

Ground instability detection using PS-InSAR in Lanzhou, China

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12 **Abstract:** This paper reports on the application of radar satellite data and Persistent Scatterer
13 Interferometry (PS-InSAR) techniques for the detection of ground deformation in the semi-arid loess
14 region of Lanzhou, northwestern China. Compared to Synthetic Aperture Radar Interferometry (In-
15 SAR), PS-InSAR overcomes the problems of temporal and geometrical de-correlation and atmospheric
16 heterogeneities by identifying persistent radar targets (PS) in a series of interferograms. The SPINUA
17 algorithm was used to process 40 ENVISAT ASAR images for the target period 2003-2010. The analysis
18 resulted in the identification of over 140,000 PS in the greater Lanzhou area covering some 300 km².
19 The spatial distribution of moving radar targets was checked during a field campaign and highlights
20 the range of ground instability problems that the Lanzhou area faces as urban expansion continues to
21 accelerate. The PS-InSAR application detected ground deformations with rates up to 10 mm/year; it
22 resulted in the detection of previously unknown unstable slopes and two areas of subsidence.

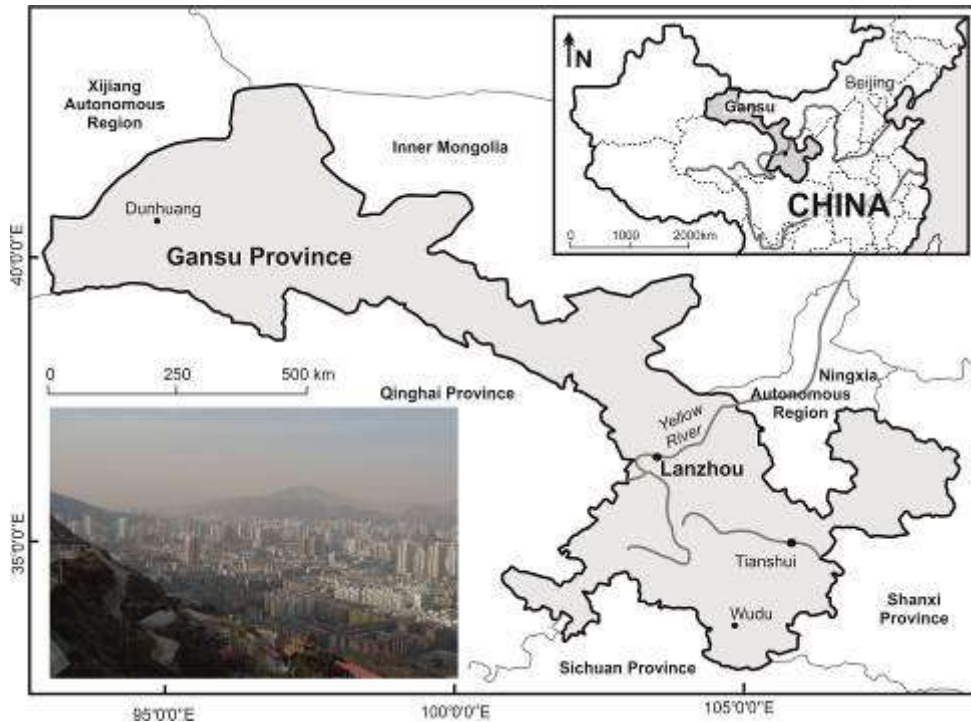
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24 **Keywords:** Loess slope, Geohazard, PS-InSAR, Ground instability, Lanzhou

1 Introduction

25 Lanzhou is the capital of Gansu Province and is one of the most important industrial cities in
26 northwest China (Figure 1). The 12th Five-Year plan and the 2011 National Economic and Social
27 Development Statistical Bulletin of Lanzhou City indicate that the gross domestic product (GDP) of
28 Lanzhou more than doubled in the last decade, reaching some 136 billion Yuan (approximately £13.6
29 billion). This is associated with a rapid increase in the urban population and current forecasts suggest
30 that the remaining undeveloped land can only sustain further development for some 10 to 15 years
31 (Yao 2008). Increasingly, people have to encroach on marginal areas having a greater potential for
32 ground instability. Since 1949, a variety of geohazards (mainly comprising landslides, debris flows, soil
33 collapse, subsidence and floods) in Lanzhou caused some 676 deaths and an estimated cumulative direct
34 economic loss of some 756 million Yuan (Ding & Li 2009; Dijkstra *et al.*, 2014). It is expected that further
35 casualties and economic impacts will result in this unstable landscape unless a better understanding of
36 the spatial distribution and causes of typical geohazards involving ground instability can be
37 implemented in the development of land use management practices, urban planning and the design
38 of mitigation strategies. Satellite-based radar interferometry provides an opportunity to map ground
39 deformation over large areas of interest. This paper highlights the use of PS-InSAR (Permanent
40 Scatterer Synthetic Aperture Radar Interferometry) in a region where an incomplete ground instability
41 inventory exists.

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Figure 1. Location of Gansu Province and its capital Lanzhou. The photo shows the central urban area of Lanzhou and is looking

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towards the northwest with Jiuzhoutai Mountain in the centre (loess thickness more than 300m).

