offered by GMB.
431 Food aid dependence was higher in Mbire with all respondents indicating that they often needed
432 food aid, while in Hwedza less than 20% required food aid.

433

434 Farmers were aware of the changes in their seasons and environment, but the lack of postharvest
435 training was highlighted by community key informants and FGDs in both districts. Farmers sought
436 explanations, knowledge, skills and training from their extension staff who they perceive as their
437 major sources of agricultural information.

438

439 **Table 8** Different postharvest adaptation strategies used by smallholder farmers in response to
440 shifts in rainfall as reported in FGDs and by key informants in Mbire and Hwedza
441 districts, Zimbabwe

Hwedza	Mbire
<ul style="list-style-type: none"> • Choosing varieties that tolerate storage pests • Changing from traditional meal sharing practices (i.e. many people eating from the same plates) to individual plates to provide equal meal size distribution 	<ul style="list-style-type: none"> • Increased use of commercial grain protectants • Preservation (mainly by drying) of exotic and wild fruits for future sale or consumption during periods of lean food supplies

442

443 The barriers hampering the adoption of coping strategies mentioned by farmers (Table 8), included
 444 their knowledge gaps regarding appropriate pesticides and their proper use for managing storage
 445 insect pest pressures. In Mbire, challenges to livestock-grain trade identified by stakeholders
 446 included: lack of livestock breeds adapted to the higher temperatures, especially bulls to improve
 447 livestock production; increased threat to livestock by wild animals; lack of participation by the
 448 national custodians of grain trade, the Grain Marketing Board (GMB) to enhance fair livestock-
 449 grain trading; and as in Hwedza, lack of knowledge regards effective postharvest technologies.

450

451 3.7 Access to information

452 In Hwedza, 87% of the responding households owned a cellphone, while in Mbire 80% did, and
 453 ownership was even across the sexes in both districts. However, cellphone ownership did not appear
 454 to have a significant relationship ($\chi^2 = 2.543$, $p = 0.065$) with how farmers accessed postharvest
 455 information, 47% and 39% of farmers used cellphones to access postharvest information in Hwedza
 456 and Mbire, respectively.

457

458 Over 90% of households surveyed in both districts reported having access to agronomic and
 459 postharvest information, with agricultural extension accounting for 43%, farmer-to-farmer
 460 exchanges (22%) and radio/TV (21%) (Table 9). General climatic / weather information was
 461 accessed by 77% and 68% of households, and daily or three-day weather forecasts by just 49% and
 462 57% of responding households in Hwedza and Mbire districts respectively. The agronomic
 463 information from extension officers was considered reliable by more than 60% of farmers in both
 464 districts. Most responding households, 68% in Hwedza and 81% in Mbire, felt specific postharvest
 465 handling information was accessible in time for the postharvest activities.

466

467 **Table 9** Percentage of respondents reporting having access to different types of agro-climatic
 468 information in Hwedza and Mbire districts, Zimbabwe

	Hwedza (%) (N=300)	Mbire (%) (N=301)
Agronomic and postharvest	88.7 _a	93.0 _a
Animal production and health	64.3 _a	87.0 _b
Agricultural commodity markets and prices	56.0 _a	62.5 _b
Climatic/weather in general	76.7 _a	67.8 _a
Seasonal forecasts	65.3 _a	67.4 _a
Daily/ 3 day weather forecasts	49.3 _a	57.1 _a
Post-harvest handling	67.7 _a	81.4 _b

469 *Note: Values in the same row not sharing the same subscript are significantly different at $p < .05$ in the two-sided test*
 470 *of equality for column proportions. Cells with no subscript are not included in the test. Tests assume equal variances.*

471

472 **Table 10** Reliability of indigenous knowledge sources for agronomic and postharvest handling
 473 information as perceived by smallholder farmers in Mbire and Hwedza districts,
 474 Zimbabwe

