



Impact of Pancreatic Autoantibodies in Pancreas Graft Survival After Pancreas-Kidney Transplantation

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ABSTRACT

Background. In simultaneous pancreas-kidney transplantation (SPKT), persistence or recurrence of pancreatic autoantibodies (PAs) has been associated with pancreas graft (PG) autoimmune-driven injury. Our aim was to analyze the impact of PAs on PG survival.

Methods. Between January 1, 2000, and December 31, 2017, we studied 139 patients with post-SPKT anti-glutamic acid decarboxylase (GAD) autoantibody. Alloimmune (ALI) events were defined as PG rejection and/or de novo donor-specific antibodies (DSA). Hence, 3 groups were defined: patients without ALI events or anti-GAD ($n = 42$), those with ALI events ($n = 14$), or those only with autoimmune events (positive for anti-GAD and no ALI events; $n = 83$).

Results. Male sex was predominant ($n = 72$, 52%). Median age was 35 years (interquartile range: 31-39) and median follow-up was 6-7 years (interquartile range: 4.1-9.2). Regarding anti-GAD positivity post-SPKT ($n = 90$, 65%), no differences were observed concerning age, sex, anti-HLA antibodies, HLA mismatch number and de novo DSA. ALI events were present in 10% ($n = 14$). PG survival 15 years post-SPKT was better in patients without immune events (96%) followed by those with ALI (69%) and autoimmune events (63%) ($P = .025$). Anti-GAD was associated to higher annualized mean Hb1AC ($P = .006$) and lower mean C-peptide ($P = .013$). According to pre- and post-SPKT anti-GAD status, conversion from negative to positive was associated to worse (63%) 10-year PG survival ($P = .044$), compared to persistence of negative (100%) or positive anti-GAD (88%). Anti-islet cell and anti-insulin autoantibodies had no impact.

Conclusion. Anti-GAD presence post-SPKT was associated to higher pancreas disfunction and lower PG survival. De novo anti-GAD seems to offer a particular risk of PG failure.

GROWING evidence in the last 2 decades has been consolidating the concept that insulin-dependent diabetes mellitus (IDDM) is a chronic, destructive organ-specific autoimmune (AI) disorder. Sutherland et al [1] documented IDDM recurrence in pancreas transplant back in 1984. The first described cases were from HLA identical brothers with minimal immunosuppression [2]. These observations led to the hypothesis that recurrence of disease (AI isletitis leading to diabetes) was a major histocompatibility complex-restricted phenomenon.

Consecutive studies demonstrated that AI recurrence can occur independently of HLA match [3] and regardless of adequate immunosuppression [4]. Nevertheless, improved immunosuppression decreased the incidence of immunologic failures of whole pancreas grafts (PGs), a process

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usually categorized as chronic rejection. Growing evidence indicates that chronic islet autoimmunity may eventually lead to recurrent diabetes and PG failure. It is reported that recurrent IDDM explains 50% of the immunologic failures, while the other 50% is attributed to chronic graft rejection [5].

IDDM recurrence is caused by the presence of inflammatory T-cell infiltrate targeting beta cells (insulinitis) and sparing exocrine tissue, with no evidence of acute or chronic graft rejection. The presence of pancreatic autoantibodies (PAs), anti-islet cell (ICA), anti-glutamic acid decarboxylase (GAD), anti-insulin autoantibodies (IAA), anti-tyrosine phosphatase (IA2) and anti-tyrosine phosphatase (anti-ZnT8) is currently used as a noninvasive screening tool for follow-up (FU) of pancreas transplant, assuming that recurrence or rise of these autoantibodies titers are potential indicators of IDDM recurrence. It is unknown if these antibodies have a pathogenic action per se or are simply the result of a pancreatic lesion [6]. Nevertheless, there is undoubtedly an association between the presence of PAs and pancreas beta cells dysfunction after SPKT [7,8], being the majority of these antibodies detected in patients with biopsy specimens confirming AI recurrence. Positive PAs after simultaneous pancreas-kidney transplantation (SPKT) may never occur or it may be intermittent or persistent. Unfortunately, the real influence of PAs in PG dysfunction and survival is still controversial. Some authors have observed the association between recurrence of PA and poor pancreas survival [9,10], while others did not find a relationship [11,12].

This study provides a thorough analysis of the PAs and their impact on recurrent autoimmunity and PG survival.

METHODS

All 211 consecutive adult patients who received a SPKT between January 2000 and December 2017 with more than 6 months of FU were investigated. All SPKT were performed at Renal and Pancreatic Units of Centro Hospitalar Universitário Porto, Portugal. Patients received induction therapy using a polyclonal antithymocyte globulin, 3 mg/kg for 5 to 7 days, and had similar triple maintenance immunosuppression, consisting of oral tacrolimus, mycophenolate mofetil and prednisolone. Moreover, cytomegalovirus prophylaxis was always performed for at least 6 months with oral valganciclovir. In terms of surgical technique, pancreas transplants were performed using a systemic-enteric drainage.

At initial evaluation, 72 patients were excluded as they had no consistent anti-GAD assessment post-SPKT. Hence, the final study cohort comprised the remaining 139 SPKT patients. All patients were followed from time of transplant until death or graft failure until December 31, 2018. Death-censored PG survival was determined from the time of SPKT until pancreas failure, patient death, or end of FU.

Blood samples were systematically collected to prospectively measure PAs. Screening was performed during the pretransplant evaluation; on admission day or in the early days thereafter; after transplantation at 6 months, 12 months; and then once per year after SPKT. During FU, a median of 4 screening samples (range, 2-6) per patient were performed. Anti-GAD were measured using the

radio-ligand assay CentAK anti-GAD65 (MEDIPAN GMBH, Berlin, Germany). ICA were determined by enzyme-linked immunosorbent assay test, using the Isletest-ICA (BIOMERICA, Irvine, CA, United States). IAA were measured using the radioimmunoassay RiaRSRIAA (RSR Limited, Cardiff, United Kingdom). Patients were considered positive for PA when anti-GAD antibodies were >1.45 U/mL, ICA >1.05 , and IAA >0.4 U/mL. The normal range for glycated hemoglobin (HbA1c) was 3.8% to 5.6% (18-38 mmol/mol) and for C-peptide 1.1 ng/mL to 4.4 ng/mL. Continuous data were described using mean and standard deviation (SD) or median and interquartile range (IQR), and categorical data were expressed as number (and percentages). Categorical data including demographic, clinical, and immunologic features were compared using Pearson χ^2 test or the Fisher exact test, as appropriate. Continuous variables were compared with Student *t* test or Mann-Whitney *U* test, as appropriate. Annualized mean HbA1c and C-peptide values were assessed by univariate linear mixed regression model that imputed subject-specific random effects (no intercept and slope time in years) on an unstructured covariance matrix. The dependent variable was all HbA1c and C-peptide measurements, and the independent variable (anti-GAD post-SPKT status) was entered as 2-way interaction term between them and the time (in years) variable. Graft survival curves were done using Kaplan-Meier method and compared by log-rank test. A two-sided *P* value $< .05$ was considered as statistically significant. Statistical calculations were performed using STATA/MP, version 15.1 (Stata Corp, College Station, TX, United States).

RESULTS

Study Population

Studied population median FU was 6 to 7 years (4.1-9.2). The incidence of rejection and/or de novo donor-specific antibody (DSA) was 10% ($n = 14$). Seven patients died. PG loss occurred in 16 patients.

Autoimmunity: Prospective Analysis of Pancreatic Antibodies

Of the 139 SPKT patients, 19% were positive for anti-GAD antibodies before transplantation; 11% were ICA-positive; and 24% were IAA-positive. IAA ($P = .470$) and ICA ($P = .945$) did not reach significant difference concerning PG survival. Anti-GAD was the only antibody that showed impact on PG outcomes ($P = .001$). Consequently, the patients were separated into 2 groups based on the presence ($n = 90$) or absence ($n = 49$) of anti-GAD after SPKT. Comparison of baseline characteristics of global cohort and according to anti-GAD status post-SPKT is presented in Table 1.

Anti-GAD first detection timing ranged from 1 to 3 years post SPKT (median 1 year). Most anti-GAD+ ($n = 47$, 52%) were detectable in the first year of FU screening. Anti-GAD antibodies were detected post-transplant in 90 (65%) patients. There were no significant differences between the 2 groups concerning donor/receptor age, IDDM, length of stay, presence of de novo DSA, number of anti-HLA, and type/number of mismatches. A trend toward a lower body mass index ($P = .046$) in anti-GAD+ patients was also noticeable. Comparison of annualized mean HbA1c and C-peptide levels according to anti-GAD

Table 1. Baseline Characteristics According to Recipient, Transplant, and Donor

	Total (N = 139)*	Anti-GAD+ (n = 49)	Anti-GAD- (n = 90)	P Value
Baseline				
Recipient				
Age, y, median (IQR)	35 (31-39)	35 (31-41)	35 (31-39)	.465
Female sex, n (%)	67 (48)	24 (49)	43 (48)	.892
Years of IDDM, median (IQR)	24 (20-28)	24 (20-30)	23 (20-27)	.199
Days of stay, median (IQR)	15 (12-24)	16 (12-22)	15 (12-24)	.730
BMI, median (IQR)	21.9 (20.5-23.7)	22.6 (21.1-24.5)	21.6 (20.3-23.1)	.046
Transplant				
A HLA mismatch, mean ± SD	1.29 ± 0.60	1.31 ± 0.62	1.28 ± 0.60	.765
B HLA mismatch, mean ± SD	1.64 ± 0.51	1.65 ± 0.52	1.63 ± 0.51	.765
DR HLA mismatch, mean ± SD	1.42 ± 0.61	1.41 ± 0.61	1.42 ± 0.62	.867
Total HLA mismatch, mean ± SD	4.35 ± 1.04	4.37 ± 1.11	4.33 ± 1.01	.643
Anti-HLA antibodies, n (%)	22 (16)	9 (18)	13 (14)	.545
Preformed DSA, n (%)	11 (8)	6 (12)	5 (6)	.163
Pre-SPKT anti-GAD, n (%)				.001
Negative	57 (41)	29 (59)	28 (31)	
Positive	27 (19)	3 (6)	24 (27)	
Unknown	55 (40)	17 (35)	38 (42)	
Pre-SPKT anti-ICA, n (%)				.470
Negative	70 (50)	28 (57)	42 (47)	
Positive	15 (11)	4 (8)	11 (12)	
Unknown	54 (39)	17 (35)	37 (41)	
Pre-SPKT anti-IAA, n (%)				.945
Negative	13 (9)	5 (10)	8 (9)	
Positive	33 (24)	11 (22)	22 (24)	
Unknown	93 (67)	33 (67)	60 (67)	
Donor				
Age, y, median (IQR)	28 (21-38)	26 (21-38)	28 (21-38)	.798

Abbreviations: Anti-GAD, anti-glutamic acid decarboxylase antibody; anti-IAA, anti-insulin antibody; anti-ICA, anti-islet cell antibody; BMI, body mass index; DSA, donor-specific antibody; HLA, human leukocyte antigen; IDDM, insulin-dependent diabetes mellitus; IQR, interquartile range; SPKT, simultaneous pancreas-kidney transplant.

*N = 139, after exclusion of patients without anti-GAD evaluation post-SPKT.

antibody status is presented in [Table 2](#). Interestingly, an annualized mean higher HbA1c ($P = .006$) and lower C-peptide levels ($P = .013$) was evident in anti-GAD+ group.

Regarding pre- vs post-SPKT anti-GAD assessment (available for 84 recipients in whom pre-SPKT anti-GAD status was known), 28 converted from negative to positive status, 27 persisted as positive, and 59 were negative, while 3 became negative post-SPKT ($P = .001$).

Clinical outcomes after transplantation are detailed in [Table 3](#). Alloimmune (ALI) events (pancreas rejection and/or de novo DSA) occurred in 14 (10%) patients with no differences between anti-GAD+ and anti-GAD- groups ($P = .223$). Still regarding ALI events, no significance difference was observed in anti-GAD+ and anti-GAD- patients ($P = .419$) concerning death-censored PG survival.

Patient death occurred in 5 (6%) anti-GAD+ and 2 (4%) anti-GAD- recipients ($P > .999$).

Auto-immunity: Clinical Associations and Survival

Forty-two patients showed no ALI events nor anti-GAD antibody positivity, 14 presented with ALI events, and 83 patients revealed AI events (positive for anti-GAD and no ALI events). At 15-year FU, pancreas survival was better in patients with no immune events (96%) followed by ALI (69%) and AI events (63%) ($P = .002$ for ALI and $P = .040$ for AI events in comparison with no immune events) ([Fig 1](#)). No survival difference was found between patients with AI and ALI events ($P = .192$).

For the analysis of the impact of pre- vs post-SPKT anti-GAD status on PG survival, we analyzed 74 recipients

Table 2. Annualized Mean of HbA1C and C-peptide in Anti-GAD Positive and Negative Groups

	Annualized Mean of HbA1c (95% CI)	Difference (95% CI)	P Value	Annualized Mean of C-peptide (95% CI)	Difference (95% CI)	P Value
Anti-GAD			.006			.013
Negative	5.31 (5.18-5.44)	Ref.		2.91 (2.72-3.11)	Ref.	
Positive	5.53 (5.44-5.62)	0.22 (0.06-0.38)		2.61 (2.48-2.74)	-0.30 (-0.54 to -0.06)	

Abbreviations: Anti-GAD, anti-glutamic acid decarboxylase antibody; CI, confidence interval; HbA1c, glycated hemoglobin.

Table 3. Clinical Outcomes After Simultaneous Pancreas-Kidney Transplant

	Total (N = 139)	Anti-GAD+ (n = 49)	Anti-GAD- (n = 90)	P Value
Patient and graft outcomes				
Alloimmune events, n (%)	14 (10)	7 (14)	7 (8)	.223
Death-censored pancreas graft failure, n (%)	16 (12)	4 (8)	12 (13)	.419
Patient deaths, n (%)	7 (5)	2 (4)	5 (6)	>.999

Abbreviations: Anti-GAD, anti-glutamic acid decarboxylase antibody.

after exclusion of 55 with no pre-SPKT anti-GAD evaluation, 14 with AI events and a small group of 3 patients with conversion from positive to negative anti-GAD status. Our final sample was then classified into 3 different groups according to pre/post SPKT anti-GAD status (negative/negative, n = 27; positive/positive, n = 22; negative/positive, n = 25). Figure 2 shows PG survival by anti-GAD status pre-SPKT and post-SPKT. At 10-year FU (Fig 2), persistent negative, persistent positive, and converted (from negative to positive) recipients showed respectively 100%, 88%, and 63% PG survival (P = .044). In pairwise comparisons, only the difference in survival between persistent negative and converted recipients was significant (P = .038).

DISCUSSION

Our study about post-SPKT PAs and their relationship with pancreatic graft outcome has a considerable FU time (median of 6 to 7 years) similar to other published cohorts [13,14].

Many SPKT patients have undetectable titers of PAs; others switch from positive to negative titers of antibodies,

others maintain stable levels; while others convert from negative to positive. Again, like other studies [5,9,10], we report persistence, disappearance, and reappearance of PAs. In our study, no significant association was observed between post-SPKT ICA and IAA positivity and PG survival. Importantly, the same was not the case for anti-GAD detection post-SPKT, which correlated with decreased PG survival, particularly if the patient had a conversion from negative to positive after transplant.

A recent study found that approximately 7% to 8% of all recipients will develop IDDM recurrence, particularly those carrying HLA DR3/DR4 genotype and sharing HLA-DR alleles with the donor [13,15], but other studies did not find this association significant [14]. Similar to this last report, we were not able to discern risk factors for anti-GAD positivity such as HLA mismatch.

Our study observed lower C-peptide levels and higher mean of HbA1C% in the positive anti-GAD group. This is consistent with a previous study in which a less favorable glycemic control with a leaning to HbA1C% values higher than 5,3% was observed in patients who developed auto-antibody positivity [14]. The association between pancreas autoimmunity and HbA1C% and C-peptide directs our attention to the possible role of PAs in monitoring pancreas AI activity. Thus, this suggests that AI should be included in the diagnostic workup of graft failure and ideally should be routinely assessed pretransplant and on FU.

Long-term graft loss may occur because of many factors, including ALI responses (rejection) and recurrence of autoimmunity. In our study, ALI events were present in 14 patients, and AI events (anti-GAD+ but no ALI events) were found in more than 50% of our cohort (n = 83 patients). Although our study showed worse PG survival for

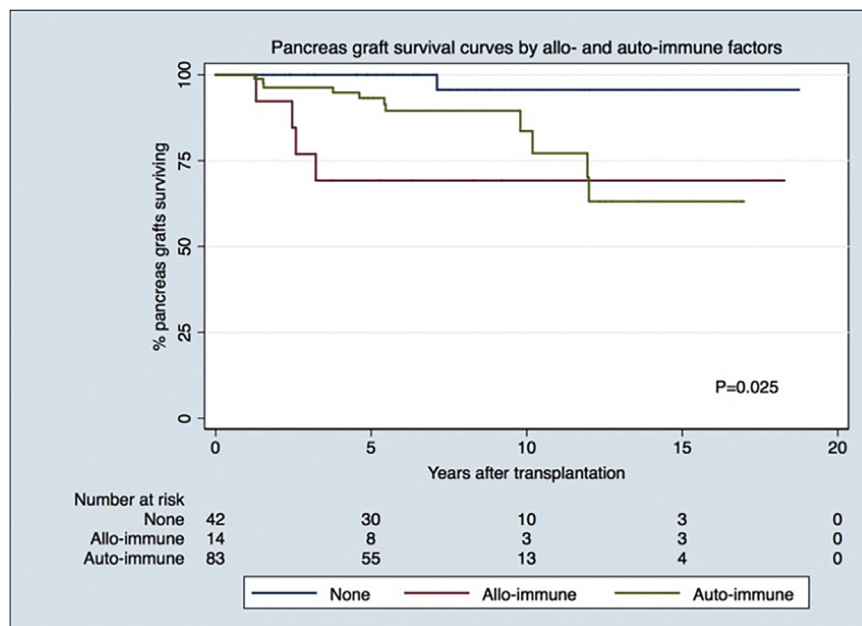


Fig 1. Pancreas graft survival by alloimmune and autoimmune factors.

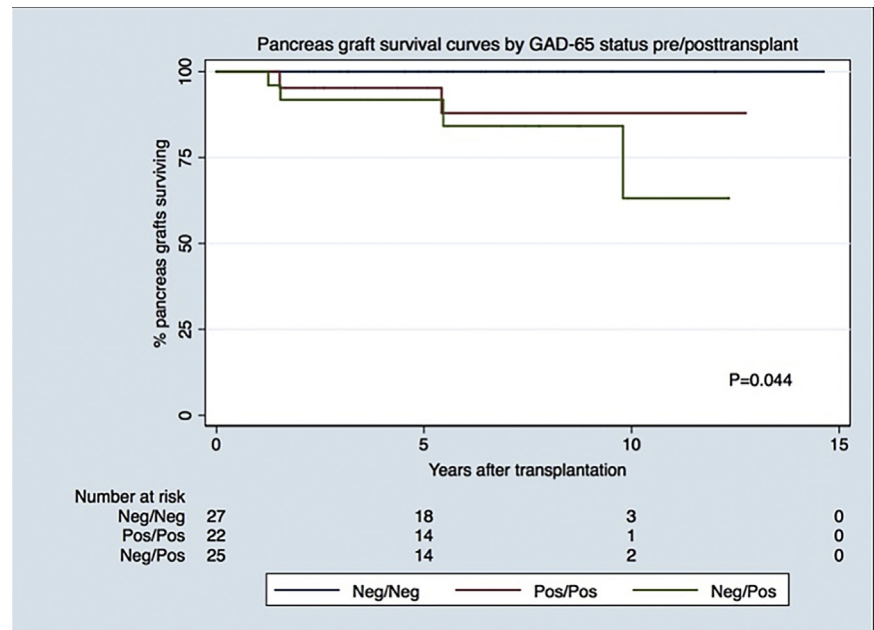


Fig 2. Pancreas graft survival by anti-glutamic acid decarboxylase status pre- and post-simultaneous pancreas-kidney transplantation.

those patients who developed AI or ALI events, no significant differences between them was found. It seems that, despite advances in immunosuppression that prevent rejection, AI and ALI events continue to have a negative impact on PG survival on the long-term.

A key finding, which is confirmed in our study and that extends earlier studies is that autoantibody conversion, as opposed to persistence or negativity, is associated with a high risk of developing IDDM [5,10,15]. Although association between PAs and beta cell destruction seems to be more consistent with anti-GAD antibody in the literature [7], this topic continues to be controversial. In fact, Assalino et al [16] reported a case of confirmed autoimmunity recurrence in which a patient's PAs (GAD-65, IA-2) never converted to positive levels. The real significance and impact of the antibodies on long-term PG survival are still unclear. Our study appears to support findings of other previous published works [7,10,17], in which an association occurs between the recurrence of PAs and poor pancreas survival. At 10-year FU, converted (from negative to positive) anti-GAD recipients showed a 63% survival compared with those with persistent negative anti-GAD, who revealed a 100% survival rate. Persistence of anti-GAD positivity did not confer poorer graft survival when comparing with no anti-GAD status pre- and post-transplant.

We recognize that this study has some limitations. First, the high number of missing values of pancreatic antibodies before SPKT hampers a stronger observation of the effect on PG survival of persistence vs conversion anti-GAD status. Second, no information about compliance

with immunosuppression was available. Last, the absence of histologic confirmation of AI-mediated PG injury phenotype in association with autoantibodies (re-)emergence prevents us from making any causation assumption.

It is believed that pancreatic lesion due to the AI process may be the consequence of the action of complement-activating antibodies targeted at the epitopes of the beta cells or also the result of the lytic action of cytotoxic T lymphocytes or even the sum of the immunologic response components [18]. It is also known that pathogenic auto reactive CD4 T-cells are associated to B-cell destruction and IDDM recurrence [19]. Further studies will help determine whether assessment of auto-reactive T-cells improves autoantibody prediction. Unfortunately, so far, no current therapeutic regimen has controlled the progression of islet autoimmunity, even when additional immunosuppression was added to the ongoing chronic regimens [15]. The development of new treatment regimens aiming to control autoimmunity is needed, as this may not be effectively suppressed by conventional immunosuppression. Nevertheless, only new and efficacious therapeutic strategies would clearly change the adverse prognosis associated with autoimmunity recurrence.

CONCLUSION

In conclusion, we consider that our results demonstrate a strong association between post-SPKT anti-GAD antibody detection, PG worse function, and lower survival in SPKT, with this latter effect being particularly noticeable in cases of de novo emergence of this autoantibody after SPKT.

Hence, longitudinal anti-GAD antibody pattern may improve the clinical management of SPKT recipients, signaling those cases with a higher risk of AI-driven PG lost.

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