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47 **2 The study area**

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The city of Lanzhou is located on a series of river terraces of the Yellow River (*Huang He*), confined within

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a narrow structural basin with an east-west alignment ([Figures 1, 2](#)). To the north and south are

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mountainous regions characterised by a high relative relief, steep slopes and narrow valleys. Loess, a wind-

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blown cemented silt, covers most of this region with some deposits reaching thicknesses exceeding 300 m

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and with thicknesses greater than 50 m quite common (see Derbyshire *et al.*, 2000 for a detailed account

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of landslide processes in these thick loess deposits). Lanzhou is positioned in an active neo-tectonic region

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forming the northeast extension zone of the Tibetan Plateau (Dijkstra *et al.*, 1993; Li *et al.*, 1993;

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Derbyshire *et al.*, 2000). Frequent earthquakes are driven by stress release along a family of strike-slip

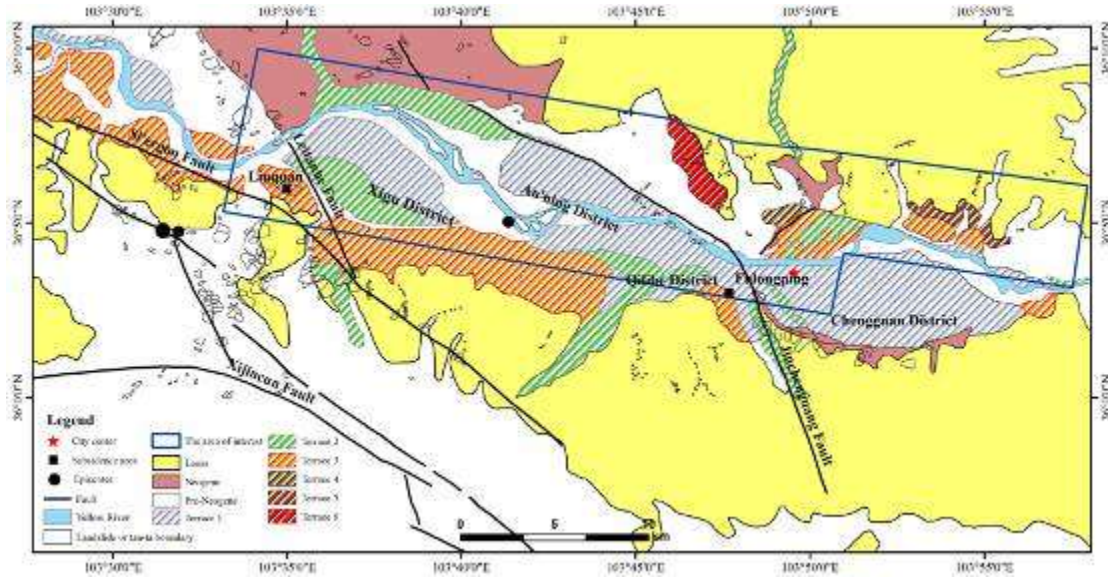
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faults with four major fault systems recognised in the Lanzhou region, the Jinchengguan Fault, Leitanhe

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Fault, Si'ergou Fault and Xijincun Fault (Yuan *et al.*, 2008; [Figure 2](#)).

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Figure 2. Geological sketch map of the Lanzhou area. The area of interest covers some 283 km², largely covering the greater Lanzhou urban region, with elevations ranging from 1500 m to 2100 m. The black polygons represent the locations of landslides and tan-ta (clusters of small failures in loess deposits).

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The city of Lanzhou houses some 2 million people in an area of approximately 180 km² (Ding & Li, 2009). For most of the city, the population density exceeds 10,000 people per km². Recent population growth and industrial development have been dramatic, as illustrated by the doubling of the GDP in ten years. However, the mountainous regions around the city impose great constraints on the space available for urban growth and further development of transport systems. The lower terraces of the Yellow River have already been used close to their full capacity for building purposes, and construction has encroached on landforms such as the higher Yellow River terraces, tributary valleys, ancient landslide bodies, alluvial fans, and debris flow deposits. Where construction and land-use practices do not recognise the inherent instability of this landscape, invariably the consequences are severe resulting in, at least, significant economic impacts and, at worst, loss of life (Derbyshire *et al.*, 2000, Dijkstra *et al.*, 2014).

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The area of interest focused on the greater Lanzhou urban region where most development is taking place, i.e. the districts of Xigu, An'ning, Qilihe and the terrace areas north of the Yellow River in the Chengguan District of eastern Lanzhou. Ground deformation in these urban regions continues to pose a problem and the PS-InSAR technique offers a unique opportunity to monitor the degree of ground motion and evaluate the possible consequences.

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3. Factors influencing ground instability in the Lanzhou region

81 Case study analyses (including those reported by Derbyshire *et al.*, 2000; and site investigations
82 carried out by the authors) concluded that, in addition to the effects of human interference in this
83 terrain, several major factors contribute to the susceptibility of the terrain in this region to generate
84 ground instability. The following sections provide brief descriptions of the main influencing
85 parameters.

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87 **Loess**

88 The Lanzhou region can be broadly characterized by an undulating surface of pre-Pleistocene bedrock
89 on top of which a widespread, thick loess deposit is found of predominantly Pleistocene age (with a
90 thin Holocene drape in many places). The distribution of loess is of greatest importance for the
91 determination of the potential instabilities in this landscape. Research indicates that where loess
92 becomes relatively thin the type of bedrock starts to play an important role in contributing to slope
93 instability processes (Derbyshire *et al.*, 2000).

94 The Lanzhou loess is subdivided into four main units Wucheng (early Pleistocene), Lishi (mid
95 Pleistocene), Malan (late Pleistocene) and Holocene loess. Malan and Holocene loess are much more
96 weakly cemented, and contain many macro-pores and thus are much more susceptible to fabric
97 collapse (upon wetting or shear) and ground deformation processes. The older loess units (Wucheng
98 and Lishi) are strongly cemented and have relatively low void ratios resulting in a low collapsibility
99 potential. Lishi and Wucheng loess materials are significantly stronger, rock-like materials when
100 undisturbed (Wang *et al.*, 1994; Derbyshire *et al.*, 2000; Wu & Zhao 2001).

101 The natural moisture content of Lanzhou loess is generally low (between 8 to 10% by weight),
102 but upon wetting this low plasticity material has the potential to rapidly transform into a slurry. Some
103 physical characteristics of Lanzhou loess are shown in [Table 1](#).

