

On sea level change in the North Sea influenced by the North Atlantic Oscillation: local and remote steric effects

Xinping Chen^{a,*}, Sönke Dangendorf^b, Nikesh Narayan^c, Kieran O'Driscoll^d, Michael N Tsimplis^e, Jian Su^a, Bernhard Mayer^a, Thomas Pohlmann^a

^a*Institute of Oceanography, Center for Marine and Climate Research, University of Hamburg, Bundesstr. 53, 20146 Hamburg, Germany.*

^b*Research Institute for water and Environment, University of Siegen*

^c*Bundesamt für Seeschifffahrt und Hydrographie, Hamburg, Germany*

^d*Marine Research Group, School of Planning, Architecture & Civil Engineering (SPACE), Queen's University Belfast.*

^e*National Oceanography Centre, University of Southampton, SO14 3ZH.*

Abstract

In this study, we investigated contributions of both local steric and remote baroclinic effects (i.e., steric variations external to the region of interest) to the inter-annual variability of winter sea level in the North Sea, with respect to the North Atlantic Oscillation (NAO), for the period of 1953–2010. On inter-annual time scales in this period, the NAO is significantly correlated to sea level variations in the North Sea only in the winter months (December–March), while its correlation to sea temperature over much of the North Sea is only significant in January and February. The discrepancy in sea level between observations and barotropic tide and surge models forced by tides and local atmospheric forcing, i.e., local atmospheric pressure effects and winds, in the present study are found to be consistent with pre-

*Corresponding author. Tel. +49 40 42838 5753

Email address: xinping.chen@zmaw.de (Xinping Chen)

vious studies. In the North Sea, local thermosteric effects caused by thermal expansion play a minor role on winter-mean NAO related sea level variability compared with NAO related atmospheric forcing. This is particularly true in the southeastern North Sea where water depths are mostly less than 25 m. Our calculations demonstrate that the discrepancy can be mostly explained by remote baroclinic effects, which appear as water mass exchanges on the continental shelf and are therefore only apparent in ocean bottom pressure. In the North Sea, NAO related sea level variations are a hybrid of barotropic and baroclinic processes. Hence, they can only be adequately modelled with three-dimensional baroclinic ocean models with more realistic open boundary conditions (than barotropic models) that allow the exchange of heat and salt.

Keywords: North Sea, sea level, North Atlantic Oscillation, steric effect, baroclinic effect

1 **1. Introduction**

2 Global sea level rise is one of the possible effects of climate change ([Harris](#)
3 [and Roach, 2007](#)). Tide gauge records exhibit a global sea level rise of ap-
4 proximately 1.7 mm yr^{-1} over the 20th century ([Bindoff et al., 2007](#); [Church](#)
5 [and White, 2011](#)), and it is expected that this rise will continue through the
6 21st century as well, possibly at accelerated rates ([Rahmstorf et al., 2007](#);
7 [Slangen et al., 2012](#); [Orlić and Pasarić, 2013](#)). However, especially due to
8 oceanographic and meteorological forcing, the rates of rise are far from being
9 uniform, and display considerable temporal and spatial differences around
10 the globe ([Church et al., 2004](#); [Cazenave et al., 2008](#)). The understanding of

11 the driving mechanisms of sea level rise is therefore essential for evaluating
12 past as well as possible future changes in regional sea level.

13 Sea level in the North Sea and especially in the German Bight has been
14 extensively studied in the past decades (e.g., [Langenberg et al., 1999](#); [Wahl
15 et al., 2011](#); [Dangendorf et al., 2012](#)). A review of sea level variations in the
16 region since 1800 has been recently presented by [Wahl et al. \(2013\)](#). They
17 estimated a sea level rise in the order of 1.7 mm yr^{-1} since 1900 for the en-
18 tire North Sea basin, but also pointed to significant spatial differences with
19 increasing variability and trends along the coastlines from the English Chan-
20 nel to the southeastern sea, including the German Bight. It has been known
21 that the possible contributions to sea level variations in the North Sea region
22 include the following driving mechanisms: wind and atmospheric pressure
23 anomalies through atmospheric loading; steric effects due to thermal/haline
24 expansion or contraction associated with temperature and salinity changes;
25 redistribution of volume associated with changes in ocean circulation; water
26 mass exchange between land, oceans and atmosphere; and finally other pro-
27 cesses such as land uplift caused by glacial isostatic adjustment. Although a
28 full assessment of all possible contributions is still missing, [Dangendorf et al.
29 \(2013\)](#) demonstrated that the majority of the intra- and inter-annual mean
30 sea level variability can be explained by local atmospheric forcing, while on
31 decadal time scales remotely forced steric variations become dominant.

32 In the past decades, there has been much effort on estimating the in-
33 fluence of internal climatic variability phenomena (e.g. ENSO, PDO, etc.)
34 on regional sea level. In the Northeast Atlantic Ocean the dominant atmo-
35 spheric mode is the North Atlantic Oscillation (NAO), hence in this study

36 we focus on the NAO influence. The NAO significantly affects the climate
37 of the North Atlantic and impacts many meteorological and oceanographic
38 parameters, such as, wind, pressure, temperature and precipitation (Hurrell,
39 1995; Hurrell and Deser, 2009), and sea surface temperature (SST) (Becker,
40 1996; Dippner, 1997), especially during winter. Changes in each of these
41 parameters may influence sea level variations in the North Sea via different
42 baroclinic and barotropic adjustment processes.

43 Recent studies have demonstrated the impact of the NAO on sea level in
44 the North Sea (Jevrejeva et al., 2005; Yan et al., 2004; Tsimplis and Shaw,
45 2008; Dangendorf et al., 2012). Woolf et al. (2003) have shown that a linear
46 relationship between winter sea level anomalies and the NAO index can be
47 used to explain most of the variability in the North Sea, the Mediterranean
48 and the eastern parts of the North Atlantic, where sea level variations are
49 also significantly correlated with the NAO on decadal time scales (Calafat
50 et al., 2012). Wakelin et al. (2003) found a good relationship between sea level
51 along western Europe coast and the NAO index in winter on inter-annual time
52 scales, especially for the German Bight, where wind stress accounted for over
53 90 % of the observed sea level variability during winter (Dangendorf et al.,
54 2013). Wakelin et al. (2003) also estimated sea level changes per unit NAO
55 over the northwest European continental shelf by considering results from a
56 two-dimensional model driven by tides, local winds and atmospheric pressure,
57 and they found that the NAO sensitivities from observations were, in general,
58 higher than estimates from model results. This discrepancy might in part be
59 caused by other contributions, such as temperature and rainfall which are also
60 affected by the NAO, but were not included in their two-dimensional model.

61 The latter was also confirmed by [Tsimplis et al. \(2005\)](#) in an overview about
62 recent findings to the NAO influence on sea level over the Northern European
63 Shelf. In a companion paper, [Tsimplis et al. \(2006\)](#) further examined the
64 hypothesis that sea surface temperature could be responsible for the observed
65 differences by estimating the sea level changes due to water thermal expansion
66 near Den Helder in the shallow southern North Sea. Their results showed that
67 the SST sensitivity to the NAO index near Den Helder is about 0.85°C per
68 unit NAO in winter, which results in thermosteric sea level changes of about
69 10 mm per unit NAO, a value that could close the gap between observations
70 and model results, if not considering model inaccuracy.

71 However, in addition to local contributions of temperature and salinity
72 due to thermal expansion and haline contraction at regional scales, steric
73 effects in one region can emerge from the local contribution, and also from
74 remote steric signals produced further in other regions, since steric signals
75 may travel from one area to adjacent areas. Moreover, redistribution of heat
76 and salt (water mass) associated with processes including horizontal conver-
77 gence and divergence of ocean circulation changes can also contribute to sea
78 level variability as well ([Fukumori and Wang, 2013](#)). Therefore, deep water
79 masses (e.g., the Atlantic Ocean) can be transferred to shallow shelf areas
80 due to redistribution, and can finally contribute to local sea level changes
81 along the coast. This contribution was discussed by [Richter et al. \(2012\)](#) for
82 the Norwegian coast, where it plays a relatively important role for sea level
83 changes in the period 1960–2010.

84 Since local contributions of temperature changes have not yet been further
85 explored for the entire basin in the North Sea, the goal of the present study

86 is to investigate how these affect inter-annual variability of sea level in the
87 North Sea. Steric sea level variations forced external to the region are also
88 estimated. We use a three-dimensional baroclinic shelf sea model to reanalyze
89 the steric contribution over the entire basin during the period from 1953 to
90 2010 and compare the results with observations.

91 Previous studies have shown that correlation coefficients between sea level
92 and the NAO index in the North Sea region are only significant from De-
93 cember to March (e.g., [Wakelin et al., 2003](#); [Dangendorf et al., 2012](#)). The
94 analysis in this study further shows that, only in January and February (JF),
95 correlations between SSTs and the corresponding NAO index are significant
96 most parts of the North Sea, while for December and March, the correla-
97 tions are mostly insignificant (see section [3.2](#)). Therefore, all analyses in
98 this study focus on the winter variability on inter-annual time scales, i.e. we
99 form winter means by only considering the months of January and February
100 (JF). This was consistently done for temperature and salinity as well as sea
101 level values. Hence, in the following text, ‘winter-mean’ refers to the average
102 for the two months (JF) unless stated otherwise.

103 The paper is structured as follows. Data and methods are described in
104 section [2](#), which also includes model descriptions and model validation. The
105 corresponding results and discussion are presented in section [3](#). Finally,
106 conclusions are drawn in section [4](#).

107 **2. Data and methods**

108 In this study, both observed and modeled temperature and sea level data
109 were used. Details of these data are presented in sections [2.3](#)– [2.4](#). Model de-

110 description and validation are shown in section 2.1. The methods are presented
111 in section 2.5.

112 2.1. Utilized numerical model

113 All simulated data mentioned within this article were produced with the
114 numerical HAMBURG Shelf Ocean Model (HAMSOM). It is a 3D baroclinic
115 ocean circulation model solving the shallow water equations based on a semi-
116 implicit scheme on the Arakawa-C grid. The HAMSOM coding excludes any
117 time-splitting, i.e., free surface and internal baroclinic modes are always di-
118 rectly coupled. Terms that most severely limit the model time-step are for-
119 mulated implicitly, such as vertical shear stress, diffusion terms and terms
120 determining surface gravity waves, i.e., barotropic pressure and the verti-
121 cally integrated continuity equation. Moreover, the Coriolis term is solved
122 in a second-order accuracy in time, by means of a rotational matrix (Back-
123 haus, 1985). For the horizontal turbulent diffusion, the exchange coefficient
124 is calculated, according to Smagorinsky (1963) proportional to the sum of
125 the horizontal shear of the horizontal velocity components and their linear
126 strain rate. The vertical eddy viscosity was determined following Kochergin
127 (1987), where it is increased with increasing vertical shear and decreased with
128 increasing stability. For the bottom friction, the quadratic bottom stress is
129 introduced in a semi-implicit way. Detailed information about HAMSOM
130 can be found in Backhaus (1985) and Pohlmann (1996, 2006).

131 At the lateral open boundaries, a radiation treatment according to (Or-
132 lanski, 1976) and an additional relaxation term under inflow conditions is
133 applied to allow water, which just left the model domain, to return with
134 its corresponding properties. Details of the treatment in HAMSOM can be

135 found in [Chen et al. \(2013\)](#).

136 A full 3D baroclinic simulation for the years 1953–2010 was performed
137 in this study to provide temperature, salinity and sea level model results for
138 further analyses. The model domain covers the entire North Sea (Fig. 1) with
139 horizontal resolution of 1.5' latitude \times 2.5' longitude (less than 3 km in both
140 horizontal directions) and with 30 vertical z-layers of gradually increasing
141 thicknesses from 5 m within the upper 50 m to 50 m below 200 m depth. The
142 time-step was five minutes, and a spin-up time of 5 years was used for the
143 model simulation.

144 Atmospheric forcing data were provided by the global NCEP/NCAR at-
145 mospheric reanalysis data ([Kalnay et al., 1996](#); [Kistler et al., 2001](#)), where
146 six-hourly values have been interpolated into the model grid and time-step.
147 The data were used previously by [O'Driscoll et al. \(2013\)](#) for the North Sea
148 model. The authors pointed out that the large-scale structure of the tem-
149 perature patterns were reasonably reproduced.

150 The lateral open boundary forcing for the North Sea model was provided
151 by an intermediate HAMSOM version covering the North-West European
152 Shelf (NWES). The domain of the NWES model extends over the continen-
153 tal shelf from 47°41'N to 63°53'N and 15°5'W to 13°55'E (Fig. 1). The
154 horizontal resolution is 12' in latitude and 20' in longitude (\sim 20 km). In
155 the vertical, in agreement with the North Sea model, a number of 30 layers
156 was employed as follows: 10 m surface layer; 10 \times 5 m layers 10-60 m; 10 \times 10 m
157 layers 60–160 m; 40 m layers 160–200 m; 2 \times 100 m layers 200–400 m; 150 m
158 layer 400–550 m; 250 m layer 550–800 m; 400 m layer 800–1200 m; 2 \times 500 m
159 layers 1200–2200 m; 600 m layer 2200–2800 m; 700 m layer 2800–3500 m.

160 The NWES model used the same atmospheric forcing data as the North Sea
161 model. At the lateral open boundaries, except at the eastern boundary of the
162 NWES model to the Baltic Sea, monthly temperature, salinity and sea sur-
163 face elevation were provided by GECCO2 (see Köhl et al. (2012) for details).
164 Ocean tides (11 tidal constituents: M2, S2, O1, K1, Q1, P1, N2, K2, M4,
165 MS4, MN4) were included at the lateral boundaries in both the NWES and
166 the North Sea models. At the eastern lateral boundary of the NWES model,
167 we followed the treatment in Mathis et al. (2013): in order to assure for
168 the appropriate magnitude and variability of Baltic outflow, volume fluxes
169 are directly prescribed at the boundary, which is the normal procedure for
170 grid cells in the HAMSOM representing river input cells; the volume fluxes
171 (i.e., the inflow from the Baltic Sea) during 1953–2010 were reconstructed
172 by means of the river runoff data from the studies of Meier and Kauker
173 (2003) and Kronsell and Andersson (2012), of which seasonal cycles and an-
174 nual means for each year were kept in the present study; the Baltic outflow
175 salinity is described by monthly climatologies from World Ocean Atlas 20019
176 (WOA09) (Antonov et al., 2010).

177 In addition to the 3D baroclinic model simulation, another hindcast
178 with the North Sea model in barotropic mode was performed for the pe-
179 riod 1953–2010. The barotropic model was forced by wind, atmospheric
180 pressure and tides (hereafter referred to tide+surge model). At the sea sur-
181 face and along the open boundary, the meteorological influence is estimated
182 from sea level pressure (SLP) values using the inverse barometric correction.
183 Similar to the baroclinic model, the lateral open boundary conditions for
184 the North Sea model were also provided by the corresponding barotropic

185 tide+surge version of the NWES model which contains the interaction of
 186 tides and surges. The interaction is thus included at the open boundaries
 187 of the North Sea model as well, which is important at the shallow southern
 188 boundary (e.g., the English Channel boundary). The 11 dominant tidal con-
 189 stituents and atmospheric forcing data were the same as those used in the
 190 baroclinic model.

191 2.2. model validation

192 Simulated model results were validated with observations, by applying
 193 correlation coefficient and model skill. Following [Willmott \(1981\)](#), the skill
 194 is defined as

$$WS = 1 - \frac{\sum (X_{model} - X_{obs})^2}{\sum (|X_{model} - \overline{X_{obs}}| + |X_{obs} - \overline{X_{obs}}|)^2}, \quad (1)$$

195 where X_{model} and X_{obs} represent the respective model results and observa-
 196 tions, and $\overline{X_{obs}}$ is the mean value of observations. The highest value, $WS = 1$,
 197 indicates a perfect agreement between model and observation, while skill
 198 score equal to zero means complete disagreement. Note that only the valida-
 199 tion for the winter months is presented here. For more complex validations
 200 the interested reader is referred to [Su et al. \(2014\)](#).

201 The validation results for different locations are shown in [Fig. 2](#). Obvi-
 202 ously, the modelled temperature and sea level variations fit well to the ob-
 203 servations at Helgoland and Den Helder (details of these observed data are
 204 introduced in the following section [2.3](#)), which is expressed by correlations
 205 always beyond 0.94 and skill values, WS, larger than 0.96 (up and middle
 206 panels in [Fig. 2](#)).

207 In addition, BSH SST observations (which were kindly provided by the
208 BSH, the German Federal Maritime and Hydrographic Agency) are used for
209 model validation. These BSH SSTs are based on both satellite and ship
210 observations, and were processed and gridded by the BSH satellite data
211 service (<http://www.bsh.de/aktdat/mk/MethodenE.html>). The data cover
212 the North Sea for the period of 1969–2008, with a horizontal resolution of
213 $(1/3)^\circ$ latitude and of approximately $(2/3)^\circ$ longitude. It can be seen from
214 Fig. 2 (bottom; left) that the correlation of domain-averaged SSTs between
215 modelled and observed reaches up to 0.97, and the skill, WS , is 0.98.

216 Fig. 2 (bottom; right) shows the correlation coefficients and skill scores
217 (WS) of winter-mean sea level between model results and tide gauge obser-
218 vations (introduced in the following section 2.3. Both the correlations and
219 the skill scores, WS , are relatively high at most of the locations in the North
220 Sea. The correlations and skill scores, WS , for the sea level off the coast of
221 Denmark and Germany are considerably high (>0.9), whereas at the other
222 locations in the North Sea they are a little smaller but still high, reaching
223 mostly 0.9.

224 Overall, we find a reasonable agreement between observations and model
225 results, which permits the application of the model results for further anal-
226 yses.

227 *2.3. Temperature, salinity and sea level data*

228 Modelled temperature and salinity data for the years 1953–2010 were
229 obtained from the 3D baroclinic simulation introduced above. Monthly in-
230 situ temperature and salinity measurements collected from a station located
231 at Helgoland in the German Bight (Fig. 1) were analyzed. Details of these

232 data have been described in [Wiltshire and Manly \(2004\)](#) and [Franke et al.](#)
233 [\(2004\)](#). The data have been available since 1873, however, for consistency
234 with model set-up and observed sea level, only data from 1953 onwards were
235 used in this study.

236 Modelled sea level data were taken from the 3D baroclinic and the
237 barotropic model results, while observed sea level data from different tide
238 gauges were acquired from the Permanent Service for Mean Sea Level ([Wood-](#)
239 [worth and Player, 2003](#)). Only data from the tide gauges providing at least
240 20 years of data were considered in this study to assure comparability of re-
241 sults, especially for correlation and linear trend analyses. There were 22 such
242 tide gauges available for the North Sea, of which the locations are shown in
243 [Fig. 1](#). We further analyzed one additional record from the Helgoland tide
244 gauge covering the period from 1953 to 2008. This record was reconstructed
245 by [Wahl et al. \(2010, 2011\)](#) on the basis of a combination of observed high and
246 low water levels as well as hourly observations, which represents a reasonable
247 supplement to the temperature and salinity observations in that area.

248 *2.4. NAO data*

249 To be consistent with previous studies of [Wakelin et al. \(2003\)](#) and [Tsim-](#)
250 [plis et al. \(2006\)](#), the NAO index data used in the present study was down-
251 loaded from the website of the Climatic Research Unit, University of East
252 Anglia (<http://www.cru.uea.ac.uk/cru/data/nao/nao.dat>). This index
253 was computed by taking differences between SLP anomalies over Gibraltar
254 and southwest Iceland ([Jones et al., 1997](#)).

255 *2.5. Methods*

256 To investigate the local contribution of steric effects to sea level changes
 257 in the North Sea, we computed the local steric sea level in this study. As a
 258 first step, we converted the temperature and salinity anomalies in terms of
 259 density anomalies using the equation of state of the ocean. The local steric
 260 sea level is thus calculated by means of density anomalies at each grid point
 261 according to:

$$SL_{steric}(x, y, t) = \int_{-H}^0 \frac{\rho_0(x, y, z) - \rho(x, y, z, t)}{\rho_0(x, y, z)} dz, \quad (2)$$

262 where $\rho_0(x, y, z)$ is the reference density and H the water depth. $\rho(x, y, z, t)$
 263 is estimated based on the full equation of state, which is a non-linear function
 264 of temperature, salinity and pressure. SL_{steric} can be further separated into
 265 a thermosteric and a halosteric part by replacing either the time-varying
 266 salinity or temperature by their time mean values that are also used for
 267 calculating the reference density, i.e., $\rho_0(x, y, z)$. For example, for calculating
 268 thermosteric sea level (hereafter $SL_{ThermoS}$), at each model grid point of the
 269 North Sea model, salinity values from the 3D model results were temporally
 270 and vertically averaged over the study period to obtain the climatological
 271 salinity filed.

272 In particular, we calculated winter-mean $SL_{ThermoS}$ anomalies at Hel-
 273 goland and at Den Helder (see Fig. 1) by means of both observed and simu-
 274 lated sea temperatures, assuming the salinity to be constant at the average
 275 winter-mean value (32.57 psu at Helgoland and 28.27 at Den Helder) com-
 276 puted from the observed salinity values. We notice that during winter the
 277 water column is well mixed in shallow waters of the North Sea, especially in

278 the German Bight and in the southern North Sea. Hence, the temperature
279 change near the surface can be used to represent variation over the whole
280 column. Thus, the $SL_{ThermoS}$ at Helgoland (at Den Helder) was assessed for
281 a nominal depth of 20 m (18 m) corresponding to the model depth.

282 To evaluate the relationship between the NAO and sea level/temperature,
283 the winter-mean time series of sea level/temperature anomalies are corre-
284 lated with the corresponding winter-mean NAO time series (both detrended).
285 Moreover, following the approach proposed by [Wakelin et al. \(2003\)](#), the sen-
286 sitivity of winter-mean sea level/temperature to the corresponding winter-
287 mean NAO index is estimated with linear regression models between sea
288 level/temperature and the corresponding NAO time series, assuming that
289 sea level/temperature is a linear function of the NAO index.

