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3 1 **The use of multivariate statistics to resolve multiple contamination signals**
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5 2 **in the oxygen isotope analysis of biogenic silica**
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42 15
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45 16 **Abstract**
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48 17 The analysis of the oxygen isotope composition ($\delta^{18}\text{O}$) of diatom silica is a
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50 18 commonly-used tool for palaeoclimate reconstruction that recent studies have demonstrated
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52 19 may be complicated by the presence of non-diatom detrital material. Such contamination can
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54 20 mask any true climate-driven signal, leading to spurious results. Analysis of the 2.6 million
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56 21 year old Barsemoi Diatomites from the East African Rift Valley highlights the presence of
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3 22 both tephra and clay in purified samples. Here we present a new method for assessing the
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5 23 relative contribution and geochemical composition of contamination components where
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7 24 sedimentary samples may be affected by more than one type of contamination. This approach
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9 25 shows that the incorporation of analytical techniques such as x-ray fluorescence
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11 26 spectrometry, coupled with statistical modelling, can be used to develop a three end-member
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13 27 model to successfully resolve climate-driven changes in $\delta^{18}\text{O}_{\text{diatom}}$. Mass-balance corrections
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15 28 made to $\delta^{18}\text{O}_{\text{diatom}}$ data demonstrate the importance of adopting quantitative geochemical
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17 29 analysis in tandem with the $\delta^{18}\text{O}$ analysis of biogenic silica, in order to obtain accurate and
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19 30 meaningful results for palaeoclimate reconstruction.
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25 32 Keywords: oxygen isotopes, diatom, contamination, multivariate statistics
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31 34 **Introduction**

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34 35 The oxygen isotope analysis of biogenic silica, principally diatoms ($\delta^{18}\text{O}_{\text{diatom}}$), has
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36 36 become an increasingly popular proxy for palaeoclimate change (Lamb *et al.*, 2005, 2007;
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38 37 Leng *et al.*, 2005; Morley *et al.*, 2005; Moschen *et al.*, 2005; Leng and Barker, 2006; Swann
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40 38 *et al.*, 2006; Swann and Leng, 2009; Barker *et al.*, 2011; Mackay *et al.*, 2011, 2013; Rosqvist
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42 39 *et al.*, 2013). Diatoms are unicellular, algae that precipitate siliceous cell walls, which are
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44 40 preserved as rigid frustules within the sediment record after cell death (Round *et al.*, 1990).
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46 41 They are abundant in areas with limited carbonate sedimentation, such as in soft-water lakes
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48 42 and the high-latitude oceans, and thus can be utilised as a reliable palaeoenvironmental proxy
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50 43 to complement existing palaeoclimatic records. They are ubiquitous in the photic zones of
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52 44 most aquatic environments (including lakes) where levels of key nutrients such as silicon,
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54 45 nitrogen and phosphorus are sufficient to sustain productivity (Leng and Barker, 2006).
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3 46 Productivity is largely controlled by seasonal climate patterns that influence habitat
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5 47 conditions and nutrient availability. In large, monomictic tropical lakes, increased mixing and
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7 48 productivity tend to occur during the dry season (Bootsma, 1993). In dimictic, temperate
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9 49 lakes such as those in the mid- to high-latitudes, mixing occurs twice each year, during spring
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11 50 and autumn, when similarities between the temperature and density of the hypolimnion and
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13 51 epilimnion create a strong mixing regime (Wetzel, 2001). The isotopic signature of diatom
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15 52 silica is largely acquired during these growth periods (Leng and Barker, 2006). Within
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17 53 lacustrine environments, $\delta^{18}\text{O}_{\text{diatom}}$ varies as a function of temperature and the isotopic
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19 54 composition of ambient lake water ($\delta^{18}\text{O}_{\text{water}}$), which in turn is heavily influenced by some
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21 55 aspect of precipitation (open lakes) or balance between precipitation and evaporation (closed
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23 56 lakes) (Leng and Barker, 2006). A possible additional control is exerted by depth constraints,
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25 57 such as vertical stratification, that limit diatom productivity to the upper part of the water
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27 58 column (the photic zone) and can result in a $\delta^{18}\text{O}_{\text{diatom}}$ value that is reflective of a localised
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29 59 $\delta^{18}\text{O}_{\text{water}}$ signal (Raubitschek *et al.*, 1999).
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35 60 The analysis of $\delta^{18}\text{O}_{\text{diatom}}$ offers the potential to obtain palaeoenvironmental records,
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37 61 which contain a mineral-water fractionation that is dependent on temperature. Various
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39 62 calibration studies have attempted to define the empirical relationship between $\delta^{18}\text{O}_{\text{diatom}}$ and
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41 63 temperature; the diatom-temperature coefficient is thought to be $-0.2\text{‰}/\text{°C}$ but estimates
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43 64 have ranged up to $-0.5\text{‰}/\text{°C}$ (Labeyrie 1974; Juillet-Leclerc and Labeyrie, 1987; Shemesh *et*
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45 65 *al.*, 1992; Brandriss *et al.*, 1998; Moschen *et al.*, 2005). Where there is little seasonal
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47 66 variation in temperature (i.e. at low-latitudes) or in open lake systems, changes in $\delta^{18}\text{O}_{\text{water}}$,
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49 67 the isotopic composition of precipitation and possible changes in atmospheric circulation,
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51 68 become more important. For example, changes in the hydrological balance between
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53 69 precipitation and evaporation have also been invoked as the cause for variations in a
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55 70 $\delta^{18}\text{O}_{\text{diatom}}$ record from Lake Challa near Kilimanjaro (Barker *et al.*, 2011), while changes in
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3 71 $\delta^{18}\text{O}_{\text{diatom}}$ from Laguna Zacapu in Mexico are thought to reflect variations in the $\delta^{18}\text{O}$
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5 72 composition of precipitation driven by salinity, temperature or air-mass and moisture
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7 73 contribution balance between the Pacific Ocean and Gulf of Mexico (Leng *et al.*, 2005).
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11 74 In some sediments, purification using chemical and physical cleaning steps is
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13 75 sufficient to remove non-diatom material from samples prior to isotope analysis (Rosqvist *et*
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15 76 *al.*, 2013). However it has become apparent that the precision of $\delta^{18}\text{O}_{\text{diatom}}$ data can be
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17 77 compromised by the presence of small amounts of tephra, clays and carbonates which can
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19 78 sometimes remain within the purified samples because of difficulties with the process due to
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21 79 similarities of size, specific gravity or chemistry between the diatom and contaminant
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23 80 (Morley *et al.*, 2005; Lamb *et al.* 2007; Brewer *et al.*, 2008). Because oxygen is liberated
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25 81 from all components of the sample during the analytical procedure, even small proportions of
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27 82 contamination can have a significant effect, causing negative excursions and high-frequency
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29 83 noise in the $\delta^{18}\text{O}_{\text{diatom}}$ record, masking any true climate signal (Morley *et al.*, 2005; Lamb *et*
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31 84 *al.*, 2007; Brewer *et al.*, 2008). Silicate minerals tend to have low $\delta^{18}\text{O}$, for example silt
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33 85 contained within Lake Baikal sediments has a measured $\delta^{18}\text{O}$ value of +12.3‰ (Morley *et*
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35 86 *al.*, 2005) while tephra remaining in samples from Lake Tilo, Ethiopia had an average $\delta^{18}\text{O}$
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37 87 value of +11.6 ‰ (Lamb *et al.*, 2005).
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42 88 The application of whole-sample geochemistry to analyse contamination remaining
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44 89 within purified diatom samples was first described by Lamb *et al.* (2007) and later expanded
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46 90 by Brewer *et al.* (2008), Swann and Leng (2009), Mackay *et al.* (2011; 2013) and Chaplign
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48 91 *et al.* (2012). By adopting a chemical-based technique to investigate contamination, it is
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50 92 possible to quantify the type and volume of contaminant material affecting cleaned diatom
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52 93 samples, and thus develop a way of removing its effect on measured $\delta^{18}\text{O}_{\text{diatom}}$ using mass-
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54 94 balance calculations. With the exception of the FTIR method (Swann and Patwardhan, 2011),
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56 95 the on-going development of techniques used to assess purity has largely concerned the use
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3 96 of x-ray fluorescence (XRF) spectrometry to quantify variations in geochemical composition.
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5 97 To date, these have been applied in the analysis of lacustrine systems containing one non-
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7 98 diatom component (e.g. clay, tephra or carbonates) where the amount of Al₂O₃ is used to
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9 99 quantify the amount of remaining contamination (Brewer *et al.*, 2008; Mackay *et al.*, 2011,
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11 100 2013). However, in systems, which may contain two or more types of contamination, more
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14 101 precise assessment of sample geochemistry is required in order to differentiate between
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16 102 components.
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19 103 Here we analyse a 2.6 million year old (Ma) diatomite sequence from the East African
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21 104 Rift Valley that contains numerous air-fall ash deposits from volcanic activity (Deino *et al.*,
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23 105 2006) and was deposited in a system known to experience high clay and silt influx from the
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25 106 catchment (Tarits *et al.*, 2006). We use multivariate statistics to identify the geochemical
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27 107 signatures of the different contamination components and develop a three end-member model
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29 108 based on elemental oxide abundance data to accurately model climate-driven changes in
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32 109 $\delta^{18}\text{O}_{\text{diatom}}$.
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37 38 39 111 **The Barsemoi Diatomites**

