

1 **Population structure of *Mycobacterium bovis* in Germany: A long-term study**  
2 **using Whole Genome Sequencing combined with conventional molecular typing**  
3 **methods**

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27

28 **Abstract**

29 *Mycobacterium bovis* (Mbov) is the primary cause of bovine tuberculosis (bTB), and  
30 also infecting a wide range of domestic animal and wildlife species and humans. In  
31 Germany, bTB still emerges sporadically in cattle herds, free-ranging wildlife, diverse  
32 captive animal species, and humans. In order to understand the underlying population  
33 structure and estimate the population size fluctuation through time, we analyzed 131  
34 Mbov strains from animals (n = 38) and humans (n = 93) in Germany from 1999 to  
35 2017 by whole genome sequencing (WGS), MIRU-VNTR typing, and spoligotyping.  
36 Based on WGS data analysis, 122 out of the 131 Mbov strains were classified into 13  
37 major clades, six contained strains from both human and animal cases, and seven only  
38 from human cases. Bayesian analyses suggest that the Mbov population went through  
39 two sharp anticlimaxes, one in the middle of the 18<sup>th</sup> century and another one in the  
40 1950's. WGS based cluster analysis grouped 46 strains into 13 clusters ranging in size  
41 from 2-11 members and involving strains from distinct host types, e.g. only cattle, and  
42 also mixed hosts. Animal strains of four clusters were obtained over a nine-year time  
43 span, pointing towards autochthonous persistent bTB infection cycles. As expected,  
44 WGS had a higher discriminatory power than spoligotyping and MIRU-VNTR typing. In  
45 conclusion, our data confirm that WGS and suitable bioinformatics is the method of  
46 choice to implement a prospective molecular epidemiological surveillance of Mbov.  
47 The population of Mbov in Germany is diverse, with subtle, but existing interactions  
48 between different host groups.

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53 **Introduction**

54 Tuberculosis (TB) is one of the high priority infectious diseases affecting humans and  
55 animals worldwide (1, 2), and the leading cause of death by a single infectious agent  
56 in humans (2). Causative agents for TB are the members of the *Mycobacterium*  
57 *tuberculosis* complex (MTBC), namely *M. tuberculosis*, *M. africanum*, *M. bovis*, *M.*  
58 *caprae*, *M. microti*, and *M. pinnipedii*. In addition, *M. canettii*, *M. mungii*, and *M. orygis*  
59 have been proposed as separate ecotypes. However, their taxonomic classification is  
60 still under debate (3).

61 *M. bovis* (Mbov) is the primary cause of bovine TB (bTB) but also affects a wide range  
62 of other domestic animal and wildlife species and even humans (4, 5, 6, 7). After time  
63 periods of high prevalence of bTB infection in cattle until the second half of the 20<sup>th</sup>  
64 century, Germany has reached the status of being officially free of bTB. Since July, 1<sup>st</sup>,  
65 1996 (Decision 97/76/EC), 99.9 percent of the cattle herds remained officially free of  
66 bTB infection and disease for at least six consecutive years (Article 2(d) of Council  
67 Directive 64/432/EEC, 8, 9, 10). However, bTB is still emerging sporadically in cattle  
68 herds (11), free-ranging wildlife, captive animal species (12), and humans (13).  
69 Confirmed animal bTB cases are notified through an electronic national disease  
70 information system (TSN) and published annually (14). From January 1999 to  
71 December 2015, a total of 214 bTB outbreaks in cattle herds were notified in Germany,  
72 with about half of the cases caused by either *M. bovis* or *M. caprae*. In general, *M.*  
73 *caprae* is reported mainly in middle European countries with sporadic cases also in  
74 Asia and Peru (15,16), with cattle and wildlife cases in Germany restricted to an area  
75 at the German-Austrian border (17,18). *M. caprae* was therefore not included in this  
76 study. According to the European Food Safety Authority (EFSA) 2017, from 2013-  
77 2017, 43-56 bTB cases in humans were diagnosed annually (13). Notification rates for  
78 bTB ranged from 0.05- to 0.07 per 100,000 population. Mbov and the closely related

79 *M. caprae* make up about 1% of all human TB cases (5,486 cases in 2017, more than  
80 six per 100.000 population) (13, 19).