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Table 1. Characteristic properties of Lanzhou loess (after Wang *et al.*, 1994; Derbyshire *et al.*, 2000).

| Parameters | Malan loess | Lishi loess | Wucheng loess |
|---|------------------|-----------------|----------------------|
| age | Late Pleistocene | Mid-Pleistocene | Early Pleistocene |
| bulk density (Mg/m ³) | 1.38 | 1.57 | 1.72 |
| in situ moisture content (%) | 5.0 | 7.8 | 7.5 |
| porosity (%) | 52 | 47 | 43 |
| degree of saturation | 15.6 | 23.5 | 29.2 |
| liquid limit(%) | 29 | 28 | 28 |
| plastic limit(%) | 19 | 19 | 19 |
| plasticity index | 10 | 9 | 9 |
| cementation shear strength (kN/m ²) | 60-150 | 85-400 | 150-400 ⁺ |
| residual cohesion (kN/m ²) | 6-10 | 8-15 | 9-21 |
| angle of internal friction (degrees) | 25-33 | 27-32 | 27-35 |

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108 **Geomorphology**

109 The terrain unit forms a convenient parameter to reflect different landforms characterising the

110 geomorphology of the Lanzhou region. In broad terms, three main geomorphological entities can be

111 observed; fluvial landforms of the Yellow River, long ridges formed in thick loess ('*liang*' in Chinese), and

112 mountainous terrain that is mainly controlled by bedrock (Figure3). Where drapes of relatively young loess

113 deposits (particularly Malan and Holocene loess) are found, ground instability in the form of

114 subsidence/collapse and landslides is common. This is particularly the case for terrace levels 3 and 4 and

115 throughout the loess ridge landscapes (Derbyshire *et al.*, 2000).

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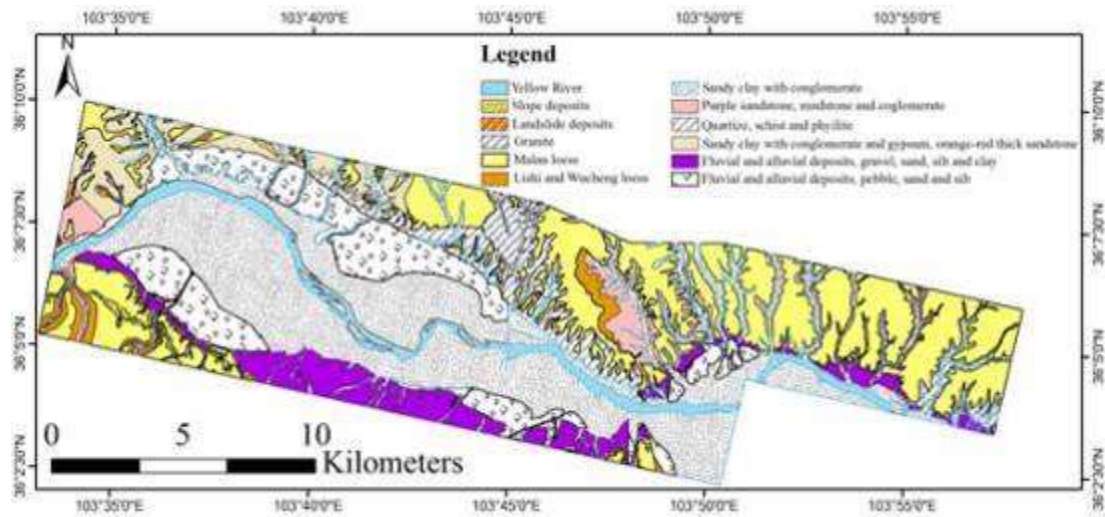


Figure 3. Litho-stratigraphical sketch map of the Lanzhou area.

Fluvial landforms of the Yellow River

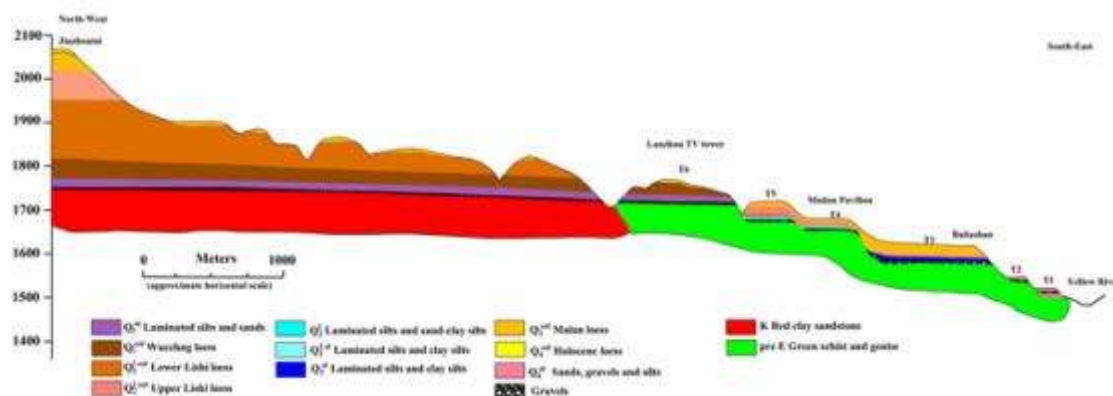
In addition to the current active floodplain, six fluvial terrace levels of the Yellow River are recognised in the Lanzhou area. These terraces generally comprise a planated bedrock surface that is covered by fluvial deposits (ranging in thickness from 2 to 8 m) and a suite of loess deposits ranging in thickness from just a few metres to more than 300 m, but substantial loess thickness only occurs on the oldest three terrace levels (Figure 4). The fluvial deposits range in material from clayey silts to coarse gravels (Figure 3).

Most of the urban and industrial zones of Lanzhou are located on Terrace levels 1 and 2 (positioned some 6 and 14 m above the current river level). Terrace levels 3 and 4 are approximately 35 and 75 m above the Yellow River and are strongly affected by construction of terraces for agriculture, slope cuts and irrigated land-use, with occasional small developments associated with urban expansion. Terrace levels 5 and 6 are found some 140 and 250 m higher than the Yellow River and only in very few places are affected by construction (Table 2, Figure 4).