290 **3. Results and discussion**

291 *3.1. Wind and atmospheric pressure effects on sea level changes*

292 In order to show the NAO influence on sea level changes in the North
293 Sea, the correlation coefficients between the winter-mean sea level from the
294 barotropic tide+surge model (hereafter referred to SL_{t+s}) and the NAO index
295 were calculated. It is shown that the correlations are statistically significant
296 over much of the North Sea for the period of 1953–2010 (Fig. 3(a)). Strong
297 correlations occur in the north and east of the region, while relatively weak
298 correlations appear off the eastern British coast, especially at the southern
299 entrance to the North Sea through the English Channel.

300 To show the relative importance of winds and SLP on sea level changes
301 in the North Sea, we performed another model simulation forced only by

302 wind and tides, not considering the inverted barometer effect induced by
303 SLP. By comparison of Fig. 3(a) and (b), we can see that, regarding the
304 relationship between winter-mean sea level and the NAO index, SLP has
305 significant influences in the northern and central North Sea, extending from
306 east of the Shetland shelf southward to the Southern Bight. By contrast,
307 in the region off the coast of Denmark, Germany, and the Netherlands, as
308 well as in the area around the north and east coasts of Scotland and in the
309 Norwegian Trench area, the influence of SLP is minor, indicating that the
310 wind plays a more important role in these regions. Our results are consistent
311 with previous studies (e.g., Wakelin et al., 2003; Woolf et al., 2003).

312 The spatial distribution of the sensitivities of winter-mean SL_{t+s} to the
313 NAO index (Fig. 3(c)) is not homogeneous showing amplitudes of less than
314 10 mm per unit NAO along the English coastal region to over 80 mm per
315 unit NAO in the German Bight. Furthermore, both the correlations and
316 sensitivities of winter-mean SL_{t+s} related to the NAO index are in relatively
317 good agreement with those of tide gauge observations (Fig. 4) over the period
318 considered: the average of the difference of the correlations (sensitivities)
319 to the NAO index between SL_{t+s} and observations is 0.085 (5.4), which is
320 $\sim 18\%$ (15%) of the average of the correlations (sensitivities) of observations,
321 being 0.47 (36.7). However, it can be seen from Fig. 4 (black curves) that
322 winter-mean SL_{t+s} exhibits higher correlations and lower sensitivities related
323 to the NAO index compared with those of observations, especially in the
324 German Bight and off the coast of Denmark. For instance, at Helgoland, the
325 correlation and the sensitivity of the observed sea level are, respectively, 0.66
326 and 72 mm per unit, while that of the sea level from the tide+surge model is

327 respective 0.71 and 64 mm per unit NAO index (Table 1). This discrepancy
328 was previously noted by Wakelin et al. (2003), where a coarser resolution
329 model was employed. To explain this discrepancy, contributions from both
330 local steric effects and remote forcing to sea level changes are investigated in
331 the following sections.

332 *3.2. Local contributions of steric effects on sea level changes*

333 Before investigating steric effects on sea level changes, first of all we show
334 the relationship between SSTs and the NAO index in the North Sea. Fig. 5
335 presents correlation coefficients between SSTs and the NAO index in the
336 four months (December–March) for the period of 1953–2010. It can be seen
337 that, only in January and February, the correlations are significant over most
338 parts of the North Sea. December and March, however, seem to represent
339 a kind of transition to the bounded seasons, in which the correlations are
340 statistically insignificant. Moreover, correlations for the other months are
341 also insignificant over most area of the North Sea (not shown). Therefore, in
342 the present study we focus on the variability of winter-mean (JF) time series
343 of temperature, and also of sea level for consistency.

344 At Helgoland located in the German Bight, winter-mean temperature
345 anomalies reach up to 3.5°C (Fig. 6(A)), leading to a high correlation with
346 the winter-mean NAO index (correlation coefficient is 0.63); temperature
347 sensitivity to the corresponding winter-mean NAO index is 0.49°C per unit
348 NAO (Table 1). Similarly, at Den Helder located in the southern North
349 Sea, winter-mean temperature anomalies are extremely high (>4.0°C) such
350 as in 1963 (Fig. 6(a)); the correlation coefficient between the winter-mean
351 temperature and the NAO index is 0.75, which results in SST sensitivity to

352 the NAO index of about 0.61 °C per unit NAO (Table 1).

353 The local contribution of steric effects is estimated in the North Sea, by
354 means of Eq. 2. Fig. 6(C and D) shows the comparison of time series of
355 observed sea level, barotropic SL_{t+s} , and calculated local thermosteric sea
356 level ($SL_{ThermoS}$) and halosteric sea level anomalies at Helgoland. The figure
357 demonstrates that sea level variations due to local thermosteric effects are
358 limited, accounting to only on the order of 5.0 mm, which is rather small
359 compared with the SL_{t+s} or observed sea level changes (up to 400 mm at
360 Helgoland). The sensitivity of winter-mean $SL_{ThermoS}$ to the NAO index is
361 only about 1.0 mm per unit, which is much smaller than that of the observed
362 sea level (72 mm per unit), and also lower than that of SL_{t+s} (64 mm per
363 unit in Table 1). Similarly, at Den Helder, sea level values due to local
364 thermosteric effects are much smaller than those of SL_{t+s} or observed sea
365 level (Fig. 6(b–c)); sensitivity of winter-mean $SL_{ThermoS}$ to the NAO index
366 is only 1.0 mm per unit (Table 1). It is thus evident that this difference
367 between the sensitivity of winter SL_{t+s} and observed sea level in the German
368 Bight cannot be caused solely by the local thermosteric contribution.

369 Salinity anomalies at Helgoland are up to 2.0 psu (Fig. 6(B)), which can
370 induce local sea level anomalies on the order of 30 mm. This demonstrates
371 that sea level anomalies caused by local halosteric effects are considerably
372 larger than those caused by thermosteric effects at Helgoland (Fig. 6(C)),
373 indicating that local halosteric effects contribute most of the total steric
374 change in freshwater influenced areas like the German Bight. However, the
375 correlation between the winter-mean salinity anomalies and the NAO index is
376 only -0.17, which is not statistically significant at the 95 % significance level.

377 Therefore, we do not further analyze the relation between local salinity and
378 the NAO in this study.

379 For the entire North Sea, the sensitivity of the winter-mean $SL_{ThermoS}$,
380 based on the simulated temperatures, to the NAO index is almost everywhere
381 less than 3 mm per unit NAO index, with an exception near the Norwegian
382 coast, where maximum sensitivity is over 5 mm per unit (Fig. 7a). Moreover,
383 at the coastal tide gauge stations the effects of thermal expansion in winter
384 on the correlation and sensitivity related to the NAO index are quite small
385 (Fig. 4b in red). With the exception of the deep waters of the Norwegian
386 Trench, the percentage of the sensitivity of $SL_{ThermoS}$ to SL_{t+s} is small over
387 most parts of the basin (not shown). In the central and northwestern North
388 Sea, the percentage can reach 10 %. In the area of around Dogger Bank and
389 the coastal areas of the Netherlands, Germany and Denmark, the percentage
390 is about 2 %, while maximum values in the North Sea are found near the coast
391 of Norway. On average over the North Sea, the sensitivity of the $SL_{ThermoS}$
392 accounts for approximately 5 % compared to the SL_{t+s} .

