

## Article (refereed) - postprint

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Hentley, William T.; Vanbergen, Adam J.; Hails, Rosemary S.; Jones, T. Hefin; Johnson, Scott N. 2014. **Elevated atmospheric CO<sub>2</sub> impairs aphid escape responses to predators and conspecific alarm signals.** *Journal of Chemical Ecology*, 40 (10). 1110-1114. [10.1007/s10886-014-0506-1](https://doi.org/10.1007/s10886-014-0506-1)

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**The final publication is available at Springer via <http://dx.doi.org/10.1007/s10886-014-0506-1>**

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# ELEVATED ATMOSPHERIC CO<sub>2</sub> IMPAIRS APHID ESCAPE RESPONSES TO PREDATORS AND CONSPECIFIC ALARM SIGNALS

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1 **ABSTRACT** – Research into the impacts of atmospheric change on predator-prey interactions  
2 has mainly focused on density dependent responses and trophic linkages. As yet, the chemical  
3 ecology underpinning predator-prey interactions has received little attention in environmental  
4 change research. Group living animals have evolved behavioural mechanisms to escape  
5 predation, including chemical alarm signalling. Chemical alarm signalling between conspecific  
6 prey could be susceptible to environmental change if the physiology and behaviour of these  
7 organisms are affected by changes in dietary quality resulting from environmental change.  
8 Using *Rubus idaeus* plants, we show that elevated concentrations of atmospheric CO<sub>2</sub> (eCO<sub>2</sub>)  
9 severely impaired escape responses of the aphid *Amphorophora idaei* to predation by ladybird  
10 larvae (*Harmonia axyridis*). Escape responses to ladybirds was reduced by >50% after aphids  
11 had been reared on plants grown under eCO<sub>2</sub>. This behavioural response was rapidly induced,  
12 occurring within 24h of being transferred to plants grown at eCO<sub>2</sub> and, once induced, persisted  
13 even after aphids were transferred to plants grown at ambient CO<sub>2</sub>. Escape responses were  
14 impaired due to reduced sensitivity to aphid alarm pheromone, (*E*)-β-farnesene, via an  
15 undefined plant-mediated mechanism. Aphid abundance often increases under eCO<sub>2</sub>, however,  
16 reduced efficacy of conspecific signalling may increase aphid vulnerability to predation,  
17 highlighting the need to study the chemical ecology of predator-prey interactions under  
18 environmental change.

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20 **Key Words** – Aphid, chemical signals, climate change, tri-trophic interactions, pheromones

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## 28 INTRODUCTION

29 Animals that live in groups have evolved numerous behavioural mechanisms for escaping  
30 predation, ranging from aggregation for protection (e.g. the 'selfish herd' hypothesis (Hamilton,  
31 1971)) to more 'altruistic' alarm signalling to conspecifics (Zuberbuehler, 2009). The latter has  
32 evolved in many different invertebrate and vertebrate taxa, employing a variety of acoustic,  
33 visual and chemical cues to warn conspecifics of a predation risk (Ruxton et al., 2004). Alarm  
34 signalling between individuals via pheromones is particularly prevalent amongst insects (Blum,  
35 1969).

36 Predicting how ecosystems will respond to climate change requires greater understanding of  
37 the impacts on community processes, like herbivore prey-predator interactions (Jamieson et al.,  
38 2012; Facey et al., 2014). Atmospheric carbon dioxide (CO<sub>2</sub>) has reached 400ppm and is  
39 predicted to increase to 421-936 ppm by 2100 (IPCC, 2013). This may have profound  
40 consequences for predator-prey interactions (Robinson et al., 2012; Facey et al., 2014).

41 Experimental studies have hitherto focussed on density dependent population responses and  
42 trophic interactions (e.g. Chen et al., 2005; Hentley et al., 2014). Elevated atmospheric CO<sub>2</sub>  
43 (eCO<sub>2</sub>) could, however, also alter behavioural aspects of predator-prey interactions, such as  
44 how prey respond to conspecific chemical signals (e.g. pheromones) to avoid predators. Such  
45 communication could be indirectly altered by eCO<sub>2</sub> affecting the physiology of herbivorous prey  
46 via changes to their plant resources (Zavala et al., 2013).

