

# 1 **A new apparatus for determining the shrinkage limit of clay soils**

2 P. R. N. Hobbs\*, L. D. Jones\*, M. P. Kirkham\*, P. Roberts#, E. P. Haslam\* & D. A.  
3 Gunn\*

4 \*British Geological Survey, Keyworth, Nottingham NG12 5GG, UK

5 # Office Train, 196, Rutland Road, West Bridgford, Nottingham NG2 5DZ, UK

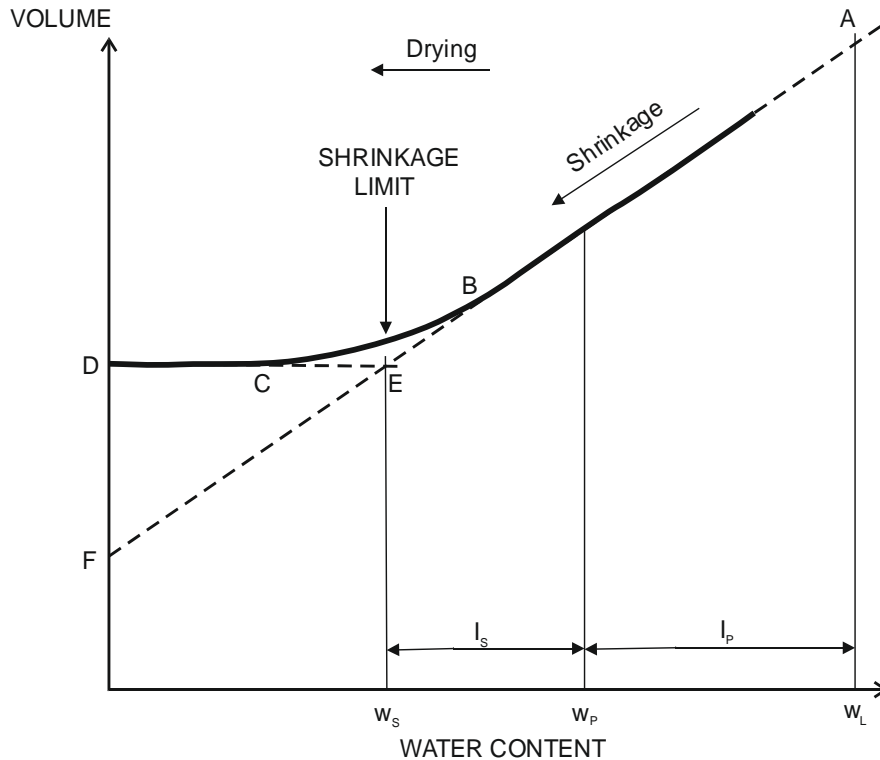
## 7 **ABSTRACT**

8 A new apparatus for the determination of shrinkage limit is described. Two versions  
9 have been produced: a manually operated prototype 'version1' followed by an  
10 automated version named SHRINKiT. Test results using the former for British and  
11 overseas clay soils are described and comparisons made with the BS preferred  
12 method. A further set of test results is described for SHRINKiT. However, it was not  
13 possible to compare these with the BS1377 method due to the introduction of a ban on  
14 the use of mercury in the British Geological Survey's geotechnical laboratories. The  
15 new method is set in the context of the huge cost of shrink/swell related subsidence  
16 damage in Britain and the relative disuse of both BS1377 methods for shrinkage limit  
17 due to reasons of safety. The shrinkage behaviour of different soils types and sample  
18 states is discussed, in addition to the advantages and disadvantages of the new  
19 method.

## 21 **INTRODUCTION**

22 Clay soils constitute a familiar hazard to engineering construction and house building  
23 in terms of their ability to shrink and swell; that is, to change volume with a change in  
24 effective stress, usually caused by alteration of water content produced by seasonal  
25 climatic variations (Anon, 1993). The study described in this paper has examined  
26 some of the geotechnical aspects of shrinkage, and in particular has developed a new  
27 test apparatus for the important, but neglected, Atterberg limit: the *shrinkage limit*. A  
28 range of clay soils has been tested using both version 1 and SHRINKiT in order to  
29 prove the concept. The other two Atterberg limits have been included so that  
30 correlations, both familiar and new, can be examined.

31  
32 Annual insurance costs for subsidence attributed to swell/shrink in Britain are of the  
33 order of £300-600m (Jones, 2004). As climate trends appear to be resulting in greater  
34 seasonal water contrasts for much of the country (Hulme *et al.*, 2002), the current  
35 trend for increasing claims can be expected to continue. There has also been debate  
36 about the precise role of trees and impermeable surfacing in the clay shrink/swell  
37 phenomenon (Skempton, 1954; Cheney, 1986; Randrup *et al.*, 2001; Mathheck *et al.*,  
38 2003; Jones *et al.*, 2006).



39

40

41

**Figure 1 Schematic plot of water content vs. volume showing Atterberg Limits**

42

Key:  $w_s$ =Shrinkage limit,  $w_p$ =Plastic limit,  $w_L$ =Liquid limit,  $I_s$ =Shrinkage index,  $I_p$ =Plasticity index

43

44

The shrinkage limit was one of seven state limits conceived in 1911 by Albert

45

Atterberg and termed “Krympning gräns” in Swedish and “Die Schwindungsgrenze”

46

in German (Atterberg, 1911a, 1911b; Casagrande, 1948; Skempton, 1985; Sridharan

47

& Prakash, 1998b; Haigh *et al.*, 2013). The shrinkage limit ( $w_s$ ) is conceptually the

48

boundary between ‘solid’ and ‘semi solid’ consistency, and is defined as the water

49

content below which no further volume reduction takes place on drying (Fig. 1).

50

Referring to Fig. 1 the steady shrinkage from A to B is where volume reduction

51

matches water loss, and is described as the ‘basic’ stage by Boivin *et al.* (2006b) or

52

‘normal’ stage by Sridharan & Prakash (1998b). The gradient of the line AB is the

53

initial degree of saturation and, if volume change is expressed as a percentage of dry

54

volume, equals the shrinkage ratio,  $R_s$ . The shrinkage stage from B to C (alternatively

55

E to C) is described as ‘residual’ with point E defining the shrinkage limit

56

(BS1377:1990). Point D is the oven-dried state (105°C) and between C and D there is

57

no volume reduction. However, in practice there may be small volume decreases here.

58

Point B is usually referred to as the air-entry point (Haigh *et al.*, 2013) and represents

59

the water content at which water loss outstrips volume reduction and saturation starts

60

to reduce dramatically. The projection of the line AB to F represents the volume of

61

solids (Reeves *et al.*, 2006). The shrinkage limit is therefore the water content value at

62

the intersection of construction lines DE and AE, which also coincides with the point

63

of maximum bulk density. The specimen’s initial water content determines the start

64

point of the test curve. In the case of remoulded specimens and soil mixtures

65

(Sridharan & Prakash, 2000) this is usually midway between liquid and plastic limits.

66

At higher water contents the specimen is liable to slump. In the case of natural

67

‘undisturbed’ specimens the initial water content is often closer to the plastic limit.

68

For most British clay soils and mudrocks the values of shrinkage limit lie in the range

69 12 to 25 % whilst for some tropical and bentonitic clay soils values lie between 30  
70 and 50 % (Hobbs *et al.* 2012). Whilst much use is made worldwide of inferred  
71 swelling and shrinkage behaviour obtained *indirectly* from standard soil ‘index’ test  
72 data such as plasticity, density, and water content, few data derived from *direct*  
73 shrink/swell measurement are available, at least in British geotechnical databases.  
74 This is partly because the familiar ‘index’ tests are more explicit and accepted  
75 worldwide and partly because direct shrinkage tests are difficult to perform,  
76 particularly with *undisturbed* weak, fissured, or sensitive soils. Soil structure, fabric,  
77 and water content contribute to test difficulties and tend to make correlations between  
78 field shrinkage and liquid and plastic limit data (remoulded state) questionable.

79  
80 At present, the two British Standard methods for measuring shrinkage limit directly  
81 employ Archimedes principle applied to a mercury bath (BS 1377, BSI, 1990). The  
82 ‘definitive’ method employs a special mercury cell with built-in micrometer  
83 originally developed by the Transport Research Laboratory, TRL (Road Research  
84 Laboratory, 1952; Ackroyd, 1969). At BGS this test used to be carried out in a fume  
85 cupboard, with a mercury recovery kit to hand. The ‘subsidiary’ method, based on  
86 American Society for Testing & Materials (ASTM) and American Association of  
87 State Highway & Transportation Officials (AASHTO) methods (D427-04 and T92-  
88 97, respectively) (ASTM, 2007) also uses mercury immersion and the same graphical  
89 construction as the ‘definitive’ method to obtain the shrinkage limit and has been used  
90 worldwide.