81 As disease transmission dynamics of Mbov within and between host groups are only  
82 partially understood (20), molecular typing methods could offer insights into  
83 transmission routes and inform pathogen surveillance (21, 22, 23). Classical  
84 genotyping methods including spoligotyping, restriction fragment length polymorphism  
85 (RFLP) and mycobacterial interspersed repetitive unit variable number of tandem  
86 repeat (MIRU-VNTR) detection allow analyzing outbreaks, assessing population  
87 structures, and performing longitudinal molecular epidemiological studies (24, 25, 26,  
88 27, 28, 29, 30, 31).

89 Spoligotyping (25) is based on the analysis of CRISPR-CAS spacer sequences located  
90 in a genomic region prone to convergent evolution (21), possibly leading to uncertainty  
91 of strain relatedness. Spoligotyping patterns submitted to international databases  
92 receive unique identifiers: SITVIT (32, 33, 34) allowing for MTBC isolates from any  
93 host, and mbovis.org accepting MTBC strains from animals only (35). As of October  
94 2018, 39,609 MTBC spoligotypes have been collected in the SITVIT database from  
95 more than 121 countries (32). At mbovis.org, 2,117 patterns are available (last update  
96 April 2020). RFLP is a method with high potential for discrimination for *M. tuberculosis*  
97 but not Mbov strains due to the small number of analyzed insertion element copies  
98 present in the respective genomes. MIRU-VNTR typing possesses a higher  
99 discriminatory power, allowing automated high throughput typing and web-based  
100 translation into a digit code identifier (29, 30, 36, 37). The method has high potential to  
101 define clusters of related strains, but cannot differentiate between closely related  
102 strains within outbreaks (38).

103 Next generation sequencing (NGS) allows for analysis of the nearly-complete genome  
104 of a pathogen by whole genome sequencing (WGS), providing deeper insights into the  
105 population structure, pathogen evolution, transmission chains, and biology of bacteria  
106 (38, 39, 40, 41). WGS analysis facilitates the detection of recent transmission chains  
107 and monitoring re-emerging of strains after years of non-detection (42, 43, 44, 45).

108 In this study, we used WGS, spoligotyping and MIRU-VNTR to determine the diversity  
109 of Mbov strains isolated from animals and humans in Germany and define possible  
110 transmission chains within and between different host populations over a 19-year  
111 period (1999-2017). Using Bayesian analyses, we sought insights into the dynamics of  
112 strain diversity over the last 800 years in Germany.

113

## 114 **Materials and Methods**

115

### 116 Strain selection and DNA extraction

117 In total, 131 Mbov strains were available for WGS including the reference strain Mbov  
118 BCG (DSM 43990 / ATCC 27289), with 38 strains from the Friedrich-Loeffler-Institut  
119 (FLI), Federal Institute for Animal Health, and 93 strains from the National Reference  
120 Center (NRC) for Mycobacteria in Borstel, Germany (supplementary table S1). From  
121 January 1999 to December 2015 (the study period), a total of 214 bTB outbreaks in  
122 cattle herds were notified in Germany by the electronic system implemented by the FLI  
123 to monitor bTB outbreaks, with about half of the cases in cattle caused by *M. bovis*.  
124 Mbov strains from ten cattle bTB outbreaks, from five other domestic animal species ,  
125 14 zoo animals, and wild boars were analyzed (supplementary table S2), spanning the  
126 time period from 1999–2015, and covering different regions of the country, including  
127 the known hot spot regions in the north and south. At the NRC in Borstel, all German

128 *M. bovis* strains cultured and archived from 2000 to 2017 were included. The NRC  
129 receives samples from all districts in Germany, and while it is not the only laboratory  
130 offering specialist mycobacterial diagnostics in Germany, it receives an estimated 50%  
131 of all MTBC isolates. At both institutions, strains were cultured according to standard  
132 procedures (46, 47, 48, 49), and genomic DNA was extracted using the High Pure PCR  
133 Template Preparation kit (Roche Life Science; FLI) and with the  
134 cetyltrimethylammonium bromide (CTAB) procedure (NRC), respectively (50).

