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Ana Sofia Parente Meixedo

Novas opções de diagnóstico e tratamento da depressão direcionadas à
composição da microbiota gastrointestinal / Targeting gastrointestinal
microbiota as new diagnostic and treatment options in depression

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Novas opções de diagnóstico e tratamento da depressão direcionadas à composição da microbiota gastrointestinal / Targeting gastrointestinal microbiota as new diagnostic and treatment options in depression

ORIENTADOR

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TARGETING GASTROINTESTINAL MICROBIOTA AS NEW DIAGNOSTIC AND TREATMENT OPTIONS IN DEPRESSION

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1 **TARGETING GASTROINTESTINAL MICROBIOTA AS NEW DIAGNOSTIC AND**
2 **TREATMENT OPTIONS IN DEPRESSION**

3 ABSTRACT

4 **Background/Objective:** Depression has been increasingly recognized as a prevalent
5 public health problem. In order to improve the disease's diagnostic and treatment options,
6 the genetic and environmental factors that contribute to depression pathogenesis must be
7 further understood - a factor recently considered to be heavily influential is the composition
8 of the intestinal microbiome. This narrative review aims to expose the current state of
9 knowledge on the relationship between Gastrointestinal Microbiome and Depression and
10 to outline the existing scientific evidence about the effects of probiotics, prebiotics and
11 antibiotics supplementation in depression.

12 **Methods:** Using PubMed as the database, a research was conducted targeting articles
13 written in English about how Gastrointestinal Microbiome relates with Depression.

14 **Results:** The gastrointestinal microbiome can affect the neuronal function through
15 neurotransmitters, vitamins and neuroactive metabolites via the microbiota-gut-brain axis.
16 Recent studies have pointed out a noticeable difference in the intestinal microbiota
17 composition of patients with depressive disorder. Additionally, clinical trials have
18 demonstrated that the supplementation of probiotics containing specific bacteria may
19 influence the body's response to stress and may regulate some depressive and anxiety
20 symptoms in humans. However, some studies do not support this evidence, stating that
21 probiotics do not influence psychiatric pathology in any way.

22 **Conclusion:** Targeting microbiota composition as a diagnostic and treatment option in
23 depression is an appealing possibility however the knowledge available today is not
24 enough to apply those tools with certainty in clinical practice. Future studies are necessary
25 for it to become a fully approved reality in psychiatry.

27 INTRODUCTION

28 Depression has severe implications on affected individuals' quality of life, being
29 increasingly recognized as a public health problem. Depressive disorders are
30 characterized by episodes of low mood and/or reduced interest in activities previously
31 enjoyed, accompanied by physical and psychological changes. Often depression emerges
32 in adolescence and continuing through adult life, suggesting a chronic condition with a
33 lifelong course. It is the single most common diagnosis in psychiatry - one third of all
34 psychiatric patients are depressed - and the main cause of disability in the world [1].
35 Therapeutic options mainly include antidepressants and psychotherapy but unfortunately
36 one-third of major depression patients responds poorly to standard treatment and may
37 become resistant [2].

38 Describing depression's pathogenesis beyond the acknowledged importance of genetic
39 and environmental factors is a controversial and complex task. In fact, the first known
40 antidepressant is actually an antibiotic, Isoniazid, which was developed as an anti-
41 tuberculous drug but surprisingly showed positive side effects like euphoria,
42 psychostimulation, increased appetite and improved sleep quality [3]. Interestingly, recent
43 studies have pointed out another connection between a biologically related factor and
44 depression - when compared to healthy individuals, patients with depressive disorder
45 display noticeable differences in their intestinal microbiota composition. Furthermore,
46 published works on animal models have shown that specific probiotic supplements can
47 influence their behavior. This is possibly explained by the intestinal microbiome's influence
48 on biological functions such as brain development, endocrine pathways, stress response
49 and immune system maturation. A comprehensive understanding of this link may lead not
50 only to new diagnostic tools but also to the emergence of new therapeutic options [4][1][5].

51 **METHODS**

52 Using PubMed as the database, a research was conducted targeting articles written in
53 English and published during the past 5 years about the association between the human
54 gastrointestinal microbiome and depression. The following query was used:

55 "Gastrointestinal Microbiome"[Mesh] AND "Depression"[Mesh] AND ("2014/12/06"[PDat] :
56 "2019/12/04"[PDat] AND "humans"[MeSH Terms] AND English[lang])". The last date of
57 research was 04/12/2019.

58 The database returned a total of 52 articles. 3 were excluded for not being available for
59 online reading. 7 articles were excluded after reading the title and abstract for not being
60 related to the theme.

61 The reading of the remaining 42 articles revealed that 9 provided redundant, non-relevant
62 or very incomplete information which was better described in other studies. The remaining
63 33 articles revealed 27 more with relevant information. As result, 60 articles were included
64 in this review.

65 **WHAT IS INTESTINAL MICROBIOTA?**

66 Intestinal microbiota represents a symbiotic bionetwork that preserves the homeostatic
67 balance of the human body [6]. It consists of up to 10^{18} microorganisms including bacteria,
68 viruses, yeasts and fungi. The gut microbiome holds around 150 times more single genes
69 than the human genome and can therefore be designated as a 'metabolic organ' with
70 functions that the human body cannot execute [7].