393 [Tsimplis et al. \(2006\)](#) also estimated sea level change due to the local
394 contribution of thermosteric effects at Den Helder. Their results showed
395 that the sensitivity of $SL_{ThermoS}$ can be as much as 10 mm per unit NAO
396 index, which is over 20 % to the sensitivity of the observed sea level (55 mm
397 per unit NAO index (see Table 1 of [Tsimplis et al. \(2006\)](#)). However, by
398 recalculating the sensitivity at Den Helder we found that the value provided
399 by [Tsimplis et al. \(2006\)](#) was flawed (i.e., 0.08 cm m^{-1} per unit in their Table
400 1). In contrast, the calculation in this study suggests that the sensitivity
401 of the $SL_{ThermoS}$ to the NAO is only on the order of 1.0 mm per unit (see

402 Table 1). Therefore, we arrive at the conclusion that the local contribution
403 of thermosteric effects cannot explain the discrepancy in the NAO sensitivity
404 between tide+surge modelled and observed data in shallow areas of the North
405 Sea.

406 *3.3. Contributions of remote forcing: baroclinic effects and large-scale atmo-* 407 *spheric forcing*

408 Two processes are not accounted for in the barotropic model, but included
409 in the 3D baroclinic model. One is the process of baroclinic density-driven re-
410 distribution of water mass associated with temperature and salinity changes.
411 The other one is the large-scale wind forcing (LSWF) and the associated
412 circulation external to the NWES model domain, which are not considered
413 at the open boundary of the barotropic model, but are introduced in the
414 3D model by the open boundary conditions provided by the global GECCO2
415 data. This external contribution consists of baroclinic as well as barotropic ef-
416 fects. As demonstrated by Calafat et al. (2012), much of the decadal sea level
417 variability in the eastern north Atlantic can be explained by coastally trapped
418 waves which are driven by longshore winds that are directly connected to the
419 NAO. Dangendorf et al. (under review) argued that these waves may also
420 propagate into the North Sea and they are primarily steric (and therefore
421 baroclinic) in nature. This reflects one advantage of the 3D model with re-
422 spect of open boundary conditions. In the present study, these two processes
423 are not separately considered, since they are coupled together. These two
424 contributions missing in the barotropic model but additionally considered in
425 the 3D baroclinic model are referred to the baroclinic+LSWF contributions
426 in the following text.

427 Fig. 4 exhibits that, at most tide gauge locations in the North Sea, both
 428 correlations and sensitivities of 3D modelled sea level (hereafter SL_{3D}) to
 429 the winter-mean NAO index are closer to those of observations than those of
 430 the SL_{t+s} . At the considered locations, the average of the difference of the
 431 correlations/sensitivities between SL_{t+s} and the observed sea level (Fig. 4 in
 432 black) is 0.085/5.4, while the average of the difference of those between SL_{3D}
 433 and observations (Fig. 4 in blue) is dropped to 0.050/3.4.

434 The discrepancy in sea level sensitivity between observed and tide+surge
 435 modelled values can be much stronger decreased by the baroclinic+LSWF
 436 contributions than by local steric effects. For example, at Helgoland, the
 437 sensitivity of winter-mean tide gauge sea level (black line in Fig. 6(D)) is
 438 72 mm per unit, while that of SL_{t+s} (black dashed line in Fig. 6(D)) is 64
 439 mm per unit (see Table 1). As expected, a higher sensitivity is found for
 440 the winter-mean SL_{3D} (blue line in Fig. 6(D)), being 70 mm per unit (Ta-
 441 ble 1). Therefore, the baroclinic+LSWF effect in the 3D baroclinic model
 442 contributes ~ 6 mm per unit, whereas $SL_{ThermoS}$ provides only 1 mm per unit
 443 NAO in sea level sensitivity. Similarly, for Den Helder station, we can see
 444 from Fig. 6(c) and Table 1 that the additional contribution of the 3D baro-
 445 clinic model to sea level sensitivity is about 7 mm per unit NAO, which can
 446 close the gap of sensitivity between observed sea level (51 mm per unit) and
 447 SL_{t+s} (40 mm per unit) significantly more than the sensitivity of $SL_{ThermoS}$,
 448 which is only ~ 1.0 mm per unit NAO.

449 Fig. 7(a) shows the sensitivity of $SL_{ThermoS}$ calculated by Eq. 2. It is
 450 clear that the sensitivity of $SL_{ThermoS}$ generally follows the ocean bottom
 451 topography in the region: it is very low in the shallow areas such as off the

452 coast of the Netherlands, Germany and Denmark as well as off the east coast
453 of the UK, while it is larger in the northern North Sea, especially in the
454 Norwegian Trench, where water depths reach 700 m. This could be expected,
455 since due to the shallow water depths most parts of the North Sea water
456 body are not able to produce any significant steric signal (Woodworth et al.,
457 2007; Dangendorf et al., under review). This can also explain why at the tide
458 gauge locations in the North Sea, which are situated in very shallow water,
459 variability in local steric sea level is very small.

460 However, this does not mean that steric effects are completely negligi-
461 ble in the North Sea region. It rather suggests that steric signals in deep
462 water are indeed transferred to shallow coastal areas, and appear as mass
463 signals along the coast Bingham and Hughes (2012). This effect becomes
464 clear when looking at Fig. 7(b). Here, differences between the baroclinic and
465 the barotropic model runs are displayed (SL_{3D} minus SL_{t+s}). The additional
466 contribution introduced by the baroclinic model accounts for up to 12 mm
467 per unit NAO. A lack of the sensitivity in the northern North Sea, while
468 largest sensitivities are found in the Norwegian Trench area, where values
469 are $\sim 6-12$ mm per unit NAO. Along the southeastern coastlines positive
470 sensitivities in the order of $\sim 4-7$ mm per unit NAO are found; values that
471 can minimize the gap between observations and barotropic models. This wa-
472 ter mass redistribution likely explains why sensitivities in the baroclinic model
473 are better represented than in the barotropic tide+surge model.

474 Finally, we point out that, even though sensitivities of SL_{3D} to the NAO
475 index are much closer to those of observations, compared with those of
476 barotropic modelled sea level, they still show slightly smaller values than

477 those of observations (Fig. 4 in blue). This might be due to model inaccura-
478 cies and/or still missing processes in the 3D model. Such missing processes,
479 for instance, might be related to inadequate approximations of the river run-
480 off, which is only considered on a mean climatological basis in this study. It
481 has been reported that the precipitation over the North Sea is also correlated
482 to the NAO index (Hurrell, 1995).

483 4. Summary and conclusions

484 The NAO strongly affects inter-annual to decadal North Sea sea level
485 variability in winter, except for the region off the English coast in the southern
486 North Sea. Here we have investigated the role of NAO related atmospheric
487 forcing on sea level changes in the North Sea by means of the barotropic
488 tide+surge model. The sensitivity of SL_{t+s} to the winter-mean NAO index
489 is less than 10 mm per unit NAO along the English coastal region, while is
490 greater than 80 mm per unit NAO in the German Bight. In agreement with
491 previous studies (e.g. Wakelin et al., 2003), our model results also show that
492 winter-mean SL_{t+s} exhibits a slightly smaller sensitivity to the NAO index
493 compared to observations, especially in the German Bight and off the coast
494 of Denmark. For instance, at Helgoland/Den Helder, the sensitivity of the
495 observed sea level is 72/51 mm per unit, while that of the sea level from the
496 tide+surge model is 64/40 mm per unit NAO index.