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41 112 The Barsemoi Diatomites are a well-dated sequence exposed within the Tugen Hills
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43 113 in the Baringo-Bogoria basin (Fig. 1). The Tugen Hills is a complex, westward-tilting fault
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45 114 block which extends for 75 km between the Kerio Valley and the Baringo-Suguta axial
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47 115 trough and is uplifted along the N-S trending synthetic Saimo fault. The fault block
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49 116 represents a 3,000 m thick sedimentary succession spanning the period between 14 - 1 Ma
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51 117 that was deposited in a down-warped half-graben that has served as a depositional basin since
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53 118 the initiation of rift activity in the region at 16 Ma (Chapman *et al.*, 1978; Morley *et al.*,
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55 119 1992). The Barsemoi Diatomites record the rhythmic cycling of a major freshwater lake
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3 120 system in the Baringo-Bogoria basin between 2.55 - 2.68 Ma and offer a unique, high-
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5 121 resolution archive of Late Pliocene climate history (Deino *et al.*, 2006; Kingston *et al.*, 2007).
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8 122 Modern-day Lake Baringo is situated close to the Tugen Hills in the axial graben of
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10 123 the Central Kenyan Rift (0.33-1° N, 36.08° E) at an altitude of 970 m.a.s.l. (Fig. 1).
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12 124 Depending on the strength and duration of the rainy seasons, the surface area varies between
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14 125 108-160 km² and the lake drains a catchment encompassing a total area of 6,200 km² (Tarits
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16 126 *et al.*, 2006). The region is semi-arid, with mean annual rainfall rates that range from 600–
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18 127 900 mm on the valley floor to >1000 mm in the adjacent highlands. Potential evaporation in
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20 128 the area is in excess of 2,600 mm/yr, so the survival of the lake is dependent on riverine
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22 129 inflow from two perennial rivers, the Molo and the Perkerra, and a number of ephemeral
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24 130 channels active only during the rainy seasons. Despite high evaporation rates and having no
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26 131 surface outflow, Lake Baringo remains fresh and the overall salinity of the lake is largely the
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28 132 same as suggested by the earliest analyses conducted in 1929-1930 (salinity of 0.5-0.7 ‰)
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30 133 (Ballot *et al.*, 2003). Tarits *et al.* (2006) suggest that this is the result of subsurface
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32 134 groundwater seepage through faulted lavas and permeable sediments. In the past, Lake
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34 135 Baringo is believed to have ranged from a highly alkaline and saline playa-lake during
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36 136 different low-level stages in its history, as marked by the presence of authigenic zeolites
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38 137 formed from NaCO₃-rich lake and pore waters (Renaut *et al.*, 1999), to an extensive
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40 138 freshwater lake (Kingston *et al.*, 2007).
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48 49 50 140 **Methodology**