71 The constitution of the intestinal microbiota's composition begins in utero (bacteria can be
72 found in amniotic fluid, placenta and meconium) and is afterwards influenced by the
73 delivery method - infants born by vaginal birth are firstly exposed to maternal vaginal and
74 fecal microorganisms while those born by cesarean section contact with their mother's skin
75 and hospital environment. During the first months of life the composition of the infant's gut
76 microbiota remains unstable until the child begins to eat solid food, around the time the

77 microbiome begins to resemble that of an adult [8]. Inter-individual microbiota differences
78 in healthy adults are significant but generally the Firmicutes (species such as
79 *Lactobacillus*, *Clostridium*, *Enterococcus*) and Bacteroidetes (species such as
80 *Bacteroides*) phyla are represented in bigger quantities [9] while Proteobacteria,
81 Actinobacteria, Fusobacteria, Archea and Verrucomicrobia phyla are represented in
82 smaller quantities [7]. In adulthood, the major determinant of microbiota's composition is
83 diet - a high fiber and diverse diet is associated with high microbial diversity while a
84 processed food diet is associated with limited variety. Regular aerobic exercise also
85 promotes diversity. Drugs such as antibiotics affect microbial variety and can induce
86 dysbiosis [10].

87 **HOW TO STUDY HUMAN MICROBIOTA?**

88 80% of the human intestinal microorganisms are not culturable so, in order to correctly
89 analyze the bacterial diversity, methods that are culture-independent such as
90 deoxyribonucleic acid (DNA) library, real-time quantitative polymerase chain reaction,
91 denaturing gradient gel electrophoresis [6] and specially 16S Ribosomal Ribonucleic Acid
92 (RNA) Sequencing - due to its low-cost and high-throughput sequencing instruments- have
93 been used and are currently being further studied [11].

94 **GUT MICROBIOME AND DEPRESSION**

95

96 **MICROBIOTA-GUT-BRAIN AXIS**

97 Since patients with depression frequently have appetite disorders, metabolic disturbances,
98 functional intestinal disorders and intestinal microbiota deviations [12], a causal relation
99 between microbiome dynamics and depression is a valid scientific hypothesis.
100 Furthermore, the intestinal microbiome is an increasingly recognized brain-contouring
101 factor, acting through a biochemical signaling mechanism known as the microbiota-gut-

102 brain axis capable of affecting the neuronal function directly or indirectly through
103 neurotransmitters, vitamins and neuroactive metabolites [8].

104 Major depression is frequently associated with chronic inflammatory comorbidities such as
105 inflammatory bowel disease [13][14], rheumatoid arthritis, coronary heart disease and
106 multiple sclerosis, which sustains the idea of its association with persistent low grade
107 inflammation [15]. The cytokine hypothesis postulates that proinflammatory cytokines (IL-6
108 and TNF- α) are increased in depression, inhibiting the negative feedback of the
109 hypothalamic–pituitary–adrenal axis, increasing the permeability of the blood–brain barrier,
110 reducing the synthesis of serotonin and disturbing the glutamatergic systems, all
111 contributing to depression genesis. On the other hand, anti-inflammatory cytokines (IL-10
112 and TGF- β) are decreased [12]. In fact, researchers have found that conventional anti-
113 inflammatory agents have significant antidepressant effects and infliximab has even been
114 shown to be effective in treating resistant depression with elevated C-Reactive Protein
115 (CRP) levels [16][15], being CRP suggested as the strongest predictor of reduced
116 cognitive empathy [17]. Additionally, conventional antidepressants such as Selective
117 serotonin reuptake inhibitors (SSRIs) could have anti-inflammatory properties by affecting
118 cytokines production [16][15]. The concept of “leaky gut” can help explain the link between
119 how the penetration of bacteria outside the intestinal lumen may lead to systemic
120 inflammation and subsequently play a part in the pathophysiology of depression as the
121 loss of integrity between epithelial cells promotes the translocation of bacteria through the
122 intestinal barrier and into the circulatory system [5]. In fact, Bruce R Stevens *et al.* highlight
123 that gut can be considered a different target for depression and anxiety management since
124 their study showed that there’s a correlation between human gut dysbiosis and the
125 tripartite consensus clustering of zonulin, intestinal fatty acid-binding protein-2 and
126 lipopolysaccharide plasma biomarkers of increased gut permeability in individuals with
127 depression and anxiety disorders versus healthy controls [18].

128 It has also been demonstrated that intestinal bacteria are capable of synthesizing most of
129 the main human brain neurotransmitters. Special relevance should be given to the
130 Gamma-aminobutyric acid (GABA) production by Bacteroides, Parabacteroides and
131 Escherichia species which, via vagus nerve transmission, acts in various brain regions
132 [10][19][20]. Strandwitz *et al.* sought to further explore this modulation and determine
133 whether Bacteroides population numbers, thought to be the major bacterial producer of
134 GABA in the human intestine, could be associated with clinically diagnosed major
135 depressive disorder. The study found that a relative abundance of Bacteroides levels in
136 fecal samples was negatively correlated with brain features associated with depression
137 [21]. In contrast, Naseribafrouei *et al.* reported that in depressed patients there was an
138 overrepresentation of the Bacteroidales order, which contains the Bacteroides family, and
139 the Oscillibacter genus, which produces valeric acid that resembles GABA [22].