135

### 136 Classical genotyping

137 Spoligotyping of animal strains was performed using a microarray format (Alere  
138 Technologies, Jena, Germany) (51). Binary codes were automatically compared with  
139 data available through SITVIT and mbovis.org to identify concordant species and  
140 lineages. For human strains, the conventional spoligotyping method was used (25).  
141 MIRU-VNTR-typing of the strains isolated from animals was performed using  
142 conventional PCR and agarose gel electrophoresis (27, 29, 52). For human strains,  
143 the automated high-throughput method was used (29). VNTR copy numbers were  
144 assessed according to allele calling tables ([www.miru-vntrplus.org](http://www.miru-vntrplus.org), EU Reference  
145 Laboratory for bovine Tuberculosis, [www.visavet.es](http://www.visavet.es)). The discriminatory power of the  
146 method was calculated according to Hunter and Gaston (53); (supplementary tables 3  
147 and 4).

148

### 149 Whole genome sequencing and data analysis

150 Libraries for WGS were prepared from genomic DNA with a modified Illumina Nextera  
151 protocol (54) and run on the Illumina NextSeq NGS platform (Illumina, San Diego, CA,  
152 USA). We employed the MTBseq pipeline with default parameters for variant detection  
153 and a joint analysis (55), employing a threshold of 12 SNPs for cluster detection (56).

154 As deduced from the pairwise SNP distances distribution, we used a cutoff of 350  
155 SNPs to detect major groups (figure 2). For all sequenced strains, mean coverage  
156 depth was at least 50-fold, and at least 95% of the reference genome fulfilled the  
157 MTBseq thresholds for variant detection. From the aligned sequences of concatenated  
158 SNP positions produced by MTBseq, we calculated a maximum likelihood tree with  
159 FastTree (57) with a general time reversible (GTR) substitution model, 1,000  
160 resamples and Gamma20 likelihood optimization to account for rate heterogeneity  
161 among sites. The consensus tree was rooted with the “midpoint root” option in FigTree  
162 (<http://tree.bio.ed.ac.uk/software/figtree>), and nodes were arranged in increasing  
163 order. The resulting tree was annotated with the EvolView software (58). Additionally,  
164 we built maximum parsimony trees with the software BioNumerics version 7.5 (Applied  
165 Maths, Gent, Belgium) with default settings.

166 For the coalescent-based analyses, evolutionary rates and tree topologies were  
167 analyzed using the general time-reversible (GTR) and Hasegawa-Kishino-Yano (HKY)  
168 substitution models with gamma distributed among-site rate variation with four rate  
169 categories ( $\Gamma_4$ ). The substitution rate was estimated by plotting a regression line that  
170 depicts for the sole WGS clusters, in a pairwise manner, the relationship between the  
171 elapsed time and the accumulated number of SNP's. Under this model, the slope  
172 corresponds to the mutation rate. We tested both a strict molecular clock (which  
173 assumes the same evolutionary rates for all branches in the tree) and a relaxed clock  
174 that allows different rates among branches. Constant-size, exponential and Bayesian  
175 skyline plot models, based on a general, non-parametric prior that enforces no  
176 particular demographic history were used in BEAST v1.10.4 (59). For each model, two  
177 independent chains were conducted for 200 million generations and convergence was  
178 assessed by checking ESS values for key parameters using TRACER V1.7.1 (60). We  
179 used TRACER V1.7.1 to calculate the log<sub>10</sub> Bayes factors in order to compare the

180 models after a burn-in of 10% of the chain. Bayes factors represent the ratio of the  
181 marginal likelihood of the models being compared. Approximate marginal likelihoods  
182 for each coalescent model were calculated via importance sampling (1,000 bootstraps)  
183 using the harmonic mean of the sampled likelihoods. A ratio between 3 and 10  
184 indicates moderate support that one model better fits the data than another, whereas  
185 values greater than 10 indicate strong support. For correlation with known clonal  
186 complexes, we selected 33 strains representing the known clades contained in a recent  
187 publication (61), and performed a joint analysis as described previously.

188

#### 189 Data availability

190 All WGS data was submitted to the EMBL-EBI ENA SRA archive (supplementary table  
191 S1).

192

#### 193 **Ethics statement**

194 Ethical approval was not sought, as no patient data was used.

195

#### 196 **Results**

197 In total, 131 Mbov strains, 93 of human and 38 of animal origin (supplementary table  
198 S1) isolated in Germany from 1999–2017, including one *M. bovis* BCG reference  
199 strain, were investigated by spoligotyping, MIRU-VNTR-typing, and WGS. WGS data  
200 analysis revealed 12,726 variable SNP positions among the genomes analyzed that  
201 were used for the calculation of a phylogenetic tree (figure 1). Interestingly, the strain  
202 mbov-49 was clearly separated from the rest of the study collection. This strain has



203 been isolated at the FLI in 2000 from a Nilgau antelope (*Boselaphus tragocamelus*),  
204 which died in a German zoo, and found to be not intrinsically pyrazinamide resistant  
205 (62).