47 Aphids emit the alarm pheromone (*E*)-β-farnesene (*E*βF) to alert conspecifics of imminent  
48 attack (Bowers et al., 1972). This pheromone facilitates a variety of evasive tactics used by  
49 aphids, including cessation of feeding, walking from the signal source or dropping from the  
50 plant (Pickett et al., 1992; Vandermoten et al., 2012). Reduced evasion responses of aphids  
51 when physically disturbed by the experimenter have been shown under eCO<sub>2</sub> (e.g. squeezing  
52 with forceps, Awmack et al., 1997 or prodding the thorax, Mondor et al., 2004). While these  
53 studies did not explicitly link this to *E*βF, a subsequent study that subjected wheat aphids

54 (*Sitobium avenae*) to periodic release of  $E\beta F$  showed population declines at ambient  
55 atmospheric  $CO_2$  ( $aCO_2$ ), but no change at  $eCO_2$  (Sun et al., 2010). They suggested that this was  
56 due to aphids becoming insensitive to  $E\beta F$  under  $eCO_2$  (Sun et al., 2010). To date, however, the  
57 behavioural response of aphids to both  $E\beta F$  and predators when feeding on intact plants under  
58  $eCO_2$  has not been investigated; previous studies have used excised leaves (Awmack et al., 1997;  
59 Mondor et al., 2004) or focused solely on the response to  $E\beta F$  (Sun et al., 2010).

60 We used the large raspberry aphid (*Amphorophora idaei*) feeding on red raspberry (*Rubus*  
61 *idaeus*) as a model system. Densities of *A. idaei* increase in response to  $eCO_2$  on some *R. idaeus*  
62 genotypes (Martin and Johnson, 2011), but predation at  $eCO_2$  can negate this increase (Hentley  
63 et al., 2014) potentially due to increased susceptibility of aphid prey. We therefore hypothesised  
64 that aphids have diminished escape responses to predator attack under  $eCO_2$ , compared to  
65  $aCO_2$ , which will be underpinned by reduced sensitivity to  $E\beta F$ .

66

## 67 **MATERIALS AND METHODS**

### 68 *Insects, plants and environmental chamber conditions*

69 The large raspberry aphid (*Amphorophora idaei*) population was initiated from field aphids and  
70 then maintained in the laboratory for multiple generations. Cultures were maintained at  $18 \pm$   
71  $1^\circ C$  with a 16 h photoperiod. The predatory harlequin ladybird (*Harmonia axyridis*) cultures  
72 were first collected from lime trees (*Tilia* spp.) in Oxfordshire, UK. The population was then  
73 maintained in the same environment as the aphid cultures (full details of collection and  
74 maintenance given in Hentley et al., 2014). Prior to the experiment, insect cultures were reared  
75 for at least four generations at  $aCO_2$  ( $390 \pm 50 \mu mol/mol$ ) and  $eCO_2$  ( $650 \pm 50 \mu mol/mol$ )  
76 conditions in four environmentally controlled chambers (two per  $CO_2$  regime, all at  $20 \pm 4^\circ C$ ,  
77 50-70% relative humidity and 16h photoperiod). These chambers (full details in Hentley et al.,  
78 2014) were also used for growing plants. Forty *Rubus idaeus* (cv. Glen Clova) were grown from  
79 rootstock; at approximately 6 weeks old (1 cm height) plants were transferred to 3L pots, and

80 randomly assigned to the four chambers. To minimize chamber effects, plants were moved  
81 between corresponding treatment chambers once a week for five weeks prior to assays (*sensu*  
82 Bezemer et al., 1998; Johnson and McNicol, 2010).

### 83 *Behavioural assays*

84 Behavioural assays used intact plants exposed to the different CO<sub>2</sub> treatments for five weeks.  
85 Fully-crossed combinations (Fig. 1) of aphids and plants maintained under the two CO<sub>2</sub> regimes  
86 were tested in response to stimuli from the presence of (i) ladybird (*Harmonia axyridis*)  
87 predators, (ii) 200 ng of EβF in 5μl hexane solvent and (iii) control of 5μl hexane (both  
88 analytical standard, Sigma-Aldrich, UK). For each assay, a leaf was randomly selected and 50  
89 mixed-age, apterous aphids were confined to the underside of the leaf with a 20mm diameter  
90 clip-cage for 24h. The cage was then removed and the number of aphids feeding counted, aphids  
91 not feeding were removed prior to the onset of the assay. Assays proceeded as follows: (i) a  
92 single fourth instar ladybird larva, starved for 24h, was introduced onto the leaf c. 2 cm from the  
93 aphids and observed for 5 mins. The ladybird was replaced if it did not attack aphids after 5  
94 mins. For the assays with (ii) EβF and (iii) the control hexane only, 5μl of the solution was  
95 placed on the underside of the leaf surface, c. 2 cm from the aphid colony and, again, observed  
96 for 5 mins for escape responses. For each assay, the proportion of aphids that stopped feeding  
97 and showed predator avoidance behaviour (e.g. walking away, dropping) in response to stimuli  
98 (i-iii) was quantified. Each assay was repeated 10 times.

### 99 *Statistical analysis*

100 The proportion of aphids responding to stimuli was modelled with generalised linear mixed  
101 effect models fitting a binomial error distribution (GLIMMIX, SAS Institute). The random effect  
102 was environmental chamber nested within date of bioassay. Mean temperature was fitted as a  
103 fixed effect to account for spatial (between chambers) and temporal (between days) variation  
104 during the experiment (Table 1-f). A minimum adequate model was obtained with forward  
105 stepwise selection of fixed effects. F-ratio and p-values presented are adjusted for other

106 significant fitted terms (SAS type III), two-way interactions are only reported where  $p < 0.05$ .  
107 Degrees of freedom were estimated with Satterthwaite's approximation.

108

## 109 **RESULTS**

110 Compared to aphids and plants under aCO<sub>2</sub> (Fig. 2-I), aphid escape responses to ladybirds were  
111 significantly reduced when they had been reared under eCO<sub>2</sub> (Fig. 2-II & IV, Table 1-b & c), even  
112 when transferred to plants grown under aCO<sub>2</sub> (Fig. 2-II). Moreover, aphids reared under aCO<sub>2</sub>,  
113 but subsequently transferred to plants grown under eCO<sub>2</sub> for <24h, showed the same reduction  
114 in escape responses (Fig. 2-III, Table 1-d) as seen in aphids reared under eCO<sub>2</sub> (Fig. 2-II & IV).  
115 Over twice as many aphids initiated escape responses when they fed under aCO<sub>2</sub> conditions  
116 (Fig. 2a-I). Aphids exposed to their alarm pheromone *EβF*, exhibited a reduction in escape  
117 behaviour, similar to when being attacked by the predator (Fig. 3a, Table 1-a & e). Aphids  
118 showed no behavioural response to the control solvent hexane (Fig. 3b).

119

## 120 **DISCUSSION**

121 Aphid escape responses to predator presence or exposure to the aphid alarm pheromone *EβF*  
122 were rapidly (within 24h) and significantly (>50%) impaired when feeding on a plant reared in  
123 eCO<sub>2</sub>, which persisted individual aphids reared in eCO<sub>2</sub> fed on plants grown at aCO<sub>2</sub>.  
124 Aphid behaviour often differs when feeding on experimentally excised leaves compared with  
125 intact plants, because phloem hydraulics and chemistry are altered by excision (Van Emden and  
126 Bashford, 1976; Douglas, 1993). This study, using whole plants, an insect predator, and a  
127 controlled dose of alarm pheromone *EβF* - rather than a mechanical disturbance of aphids to  
128 stimulate its release (as performed by Awmack et al., 1997; Mondor et al., 2004), - in a  
129 reciprocal experimental design permitted us to conduct a more realistic test of eCO<sub>2</sub> impacts on  
130 this tri-trophic interaction.

131 Impairment of aphid escape responses by eCO<sub>2</sub> is likely to have been mediated via changes in  
132 the plant quality which in turn impacted aphids, this is because the impairment always occurred  
133 when aphids had been, or were, feeding on plants grown at eCO<sub>2</sub>. At least two possible  
134 mechanisms may underpin reduced escape responses. Firstly, aphids may 'hold their ground'  
135 rather than escape if feeding on a good quality host. In particular, *Amphorophora idaei*  
136 performance is known to be enhanced by eCO<sub>2</sub> induced changes in plant suitability (Martin and  
137 Johnson, 2011) and these species is known to continue feeding, even under threat, if the host  
138 plant is of good quality (Mitchell et al., 2010). Alternatively, if eCO<sub>2</sub> reduces host plant quality,  
139 then aphids can engage in more intense and sustained feeding activity (Sun and Ge, 2011; Guo et  
140 al., 2013a; Guo et al., 2013b), akin to the compensatory feeding responses of chewing insect  
141 herbivores (e.g. Docherty et al., 1996; Johnson et al., 2014a). Ingesting more phloem will  
142 inevitably be energetically costly and may require deeper penetration of plant tissues, and  
143 possibly the manipulation of the plants metabolism (Guo et al., 2013b). This investment may  
144 result in aphids being either physiologically less able, or behaviourally less inclined, to abandon  
145 a host plant. Either way, it is feasible that enhancement or deterioration in the nutritional  
146 quality of plants grown under eCO<sub>2</sub> is enough to make aphids to continue feeding, even under  
147 risk of predation.

148 Multi-trophic interactions must be accounted for to accurately predict the net effect of eCO<sub>2</sub> on  
149 plants (Harrington et al., 1999; Robinson et al., 2012; Facey et al., 2014). Crops may become  
150 more susceptible to insect pests, including aphids (Martin and Johnson, 2011; Johnson et al.,  
151 2014b), in an eCO<sub>2</sub> environment. Top-down control of aphids by natural enemies is a major  
152 factor in regulation of aphid populations (Dixon, 2000). Here we demonstrate that atmospheric  
153 change modified the behavioural response of a herbivore when a conspecific is being attacked  
154 by a natural enemy, which could increase the net impact of the predator. The lack of behavioural  
155 response from conspecifics will increase prey availability for the natural enemy, but also limit  
156 beneficial, non-consumptive effects, such as the herbivore dropping from the plant. Such



157 modified predator-prey interactions clearly have implications for crop security in a changing  
158 world.

159 Together with previous evidence using other aphid species (Awmack et al., 1997; Mondor et al.,  
160 2004; Sun et al., 2010), it seems that the interference effect of eCO<sub>2</sub> on aphid escape responses  
161 is a general, and possibly widespread, reaction. However, further work is needed to establish  
162 the mechanistic basis of how atmospheric change mediates the chemical ecology of predator-  
163 prey interactions. Moreover, whether aphid populations will adapt to such atmospheric changes  
164 over time to re-establish responsiveness to predator-related conspecific alarm signals remains  
165 an unanswered question.

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## 168 **Acknowledgements**

169 We thank Alison Dobson, Carolin Schultz, Sheena Lamond and Scott McKenzie for their  
170 assistance on this NERC CASE PhD project (NE/H018247/1).

171

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269 **Figure Legends**

270 Figure 1 Schematic of behavioural assays using reciprocal treatments of aphids and plants  
271 maintained under aCO<sub>2</sub> and eCO<sub>2</sub>

272

273 Figure 2 Mean ( $\pm$  S.E.) percentage of aphids reared at aCO<sub>2</sub> (grey bars) or eCO<sub>2</sub> (white bars)  
274 showing escape responses to ladybird larva (*Harmonia axyridis*). Roman numerals refer to  
275 treatment combinations (see Fig. 1)

276

277 Figure 3 Mean ( $\pm$  S.E.) percentage of aphids reared at aCO<sub>2</sub> (grey bars) or eCO<sub>2</sub> (white bars)  
278 showing escape responses to a) E $\beta$ F mixed with hexane solvent, and b) hexane alone. Roman  
279 numerals refer to treatment combinations (see Fig. 1).

280 Table 1. Final GLMM results summary for aphid escape response to predator/  $E\beta F$  stimulus and  
 281  $CO_2$  regimes.

Response variable	Explanatory variables	Estimate	$F_{(ndf,ddf)}$	p
% aphids per plant showing escape behaviours	a) Stimulus <i>Hexane/Ladybird/ <math>E\beta F</math></i>	<i>2.17 / 3.54 / 2.68</i>	6.46 <sub>(2,6)</sub>	0.0031
	b) Plant growing environment <i>aCO<sub>2</sub>/eCO<sub>2</sub></i>	<i>2.74/2.68</i>	15.28 <sub>(1,6)</sub>	0.0089
	c) Aphid rearing environment <i>aCO<sub>2</sub>/eCO<sub>2</sub></i>	<i>3.29/2.68</i>	21.43 <sub>(1,145)</sub>	<0.0001
<i>Random effect estimate = 0.07 ± 0.07</i>	d) Plant growing * aphid rearing environment <i>aCO<sub>2</sub> plant * aCO<sub>2</sub> aphid / eCO<sub>2</sub> plant * aCO<sub>2</sub> aphid</i> <i>aCO<sub>2</sub> plant * eCO<sub>2</sub> aphid / eCO<sub>2</sub> plant * eCO<sub>2</sub> aphid</i>	<i>4.04 / 2.68</i> <i>2.68 / 2.68</i>	38.40 <sub>(1,38)</sub>	<0.0001
	e) Aphid rearing environment * stimulus <i>aCO<sub>2</sub> * Hexane / aCO<sub>2</sub> * Ladybird / aCO<sub>2</sub> * <math>E\beta F</math></i> <i>eCO<sub>2</sub> * Hexane / eCO<sub>2</sub> * Ladybird / eCO<sub>2</sub> * <math>E\beta F</math></i>	<i>1.30 / 2.16 / 2.68</i> <i>2.68 / 2.68 / 2.68</i>	6.02 <sub>(2,145)</sub>	0.0031
	f) Mean temperature	-0.275	5.41 <sub>(1,5)</sub>	0.0544

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283

284

## CO<sub>2</sub> conditions

Ambient CO<sub>2</sub>  
390 μmol/mol



## Combination

aCO<sub>2</sub> aphid  
- aCO<sub>2</sub> plant

eCO<sub>2</sub> aphid  
- aCO<sub>2</sub> plant

aCO<sub>2</sub> aphid  
- eCO<sub>2</sub> plant

eCO<sub>2</sub> aphid  
- eCO<sub>2</sub> plant

## Figure Label

I

II

III

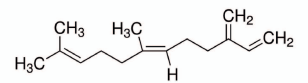
IV

## Behavioural assays

(i) Ladybird larva



(ii) *E*-β-Farnesene  
(dissolved in hexane)



(iii) Control (hexane)