140 Another neurotransmitter known to be highly involved in depression genesis is serotonin.
141 As a matter of fact, the intestinal tract is the human body region where serotonin is found
142 in highest concentrations due to it playing a vital role in intestinal secretion, motility and
143 pain perception regulation [23]. Remarkably, serotonin can be not only produced by
144 Candida, Streptococcus, Escherichia and Enterococcus species in the gut but also get its
145 endogenous biosynthesis modulated by the microbiota since bacterial metabolic activity
146 produces short fatty acids that can stimulate the production of neuroactive molecules such
147 as histamine and serotonin [19][10]. It has actually been demonstrated that, in patients
148 with depression, acetic acid and propionic acid are lowered, isocaproic acid is increased
149 and that there is a negative correlation between acetate, propionate and Beck's
150 depression inventory [24]. In addition to this, Szczesniak *et al.* found statistically significant
151 correlations between depression and isovaleric acid in a cohort of 34 depressed patients
152 [25]. Moreover, it has also been shown that bifidobacteria within the intestine can
153 synthesize the serotonin precursor tryptophan and that administering this type of bacteria
154 is associated with increased plasma levels of this molecule [10].

155 On a more specific level, recent data provided evidence that *Lactobacillus helveticus*
156 R0052 affects the functioning of central nervous system neurons in the hippocampus and
157 amygdala, *Lactococcus lactis* subspecies *cremoris* H61 modulates the activity of auditory
158 brain stem neurons and *Lactobacillus reuteri* is implicated in the function of intestinal
159 visceral nociceptive neurons [8].

160 **MICROBIOME VARIATIONS IN DEPRESSION**

161 Research on how microbiota changes relate to mood disorders in humans is still very poor
162 in comparison to animal-models. Nevertheless, some valid studies have been published.

163 As mentioned above, Naseribafrouei *et al.*, after analyzing fecal samples from 37 patients
164 with depression and 18 healthy controls, concluded that the Bacteroidales order was
165 overrepresented, while the Lachnospiraceae family was underrepresentation in depressed
166 patients [22].

167 Jiang *et al.* case-control study with 46 patients with major depressive disorder and 30
168 healthy controls showed that in depressed individuals the levels of Bacteroidetes,
169 Proteobacteria, and Actinobacteria were increased, whereas the levels of Firmicutes was
170 reduced. In addition, a negative correlation was observed between *Faecalibacterium* levels
171 and the severity of depressive symptoms [26].

172 Kelly *et al.* investigation reported that patients with major depressive disorder had an high
173 abundance of Thermoanaerobacteraceae family and *Anaerofilum*, *Eggerthella*, *Gelria*,
174 *Holdemania*, *Paraprevotella* and *Turicibacter* genus. However, lower numbers of
175 *Prevotellaceae* family and *Dialister* and *Prevotella* genus were observed [9].

176 Lin *et al.* case-control study with 60 patients showed that more phylum Firmicutes, less
177 Bacteroidetes and more genus *Prevotella*, *Klebsiella*, *Streptococcus* and *Clostridium* XI
178 were found in major depressive disorder patients. The changes of the proportion of
179 *Prevotella* and *Klebsiella* were consistent with Hamilton depression rating scale [6].

180 Valles-Colomer *et al.* concluded in a study with 1,054 subjects that Faecalibacterium and
181 Coprococcus bacteria were associated with higher quality of life indicators and that
182 Dialister and Coprococcus were depleted in depression even after confounding effects of
183 antidepressants were corrected. Depression diagnosis corresponded to higher prevalence
184 of Bacteroides enterotype 2 samples [23].

185 Zheng *et al.* case-control clinical sampling demonstrated an abundance of Actinobacteria
186 and lower levels of Bacteroidetes in depressed subjects. Firmicutes levels were useful to
187 discriminate patients from healthy controls. However, no significant difference in the
188 overall relative abundance between cases and controls was found - some members of the
189 Firmicutes were augmented in patients while others were reduced [27].

190 Aizawa *et al.* case-control study with 43 patients with major depressive disorder and 57
191 healthy controls showed a significantly lower abundance of Bifidobacterium and
192 Lactobacillus counts in fecal samples in the patients than the controls. However, the
193 authors warn that the findings should be interpreted with caution once variations
194 depending on sex, diet and medication were not fully taken into account in the analysis
195 [28].

196 Chen JJ *et al.* case-control trial conducted to establish the sex differences in gut
197 microbiota in patients with major depressive disease revealed that Bacteroidetes level was
198 significantly decreased in male patients and the Actinobacteria level was significantly
199 increased in female patients [29].

200 Kleimanet *et al.* found no significant associations between composition and diversity of
201 gastrointestinal microbial markers and anxiety, depression, eating-related thoughts and
202 behaviors, stress or personality scores in a large cohort of healthy adult females [30].

203 Ishii W. *et al.* investigated the intestinal microbiota and its impact on mental health of
204 children with orthostatic intolerance. The mean proportion of Clostridium subcluster XIVa

205 and/or Enterobacteriaceae in patients was significantly higher than that in controls. Among
206 patients, Bifidobacterium was lower in the depression group than in the non-depression
207 group. Nevertheless, depression and anxiety showed no correlation with bacterial diversity
208 [31].

209 **PROBIOTICS**

210 Probiotics are live microorganisms that, when ingested in adequate amounts, might confer
211 health benefits to the host by interacting with his microbiota. Mechanisms revolve around
212 restoring intestinal permeability by improving mucosal barrier function [32], competing with
213 pathogenic bacteria for receptors on the surface of the intestinal epithelium [5] and
214 reducing circulating levels of pro-inflammatory markers. Additionally, these probiotics are
215 capable of neuroactive substance production and distribution, such as gamma-
216 aminobutyric acid and serotonin that act across the brain-gut axis [33][34]. In fact,
217 probiotics might also be able to beneficially manipulate the gut's production of peptides
218 and hormones in a way that cannot be replicated by these compound exogenous
219 administration [35]. They can also reduce the levels of cortisol and increase levels of
220 oxytocin [33][34].