91  
92 Both British Standard methods BS 1377:1990 (BSI, 1990) are compromised because  
93 mercury presents a significant health hazard as liquid and vapour, and is banned in  
94 many soils laboratories. Consequently, alternative methods have been sought.  
95 Travelling microscopes have been used for measuring 1-D swelling of soil in the  
96 laboratory (for example, Parcevaux, 1980) and may also have been used to measure  
97 shrinkage on an ad-hoc basis elsewhere. In the early stages of the project a laboratory  
98 apparatus was built which incorporated a travelling microscope, a laser range-finder,  
99 and a digital balance, in order to measure 3-D shrinkage and hence determine  
100 shrinkage limit and other parameters, without the use of hazardous substances or  
101 contact with the test specimen during air drying. This prototype apparatus, referred to  
102 as ‘version 1’, was manually operated and was used to compare results obtained with  
103 the BS1377 (TRL) BS 1377:1990 (BSI:1990) apparatus (Hobbs *et al.*, 2010).



106 **Figure 2 British Geological Survey's automated shrinkage limit test apparatus, SHRINKiT**

107  
108 Subsequently, a fully automated apparatus referred to as 'SHRINKiT' (Fig. 2), was  
109 designed, constructed and used to carry out a shrinkage limit test programme on a  
110 variety of British soil types (Hobbs *et al.*, 2010; Hobbs *et al.*, 2012). It was not  
111 possible to make direct comparisons between this method and the BS1377 methods as  
112 use of the latter had by this time been banned in BGS's geotechnical laboratories.

113  
114 **CLAY SHRINKAGE RESEARCH IN THE LABORATORY**

115 Considerable research in the fields of soil physics, agriculture, sports surfacing and  
116 more recently unsaturated soil mechanics, has been carried out on the subject of soil  
117 shrinkage. Soil physics has, in the past, favoured the use of flexible resin coating of  
118 natural soil aggregates or 'clods' to measure shrinkage in the laboratory, e.g. the  
119 'paraffin' method (Parker *et al.*, 1977; Reeve *et al.*, 1980). However, a 'core' method  
120 (Berndt & Coughlan, 1976) and a 'balloon' method (Tariq & Durnford, 1993) have  
121 also been widely used. A frame-mounted transducer (LVDT) method was also  
122 described by Boivin (2007) and Williams & Sibley (1992). More recently, laser  
123 scanners have been used to measure the volume of clod-type soil specimens either to  
124 determine the shrinkage curve (Sander & Gerke, 2007) or simply bulk density (Rossi  
125 *et al.*, 2008). Sridharan & Prakash (2009) have also reconsidered the wax method for  
126 shrinkage limit determination.

127  
128 Much attention has been focused on models to predict and match so-called 'soil  
129 shrinkage characteristic curves' (SSCC or SSC) (Bronswijk, 1990; Groenevelt &  
130 Grant, 2001; Cornelis *et al.*, 2006), 'soil shrinkage curves' (ShC) (Boivin *et al.*,  
131 2006b), 'volumetric shrinkage curves' (VSC) (Mbonimpa *et al.* (2005) and the  
132 'reference shrinkage curves' (Chertkov, 2007a) and in particular on its quantification  
133 and use in determining soil structure (Braudeau *et al.*, 1999; Crescimanno &  
134 Provenzano, 1999) and soil compaction (Boivin *et al.*, 2006a). The SSCC and ShC  
135 have been attempts to model families of sigmoidal shrinkage curves by sub-dividing  
136 the curves into seven recognisable zones separated by transition points. These zones  
137 are described as either linear or curvilinear. The 'reference shrinkage curve'  
138 (Chertkov, 2007a) is a theoretical curve derived from eight parameters, designed to  
139 remove the contribution from crack volume, and seeks to de-couple real soil  
140 shrinkage behaviour from that of a pure clay and hence distinguish the contribution of  
141 cracking. In geotechnical terminology this could be analogous to 'undisturbed' and  
142 'remoulded' states, but where the remoulded sample had been ground to clay size. As  
143 part of this concept Chertkov (2007a,b) described the 'critical clay content', defined  
144 as the ratio of clay solids to the total volume of solids.

145  
146 The soil water retention curve (WRC) (Gould *et al.*, 2011), for example as produced  
147 from a suction (extractor plate) test or tensiometer test (Ridley & Burland, 1993), is  
148 mathematically similar to the soil shrinkage curve but, in the case of clay-rich soils,  
149 may itself include an element of shrinkage (Mbonimpa *et al.*, 2005). Attempts to fit  
150 the soil water retention curve (WRC) and ShC to the same equations were made by  
151 Boivin *et al.* (2006b). In practice it should be possible for an experimental suction test  
152 curve to be mapped to a corresponding shrinkage curve from the same sample. Thus a  
153 3D critical state plot of shrinkage could be constructed, at least for a remoulded  
154 sample, showing water content vs. volume vs. stress; the stress being negative.

156 The development of a new apparatus was also reported. This was designed to test  
157 several small specimens mounted in a carousel device and using separate laser range  
158 finders to determine diameter and height (Braudeau et al., 1999). This apparatus was  
159 developed independently at around the same time as SHRINKiT and is similar in  
160 principle. However, it uses much smaller specimens and, though a quicker test, is  
161 probably unsuitable for undisturbed specimens. Shrinkage test methodologies and  
162 models, in the field of soil science, were compared in Cornelis *et al.* (2006). They  
163 concluded that the ‘balloon’ method was superior to the ‘core’ and ‘paraffin’  
164 methods, and of the curve modelling methods, the SSCC of Groenevelt & Grant  
165 (Groenevelt & Grant, 2001) was the simplest and most elegant.

166

167 Shrinkage research on particular soil types is less common than that dealing with  
168 theoretical aspects, or utilising soil pastes rather than undisturbed specimens. For this  
169 reason it is unlikely that soil physics or agronomic methods or analyses, such as those  
170 described above, would find favour with geotechnical practitioners. A possible  
171 exception to this might be the balloon method (Tariq & Durnford, 1993). However,  
172 most geotechnical testing is based around cylindrical or discoid specimens of  
173 undisturbed, remoulded or compacted material, such as might be obtained by drilling,  
174 rather than irregular ‘clods’. The following deals with a proposed geotechnical  
175 approach to shrinkage measurement which follows logically from the BS methods  
176 BS1377:1990 (BSI, 1990), but which provides additional data of use in characterising  
177 the engineering behaviour of a clay soil.

178

#### 179 THE SHRINKiT APPARATUS

180 The apparatus (Fig. 2) described in Hobbs et al. (2010) has five active components:

- 181 a) A laser rangefinder (to measure diameter and height).
- 182 b) A digital balance (to measure weight).
- 183 c) Motorised rotating platform.
- 184 d) Motorised elevation gantry.
- 185 e) Motorised gripper to allow rotation.

186

187 The apparatus is designed to take a 100 x 100 mm cylindrical test specimen. However,  
188 the range of sizes that can be accommodated is 50 to 110 mm (diameter) and 50 to  
189 140 mm (height), dependent on net shrinkage during the test. The test typically takes  
190 between 3 and 5 days, depending on soil type, specimen state and environmental  
191 conditions, during which the specimen is scanned twice hourly for the first 24 hours  
192 and hourly thereafter. At the conclusion of air-drying, the specimen is removed from  
193 the apparatus, oven dried at 105°C and returned to the apparatus for its final scan.  
194 Thus, the specimen is only handled twice during the test. Volume measurements are  
195 calibrated against metal cylinders of varying size and shape with known volume.

196

197 The TRL apparatus recommended by BS1377:1990 (BSI, 1990) is difficult to use  
198 particularly with fissured, voided, silty, weak, sensitive or highly plastic clays in an  
199 undisturbed state. Over-consolidated, tropical and loessic soils usually fall into this  
200 category. Fewer problems are experienced when testing remoulded or normally  
201 consolidated undisturbed soils. However, cracks which develop during the test tend to  
202 be entered by tiny globules of mercury, a proportion of which remain within the  
203 specimen during drying, particularly where surfaces are rough or silty. This results in  
204 combined volumetric and weighing errors of up to 5% and allows mercury vapour

205 into the atmosphere. Larger globules are dislodged by tapping the specimen on  
206 removal from the cell whereas tiny globules are not. Additionally, fragments of soil  
207 may detach from the specimen and fall into the mercury. This introduces further  
208 volumetric and weighing errors. The BS1377:1990 (BSI, 1990) subsidiary method  
209 (equivalent to the ASTM method) uses a small disc of remoulded soil, is even less  
210 well suited to undisturbed soil specimens and is even less safe, as the mercury is open  
211 to atmosphere and prone to spillage. Existing test methods using mercury should be  
212 carried out in a fume cupboard. To the authors' knowledge this is often not the case in  
213 some countries. In addition, the disposal of mercury contaminated specimens requires  
214 special procedures.