Table 2. Relative elevation and characteristic loess cover thickness of palaeo-terraces of the Yellow River. See Figure 4 for a schematic litho-stratigraphical cross section.

| Terrace number | Elevation above present Yellow River level (m) | characteristic loess thickness(m) |
|----------------|---|--------------------------------------|
| 1 | 6-8 | 4-7 |
| 2 | 12-14 | 10 |
| 3 | 35 | 15 |

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|---|---------|-----|
| 4 | 75 | 75 |
| 5 | 140-150 | 180 |
| 6 | 200-250 | 300 |



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Figure 4. Schematic cross section of loess covered Yellow River palaeo-terraces (after Derbyshire *et al.*, 2000).

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138 *Long ridges formed in loess (liang)*

139 The long ridges formed in thick loess deposits are found in a transitional zone between the Yellow River
 140 and the bedrock-controlled mountains to the south. This zone is some 5 to 10 km wide in the western part
 141 of the Lanzhou region, increasing in width to about 15 km in the east. The morphology is characterised by
 142 a series of parallel ridges separated by deep, narrow and steep-sided valleys. The maximum altitude of the
 143 ridges ranges from 1840 to 2200 m and average slope angles generally exceed 35 degrees. The relative
 144 relief is of the order of 400 to 500 m. Loess thickness is approximately 150 m with many loess slopes being
 145 close to equilibrium requiring only a small trigger to cause widespread slope failures.

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147 *Bedrock controlled mountainous terrain*

148 Areas of widespread bedrock outcrops are confined to the mountainous zones south of Lanzhou city,
 149 notably in the Qidaoliang Mountain (elevations of 2300 - 2500 m). Here, relative relief is 200 - 400 m and
 150 the valleys are narrow and V-shaped.

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152 **Geology**

153 In Lanzhou, the bedrock, in many places underlying thick loess deposits, consists of three types:
 154 Ordovician gneiss and shale, Cretaceous argillites and arenites, and Neogene argillites containing some

155 sandy layers (Figures 2 and 3). The Ordovician rocks are exposed in the western part of the loess ridge area,
156 the Cretaceous rocks are found between the Xuanjia and Hou valleys, while the Neogene outcrops mainly
157 between Gaolan Mountain and the Lanni valley. In the mountains south of Lanzhou, the bedrock consists
158 mainly of Precambrian and Ordovician metamorphic rocks and basalts, andesitic tuffs, gneiss, shales and
159 granite.

160 The Lanzhou region is positioned in the northeast extension zone of the tectonically active Tibetan
161 plateau (Dijkstra *et al.*, 1993; Derbyshire *et al.*, 2000) and has been suffering from intermittent periods of
162 uplift since at least the early Quaternary. The total uplift for this period is estimated at some 250 m, based
163 the height and age of the oldest terrace of the Yellow River (formed about 2.4 Ma BP; Derbyshire
164 & Mellors, 1988). Much of the tectonic stress release occurs along systems of strike slip faults. Seismic
165 refraction, a drilling campaign and observations from large trenches have confirmed the existence of
166 four large active Pre-Quaternary fault zones (Yuan *et al.*, 2008; Figure 2). The Jinchengguan and
167 Xijincun fault zones have a general WNW strike direction that coincides with the regional stress field. The
168 Leitaihe and the Si'ergou fault zones follow a NNW alignment and influence the direction of many valleys
169 and fault depressions. Earthquakes generated along these faults can trigger widespread ground instability
170 and in the Lanzhou region there is widespread evidence of ancient landslides that provide an insight into
171 landscape adjustment to neotectonic deformations. Many valleys have responded to the phases of uplift
172 (and associated relative lowering of the erosion base) are shaped like a V and are narrow and deep.
173 Valleys with more gentle slope profiles are also found and these occur where (a) adjustment of erosion
174 base lowering has not yet taken place (high in the mountains, or close to the top of the valleys between
175 the loess ridges), or (b) valley incision has progressed to find an equilibrium with the regional erosion base.

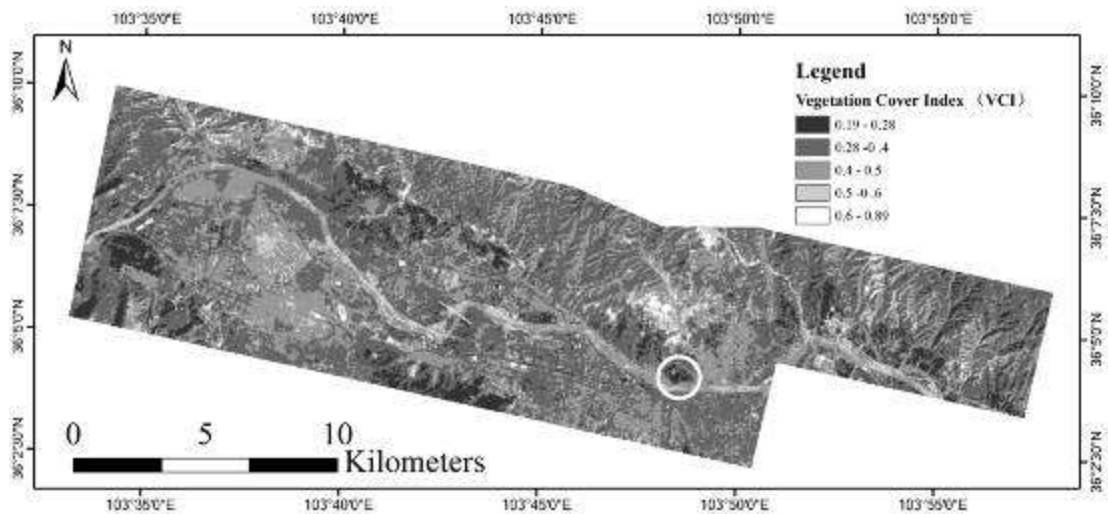
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177 **Slope aspect and vegetation cover**

178 Dependent on aspect, slopes receive variable amounts of solar radiation that affect soil moisture
179 content and surface vegetation. Soil moisture directly affects the amount of shear strength that can be
180 mobilized in loess (Dijkstra, 2000). Vegetation has a complex relationship with ground stability in this
181 region. Root strength can provide additional shear strength and erosion resistance, but root action can
182 also affect loess structure so that it loses cemented strength in the process and becomes much weaker
183 as a result. Lanzhou is situated in a semi-arid environment with a large surplus of potential

184 evapotranspiration. To promote vegetation growth (whether for agriculture or reforestation) many
185 loess slopes in the Lanzhou urban region are irrigated and this has resulted in higher soil moisture
186 levels and elevated water tables. This affects the structural stability of loess and can lead to ground
187 instability, such as ground subsidence and slope instability.