221 Through their effects on obesity, diabetes and other metabolic complications it is
222 speculated that probiotics may improve depressive symptoms [36][37]. Evidences from
223 animal and human studies have shown that some probiotics can reduce depression,
224 anxiety, stress and improve cognition, raising discussion over a new class of compounds
225 known as "psychobiotics" [34]. Clinical trials have been conducted to clarify the benefit of
226 implementing probiotic treatment in patients with depression.

227 **EVIDENCE OF PROBIOTIC USE IN DEPRESSION**

228 Benton *et al.* double-blind placebo-controlled trial with random allocation of 132 healthy
229 subjects was conducted for a 3 week period to study the impact of consuming a probiotic
230 (*Lactobacillus casei* Shirota) containing milk drink or a placebo on mood and memory.

231 These aspects were measured at baseline, after 10 and after 20 days of consumption. The
232 consumption of a probiotic containing milk drink improved the mood of those whose mood
233 was initially poor, but it resulted in a slightly-poorer performance on two measures of
234 memory [38].

235

236 Messaoudi *et al.* randomized placebo-controlled double-blind trial was conducted for 30
237 days to study the psychological effects of a probiotic formulation (*Lactobacillus helveticus*
238 R0052 and *Bifidobacterium longum* R0175) in 66 healthy human volunteers. The
239 combination decreased the global scores of Hospital Anxiety and Depression Scale and
240 the global severity index of the Hopkins symptoms checklist [39].

241

242 Nishihira *et al.* randomized placebo-controlled double-blind trial with 238 healthy adults
243 (109 placebo subjects and 115 test subjects) was lead for 12 weeks. Using a yogurt
244 combining two probiotics (*Lactobacillus gasseri* SBT2055 and *Bifidobacterium longum*
245 SBT2928) they tested the study participants immunomodulatory and stress-related
246 functions. They quantified participants' natural killer cell activities and adrenocorticotrophic
247 hormone (ACTH) and cortisol levels. Significant improvement in anxiety/insomnia subscale
248 was found but no change in overall General Health Questionnaire-28 scores was
249 observed. They found elevated natural killer cell activity and reduced serum
250 adrenocorticotrophic hormone in probiotic group [40].

251

252 Akkasheh *et al.* observed a decreased in Beck Depression Inventory total scores and
253 significant reductions in inflammatory markers in patients with major depressive disorder
254 taking a *Lactobacillus acidophilus*, *Lactobacillus casei* and *Bifidobacterium bifidum* capsule
255 [33].

256

257 Mohammadi *et al.* randomized placebo-controlled double-blind trial with 70 healthy
258 participants was led for 6 weeks. Subjects were randomly divided in three groups: a group

259 of 25 subjects receives a probiotic yogurt containing two strains of *Lactobacillus*
260 *acidophilus* LA5 and *Bifidobacterium lactis* BB12 plus one placebo capsule, 25 to receive
261 one probiotic capsule daily (*Actobacillus casei* 3×10^3 , *L. acidophilus* 3×10^7 , *L.*
262 *rhamnosus* 7×10^9 , *L. bulgaricus* 5×10^8 , *Bifidobacterium breve* 2×10^{10} , *B. longum* $1 \times$
263 10^9 , *S. thermophilus* 3×10^8 CFU/g, and 100 mg fructo-oligosaccharide with lactose as
264 carrier substances) plus a conventional yogurt and 20 to receive conventional yogurt plus
265 one placebo capsule. Mental health parameters including General Health Questionnaire
266 and Depression Anxiety and Stress Scale scores were measured. Reduced anxiety and
267 depression scores on General Health Questionnaire-28 and Depression Anxiety Stress
268 Scales in probiotic yoghurt or capsule group were observed. However, there was no
269 significant improvement in the conventional yogurt group [41].

270

271 Bambling *et al.* pilot study intervention with 12 participants using a formulation combining
272 probiotics (*Lactobacillus acidophilus*, *Bifidobacterium bifidum*, *Streptococcus thermophiles*
273 total CFU of 2.9×10^{10}) and magnesium orotate adjuvante administered with SSRIs for
274 treating resistant depression was conducted for 8-week then follow-up for 16 weeks. Mean
275 changes for depression scores and quality of life in the group at the end of the 8th week
276 were significantly improved. An intestinal anti-inflammatory response was suggested.
277 However, patients while still on SSRI relapsed at 16th week after cessation of the test
278 intervention [2].

279

280 Kazemi *et al.* double blind clinical trial including 110 patients with major depressive
281 disorder was conducted for 8 weeks to compare the effect of probiotic (*Lactobacillus*
282 *helveticus* and *Bifidobacterium longum*) and prebiotic supplementation on the Beck
283 Depression Inventory versus placebo. Probiotic significantly decreased depression [42].