206 Overall, the median pairwise distance in distinct SNP positions of the 131 strains was  
207 516 SNPs, and distinct peaks emerged in the frequency distribution between 0-30, 70-  
208 350, 370-620, and 780-840 distinct SNPs, agreeing with the groups of related strains  
209 found by cluster detection with a threshold of 12, 30, and 350 distinct SNPs (d12, d30,  
210 d350) between nearest group members (figure 1, figure 2). Using the d350 threshold  
211 to group strains, we found 13 cladistic groups containing 122/131 strains ranging in  
212 size from 2-35 members, with on average eight years (2-18) between the earliest and  
213 latest year of isolation.

214 Six of the d350 groups contained both human and animal cases, and seven only  
215 human cases. When comparing d350 groups with the known clonal complexes African  
216 1 and 2 (Af1, Af2), European 1 and 2 (Eu1, Eu2), as well as newly determined Unknown  
217 1-8 (61), we could correlate clonal complexes Af1, Eu1, Eu2, and Unknown2 with d350  
218 groups 08, 07, 06, and 13 (supplementary figure S1, supplementary table S6). For  
219 clonal complexes Af2, Unknown1, and Unknown7, we found only one corresponding  
220 strain in our collection (mbov-118, mbov-49, mbov-119). Interestingly, three d350  
221 groups (10, 11, 12) were attributed to clonal complex Unknown3, and four d350 groups  
222 (01, 02, 03, 04) to clonal complex Unknown4. We found no representatives of  
223 complexes Unknown5 and Unknown6 in our study, as well as correlates of d350  
224 groups 05 and 09 among the collection of known clonal complexes.

225

226 Putative transmission clusters

227 We used a threshold of at most 12 distinct SNP positions to the nearest group member  
228 as indication for possible recent transmission (54), which yielded 13 d12 clusters of  
229 altogether 46 strains (figure 1, figure 3, table 1). The d12 clusters ranged in size from  
230 2-11 members, spanned up to 15 years and involved distinct host types, with d12  
231 clusters 5 and 12 only comprising cattle hosts, clusters 4, 7, 11, and 13 only human  
232 hosts, and the rest mixed hosts (table 1). In total, 32 of the 38 animal strains (the pair  
233 of Mbov BCG in d12 cluster 13 not counted) were grouped into WGS d12 clusters. In  
234 four of these clusters, animal strains were recovered more than nine years apart,  
235 pointing towards autochthonous persistent bTB infection cycles. In contrast, only 12  
236 out of the 93 human strains were grouped into d12 clusters, with nine human strains  
237 forming four WGS d12 clusters of two and three members, respectively (table 1). The  
238 members of these groups were isolated within at most two years from each other.  
239 Overall, we found one cluster (cluster 8) with a putative transmission from cattle to  
240 humans with respective strains separated by two SNPs, and one cluster (cluster 6) of  
241 raccoon and human strains separated by 12 SNPs.

242 As the frequency distribution of pairwise SNP distances featured a peak between 0-30  
243 SNPs (figure 2), we also clustered strains with a threshold of 30 SNPs. This yielded  
244 two new clusters of related strains with two members each, an additional member of  
245 d12 cluster 13, and d12 clusters 2 and 8 were joined together (figure 1).

246

#### 247 Comparison with classical genotyping

248 The 131 strains were differentiated into 45 known spoligotypes and 11 spoligotypes  
249 not contained in the established databases (supplementary tables S1 and S5). Five or  
250 more strains each fell into four known spoligotypes: SB 120/IT0482 (35 strains), SB

251 121/IT0481 (13 strains), and SB 989/IT1118 (12 strains), SB 288/IT685 (5 strains). Of  
252 these, SB 120 and SB 121 have been reported as predominant spoligotypes circulating  
253 among animals around the world (63). Strains of these spoligotypes were present in  
254 different branches of the constructed phylogenetic tree and in different MIRU-VNTR  
255 and d12 clusters (figure 1).