497 With the help of Tsimplis, a wrong conclusion was found in Tsimplis
498 et al. (2006), who suggested that local thermosteric variations may explain
499 the gap between observations and barotropic models. Our calculations point
500 to a negligible role of local thermosteric sea level in terms of NAO related

501 atmospheric forcing in winter. This is to be expected since the North Sea
502 depths are too low to produce a significant sea level signal, particularly true
503 in the southeastern North Sea, where the water depths are generally less
504 than 25 m. We found that the unexplained contribution in the barotropic
505 tide+surge model can be mostly assigned to remote forcing, i.e., steric varia-
506 tions triggered external to the region and the large-scale wind forcing. These
507 variations appear as mass changes on the continental shelf and are therefore
508 only visible in the ocean bottom pressure signal. This in turn confirms re-
509 cent results from Calafat et al. (2012, 2013) and Dangendorf et al. (under
510 review), who attributed decadal sea level changes in the North Sea basin to
511 coastally trapped Kelvin waves forced by longshore winds along the eastern
512 boundary of the Northeast Atlantic. Our results demonstrate that NAO re-
513 lated sea level variations are a hybrid of barotropic and baroclinic processes,
514 which are to a large extent driven by variations in the large-scale wind fields.
515 Hence, NAO related sea level changes can be more adequately modelled with
516 3D baroclinic ocean models rather than 2D ocean models, which have (i)
517 high resolution, and (ii) more realistic boundary conditions allowing for the
518 exchange of heat and salt and the forcing of large-scale wind field external to
519 the domain of interest. Finally, we note that more detailed investigations on
520 the mechanisms of steric redistribution in the North Atlantic and its marginal
521 seas are required to derive reliable regional sea level projections.

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Table 1: Results of linear regression analysis of winter-mean (JF) anomalies at Helgoland (in the period of 1953–2008) and Den Helder (in the period of 1953–2004) with NAO index . All correlation coefficients are significant at the 95 % level.

	Helgoland		Den Helder	
	Corr.	Sensitivity to NAO	Corr.	Sensitivity to NAO
temperature	0.63	0.49 °C per unit	0.75	0.61 °C per unit
tide gauge SL	0.66	72 mm per unit	0.66	51 mm per unit
SL_{t+s} ^a	0.71	64 mm per unit	0.65	40 mm per unit
SL_{3D} ^b	0.69	70 mm per unit	0.65	47 mm per unit
$SL_{ThermoS}$ ^c	0.63	1.0 mm per unit	0.75	1.0 mm per unit

^a represents tide+surge modelled sea level (SL); ^b represents 3D baroclinic modelled sea level. ^c represents local thermosteric sea level.

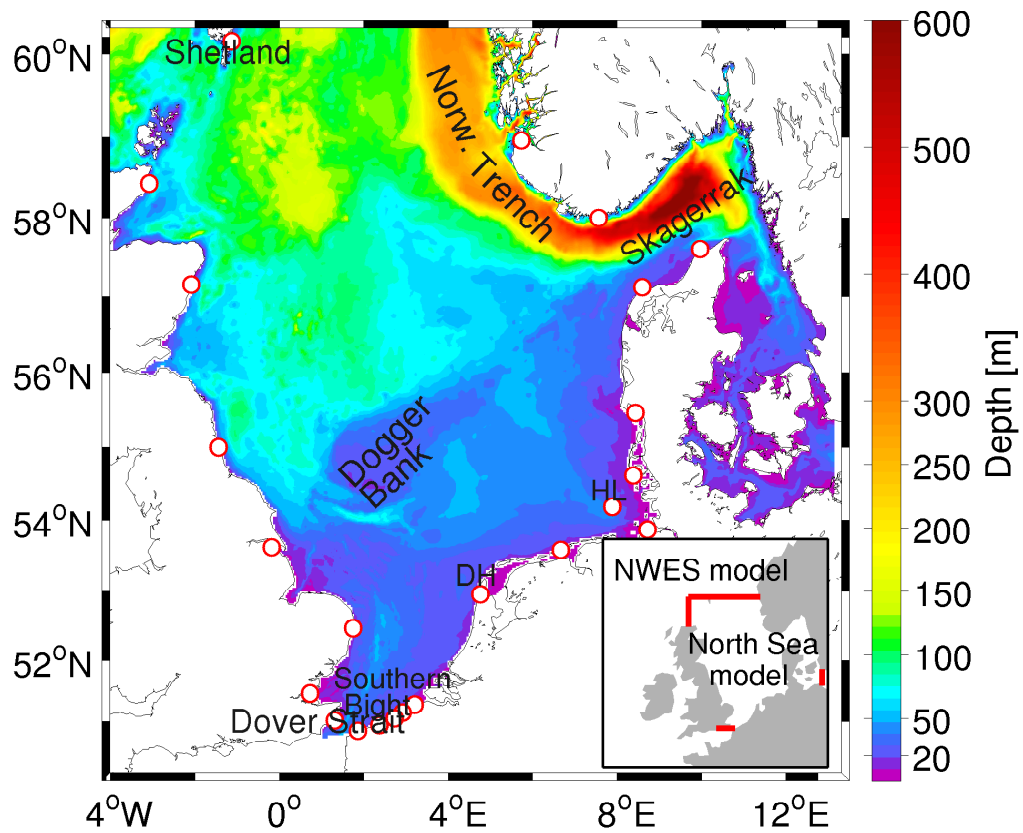


Figure 1: Model domain with model topography for the North Sea region. Insert shows the nested model system, where the large-scale model covers the North-West European Shelf (NWES). The North Sea model is forced by the NWES model at the open boundary. \circ shows the locations of the tide gauge stations. HL and DH represent, respectively, Helgoland and Den Helder.