284

285 Pinto-Sanchez *et al.* study with 44 participants not taking any psychotropic medication with
286 irritable bowel syndrome and mild to moderate anxiety and/or depression scores based on

287 self-report questionnaires - 22 patients taking the probiotic and 22 the placebo for 6 weeks
288 - was found that the probiotic *Bifidobacterium longum* NCC3001 reduces depression but
289 not anxiety scores and increases quality of life. Also, they performed functional magnetic
290 resonance imaging that showed a reduction in response to negative emotional stimuli in
291 amygdala and fronto-limbic regions of the brain when taking the probiotic which indicates it
292 reduces limbic reactivity. No effect on faecal microbiome profile was observed [43].

293 **NO EVIDENCE OF PROBIOTIC USE IN DEPRESSION**

294 Shinkai *et al.* randomized placebo-controlled double-blind trial with 300 healthy 65 year
295 adults was conducted over 20 weeks. Cases were given tablets containing *Lactobacillus*
296 *pentosus* strain b240 but no effect on Profile of Mood States Scale was observed [11].

297 Tillisch *et al.* randomized placebo-controlled double-blind trial with 23 healthy women was
298 conducted for 4 weeks to investigate if consuming a fermented milk product containing
299 *Bifidobacterium animalis* subsp *Lactis*, *Streptococcus thermophiles*, *Lactobacillus*
300 *bulgaricus*, and *Lactococcus lactis* subsp *Lactis* changes brain intrinsic connectivity or
301 responses to emotional attention tasks. No changes in Hospital Anxiety and Depression
302 Scale were detected. However, functional magnetic resonance imaging alterations in
303 activity of brain regions that control central processing of emotion and sensation were
304 observed [44].

305 Chung *et al.* 12-week double-blind randomized controlled trial was conducted to
306 investigate the effects of *Lactobacillus helveticus*-fermented milk on cognition in 36 healthy
307 over 65 years old adults. Cognitive tests and measurements of the Perceived Stress Scale
308 and Geriatric Depression Scale-short Form were taken before and after the experiment.
309 No effect on mood as measured by Geriatric Depression Scale Short Form was recorded
310 and there was no effect on Perceived Stress Scale. However, there was an improvement
311 in cognitive function in probiotic group [45].

312 Steenbergen *et al.* 4-week triple-blind placebo-controlled randomized trial aimed to test if a
313 probiotic combination (Bifidobacterium bifidum W23, Bifidobacterium lactis W52,
314 Lactobacillus acidophilus W37, Lactobacillus brevis W63, Lactobacillus casei W56,
315 Lactobacillus salivarius W24, and Lactococcus lactis (W19 and W58)) may reduce
316 cognitive reactivity in 20 healthy participants comparing with 20 controls receiving an inert
317 placebo. Cases showed a significantly reduction in Leiden Index of Depression Sensitivity-
318 Revised, indicating reduced cognitive reactivity to sad mood. Yet, no changes in Beck
319 Anxiety Inventory or Beck Depression Inventory were observed [46].

320 Östlund-Lagerström *et al.* randomized placebo-controlled double-blinded clinical trial with
321 290 over 65 years old subjects was conducted for 12 weeks to assess the changes in level
322 of welfare, anxiety and stress as secondary outcome (primary outcome was changes in
323 gastrointestinal symptoms) by taking the probiotic strain Lactobacillus reuteri. No
324 significant improvement in wellbeing, stress or anxiety was detected [47].

325 Kelly *et al.* reported that a 8 week randomized placebo-controlled cross-over design was
326 conducted to determine the impact of Lactobacillus rhamnosus on stress-related
327 behaviours. The study included 29 healthy male volunteers. No effect on anxiety or stress
328 as measured by Beck Anxiety Inventory, Perceived Stress Scale, State Trait Anxiety
329 Inventory was observed [48].

330 Romijn *et al.* randomized double-blind placebo-controlled clinical trial with 79 self-reported
331 depressive symptoms participants not taking any psychotropic medication was lead for 8
332 weeks to study the impact of a probiotic preparation (combining Lactobacillus helveticus
333 and Bifidobacterium longum) in mood, stress and anxiety. No evidence of the probiotic
334 formulation effectiveness in treating low mood or in controlling inflammatory and other
335 biomarkers levels was found [49].

336 Cepeda *et al.* survey from 2005 through 2012 included adult participants who consumed
337 any probiotic food or supplement on any of the interview days. Subjects were classified as

338 depressed if Patient Health Questionnaire scores were ≥ 10 . Unadjusted analysis
339 suggested that subjects who consumed probiotics had lower odds of depression. After
340 adjustment for characteristics associated with depression and probiotic exposure, the
341 effect was attenuated and no longer significant [50].

342 Ng *et al.* meta-analysis of 10 randomized controlled trials found that although generally
343 safe and pleasant, it cannot be recommended that probiotics replace antidepressant
344 medications as the primary treatment for depressed patients [51].

345 **PREBIOTICS**

346 Prebiotics, which are dietary soluble fibers, stimulate the growth of beneficial commensal
347 microbiota and can benefit both intestinal mucosa and systemic immunity as they reach
348 the large intestine microbiota [32][19].

349 Wang *et al.* found that oral sesamin administration significantly attenuated depressive and
350 anxiety-like behaviors in a long-term stress-treated mice model by inhibiting stress-induced
351 intestinal barrier integrity damage, reducing circulating lipopolysaccharide levels,
352 suppressing neuroinflammatory responses which might be highly related to sesamin
353 capacity to enhance the relative abundance of Bacteroidales and S24-7 [52]. Ramnani *et*
354 *al.* documented the ability of fructans derived from agave plants to increase the level of
355 bifidobacteria and lactobacilli in fecal samples from healthy human participants [53]. On
356 the other hand, Kazemi *et al.* conducted an 8 week double blind clinical trial with major
357 depressive disorder patients to compare the effect of probiotic and prebiotic
358 (galactooligosaccharide) supplementation on the Beck Depression Inventory versus
359 placebo. While, probiotic significantly decreased depression, no changes were observed
360 on placebo or prebiotic groups [42].