256 Comparing the composition of the d350 groups in terms of the respective spoligotypes  
257 (figure 1), we found correlations with the well-established clonal complexes 1 and 2 and  
258 Af 1 and 2, as well as with the newly determined complexes named unknown 1 – 8(61;  
259 supplementary table S7). For example, SB0120 found in d350 groups 01, 02, 04, 05,  
260 10, and 13 was detected in complexes Unknown 2–5. This spoligotype has been  
261 reported as predominant circulating among animals around the world (63). Seven  
262 spoligotypes present in d350 groups 01, 02, 03, and 04 were reported for complex  
263 Unknown4 (61). The 15 spoligotypes found for d350 group 06 corresponded to those  
264 for complex Eu2, and the nine spoligotypes present in d350 groups 10, 11 and 12 were  
265 found in clade Unknown3 (61). The spoligotype SB0989 found in d350 group 09 was  
266 reported for singletons not contained in a complex (61).

267 MIRU-VNTR analysis yielded 92 distinct patterns with 21 strain clusters ranging from  
268 two to seven members comprising altogether 62 strains. Using 121 supposedly  
269 unrelated strains, the discriminatory power index (HGDI; 51) of each of the 24+1-locus  
270 MIRU-VNTR loci was determined finding allelic heterogeneity mainly restricted to 2-4  
271 repeat copies (supplementary table S3). Allele heterogeneity of > 0.5 was found for the  
272 loci VNTR 2163a, 2163b, 2165, 2461 and 4052 (supplementary table S4). Overall,  
273 MIRU-VNTR types correlated well with both the phylogenetic tree and the d12 clusters.  
274 However, 21 strains grouped by MIRU-VNTR were not clustered by d12 analysis, and

275 four d12 clusters encompassed strains with different MIRU-VNTR patterns, with four  
276 distinct loci in one, and one distinct locus in three of these cases (figure 1, figure 3).

277

#### 278 Mutation rate estimation and demographic inference

279 The geographically widespread and phylogenetically diverse nature of our strain  
280 collection did not allow implementing a Bayesian tip-dating approach. We therefore  
281 focused on the 13 d12 clusters where the measurably evolving dimension of Mbov  
282 could be captured to infer a realistic estimation of the mutation rate. A positive  
283 correlation ( $r^2 = 0.682$ ) was found between the time elapsed between two strains and  
284 the number of accumulated SNPs (figure 4). The slope was close to 1, corresponding  
285 to the acquisition of one SNP every year between two strains and translating to a  
286 mutation rate of  $1.14 \times 10^{-7}$  substitutions/nucleotide/year.

287 To estimate the effective population size fluctuation through time, three demographic  
288 models were compared and the best fitting evolutionary model was obtained under the  
289 Bayesian skyline model with a relaxed clock (figure 4). The relaxed clock model  
290 outperforms the constant clock model (BF = 40) and the Bayesian skyline was favored  
291 to its closest model, constant size (BF = 14). The TMRCA (TIME to Most Recent  
292 Common Ancestor) corresponding to our Mbov strain collection dated back some 950  
293 years ago (95% HPD [highest posterior density] interval, 836-1062). According to the  
294 coalescent-based demographic reconstructions, the German Mbov population went  
295 through three successive expansions, a first twentyfold increase in the late middle age,  
296 followed by two mild expansions in the middle of 18<sup>th</sup> century and the early 20<sup>th</sup> century  
297 (figure 4).

298

## 300 **Discussion**

301 This investigation provides insights into population structure, persistence and  
302 population size fluctuation of Mbov strains in Germany over time and the complex  
303 interrelations in a multi-host pathogen system. In the context of a country declared  
304 officially free of bTB for more than two decades, special consideration was given to  
305 strain persistence attempting to understand recurrent outbreaks and possible links to  
306 human cases, while other publications have mainly concentrated on microevolution of  
307 strains in the context of geospatial spreading and transmission dynamics between  
308 animal reservoirs (64, 65).

309

310 The main limitation of our study is that, due to practical limitations related to access to  
311 strains, we were not able to collect a fully comprehensive set of Mbov strains from  
312 human and animal cases in Germany. Additionally, due to the restrictions set by data  
313 protection regulations, the available metadata for the strains was limited to year and  
314 host of isolation. Regrettably, this does not allow an epidemiological analysis of the  
315 WGS d12 and d30 clusters. Still, our collection covers a time span from 1999-2017  
316 and diverse host species. While we took care to identify and remove duplicate strains  
317 from the same host, we cannot fully exclude this possibility for human strains.