		Agronomic information (%)				Postharvest handling (%)			
		Good	Fair	Poor	N/A	Good	Fair	Poor	N/A
Hwedza (N=300)	Traditional leaders	29	57	-	14	50	50	-	-
	Community leaders	14	72	14	-	-	80	20	-
	Elders	43	43	11	3	37	52	11	-
	Own observation	43	57	-	-	41	59	-	-
Mbire (N=301)	Traditional leaders	25	71	4	-	15	77	8	-
	Community leaders	12	83	3	2	25	69	6	-
	Elders	29	59	9	3	15	74	10	-
	Own observation	11	78	11	-	12	83	4	2

475

476 The reliability of the information was mainly considered good (Hwedza 55.6%; Mbire 46.9%) or
 477 fair (Hwedza 32.7%; Mbire 45.7%). Stakeholders interviews confirmed that weather information
 478 was received from a variety of sources in both Hwedza and Mbire districts, including media sources
 479 such as radio, television and newspapers, Agricultural, Technical and Extension Services
 480 (AGRITEX), Non-Governmental Organisations (NGOs), Soil Fertility Consortium of Southern
 481 Africa (SOFECSA) in the case of Hwedza, and the Meteorological Department. Community-based
 482 sources included indigenous knowledge systems, churches, community discussions and school
 483 children. Indigenous weather indicators included observing wind behaviour; growth, flowering and
 484 fruiting patterns of trees; and insect behavioural patterns.

485

486 Indigenous knowledge sources were mainly perceived to be a fair or good source of agronomic and
 487 postharvest handling information in both districts (Table 10). Elders and own observations were
 488 highly regarded postharvest handling knowledge sources in Hwedza (37% and 41% good,
 489 respectively), and traditional leaders (50% good) and community leaders in Mbire (25% good).

490

491

492 **4. Discussion**

493

494 **4.1 Potential hazards of poor grain storage management practices**

495 Storage of grain has largely shifted from traditional outdoor stand-alone granaries to indoor storage
496 in polypropylene bags kept inside the family's living-quarters. This change indicates an increase in
497 the cautiousness and concerns of smallholders regarding their grain storage systems and their short-
498 and long-term food budgeting strategies. This change also results in increased secrecy regarding
499 postharvest management which likely hinders sharing of postharvest management information
500 within communities as compared to the past when granaries were external and seen as social status
501 symbols that households were proud to display. Focus groups and key informants noted that
502 construction of traditional granaries, a task previously undertaken by men for income generation,
503 no longer commonly occurred as most people were, for security reasons, now storing their grain in
504 polypropylene bags placed the family's living quarters. Respondents associated this change with
505 increased cases of grain theft due to high rates of unemployment and increased economic hardship
506 (Saungweme 2013) exacerbated by persistent food shortages due to climate-induced mid-season
507 droughts which often resulted in crop failure. Traditional store management practices including the
508 seasonal plastering of cow-dung slurry on well-swept earth walls and floors of granaries do not
509 apply to living rooms. However, storage of fumigated or synthetic pesticide-treated grain in
510 polypropylene bags stacked in the family rooms is of concern, given the likelihood of the inhabitants
511 then inhaling toxic fumigants and synthetic pesticides (passive exposure) which can be detrimental
512 to human health; particularly for children (Liu and Schelar 2012). Increased pesticide use without
513 adherence to regulated dosages (Damalas and Eleftherohorinos 2011; Mvumi et al. 1995; Rozman
514 et al. 2007) may also contribute to increased pest resistance and ultimately reduce the effectiveness
515 of the protectant. Other factors that could exacerbate postharvest losses is the use of high-yielding
516 but more susceptible hybrid varieties (Golob, 1984; Giga & Mazarura, 1991; Kossou et al., 1993;
517 Boxall, 2001) and poor storage management practices.