361 **ANTIBIOTICS**

362 Since they can significantly change the gastrointestinal microbiota, antibiotics are likely to
363 affect the microbiota-gut-brain axis [54].

364 Bercik *et al.* findings indicate that microbiota alteration in adult mice after oral
365 administration of neomycin and bacitracin combined with antifungal agent primaricin
366 results in measurable changes in anxiety-like behaviors but neither vagotomy nor
367 sympathectomy affected the ability of the antimicrobials to impact anxiety like behavior
368 pointing to other unidentified mechanisms [55].

369 DISCUSSION

370 DIAGNOSTIC TOOL

371 The diagnosis of depression depends on subjective survey scales [6], but whether it could
372 be based on more objective and quantifiable criteria is a matter of debate. Large-scale
373 metagenomics studies have been conducted in order to study the neuroactive potential of
374 microbiota, but the results' interpretation is difficult due to the lack of tools and reference
375 databases [23]. Nevertheless, 16S Ribosomal RNA sequencing has emerged as one of
376 the most promising and relevant methods.

377 Generally, in healthy adults the Firmicutes and Bacteroidetes phyla are represented in
378 bigger quantities [9] while Proteobacteria and Actinobacteria phyla are represented in
379 smaller quantities [7]. In major depressive disorder patients, however, these proportions
380 might be altered: some show an overrepresentation of Firmicutes and an
381 underrepresentation of Bacteroidetes [27][6]. On the other hand, Jiang *et al.* found an
382 overrepresentation of Bacteroidetes and an underrepresentation of Firmicutes in these
383 patients [26]. Zheng *et al.* found that Firmicutes abundance is useful to discriminate
384 patients from healthy individuals [27]. Aizawa *et al.* showed a significantly lower
385 abundance of Bifidobacterium and Lactobacillus counts in fecal samples of depressed
386 patients [28]. In addition to this, Actinobacteria showed to be significantly increased
387 [26][27] as well as Proteobacteria [26]. JJ *et al.* case-control trial established that
388 Bacteroidetes level is significantly decreased in male patients and the Actinobacteria level
389 was significantly increased in female patients [29]. Lin *et al.*, highlight the importance of
390 Prevotella and Klebsiella proportion in fecal microbial communities in the diagnosis and
391 therapeutic monitoring of major depression disorder patients in the future [6].

392 The differences found between studies might be related to population size and
393 characteristics, such as sex, age, severity of the disease and the factors that may
394 influence the composition of the intestinal microbiome.

395 The causal relation between the microbiota's composition modifications and depression
396 genesis is an aspect that is essential to further clarify. It is currently believed that the
397 alteration in microbiota's arrangement may contribute to depression but those changes
398 may also be induced by the depression itself. The two may occur depending on whether
399 some particular stressors trigger changes in mood that subsequently modify intestinal
400 microbiota or a gastrointestinal disorder produces intestinal microbiota changes that later
401 induce to depression [56]. Further research should be conducted in order to build large
402 databases of microbial signature associated with depression and develop more objective
403 diagnostic criteria.

404

405 **TREATMENT OPTION**

406 The cumulative evidence suggests that probiotic supplementation may have an influence
407 on certain cognitive functions known to determine susceptibility to mood disorders [57][58],
408 supporting the idea that the restoration of dysfunctional intestinal microbial populations
409 might be a viable treatment option for individuals with depression [15] or an adjuvant
410 strategy to improve or even to prevent depression [46]. Latest research has found that
411 traditional antidepressant therapies influence intestinal microbiota composition, possibly
412 meaning that their antidepressant effects are partly due to their capacity of regulating the
413 microbiota–gut–brain axis. As a matter of fact, the first antidepressant, Isoniazid, was
414 originally used to treat Mycobacterium tuberculosis infections; the first-generation tricyclic
415 antidepressants can inhibit the proliferation of many bacteria; SSRI antidepressants can
416 inhibit the proliferation of Gram-positive bacteria; and ketamine can inhibit the proliferation
417 of Staphylococcus, Enterococcus and Candida albicans. On the other hand, common
418 antibiotics, such as Ceftriaxone and Minocycline, present some antidepressant effects
419 [12]. Another potential use of probiotics is, since there's a diversity of microorganisms that
420 interact with specific neuronal circuitries, the possibility of creating targeted interventions
421 on specific neuronal functions according to patient's clinical presentation [8].