318

319 We successfully performed WGS for a collection of 93 human and 38 animal Mbov  
320 strains, isolated in Germany from 1999–2017. The pairwise distance distribution and  
321 the reconstructed phylogenetic tree indicate the presence of 13 d350 groups within the  
322 study population. These encompassed the majority of strains (122/131) and represents  
323 a snapshot of Mbov sublineages historically spreading in Germany. Correlating our

324 phylogeny and detected groups with described clonal complexes revealed that our  
325 collection contains representatives of the well-known Mbov complexes Af1, Af2, Eu1,  
326 and Eu2, as well as of additional groups defined recently (61). Interestingly, there are  
327 at most two strains of complexes Af1, Af2, and Eu1 in our study, and we found no  
328 representatives of complexes Unknown5 and Unknown6, or correlating complexes for  
329 d350 groups 05 and 09. This might indicate a geographically uneven distribution of  
330 subgroups and that the Mbov phylogeny needs to be refined by WGS-based studies  
331 with larger, geographically diverse collections.

332

333 Using a threshold of 12 distinct SNP positions to identify strains possibly involved in  
334 recent transmission events (56), we found 32 out of the 38 animal strains and 12 out  
335 of the 93 human strains grouped into 13 d12 clusters. In four of these clusters, animal  
336 strains were recovered more than nine years apart, pointing towards autochthonous  
337 persistent bTB infection cycles. This is further supported by the combination of d12  
338 clusters 2 and 8 into a joint group when clustering with a threshold of 30 SNPs, with  
339 the phylogenetic analysis and the number of distinct SNP positions suggesting a  
340 relatively recent common source for both clusters. Human strains within clusters were  
341 isolated within at most one-year difference and with one sole exception had at most  
342 one SNP distance, possibly indicating direct transmission.

343 Despite the imbalance of Mbov strains included from humans and animals, there seem  
344 to be distinct infection dynamics for animals and humans. For cattle and other animals,  
345 the majority of strains were found within d12 clusters and several strains were  
346 persistently spreading over up to 15 years, pointing towards potential reservoirs of  
347 these strains, for example in the German wildlife population. The mostly un-clustered  
348 human cases might represent progression to active disease from latently infected  
349 individuals as indicated previously (17). In general, human mobility is also higher

350 compared to cattle and wild animals. Here, patients having contacts to sources of  
351 infection outside Germany may contribute to the detected high diversity of strains  
352 isolated from human patients. As reported in 2003 (17), the majority of patients with  
353 Mbov disease in Germany, was over 60 years of age suggesting that they might have  
354 acquired the infection at a young age when the prevalence of bTB in cattle in Germany  
355 was much higher than today. Unfortunately, Mbov strains isolated from cattle before  
356 1999 were not available.

357

358 Two of the d12 clusters (6 and 8) contained both animal and human strains, indicating  
359 possible recent transmission between humans and animals. The detection of only one  
360 human strain contained in a d12 cluster with cattle strains may indicate that the overall  
361 risk of human infection with Mbov is low with respect to consumption of food (milk,  
362 meat) or direct contact to indigenous cattle, while transmission can happen in  
363 outbreaks settings.

364 The study results show that WGS is superior in unequivocally detecting genetic  
365 relationship between strains and clarify transmission routes compared to spoligotyping  
366 and MIRU-VNTR. While spoligotyping provides some information of strain relatedness,  
367 our results demonstrate that it cannot reliably establish clusters of related strains.  
368 MIRU-VNTR typing results correlated well with WGS data. However, MIRU-VNTR  
369 cannot accurately trace gradual evolution within a transmission cluster. Twenty-one  
370 strains clustered by MIRU-VNTR were not clustered by d12 analysis, and four d12  
371 clusters encompassed strains with distinct MIRU-VNTR patterns.