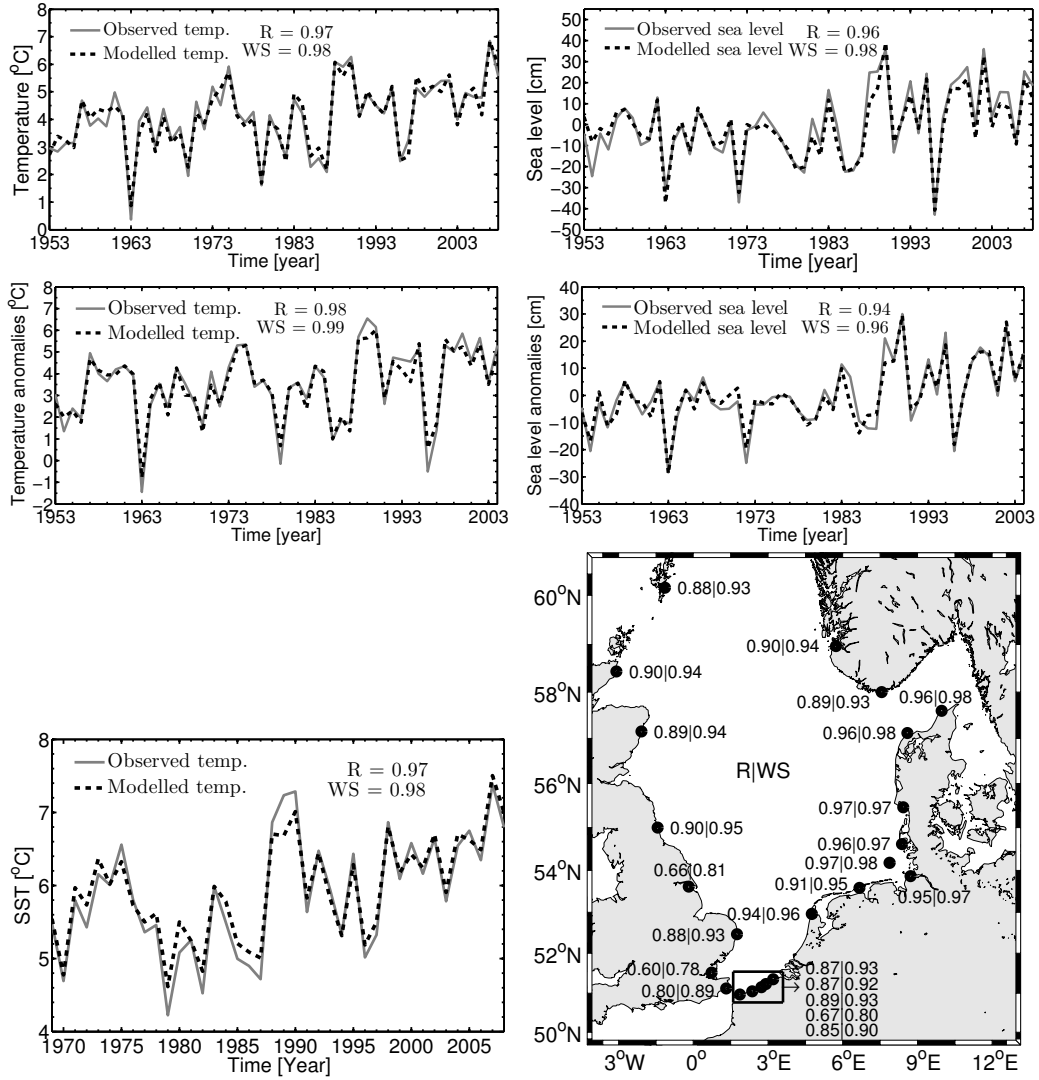


Figure 2: Temperature (a) and sea level (b) comparison between model results and observations for winter-mean (JF) time series during 1953–2008 at Helgoland (up panel) and during 1953–2004 at Den Helder (middle panel). Bottom (left): Domain-averaged SST comparison between model results and observations for winter-mean (JF) time series during 1969–2008. Bottom (right): Comparison between tide gauge and modelled sea level. R and WS represent correlation and Willmott skill (see the text in section 2.1).

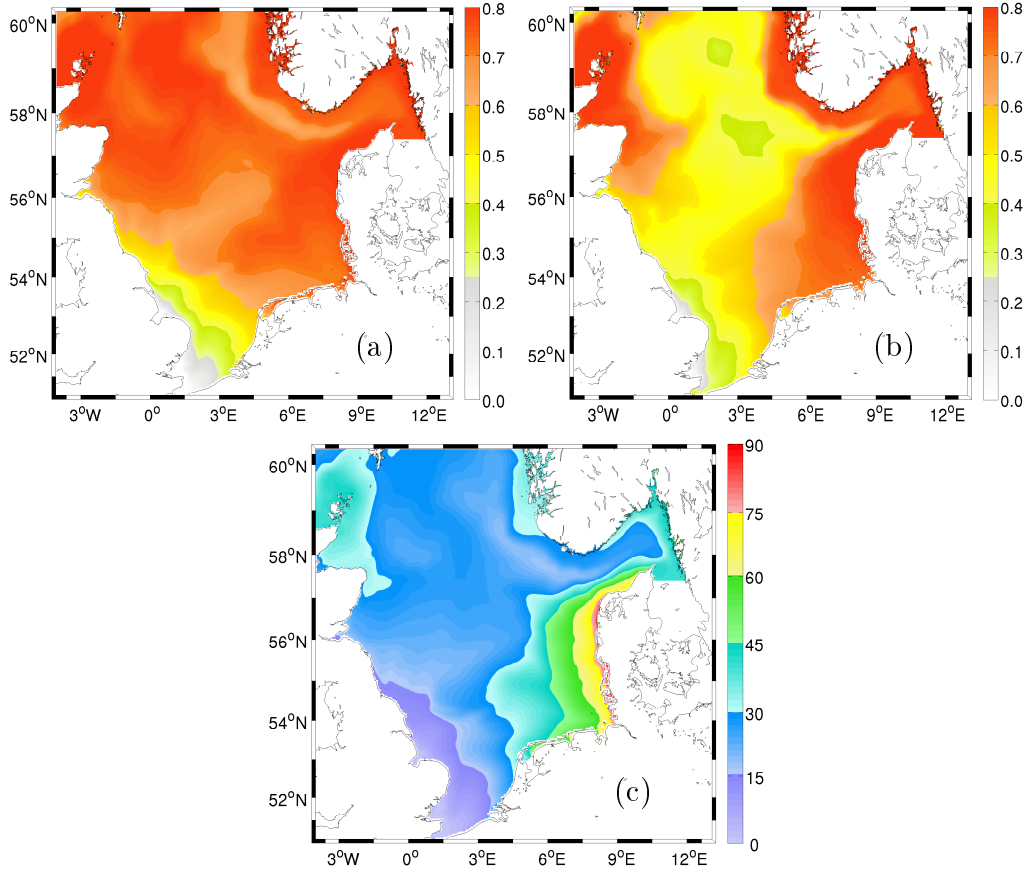


Figure 3: (a) Correlation coefficients between the winter-mean (JF) NAO index and tide+surge modelled sea level (SL_{t+s}); (b) Correlation coefficients between the winter-mean (JF) NAO index and tide+surge modelled sea level without consideration of sea level pressure; (c) Sensitivity of SL_{t+s} to the winter-mean (JF) NAO index (mm per unit NAO). All calculations are over the period of 1953–2010. The sensitivity is analysed with linear regression models between winter-mean sea level and the corresponding winter-mean NAO time series, assuming that sea level/temperature is a linear function of the NAO index (see, text in the third paragraph of Section 2.5).

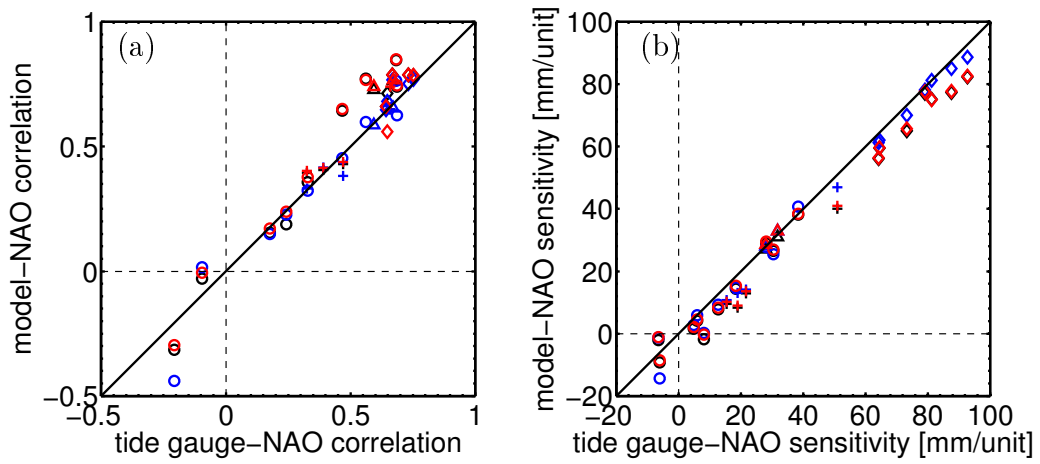


Figure 4: Comparison between the winter-mean (JF) tide gauge observations and SL_{t+s} (in black), $SL_{t+s} + SL_{ThermoS}$ (in red), and SL_{3D} (in blue) over the period of 1953–2008. (a) the correlation coefficients between the NAO index and sea level; (b) sensitivity of sea level to the NAO index (mm per unit NAO index). \circ UK, $+$ France and Belgium, \diamond the Netherlands and Germany and Denmark, \triangle Norway.