188 To illustrate the distribution of vegetation in the Lanzhou region a vegetation cover index was
189 extracted from Landsat-5 images using bands 3 and 4. A high vegetation index (greater than 0.6),
190 indicative of a lush cover of shrubs and trees, is found where afforestation is supported through
191 intensive irrigation (for example, on the slopes of temple complex of Baita Shan (Figure 5)). However,
192 the majority of the region is dominated by cover indices less than 0.5, indicative of a marginal cover of
193 mainly shrubs and grasses (Figure 5).



194
195 **Figure 5.** Vegetation cover index map of the Lanzhou area as extracted from the Landsat-5 images acquired in September, 2010.
196 The data set is provided by Geospatial Data Cloud, Computer Network Information Center, Chinese Academy of Sciences.
197 (<http://www.gscloud.cn>). Baita Shan is located inside the white circle.

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4 Synthetic Aperture Radar Interferometry in the Lanzhou region

201 InSAR (Synthetic Aperture Radar Interferometry) was firstly designed to map the surface of the earth,
202 and it can be used to study atmosphere (Hanssen *et al.*, 1999), vegetation coverage (Askne *et al.*, 1997;
203 Hagberg *et al.*, 1995; Treuhaft *et al.*, 1996; Wegmuller & Werner, 1997; Strozzi *et al.*, 2000) and ground
204 deformation (Massonnet *et al.*, 1993; Rott *et al.*, 1999). The use of space-borne SAR imagery and
205 differential interferometry (D-InSAR) to detect sub-centimeter of the Earth's surface started in the late
206 1980s (Gabriel *et al.*, 1989). D-InSAR has a high measurement spatial resolution, large area
207 coverage, all time and all weather detection advantage, and thus has been used widely by earth
208 scientists (Peltzer & Rosen, 1995; Buergermann *et al.*, 2000; Catani *et al.*, 2005; Yang *et al.*, 2010; Han *et*
209 *al.*, 2010). Although D-InSAR has great potential in wide-area ground deformation detection, and has
210 also achieved some successful results, it cannot precisely monitor single targets. In addition, temporal
211 and geometrical de-correlation (Rodriguez & Martin, 1992; Zebker & Villasenor, 1994) and the
212 temporal and spatial changes in the atmospheric content (Goldstein, 1995) can prevent D-InSAR
213 from being an operational tool for ground deformation monitoring. These limitations can be overcome
214 to some extent by applying multi-temporal interferometry techniques based on Permanent Scatterers
215 (PS-InSAR; Ferretti *et al.*, 2001). This and similar advanced processing techniques are able to filter out
216 the atmospheric noise through a multi-temporal analysis which detects targets, known as Persistent
217 Scatterers (PS), showing high temporal and geometric radar signal stability.

218 Multi-temporal interferometry techniques have been used in the monitoring and mapping of
219 landslides (Colesanti *et al.*, 2003; Farina *et al.*, 2006; Colesanti & Wasowski, 2006; Cigna *et al.*, 2013a;
220 Bianchini *et al.*, 2012, Bovenga *et al.*, 2012, Hoelbling *et al.*, 2012, Cigna *et al.*, 2013b, Bovenga *et al.*,
221 2013), surface subsidence (Hooper *et al.*, 2007; Heleno *et al.*, 2011; Osmanoglu *et al.*, 2011; Hung *et*
222 *al.*, 2011), active faults (Dehls *et al.*, 2002; Sousa *et al.*, 2010), mine subsidence (Jung *et al.*, 2007), and
223 volcano activity (Hooper *et al.*, 2004). For more background information on multi-temporal
224 interferometry and its applications in slope and ground instability investigations the interested reader
225 is referred to a review article by Wasowski and Bovenga (2014).