372 We estimated a mutation rate of  $1.14 \times 10^{-7}$  substitutions/nucleotide/year for Mbov. A  
373 recent publication on the molecular clock with over 6,000 samples representing the  
374 global diversity and covering different epidemiological settings estimated a clock rate

375 between  $1 \times 10^{-8}$  and  $5 \times 10^{-7}$ , while stating that sampling times below 15-20 years could  
376 be insufficient to calibrate a clock rate (67). In another study dealing explicitly with  
377 globally distributed Mbov strains, the clock rate was estimated between  $6.66 \times 10^{-8}$  and  
378  $1.26 \times 10^{-7}$  (61). Our collection of 131 samples of German Mbov strains spans a time  
379 period of 19 years, maybe limiting our ability to estimate the clock rate. However, the  
380 rate we inferred is in full agreement with estimates published for *M. tuberculosis*  
381 outbreaks in Germany (37) and Eurasia (66). Estimates of the effective population size  
382 fluctuation through time according to coalescent-based demographic reconstructions  
383 suggested that, the German Mbov population went through three successive  
384 expansions, a first twentyfold increase in the late middle age, followed by two mild  
385 expansions in the mid 18<sup>th</sup> century and the early 20<sup>th</sup> century (figure 4). These  
386 expansions might be due to increasing growth and movement of human and cattle  
387 populations as well as increasing growth of human communities and of intensive  
388 animal husbandry with time. The population size sharply declined after the 1970's,  
389 underlining the absence of ongoing epidemics in Germany and confirming the bTB free  
390 status of the country. Indirectly supporting the data, the Bayesian skyline detected an  
391 anticlimax in the 1740 to 1760 period. This observation coincides with the cattle plague  
392 outbreak (RPV virus) that severely impacted the European stocks during that period  
393 (68).

394 In conclusion, in this study for the first time the persistence of infectious cycles of Mbov  
395 in the officially bTB free country of Germany over more than ten years has been clearly  
396 demonstrated pointing towards the challenges controlling this pathogen. As  
397 exemplified here, WGS is definitively the method of choice for establishment of an  
398 integrated molecular surveillance of Mbov as well as for outbreak investigations.

399



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407 All authors provided substantial scientific contributions, have read and approved the  
408 final manuscript and agreed to the submission. Furthermore, all authors disclose any  
409 conflicts of interest relevant to this study.

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## 672 **Figures**

673

674 **Figure 1:** Maximum likelihood tree of 131 Mbov strains built from 12,726 SNP  
675 positions, annotated with host organism, isolation year, WGS cluster, MIRU-VNTR  
676 types, and spoligotypes from the SITVIT (IT) and mbovis.org (SB) databases. Scale

677 bar indicates the likelihood of per-site substitution and therefore reflects a distance of  
678 127 SNPs barring reverse mutations. Circles on nodes indicate resampling support of  
679 at least 90% (green circles) or at least 70% (black circles).

680

681 **Figure 2:** Pairwise distance distribution of SNP distances between all sequenced  
682 strains (blue) and within WGS d350 groups (red), d30 clusters (purple), and d12  
683 clusters (yellow), with the color indicator for the respective lower thresholds  
684 superimposed. The y-axis indicates the total number of pairwise distances and x-axis  
685 the number of distinct SNPs.

686

687 **Figure 3: A** Maximum parsimony trees for the 13 WGS clusters, annotated with host  
688 of isolation. Numbers on branches indicate number of distinct SNPs, distances of 1 are  
689 not indicated. **B** Maximum parsimony trees for the 13 WGS clusters, annotated with  
690 MIRU-VNTR types. Numbers on branches indicate number of distinct SNPs, distances  
691 of 1 are not indicated.

692

693 **Figure 4:** Bayesian skyline plot showing the effective population size of the German  
694 Mbov sample through time, estimated from the SNP matrix. According to the  
695 coalescent-based approach, the Mbov population went through three successive  
696 expansions followed by a final decline. **Plot-in-plot** Root-to-tip genetic distances  
697 plotted against sampling dates based on 13 WGS clusters. The figure illustrates a  
698 positive correlation ( $r^2 = 0.682$ ) of divergence with sampling date and confirms that  
699 Mbov is a measurably evolving population (MEP).

700

701

702

703 **Tables**

704 **Table 1:** Synopsis of the 13 d12 clusters as deduced from the maximum likelihood tree  
705 built from 131 Mbov strains. To the clusters, the number of strains, the years of  
706 isolation, spanning time, the maximum distance as indicated by the number of SNPs  
707 and the host organisms are annotated.

708

709