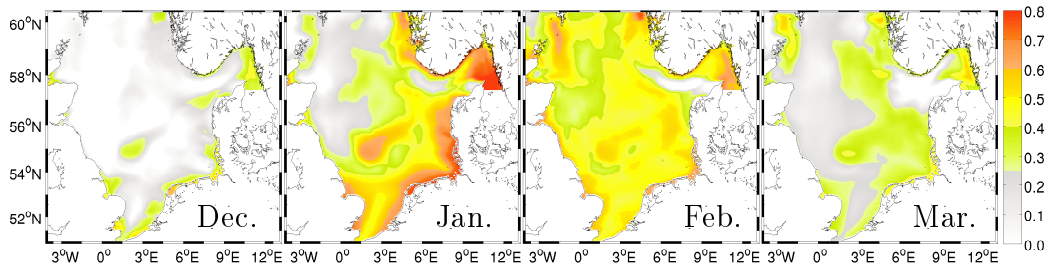


Figure 5: Correlation coefficients between the NAO index and modelled SST during 1953–2010 (for this period the threshold correlation coefficient at the 95 % significance level is about 0.25).

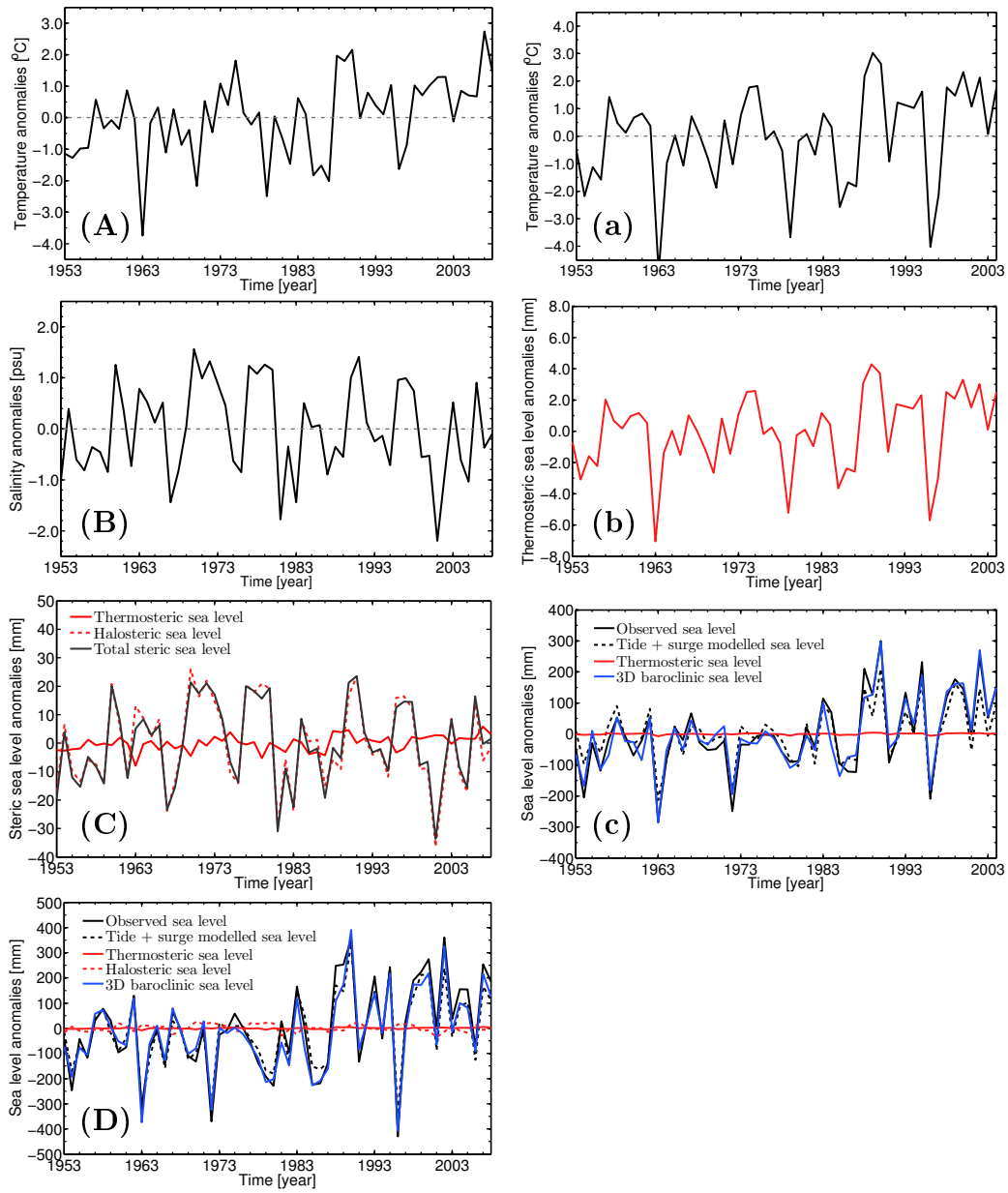


Figure 6: Time series of winter-mean (JF) temperature (A–a), salinity (B), steric sea level (b, C), sea level (c, D) anomalies at Helgoland (Left) and Den Helder (Right), respectively. In (C–c), black solid and dashed lines represent tide gauge and tide+surge modelled sea level, respectively; blue lines show 3D modelled sea level; red solid lines represent thermosteric sea level, which are additionally shown in (D) and (b). Red dashed lines in (C) and (D) shows halosteric sea level.

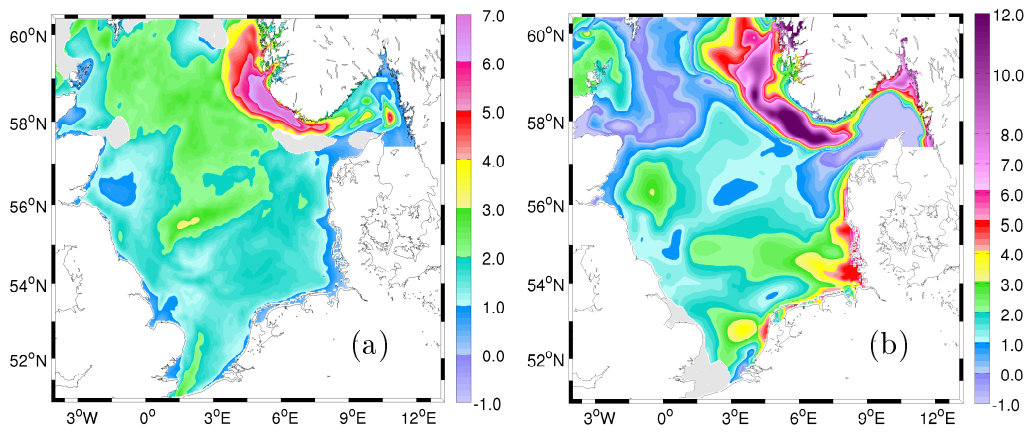


Figure 7: Sensitivity of sea level to NAO winter index during 1953–2010 (mm per unit NAO): (a) of the local thermosteric sea level; (b) of the sea level difference between the baroclinic and the barotropic model results. The areas where the correlation is not statistically significant is shadowed in gray.