1	The nucleolar related protein Dyskerin pseudouridine
2	synthase 1 (DKC1) predicts poor prognosis in breast
3	cancer
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33	Running Title: Prognostic significance of DKC1 in breast cancer
34	Keywords: Nucleoli, invasive breast cancer, DKC1, prognosis

35 ABSTRACT

36 **BACKGROUND:** Hypertrophy of the nucleolus is a distinctive cytological feature of 37 malignant cells and corresponds to aggressive behaviour. This study aimed to identify the 38 key gene associated with nucleolar prominence (NP) in breast cancer (BC) and determine 39 its prognostic significance.

40 **METHODS:** From The Cancer Genome Atlas (TCGA) cohort, digital whole slide images 41 identified cancers having NP served as label and an information theory algorithm was 42 applied to find which mRNA gene best explained NP. Dyskerin Pseudouridine Synthase 1 43 (*DKC1*) was identified. *DKC1* expression was assessed using mRNA data of Molecular 44 Taxonomy of Breast Cancer International Consortium (METABRIC, n=1980) and TCGA 45 (n=855). DKC1 protein expression was assessed using immunohistochemistry in 46 Nottingham BC cohort (n=943).

47 **RESULTS:** Nuclear and nucleolar expressions of DKC1 protein were significantly 48 associated with higher tumour grade (p<0.0001), high nucleolar score (p<0.001) and poor 49 Nottingham Prognostic Index (p<0.0001). High DKC1 expression was associated with 50 shorter BC specific survival (BCSS). In multivariate analysis, *DKC1* mRNA and protein 51 expressions were independent risk factors for BCSS (p<0.01).

52 **CONCLUSION:** DKC1 expression is strongly correlated with NP and its overexpression in 53 BC is associated with unfavourable clinicopathological characteristics and poor outcome. 54 This has been a detailed example in the correlation of phenotype with genotype.

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65 **INTRODUCTION**

- Breast cancer (BC) is the most common cancer diagnosed in women worldwide, accounting for approximately 1 in 10 new cancer diagnoses each year and is the second most common cause of death^{1,2} due to cancer. BC is a heterogeneous disease with variable morphologies and response to therapy. Some morphological features, especially histological grade, have been well validated to have a strong prognostic value and their assessment helps in prognostic stratification of BC patients for treatment decisions³.
- In the Nottingham cohort, nucleolar prominence (NP) has recently been shown to be a significant predictor for patient outcome as well as of being highly correlated with tumour grade. Since the NP is a distinctive morphological attribute, it is hypothesised to possibly serve as a substitute for the highly subjective pleomorphism component score of the Nottingham BC grading⁴. Consequently, it is deemed imperative to explore the correlations between the nucleolar phenotype and genotype.
- 78 The major function of the nucleolus is synthesis and assembly of ribosomes⁵ where both 79 are associated with malignant transformation and cancer progression^{6,7}. Indeed, ribosome 80 biogenesis depends on the cancer growth rate which is directly related to nucleolar size of 81 malignant cells. Nucleolar size and cell kinetics' parameters are interrelated because of 82 the increasing rate of ribosome biogenesis in proliferating cells⁸. In some solid cancer and 83 haematological malignancies, the ribosome biogenesis rate increases as a consequence of 84 overexpression of the oncogene c-Myc which controls all the steps of ribosome biogenesis⁹. 85 Despite the biological and clinical significance of NP in BC, the key gene associated with 86 NP and its prognostic significance remains to be defined.
- 87 Dyskerin Pseudouridine Synthase 1 (DKC1) is a predominantly nucleolar protein encoded 88 by *DKC1* gene and mapped at Xq28¹⁰. DKC1 is a crucial component of the telomerase 89 complex and is required for normal telomere maintenance and post-transcriptional 90 processing of precursor rRNA. Therefore, DKC1 is necessary for tumour cell progression 91 through mechanisms related to its function in the processing of rRNA precursor¹¹. Usually, 92 clinically indolent and slow-growing tumours express lower levels of DKC1 and its inhibition 93 slows or hinders the proliferation in most cell types^{11,12}. Through various deprivation of 94 function approaches, emerging evidence suggests that DCK1 may regulate other cellular 95 processes, including IRES-mediated translation, telomere maintenance independent of 96 telomere length regulation, mitosis, transcription, and possibly microRNA processing^{13,14}. 97 Upregulation of DKC1 expression has been reported in several human cancers including 98 hepatocellular carcinoma¹⁵, neuroblastoma¹⁶, lymphoma¹⁷, melanoma¹⁸, prostate 99 cancer¹⁹, colorectal cancer²⁰, and ovarian carcinoma²¹.

100 MATERIALS AND METHODS

101 **Principle of DKC1 selection**

102 We have applied an information theory (IT) approach to The Cancer Genome Atlas (TCGA) 103 breast cancer dataset. The IT approach was used for feature selection to identify the key 104 gene associated with NP which was assessed morphologically in full face invasive BC 105 sections stained with haematoxylin & eosin (H&E) using digital whole slide images (WSI) 106 as explained in our previous study⁴. The TCGA BC cohort was employed since it contains 107 satisfactory whole slide images and mRNA-seq2 data present in 743 cancers. In the IT 108 approach utilised, the nucleolar score served as a label and 20,339 mRNA transcripts 109 served as predictor variables. The IT algorithm is a 'greedy' algorithm and reduces the 110 number of features selected. "Greedy" is the term used in the machine learning 111 community to describe an algorithm which selects the optimal feature at each step and 112 does not alter any choices already made based on findings from future choices²². The 113 analysis showed that the attribute which exhibited the highest mutual information 114 (information gain) with NP was DKC1. Moreover, the detection of DKC1 was supported by 115 LASSO regression feature selection. The required functions for LASSO were obtained from 116 R library Glmnet²³. LASSO regression is also capable of reducing the number of predictors 117 and thereby allowing for a focused study of a few attributes²⁴. Therefore, by applying the 118 IT approach and LASSO regression, the selection was limited to a single gene (*DKC1*). This 119 was followed by evaluating DKC1 mRNA and DKC1 protein expression in large clinically 120 annotated cohorts of BC to evaluate its clinicopathological and prognostic value in invasive 121 BC as described below.

122 Study cohorts for transcriptomic analysis

123 The discovery of *DKC1* was by study of TCGA cohort. The TCGA was also used to assess 124 the possible correlation between DKC1 mRNA expression and the variables recorded in 125 this cohort²⁵. The Molecular Taxonomy of Breast Cancer International Consortium 126 (METABRIC) cohort (n=1980) was used to evaluate *DKC1* gene copy number (CN) aberrations and gene expression²⁶. Genomic and transcriptomic data for the METABRIC 127 128 cohort had been obtained using the Affymetrix SNP 6.0 and Illumina HT-12v3 platforms, 129 respectively²⁶. The association between *DKC1* mRNA expression, copy number aberrations 130 and clinicopathological parameters, molecular subtypes, and patient outcome was 131 investigated. Breast Cancer Gene Expression Miner online dataset v4.3 132 (http://bcgenex.centregauducheau.fr/BC-GEM/GEM-requete.php) was also used as 133 external validation of DKC1 mRNA expression.

134 Study cohort for protein expression

135 The Nottingham BC patient cohort was used to evaluate the immunohistochemical (IHC) 136 expression of DKC1. This cohort is a well-characterised large series (n=943) of invasive 137 BC patients aged ≤70 years and presented at Nottingham City Hospital between 1999 and 138 2006. The cohort has long-term clinical follow-up and clinicopathological data included 139 patient's age at diagnosis, histological tumour type, tumour grade, tumour size, lymph 140 node status, Nottingham Prognostic Index (NPI), and lymphovascular invasion (LVI). 141 Patient outcome data was obtained including BC-specific survival (BCSS), defined as the 142 time (in months) from the date of primary surgical treatment to the time of death from 143 BC and distant metastasis free survival (DMFS) defined as time (in months) from primary 144 surgical treatment until the first event of distant metastasis. Patients were treated based 145 on tumour features, NPI and hormone receptor status. Endocrine therapy was given to 146 patients who had oestrogen receptor positivity (ER+) tumours with high NPI scores (>3.4), 147 whereas no adjuvant therapy was given to patients with 'good' NPI scores (\leq 3.4). 148 Premenopausal patients with moderate and poor NPI scores were candidates for 149 chemotherapy, while postmenopausal patients with 'moderate' or 'poor' NPI scores were 150 given hormonal therapy only. Classical treatment of cyclophosphamide, methotrexate and 151 fluorouracil (CMF) was used as a therapy for patients presented with absence of ER 152 expression and clinically fit to receive chemotherapy. None of the patients in the current 153 study cohort received neoadjuvant therapy. Data related to the expression of ER, 154 progesterone receptor (PR) and human epidermal growth factor receptor 2 (HER2) as well were available²⁷⁻³⁰. Molecular 155 as Ki67 subtypes were based on tumour 156 immunohistochemical (IHC) profile and the Elston–Ellis³¹ mitotic score as ER+/HER2-; low 157 proliferation (mitotic score 1), ER+/HER2- high proliferation (mitotic scores 2 and 3), 158 HER2-positive class: HER2+ regardless of ER status, TN: ER-, PR-, and HER2-³². There 159 was no significant differences in the distribution of the clinicopathological parameters 160 between the Nottingham and the METABRIC cohorts (all correlation coefficients \geq 0.948, all $p < 0.0001)^{33}$ (Supplementary Table 1). 161

162 **DKC1 validation by Western blotting**

163 The antibody specificity of anti-DKC1 antibody (EPR10399, Abcam, UK) was validated 164 using western blotting (WB) performed on cell lysates of a wild and transfected MDA-MB-165 231 human breast cancer cell line (American Type Culture Collection; Rockville, MD, USA). 166 The forward transfection of siRNA procedure was followed according to DKC1 siRNAs 167 manufacturer's instructions. In brief, the cells were seeded in 6 well plate at a cell density 168 of 3×10^5 cells per well and incubated overnight in 37° C 5% CO₂ incubator. The following 169 day, the cells reached about 40% confluence and were transfected with 10nM of three 170 different IDs of DKC1 siRNA (Cat#:4392420, ThermoFisher Scientific, UK). A transfection 171 with 10nM scrambled siRNA sequence (Cat#:4390843, ThermoFisher Scientific, UK) was 172 carried out in the experiment and considered as a negative control. DKC1 protein 173 expression of untransfected & transfected cells was then determined by the Western

blotting. Briefly, after collecting the cell lysates, a dilution of 1:1000 of the primary 174 175 antibody and 1:15000 IRDye 800CW Donkey anti-rabbit secondary antibody (LI-COR 176 Biosciences) were applied, and 5% milk /PBS-Tween (0.1%) (Marvel Original Dried 177 Skimmed Milk, Premier Food Groups Ltd., UK) was used for blocking and antibodies 178 incubation. Mouse monoclonal anti- β -actin primary antibody (1:5000) (Sigma-Aldrich, UK) 179 with IRDye 800CW Donkey anti-mouse fluorescent secondary antibody (LI-COR 180 Biosciences) were used to visualise a marker of endogenous control. Visualisation of DKC1 181 band was done by using the Odyssey Fc with Image Studio 4.0 (LI-COR Biosciences).

182 Tissue microarrays and immunohistochemical analysis

183 Invasive BC tissues were previously arrayed as tissue microarrays (TMA) using the Grand 184 Master® (3D HISTECH®, Budapest, Hungary)³⁴. IHC staining was performed on 4 µm TMA 185 thick sections using the Novocastra Novolink[™] Polymer Detection Systems kit (Code: 186 RE7280-K, Leica, Biosystems, Newcastle, UK). Antigen retrieval was performed in citrate 187 buffer pH 6.0 using a microwave (Whirlpool JT359 Jet Chef 1000W) for 20 min. Rabbit 188 monoclonal DKC1 was diluted at 1:50 in Leica antibody diluent (RE AR9352, Leica, 189 Biosystems, UK) and incubated with the sections for 60 min at room temperature. A 190 negative control was obtained by omitting the incubation with primary antibody while 191 formalin fixed placenta tissue was used as a positive control according to manufacturer's 192 datasheet.

193 Assessment of DKC1 protein expression

194 Scanning of TMA stained sections into high-resolution digital images was performed by 195 using a NanoZoomer scanner (NanoZoomer; Hamamatsu Photonics, Welwyn Garden City, 196 UK) at 20x magnification. Scoring of DKC1 nuclear and nucleolar⁴ expression was 197 evaluated based on a semi-quantitative scoring using modified histochemical score (H-198 score), where the intensity of staining was multiplied by the percentage of positive cells 199 in the tissue for each intensity, producing a score ranging between 0 and 300³⁵. A score 200 index of 0, 1, 2, and 3 corresponding to negative, weak, moderate, and strong respectively 201 were used for intensity. The percentage (0-100) of positive cells for each intensity was 202 evaluated subjectively. All non-representative cores including folded tissue during 203 processing and staining, cores with only normal breast tissue and cores with invasive 204 tumour < 15% of core surface area were excluded from scoring. All the cores were scored 205 by a trained observer (K. Elsharawy) blinded of histopathological and patient outcome 206 data. Further, to test the inter-observer's reproducibility of the scoring, a subset of TMA 207 cores (10%) was randomly selected and double scored by a second trained observer (M. 208 Aleskandarany). Moreover, for further evaluation of scoring reproducibility, 20% of the 209 cases were double scored by the main observer (K. Elsharawy) after 5 months washout 210 period blind from the first scores.

211 Statistical analysis

212 IBM-SPSS statistical software 24.0 (SPSS, Chicago, IL, USA) was used in statistical 213 analysis. Inter-observer agreement in DKC1 IHC scoring was assessed using intra-class 214 correlation coefficient (ICC). Dichotomisation of DKC1 proteomic and transcriptomic levels 215 expression was determined based on the prediction of BCSS using X-tile bioinformatics 216 software version 3.6.1 (School of Medicine, Yale University, New Haven, USA)³⁶. The H-217 scores of 110 & 10 were the optimal cut-off values of DKC1 nuclear and nucleolar protein 218 expression. Continuous data of DKC1 mRNA and DKC1 protein expression were used to 219 assess the association with clinicopathological parameters. Differences between three or 220 more groups were investigated using one-way analysis of variance (ANOVA) with the post-221 hoc Tukey multiple comparison test (for parametric data) or Kruskal-Wallis test (for non-222 parametric distribution). Student t-test (parametric data) or Mann-Whitney test (non-223 parametric distribution) were used to evaluate the differences between two groups. 224 Spearman's correlation coefficient was calculated to examine the association between 225 continuous variables. Univariate analysis was visualised using Kaplan-Meier curves and 226 significance was assessed by log-rank test. Cox's proportional hazard regression models 227 were built for the multivariate survival analysis to adjust for confounding factors. P-values 228 were adjusted by using Bonferroni correction for multiple testing. For all tests, 229 *p* value < 0.05 was considered as statistically significant. This study followed the reporting 230 recommendations for tumour markers prognostic studies (REMARK) criteria³⁷.

231

232 **RESULTS**

In this study, we have applied the bespoke bioinformatics tools to identify the key genes associated with NP and this identified *DKC1* as the target gene. Then, DKC1 was investigated at the transcriptomic, genetic and protein levels.

236 **DKC1 mRNA expression and CN aberrations**

High *DKC1* mRNA expression (log2 intensity >9.4) was observed in 709/1970 (36%) of the METABRIC cases. In all, 77/1980 (4%) of cases showed *DKC1* CN gain, whereas 115/1980 (6%) showed a CN loss. A significant association was observed between *DKC1* CN variation and *DKC1* mRNA expression (*p*<0.0001) (**Fig. 1A**).

241 **DKC1 protein expression in BC tissues**

Prior to IHC staining, the specificity of the antibody used was validated using WB performed on a *DKC1* siRNA transfected BC cell line. A specific band at the predicted DKC1 molecular weight (58 kDa) was detected for proteins extracted from untransfected cells and those transfected with scrambled siRNA sequences. In addition, the DKC1 band intensity was significantly reduced with proteins extracted from *DKC1* siRNAs transfected cells, confirming the specificity of the antibody utilised. A single band was observed at β actin molecular weight (42 kDa) demonstrating the uniformity of loaded protein quantities (**Fig. 2A**).

250 DKC1 protein expression was observed in the nucleus and nucleoli of invasive BC cells, 251 with expression levels varying from absent to strong (Fig. 2B, 2C & 2D). Strong 252 concordance was observed between the two observers in DKC1 immuno-scoring in 10% 253 of the cases (ICC=0.864, p<0.0001 for nuclear expression & ICC=0.781, p<0.001 for 254 nucleolar expression). Moreover, second scoring of 20% of cases after 5 months washout 255 period confirmed concordance ((ICC=0.822, p<0.0001 for nuclear expression & ICC= 256 0.804, p < 0.0001 for nucleolar expression). At the optimal DKC1 cut-off values (H-score 257 110 & 10, respectively), High DKC1 nuclear and nucleolar expression were observed in 258 574/942 (61%) and 153/942 (16%) of the informative tumours, respectively. There was 259 a significant positive correlation between DKC1 nuclear and nucleolar expression (n=429)260 (correlation coefficient= 0.143, p < 0.0001).

261CorrelationofDKC1mRNAandproteinexpressionwith262clinicopathological parameters

High *DKC1* mRNA expression was significantly associated with younger patient age, larger tumour size, higher tumour grade and poorer NPI (p<0.001, p=0.024, p<0.0001 & p<0.0001) as shown in **Fig. 1B-E**, respectively. These associations were confirmed using the Breast Cancer Gene-Expression Miner v4.3 (**Supplementary Fig. 1A-C**)

In the TCGA BC dataset, similar associations, as described above, were observed with clinicopathological parameters. In particular, high *DKC1* mRNA expression was significantly associated with high nucleolar score 3 ⁴ (p<0.0001, **Supplementary Table** 270 **2**).

- High expression of DKC1 protein whether in the nucleus and/or nucleoli was associated with aggressive features of BC including higher tumour grade (p<0.0001), larger tumour size (p=0.04 only with nucleolar expression), higher mitotic scores (p<0.0001), increased nuclear pleomorphism (p<0.0001), higher scores of nucleolar prominence (p<0.001), poor NPI (p<0.0001) and the invasive ductal no special histological type (NST) (p<0.0001), **Table 1.**

277 **DKC1 expression and other markers**

The correlation of *DKC1* mRNA with other relevant genes was investigated using the METABRIC and TCGA datasets. The genes were chosen based on published information, being either regulatory genes or those that share or support *DKC1* biological function

- especially those primarily involved in the ribosomal biogenesis. *DKC1* was positively associated with *GAR1* (p<0.0001), *NOP10* (p<0.001), and *NHP2* (p<0.0001). Moreover, there was a significant association between *DKC1 MKI67* and the regulatory genes *c-Myc* (all p<0.001) (**Supplementary Table 3**). High *DKC1* mRNA expression was associated with those tumours which showed *TP53* mutations (p<0.0001, **Supplementary Table 4**).
- Also, the statistical analysis showed a significant positive association of high DKC1 protein
- 287 expression with high Ki67 (χ^2 =8.815, *p* = 0.003).

288 DKC1 mRNA and protein expression in BC molecular subtypes

- At the transcriptomic level in METABRIC cohort, high *DKC1* expression was significantly associated with hormone receptor negative (ER- and PR-), HER2+ tumours and TNBC (all p<0.0001) as shown in **Supplementary Table 4**. Similar results were observed upon analysing the publicly available gene expression data available on the Breast Cancer Gene-Expression Miner v4.3 online platform (**Supplementary Fig. 1D-G**) and TCGA datasets (**Supplementary Table 4**).
- Regarding the association with the intrinsic PAM50 subtypes³⁸, high expression of *DKC1* mRNA was observed in basal-like, HER2+ and Luminal B tumours (**Fig. 1F**, p<0.0001). These findings were confirmed using the Breast Cancer Gene-Expression Miner v4.3 (**Supplementary Fig. 1H**). In the SCMGENE subtypes, high expression of *DKC1* mRNA was observed in the ER-/HER2- cases followed by ER+/HER2- high proliferation class (p<0.0001, **Fig. 1G**).
- 301 DKC1 nuclear and nucleolar protein expression was associated with negative ER status 302 (p=0.04 & p<0.0001 respectively). Moreover, DKC1 nucleolar protein showed a significant 303 correlation within HER2+ and triple negative (TN) tumours (both p<0.0001), **Table 2.**
- There was a higher protein expression of DKC1 (nuclear & nucleolar) in the ER+ high proliferative tumours than in the other molecular subtypes (p<0.0001) as shown in **Table 1.**

307 Correlation of DKC1 mRNA and protein expression with patient outcome

308 In METABRIC cohort, high *DKC1* mRNA expression was associated with poor BCSS in all 309 cases (HR = 1.5, 95%CI = 1.3-1.8; p<0.0001). Moreover, *DKC1* mRNA expression was 310 predictive of BCSS only in luminal B cases (HR = 1.5, 95%CI = 1.1-2.1; p=0.015) as 311 shown in **Supplementary Fig. 2A-E**. The relationship between high *DKC1* mRNA 312 expression and poor patient outcome in ER+ disease, but not ER- disease, was shown 313 using the TCGA cohort (**Supplementary Fig. 3**). 314 Both high DKC1 nuclear and nucleolar protein expressions, when assessed individually, 315 were associated with poor outcome (HR = 2.5, 95%CI = 1.7-3.7; *p*<0.0001 & HR = 1.5, 316 95%CI = 1.1-2.2; p=0.038 respectively) **Fig. 3A, B**. When the analysis was limited to 317 molecular subtypes, high expression of DKC1 nuclear protein, was significantly associated 318 with poor outcome in ER+ high proliferation tumours (HR = 4.4, 95%CI = 1.6-12.3; 319 p=0.002), HER2+ tumours (HR = 2.6, 95%CI = 1.1-6.7; p=0.039) and TNBC (HR = 1.5, 320 95%CI = 1.1–6.2; p=0.035) **Fig. 3D-G**. However, no significant association of DKC1 321 nucleolar protein expression was identified with outcome in BC subtypes (p > 0.05).

For further analysis, combinatorial DKC1 protein expression groups were created [i.e. low nuclear/low nucleolar, high nuclear/low nucleolar expression, low nuclear/high nucleolar and high nuclear/high nucleolar]. A significant difference in patient survival was observed between these four groups, where tumours with low nuclear and low nucleolar DKC1 expression showed the best outcome, whereas the tumours with high nuclear and high nucleolar expression showed the worst outcome (p<0.0001) **Fig.3C**.

- The multivariate Cox-proportional models, including other prognostic covariates such as tumour size, grade and nodal stage, showed that DKC1 nuclear, combinatorial protein expression and *DKC1* mRNA in the METABRIC dataset were independent predictors for poor prognosis in whole cases (p=0.001, HR 2.037, 95% CI= 1.373–3.023, p=0.003, HR 2.746, 95% CI= 1.484–5.083 & p=0.006, HR 1.316, 95% CI =1.097–1.579) as shown in
- **333** Table 3 & Supplementary Table 5.

In addition, high DKC1 nuclear protein expression was significantly associated with shorter distant metastases-free survival (DMFS) (HR = 2.1, 95%CI = 1.5-2.9; p<0.0001). Likewise, combinatorial protein expression was associated with shorter DMFS (HR = 1.3, 95%CI = 1.1-1.4; p=0.001) (**Supplementary Fig. 4**).

339 **DISCUSSION**

340 In malignant tumours, the number and size of nucleoli are usually an indication of the rate 341 of ribosome production which is regarded as a major metabolic requisite for cell growth 342 and proliferation^{39,40}. Nucleolar function and size are directly related to cell doubling time 343 in cancer cells and quantitative morphometric evaluation of nucleolar size was considered 344 as a cytological parameter of the tumour cells proliferation rate^{4,41}. In this study, we 345 assessed NP in the TCGA breast cancer dataset as previously described ⁴, and used two 346 greedy algorithms information theory and validated it using LASSO regression $test^{22-24}$ to 347 identify genes driving NP. This demonstrated that out of the 20,339 genes investigated, 348 DKC1 was the top differentially expressed gene. Then DKC1 expression was evaluated at 349 the proteomic, transcriptomic and genomic levels in large cohorts of invasive BC.

There were significant associations between high *DKC1* mRNA in TCGA breast cancer dataset and *DKC1* protein expression in Nottingham cohort with high nucleolar scoring⁴. These findings supported our hypothesis that DKC1 plays a role in the nucleoli appearance and size likely through its mechanism in ribosomal biogenesis.

Our results also showed positive correlations between nuclear and nucleolar DKC1 protein expression in the breast tumour cells. It was reported that newly synthesised DKC1 initially localizes to the nucleoplasm, followed by consecutive translocation to the nucleoli and the nuclear Cajal bodies. Usually, co-localisation of DKC1 on the nuclear Cajal bodies occurred only when it had already accumulated in the nucleoli⁴². DKC1 is involved in the pseudouridination and processing of small spliceosomal RNAs through its binding to H/ACA small Cajal body RNAs⁴³.

361 The current study confirms the significant association between the high expression of 362 DKC1, at both protein and mRNA levels, and clinicopathological parameters characteristics 363 of poor prognosis and with shorter survival; findings which are in line with other 364 studies^{15,44,45}. Some studies have also confirmed that *DKC1* overexpression is involved in 365 tumourigenic processes and has prognostic value in numerous types of cancer^{19,21,46}. The 366 association between DKC1 mRNA and shorter survival was identified in both METABRIC 367 and TCGA cohorts. Moreover, our analysis revealed that the prognostic significance of 368 DKC1 protein and mRNA in BC was independent of other variables, demonstrating its 369 potential clinical relevance in improving survival rate prediction.

When BC molecular subtypes were considered, the significant association between DKC1 protein and poor patient outcome was observed in the ER+ high proliferation (i.e. luminal B), HER2+ and TNBC classes whereas the high mRNA expression was only limited to the luminal B subtype. The most common type of BC constituting nearly 55–70% is the ER+/luminal tumour, and those tumours are variable in terms of recurrence, mortality 375 rates and disease prognosis^{47,48}. These observations further endorse DKC1 functions in
 376 playing crucial roles in tumour growth and progression

377 DKC1 performs two fundamental functions for cell proliferation. Firstly, DKC1 is a 378 component of the H/ACA small nucleolar ribonucleoprotein particles (snoRNPs) involved 379 the pseudouridylation of ribosomal RNA (rRNA) molecules and necessary for their 380 processing. Secondly, it is required for telomerase activity by stabilising the telomerase 381 RNA component¹¹. The faster the rate of cell proliferation, the higher the demand for 382 protein production which is compatible with increased rRNA synthesis^{49,50}. It has been 383 reported that any dysregulation of DKC1 levels results in defects of ribosome biogenesis 384 and a reduction of rRNA pseudouridylation which in turn hinders the normal ribosome rRNA 385 processing rate⁵¹. For instance, Montanaro et al. have demonstrated that reduced DKC1 386 gene expression by specific RNA interference in BC cell lines resulted in a reduction of 387 rRNA pseudouridylation which subsequently effected the survival of proliferating cells⁵². 388 The role of DKC1 in mitosis was also confirmed¹¹, where dyskerin was identified as one of 389 seventy genes which correlated with the development of aneuploidy. Alawi et al. have 390 demonstrated that dyskerin expression peaks during G₂/M and loss of dyskerin function 391 has a widely disruptive effect on mitosis and triggers the spindle-assembly checkpoint¹³. 392 Our findings showed that high DKC1 expression was significantly associated with 393 proliferation as assessed by Ki67 labelling index, which was also observed in other studies 394 in BC⁵² hepatocellular carcinoma¹⁵ and prostate cancer¹⁹; confirming that DKC1 is critical 395 for mitotic progression and proliferation in these cancers.

396 *DKC1* is the direct and conserved transcriptional target of c-Myc⁵³, which explains the 397 strong correlation between its up-regulation and active cell proliferation⁵⁴. In our study, 398 we observed a significant positive association between DKC1 and c-Myc in mRNA 399 expression. Previous studies have demonstrated that tumour oncogene *c-Myc* controls the 400 transcription of DKC1 gene in addition to other proteins which are required for rRNA 401 processing^{9,55}. *TP53* mutations were also highly prevalent in breast tumours with high 402 *DKC1* mRNA expression in METABRIC. There is mounting evidence that the usual increase 403 of ribosome biogenesis (one of the main functions of DKC1) in cancer cells is the 404 consequence of frequent alterations of two major tumour suppressors, TP53 and 405 retinoblastoma (RB) genes⁵⁵. In addition, tumours with altered *p53* and/or retinoblastoma 406 protein *pRb* functions are characterised by significantly larger/more conspicuous nucleoli 407 than tumours with normally functioning p53 and pRb^{56} .

We further investigated the association of *DKC1* expression with other H/ACA ribonucleoproteins, *NHP2*, *NOP10*, and *GAR1* which play important roles in disease progression. *DKC1*, *NHP2*, and *NOP10* form a core trimer that directly binds to H/ACA RNAs. The three proteins are interdependent with each other for stability and also regulate 412 constancy of the bound RNAs⁵⁷. *GAR1* binds only to *DKC1* and is needed for a proper 413 functioning of the H/ACA RNPs, but its absence does not reduce the stability of the rRNA⁵⁸. 414 These findings confirmed the significant positive correlation between H/ACA 415 ribonucleoproteins and *DKC1* in BC⁴³. Alterations in *DKC1* expression will potentially 416 disrupt the biogenesis of H/ACA pathway and consequently affect ribosome synthesis and 417 impair cell proliferation.

In the last decade, there have been a few attempts to construct *DKC1* inhibitors. However, one in silico study successfully determined a small molecule inhibitor (Pyrazofurin) that exerted an ability to weaken the pharmacological and physiological activities of DKC1 through inhibiting its function in pseudouridylation of rRNA. Although Pyrazofurin failed to progress phase II clinical trials, however, its chemical structure should continue to be exploited as a pharmacokinetic model to develop a potent, effective and safe *DKC1* inhibitor that may eventually be used for BC highly expressing *DKC1*⁵⁹.

425 A few limitations of this study findings are worth mentioning. On one hand, the semi-426 quantitative H-score method used to evaluate the immunohistochemical protein 427 expression in the Nottingham cohort, might be regarded as having substantial subjectivity. 428 This was addressed by double scoring a subset of the cancers to ensure the reproducibility 429 and liability of the procedure. On the other hand, only one representative TMA core from 430 each tumour tissue was arrayed and scored instead of considering replicates to express 431 the tumour heterogeneity. This was due to the limited tissue resources in our biobank. 432 However, to overcome the issue, 20 full face sections of randomly selected breast cancer 433 cases were stained, prior to TMA application, with DKC1 antibody to assess the staining 434 homogeneity and to evaluate the pertinence of using tissue microarrays (TMAs). These 435 showed homogeneously distributed DKC1 expression, deeming the use of TMA to assess 436 DKC1 an appropriate tissue platform, to mitigate the limited resources as well as testing 437 the hypothesis a large BC cohort. Finally, the 'weak' but significant correlation between 438 nuclear and nucleolar expression of DKC1 might also be regarded as a weakness point in 439 the study. This might be due to the subjectivity of the method which has been used in 440 determining NP in our previous study⁴.

441 **CONCLUSION**

This study reveals a significant correlation between the morphological features of NP and an underlying molecular and protein description (DKC1). The importance of DKC1 was demonstrated in three independent datasets where each dataset contributed to the description of DKC1 from different perspectives. DKC1 is significantly associated with high nucleolar score and with poor prognostic characteristics and poor patients' outcome. Overexpression of DKC1 appears to play a role in the proliferation and progression of the aggressive BC subtypes including the luminal B, TNBC, and HER2 molecular subtypes.

- 449 Findings here encourage further investigation of DKC1 as it might relate to guiding
- 450 targeted therapies and to evaluate its role in response to chemotherapy.

452 ADDITIONAL INFORMATION

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458 **AUTHOR CONTRIBUTION**

KE: scored all the cases, took the lead in writing the manuscript, data analysis and interpretation. OM & MA: helped in double scoring, data interpretation and reviewing the article. AH, HG & MIA: contributed in data analysis, interpretation, writing and reviewing the article. AG & L D: contributed in data analysis, study design and reviewing the article. ER: conceived and planned the presented idea, data interpretation and reviewing the article. All authors reviewed and approved the final version of the manuscript. All authors declared their contribution(s) to the study

466 ETHICAL APPROVAL AND CONSENT TO PARTICIPATE

- This work obtained ethics approval by the North West Greater Manchester Central Research Ethics Committee under the title; Nottingham Health Science Biobank (NHSB), reference number 15/NW/0685. All patients included were consented to participate in the study and to use their materials in research. All samples from Nottingham used in this study were pseudo-anonymized and stored in compliance with the UK Human Tissue Act.
- 472 The study was performed in accordance with the Declaration of Helsinki.

473 DATA AVAILABILITY

- 474 The authors confirm the data that has been used in this work is available on reasonable
- 475 request.

476 **COMPETING INTERESTS**

477 The authors declare that they have no competing of interest.

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707 Figure legends

- Figure 1: *DKC1* mRNA expression and its association with copy number variations, clinicopathological parameters and molecular subtypes (A) *DKC1* and gene copy number variations (B) *DKC1* and patient age. (C) *DKC1* and tumour size. (D) *DKC1* and tumour grade (E) *DKC1* and Nottingham prognostic index. (F) *DKC1* and PAM50 BC subtypes (G) *DKC1* and SMCGENE subtypes in the METABRIC cohort using one-way analysis of variance with the post-hoc Tukey test.
- Figure 2: (A) A representative Western blotting for DKC1 expression in cell lysate of
 MDA-MB-231 breast cancer cell line with the lanes (from left to right) of
 untransfected, transfected with scrambled siRNA and transfected with three
 different IDs ([ID1 represents ID s4110], [ID2 represents ID s4111] and [ID3
 represents ID s4112]) of *DKC1* siRNA (B) Negative DKC1 IHC expression (C)
 Positive DKC1 IHC nuclear expression in invasive breast cancer TMA cores and
 (D) Positive DKC1 IHC nucleolar expression.
- Figure 3: DKC1 protein expression and breast-cancer-specific survival (BCSS) (A) DKC1 nuclear expression and BCSS (B) DKC1 nucleolar expression and BCSS (C) combinatorial DKC1 protein expression and BCSS (D) DKC1 and BCSS in oestrogen receptor (ER) + low proliferation tumours (E) DKC1 and BCSS in (ER) + high proliferation tumours (F) DKC1 and BCSS human epidermal growth factor receptor 2 positive (HER2+) tumours (G) DKC1 and BCSS of triple negative tumours in the studied cohort.
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