

# 1 **A combined geomorphological and geophysical approach to characterising** 2 **relict landslide hazard on the Jurassic Escarpments of Great Britain**

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## 12 13 14 **Abstract**

15 The Jurassic Escarpment in the North York Moors in Northern Britain has a high density of deep-  
16 seated relict landslides but their regional hazard is poorly understood due to a lack of detailed case  
17 studies. Investigation of a typical relict landslide at Great Fryup Dale suggests the crop of the Whitby  
18 Mudstone Formation is highly susceptible to landslide hazards. The mudstone lithologies along the  
19 Escarpment form large multiple rotational failures which break down at an accelerated rate during  
20 wetter climates and degrade into extensive frontal mudflows.

21 Geomorphological mapping, high resolution LiDAR imagery, boreholes, and geophysical ERT  
22 surveys are deployed in a combined approach to delimit internal architecture of the landslide. Cross  
23 sections developed from these data indicate the main movement displaced a bedrock volume of c.  
24  $1 \times 10^7 \text{ m}^3$  with a maximum depth of rupture of c. 50 m. The mode of failure is strongly controlled by  
25 lithology, bedding, joint pattern, and rate of lateral unloading. Dating of buried peats using the AMS

26 method suggests the 10 m thick frontal mudflow complex was last active in the Late Holocene, after  
27 c. 2270±30 calendar years BP.

28 Geomorphic mapping and dating work indicates the landslide is dormant, but slope stability  
29 modelling suggests the slope is less stable than previously assumed; implying that this and other  
30 similar landslides in Britain may become more susceptible to reactivation or extension during future  
31 wetter climatic phases. This study shows the value of a multi-technique approach for landslide hazard  
32 assessment and to enhance national landslide inventories.

33

34 **Keywords: Jurassic Mudstone; Landslide; LiDAR; ERT.**

35

## 36 **1 Introduction**

37

38 Landslide hazards pose a threat to people and infrastructure worldwide. They are a constraint on land  
39 use and can impact on the economy of an affected community (e.g. Jones and Lee, 1994; Schuster and  
40 Highland, 2001). However, our ability to assess hazard and risk in slide prone terrain, such as the  
41 North York Moors (NYM) region of the UK, and in Polar Regions currently undergoing de-  
42 glaciation, is hindered by a lack of knowledge about the magnitude and frequency of events and  
43 hillslope processes operating in these settings more generally. This paper provides a baseline  
44 reference study for landslide hazards in mudrocks that can be used to calibrate magnitude/frequency  
45 estimations for landslide hazard assessments in the region and in similar geological terrain elsewhere.

46 Jurassic mudrocks underlie much of the UK including the North Yorkshire Moors area. These rocks  
47 are exposed in coastal slopes which are prone to instability (Jones and Lee, 1994; Fish et al., 2006;  
48 Cooper, 2007; Johnson and Fish, 2012) but landslide problems on inland escapement slopes in the  
49 NYM region are under-represented in the literature (Senior and Rose, 1994; Waltham and Foster,

50 1999; Marsay, 2010; Merritt et al., 2013). Previous geomorphological studies in the region (Fox-  
51 Strangways et al., 1885; Gregory, 1962a) did not describe the landslide geology or geomorphology in  
52 any great detail. This paper aims to address this knowledge gap.

53 The study focuses on the Mark Nab landslide in Great Fryup Dale, Upper Eskdale (Fig. 1), which is  
54 the largest in a cluster of bedrock landslides distributed throughout several of the deep valleys in the  
55 north of the region. We combine newly acquired remote-sensing data (LiDAR), ground-based  
56 geomorphological mapping, electrical resistivity tomography (ERT), and geotechnical data into a  
57 ground model in order to conceptualise the 3D landslide architecture. We also use Accelerator Mass  
58 Spectrometry (AMS) dating methods to further develop the movement history. This ground model  
59 was then used to develop a deterministic slope stability model to test theories about trigger and  
60 preparatory factors that led to the initial failure; including changes in stress and porewater pressure  
61 brought about by glacial erosion, glacial de-buttressing, changes in regional groundwater levels and  
62 glacial lake development. An assessment of the present stability state of the dormant slope is also  
63 presented to provide an indication of the current and future regional hazard posed by relict landslide  
64 systems.



65

66 Fig. 1. The Mark Nab landslide on the Jurassic escarpment, showing complex landslide morphology.  
67 Looking south-west. Photo taken by A. H. Cooper. Copyright BGS/NERC P769517.

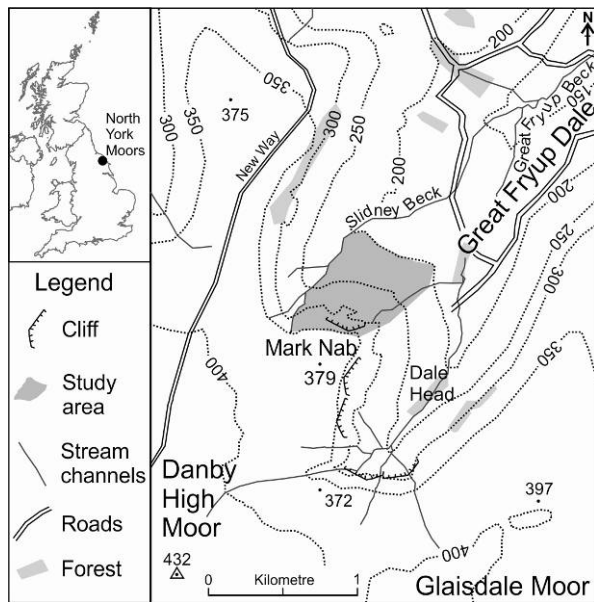
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## 69 **2. Mark Nab study area**

70

### 71 *2.1. Topography*

72 The study area, located at British National Grid reference 471350, 502840 (54.42, -0.90 WGS84),  
73 covers a north facing slope on the Jurassic escarpment at the head of Great Fryup Dale (Fig. 2). The  
74 foot of the slope lies at c. 150 m above Ordnance Datum (aOD) and rises up over hummocky ground  
75 by c. 200 m over a distance of c. 600 m. At the top of the slope, Middle Jurassic bedrock is exposed in  
76 the near-vertical cliff that forms the main Jurassic escarpment, above which is an upland moorland  
77 plateau which reaches a high point of 432 m aOD on Danby High Moor. The plateau sits at the  
78 northern edge of the North York Moors which are fragmented by a series of deep valleys generally  
79 orientated south-west north-east. The heads of these valleys are commonly incised by streams fed by  
80 runoff from peat covered moorland catchments. Numerous springs issue groundwater along the foot  
81 of the escarpment. The streams, such as Great Fryup Beck in 'Dale Head' and Slidney Beck (Fig. 2)  
82 then flow north-eastwards into the River Esk which discharges into the North Sea at Whitby. At Great  
83 Fryup Dale the lower valley slopes are U-shaped and are typically inclined at c. 20°, but towards the  
84 head of the valley (Dale Head) the profile becomes increasingly V-shaped and irregular, due to  
85 Holocene fluvial incision and slippage.



86

87 Fig. 2. Location map of the Mark Nab landslide study site at Great Fryup Dale, North York Moors.

88 Contours and spot heights are in metres above Ordnance Datum. Contains Ordnance Survey data ©

89 Crown copyright and database right 2014.

90

91 *2.2. Bedrock geology*

92 The Great Fryup valley is cut into a bedrock succession of Lower to Middle Jurassic sedimentary  
 93 rocks comprising units of mudstone, siltstone and sandstone, with subsidiary ironstone bands and  
 94 limestone beds that together represent subsidence and eventual infilling of the Cleveland Basin (Kent  
 95 et al., 1980; Holliday et al., 1992; Cox et al., 1999). The valley is positioned on the northern limb of  
 96 the east-west trending Cleveland Anticline structure. The bedding in the valley is typically near-  
 97 horizontal but locally dips 1° to 2° to the north, dipping gently out of the slope below Nark Nab.

98 The succession comprises formations of the Lower Jurassic Lias Group, overlain by those of the  
 99 Middle Jurassic Ravenscar Group. The typical lithologies of this succession are summarised in Table  
 100 1.

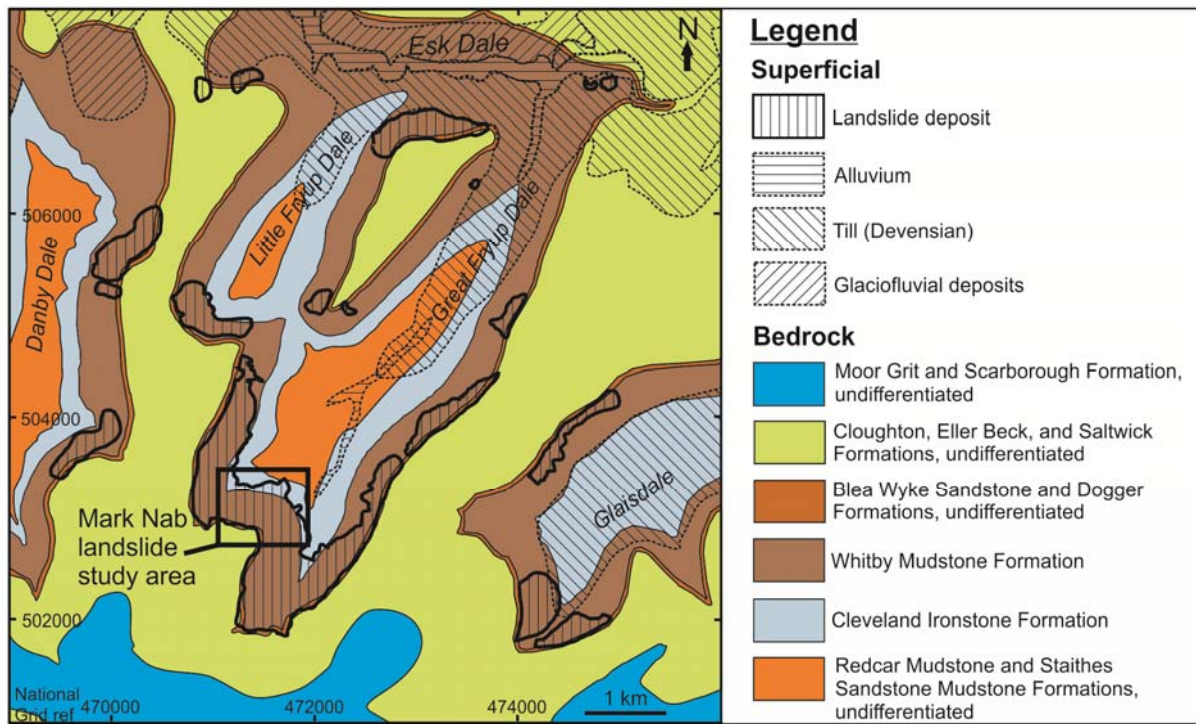
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102 Table 1. Lithostratigraphic details and thickness of the bedrock sequence exposed in Great Fryup Dale  
 103 (based on Kent et al., 1980; Howard, 1985; Powell et al, 1992; Young, 1994; Cox et al., 1999; Powell,

Lithostratigraphy and local thickness			Lithology
Middle Jurassic	Ravenscar Group	Moor Grit Member of the Scalby Formation c. 15 m	Grey medium- to coarse-grained, pebbly, trough cross bedded sandstone, with thin siltstone and mudstone beds. Plant fragments and wood common.
		Scarborough Formation 30 m	Variable lithology: fossiliferous argillaceous limestone, calcareous mudstone, siltstone, and medium-grained sandstone; calcareous concretions and ironstone.
		Cloughton Formation 50-70 m	Laminated grey mudstone and siltstone with yellowish grey, fine- to medium-grained, cross-stratified sandstones and rare thin coals and seatearths.
		Eller Beck Formation 4.5-6 m	Upward-coarsening succession of mudstone (with ironstone concretions), siltstone and fine- to medium-grained sandstone. Sandstone commonly ripple laminated, yellow weathering, bioturbated.
		Saltwick Formation c. 37 m	Grey mudstone, yellow-grey siltstone and fine- to coarse-grained sandstone. Cross stratified, non-bioturbated. Sandstone beds and channel fills. Thin coals, seatearth mudstone and nodular ironstone, plant fragments, rootlets common in some beds.
		Dogger Formation 7-8 m	2 to 3 m thick beds of deep-red poorly sorted coarse-grained sandstone, with chamositic (green) ooliths and scattered rounded granules and pebbles.
Lower Jurassic	Lias Group	Blea Wyke Sandstone Formation c. 5 m	Micaceous, fine-grained sandstones: grey-weathering and argillaceous in lower part, yellow-weathering and silty in the upper part.
		Whitby Mudstone Formation Locally c. 90 m	Medium and dark grey fossiliferous mudstone and siltstone, laminated and bituminous in part, with thin siltstone or silty mudstone beds. Sporadic thin sideritic and calcareous beds, limestone and phosphatic nodules and concretions. Pyritic.
		Cleveland Ironstone	Grey silt-laminated mudstone with up to six 0.1 to 0.3 m thick interbeds of hard, fossiliferous, ooidal ironstone

	Formation	(siderite and berthierine).
	Up to c. 25 m	
	Staithe Sandstone Formation	Silty sandstone with 2 to 4 m thick packages of laminated fine-grained sandstone in the middle and upper parts: typically bioturbated and/or showing wide variety of bedding structures.
	Up to c.30 m	
	Redcar Mudstone Formation	Grey, fossiliferous, fissile mudstones and siltstones with subordinate thin beds of shelly limestone below, fine-grained carbonate-cemented sandstone above: argillaceous limestone concretions occur throughout.
	Up to c. 283 m	

105



106

107 Fig. 3. Geological map of Great Fryup Dale, adapted from Holliday et al. (1992), Sheet 43 Solid and  
 108 Drift Edition, with unpublished BGS landslide deposit mapping data. Contains British Geological  
 109 Survey materials © NERC 2014.

110

111 The overview geological map in Fig. 3 shows that landslide deposits are extensive in Great Fryup  
 112 Dale and are largely coincident with the Whitby Mudstone Formation (WHB) and Dogger and Blea

113 Wyke Formations. The Whitby Mudstone Formation is predominantly argillaceous and locally c. 90  
114 m thick, comprising grey to dark grey, finely laminated, fissile, pyritic mudstone and silty mudstone  
115 with sporadic thin sideritic and calcareous beds and concretions (Powell, 2010; Table 1). The  
116 formation comprises five lithologically distinct Members (Cox et al., 1999) each displaying slightly  
117 different geotechnical properties. This subtle variation in physical properties strongly influences  
118 landslide mechanism, scale, style and preservation potential across the region and the details of  
119 individual member beds is worthy of description and some discussion here. Of particular importance  
120 to slope stability is the presence of 'slide-prone horizons' within argillaceous units, as defined by  
121 Bromhead and Ibsen (2004), which give rise to bedding controlled failures. The finely laminated  
122 (fissile) nature and pyrite content of some beds creates strength anisotropy. The basal unit of the  
123 Whitby Mudstone Formation, the Grey Shale Member, consists of c.14 m of pale grey, locally pyritic,  
124 silty mudstone with calcareous siderite concretions (Powell, 2010). A change to anoxic conditions are  
125 reordered in the overlying Mulgrave Shale Member, which is c. 31 m thick and includes 9–10 m of  
126 fissile, finely laminated, bituminous, dark grey mudstone (previously 'Jet Rock') with horizons of  
127 calcareous concretions and a thin pyritic limestone at the top (previously 'Top Jet Dogger'), passing  
128 up into c. 23 m of fissile, bituminous mudstone with abundant ammonites (formerly 'Bituminous  
129 Shales'). The Alum Shale Member above is c. 37 m, comprising a lower soft grey silty (micaceous)  
130 mudstone that includes c. 6 m of non-bituminous shale capped by a band of siderite nodules, overlain  
131 by c. 15 m of pyritic shale (the main 'Alum Shales'), then 13–20 m of harder shale (formerly 'Cement  
132 Shales') that include beds of calcareous nodules (Kent et al., 1980; Powell, 2010). The Middle  
133 Jurassic Dogger Formation rests unconformably on the Alum Shales in this part of the Cleveland  
134 Basin and the overlying Peak Shale and Fox Cliff Members exposed along the Yorkshire coast near  
135 Whitby are absent (Powell, 2010).

136 Kent et al. (1980) interpreted the overall structural grain of the regions distinct sub-parallel valleys to  
137 be the result of basin inversion, uplift, erosion and unloading. This structural history produced a  
138 pervasive radial joint pattern which had led to the development of the distinctive radial drainage  
139 pattern. In the north of the Moors the predominant N–S structural grain and topography led to the



140 formation of a series of near-parallel north-south orientated valleys, and Great Fryup Dale serves as a  
141 fine example of one of these consequent drainage features. Joints are pervasive and laterally and  
142 vertically persistent in the sandstone beds that cap the mudstones, and are generally orientated near-  
143 parallel to the valley slopes. The presence of these joints, together with occasional faults, reduces the  
144 mass strength of the cap rock and Dogger Formation beds in a preferential orientation, and also  
145 increases the permeability and storage capacity of these units (creating aquifers). These structural  
146 factors, along with the presence of weak horizons, strongly control landslide susceptibility and  
147 mechanisms of failure.

148

### 149 *2.3. Quaternary history*

150 Great Fryup Dale was glaciated through the Devensian and became de-glaciated in late-Devensian  
151 times (Jones, 1977; Jones, 1999). During the Last Glacial Maximum (LGM) of Marine Isotope Stage  
152 2 (around 18 000 yrs BP), the high ground on the North York Moors remained largely ice free but  
153 experienced very severe periglacial conditions (Innes, 1999; Innes et al., 2009; Chiverrall and  
154 Thomas, 2010). At this time the valley was probably fully glaciated by a tongue of ice from a glacier  
155 in the Esk Valley (Kendall, 1902; Kent et al., 1980; Chiverrell and Thomas, 2010; Murton and  
156 Murton, 2011). De-glaciation commenced c. 13 000 yrs BP, in the Late Glacial Interstadial but was  
157 interrupted by a brief final cold stage between about 10 800 and 10 400 yrs BP, during the Loch  
158 Lomond Stadial (Innes, 1999). Glaciation left patchy deposits of till in the valley floor (Fig. 3) and  
159 prolonged periglacial conditions left spreads of periglacial Head deposits across slopes. Holocene  
160 rivers cut down through till, deposited alluvium across the valley floor, and cut terraces into the  
161 bedrock. Landslide deposits formed in oversteepened valley heads and along valley sides, sometimes  
162 blocking stream channels which temporarily diverted river flow. On the hill tops peat deposits cover  
163 much of the plateau, which along with some valley slopes to the south (e.g. Rosedale) are peppered  
164 with shallow coal and ironstone workings and small mine spoil heaps.

165

### 166 **3. Slope geomorphology at Mark Nab**

167 The landslide at Mark Nab is c. 700 m long and c. 600 m wide; it covers approximately 0.36 km<sup>2</sup>  
168 (Figs. 3 and 4). The crown of the landslide is at an elevation of c. 340 m aOD, and the toe is at c.  
169 190 m aOD. The flanks abut against adjacent landslide deposits to the east and west.

170

#### 171 *3.1. LiDAR interpretation*

172 Airborne LiDAR data were acquired by the Environment Agency Geomatics Group at a resolution of  
173 0.25 m (flown March 2012). The derived shaded digital terrain model (Fig. 4) was interpreted and  
174 main landslide features initially mapped out using a GIS and adopting the descriptive terms from  
175 Cruden and Varnes (1996). Based on this mapping and supplementary detailed field-based mapping,  
176 three distinct morphological zones (I–III) were identified within the one landslide system:

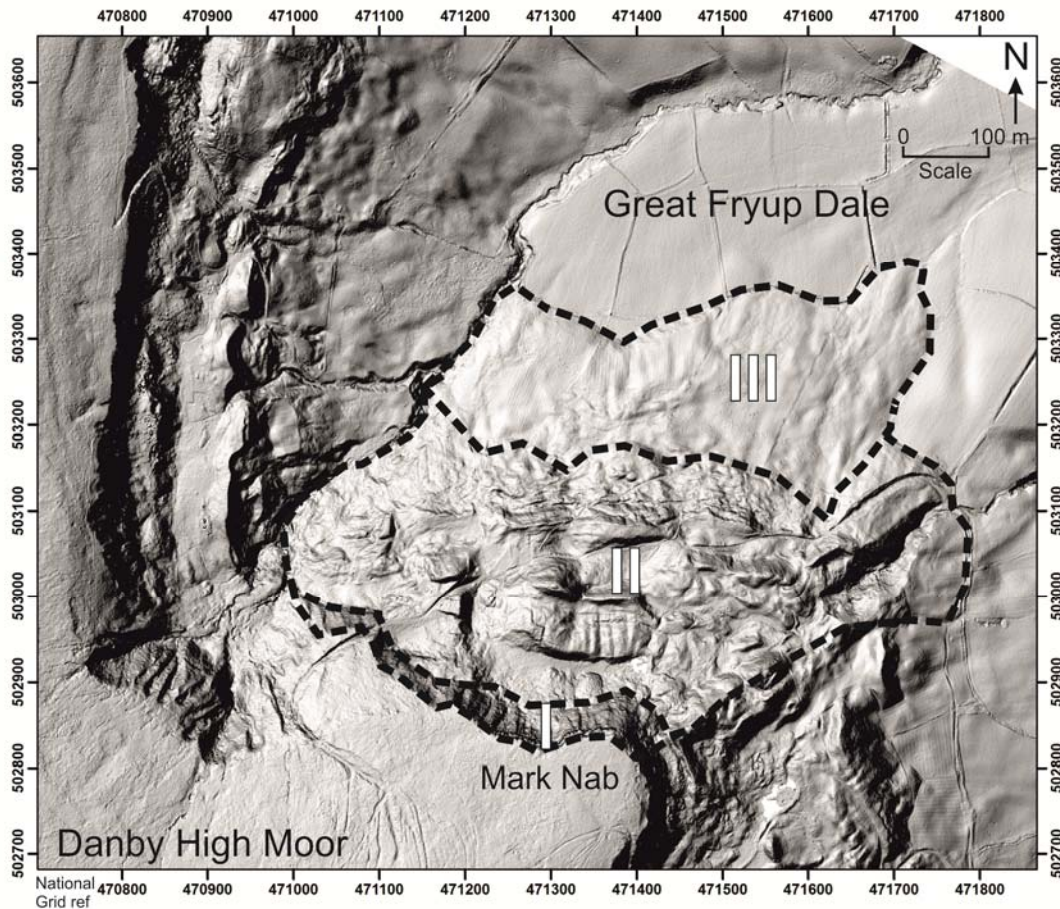
177 Zone I – rear scarp with rockfall

178 Zone II – main body with rotated landslide blocks, block disruption, minor scarps and grabens

179 Zone III – frontal mudflow complex with concealed block disruption or weathered bedrock

180 The following section describes the geomorphology of the slope as interpreted from the LiDAR data  
181 (Fig. 4).

182 The average slope angle on the landslide varies widely; a concave near vertical cliff dominates Zone I,  
183 whereas slopes of up to 42° are locally present in Zone II. The lower slopes in Zone III are generally  
184 smooth and gently undulating with an overall convex form downslope.



185

186 Fig. 4. Use of high-resolution LiDAR. A derived Digital Terrain Model of Great Fryup Dale (0.25 m  
 187 x,y, resolution, 0.015 m vertical resolution, flown by Environment Agency 10 March 2012).

188 Coordinate system is British National Grid. Three main morphological zones (I–III) are defined based  
 189 on surface morphology.

190

191 *3.1.1. Details of Zone I*

192 Zone I contains the rear scarp of the landslide, which is c. 370 m long, up to 40 m high. This feature  
 193 forms a sharp crescent shaped cliff separating the sheltered valley from the exposed moorland plateau.  
 194 The upper portion of scarp is a near vertical 6 m high cliff exposing thickly bedded gritty sandstone  
 195 blocks of the Cloughton Formation (Sycarham Member) and the finer more thinly bedded Eller Beck  
 196 Formation below. The rock mass is locally regularly jointed and blocky, with three orthogonal joint

197 sets present; oriented ESE, SE and NE. These joints intersect the near-horizontal bedding which  
198 daylight in the cliff face. This kinematic geometry favours 'toppling' and 'sliding' modes of failure,  
199 and failures typically releasing fairly large (1 to 3 m<sup>3</sup>) blocks of sandstone, which have accumulated  
200 in a talus cone at the foot of the main scarp, partially filling the surface depression formed by the rear  
201 graben.

202 The lower half of the escarpment cliff (main scarp) exposes mudstones and sandstones of the Saltwick  
203 Formation. Continued erosion of the cliff has deposited a thin veneer of 'gravelly clay' on the slope  
204 which periodically fail in translational debris-rich mud slides also feed fluidised sediment, sometimes  
205 via chutes, into the depression below (graben). Several freshwater springs emerge from Sycharham  
206 Member along the base of the main sandstone cap, and also from several sandstone channels present  
207 within the predominantly silty Saltwick Formation. Erosion by these springs forms small gullies in the  
208 backscarp, and fossil gullies are preserved on the eastern most rear landslide block.

209 The western side of the escarpment slope has undergone differential erosion leading to bevelling of  
210 the bedrock surface (Cloughton Formation) which has produced a more rounded profile in the east.  
211 Above Zone I, at the western end of the main scarp, is a north-west-facing slope facet, with a  
212 distinctively smooth, regular, and convex profile which becomes progressively steeper downslope  
213 (19–26°). This slope is mantled with at least 2–3 m of soliflucted head deposits composed of stiff grey  
214 slightly gravelly, silty, sandy clays with rare rounded pebbles. These pebbles may have been reworked  
215 from a pre-Devensian till. This slope is also scattered with large rounded boulders of coarse-sandstone  
216 and are notably more deeply weathered than the rockfall blocks from the back scarp. It is most likely  
217 that this slope facet is a periglacial 'boulder field' with boulders sourced from the thick sandstone bed  
218 that crops out at the crest of the slope (see Fig. 5). Another such 'boulder field' is present on an east-  
219 facing slope facet located c. 200 m to the west, on the opposite side of the valley.

220

221 *3.1.2. Details of Zone II*

222 The upper part of Zone II contains a 30 m wide, 200 m long, flat waterlogged depression. This is  
223 interpreted as a rear graben that separates the rear scarp from the main body of the landslide. The  
224 graben contains 0.5 m of peat over at least 2 m of wet soft silt. A low man-made earth dam (built c.  
225 2010) impounds excess surface and spring water in the graben formed depression to supply nearby  
226 farms.

227 The middle part of Zone II contains a c. 200 m long area of highly irregular topography characterised  
228 by massive blocks, each c. 100 m wide and c. 60 m long, with secondary slope parallel scarps up to  
229 20 m high. The highest blocks are capped by a band of resistant ferruginous limestone of the Blea  
230 Wyke Member and are composed mostly of Whitby Mudstone Formation mudstone. These beds dip  
231 at c. 20° to the south in the rearmost blocks, but the dip increases to up to c. 58° on the frontal blocks.  
232 The edges of the main landslide blocks are markedly linear and strike NNE, as can be clearly seen  
233 from the LiDAR DTM (Fig. 4). This orientation matches the principal joint set orientation (measured  
234 in sandstone exposed in the main cliff above), suggesting an inherent structural control on landslide  
235 morphology. Small ponds occur in depressions between the main landslide blocks and are filled by  
236 several metres of laminated silt with occasional cobbles (proved by Russian Corer and hand  
237 auguring). The topography of the eastern side of Zone II is generally more subdued than the western  
238 side following more intense reworking and infilling of topographic depressions by mudflows  
239 generated by high amounts of water issuing from the springs and small springs that drain the rear  
240 pond.

241 The eastern flank of the main landslide is represented at surface by a c. 150 m long, 15–20 m high  
242 scarp feature which forms a linear trough. It is currently occupied by a stream fed by the rear pond  
243 and is bounded to the east by a ridge composed of slipped fissile mudstone capped by a red sandstone.

244 The western margin of Zone II represents the western flank; this area of rough ground is characterised  
245 by a complex association of morphological features created by a series of superficial shallow multiple  
246 landslides formed in the pre-slipped mudstone, similar in style to the lowest part of Zone II. Slope  
247 instability in this part of Zone II is primarily driven by toe erosion by Slidney Beck. The course of this

248 stream has clearly been obstructed, and likely dammed, by landslide deposits on multiple occasions,  
249 and diversion of the stream has carved new channels and fluvial terraces, and proceeded to undercut  
250 other suspended landslide deposits.

251 Below the 270 m contour line morphology in Zone II is typically more subdued with 5–10 m high  
252 minor scarps and transverse tension cracks that run parallel with the slope. The lowest slopes in Zone  
253 II consists solely of mudstone beds (with sandstone absent) which are rotated by up 45° in boreholes 2  
254 and 3. Contorted tightly folded beds of mudstone are exposed in a track cutting and stream section at  
255 the toe (locations 3–5 in Fig. 5). It is likely that this lower area contains the main slip surface  
256 breakout, and although the shear surfaces are masked by superficial slumped mudstone, their surface  
257 expression can be traced along a slight rise in ground level.

258

### 259 *3.1.3. Zone III*

260 Zone III is typically smoother than Zones I and II, with irregular slopes of c. 9–12°. This zone  
261 contains the foot and toe of the landslide. This zone is c. 200–300 m long, c. 600 m wide and contains  
262 degraded mudflow lobes, peat hollows and some spring lines. The toe area has been artificially  
263 drained to improve the quality of the pasture land. The western side has degraded ridge and furrow  
264 plough lines which are disturbed above the 245 m contour line where grass pasture gives way to  
265 bracken and the ground is crossed by degraded transverse cracks.

266 Below Zone III the ground is very smooth and slopes gently to the north. This area is interpreted to  
267 preserve a glacially eroded surface which has later been modified by streams emanating from the toe  
268 of the landslide, and diverted around the toes of other landslide masses that have previously dammed  
269 Slidney Beck. These stream diversion events have likely caused erosion of the toe, evidenced by a  
270 low cliff feature along the western end of the toe.

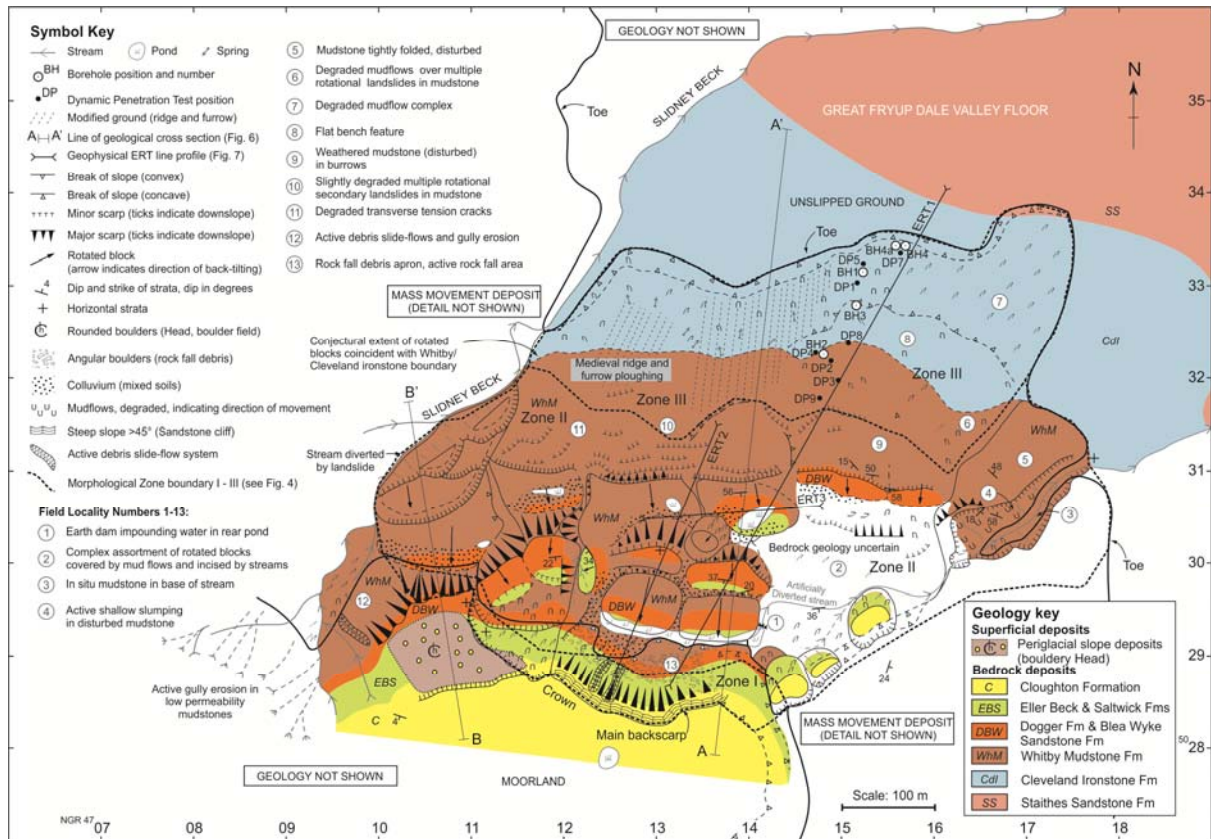
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### 272 *3.2. Geomorphological plan*

273 The morphological features interpreted from the LiDAR were combined with detailed geological field  
274 mapping to produce a 1:2 500 scale ‘geomorphologic plan’ (Fig. 5; as per Anon, 1972; Griffiths,  
275 2002; Fell et al., 2008). The plan depicts the key morphological features and geology, with structural  
276 data, field localities (numbered locality 1–13), borehole and dynamic penetrations test locations,  
277 geophysical survey lines, and lines of cross-section. The Cloughton and Saltwick Formations are  
278 exposed in the main back scarp and erosion of these materials contributes to rockfall, mudslides and  
279 accumulation of talus (Loc. 13). On the main body (Zone II) several Whitby Mudstone cored blocks  
280 are capped by the more resistant sandstone and limestone beds of the Dogger and Blea Wyke  
281 formations. Their lithologically distinctive beds provide key stratigraphic markers across the slipped  
282 ground, and their southerly dips and drop in elevation, provide evidence for back rotation of the  
283 landslide mass. The extents of these marker beds was mapped out in detail, with structural  
284 measurements taken to help model the subsurface structure of the landslide blocks. Periglacial head  
285 deposits above and west of the main backscarp are truncated by the rear scarp exposing the non-  
286 marine siltstone and mudstones of the Saltwick Formation. This relationship has important  
287 implications for the landslide movement history, as discussed later. On the far western flank, Slidney  
288 Beck has been diverted around the toe of a secondary landslide deposit (shows a cross section through  
289 this part of the slope). The scarp of this toe failure truncates the main scarp feature and this provides  
290 evidence for erosion-driven reactivation after the main failure, although the relative age of this  
291 subsequent failure has not yet been investigated. The stream is currently eroding the river bank at the  
292 foot of Zone II, and thereby continues to destabilise the western side of the landslide system. In the  
293 upper part of Zone III the sharpness of minor scarps, which define the boundaries of the secondary  
294 rotations through ‘block disruption’ (9 and 10), and tension cracks (11) have become much degraded.  
295 The disrupted Whitby Mudstone Formation mudstone slakes fairly readily and has degraded to mud  
296 which feeds into flows that supply material to the lower mudflow complex (6) producing a smooth  
297 slope profile (7 and 8) across the crop of the Cleveland Ironstone Formation bedrock surface.  
298 Contorted and tightly folded beds of mudstone are well exposed in a sunken track (4 and 5) in the  
299 eastern toe area. These structures are interpreted as a product of compression related to landsliding,

300 and not the result of tectonic faulting, though these features could also potentially relate to earlier  
 301 cambering and valley bulging processes which would have preconditioned the slope for failure.

302



303

304 Fig. 5. Geomorphologic plan of the Mark Nab landslide showing key localities, positions of boreholes  
 305 (BH1, 2, 3, 4, 4A), dynamic penetration tests, ERT lines (ERT1, 2, 3), geological cross section lines A  
 306 and B, and relation of surface morphological features to the landslide morphological Zones I-III  
 307 defined in Fig. 4.

308



## 309 **4. Subsurface investigations**

### 310 *4.1. Drilling and testing*

311 Five boreholes (1, 2, 3, 4, 4A) were drilled in the foot of the landslide to assess the stratigraphy and to  
312 provide ground-truth for the geophysics. The boreholes (BH) were logged to British Standards for Site  
313 Investigation (BS5930,1999; BS EN ISO 14688-1 and weathering described according to Anon,  
314 1995). Full logs are presented in Appendix 1 and BH locations shown in Fig. 5. The core was sampled  
315 in a fresh state for classification tests, including moisture content, bulk density, resistivity and shear  
316 strength (unconsolidated undrained for 'peak' values, and consolidated drained for 'residual' values).  
317 The BH 4A was a re-drill of BH4 drilled to collect additional samples.. Dynamic Penetrometer (DP)  
318 tests were also undertaken to provide correlation of hard and soft zones between boreholes. The DP's  
319 were progressed to refusal ( $N \geq 60$ ), terminating within unslipped mudstone or harder ironstone or  
320 siderite bands. A standpipe piezometer installed in BH2, located in Zone III, to monitor shallow  
321 groundwater levels, and was monitored between March to November 2012 using a *Solnist* level logger  
322 and barometric logger at 15 min. intervals. Within the monitoring period the water level fluctuated  
323 between 2.8 and 3.5 m below ground surface and was sensitive to antecedent rainfall conditions. It is  
324 unlikely that this water level represents the water table across the entire landslide, as groundwater  
325 conditions are likely to be complex, and possibly compartmentalised in Zone II due to minor aquifers  
326 occurring within individual landslide blocks.

327 The boreholes in Zone III (BH's 1, 3, 4, and 4A) proved weak mudstone bedrock (Whitby Mudstone  
328 Formation) overlain by c. 10 m of soft to firm mottled or laminated clay sediments (mudflows).  
329 Borehole 2, located further up slope within Zone II, proved 6 m of rotated mudstone beds interpreted  
330 as slipped Whitby Mudstone Formation. Boreholes 4 and 4A, in the toe area, proved several metres of  
331 deeply weathered mudstone overlain by stiff gravelly clay (head or till) deposits, and capped by soft  
332 clay containing an organic-rich peaty soil horizon between 2.64 and 2.90 m b.g.l. These organic rich  
333 horizons, which were dated (see later), are interpreted as a palaeosol that formed in a small depression  
334 subsequently buried and preserved by a mudflow event.

335

336 *4.2. Geophysical survey*

337 The Electrical Resistivity Tomography (ERT) technique (Jongmans and Garambois, 2007) was used  
338 to compare subsurface characteristics with surface features and ground-truth from borehole data.

339 Geophysical surveys were acquired along three lines: ERT Lines 1, 2 and 3, and these were orientated  
340 perpendicular to major structural trends and geological boundaries, including the main landslide  
341 blocks. Line ERT1 was acquired using two arrays (ERT 1A and 1B) in order to sample the entire  
342 length of the landslide deposit, and including the lower non-slipped ground beyond the toe. The  
343 survey design provided for a depth of investigation up to c. 50 m in order to image the shallow and  
344 deep structure of the landslide beyond the estimated depth of the deepest slip surface, based on initial  
345 cross sections and the geomorphological evidence.

346 Approaches to ERT field surveying are described widely in the literature (e.g. Chambers et al., 2011;  
347 Lapenna et al., 2005), so only a brief explanation is provided here. The ERT field survey was  
348 undertaken during May 2011. ERT data were collected using an AGI SuperSting R8 IP system  
349 attached to stainless steel electrodes via multicore cables. Ground conditions were generally  
350 extremely dry. To reduce contact resistances and improve data quality, each electrode was watered  
351 using a saline solution. Electrode positions were identified using survey tapes extended across the  
352 ground surface; a real-time-kinematic (RTK) GPS measurement, with centimetric accuracy, was then  
353 made for each electrode position, to establish the grid position and elevation of each electrode.

354 The locations of the four ERT Lines 1A, 1B, 2 and 3 are shown in Fig. 5 as ERT1, ERT2, and ERT3  
355 with lengths of 292.4, 307.6, 289.5 and 184.9 m respectively. (note ERT 1A and 1B are end on and  
356 are depicted as ERT 1 in Figure 5). A dipole-dipole configuration was employed for each of the lines.  
357 The longer lines, Lines 1A, 1B and 2, were surveyed using (along-ground) dipole lengths ( $a$ ) of 5, 10,  
358 15, 20, and 25 m and dipole separations ( $na$ ) of  $1a$  to  $8a$ . For Line 3, which was a shorter and higher  
359 resolution imaging line, dipole lengths ( $a$ ) of 3, 6, 9, 12, 15, and 18 m, and dipole separations ( $na$ ) of  
360  $1a$  to  $8a$  were used.

361 A summary of the contact resistances and reciprocal error characteristics of the ERT Lines 1A, 1B, 2,  
 362 and 3 are shown in Table 2. Even with watering, the measured contact resistances were relatively  
 363 high, with mean resistances of approximately 3,000  $\Omega$ , reflecting the very dry conditions at the time.  
 364 The reciprocal errors do however indicate that reasonable data quality was achieved for most  
 365 measurements (i.e. >80% of the measured reciprocal pairs had a reciprocal error of <1%). Data points  
 366 with a reciprocal error of >1% were removed from the data sets, and the reciprocal errors were used to  
 367 weight the data during the inversion. The misfit errors for ERT Lines 1A, 1B, 2 and 3 were 2.4%,  
 368 1.1%, 3.4% and 2% respectively indicating good convergence between the model and measured data.

369

370 Table 2. Contact resistance and reciprocal error summary information for ERT Lines 1A, 1B, 2 and 3.

	Number of measurements*	Contact resistance (Ohms)		Fraction (%) of data set below reciprocal error level	
		Mean	SD**	1%	5%
Line 1A	1780	3159.0	4145.9	80.6	95.0
Line 1B	1788	2757.0	1735.6	80.0	93.6
Line 2	1780	3065.0	2656.2	83.3	96.0
Line 3	1980	3175.0	1872.2	87.6	99.1

\* Each comprising a reciprocal pair (i.e. a forward and reciprocal measurement)

\*\* standard deviation (SD)

371

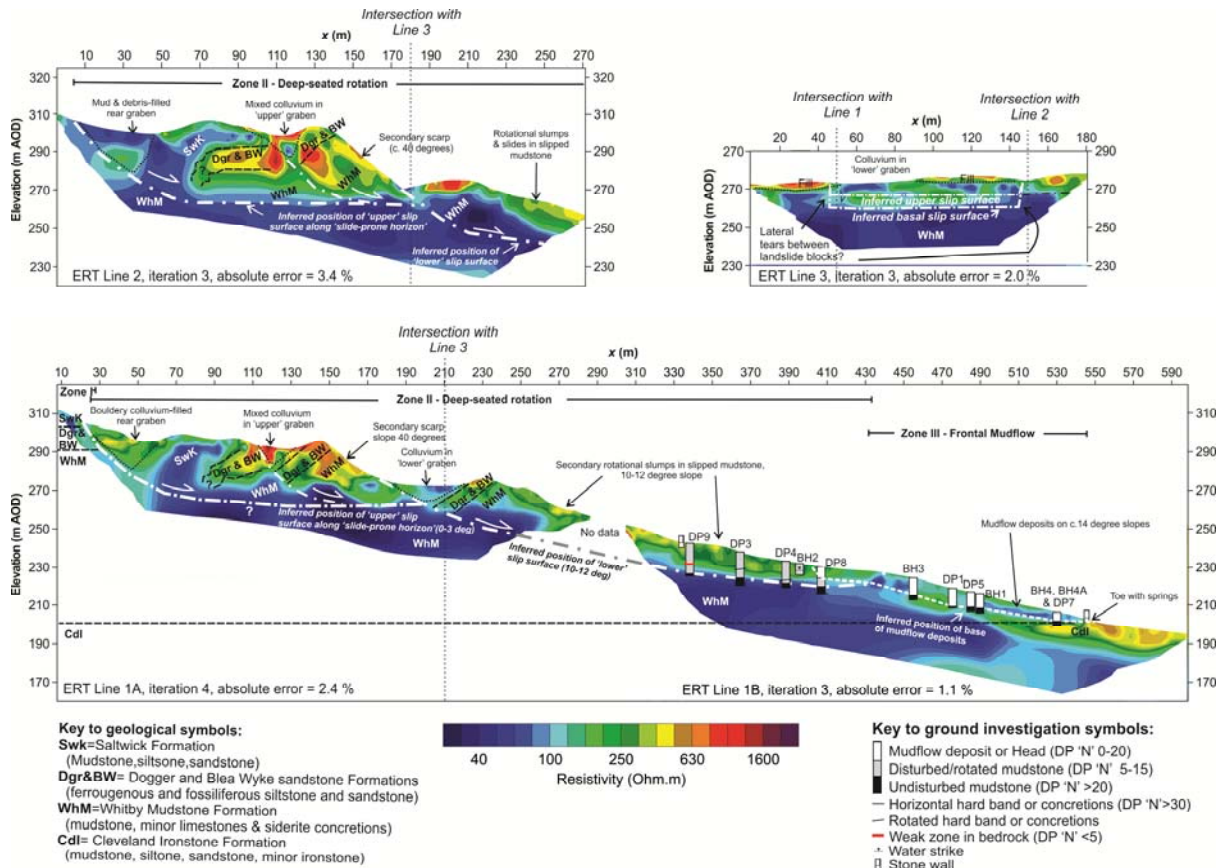
372 It should be noted that ERT models are smoothed images for which resolution decreases with  
 373 increasing depth of investigation because the model in these regions is less well constrained by the  
 374 data. The interpretation of 2D ERT imaging data is further complicated by off-line 3D resistivity and  
 375 topographic variations that violate the 2.5D assumption. Therefore, the ERT images can provide only  
 376 an approximate guide to the true resistivity and geometry of subsurface features (Olayinka and  
 377 Yaramanci, 2000; Chambers et al., 2002); and hence, calibration and interpretation using other  
 378 sources of ground-truth information are highly desirable. This was possible in Zones I and III, where  
 379 exposure mapping or borehole data were available, but not in Zone II.

380

381 *4.3. Results and interpretation of geophysical imaging (ERT)*

382 The ERT survey Lines 1A, 1B, 2 and 3 (Fig. 6) provide additional information on deep subsurface  
383 structure and composition of the landslide mass. The geophysical models for the corresponding ERT  
384 Lines 1A, 1B, 2 and 3 are presented in Fig. 6 with borehole and penetrometer test data overlaid to  
385 provide information on material characteristics and depths, where available. The low resistivity areas  
386 generally correspond with clay-rich materials, such as mudstone lithologies and wet clay soils, and  
387 these contrast against more electrically more resistive areas which indicate other materials such as  
388 siltstone, sandstone, limestone or free draining dry coarse or very coarse soils. Geological boundaries,  
389 depicted in black, are projected into the subsurface and geological units labelled. Oblique formation  
390 labels indicate rotated bedding and horizontal labels indicate horizontal bedding. The relationship and  
391 distribution of high and low resistivity zones at depth within the main body of the landslide (ERT  
392 Lines 1A, 2 and 3) provide evidence of the deep structure where there is no intrusive investigation.  
393 Truncation of rectangular high resistivity zones against low resistivity zones in Zone II is interpreted  
394 as evidence for rotation and juxtaposition of more resistive beds (e.g. partially or un-saturated  
395 sandstone and limestone beds) against low resistivity mudstones. The dashed white lines depict  
396 'inferred' positions of the main shear surfaces with arrows indicating direction of slip. In Zone II the  
397 geophysical data suggest a c.30 m deep 'upper' slip surface, with an entirely separate 'lower' slip  
398 surface. In Zone III the lateral thickness variation in mudflow deposits within the toe can be inferred,  
399 with borehole control, from the geophysical model (ERT Line 1, Fig. 6) where the boundary is  
400 marked by a sharp transition between lower resistivity zones representing mudstone and higher  
401 resistivity, zones representing sandy clay and silt rich materials.

402



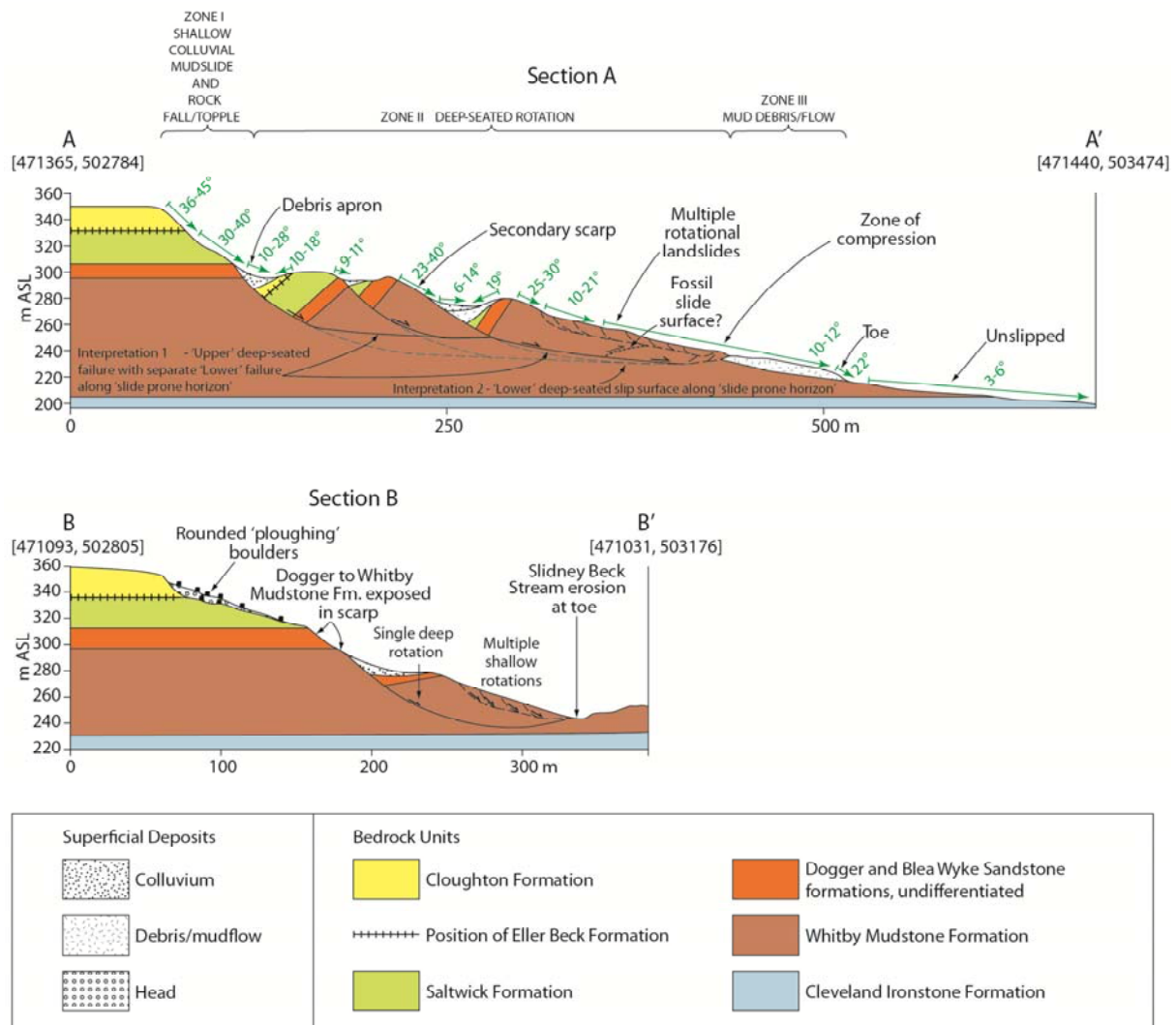
403

404 Fig. 6. ERT sections from the Mark Nab landslide with borehole data and preferred interpretation of  
 405 profile of main slide surface.

406

## 407 5. Slope architecture

408 An interpretation of the 2D architecture of the slope has been developed by combining the LiDAR  
 409 digital terrain model (Fig. 4), geology map and geomorphological interpretation (Fig. 5) and the  
 410 findings of the drilling and geophysics (Fig. 6), following the 'total geological model' approach  
 411 described by Fookes (1997). The model of the internal architecture and its relation to the bedrock  
 412 succession is summarised in two cross-sections, Section A and B, in Fig. 7. The location of Section  
 413 lines A and B are shown in Fig. 5.



414

415 Fig. 7. Section A: Idealised geological cross section through centre of the Mark Nab landslide  
 416 showing two possible slip surface geometry interpretations: Interpretation 1 entertains two entirely  
 417 separated slide surfaces, the 'upper' surface is bedding-controlled and horizontal, the 'lower' is a sub-  
 418 horizontal non-bedding-controlled surface; while Interpretation 2 considers a multiple rotation with  
 419 three main blocks and a common basal bedding-controlled slide surface. Zones I–II relate to  
 420 geomorphological zones described in Fig. 4. Section B: Idealised cross section through the western  
 421 flank with single compound slide with superficial rotations. Note the location of the cross section  
 422 lines do not match the location of the geophysical survey lines (see Fig. 5).

423

424 Section A (A–A', Fig. 7) shows an idealised geological cross section through the centre line of the  
 425 landslide and subsurface relationships between surface morphological features, such as back-tilted

426 sandstone-capped mudstone blocks and key geological marker beds such as the Dogger Member. At  
427 the rear of the escarpment (Zone I), the thick horizontally bedded cap rock of well-jointed  
428 sedimentary rocks, composed of Dogger Member, Saltwick Formation, Eller Beck Member and  
429 predominantly Cloughton Formation, provide a static load on the thick Whitby Mudstone Formation  
430 beds. These non-argillaceous units effectively behave as a layered aquifer which along with surface  
431 infiltration supply water into the slope. Dilation of existing bedrock joints due to vertical and lateral  
432 stress-relief (unloading) has contributed to enhanced bulk porosity and permeability of the cap rock  
433 and is an important factor in the hydrogeology.

434 The presence of three large internal blocks within Zone II (deep-seated rotational) suggests that at  
435 least two internal slide surfaces are present, and these likely connect with the main slide surface at  
436 depth (Fig. 6, Section A). There are several possible interpretations of the slip surface geometry, and  
437 the author's two preferred interpretations are labelled in Fig. 6 as Interpretation 1 and 2. The first  
438 interpretation involves an 'upper' slip surface, with a c. 30 m deep sub-horizontal planar surface  
439 which follows a 'slide prone horizon', as defined by Bromhead and Ibsen (2004), within the Whitby  
440 Mudstone (upper beds of the Mulgrave Shale Member), and a completely separate 'lower' slip surface  
441 evidenced by the geophysics, which is c. 20 m deep and gently inclined at c. 10–12°. The form of the  
442 'lower' slip surface is sub-horizontal, planar or stepped, and cuts through the bedding of the Whitby  
443 Mudstone (Mulgrave Shale Member). Both slip surfaces are most likely non-circular.

444 However, an alternative interpretation (Interpretation 2) is also worth consideration. This involves a  
445 single deeper-seated non-circular slip surface that cuts through to the base of the Whitby Mudstone  
446 Formation. The maximum depth of rupture for this interpretation is c. 50 m, somewhat deeper than  
447 interpretation 1, with the slide plane exploiting the full depth of the mudstones of the Mulgrave Shale  
448 Member, probably along a landslide prone horizon at or near the base of the formation (bedding plane  
449 failure). The simplest geometrical solution supported by geophysical and borehole evidence is  
450 Interpretation 1. However, there is some uncertainty about the reliability of the geophysical data at  
451 depths beyond 30 m, and so the interpretation of the structural interpretation in Zone II is still largely  
452 based on expert judgement.

453 Section B of Fig. 7, which corresponds to B–B' in Fig. 5, is a representation of the western side of the  
454 Mark Nab landslide complex. The section depicts the form of the main slide surface at the far western  
455 flank as topped by shallow multiple rotations. Erosion of the toe of the landslide mass by Slidney  
456 Beck continues to destabilise this part of the Mark Nab landslide system, and this is a process that is  
457 actively occurring to the south at the heads of the Great Fryup Valley, and the majority of the other  
458 adjacent valleys. Key geomorphological features that are pronounced are the break of slope associated  
459 with the relatively resistant Dogger and Blea Wyke sandstone, sharp scarps, and smooth convex  
460 slopes found above the 320 m contour line. The upper part of this slope is mantled by soliflucted head  
461 deposits that contain weathered rounded sandstone boulders 'ploughing boulders' (Fig. 7 Section B).  
462 Erosion of weathered Whitby Mudstone from the rear scarp (e.g. Loc. 12 in Fig. 5) has deposited  
463 colluvium onto the head of this slide mass, and although vegetation of the scarp slope has somewhat  
464 arrested this erosion process, this area is prone to future instability due to river erosion. Advancement  
465 of the conceptual ground model requires drilling rotary boreholes to +60 m in the main body (Zone II)  
466 and above the crown. Deeper holes would better constrain the lithostratigraphic unit thicknesses, and  
467 provide samples for further materials testing.

468

## 469 **6. Landslide movement history**

### 470 *6.1. Geomorphological evidence*

471 There are no historic records of large-scale movements of the landslide; however, the relative  
472 sequence of movements can be inferred from interpretation of the geomorphic and geological  
473 evidence. The truncation of periglacial head deposits by the main (rear) back scarp (Figs. 5 and 7B),  
474 and the presence of head beneath the mudflow deposits (BH4, Appendix 1), constrain the main  
475 movement event to the early-late Holocene times. Preservation of ridge and furrow plough lines in  
476 Zone III (Fig. 6) suggests this area has not been active in this area in historic times. However, rotation  
477 and dislocation of Whitby Mudstone from the main landslide mass on the western flank (Fig. 7  
478 Section B), is evidence for a secondary phase of deep-seated movement after the main event and this



479 possibly postdates some of the frontal mudflow activity in Zone III. The minor scarps and benches  
480 shown in Zone II (Fig 7 Section B) provide evidence for ‘recent’ to ‘old’ rotational slides, driven by  
481 toe erosion by Slidney Beck and this process is still intermittently ‘active’. Very recent activity is  
482 limited to small-scale translational slides in weathered or disturbed mudstone on stream banks and  
483 minor rockfall from the sandstone beds exposed along main escarpment cliff and along the banks of  
484 drainage gullies (Fig. 5, Locs 4, 12 and 13).

485

## 486 *6.2. Sediment dating*

487 The frontal mudflow complex (Fig. 4, in Zone III) contains buried palaeosols which were cored and  
488 dated to help constrain the age of the latest mudflow events. Inspection of cores during logging of  
489 BH4A identified a peat deposit below a mudflow event horizon between 2.74 and 2.90 m b.g.l.  
490 (Appendix 1). This soil horizon is composed of olive grey organic silt with pockets of amorphous peat  
491 containing fragments of wood and charred material; the upper boundary is sharp indicating erosion by  
492 an overriding mudflow event(s). Another horizon containing dark brown peat with scattered rootlets  
493 and wood was also proved at a similar depth in BH4, located approx 1 m to the west of BH4A (Fig. 5  
494 & Appendix 1). These soils likely preserve deposition and vegetation of shallow surface depressions  
495 on the upper surface of a mudflow.

496 Radiocarbon dating methods were used to determine the age of this sediment. Fragments of wood  
497 were extracted during examination using clean gloves and tools to minimise contamination.  
498 Precautions were also taken during percussive drilling to minimise hydrocarbon contamination (duck  
499 oil). The sediment samples were stored horizontally in a humidity and temperature controlled fridge at  
500 4°C for c. 6 months at BGS Keyworth prior to logging and sampling. Three of the fragments of wood,  
501 extracted from various depths between 2.84 to 2.89 m in BH4A, were analysed for age determination  
502 using Accelerator Mass Spectrometry (AMS) techniques by Beta Analytic (Beta Nos 343215, 343216,  
503 343217). Samples were analysed to ISO 17025 standards, and were first pre-treated using the  
504 acid/alkali/acid method. The results of the AMS analysis are shown in Table 3.

505

506 Table 3. Radiocarbon-dated samples from the Mark Nab landslide mudflow cores.

Core	Depth (m)	OS Grid reference (x,y.)	Sample type and pre-treatment	Lab. ID number	Measured Age (BP)	13C/12C o/oo	Conventional <sup>14</sup> C age (BP)	2 SIGMA CALIBRATION
BH4A	2.850-2.900	471566, 503341	Charred material: acid/alkali/acid	Beta-343217	2240±30	-23.0	2270± 30	Cal BC 400 to 350 (Cal BP 2350 to 2300)/Cal BC 290 to 230 (Cal BP 2240 to 2180)/Cal BC 220 to 210 (Cal BP 2170 to 2160)
BH4A	2.840	471566, 503341	Charred material: acid/alkali/acid	Beta-343216	2340±30	-23.2	2370±30	Cal BC 510 to 390 (Cal BP 2460 to 2340)
BH4A	2.870	471566, 503341	Charred material: acid/alkali/acid	Beta-343215	2340±30	-26.2	2320±30	Cal BC 400 to 380 (Cal BP 2360 to 2330)

507

508 These dates constrain the latest mudflow event in BH4A to after 2270 yrs BP. The earliest date of the  
509 buried palaeosol coincides with a period of climatic deterioration to a wetter climate, but also major  
510 woodland clearance by early Iron Age people, as indicated for example by pollen records from the  
511 nearby Fen Bogs site (Simmons et al., 1993; Innes et al., 1999). This late-Holocene erosion event  
512 corroborates other records from wetlands formed behind landslides in the North York Moors at  
513 Gormire Lake, St Helena and Blakey, where a link between erosion and deforestation has been  
514 suggested by Innes et al. (1999).

515

## 516 7. Landslide trigger factors and mechanisms of failure

### 517 7.1. Slope stability models

518 A set of slope stability models were developed to quantitatively test the conceptual ground model and  
519 explore the initial landslide trigger factors and assess the current day stability state. The geotechnical  
520 property values used in the stability models are provided in Table 4. The values were estimated  
521 values, based mainly on the BGS’s geotechnical database for the Lias Group (Hobbs et al., 2005) and  
522 the general literature (Reeves et al., 2006). A small number of geotechnical tests (ring shear and shear  
523 box) were carried out on samples of Whitby Mudstone Formation collected from the landslide deposit

524 itself (shear zone exposed at head of gully near Loc 4, Fig. 5.) and other samples collected from  
 525 another landslide shear zone exposed at river level at nearby East Arnecliff Wood. These data were  
 526 not used directly but were used to inform an expert judgement based estimate of the residual shear  
 527 strength of the Whitby Mudstone at the Nark Nab landslide site. The density and porosity data were  
 528 taken mainly from the BGS's geotechnical database. Two main slope scenarios were modelled: i) a  
 529 pre-failure slope, and ii) the present day 'post-failure' slope.

530

531 Table 4. Geotechnical property values used for slope stability models (after Hobbs et al., 2005;  
 532 Reeves et al., 2006; Hobbs and Boon, 2013).  $c'$ : effective cohesion ;  $\phi$ : effective angle of internal  
 533 friction.

Geological layer (lithology)	Layer thickness (m)	Saturated density ( $\text{kg m}^{-3}$ )	Dry density ( $\text{kg m}^{-3}$ )	Porosity, n (%)	Pre-slip 'peak'		Post-slip 'residual'	
					$c'$ MPa	$\phi$ degr.	$c'$ MPa	$\phi_r$ (degr.)
Cloughton Formation (Sst) including Eller Beck Formation	20	2450	2300	0.15	0.30	35	0.0	35
Saltwick Formation (Sst/Slst/Mst)	25	2350	2200	0.15	0.20	25	0.0	25
Dogger & Blea Wyke Formations (Sst/Slst)	10	2300	2100	0.20	0.11	20	0.0	20
Whitby Mudstone Formation (Mst)	201	2060	1710	0.35	0.015	26	0.0	10
Cleveland Ironstone Formation (Slst/Mst/Irnst)	infinite	2060	1710	0.35	0.5	30	0.5	30

534

## 535 7.2. Pre-failure slope scenarios

536 The model was used to perform a 'back-analysis' to investigate the sensitivity of the paraglacial  
 537 Jurassic Escarpment to various environmental trigger factors, including:

538 (1) Glacial buttressing and loss of that lateral support (de-buttressing)

539 (2) Presence of a pro-glacial lake

540 (3) Groundwater level rise after deglaciation

541

542 The model variables chosen to represent these primary factors were: slope angle, groundwater level,  
543 and surface water level, respectively. The pre-failure slope analyses used two approaches: ‘finite  
544 element’ using FLACslope (version 7) and ‘limit-equilibrium’ using Galena (version 6). The results  
545 from finite element modelling were, where appropriate, used to inform the geometry of the slip  
546 surface defined in the limit-equilibrium model. Further details of the analyses methods and results are  
547 provided in Hobbs and Boon (2013). The surface profile was based on a structural reconstruction of  
548 the cross section in Fig. 6A, which assumes a 43° planar slope.

549

### 550 7.3. *Glacial buttressing*

551 To investigate the potential effect of glacial buttressing on the sequence of slope deformation we  
552 considered two simple models:

553 i) Ice buttressed: over steepened slope, 80 m high, with ice buttressing up to the 270 m contour line.

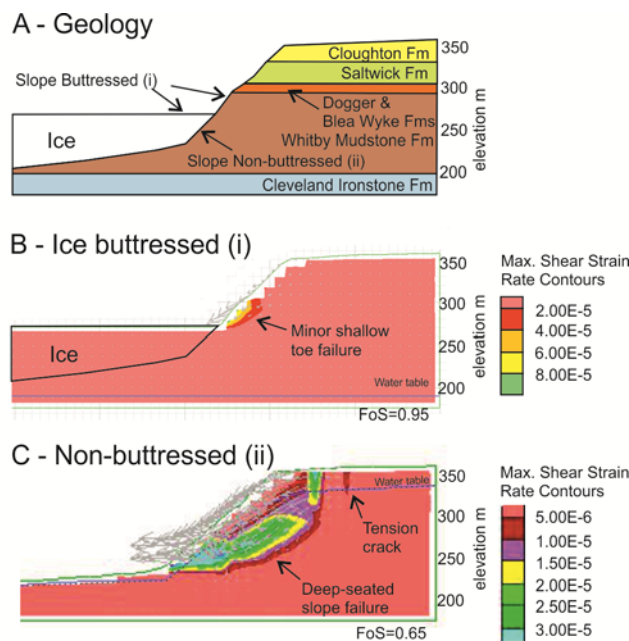
554 ii) Non-buttressed: over steepened slope, 125 m high, no ice buttressing.

555 Both scenarios were modelled in FLAC using the same geological unit thicknesses (Fig 8A) and  
556 ‘peak’ strength properties (Table 4). The water table in model (i) was assumed to be deep, assuming  
557 no free water and deep permafrost conditions, whereas the water table in model (ii) assumes a shallow  
558 water table and re-establishment of the regional water table and potential for excess pore pressure  
559 build up in the slope.

560 The FLAC modelling results are shown in Fig. 8B,C. Fig. 8B shows the over steepened ‘buttressed’  
561 slope is inherently unstable ( $FoS$  of 0.95) and prone to failure, but with shallow movement occurring  
562 as minor shallow rotation affecting only the Whitby Mudstone and Dogger units. This result supports  
563 the idea of an initial ‘upper’ slope failure (as implied by ‘Interpretation 1’; Fig. 6A). The results of  
564 model (ii) in Fig. 8C, shows the slope is also unstable when glacial de-buttressing has occurred, but  
565 the failure mode is significantly different from that of the buttressed slope stability model (i), with a  
566 deep-seated rotation affecting the full slope in Fig. 8C. One key limitation of this slope modelling

567 method is that model (i) substitutes the ice mass for a Whitby Mudstone mass due to software  
 568 limitations. Therefore, model (i) is unlikely to produce a deeper basal failure mode (similar to  
 569 Interpretation 2 in Fig. 6). However, a deep-seated bedding-controlled failure with a basal slip surface  
 570 geometry which daylights within the ice mass, similar to Interpretation 2 Fig. 6A, is also a feasible  
 571 failure mechanism.

572



573

574 Fig. 8. Results of FLAC slope stability modeling. A) Geological layers. Properties given in Table 4.

575 Note: Ice mass is substituted for Whitby Mudstone Formation properties due to software limitations.

576 B) Results for a glacially buttressed slope scenario. C: Results for a glacially de-buttressed slope

577 scenario.

#### 578 7.4. Model sensitivity

579 One assumption in the models is that the para-glacial slope was c. 43°. This slope angle is based on

580 cross section reconstruction and so there is a lot of uncertainty in the pre failure slope angle. To

581 investigate the sensitivity of the stability models to this uncertainty, and to better understand the

582 general relationship between slope angle and stability condition across the region, we modelled a

583 range of generic Whitby Mudstone Formation slopes using FLAC. We use ‘peak’ strength properties

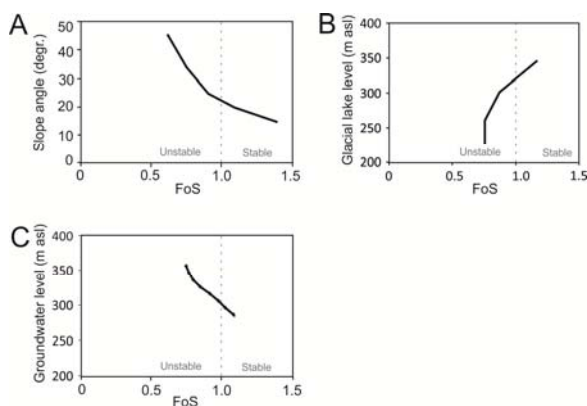
584 (Table 4) and assume the formation is 90 m thick. The results are plotted in Fig. 9A, which shows that  
585 the Jurassic Escarpment becomes increasingly unstable as slope angle increases. This result is not  
586 surprising; however, the relationship suggests that if a 90 m thick Whitby Mudstone Formation slope  
587 exceeds a critical angle of c. 22° the failure mode is more likely to favour deep-seated rotational  
588 sliding over a translation sliding mode. The slide plane exploits ‘landslide prone horizons’ entirely  
589 within the Whitby Mudstone Formation and the primary shear surface daylights at the base of the  
590 escarpment (in a toe failure), above the Cleveland Ironstone Formation. To validate the model result  
591 with empirical data, slope angles of failed and non-failed slopes in Great Fryup Dale were measured  
592 remotely in GeoVisionary using a slope model derived from the 0.25 m resolution LiDAR data (Fig.  
593 4). Using this approach we find that deep-seated landslides tend only to be found on the Jurassic  
594 escarpment when the average slope angle exceeds c. 21°. This empirical method not only provides a  
595 useful ‘sense check’ on the assumptions made in the stability modelling, but also provides an  
596 improved understanding and a critical slope angle cut off which could be applied for regional  
597 landslide susceptibility mapping purposes.

598 *FoS* for a conceptual pre-slipped Whitby Mudstone slope (i) is 0.8, suggesting that without additional  
599 lateral support (i.e. glacial buttressing) these slope would not have stood up on its own at c. 43°.  
600 Following this logic it is highly plausible that an initial ‘upper’ slope failure initiated in the upper part  
601 of the escarpment (a ‘slope failure’) while the glacier was still providing some lateral support (i.e.  
602 paraglacial failure). The slope may have even started to relax due to lateral unloading during valley  
603 glaciation phases, with dilation of pre-existing discontinuities (e.g. Joints, faults, fractures) which  
604 would have increased the secondary hydraulic permeability of the rock mass. The combined effects of  
605 these geological processes would have preconditioned the slope for a further ‘lower’ failure as the ice  
606 mass reduced in volume. We have represented this rock mass dilation effect in our stability models by  
607 addition of a tension crack 10 m behind the crown. However, the presence of frozen ground under  
608 permafrost conditions could also have increased the shear strength of the near surface slope materials,  
609 delaying development of a shallower failure mechanism in favour of a deeper-seated slope failure

610 which propagates beneath the frozen crust. This failure mechanism could account for the existence of  
611 large intact blocks preserved in Zone II.

612 Although the pre-slip stability model (Fig. 8A) results presented thus far lend support to a deep-seated  
613 rotational mode of failure during a partial glacial de-buttressing phase, other potential trigger factors,  
614 such as the reported pro-glacial lake in Eskdale and a post glacial rise in regional groundwater levels,  
615 may also have contributed to the hillslope instability along the non-glaciated parts of the escarpment  
616 and in other regions south of the Devensian limit, such as the Cotswolds. These two additional trigger  
617 factors were investigated using modified slope stability models and the methods and results are briefly  
618 described in the following subsection.

619



620

621 Fig. 9. Plots showing the results of slope stability model sensitivity analysis of key physical factors  
622 for Whitby Mudstone Formation slopes: A) relationship between slope angle and  $FoS$ ; B) glacial-lake  
623 level and  $FoS$ ; and C) relationship between  $FoS$  and regional groundwater level. (A) and (B) assume a  
624 deep-seated non-circular rotational failure mode on a  $43^\circ$  hillslope in horizontally-bedded Whitby  
625 Mudstone Formation mudstone.

626

### 627 7.5. Glacial lake drawdown scenario

628 Kendall (1902) proposed the Esk valley was once flooded by a pro-glacial lake, Lake Eskdale, which  
629 reached a maximum height of 225 m aOD (Kendall, 1902; Radge, 1939; Gregory 1962a,b; Kent et al.,  
630 1980; Murton and Murton, 2011). If this lake really did exist, the lake water would partially filled

631 Great Fryup Dale and the adjacent valleys. The effect of a changing water body level on the stability  
632 of the pre-slip Jurassic Escarpment was modelled by varying the level of the water table in the  
633 stability model.

634 The results, plotted as the relationship between lake level and stability state (FoS) in Fig. 9B, indicate  
635 that a rising lake level would have promoted stability, but that a rapid lowering of the lake level, while  
636 the slope is still in a saturated state, would have promoted instability.

637

#### 638 *7.6. Groundwater rebound scenario*

639 A similar reduction in stability is also achieved by raising the regional groundwater level, so draining  
640 of a pro-glacial lake would probably not have been required to trigger the initial main failure as the  
641 slope was already likely metastable anyway. The climatic amelioration at the start of the Holocene  
642 would have seen re-establishment of the surface drainage network and a rise in regional groundwater  
643 levels. This is evidenced by formation of sapping erosion related landforms such as the Hole of  
644 Horcum which is reputed to have formed shortly after the LGM (Cooper, 2007). To test the sensitivity  
645 of an oversteepened deglaciated slope to rising groundwater levels we back-analysed a Whitby  
646 Mudstone slope using the reconstructed pre-slip hillslope geometry of 43° and peak strength values  
647 (Table 4). The groundwater level was raised in increments of 10 m to simulate rising groundwater  
648 conditions, under long term ‘drained’ effective stress pore water conditions. The model results  
649 summarised in Fig. 9C indicate that a rise in groundwater level significantly reduces the stability of  
650 the Jurassic escarpment slope. The model is also very sensitive to slope angle (Fig 9Aa). The stability  
651 model results from Hobbs and Boon (2013) show that a deep-seated rotational failure mode is  
652 favoured when groundwater level rises above around 303 m aOD. When groundwater level reaches  
653 this elevation the sandstone cap rock (Dogger Formation) would have seemingly become fully  
654 saturated and started to behave like an aquifer by providing water into the landslide prone Whitby  
655 Mudstone Formation unit below and increased its load, hence promoting instability.

656



657 *7.7. Likely trigger mechanisms of UK Jurassic escarpment landslides*

658 The most feasible primary trigger mechanism for the initial Mark Nab landslide is loss of lateral  
659 support due to over steepening of the slope by glacial erosion and de-butressing, although  
660 groundwater rise likely also played a role. Subsequent increases in effective rainfall and stream down-  
661 cutting and erosion likely drove secondary movements, further block disruption, and initiated  
662 subsequent first time failures at the heads of incised valleys. Seismic and rainfall triggering were also  
663 considered, but not modelled. More widely, the combined roles of (i) glacial de-butressing, as  
664 described by McColl and Davies (2013); and (ii) bedding-controlled failure, similar to that described  
665 in SE England by Bromhead and Ibsen (2004), and (iii) regional groundwater rise, likely triggered and  
666 drove landslide activity along glaciated and non-glaciated Jurassic slopes in Britain. In the deep,  
667 supposedly non-glaciated (during the Devensian) incised moorland valleys to the south, such as  
668 Rosedale, it is possible that first-time failures occurred due to over-steepening that occurred during  
669 earlier valley glaciation, and reactivation occurred under rising groundwater level conditions,  
670 although more investigation is needed.

671 Reconstruction of the slope profile at Mark Nab also provides a new estimate of recession rate for the  
672 Jurassic Escarpment in glaciated valleys in Northern Britain through the Holocene; we estimate  
673 between 0.01 and 0.005 m yr<sup>-1</sup> of recession to have occurred since the LGM, mainly controlled by  
674 mass movement processes. This rate assumes the main phase of landslide-driven erosion initiated c.  
675 13,000 yrs BP, at the end of the Late Devensian, although more precise dating of the main failure  
676 would help constrain these estimates.

677

678 *7.8. Current stability state*

679 The residual stability of the majority of relict landslides in the UK is largely unknown, and although  
680 they are generally considered dormant or inactive (Jones and Lee, 1994), they have been known to  
681 reactivate and cause damage (e.g. Fish et al., 2006). Effective assessment of relict slopes requires  
682 appropriate site investigation and a ground model.

683 We used the Galena software package to model the stability state of the ‘present day’ slope using the  
684 current topographic profile determined from the 5-m NextMap, and ‘residual’ shear strength values  
685 for the landslide-prone Whitby Mudstone Formation (Table 4). We also considered sensitivity of the  
686 model to different slip plane geometry and modelled the stability condition using the both the shallow  
687 and deep slip plane geometries proposed in ‘Interpretation 1’ and ‘Interpretation 2’ of Fig. 7 Section  
688 A, respectively.

689 The Sarma non-vertical (multi) slice, non-circular analyses were used for all Galena models. The  
690 ‘residual’ strength value ( $\phi_r$ ) for the Whitby Mudstone Formation was increased from the laboratory  
691 measured value of  $9^\circ$  to  $10^\circ$  to account for the fact that the clay is unlikely to be at fully remoulded  
692 strength at the field scale due to inclusion of lithorelicts, discontinuity roughness effects, and possible  
693 added cohesion provided by secondary crystallisation along ancient inactive shear surfaces.

694 The results of the Interpretation 1 model (involving an ‘upper’ and ‘lower’ slip surface geometry  
695 based on Fig. 7A), indicate the ‘upper’ landslide mass is currently stable ( $FoS = 2.71$ ), and the  
696 separate ‘lower’ slide mass of Interpretation 1 is also stable ( $FoS = 1.42$ ). If we assume the both slip  
697 surfaces are connected and the landslide mass behaves as one coherent mass the slope is also  
698 seemingly stable ( $FoS = 1.85$ ). There was no field-based evidence to suggest the main landslide  
699 masses are currently unstable, other than minor failures along gulley slopes, and the stability  
700 modelling strengthens the notion that the slope as globally ‘dormant’. However, the stability of the  
701 ‘upper’ mass would decrease if the ‘lower’ mass were to move, as the latter provides some clear  
702 buttressing, these interdependencies highlight the dynamic nature of these landslide systems and it  
703 seems likely that complex and delicate physical feedbacks are still in operation within these relict  
704 slopes.

705 In terms of landslide activity state using the terminology of Jones and Lee (1994), the evidence from  
706 the dating of mudflows in Zone III suggests they are ‘Dormant’ rather than ‘Inactive – Ancient’  
707 implying Zone III areas at this site, and similar Zone III type slopes elsewhere in the region, are most  
708 prone to reactivation by extreme environmental conditions such as increased effective rainfall.

709

710 *7.9. Model uncertainty and sensitivity*

711 *7.9.1. Current day slope*

712 There is uncertainty in the ‘current day’ stability model, which relies heavily on assumptions about  
713 the geometry of the slide planes(s). The slide plane geometry is more certain where there is drilling  
714 and geophysics data (Zone I). There is some uncertainty in the ‘post slip–residual’ model input values  
715 (Table 4) which were limited to lab-scale test results so these may not accurately reflect field-scale  
716 values. Although some provision was made for this, by increasing residual strength value slightly  
717 from 9° to 10°, the material and mass strength will likely vary at the field-scale due to a variety of  
718 multi-scale effects and processes, including: variability in clay content and type, re-consolidation  
719 (Gibo et al., 2002), large-scale 3D internal friction effects between landslide blocks (Morgenstern,  
720 1995), external friction effects along mudstone landslide boundaries (e.g. Massey et al., 2013), and  
721 secondary mineralisation increasing shear resistance (adding cohesion). Another source of error in the  
722 stability models is the accuracy of the position of the water table, and the assumption this is static. In  
723 the ‘current day’ slope model the water table is assumed planar with its depth informed by peizometer  
724 data from BH2, although this level is unlikely to be uniform across the slope, as the occurrence of  
725 springs testifies.

726

727 *7.9.2. Pre-slide slope*

728 The pre-slide slope stability models have some major limitations, for example, the pre-slipped slope  
729 profile, groundwater levels and pre-slip slip surface geometry are estimates, constrained by limited  
730 ground monitoring data, as previously discussed. The pre-slide slope stability model is very sensitive  
731 to slope angle, as shown from the sensitivity analysis of slope angle in Fig. 9A. The geotechnical  
732 values (Table 4) are also largely estimates based on values from the literature and some limited shear  
733 strength testing from on-site and nearby site materials. Nevertheless, back-analysis using slope  
734 stability modelling techniques does offer a useful tool to test our understanding of plausible failure  
735 mechanisms in ancient landslides, and informs the current day regional hazard and sensitivity of the

736 system to environmental and anthropogenic perturbations. To reduce the uncertainties in the ground  
737 model, and the stability models developed from it, deep drilling is required with groundwater  
738 monitoring, materials testing, mineralogical studies, and further geophysics (e.g. passive and active  
739 seismic).

740

## 741 **8. Conclusions**

742 A combination of geological, geomorphological, geophysical, dating, and stability modelling  
743 techniques have been applied here to reconstruct the 2D architecture and failure mechanism of a relict  
744 landslide on the Jurassic Escarpment in Northern England. This paper provides the basis for a  
745 preliminary ground model for the Mark Nab slope and the numerous other large, deep-seated bedrock  
746 landslides typically found across the North York Moors region. The study shows that the large slope  
747 failures are typically developed in weak mudstone lithology of the Lower Jurassic mudstones (Whitby  
748 Mudstone Formation) and the principal failure mechanism is geologically controlled by the thickness  
749 of the mudstone and the presence of bedding controlled failures along ‘slide-prone horizons’.  
750 Geomorphological evidence and new radiocarbon dating of sediment provides new understanding of  
751 the landslide movement history, and this knowledge helps inform expert based landslide hazard  
752 assessment in the region. The study includes the first application of the ERT geophysical technique to  
753 image a deep-seated landslide in Jurassic rocks in the Cleveland Basin. The combined approach,  
754 where ERT is calibrated with borehole data and detailed geological mapping, proved crucial in the  
755 assessment of the landslide deposit volume, 3D internal architecture and depth of principal slip  
756 surfaces. Static slope stability modelling (non-dynamic) suggests that the slope most likely initially  
757 failed in response to glacial over steepening and de-buttressing. However, groundwater rise is also a  
758 contributing factor to instability in the region, and may have triggered and reactivated the large  
759 landslides in the adjacent non-glaciated valleys, such as Rosedale. Slope reconstruction provides new  
760 recession rate estimations for the North Yorkshire Jurassic Escarpment. Although currently inactive  
761 and dormant, the frontal mudflow complex at Mark Nab has been active within the last 2700

762 years BP, and this and similar slopes are moderately prone to becoming unstable again under future  
763 wetter climates. These mudflow systems can be easily recognised and mapped using modern high  
764 resolution LiDAR data to improve landslide hazard maps and models. Importantly, this slope and  
765 other similar ones are also vulnerable to renewed instability caused by adverse anthropogenic activity,  
766 drainage alteration or major civil engineering works. The combined geomorphological and  
767 geophysical approach described through this case study will be of interest to hazard geologists and  
768 engineering geologists working on landslide problems in similar geological settings, including other  
769 UK Jurassic escarpments, and overseas. The paper is also relevant to those involved with or planning  
770 landslide hazard and risk studies in high latitude regions currently undergoing active de-glaciation.

771

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783

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