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Radar data processing

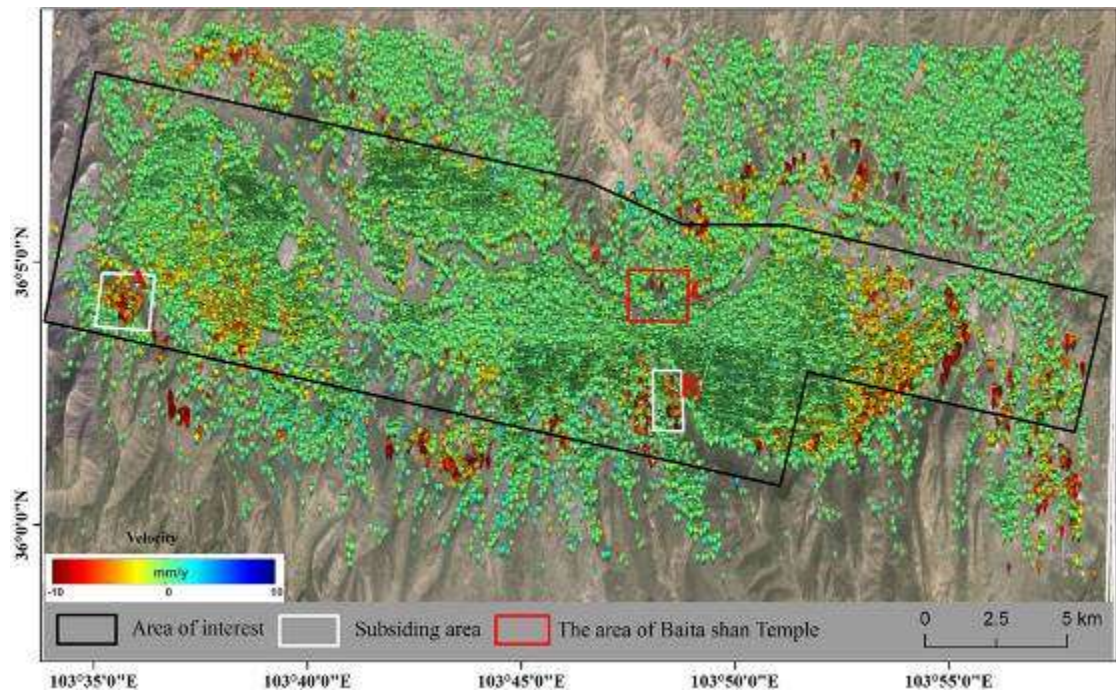
228 The Lanzhou area of interest covers large and important areas of terrain that are not directly affected
229 by construction, but are likely to generate ground instability that may affect urban developments.
230 Therefore, the SPINUA (Stable Point Interferometry over Unurbanized Areas) algorithm has been used
231 to process the radar data. The algorithm follows a PS-InSAR processing strategy optimised for
232 detection and monitoring targets in non- or scarcely-urbanized areas (Bovenga *et al.*, 2005, 2006,
233 2012). SPINUA has been successfully applied to study landslides, subsidence processes and post-
234 seismic deformations, and validated by using *in situ* measurements from both GPS/GNSS (Global
235 Positioning System/Global Navigation Satellite System) and levelling (Reale *et al.*, 2011; Bovenga *et*
236 *al.*, 2013).

237 Data processing involved the use of 40 ENVISAT ASAR (Advanced Synthetic Aperture Radar)
238 images acquired between 16/08/2003 and 27/03/2010 along descending passes (Track = 61) to obtain
239 the ground displacement mean velocity values along the satellite line of sight (around 23° with respect
240 to the vertical). PS-InSAR processing provided also refined estimate of the PS target elevation, thus
241 leading to improved geocoding accuracy, which is within the radar image resolution cell.

242 The reliability of the obtained PS-InSAR measurements can be assessed in terms of temporal
243 coherence, which is a number in between 0 and 1. In the present case, only pixels with a coherence
244 greater than 0.75 were selected. This resulted in the selection of 141,720 PS within the area of interest
245 that could be used for further processing. A further selection was made based on the location of the
246 PS; in many urban areas and particularly in the southeast on terraces 1 and 2 of the Yellow River new
247 construction is developing rapidly and PS in this region were discarded. The process for this selection
248 involved the following steps; a) select high deformation rate PS (>5 mm/y) and identify these on
249 Google Earth, b) select the points affected by construction and determine their GPS positions, c) use
250 these positions in ArcGIS to construct buffer and d) populate these buffers with 0 value to exclude
251 them from the analysis. Following this procedure, further processing was based on a subset of 88,930
252 PS where we are confident that deformation is not associated with construction activities (Figure 6).

253 Keeping in mind the precision of the PS measurements and the quality of the results, which is
254 case specific (depending on the specific dataset of radar imagery, environmental characteristics of the
255 study area), a conservative average annual displacement threshold of -2 to +2 mm/yr has been applied

256 to distinguish between moving (deformation PS or DPS) and stable points (SPS).Therefore, the vast
257 majority of radar targets detected on these stable surfaces are SPS.



258
259 **Figure 6.** The distribution of PS results in the Lanzhou area. The subsiding area to the west (A) represents the conditions in the
260 village of Liqan (see Figure 8 for further detail). The subsiding area in the centre (B) represents conditions in the district of
261 Fulongping (see Figure 9 for further detail). (C) The red box indicates the location of slope failures in the Baita Shan area of
262 central Lanzhou (see Figure 10 for further detail).
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Distribution of PS results

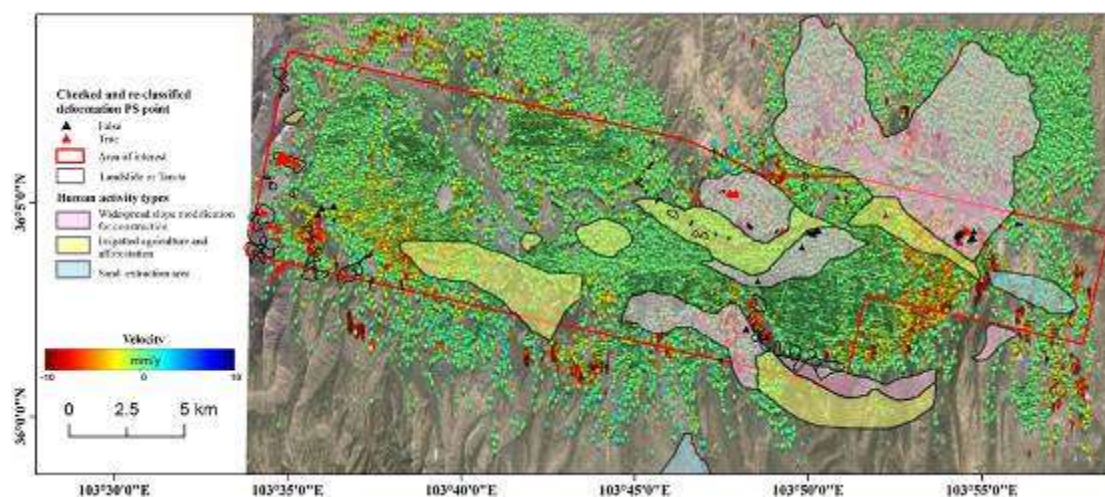
264 Throughout the area of interest, a large number of PS was captured (based on Google Earth images)
265 showing a good distribution of PS densities ranging from 50 to 350 targets per km² (Figure 6).
266 Following comparison with the main units of the geological sketch map of Figure 2 it is apparent that
267 the Holocene fluvial and alluvial deposits, and Yellow River terrace level 1 have very high densities
268 (719 and 555 targets per km²) as these are the classes where most built-up areas are found providing
269 good, but stable radar targets. However, there are relatively fewer PS located on polygons identified as
270 landslides in the area (only 512). This is related in part to the limited number of radar targets (typically
271 buildings) in areas prone to these geohazards and where controls are in place to prohibit construction.
272 In addition, the opportunities for capturing deformation associated with landslide activity in loess