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53 141 Samples from one diatomite (unit #4; 2.606-2.617 Ma) were taken at 10 cm intervals
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55 142 from locality RE26, exposed within the main A-A' type-section of the principal Barsemoi
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57 143 Diatomite sequence (Fig. 1A). The volume of material analysed approximately equates to 30
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3 144 years per sample (2 cm sample size), based on published sedimentation rates (Deino *et al.*,
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5 145 2006). In order to remove impurities, diatoms were concentrated using physical and chemical
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7 146 techniques following a modified version of the method outlined by Morley *et al.* (2004).
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9 147 Diatom samples were first soaked in deionised water and freeze-dried in order to aid
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11 148 disaggregation. Organic matter was removed by heating with 30% H₂O₂ at 90°C for 3 hours.
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13 149 Samples were then treated with 5% HCl for 12 hours in order to remove calcium carbonate.
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15 150 After each stage, samples were rinsed with deionised water and centrifuged (1200 rpm for 4
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17 151 minutes) three times. Diatomite material was then sieved with deionised water at 10 µm and
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19 152 75 µm in order to optimise the retention of diatom valves and remove both small clay
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21 153 particles and larger silt-sized detrital mineral grains or large diatoms. Using a heavy liquid
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23 154 separation method to isolate materials of different densities, the 10-75 µm fraction was then
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25 155 added to sodium polytungstate (SPT, 3Na₂WO₄·9WO₃·H₂O) with a specific gravity of 2.1 sg
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27 156 and continuously centrifuged at 2500 rpm for 20 minutes. The diatom layer was then
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29 157 extracted by pipette and SPT was subsequently removed from the samples using a
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31 158 combination of repeated centrifuge washing with deionised water and a final sieving stage at
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33 159 10 µm. Following SPT removal, cleaned samples were mixed with deionised water and
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35 160 allowed to settle. Any remaining clay formed a very fine dark band, which was carefully
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37 161 removed using a pipette. The remaining purified diatom samples were dried at 40 °C for 48
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39 162 hours. Cleaned samples were analysed for δ¹⁸O_{diatom} using stepwise fluorination (Leng and
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41 163 Sloane, 2008) at the NERC Isotope Geosciences Facility in Keyworth.
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48 164 XRF spectrometry was used to measure the whole-sample geochemistry of the
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50 165 cleaned material. Samples were analysed using PANalytical Axios Advanced XRF
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52 166 spectrometers at the Department of Geology, University of Leicester and the British
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54 167 Geological Survey, Keyworth. Fused glass beads were prepared from approximately 0.1 g of
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56 168 ignited diatom silica powder which had been dried overnight at 105°C to remove moisture.
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3 169 Powders were mixed with a flux consisting of 80% Li-metaborate and 20% Li-tetraborate at a
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5 170 sample to flux ratio of 1:5 in Pt-Au crucibles which were heated and homogenised at
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7 171 ~1050°C on an oxygen/gas burner system. The resulting melt was cast in a Pt-Au dish to
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10 172 form the fusion beads before cooling. Major element geochemistry was analysed from 32 mm
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12 173 diameter briquettes prepared from 10 g of fine ground powder mixed with ~ 20-25 drops of
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14 174 7% PVA solution and pressed at 10 tons per square inch.
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17 175 End-member samples of pure diatom and non-diatom material were also analysed in
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19 176 order to best quantify the isotopic and geochemical signatures of both pure diatom material
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21 177 and potential contaminants (Fig. 2). BFC, is an NIGF within-laboratory pure diatom standard
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23 178 derived from a lacustrine diatomite deposit in California, while #TUFF and #TUFF2, are
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25 179 tephra end-members from within Barsemoi diatomite unit #4. #TUFF was sampled from a 7
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27 180 cm-thick green-grey ash fall deposit situated approximately 165 cm above the base of unit #4
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29 181 (Fig. 1C, Fig. 2D,E), while #TUFF2 was taken from a tephra-rich layer at the top of unit #4.
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32 182 Clay particles have been observed to adhere to diatom valves by electrostatic charge (Fig.
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34 183 2C) and are difficult to extract from within the frustule structure making it difficult to isolate
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36 184 a sample of pure clay material for analysis. Therefore, an additional sample of clay (#CLAY)
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38 185 was identified and analysed using scanning electron microscopy (SEM) and energy
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40 186 dispersive system (EDS) microprobe spot analysis to determine its geochemical composition.
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44 187 To date, the processes adopted for determining the relative quantity of contamination
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46 188 has been relatively simple as most samples apparently contained a single type of contaminant
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48 189 (e.g. clay or tephra from a single source). Previous work has involved a range of techniques
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50 190 from qualitative methods such as point-counting of silt grains to generate a simple linear
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52 191 mass-balance correction (Morley *et al.*, 2005) to the more quantitative geochemical
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54 192 assessment of potential contamination using major and minor trace element geochemistry
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56 193 (XRF) (Lamb *et al.*, 2007, Brewer *et al.*, 2008, Mackay *et al.*, 2011; 2013) or infrared
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3 194 spectroscopy (Swann and Patwardhan, 2011). The XRF techniques have largely lead to a
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5 195 quantification of contamination derived from one or more ‘indicator’ oxides such as Al_2O_3
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7 196 for clay or silt (Brewer *et al.*, 2008; Mackay *et al.*, 2011). However, sedimentary sections
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9 197 such as the Barsemoi diatomites pose a different challenge due to the occurrence of both clay
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11 198 (Fig. 2A,B) and tephra (Fig. 2D,E). Geochemical similarities between the two components,
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13 199 such as high Fe_2O_3 (Table 1), mean that it was not possible to identify just one elemental
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15 200 oxide that is individually indicative of clay or tephra. Therefore, in order to accurately assess
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17 201 the affect of these different contaminants on $\delta^{18}\text{O}_{\text{diatom}}$ values, we adopted multivariate
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19 202 statistical analysis to determine what drives variation within our geochemical (XRF) dataset
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21 203 by identifying which oxides can, when considered together, be indicative of clay or tephra
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23 204 contamination. Principal Components Analysis (PCA) was used to explore variation in the
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25 205 chemical composition of the purified samples and thus to establish relationships between
26
27 206 different elemental components. PCA was focussed on inter-species correlations and data
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29 207 were centred and standardised in order to calculate a correlation matrix for the data.
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31 208 Statistical analyses were conducted using Canoco ver. 4.5 for Windows and ordination
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33 209 biplots were produced using the associated program CanoDraw (ter Braak and Šmilauer,
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35 210 2002).

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212 **Results and Discussion**

213 Samples covering the whole section ($n = 49$) were analysed for $\delta^{18}\text{O}_{\text{diatom}}$
214 composition. Measured raw values of $\delta^{18}\text{O}$ ($\delta^{18}\text{O}_{\text{sample}}$) vary between +16 and +37‰ and
215 display a marked overall decrease towards the top of unit #4 (Fig. 3). Within-run
216 reproducibility of the diatomite samples averaged 0.34‰ ($n=4$), respectively, whilst

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3 217 reproducibility of the BFC diatomite standard was 0.22‰ (n=8). The isotopic compositions
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5 218 of diatom and non-diatom end-member components are given in Table 1.
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8 219 Whole-sample geochemical data for samples from diatomite #4 are shown in figure 3,
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10 220 expressed as weight percentages of major element oxides. Samples from the upper part of the
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12 221 section contain greater proportions of elemental oxides, broadly indicating higher levels of
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14 222 contamination than those towards the base of unit #4. This is in agreement with stratigraphic
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16 223 and sedimentological observations of a gradual transition towards more clayey diatomites
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18 224 near the top of the unit. Diatom samples with the highest proportion of SiO₂ are considered to
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20 225 be the least contaminated and generally occur within the lower half of the sequence. Varying
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22 226 amounts of different elemental oxides are also found to occur naturally within diatom
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24 227 frustules (Brewer *et al.*, 2008) and therefore sample concentration values were first
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26 228 normalised to those of the BFC diatomite standard. The geochemical composition of the BFC
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28 229 standard diatomite is taken from Brewer *et al.*, (2008).
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33 230 The marked differences in the geochemistry of the end-member tephra and clay
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35 231 contaminants and the cleanest diatom samples provide a means of determining the level and
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37 232 type of contamination present within samples. Following XRF analysis, samples #4074
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39 233 (section height = 200 cm) and #4099 (section height = 460 cm) were eliminated from further
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41 234 analysis as it was not possible to obtain sufficiently reliable data (sample #4074: low sample
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43 235 weight; sample #4099: laboratory analysis error). In order to account for any inter-laboratory
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45 236 difference in XRF analyses, we performed dual measurements of the BFC standard: A t-test
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47 237 demonstrates that there is no statistical difference between the two institutions (University of
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49 238 Leicester and British Geological Survey) at the 5% significance level ($t = 0.0058$; 5% level =
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51 239 2.1199).
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3 240 PCA results are summarised in figure 4, which shows the distribution of the cleaned
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5 241 diatomite samples with respect to their concentrations of the various elemental oxides. The
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7 242 results indicated that 85.1% of the variance within the data can be explained by axes 1 and 2
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9 243 which represent the environmental gradients of the measured elemental oxides (eigenvalues
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11 244 (λ): $\lambda_1 = 0.705$; $\lambda_2 = 0.146$). Figure 4 shows that the oxides cluster in two distinct groups
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13 245 indicating that contamination within the samples arises from two principal sources. This is
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15 246 further enhanced by the geochemical compositions of the contaminant end-member samples
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17 247 and their positions in the ordination biplot. Samples #TUFF and #TUFF2 and tephra-rich
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19 248 samples #4069 and #4134 indicate that levels of tephra contamination can be defined by the
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21 249 relative proportions of CaO, Na₂O and K₂O. The PCA results also suggest that clay
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23 250 contamination within the samples comes from a different source, as indicated by sample
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25 251 #CLAY, and can be quantified by the relative proportions of indicator oxides MgO, Fe₂O₃,
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27 252 Al₂O₃ and TiO₂.

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32 253 One of the main issues encountered in previous attempts to develop a model to correct
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34 254 for contamination concerns the combination of estimates for both the clay and tephra
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36 255 components and how to accurately account for any potential geochemical overlap. In order to
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38 256 assess the $\delta^{18}\text{O}_{\text{sample}}$ data for the presence of two contaminants, a three end-member model
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40 257 was developed. The model requires that the relative purity (diatom content) or total
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42 258 contamination (clay and tephra, minus any overlap) proportion of the sample be calculated in
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44 259 order to accurately establish the quantities of the two components. The relative abundance of
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46 260 SiO₂ was scaled, ranging from pure diatomite (~ 93% SiO₂) to tephra (~ 58% SiO₂) and,
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48 261 using this scale, a percentage value was calculated as an indicator of relative purity. From
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50 262 this, total contamination is assumed to represent the remaining proportion of sample material.
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52 263 Proportions of the different elemental oxides analysed were then determined based on the
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54 264 following formula:
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$$\% \text{ contamination by oxide } A = \left| \frac{\text{sample}_A - \text{pure}_A}{\text{contaminant}_A} \right| \times 100$$

Where sample_A is the proportion of oxide A measured within the sample, pure_A is the proportion of oxide A within the cleanest sample and contaminant_A is the value of oxide A within the appropriate end-member (Table 1). The relative proportions of tephra (defined by relative enrichment in CaO, Na₂O and K₂O) and clay (defined by enrichment in Al₂O₃, TiO₂ and MgO) contamination were then ascertained from the average percentages of the relevant oxides (Fig. 5). At this stage, Fe₂O₃ was removed as an indicator oxide for clay contamination as it was found to introduce a bias in the calculation that resulted in consistent over-estimation of clay proportions.

In order to accurately model $\delta^{18}\text{O}_{\text{sample}}$ data for the effects of contamination, it is necessary to know the $\delta^{18}\text{O}$ composition of both tephra and clay. Since, it was not possible to isolate a pure clay sample, the $\delta^{18}\text{O}_{\text{clay}}$ end-member value was calculated using a regression equation based on the assumption that a linear relationship exists between $\delta^{18}\text{O}$ value and the proportion of clay ($r^2 = 0.78$) (Fig. 6A). This generates a $\delta^{18}\text{O}_{\text{clay}}$ value of +14.4‰. This is close to other published $\delta^{18}\text{O}$ values for end-member contaminants ($\delta^{18}\text{O}$ of silt = +12.3 ‰, Morley *et al.*, 2005; $\delta^{18}\text{O}$ of tephra = +11.6‰, Lamb *et al.*, 2007; $\delta^{18}\text{O}$ of tephra = +10.0 ‰, this study).

Using the relative proportions of contaminants and appropriate end-member $\delta^{18}\text{O}$ values, raw $\delta^{18}\text{O}_{\text{sample}}$ data were then corrected for the effect of contamination using the following mass-balance calculation:

$$\delta^{18}\text{O}_{\text{modelled}} = \delta^{18}\text{O}_{\text{sample}} - \frac{\left[\left(\frac{\% \text{tephra}}{100} \times \delta^{18}\text{O}_{\text{tephra}} \right) + \left(\frac{\% \text{clay}}{100} \times \delta^{18}\text{O}_{\text{clay}} \right) \right]}{\frac{\% \text{diatom}}{100}}$$

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3 286 Where values of $\delta^{18}\text{O}_{\text{tephra}}$ and $\delta^{18}\text{O}_{\text{clay}}$ are given in table 1 and the %tephra and %clay
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5 287 values were determined using the method described above. The resulting $\delta^{18}\text{O}_{\text{modelled}}$ data are
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7 288 displayed in figure 7. Estimated errors for our modelled isotope corrections are obtained by
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10 289 factoring in an analytical reproducibility of $\pm 0.34\%$ for measurements of both $\delta^{18}\text{O}_{\text{sample}}$ and
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12 290 $\delta^{18}\text{O}_{\text{tephra}}$. We also associate a conservative error of $\pm 2\%$ with our computed value of $\delta^{18}\text{O}_{\text{clay}}$.
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14 291 In addition to this, we also associate a 15% error with each correction in order to account for
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16 292 the fact that PCA axes 1 and 2 only explain 85.1% of the variation within the geochemical
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18 293 dataset. The application of the three end-member averages model to the $\delta^{18}\text{O}_{\text{sample}}$ record has
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20 294 the effect of increasing $\delta^{18}\text{O}$ values by an average of 2.49‰ ($\sigma = 1.94\%$; $n = 42$, values from
21
22 295 tephra layers and outliers are excluded). The two samples identified as outliers (#4074 and
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24 296 #4099) were not used in any of the isotope corrections. Additionally, corrected $\delta^{18}\text{O}$ values
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26 297 from samples from the two tuff layers within diatomite unit #4 are also not shown.
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30 298 The removal of the contamination signal from the $\delta^{18}\text{O}_{\text{diatom}}$ record results in an
31
32 299 overall positive shift in $\delta^{18}\text{O}_{\text{modelled}}$ values. This shift ranges from 0.03‰ (effectively zero) in
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34 300 the least contaminated samples to more than 8‰ towards the top of unit #4 where pure
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36 301 diatomites are replaced by clayey diatomites and total contamination levels approach 40%. A
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38 302 notable feature of the corrected data is that the majority of features of the original $\delta^{18}\text{O}_{\text{diatom}}$
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40 303 record are preserved after contamination is accounted for. The resulting modelled isotope
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42 304 curve is less noisy than the original $\delta^{18}\text{O}_{\text{sample}}$ data (Fig. 7), a feature also common to other
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44 305 diatom $\delta^{18}\text{O}$ records that have been corrected for the effects of contamination using major
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46 306 and minor trace element geochemistry (e.g. Brewer *et al.*, 2008; Mackay *et al.*, 2011, 2013).
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48 307 The removal of high-frequency noise from the $\delta^{18}\text{O}_{\text{modelled}}$ data produces a curve, which
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50 308 exhibits a distinct rhythmical pattern with regular negative excursions of up to 5‰ that occur
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52 309 throughout the sequence. These cycles are driven by variations in the relative balance
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54 310 between precipitation and evaporation within the lake basin that ultimately reflect changes in
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3 311 regional monsoonal circulation, which govern the timing, duration and strength of the rainy
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5 312 seasons in central East Africa. A more detailed palaeoclimatic interpretation of these data is
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7 313 discussed elsewhere (Wilson, 2011; Wilson *et al.*, submitted).
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10 314 Overall, the correction applied to these data has an inherent limitation beyond which
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12 315 sufficient accuracy cannot be guaranteed. We find that the model works well where the total
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14 316 amount of contamination present in samples is assessed to be below 40%. Modelled
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16 317 contamination levels were crosschecked with the PCA axis scores for axes 1 and 2, which
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18 318 correspond approximately to clay and tephra, respectively. PCA axis 1 was found to explain
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20 319 more than 70% of variation in the geochemical dataset and accordingly, there is a strong
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22 320 relationship ($r^2 = 0.92$, $n = 62$) between the PCA axis 1 scores and the calculated proportion
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24 321 of clay which implies that the model has accurately captured clay variation within the
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26 322 samples (Fig. 6B). Correlation between the PCA axis 2 scores and estimated tephra
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28 323 proportion is not as good ($r^2 = 0.39$, $n = 31$ for samples with positive PCA scores), however
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30 324 in samples where tephra is deemed to be a significant component (>7 % content), the model
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32 325 does a better job ($r^2 = 0.66$, $n = 9$).
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38 326 In addition to the impurities caused by tephra and catchment-derived components
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40 327 such as clays or carbonates, there is also the possibility of secondary isotopic exchange
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42 328 between amorphous diatom silica and sedimentary pore water which could theoretically
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44 329 occur during settling or sedimentation (Schmidt *et al.*, 2001). This could potentially limit the
45
46 330 applicability of $\delta^{18}\text{O}_{\text{diatom}}$ as a palaeoclimatic proxy if the diatom frustules are subject to
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48 331 secondary diagenesis. This becomes particularly important in older materials such as the
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50 332 Barsemoi diatomites where both age and subaerial exposure also play a role in the condition
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52 333 of the sediments analysed. While the issue of successive isotopic reactions remains
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54 334 unresolved (Swann *et al.*, 2006), it is assumed for the purposes of this study that any
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56 335 secondary isotope exchange only affects the outer hydrous silica layer of the diatom frustule.
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3 336 The removal of this layer during stepwise fluorination ensures that only the inner, more stable
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5 337 diatom silica is measured for $\delta^{18}\text{O}_{\text{diatom}}$ and that values of $\delta^{18}\text{O}_{\text{diatom}}$ can be reliably used for
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7 338 palaeoclimatic reconstruction. It is possible that condensation might continue to alter the
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10 339 hydrous to structural oxygen through time, however the mechanism or extent to which this
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12 340 may occur remains unresolved. Further study is required to fully understand the effects of
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14 341 diagenesis and the extent to which it may limit the application of $\delta^{18}\text{O}_{\text{diatom}}$ analysis.
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20 343 **Conclusions**

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23 344 We present a novel new approach for assessing the volume and geochemical
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25 345 composition of different types of contamination present within cleaned diatomite material
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27 346 analysed for oxygen isotope composition. It is important to consider and assess potential
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29 347 contamination in order to avoid introducing high-frequency noise to data sets, which can act
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31 348 to mask any climate-driven changes in palaeoclimate records. In some instances, where
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33 349 sedimentary samples may be affected by more than one type of contamination, multivariate
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35 350 statistical analysis of the major and minor trace element geochemistry can be used to identify
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37 351 and differentiate between different contaminants. This coupled approach is used to develop a
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39 352 three end-member mass-balance model to correct $\delta^{18}\text{O}_{\text{diatom}}$ values and enhance its use as an
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41 353 important palaeoclimate proxy. This technique is applied to $\delta^{18}\text{O}_{\text{diatom}}$ measurements from
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43 354 one of the Barsemoi Diatomites from the Central Kenyan rift valley which is affected by clay
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45 355 and silt from fluvial inwash from the surrounding catchment as well as air-fall ash deposits
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47 356 from rift-related volcanic activity. Each lake or sedimentary section may pose different
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49 357 contamination challenges, dependent on factors including catchment and regional geology or
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51 358 hydrological setting. Proximity to active volcanic centres, both now and in the past, means
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53 359 that lake sediments can be subject to multiple sources of contamination. Given the impact
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3 360 that any contamination could have on $\delta^{18}\text{O}_{\text{diatom}}$ data, it is crucial to fully understand both site
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5 361 (hydrological regime and catchment geology) and samples (stratigraphic setting and purity
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7 362 assessment at all stages) prior to isotope analysis. It is therefore vital to understand the nature
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10 363 of both site and samples prior to $\delta^{18}\text{O}_{\text{diatom}}$ analysis through detailed stratigraphic logging,
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12 364 optical and electron microscopy and geochemical analysis. This approach is thus very
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14 365 important for the evaluation of $\delta^{18}\text{O}_{\text{diatom}}$ data from more complex sedimentary settings.
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56 368 **ACKNOWLEDGEMENTS**
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8
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24
25 377 comments and suggestions which improved the manuscript.
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3132 379 **FIGURE CAPTIONS**
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34
35 380 Figure 1
36
37 381 Map showing the location of the Tugen Hills within Kenya (inset, left panel) and the Central
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39 382 Kenyan Rift Valley (left panel) and their relation to the western flank of the main rift (Elgeyo
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41 383 Escarpment). Known outcrops of sediments belonging to the Chemeron Formation (including
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43 384 the Barsemoi Diatomites) are outlined in crosshatch markings. The sampling locality for this
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45 385 study, in a tributary gully to the Barsemoi River is also shown (starred). Modified after
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47 386 Kingston et al. (2007). Photographs depict detail of the Barsemoi exposures: **A** shows the
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49 387 exposed gully section, highlighting diatomite unit #4 across the centre of the image; **B** shows
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51 388 the base of unit #4. The unit has a sharp basal contact with the underlying silt-rich
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53 389 conglomeratic sediments. Photo **C** shows the grey-green tephra layer present within diatomite
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3 390 unit #4, which acts as a marker bed and has been dated to 2.612 ± 0.003 Ma (Deino *et al.*,
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5 391 2006).
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9 393 Figure 2

10 394 Collection of images taken using scanning electron microscopy (SEM) and light microscopy

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12 395 to assess contamination and purity levels in samples. Images **A** and **B** show fragments of

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14 396 platy clays present in the diatomite samples prior to cleaning, while image **C** illustrates the

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16 397 degree to which secondary clays can form and adhere to diatom frustules. It is extremely

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18 398 difficult to remove these clays by traditional methods thus necessitating the need for further

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20 399 geochemical assessment using x-ray fluorescence (XRF) spectrometry. Images **D** and **E** are

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22 400 optical light microscopy (magnification x1000) pictures of tephra shards from within sample

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24 401 #4069 in diatomite unit #4, coincident with the grey-green air-fall tuff layer. Image **F** shows a

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26 402 sample of purified diatomite material, demonstrating that it is possible to remove a significant

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28 403 portion of non-diatom material via rigorous chemical and physical cleaning techniques.
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34 405 Figure 3

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36 406 Raw data for samples of cleaned diatomite material from unit #4 (plotted versus section

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38 407 height) showing changes in oxygen isotope composition and variations in different elemental

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40 408 oxides, measured using XRF analysis.
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46 410 Figure 4

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48 411 Ordination biplot showing results of PCA performed on XRF data set in order to explore

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50 412 variation within the geochemical compositions of remnant contamination. End-member

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52 413 samples of tephra (TUFF and TUFF #2), clay and laboratory standard diatomite (BFC) are

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54 414 shown in open triangles. Relative positions of samples identified as outliers, #4074
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3 415 (laboratory analytical error) and #4099 (low sample weight) are outlined, however these were
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5 416 not included in the analysis. Results show that contamination is strongly influenced by
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7 417 variation along PC axis 1 (70.6% variation explained), representing enrichment in clay (as
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9 418 shown by enrichment in TiO_2 , Fe_2O_3 , Al_2O_3 and MgO) with a smaller element (14.6% of the
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11 419 variation explained by PC axis 2) controlled by the presence of tephra (enriched in Na_2O ,
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13 420 K_2O and CaO).
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19 422 Figure 5

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21 423 Ternary plot showing modelled distribution of diatom material with respect to the calculated
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23 424 amounts of two different contamination components. X (tephra) and Z (clay) axes range from
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25 425 0 to 60% whilst the Y axis (diatom silica) is plotted from 40 to 100%. Values were computed
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27 426 using the three end-member averages model described.
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32 428 Figure 6

33
34 429 Graph A shows the calculated proportion of remaining clay contamination plotted against the
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36 430 raw $\delta^{18}\text{O}$ composition of cleaned samples. Since it was not possible to isolate a pure sample
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38 431 of the clay end-member, the $\delta^{18}\text{O}$ value of clay was estimated using the regression equation
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40 432 shown. This generates a $\delta^{18}\text{O}_{\text{clay}}$ value of 14.4‰. Graph B illustrates the strong correlation
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42 433 between the calculated proportions of clay contamination remaining within samples versus
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44 434 the PCA axis 1 scores generated by PCA.
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49 436 Figure 7

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51 437 Calculated amounts of total contamination derived from the abundance of clay and tephra
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53 438 remaining within samples plotted versus stratigraphic height within the section analysed of
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55 439 Barsemoi diatomite unit #4. Ages for this section, as calculated by Deino *et al.* (2006) are
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3 440 given to the left. These are plotted against the raw $\delta^{18}\text{O}$ measurements ($\delta^{18}\text{O}_{\text{sample}}$; open
4 squares). Modelled $\delta^{18}\text{O}$ values (black triangles) and associated errors are plotted to the right
5 441 of the panel and were corrected by the method described in the main text. Also shown are the
6 442 positions of known tephra layers within the sequence (grey horizontal bands, T symbol).
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16 445 **TABLE CAPTIONS**

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19 446 Table 1

20
21 447 Geochemical and isotopic composition values for end-member components. Elemental totals
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23 448 are expressed as weight percentages. Data for the BFC diatomite is from Brewer *et al.* (2008).
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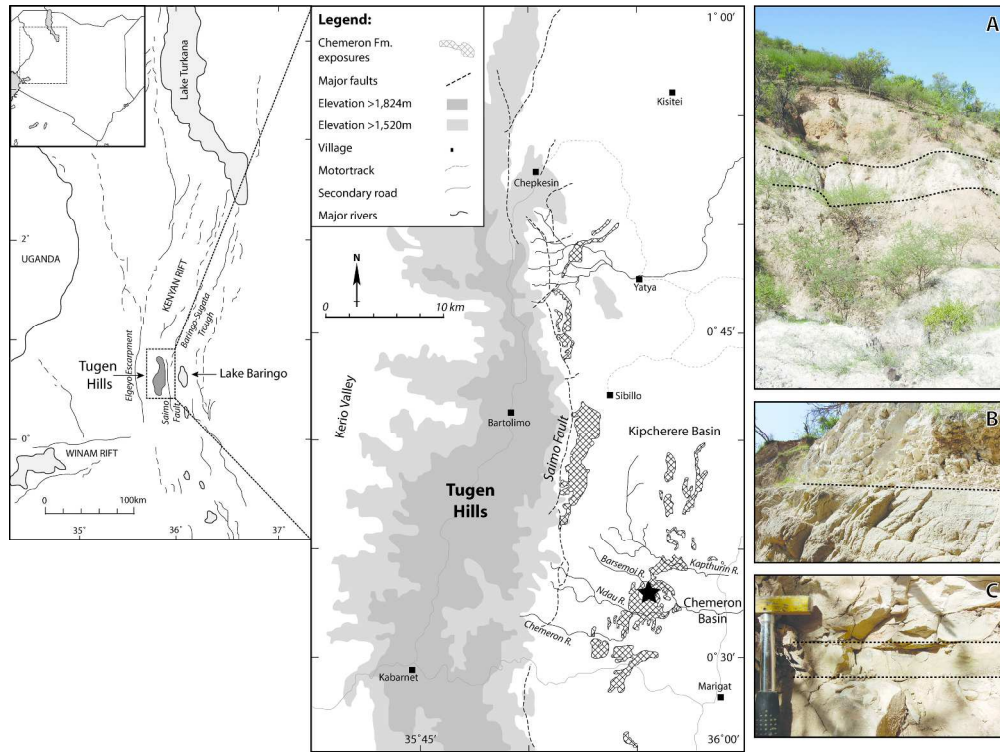
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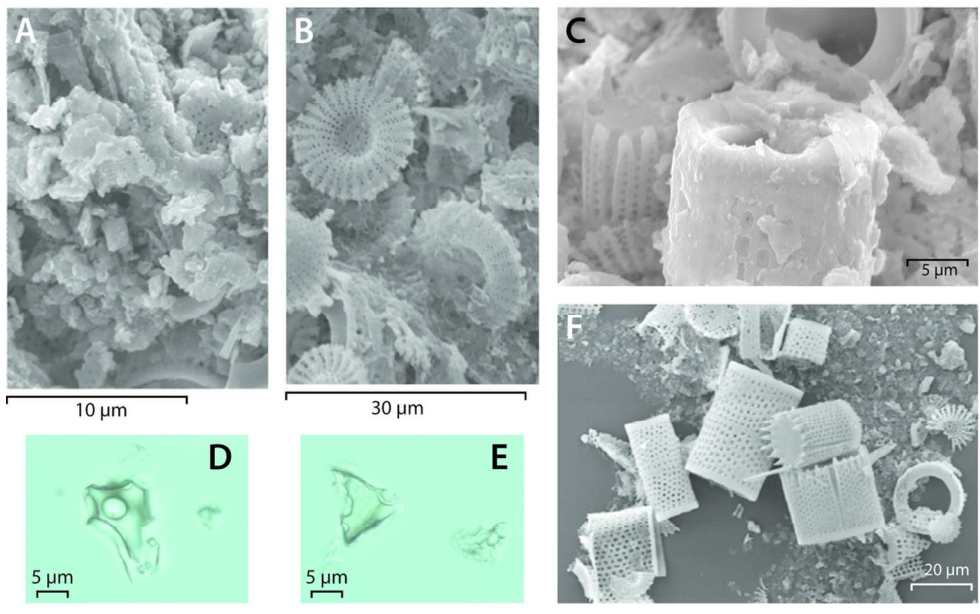
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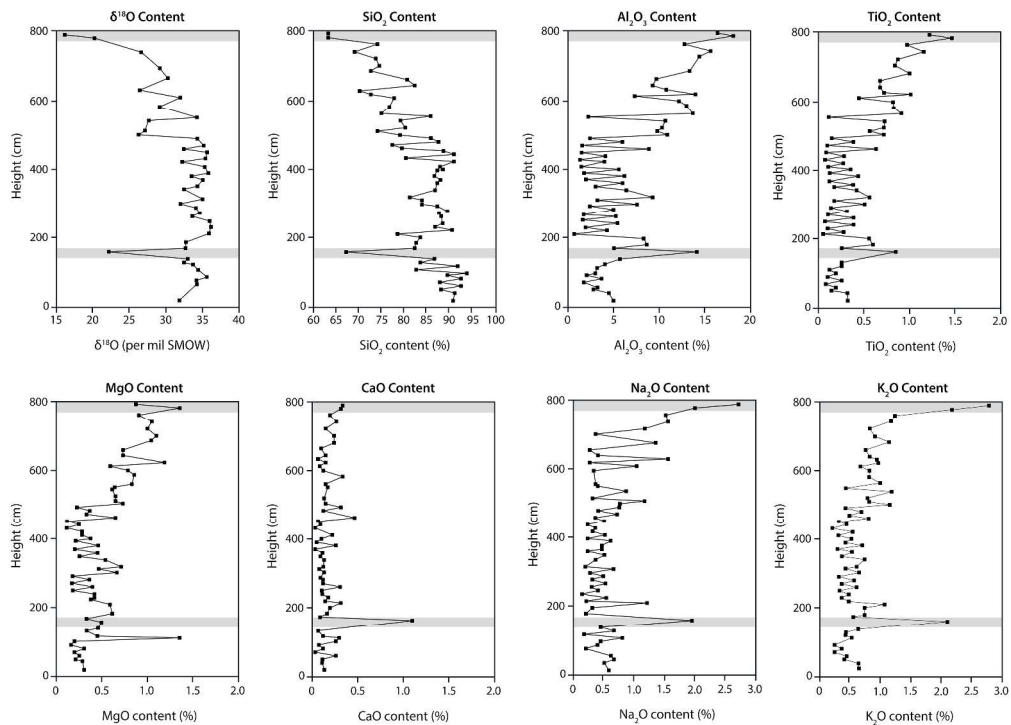


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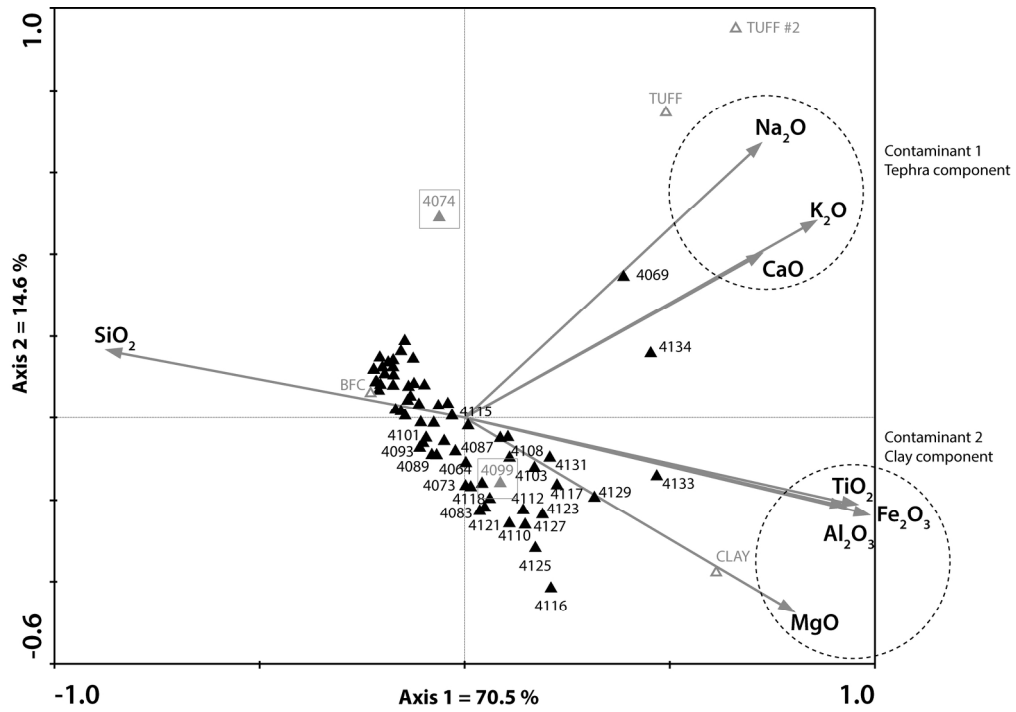
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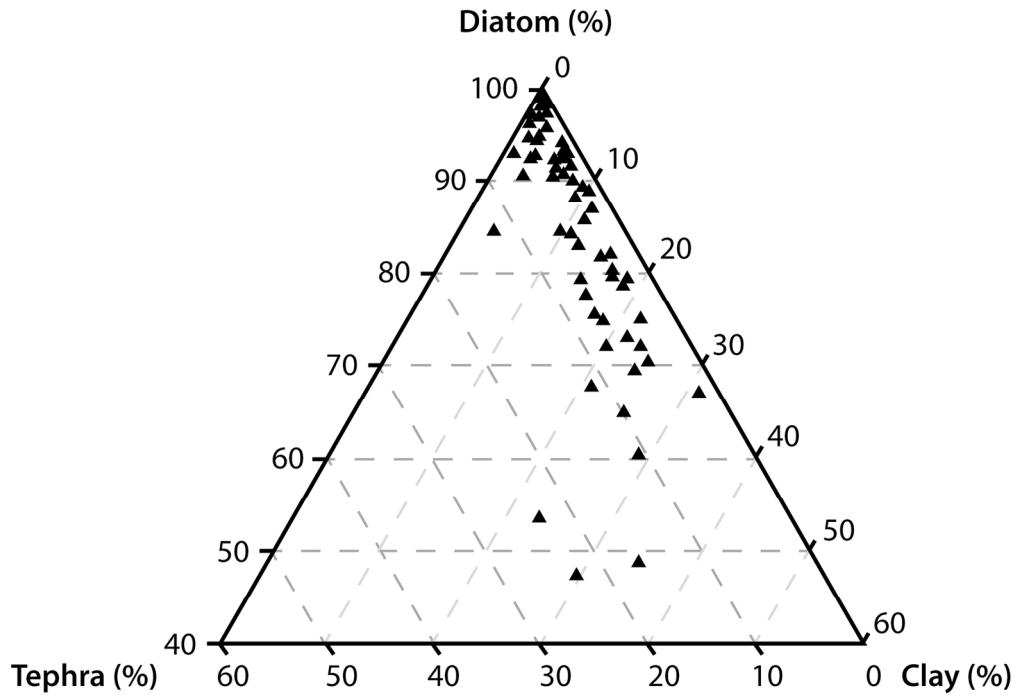
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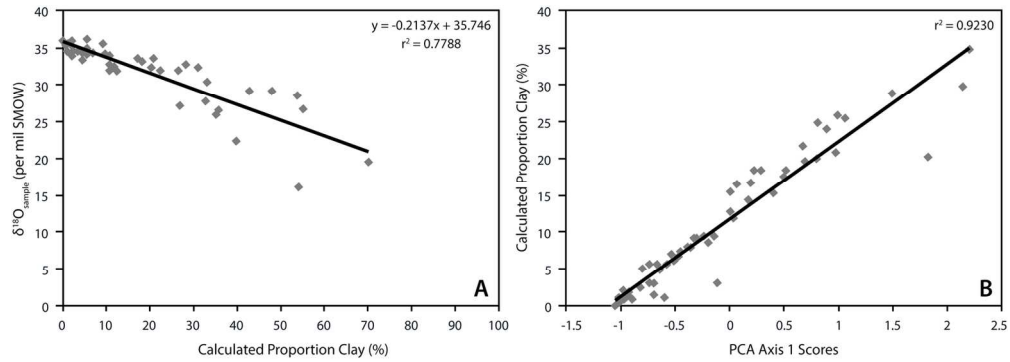


187x131mm (300 x 300 DPI)



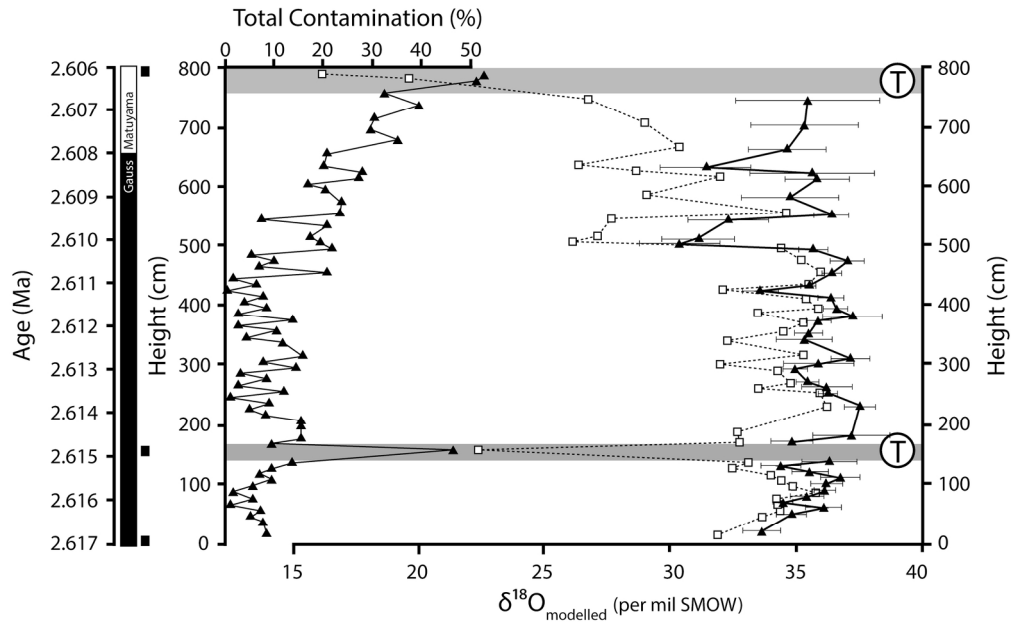
76x52mm (600 x 600 DPI)

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72x25mm (600 x 600 DPI)

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88x54mm (600 x 600 DPI)

Sample	$\delta^{18}\text{O}$	SiO_2	Tephra Contamination			Clay Contamination			Fe_2O_3	Total
			CaO	Na_2O	K_2O	Al_2O_3	TiO_2	MgO		
BFC	29.88	91.91	0.33	0.14	0.07	1.38	0.07	0.24	0.39	100.57
TUFF1	10.00	64.63	0.99	4.64	2.70	13.59	0.71	0.72	10.20	98.77
TUFF2	-	58.97	1.03	4.72	4.77	17.16	1.51	0.64	7.16	96.59
CLAY	14.38	58.08	1.36	0.74	0.85	24.62	1.95	1.81	8.28	100.00