422 The main bacterial genera in probiotics composition used in human studies come from the
423 Lactobacillus and Bifidobacterium genera [54]. The combination of Lactobacillus helveticus
424 R0052 and Bifidobacterium longum R0175 decreased the global scores of Hospital
425 Anxiety and Depression Scale in healthy human volunteers [39]. Lactobacillus helveticus
426 and Bifidobacterium longum significantly decreased depression symptoms in major
427 depressive disorder patients [42], but not when administered to self-reported depressive
428 symptoms participants not taking any psychotropic medication [49]. Lactobacillus
429 helveticus alone showed no effect on mood in healthy over 65 years old adults [45],
430 Lactobacillus casei Shirota alone improved the mood of healthy subjects whose mood was
431 initially poor [38], Lactobacillus gasseri SBT2055 and Bifidobacterium longum SBT2928
432 significantly improved anxiety/insomnia subscale in healthy adults [40], Bifidobacterium
433 longum NCC3001 alone reduced depression scores and increased quality of life in mild to
434 moderate anxiety and/or depression scores based on self-report questionnaires
435 participants however no effect on faecal microbiota composition was observed [43],
436 Lactobacillus acidophilus, Lactobacillus casei and Bifidobacterium bifidum decreased Beck
437 Depression Inventory total scores and significantly reduced inflammatory markers in
438 patients with major depressive disorder [33], Lactobacillus acidophilus LA5 and
439 Bifidobacterium lactis BB12 reduced anxiety and depression scores in healthy subjects
440 [41], Lactobacillus acidophilus, Bifidobacterium bifidum, Streptococcus thermophiles plus
441 magnesium orotate resistant depression patients administration significantly improved
442 depression scores and quality of life [2], Bifidobacterium animalis subspecies Lactis,
443 Streptococcus thermophiles, Lactobacillus bulgaricus and Lactococcus lactis subspecies
444 Lactis didn't have impact in healthy women Hospital Anxiety and Depression Scale scores
445 [44], Lactobacillus pentosus strain b240 administration had no effect on healthy 65 year
446 old adults' Profile of Mood States Scale [11], Bifidobacterium bifidum W23, Bifidobacterium
447 lactis W52, Lactobacillus acidophilus W37, Lactobacillus brevis W63, Lactobacillus casei
448 W56, Lactobacillus salivarius W24 and Lactococcus lactis significantly reduced Leiden
449 Index of Depression Sensitivity-Revised yet no changes in Beck Anxiety Inventory or Beck

450 Depression Inventory were observed in 20 healthy participants [46], *Lactobacillus reuteri*
451 didn't improve wellbeing, stress or anxiety in older adults [47], *Lactobacillus rhamnosus*
452 didn't have an effect on anxiety or stress in healthy male volunteers [48].

453 Defining the proper probiotic dose and composition for a possible depression treatment
454 and/or prophylaxis is one of the major challenges in this field. Some of the studies did not
455 allow concluding which probiotic strain caused the treatment effect observed since they
456 administered more than one probiotic at a time and variations in duration, dose and
457 bacterial strains may influence the response to probiotic supplementation

458 The main prebiotics used to act on the microbiome-gut-brain axis are fructans
459 (fructooligosaccharide, inulins, oligofructose) and glucans (gluco-oligosaccharides) [59].
460 Fructans derived from agave plants were capable of increasing the level of bifidobacteria
461 and lactobacilli in healthy human participants [53] but galactooligosaccharide
462 supplementation had no impact on Beck Depression Inventory of major depressive
463 disorder patients [42]. Further research is essential to understand if prebiotics are useful in
464 treating mental disorders once it would be interesting to target specific microbials to
465 balance the microbiota in a context of depression. In addition, it is necessary to study the
466 effects of antibiotic regimen on physiology and behaviour.

467 Inconsistencies in literature findings may be related to inter-study variance. As most
468 studies were conducted in healthy or in non-clinically diagnosed participants, their results
469 cannot be extrapolated to clinically-diagnosed patients. Furthermore, sample sizes were
470 relatively small and there were differences in sex and age. Studies conducted in elderly
471 populations showed no impact of probiotics administration on Mood States Scale [11], on
472 Geriatric Depression Scale Short Form and Perceived Stress Scale [45] nor on the
473 improvement in wellbeing, stress or anxiety [47]. No investigation was performed in
474 younger patients. Some studies assessed subjective parameters such as low mood,
475 irritability, anxiety and stress using different scales. Since no assays are currently able to

476 detect the complete microbiota profile of a given subject, this must be currently
477 acknowledged as another important limitation in the area.

478 Standardized methods and control of confounding variables are required to improve future
479 investigation [60]. Performing intra-individual comparisons - for example, by comparing
480 acute depression with euthymic state in the same patient - would allow to minimize the
481 effect of confounding factors in microbiota studies. Besides, since through a case-control
482 dichotomous acquisition it is not possible to clarify the true effect of microbiome
483 manipulation on mental pathology, and given the importance to clarify the dose response
484 in relation to symptom severity, it might be interesting to conduct follow-up studies in this
485 area [4]. As probiotics therapies emerge as a possible cost-effective and safe option to
486 adjuvate, treat or even prevent mental disorders, further investigations should be carried
487 out in groups of diagnosed patients and in younger participants, taking into account a
488 possibly larger number of subjects and longer duration of trials [5].

489 **CONCLUSION**

490 The emergent idea of a different gastrointestinal microbiota composition in patients with
491 depression and the increased susceptibility for mental disorders in patients with
492 gastrointestinal dysbiosis suggest a potential use of probiotic and other microbiota
493 targeted therapies in the treatment of mental disorders. However, the knowledge is
494 currently limited and the information available nowadays is not enough to apply these
495 assumptions with certainty in clinical practice. It is important to clarify how informative are
496 the studies conducted to this date and to further study how gastrointestinal microbiota
497 influences the human brain.

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688 **NOMENCLATURE**

689 ACTH- Adrenocorticotropic hormone

690 CRP- C-reactive protein

691 DNA- Deoxyribonucleic acid

692 GABA- Gamma-aminobutyric acid

693 RNA- Ribonucleic acid

694 SSRIs- Selective serotonin reuptake inhibitors

ANEXOS

Normas da Revista “International Journal of Clinical Neurosciences and Mental Health”

Manuscript Submission

These instructions advise on how the manuscript should be prepared and submitted.

Manuscripts that do not comply with the guidelines will be returned to the authors before being considered for peer-review.

All manuscripts should be prepared in A4-size or US-letter size, in UK or US English throughout the manuscript, a mixture of UK and US English will not be accepted.

Manuscripts should be submitted in *.doc and *.pdf formats, in the appropriate section of the journal website: IJCNMH online submission.

1. Cover Letter

A cover letter should be submitted together with the manuscript, in *.doc or *.pdf format, addressed to the Editor-in-Chief, and signed by the author submitting the manuscript.

A template for the cover letter is available for download.

The cover letter should contain statements about originality of your publication, Ethics Committee approval and informed consent (if applicable), conflicts of interest and why in your opinion your manuscript should be published.

2. Manuscript Preparation

The manuscript must be divided in 2 files: the Title page (submitted in *.doc format and *.pdf formats) and the Manuscript body (submitted in *.doc and *.pdf formats).

Submitting these 2 files is essential to ensure double-blind peer-review. Failure to provide these 2 files will result in delay in the peer-review process, since the manuscript will be returned to the authors for adjustment.

Title page

This should be submitted as a separate file from your manuscript (to ensure anonymity in the peer review process) and should include:

- Article title.
- Authors' names, titles (e.g. MD, PhD, MSc, etc.) and institutional affiliations.
- Corresponding author: name, mailing address, telephone and fax numbers, email address.
- Keywords (maximum of 10), according to MeSH terms, whenever possible.
- A short title (running head) (up to 70 characters).
- Abstract word count (up to 250 words).
- Disclosure of conflicts of interest. Any conflict of interests should be declared. If authors have no declaration it should be written: "The authors declare no conflict of interest".

Manuscript body:

The Manuscript body must be anonymous, not containing the names or affiliations of the authors. It must be structured in the following order: title, abstract, body text, acknowledgements, references, tables, and figures captions/legends. The manuscript body should contain the title and the abstract, since the title page is not sent to reviewers during peer-review.

- The text must be formatted as follow:

- Arial fonts, size: 11 points.
- Double line spacing (see paragraph menu).
- Aligned to the left (not justified).

Showing continuous line numbers on the left border of the page. For MS Word you can add line numbers by going to: Page Layout -> Line Numbers -> select "Continuous"; for OpenOffice: Tools -> Line Numbering -> tick "Show numbering".

Title

A descriptive and scientifically accurate article title should be provided.

Abstract (250 words maximum)

An abstract should be prepared for all types of manuscript, except Editorials.

Abstracts of Original Research articles should be structured as: background/objective, methods, results, and conclusions. If the publication is associated with a registered clinical trial, the trial registration number should be referred at the end of the abstract.

Case-reports should be structured as background/introduction, case report, discussion.

Systematic review articles should have a structured abstract with generally the same headings as Original Research articles, whereas narrative review articles can have a structured or unstructured abstract, as deemed appropriate by the authors.

Abstracts for Viewpoint articles and Letters to the Editor, can have a structured or unstructured abstracts, as deemed appropriate by the authors.

Body text

Original research articles

Original research articles should be structured as follows:

Introduction: Should present the background for the investigation and justify its relevancy.

Claims should be supported by appropriate references. Introduction should end by stating the objectives of the study.

Methods: Should allow the reproduction of results and therefore must provide enough detail. Appropriate subheadings can be included, if needed.

Results: Should include detailed descriptions of generated data. This section can be separated into subsections with concise self-explanatory subheadings.

Discussion: Should be brief but comprehensive and well argued, summarise and discuss the main findings, their clinical relevance, the strengths and limitations of the study, future perspectives with suggestion of experiments to be addressed in the future.

Review articles and Drug Reviews

These types of articles should be organised in sections and subsections, as deemed appropriate by the authors

Case Reports and Case Snippets

These types of articles should be organised in the general following sections:

Introduction/Background, Case Report, Discussion. Subsections should be used as deemed appropriate by the

Authors

Acknowledgements

This section should name everyone who has contributed to the work but does not qualify as an author. People mentioned in this section must be informed and only upon

consent should their names be included along with their contributions. Financial support (with grant number, if applicable) should also be stated here.

References

References citation in the text should be numbered sequentially along the text, within square brackets. The use of a reference management tool (such as Endnote or Reference Manager) is recommended. References must be formatted in Vancouver style.

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Tables should be smaller than a page, without picture elements or text boxes. Tables should have a concise but descriptive title and should be numbered in Arabic numerals. Table footnotes should explain any abbreviations or symbols that should be indicated by superscript lower-case letters on the body table.

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Lines, rules and strokes should be between 0.5-1.5 points for reproducibility purposes.

Nomenclature

All units should be in International System (SI). Drugs should be designated by their International Non-Proprietary Name (INN).

3. Supporting Information

Code of Experimental Practice and Ethics

The minimal ethics requirements are those recommended by the Code of Ethics of the World Medical Association (Declaration of Helsinki). Authors should provide information regarding ethics on patient informed consent, data privacy as well as competing interests. If the authors have submitted a related manuscript elsewhere, they should disclose this information prior to submission.

4. Submission Checklist

Please ensure you have addressed the following issues prior submission:

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- Details for authors contribution.

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