273 materials is limited. The method is best suited for those ground surface movements that are relatively
274 slow, but frequent. Intact loess has significant cemented strength, generally failing in brittle mode.
275 Therefore, pre-failure strains are generally very small, can be observed only over a short period of
276 time and are therefore difficult to pick up with this method. Once a landslide has occurred a new,
277 more stable state is generally achieved where further small strains are not significant. Pre-failure
278 strains that are sufficiently large and occur over a sufficiently long period to be identified by PS-InSAR
279 generally only apply to very large landslides in thick loess deposits (> 1 million cubic metres and with
280 loess thickness exceeding some 100 m; see *e.g.* Dijkstra, 2000).

281

282 **Field investigations of areas of extensive ground deformation**

283 Where local clusters of deformation are identified it provides an important indication that a potential
284 problem may exist involving ground instability. This thus warrants further investigation. For several key
285 areas the observed PS values were checked using GPS. Where movement was caused by settlement
286 (due to recent building construction), PS observation are classified as 'false' (thus turning a DPS into a
287 SPS), and A 'true' classification is returned where the observed PS are located in zones of ground
288 deformation (Figure 7).



289

290 **Figure 7.** Land-use and landslide map of the Lanzhou area with an indication of PS indicators of ground movement. For a sub-set
291 of these PS ground truth was carried out using GPS. Further field investigations at Liquan, Fulongping, and Baita Shan clarified
292 the probable cause of ground deformation (black dots represent deformation caused by human activities, such as
293 building/removal of structures, red dots represent ground instability). The black polygons represent the locations of landslides
294 and tan-ta (clusters of small failures in loess deposits).

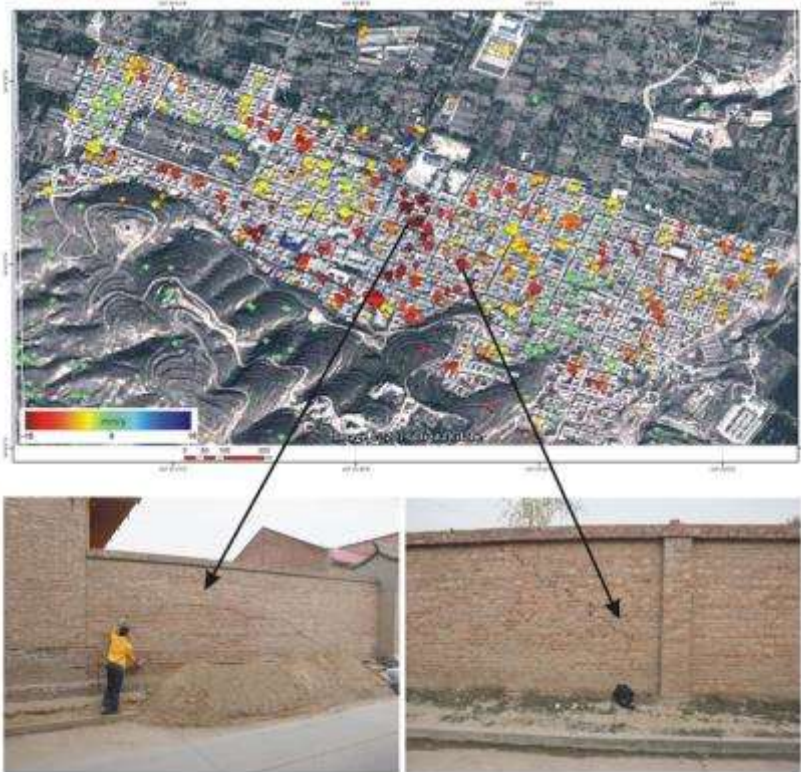
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297 On the basis of the distribution of PS points throughout the area of interest, three areas were
298 identified for further field investigation; the village of Liuquan (Polygon A in [Figure 6](#)), the district of
299 Fulongping (Polygon B in [Figure 6](#)), and the area around Baita Shan (Polygon C in [Figure 6](#)).

300 The PS density for Liuquan was 510 PS/km² and for Fulongping a density of 986 PS/km² was
301 achieved, providing ample density of information regarding ground movement based on a large
302 number of PS where significant (i.e. greater than 2mm/year) deformation was observed. In the Baita
303 Shan area a PS density of 145 PS/km² was achieved, but significant ground deformation was indicated
304 in only a few places, at times just as single DPS surrounded by SPS.

305 In Liuquan ([Figure 8](#)) ground movement in the western part of the village was previously
306 unknown. This village is situated on top of the 3rd terrace of the Yellow River that, in turn, is covered
307 by a substantial thickness of Malan loess (more than 20 m). There are clear concentrations of PS
308 points indicating annual deformations of more than 2 mm/year resulting in cumulative deformations
309 measuring some 10 to 30 mm over the period 2003 to 2010 (*Wasowski et al., 2011*). Alignment and
310 clustering gave rise to some concern as to what processes could be causing these patterns of
311 deformation. During the field inspection, it became clear that the main mechanism causing the
312 ground to subside was related to the position of poorly functioning drains. Water leaking from these
313 conduits changed the moisture content of the Malan loess leading to a progressive collapse of the
314 fabric that in turn was transferred through structural deformations of the surrounding buildings picked
315 up by the PS-InSAR analysis.



316

317 **Figure 8.** The PS results for the village of Liuquan. This village is located on the 4th river terrace, which is covered by thick Malan
 318 loess. During field investigations evidence of ground/structural deformation was observed at many places where PS values
 319 showed high levels of deformation. For location in the Lanzhou study area see [Figure 6](#).

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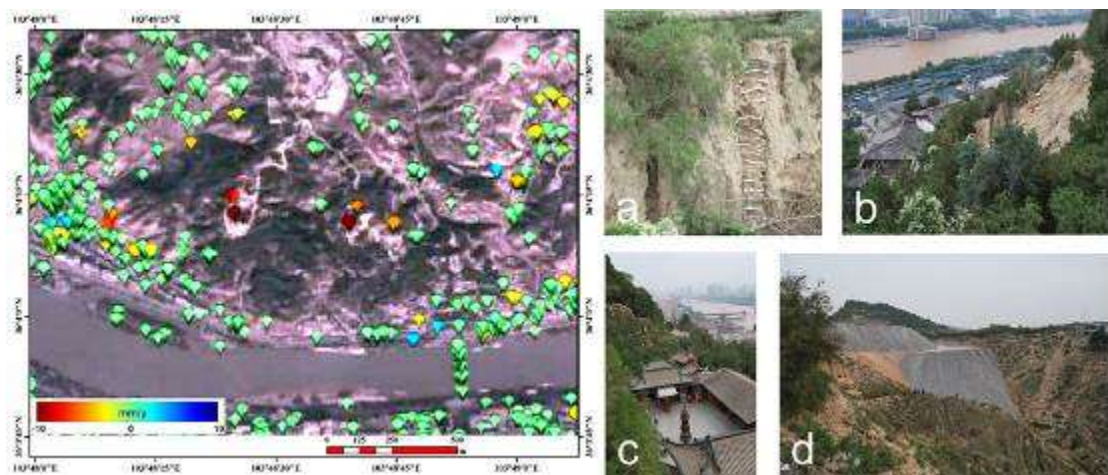
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322 **Figure 9.**The PS results for the district of Fulongping and an example of structural deformation due to ground movement as
 323 observed during field investigation. For location in the Lanzhou study area see [Figure 6](#).

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325 In the district of Fulongping, loess collapse phenomena were well known as the cause of
326 considerable damage to buildings (Figure 9). Again, most damage occurs to buildings in this area that
327 are located on the 3rd river terrace where local thicknesses of Malan loess range from 20 to 55 m.
328 Water entering the slopes (either through cracked pipes and drains, or through ingress of rainfall or
329 irrigation water) poses a great risk to the stability of these collapsible loess deposits. Fieldwork
330 confirmed that the PS correctly indicated areas where ground deformation is causing serious issues for
331 construction.

332 The area around the Baita Shan temple complex in central Lanzhou is known to be affected by
333 unstable ground (Figure 10). On these steep slopes on the lower flanks of Jiuzhoutai mountain
334 substantial changes have taken place during the target period of 2003 – 2010. These are in the form of
335 changes in surface morphology due to widespread construction mainly involving cut and fill
336 operations to create terraces and provide footprints for infrastructure and new buildings. However,
337 important changes are also taking place within these loess deposits. In this area intensive irrigation is
338 practiced to support afforestation of these slopes and this changes the groundwater regime. Greater
339 availability of soil moisture can lead to the collapse of loess fabrics, and the formation of extensive
340 tunnel and pipe networks (loess pseudokarst; Figure 10).



341

342 **Figure 10.** The PS results for the Baita Shan area and (a) examples of piping in loess and partial remediation through infill; (b)

343 small scale landsliding; (c) and slope reinforcement to protect infrastructure and construction; and (d) valley infills as observed

344 during field investigation. For location in the Lanzhou study area see Figure 6.

345

5 Conclusions

346 The application of the PS-InSAR technique in the Lanzhou Basin confirms its capability for detecting
347 and mapping ground deformation at sub-regional and local scales, a technique that, in synergy with
348 field investigations verifying local influencing conditions of geology, geomorphology, land use and
349 construction, offers great advantages for planning and hazard management in this meta-stable terrain.
350 The interpretation of the interferometry results, in terms of temporal evolution of the ground
351 deformation, is limited by the lack of ground control data (e.g. inclinometer, topographic surveys) for
352 the period of radar data acquisition (2003-2010) and this will be the subject of planned future
353 research, which includes detailed investigations of the influence of human activity on geohazards.

354 Few permanent scatterers are located on mapped landslides in the Lanzhou region. This is not
355 unexpected as pre-failure strains in loess are very small. Nevertheless, where strains are measured it is
356 prudent to use these as indicators for potential ground instability and plan further field investigations.
357 The interferometric patterns shown as overlays on maps or satellite imagery can quickly highlight
358 targets for further checking and can focus attention to sites of special concern. However, to enable
359 capture of pre-failure strains, image sequences with short time intervals (several per year) need to be
360 considered.

361 The approach is most suited to detecting spatially distributed, gradual deformation processes in
362 loess and has shown its greatest value in areas where, due to poor drainage, extensive ground
363 deformation occurs due to structural collapse of Malan and Holocene loess deposits. In these cases, it
364 can therefore form a suitable monitoring approach.

365 The PS-InSAR results highlights that, in particular, the higher terrace levels of the Yellow River are
366 prone to ground instability in this sensitive environment. There are indications that the stability of
367 these terraces depends on their recent development history and land use, in particular when irrigation
368 or poor drainage is involved.

369 In this densely populated city, the consequences of ground instability can be severe. It is
370 therefore imperative that both construction and irrigation practices are managed sensitively in the
371 meta-stable loess landscape. Inappropriate drainage, or complete lack thereof, will result in long-term
372 consequences for ongoing ground deformation, not just on the river terraces as identified in this study,

373 but also elsewhere in the Lanzhou region where new construction continues to involve large scale
374 landscape reconstruction filling in valleys without due consideration for appropriate drainage in, or
375 long term volume stability of reworked loess deposits (Dijkstra *et al.*, 2014; Li *et al.*, 2014). In due
376 course, these new construction areas are therefore expected to generate further interesting case
377 studies for the application of PS-InSAR.

378

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