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3	Asymmetric pruning reveals how organ connectivity alters the functional
4	balance between leaves and roots of Cinese fir
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21	Head title: Functional balance between branches and roots as affected by pruning
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ABSTRACT The functional balance between leaves and roots is believed to be mediated by the 1 specific location of shoots and roots, i.e. differences in transport distances and degrees of organ 2 3 connectivity. Yet, whether tree adaptation responses to biomass removal depend on the relative orientation of leaf and root pruning are still unknown. In the present study, five pruning treatments 4 5 were applied to Cunninghamia lanceolata saplings in field and glasshouse conditions, including no pruning (control), half of lateral branches pruned, half of lateral roots pruned, half of same side 6 branches and roots pruned, and half of opposite side branches and roots pruned. The effects of 7 pruning on the growth, carbon storage and allocation, and physiology of leaves and fine roots on the 8 9 same and opposite sides were investigated. Compared to leaves, fine roots, especially when the same side branches were pruned, were more limited by carbon availability and their physiological activity 10 was more strongly reduced. Moreover, opposite side pruning resulted in the lowest carbon 11 12 assimilation rates and growth among all treatments. The result from stable isotope labelling indicated that compared to the same side organs, less C was distributed to fine roots from the opposite side 13 leaves, but N allocation from roots to leaves depended less on the relative root and leaf orientation. 14 15 The results collectively indicated that the functional responses of C. lanceolata are not only supported by the source-sink balance model but are related to the interactions between leaves and 16 fine roots. We argue that the connectivity among lateral branches and roots depends on their relative 17 orientation, which is, therefore, critical for the functional balance between leaves and fine roots. 18

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*Key-words*: ecophysiology, functional equilibrium, nonstructural carbohydrates, partial pruning,
 photosynthesis, source-sink relations, translocation.

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#### 1 **INTRODUCTION**

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3 Balanced growth between shoots and roots that optimizes the carbon budget is crucial for the survival and maximization of competitive ability and reproduction of plants. However, heterogeneity 4 among branches within a crown or root system, as induced by light, soil water, nutrients or 5 6 herbivores, is a common phenomenon in natural plant communities (Niinemets, 2007; Kawamura, 2010). On the other hand, in some planted ecosystems, asymmetric disturbance among the organs is 7 introduced through artificial manipulation (e.g., thinning) to reduce competition among neighboring 8 9 individuals, thereby enhancing the amount of harvestable biomass (Quentin et al., 2012; Dong et al., 2016). Such imbalanced disturbances among organs usually change the whole plant growth, defense 10 and reproduction, since different organs do not grow independently (Arnold et al., 2004; De Kroon et 11 12 al., 2009).

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Plant responses to disturbances depend on the source-sink relationships among organs (Galiano et al., 14 2011; