1	RUNNING HEAD: DEEP OBSERVATION OF SPHYRNA LEWINI
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3	Deep water observation of scalloped hammerhead Sphyrna lewini in the western Indian
4	Ocean off Tanzania
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20	Abstract
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22	A scalloped hammerhead Sphyrna lewini was observed opportunistically from a remotely
23	operated vehicle 1 m off the seabed at 1042 m depth, during hydrocarbon exploration
24	activities in the Ruvuma basin off Tanzania. The observation, which occurred during night
25	hours, is the deepest accurately recorded for this species and the first deepwater record for
26	the Indian Ocean. The record adds support for occurrence in deep water during night hours
27	being a widespread and possibly common behaviour in this species, and further expands a
28	small but growing literature that meso- and bathypelagic environments may be of greater
29	importance to elasmobranchs previously considered to be primarily epipelagic
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31	Keywords
32	Shark, elasmobranch, bathypelagic, Ruvuma Basin, vertical migration
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36 INTRODUCTION

38 Knowledge of the spatial distribution of any marine organism is essential to understanding its 39 ecology. While the geographic range of many shark species is often (and increasingly) well 40 documented, their vertical distribution can be much less well understood due to the numerous 41 challenges in collecting accurate data. The geographic distribution of the scalloped 42 hammerhead Sphyrna lewini (Griffith & Smith, 1834) (n.b. notwithstanding unresolved 43 taxonomy, e.g. Zemlak et al., 2009) is well known, and encompasses a range of habitats from 44 estuaries to the open ocean in tropical and warm temperate waters worldwide (Ebert et al., 2013). However, the vertical distribution of S. lewini is not as well understood. Compagno et 45 46 al., (2005) cite "surface to >275 m", and while studies using tagging technology have 47 reported the species to greater depth, accurate depth recording has often been constrained by 48 the limitations of the tagging technology. Using ultrasonic transmitters on four individuals in 49 the Gulf of California, Klimley (1993) recorded repeated excursions to a maximum depth of 50 approximately 475 m. Also in the Gulf of California, Jorgensen et al., (2009) recorded a 51 single S. lewini over 74 days diving to depths of at least 980 m with a pop-up satellite 52 archival tag (PSAT). Bessudo et al. (2011) recorded occasional night-time dives to 53 approximately 1000 m by a tagged S. lewini , in the tropical eastern Pacific. Most recently, an 54 individual female S. lewini fitted with a PSAT was recorded as making repeated night-time 55 dives >700 m (with 16 of these >900 m, reaching a maximum depth of 964 m) over a period 56 of 27 days in the Gulf of Mexico (Hoffmayer et al., 2013).. These authors suggested that such 57 diving may be a common behaviour in S. lewini, but noted that more data would be required 58 to verify this. The current paper reports an incidental observation of a S. lewini individual made from a remotely operated vehicle (ROV) that extends the accurately recorded depth 59 60 range of this species.

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62 METHODS

64 Footage was collected opportunistically using Ocean ProHD video camera (1080i) mounted 65 on an Oceaneering International Millennium work class ROV (Mill 113) which was deployed 66 from the Deepsea Metro I drill-ship during routine drill-support operations at BG Group's Jordari hydrocarbon exploration site, approximately 40 km off the coast of southern Tanzania 67 68 in the Ruvuma basin. The video was made available because of BG's involvement in the 69 collaborative SERPENT Project (Jones, 2009) (www.serpentproject.com), in which ROV 70 footage from the oil and gas industry is made available to marine scientists. Water column 71 parameters (temperature, salinity and depth) were collected during the dive with a datalogger 72 on the ROV. In addition temperature, salinity, and dissolved oxygen at a site 30 km distant 73 were recorded from a datalogger (RBR Model XR-420CTDmTi+pH+DO) fitted to the ROV 74 during a SERPENT offshore visit. The shark was identified as scalloped hammerhead shark 75 Sphyrna lewini based on a cephalic foil with a median and two smaller lateral indentations 76 and the relative size and shape of fins (Ebert et al., 2013).

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78 RESULTS

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80 The video clip (59 s in length) commenced at 0030 hours local time on 27th September 2012, 81 after the ROV had been working in view of the seabed for over 3.5 hours. Figure 1 presents 82 still images extracted from video footage (Supplementary Material 1), and shows an 83 individual Sphyrna lewini swimming just above the seabed at 1043 m depth, making three 84 sharp turns at 5, 20 and 30 seconds into the clip. On each of these occasions it turned back 85 and re-entered the area of seabed illuminated by the lights of the ROV. After 43 seconds the 86 individual left the frame, still swimming close to the seabed, and it was not observed after this. Although no claspers were clearly visible sex could not be confidently determined, and 87

88 from the scale of nearby seabed markers the total length of the shark was estimated at approximately 1.5 m. Water column temperature was 5.9 °C and salinity was 35. Based on 89 90 similar temperature and salinity profiles at both the observation site and the site 30 km distant it is estimated that dissolved oxygen would also be similar and approximately 1-1.5 ml l^{-1} 91 92 (Figure 2). Similar video surveys at other sites near this observation recorded the following 93 biota in low abundance: xenophyophores, sponges, molluscs (cirrate octopods and squid), suprabenthic crustaceans, echinoderms and fishes including grenadiers (Macrouridae), cusk 94 95 eels and relatives (Ophidiiformes) and cutthroat eels (Synaphobranchiidae).

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98 DISCUSSION

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100 Although it cannot be assumed that the single individual we observed at depth originated 101 from surface waters (and therefore represents deep diving behaviour), our report from 1042 m 102 exceeds the previous accurately recorded depth maximum of 964 m for this species 103 (Hoffmayer et al., 2013). It also exceeds the depth of "at least 980 m" (and probably not 104 exceeding 1500 m) recorded by Jorgensen et al. (2009), who were not able to report more 105 accurate depths due to limitations of the pressure sensors on the tags used. . The current 106 observation is also the first deepwater record for this species in the Indian Ocean. A further 107 SERPENT observation of S. lewini at a near-bottom depth of around 580 m in the Indian 108 Ocean off Western Australia is also of interest (Jones et al., 2009). These records of S. lewini, 109 together with those of whale sharks (e.g. Brunnschweiler et al., 2008) and devil rays (Thorrold *et al.*, 2014) add weight to the idea that meso- and bathypelagic environments may 110 111 be of greater importance than previously thought to taxa traditionally considered as epipelagic. 112

The current observation was made during the hours of darkness. Although the significance of our single incident should not be overstated, it may add further evidence to previous studies of *S. lewini* that have recorded deep dives almost exclusively during night-time and/or evening twilight Bessudo *et al.* (2011), Hoffmayer *et al.* (2013), and Hoyos-Pallida *et al.*, (2014).

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120 Water column profiles show that this S. lewini individual was recorded in cold (6 °C) waters consistent with the classification of 'hypoxic' ($<5.5 \text{ mg l}^{-1}$, equivalent to approximately 3.85 121 ml l^{-1} ; n.b. it should be noted that the entire water column deeper than approximately 75 m 122 123 would also be hypoxic according to these criteria, a result consistent with other studies 124 reporting low oxygen concentrations in the tropical Indian Ocean e.g. Schlitzer, 2000) in 125 experimental work on three shark species, including Sphyrna tiburo (L. 1758), a congener of S. lewini (Carlson & Parsons 2001;). Both factors are likely to present S. lewini with 126 127 significant physiological challenges, although experimental work has suggested that S. tiburo 128 is physiologically able to tolerate moderate levels of hypoxia (Carlson and Parsons, 2003). 129 While endothermy as an adaptation to cold have been reported in other elasmobranch taxa 130 (notably lamnid sharks and mobulid rays), it has not been for hammerhead sharks (Bernal et 131 al., 2012), and therefore time at this depth is likely limited. Nevertheless, tolerance of this 132 environment, even for short times, presumably provides benefits; although the purpose 133 remains unclear. It has been suggested that diving of S. lewini into cold and potentially 134 anoxic water could be to exploit deepwater prey less accessible to other pelagic competitors (Jorgensen et al., 2009; Hoffmayer et al., 2013), and video footage from nearby areas to our 135 observation showed the presence of likely S. lewini prey items (cephalopods and fishes). 136 137 Most recently, Hoyos-Pallida et al., (2014) suggested that a single S. lewini juvenile female tagged in the Gulf of California visited deeper waters (up to 250 m) to increase foragingsuccess and as part of an ontogenetic migration from coastal to offshore waters.

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220 Figure Legends

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Fig. 1. Stills from the video of *Sphyrna lewini*: A) Cropped image of the shark as it passed close to the ROV, B) full screen view as the shark swims out of shot close to one of the marker buoys at the seabed.

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- Fig. 2. Water column temperature and salinity at the observation site (red) and temperature,
- 227 salinity and oxygen profiles at a nearby site.

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- 231 Figures:
- 232
- 233 Figure 1:



236 Figure 2:



240 Appendix:

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242 Video is available for download at: <u>http://www.serpentproject.com/pubsuppmatl.php</u>