215

216 Neither BS method requires the volumetric strain (net shrinkage) of the specimen to  
217 be recorded, though a plot of volume per 100g of dry soil,  $U$  vs. water content is  
218 specified. The volumetric strain is dependent on initial degree of saturation. The test  
219 specimen has to be capable of being handled and of self support without slumping in  
220 the early stages of the test. In practice the upper limit of initial water content lies  
221 between the liquid and plastic limits, while the lower limit must be sufficiently above  
222 the shrinkage limit to clearly define the straight portion of the plot (line AB in Fig. 1).

223

224 The SHRINKiT measures the overall volume change of the test specimen by measuring  
225 its height and diameter at up to 3,600 points around its periphery. This is effectively a  
226 scan of the specimen where the calculation of volume is based on a 'stack of discs'  
227 model; the weight of the specimen being determined for each scan. A plot of water  
228 content versus volume may thus be produced, as for the BS1377:1990 (BSI, 1990)  
229 tests (Fig. 1), and the shrinkage limit determined using the same graphical  
230 construction (Head, 1992).

231

232 The SHRINKiT test method has the following advantages over mercury immersion  
233 methods BS1377:1990 (BSI, 1990):

- 234 • Hazardous materials and handling facilities are eliminated.
- 235 • The test specimen is handled only at the start and end of the test.
- 236 • Larger test specimens may be used (the TRL BS1377:1990 (BSI, 1990) method  
237 cannot test specimens much larger than 38 x 76 mm).
- 238 • Many more measurements can be obtained to define the shrinkage curve.
- 239 • Research capability can be added, for example decoupling the vertical and  
240 horizontal components of shrinkage, or the use of wetting/drying cycles in an  
241 environmental chamber.

242 The new test method has the following disadvantages:

- 243 • The current apparatus is expensive compared with BS1377:1990 (BSI, 1990)  
244 apparatus, but a cheaper version could be developed.
- 245 • Volume is derived rather than measured directly (by immersion).
- 246 • Only one specimen at a time may be tested using the current apparatus.

247

## 248 SHRINKAGE LIMIT TEST RESULTS

249 Thirty-six specimens, from several in-house BGS projects (Hobbs *et al.*, 2000; Jones  
250 *et al.*, 2006; Hobbs *et al.*, 2012), and from University of Leeds student theses (Kadir,  
251 1997; Marchese, 1998), have been tested using version 1 or SHRINKiT. These have  
252 included British clay formations, glacial deposits, tropical clay soils and bentonite.  
253 Many of these samples were not capable of being tested using the TRL method and

254 hence no comparative data are available. A full set of results for all shrinkage limits,  
 255 and their associated index tests, are shown in Tables 1 & 2. Where available, the  
 256 comparative tests show good correlation and there is every indication that the direct  
 257 (immersion) method of volume measurement and the SHRINKiT method are  
 258 comparable for all the soil types tested.

259

260

**Table 1 Results of shrinkage limit (version 1) tests**

Formation (state)	Location	w <sub>o</sub>	w <sub>L</sub>	I <sub>p</sub>	w <sub>s</sub> v.1	w <sub>s</sub> BS1377	ΔV <sub>tot</sub> v.1	ΔV <sub>tot</sub> BS1377
		(%)	(%)	(%)	(%)	(%)	(%)	(%)
Gault (U)	Selborne	21.9	64.0	34.0	<b>15.5</b>	14.9	10.0	10.6
Gault (U)	Leighton Buzzard	41.6	88.4	44.8		24.0	15.4	
Gault (U)	Leighton Buzzard		94.0	54.0	<b>12.3</b>	11.4		
Mercia Mst. (U)	Gringley	16.7	37.0	14.0	<b>14.4</b>		4.4	
Mercia Mst. (U)	Gringley	17.7	36.7	14.3	<b>12.6</b>	12.6	6.7	
Mercia Mst. (C)	Gringley		40.0	19.0	<b>9.5</b>	9.5		
London Clay (U)	Newbury	25.8	59.0	30.0	<b>16.6</b>	17.7	9.1	12.8
Glacio-lacustr. (U)	Afon-Teifi	28.0	57.0	27.0	<b>20.6</b>	22.3	11.5	15.6
Lambeth (U)	Whitecliff	22	49.0	23.0	<b>12.3</b>	9.9	13.3	12.4
Lambeth (U)	Newbury	15.9	42.0	22.0	<b>8.1</b>		14.9	
Till (U)	Filey	13.9	30.2	14.3	<b>9.7</b>	8.8	7.4	
Bentonite (R)	Wyoming	146.4	332.0	294.0	<b>38.0</b>		58.0	
Latosol (U)	Java	57.9	114.0	46.0	<b>31.5</b>		22.4	
Latosol (C)	Java	66.0	114.0	46.0	<b>27.7</b>		34.4	
Andosol (U)	Java	87.1	83.0	27.0	<b>13.0</b>		7.8	
Andosol (C)	Java	89.5	83.0	27.0	<b>49.0</b>		29.7	

261

Key:

262

U Undisturbed

263

R Remoulded

264

C Compacted

265

266

**Table 2 Results of shrinkage limit (SHRINKiT) tests**

Formation (state)	Location	w <sub>L</sub>	I <sub>p</sub>	w <sub>s</sub>	R <sub>s</sub>	w <sub>0</sub>	S <sub>n</sub>	ΔV <sub>tot</sub>	I <sub>s</sub>	LI	Ψ
		(%)	(%)	(%)	(g/mm <sup>3</sup> )	(%)	(%)	(%)	(%)		
Head (R)	East Leake (Notts.)	48.0	24.0	<b>9.3</b>	1.82	39.0	92.0	32.0	14.7	0.6	2.02
Till (U)	Reepham (Norfolk)	24.0	12.0	<b>9.9</b>	2.30	13.6	98.9	7.7	2.1	0.13	1.76
Till (U)	Spurn Point (Yorks.)	41.0	23.0	<b>10.5</b>	1.90	19.3	90.6	7.6	7.5	0.06	1.17
Till (U)	Aldbrough (Yorks.)	30.0	15.0	<b>9.4</b>	2.13	12.3	80.0	6.0	5.6	-0.18	0.52
Till (U)	Aldbrough (Yorks.)	37.0	20.0	<b>10.6</b>	2.09	16.6	93.4	10.7	6.4	-0.02	0.94
Till (U)	Aldbrough (Yorks.)	32.0	13.0	<b>16.2</b>	1.86	23.2	93.7	10.8	2.8	0.32	2.5
Till (R)	Aldbrough (Yorks.)	46.3	21.5	<b>15.0</b>	1.87	28.8	89.7	19.6	9.7	0.19	1.42
London (R)	Bulmer (Essex)	48.0	26.2	<b>19.1</b>	1.63	35.9	93.4	19.7	2.7	0.54	6.22
London (U)	Newbury (Wilts.)	65.0	39.0	<b>13.8</b>	1.61	26.1	75.4	12.5	12.2	0.00	1.01
London (R)	Colchester (Essex)	90.4	63.0	<b>16.8</b>	1.68	60.5	93.8	41.0	10.6	0.53	4.12
Reading (U)	Newbury (Wilts.)	54.0	32.0	<b>6.2</b>	1.85	21.1	92.0	8.5	17.8	-0.03	0.94
Gault (U)	Niton (I.O.W.) P71	67.0	39.0	<b>8.1</b>	1.68	25.1	88.5	8.0	19.9	-0.02	0.95
Gault (U)	Niton (I.O.W.) P71	68.0	41.0	<b>10.7</b>	1.58	28.9	84.3	10.8	16.3	0.05	1.12
Gault (U)	Niton (I.O.W.) P83	61.0	37.0	<b>10.9</b>	1.80	23.9	94.6	10.7	13.1	0.00	0.99
Gault (U)	Niton (I.O.W.) P83	69.0	47.0	<b>8.5</b>	1.80	23.3	90.7	11.0	13.5	0.03	1.10
Mercia (U)	Cropwell Bish. (Nott)	40.0	15.4	<b>11.1</b>	2.07	15.3	93.8	7.0	13.5	-0.6	0.31
Oxford (U)	Milton Keynes	53.0	25.9	<b>16.9</b>	1.77	30.5	101	16.3	10.2	0.13	1.33
Oxford (U)	Milton Keynes	61.0	31.0	<b>17.6</b>	1.71	35.3	98.0	20.3	12.4	0.17	1.43
Whitby (R)	Finedon (Northants)	61.0	35.0	<b>20.0</b>	1.78	51.4	90.5	39.1	6.0	0.73	5.23
Westbury (C)	East Leake (Notts.)	55.0	21.0	<b>11.3</b>	1.49	20.9	56.5	6.5	22.7	-0.62	0.42

267

Key:

268

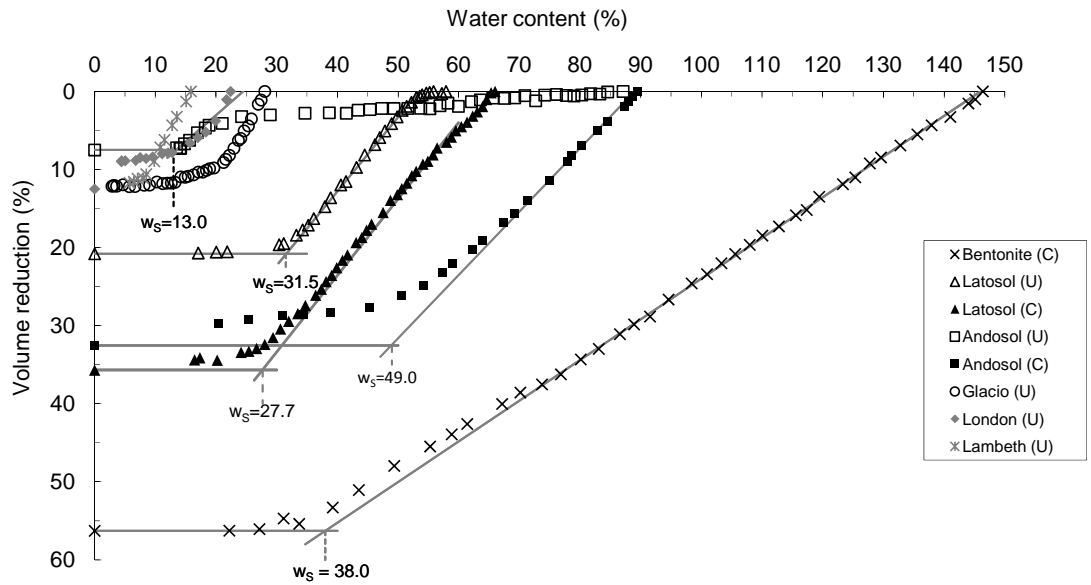
U Undisturbed

269

R Remoulded

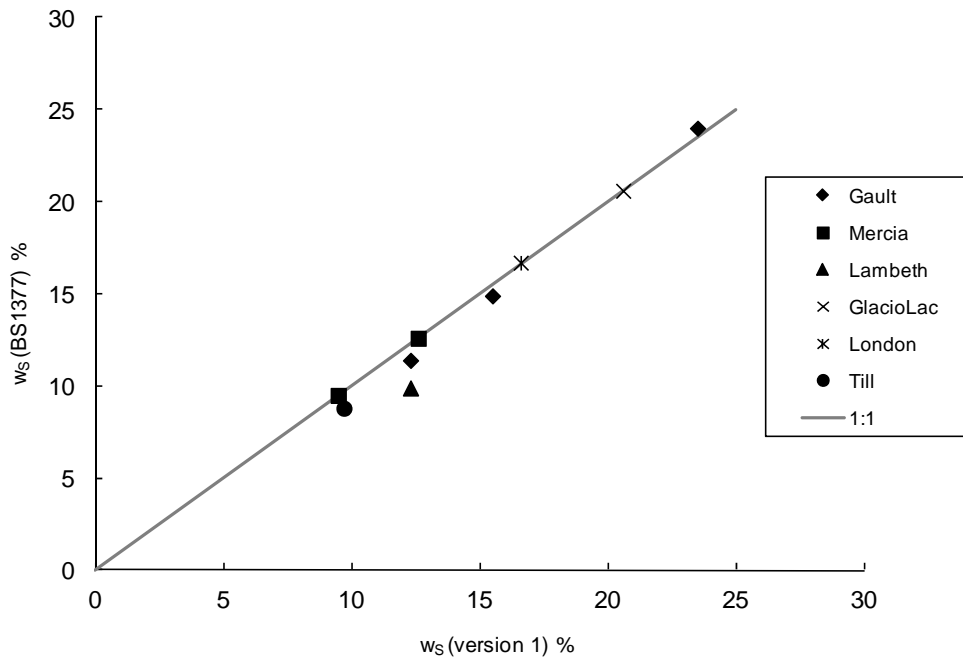
270

C Compacted



271  
272  
273  
274  
275  
276  
277

**Figure 3** Plot of water content vs. volume reduction for version 1 tests on remoulded Wyoming bentonite and two tropical red clays from W. Java, Indonesia (undisturbed and compacted) showing shrinkage limit construction, plus three British clays for comparison. Refer to Table 1.



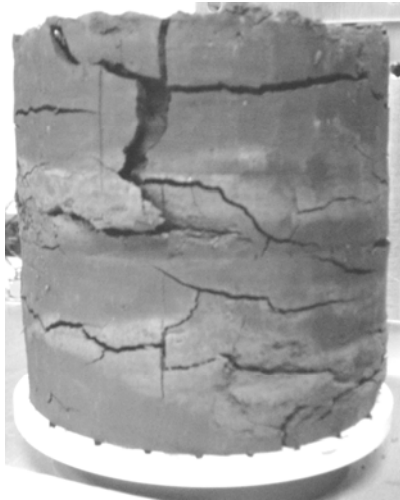
278  
279  
280  
281  
282

**Figure 4** Comparison between version 1 and BS1377 shrinkage limit results

The data shown in Figs. 3 and 4 are taken from Table 1.



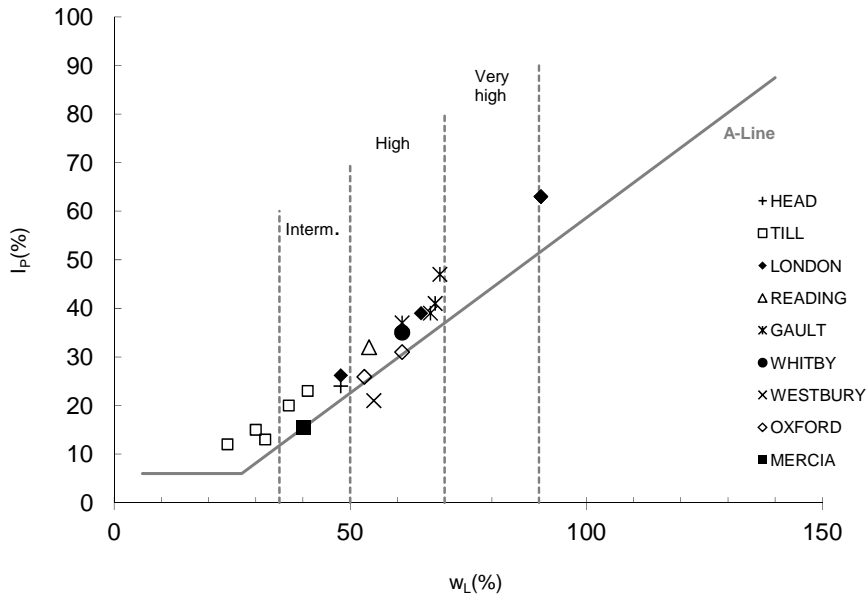
283 Results to date have given shrinkage limits ranging from 9 to 49%. Volumetric strains  
284 of between 4 and 58% have been measured. Samples with extremely high plasticity,  
285 for example Gault Formation and Wyoming bentonite, have tended to crack severely  
286 during the test. This has affected the shape of the shrinkage curve and may have  
287 affected the result. It has also highlighted the issue of whether the volume of fissures  
288 should be included in the 'volume' or whether the external surface alone should be  
289 taken irrespective. With extremely high plasticity samples the unusual situation  
290 occurs whereby volume reduction due to shrinkage is accompanied by volume  
291 increase due to development and opening of cracks, the net change being reasonably  
292 well measured by the test in most cases. In practice, such samples (Fig. 5) would be  
293 deemed untestable within the principles of the BS (or other) immersion tests.  
294