518

519 **4.2 Effects of climate on storage pest-related challenges**

520 Farmers may not fully understand the extent, nature and interconnectivity of their postharvest
521 challenges but storage pest attack was reported as a major underlying factor. Many respondents
522 perceived the increase in temperatures as having a direct impact on the increase in pest-related
523 problems as has been reported in similar studies (Moyo et al. 2012; Nyanga et al. 2011). This was
524 corroborated by farmer perceptions of increased seasonality of pests which can be linked to climatic
525 changes that affect insect bionomics (Neven 2000; Willmer et al. 2005). While the current study
526 did not ask respondents about the impact on specific postharvest pests, there is need to investigate

527 how increasing temperatures affect the life cycles of economically important postharvest insect
528 pests (Sharma and Prabhakar 2014) including *P. truncatus*, *Rhyzopertha dominica* (F.), *Sitophilus*
529 spp., *Sitotroga cerealella* (Olivier), and bruchids. The farmers reported that postharvest pest attack
530 begins in the field during field drying of grain crops and then continues and increases once the grain
531 is in storage. *Prostephanus truncatus* is a highly destructive stored maize grain insect pest, which
532 is now endemic in most parts of SSA (Borgemeister et al., 1998; Nyagwaya et al., 2010; Muatinte
533 et al., 2014; Muatinte et al., 2019) and may continue to spread to other geographical areas with
534 similar host crops, host vegetation and/or environmental conditions aided by global warming.

535

536 **4.3 Postharvest management and the use of grain**

537 The effectiveness of the currently available storage pesticides was also questioned, with over 25%
538 of farmers in both Hwedza and Mbire districts citing an increase in insect pest population and
539 pesticide resistance as the most important reasons why they perceived the pesticides were no longer
540 effective. A high incidence of recycling the seed of previously harvested hybrid maize varieties
541 occurs in Hwedza, likely due to the high cost of purchasing hybrid seed. Retained maize seed may
542 be a key source of carry-over pest infestation owing to its longer retention period. In Hwedza,
543 farmers use the holes in maize kernels as a sign of insect damage. However, this is harder to do with
544 the much smaller sorghum grains, which are the main stored grain in Mbire. More precise methods
545 for sampling and detecting insect damage could help farmers determine pest problems earlier and
546 inform their corrective measures. It is recommended that an effective protectant method be used at
547 the start of storage on all grain that is intended to be stored for longer than three months.
548 *Prostephanus truncatus* and other primary storage insect pests are known to favour hybrid varieties
549 of maize for field infestation due to the inadequate husk cover which exposes grains on the cob
550 (Boxall 2002; Kasambala et al. 2012). Hence, the combined effects of retained seed-use, sale and
551 exchange of infested home-retained grain, and use of hybrids creates a high pest infestation risk
552 situation. Only commercial traders such as GMB and milling companies fumigate infested grain
553 upon delivery to prevent cross-infestation and further damage.

554

555 Other parts of this study initiated research work comparing the efficacy of storage technologies for
556 maize (S. Mlambo et al. 2018; Shaw Mlambo et al. 2017) and sorghum (Mubayiwa et al., 2018;
557 Mubayiwa, 2019) under different agro-climatic conditions in Hwedza and Mbire districts of
558 Zimbabwe (S. Mlambo et al. 2018; Shaw Mlambo et al. 2017) and Masvingo province (Chigoverah
559 and Mvumi 2016). In these storage trials, hermetic devices and new synthetic chemical grain
560 protectant products successfully protected stored grain across agro-ecological zones. The current
561 study found botanical pesticide use had decreased, although it may provide a viable alternative to

562 commercially-available grain protectants (Stevenson et al. 2014) especially if use and propagation
563 can be optimised.

564
565 Stakeholder interviews suggested there had been a shift to short-term food budgeting strategies due
566 to lower production levels, given average yields are as low as 0.5t ha⁻¹. Respondents in Mbire have
567 a high dependence on food aid, with stakeholders confirming that the climatic challenges make it
568 hard for households to produce enough food. Although sorghum has a higher cropping success rate
569 in Mbire, it is less preferred as a staple food and mainly considered a secondary food source. Food
570 aid can be a potential source of pest spread, as noted from the origin and spread of *P. truncatus* into
571 Africa (Dunstan and Magazini 1980; Harnish and Krall 1984). Dependency on and potential
572 anticipation of food aid can affect the quality of survey data - where participants hope their
573 responses may result in relief food – despite clear explanations that the data being collected were
574 for research purposes only.

575
576 Numerous drivers are provoking change in crop storage systems including increased theft, high and
577 variable grain prices, devastating effects of pest attack, limited postharvest extension knowledge or
578 sharing, climate change and increasing climate variability. Adjusting cropping systems could
579 improve coping and adaptation strategies, with the drier conditions in Mbire being more suitable
580 for more livestock-oriented production; allowing for possible grain-livestock exchange with
581 relatively wetter areas such as Hwedza where crop production is more suitable. Some of the
582 responses in the study suggest that farmers and extension agents are not used to being asked about
583 the postharvest and climate change elements of their farming systems, with most studies and training
584 still focusing on agronomy, field pest management and methods for increasing crop yield.

585

586 **4.4 Information management**

587 Although cellphone ownership was high in both districts (> 80%), over 39% of cellphone owners
588 still depended on physical meetings with extension officers for their agronomic and postharvest
589 information, with the gender of the HHH having little influence.. Key informants reported that
590 climate information was obtained from a variety of sources but was too limited in scope and lacked
591 significant inputs from research. Extension agents have a critical role to play in sourcing and
592 delivering the right information to farmers but they are generally not well-trained in postharvest
593 issues (Mvumi and Stathers 2014). Farmers rely on their own experience for their decision-making.
594 Sustained and systematic training of both extension staff and farmers could help reinforce and
595 improve habitual postharvest practices and reduce postharvest losses.

596
597 Women were more involved than men in the postharvest activities suggesting attention needs to
598 focus on helping them to adapt to climate change using new farming methods (Nhemachena and
599 Hassan 2007), while a focus on children as farmers of the future could also be beneficial (Katanha
600 and Chigunwe 2014). Almost 50% of the farmers ranked indigenous postharvest information as
601 being fair to good in terms of reliability. Lack of documentation related to indigenous knowledge
602 and its dependency on oral and inter-generational knowledge transfer, make it unreliable as a
603 method for forecasting weather (Jiri et al., 2015), because it does not integrate newer scientific
604 methodologies (Nyong et al. 2007; Patt and Gwata 2002) such as tools to aid adoption of new grain
605 storage technologies.

606
607 **4.5 Marketing, livelihood strategies and other practices**
608 In Mbire, where dirt roads dominate, the nearest GMB depot was 50+ km away through
609 mountainous terrain, leading to farmers largely storing their grain for less than three months and
610 either selling their grain to traders/dealers or bartering grain and livestock for goods and/or services.
611 Contrastingly, Hwedza has a good road network with a tarmac highway and is closer to big cities
612 such as Marondera and Harare which allow for more off-farm activities such as, carpentry, flea
613 markets and vending. . The major coping strategies for the majority of farmers in Mbire were
614 centered on livestock barter-trade with grain or other household needs. Lower production levels of
615 both maize and sorghum due to reduced and more unpredictable rainfall and increased dry spells
616 and droughts (Nangombe 2014) also lead to lower quantities being sold.

617
618 River bank cultivation is rife in Mbire, with maize and other crops grown out-of-season using the
619 residual moisture in riverside or riverbed fields as also reported by Bola et al. (2013). Sorghum is an
620 important traditional grain crop grown in Mbire, although only 18% of farmers were growing the
621 crop which is largely viewed as secondary to maize due to the general shift to higher-yielding maize
622 hybrids, plus the high labour requirements and low market value of traditional grains. Maize is also
623 the preferred food aid crop distributed by NGOs especially in Mbire where food aid is regularly
624 required.

625
626 Cash crops (e.g. cotton) and livestock are major sources of income in Mbire whereas Hwedza is
627 more climatically favourable for maize production, explaining why more maize stock was reported
628 in in the latter. Experiential visual assessment was the most commonly used grain moisture content
629 testing method though it is not standardized and can be unreliable for optimizing delivery to GMB
630 where 12.5% level is recommended (GMB, 2010). What farmers do with grain damaged by insects

631 or moisture has nutritional implications since some insect pests target specific nutrients in the
632 kernels (Behmer 2005; T. E. Stathers et al. 2020). High grain moisture content which may result
633 from insufficient drying, insect infestation or re-wetting may facilitate fungal growth and potential
634 mycotoxin and aflatoxin production which are harmful to humans and livestock (Moses et al. 2015).

635

636 **4.6. Implications for postharvest policy**

637 Developing policies and strategies to address postharvest management and food security is key in
638 tackling threats posed by a changing climate and associated changes in postharvest management
639 practices coupled with greater postharvest pest threats. For instance, in Zimbabwe policy requiring
640 all deliveries to be made to GMB (Kapuya et al. 2010) combined with challenges in GMB meeting
641 payment deadlines has resulted in farmers side-marketing the grain. Anecdotal evidence shows
642 there is substantial grain movement in small batches between different households and to different
643 locations around Zimbabwe (including urban areas). This could contribute to the spread of grain
644 storage pests such as *P. truncatus* which affects the quantity and nutritional value of stored food
645 (Ekpa et al. 2018; T. E. Stathers et al. 2020), requiring further investigation with respect to different
646 grain types, management practices and climate variables. In addressing policy issues, the African
647 Union has put in place the Comprehensive Africa Agriculture Development Programme policy
648 framework of 2012 but there is inadequate articulation of the specific postharvest aspects although
649 it targets the reduction of postharvest losses by 50% by 2025 (AUC 2014). Organisations such as
650 the Food, Agriculture and Natural Resources Policy Analysis Network are building policy dialogue
651 around postharvest issues with the aim of getting more policies in sub-Saharan Africa directed
652 towards postharvest management. Postharvest research data can help build the evidence-base
653 needed for dialoguing with policy-makers and directing and driving supportive policy change.

654

655 **6. Conclusion**

656

657 The study explored existing postharvest management practices and perceptions of smallholder
658 farmers and their service-providers in the context of climate change. Many of the current pest
659 management methods, such as synthetic chemical grain protectants and botanical products require
660 further investigation as indications suggest they are failing to control new pests, or are rendered less
661 effective by the development of pest tolerance or changes in climatic conditions. Farmers' coping
662 strategies are currently devoid of research inputs to help inform their decision-making. The over-
663 reliance on, and increasing use of synthetic pesticides is concerning given the negative health
664 implications of pesticide mishandling, including incorrect dosages, wrong application methods, use
665 of pesticides not registered for application on food and the storage of grain inside houses. While

666 anecdotal evidence suggests a growing *P. truncatus* problem, more work is required to determine
667 the extent of spread and damage by this pest in the focal areas and beyond.

668

669 Given the different but concurrent stressors, including climate and its long-term effect on pest
670 populations, the lack of postharvest knowledge amongst farmers and their service-providers should
671 be flagged as major household food security issues. There is scope for much greater knowledge
672 sharing through participatory community-based interaction in postharvest research, and technology
673 development and promotion. Differences in socio-economic circumstances, access to physical
674 infrastructure, climate and agroecology and their potential impacts on postharvest management
675 practices emphasize the importance of taking the heterogeneity in farmer circumstances into
676 consideration when developing solutions. The study creates a basis from which to develop future
677 research and capacity strengthening activities on grain postharvest management in smallholder
678 agriculture. A concerted multi-dimensional effort including progressive policies and enabling
679 environment, is required to bring indigenous knowledge systems, extension and research personnel
680 together to achieve sustainable improved grain postharvest management which will help farmers
681 strengthen their resilience and capacity to adapt to the impacts of climate change and variability.

682

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