295  
296  
297

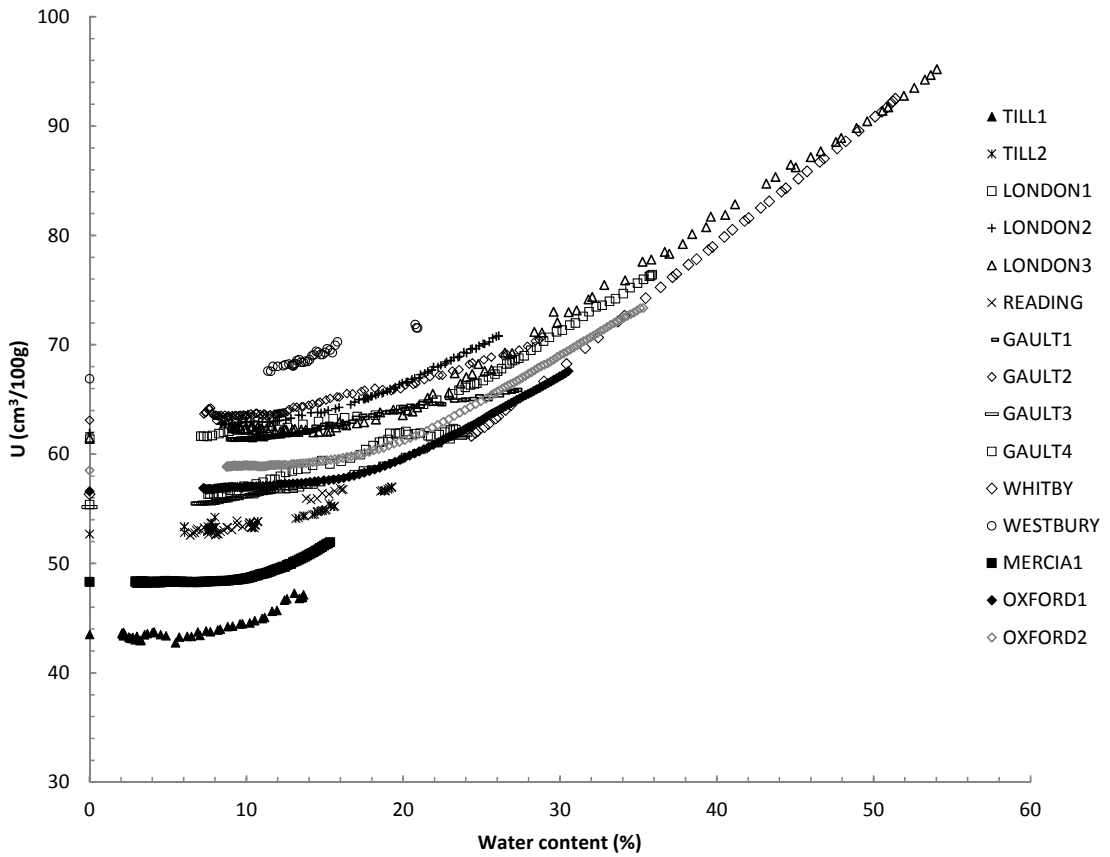
**Figure 5 Example of heavily fractured SHRINKiT (undisturbed) test specimen, post-test**

298 The Casagrande plasticity chart for selected shrinkage samples is shown in Fig. 6.  
299 The results of the SHRINKiT tests are shown in Fig. 7. These reveal the characteristic  
300 'hockey stick' shape of the mid and lower parts of the soil-water characteristic curve  
301 (Fredlund & Xing, 1994). The tendency is for the early (high water content) parts of  
302 the curves to be coincident whilst the later (low water content) parts diverge at or near  
303 the air entry point.



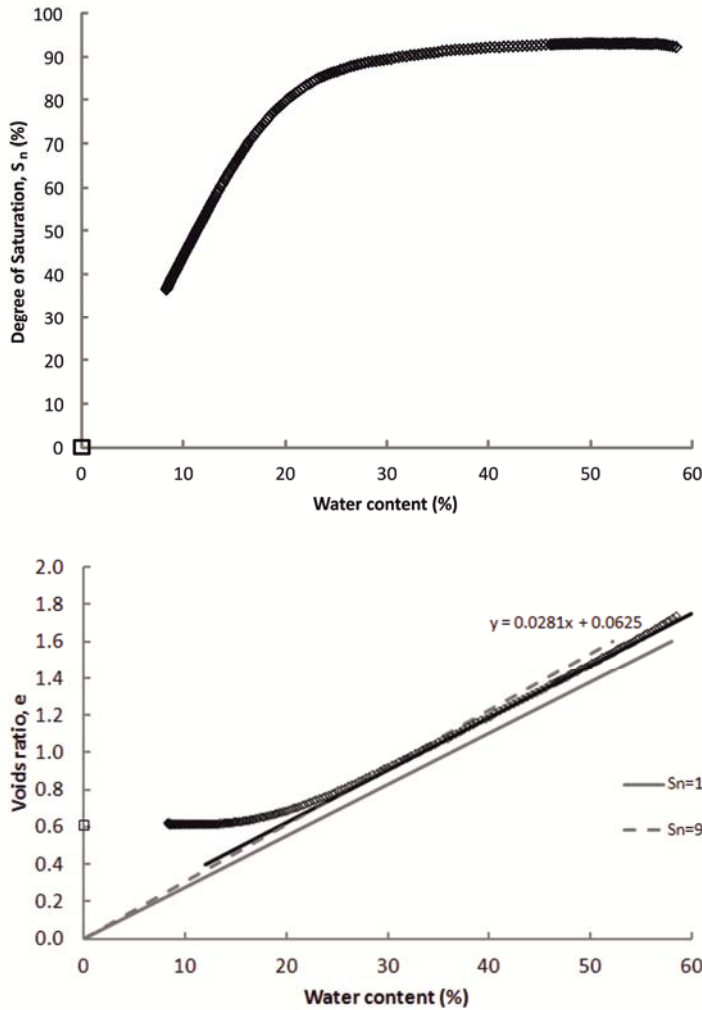
304  
305  
306

**Figure 6 Casagrande plasticity plot for SHRINKIT test samples**



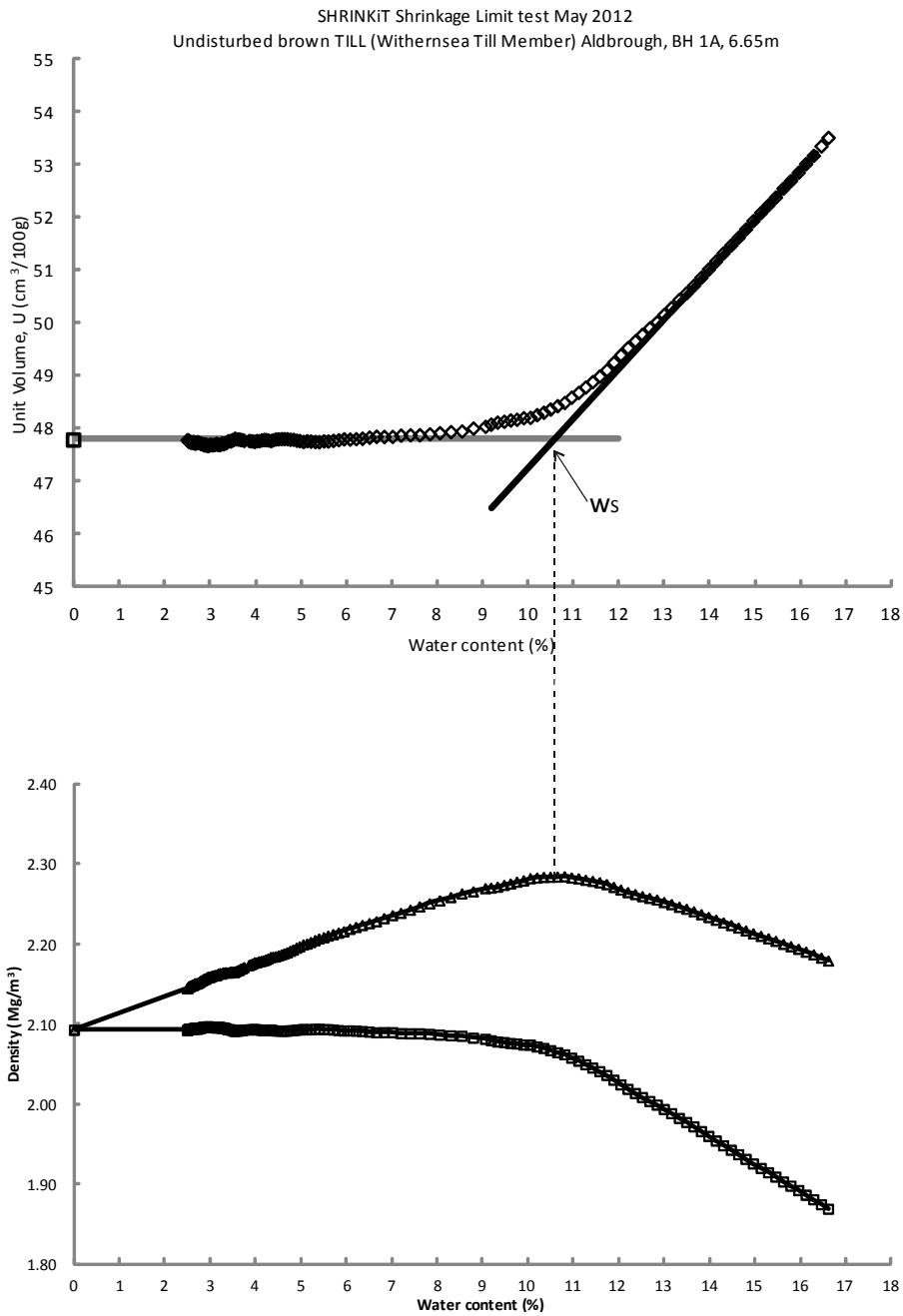
307  
308  
309  
310

**Figure 7 Plot of Water content vs. Volume per 100g dry soil, U for selected British soils (SHRINKIT shrinkage limit test)**



311 **Figure 8** Changes in saturation and voids ratio during SHRINKiT shrinkage limit test (Remoulded  
 312 London Clay Formation, Colchester;  $w_L = 90.4\%$ ,  $w_P = 27.4\%$ ,  $w_S = 19.9\%$ )  
 313

314  
 315 An example of the changes in saturation taking place during the shrinkage test is  
 316 shown in Fig. 8. The straight black line is the best-fit to the straight portion of the  
 317 experimental plot. The grey lines are for the condition of 100% and 90% degree of  
 318 saturation (for  $G_s = 2.76$ ). The air entry point according to Braudeau *et al.*, (1999) is  
 319 the minimum water content at which the soil remains saturated under atmospheric  
 320 conditions. This should therefore be where the experimental shrinkage curve starts to  
 321 depart from the straight line and the degree of saturation starts to reduce rapidly (Ho  
 322 & Fredlund, 1989; Fredlund & Rahardjo, 1993). This would lie somewhere between  
 323 35 and 40% water content, placing it well above the plastic limit which does not  
 324 match the interpretation of Sridharan & Prakash (1998a) whereby the air entry point  
 325 lies just above the shrinkage limit. The most likely interpretation is that the air entry  
 326 point is the point below which *significant* loss of saturation takes place. This would  
 327 place it closer to the shrinkage limit as indicated by Sridharan & Prakash (1998a) and  
 328 probably on the point of maximum slope change in the saturation plot; in a similar  
 329 manner to the analysis of an e-logP plot in the consolidation test.



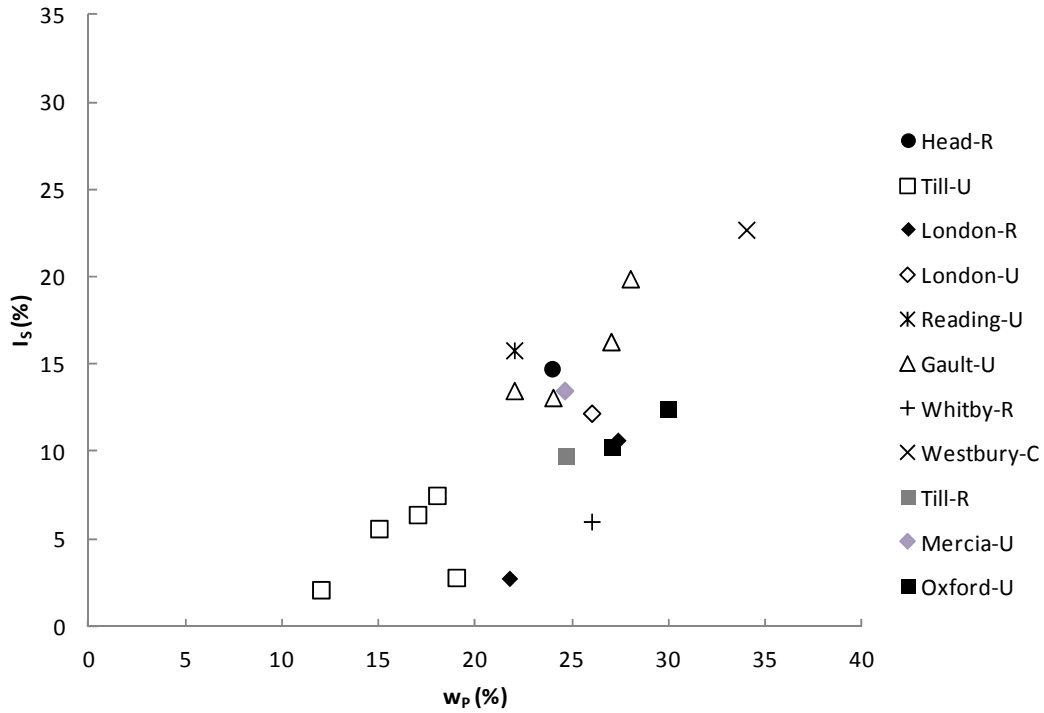
332

333

334 **Figure 9 Comparative plots of unit volume and bulk & dry density vs. water content for a**  
 335 **SHRINKIT test on till (undisturbed).**

336

337 It is noted that the shrinkage limit (Fig. 9, upper) matches the maximum bulk density  
 338 of the specimen (Fig. 9, lower). This point also matches the point of maximum  
 339 curvature on the dry density curve.



340  
341 **Figure 10 Plot of plastic limit vs. shrinkage index (by formation) for SHRINKit tests**  
342

343 A plot of plastic limit vs. shrinkage index is shown in Fig. 10. This plot is equivalent  
344 to the Casagrande plot of liquid limit vs. plasticity index. The equation of the ‘best-  
345 fit’ line for the undisturbed samples is as follows:  
346

$$I_s = 0.78(w_p - 8.3) \tag{1}$$

$$n = 14, R^2 = 0.63, SE = 0.17, p = 0.0007$$

347  
348 This is close to the equation for the ‘upper-bound’ B-line often quoted for the  
349 Casagrande plot (Head, 1992; Reeves et al., 2006):  
350  
351

$$I_p = 0.9(w_L - 8) \tag{2}$$

352 The equation of the ‘best-fit’ line for the remoulded samples (Fig. 10) is as follows:  
353  
354

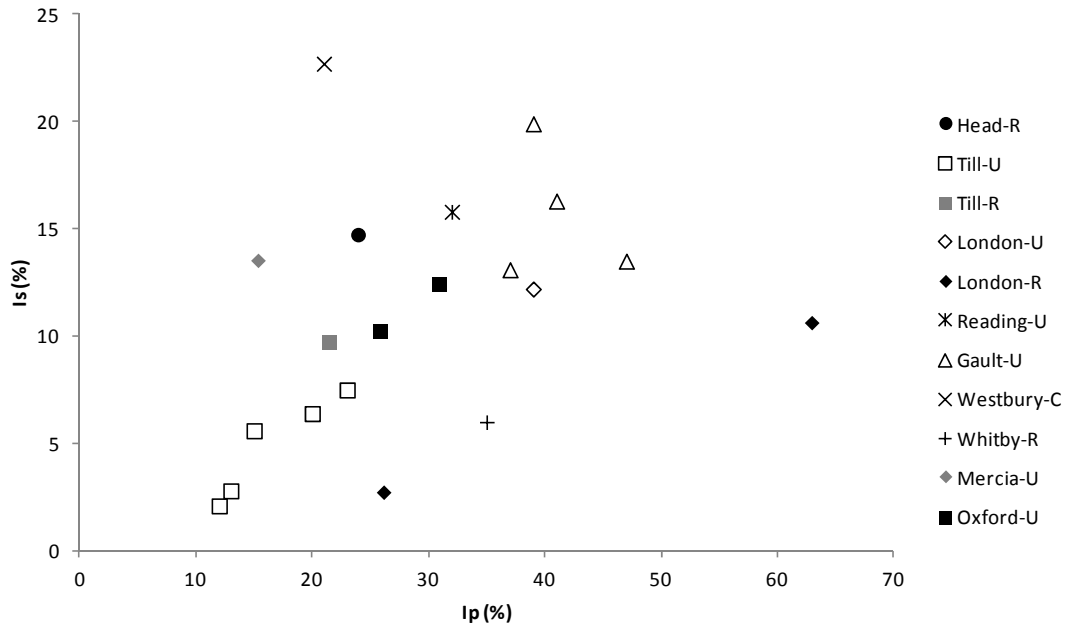
$$I_s = 0.86(w_p - 17.2) \tag{3}$$

$$n = 5, R^2 = 0.51, SE = 0.35, p = 0.048$$

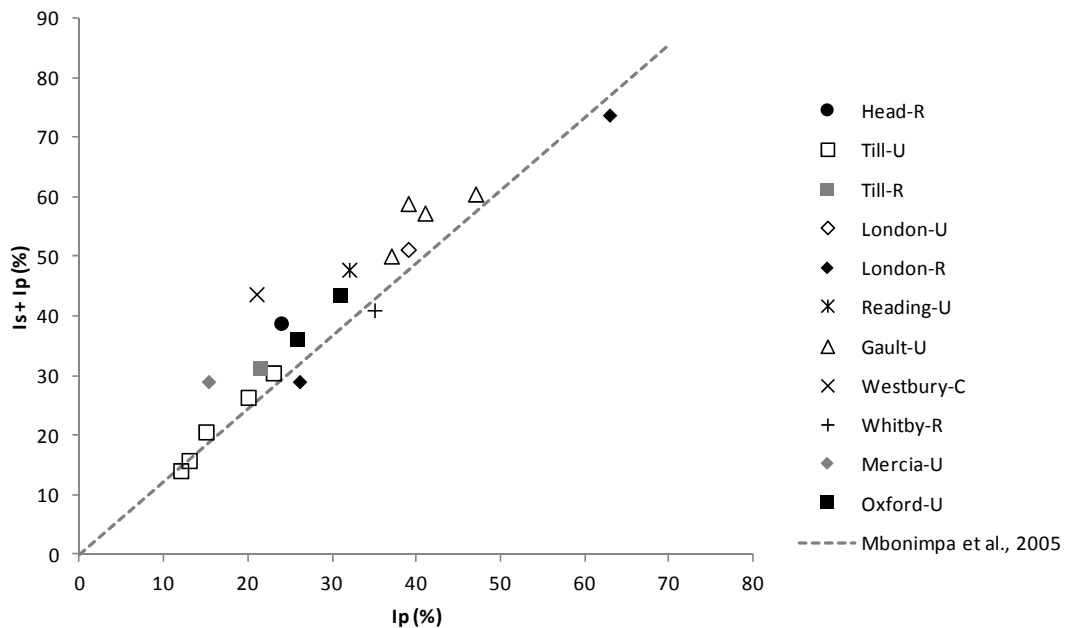
355  
356 This could be considered equivalent to the Casagrande A-line which has the  
357 equation:  
358  
359

$$I_p = 0.73(w_L - 20) \tag{4}$$

360 However, the relationship is poor, reflecting the paucity of measurements.  
361  
362

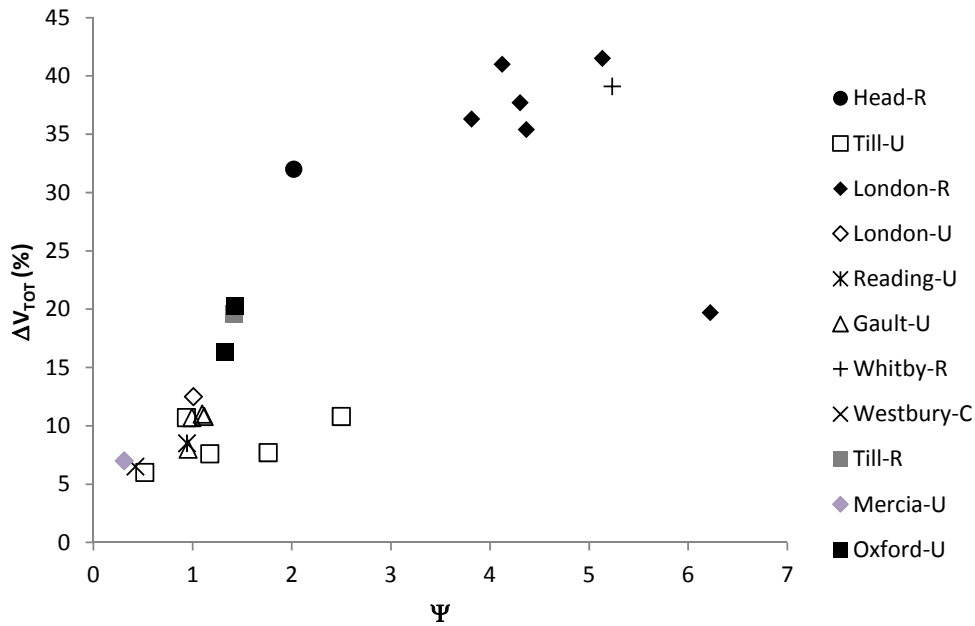


363  
 364 **Figure 11 Plot of plasticity index vs. shrinkage index for SHRINKiT tests. U=undisturbed,**  
 365 **R=remoulded, C=compacted**  
 366



367  
 368 **Figure 12 Plot of plasticity index vs. shrinkage index + plasticity index for SHRINKiT tests.**  
 369 **U=undisturbed, R=remoulded, C=compacted**  
 370

371 A plot of plasticity index vs. shrinkage index is shown in Fig.11. This shows a rather  
 372 poor correlation, with remoulded London Clays being notable amongst the outliers. A  
 373 plot of plasticity index vs. shrinkage index + plasticity index is shown in Fig. 12. This  
 374 shows a much better positive correlation which is similar to that reported by  
 375 Mbonimpa *et al.* (2005) for indirect determination of shrinkage limit from the  
 376 Casagrande chart for a variety of remoulded Canadian soils (Note: definition of  
 377 shrinkage index in Mbonimpa *et al.*, 2005 differs from that used here).



378 **Figure 13 Plot of shrinkability index vs. volumetric strain (by formation) for SHRINKiT tests.**  
 379 **U=undisturbed, R=remoulded, C=compacted**  
 380

381 If shrinkability index, is defined as follows,  
 382

$$\Psi = \frac{(w_0 - w_s)}{I_s} \quad (5)$$

383  
 384 then a plot of shrinkability index vs. volumetric strain for the SHRINKiT tests is shown  
 385 in Fig. 13. This shows a reasonable positive correlation, albeit with insufficient data  
 386 to characterise each formation statistically. Taken together the data gave the  
 387 following:  
 388

$$\Delta V_{TOT} = 12.76 \ln(\Psi) + 12.9 \quad (6)$$

$n = 24, SE = 1.87, p = 7.6 \times 10^{-7}$

### 395 CONCLUSIONS

396 The SHRINKiT test has been shown to measure the shrinkage limit of a clay soil in a  
 397 geotechnical framework within acceptable levels of accuracy using a safe method.  
 398 This method provides an alternative to the current BS methods and equivalent  
 399 mercury immersion methods used worldwide. A wide range of shrinkage behaviour  
 400 has been demonstrated comparing British and tropical clays. It is likely that some  
 401 types of extremely high plasticity clays are untestable following the principles of the  
 402 BS tests. Some basic relationships have been explored with other common Atterberg  
 403 parameters and with the shrinkage equivalents of plasticity and liquidity indices. The  
 404 unequivocal establishment of line AB (Fig. 1) is crucial to obtaining the correct test  
 405 result. The large number of measurements possible with SHRINKiT allows this, and  
 406 may also allow interpretation of the air-entry point, particularly in the light of Haigh  
 407 *et al.* (2013). The basis for renewed research in the field of geotechnics has been  
 408 established, particularly with regard to the significance of the shrinkage limit and also

409 shrinkage anisotropy and the relative behaviour of undisturbed and remoulded  
410 samples. Determination of colour change and crack development will also be  
411 incorporated.

412  
413

#### 414 NOTATION

415	$G_S$	Specific gravity
416	$I_P$	Plasticity index
417	$I_S$	Shrinkage index ( $= w_P - w_S$ )
418	$L_S$	Linear shrinkage
419	$n$	Number of samples
420	$p$	p-value
421	$R_S$	Shrinkage ratio
422	$S_n$	Degree of saturation
423	$w_0$	Water content at start of test
424	$w_L$	Liquid limit
425	$w_P$	Plastic limit
426	$w_S$	Shrinkage limit
427	$\Psi$	Shrinkability index
428	$\Delta V_{tot}$	Volumetric strain (total volume reduction during test, <i>dependent on <math>w_0</math></i> )
429	BGS	British Geological Survey
430	BS	BS1377 preferred method (TRL apparatus)

431  
432

#### 433 REFERENCES

- 434 Ackroyd, T.N.W. (1969). *Laboratory testing in soil engineering*, Geotechnical Monograph No. 1,  
435 London: Soil Mechanics Ltd.
- 436 Anon (1993) Low-rise buildings on shrinkable clay soils: Part 1, Digest 240, Part 2, Digest 241, Part 3,  
437 Digest 242. *Building Research Establishment*.
- 438
- 439 ASTM (2007) Test method for shrinkage factors of soils by the Mercury Method. *American Society*  
440 *for Testing and Materials*. Active Standard D427-04 Vol. 04.08.
- 441
- 442 Atterberg, A. (1911a). *Die plastizitat der tone*, vol.1, 4-37, Wien: Verlag fur Fachliterature, gmbh.
- 443 Atterberg, A. (1911b) Lerornas forhallande till vatten, deras plasticitetsgranser och plasticitetsgrader.  
444 *Kungliga Lantbruksakademiens Handlingar och Tidskrift* **50**, No. 2, 132-158. (in Swedish)
- 445
- 446 Berndt, R.D. & Coughlan, K.J. (1976) The nature of changes in bulk density with water content in a  
447 cracking clay. *Aus. J. Soil Res.* **15**, 27-37.
- 448 Boivin, P. (2007). Anisotropy, cracking and shrinkage of vertisol samples. Experimental study and  
449 shrinkage modelling. *Geoderma* **138**, Nos. 1-2, 25-38
- 450
- 451 Boivin, P., Schaffer, B., Temgoua, E. Gratier, M. & Steinman, G. (2006a) Assessment of soil  
452 compaction using soil shrinkage modelling: experimental data and perspectives. *Soil & Tillage*  
453 *Research*, **88** (2006), 65-79.
- 454
- 455 Boivin, P., Garnier, P. & Vauclin, M. (2006b) Modelling the soil shrinkage and water retention curves  
456 with the same equations. *Soil Sci. Soc. Am. J.* **70**, No.4, 1071-1081.
- 457 Braudeau, E., Costantini, J.M., Bellier, G., & Colleuille, H. (1999) New device and method for soil  
458 shrinkage curve measurement and characterisation. *Soil Sci. Soc. Am. J.* **63**, No. 3, 525-535.
- 459
- 460 Bronswijk, J.J.B. (1990). Shrinkage geometry of a heavy clay soil at various stresses. *Soil Sci. Soc.*  
461 *Am. J.* **54**, No.5, 1500-1502.



462 BSI (1990). BS1377: Soils testing for engineering purposes BS 1377, Part 5. London, UK: BSI  
463

464 Casagrande, A. (1948). Classification and identification of soils. *Transactions American Society Civil*  
465 *Engineers*, **113**, 901-930.  
466

467 Cheney, J.E. (1988). 25 years' heave of a building constructed on clay, after tree removal. *Ground*  
468 *Engng* **21**, No. 5, 13-27.

469 Chertkov, V.Y. (2007a). The reference shrinkage curve of clay soil. *Theoretical and Applied Fracture*  
470 *Mechanics*. **48**(1), 50-67.  
471

472 Chertkov, V.Y. (2007b). The reference shrinkage curve at higher than critical soil clay content. *Soil.*  
473 *Sci. Soc. Am. J.* **71**(3), 641-655.  
474

475 Cornelis, W.M., Corluy, J., Medina, H., Diaz, J, Hartmann, R., Van Meirvenne, M & Ruiz, M.E.  
476 (2006). Measuring and modelling the soil shrinkage characteristic curve. *Geoderma* **137**, Nos. 1-2,  
477 179-191.

478 Crescimanno, G & Provenzano, G. (1999). Soil shrinkage characteristic curve in clay soils:  
479 measurement and prediction. *Soil Sci. Soc. Am. J.* Vol. 63, No. 1, pp25-32.  
480

481 Gould, S., Rajeev, P., Kodikara, J., Zhao, X-L., Burn, S. & Marlow, D. (2011). A new method for  
482 developing equations applied to the water retention curve. *Soil Sci., Soc. Am. J.* **76**:3, 806-814.  
483

484 Groenevelt, P.H. & Grant, C.D. (2001). Re-evaluation of the structural properties of some British  
485 swelling soils. *Eur. J. Soil Sci.* **52**, No. 3,469-477.  
486

487 Fredlund, D.G. & Rahardjo, H. (1993). *Soils mechanics for unsaturated soils*. New York: John Wiley  
488 & Sons.

489 Haigh, S.K., Vardanega, P.J. and Bolton, M.D. (2013). The plastic limit of clays. *Géotechnique*  
490 **63**(6):435-440.  
491

492 Head, K.H. (1992). *Manual of soil laboratory testing. Volume 1: Soil classification and compaction*  
493 *tests*. New York, Chichester (England): Wiley.

494 Ho, D.Y.F. & Fredlund, D.G. (1989). Laboratory measurement of the deformation moduli of two  
495 unsaturated soils. *Proc. 42<sup>nd</sup> Can. Geo. Conf. (Winnipeg, Man., Canada)*, Oct 1989, 50-60.  
496

497 Hobbs, P.R.N., Northmore, K.J., Jones, L.D. & Entwisle, D.C. (2000). Shrinkage behaviour of some  
498 tropical clays. In *Unsaturated soils for Asia* (eds H. Rahardjo, D.G. Toll and E.C. Leong), Rotterdam:  
499 Balkema.

500 Hobbs, P.R.N., Jones, L.D., Roberts, P. & Haslam, E. (2010). SHRINKiT: Automated measurement of  
501 shrinkage limit for clay soils. *British Geological Survey Internal Report IR/10/077*.  
502

503 Hobbs, P.R.N., Kirkham, M. P. & Jones, L.D. (2012). SHRINKiT test results: 2008-2012. *British*  
504 *Geological Survey Internal Report IR/12/052*.  
505

506 Hulme, M., Jenkins, G.J., Lu, X., Turnpenny, J.R., Mitchell, T.D., Jones, R.G., Lowe, J., Murphy,  
507 J.M., Hassell, D., Boorman, P., McDonald, R. & Hill, S. (2002). *Climate change scenarios for the UK*,  
508 UKCIP02 scientific report. Norwich, UK: Tyndall Centre.  
509

510 Jones, L.D. (2004). Cracking open the property market. *Planet Earth*, Autumn 2004, 30-31.

511 Jones, L.D., Venus, J., & Banks, V. (2006). Getting to the roots of subsidence. *British Geological*

512 Survey CR/06/224  
513  
514 Kadir, A.A. (1997). The determination of shrinkage limit for clay soils using a travelling microscope  
515 with comparison to the established definitive method (TRRL). M.Sc. dissertation. University of Leeds  
516 (unpublished).  
517  
518 Marchese, D. (1998). The determination of shrinkage limits of compacted clayey soils – Mercia  
519 Mudstone and Gault Clay using a travelling microscope with comparison to the definitive method  
520 (TRRL, BS1377). M.Sc. dissertation. University of Leeds (unpublished).  
521  
522 Mathheck, C., Tesari, L. & Bethge, K. (2003). Roots and buildings. *8th International Conference on*  
523 *Structural Studies, Repairs and Maintenance of Heritage Architecture*. Halkidiki, Greece. 7-9 May,  
524 2003. 751-760  
525  
526 Mbonimpa, M., Aubertin, M., Bussiere, B. & Maqsoud, A. (2005). A new equation for the suction  
527 induced shrinkage of clayey soils. *6<sup>th</sup> Joint IAH-CNC and CGS Groundwater Speciality Conference,*  
528 *58<sup>th</sup> Canadian Geotechnical Conference*, Saskatoon, Saskatchewan, Canada, 18-21 September 2005,  
529 8p, Proc on CD-ROM (paper GS557).

530 Parcevaux, P. (1980). Etude microscopique et macroscopique du gonflement de sols argileux. *PhD*  
531 *Thesis. Universite Pierre et Marie Curie, Paris*.  
532  
533 Parker, J.C., Amos, D.F. & Kaster, D.L. (1977). An evaluation of several methods of estimating soil  
534 volume change. *Soil Sci. Soc. Am. J.* **41**, No. 6, 1059-1064.  
535  
536 Randrup, T.B., McPherson, E.G. & Costello, L.R. (2001). A review of tree root conflicts with  
537 sidewalks, curbs and roads. *Urban Ecosystems*, **5**, No. 3, 209-225.

538 Reeve, M.J., Hall, D.G.M. & Bullock, P. (1980). The effect of soil composition and environmental  
539 factors on the shrinkage of some clayey British soils. *European Journal of Soil Science*, **31**, Issue 3,  
540 Sept 1980, 429-442.  
541  
542 Reeves, G.M., Sims, I., & Cripps, J.C. (eds.) (2006). *Clay Materials Used in Construction*. The  
543 Geological Society, Engineering Geology Special Publication. No. 21.  
544  
545 Ridley, A.M. & Burland, J.B. (1993). A new instrument for the measurement of soil suction.  
546 *Géotechnique* **43**, No. 2, 321-324.

547 Road Research Laboratory (1952) *Soil Mechanics for Road Engineers*. HMSO, London, 541pp.  
548  
549 Rossi, A.M., Hirmas, D.R., Graham, R.C. & Sternberg, P.D (2008). Bulk density determination by  
550 automated three-dimensional laser scanning. *Soil Sci. Soc. Am. J.* **72**, No. 6, 1591-1593.  
551  
552 Sander, T. & Gerke, H. H. (2007). Noncontact shrinkage curve determination for soil clods and  
553 aggregates by three-dimensional optical scanning. *Soil Sci. Soc. Am. J.* **71**, No. 5, 1448-1454.

554 Skempton, A.W. (1954) A foundation failure due to clay shrinkage caused by poplar trees.  
555 *Institution of Civil Engineers*, Proceedings, General, Part 1, **3**, Jan 1954, 66-86. London, Northolt, UK.  
556  
557 Skempton, A.W. (1985) A history of soil properties 1717-1927. *Proc. 11<sup>th</sup> ICSMFE*, San Francisco,  
558 Golden Jubilee Vol. A, *Balkema*, 95-121.  
559  
560 Sridharan, A. and Prakash, K. (1998a) Characteristic water contents of a fine-grained soil-water  
561 system. *Géotechnique* **48**, No.3, 337-346.  
562  
563 Sridharan, A. & Prakash, K. (1998b) Mechanism controlling the shrinkage limit of soils. *Geotechnical*  
564 *Testing Journal*, **21**(3), 240-250.

- 565 Sridharan, A. & Prakash, K. (2000). Shrinkage limit of soil mixtures. *Geotechnical Testing Journal*, **23**  
566 (1). 3-8.
- 567 Sridharan, A. & Prakash, K. (2009). Determination of shrinkage limit of fine-grained soils by wax  
568 method. *Geotechnical Testing Journal*, **32** (1), 86-89.
- 569
- 570 Tariq, A. & Durnford, D.S. (1993). Soil volumetric shrinkage measurements: a simple method. *Soil*  
571 *Sci.* **155**, No. 5, 325-330.
- 572 Williams, D.J. & Sibley, J.W. (1992). Behaviour at the shrinkage limit of clay undergoing drying.  
573 *Geotechnical Testing Journal*, **15**, N3, Sept 1992, 217-222.
- 574
- 575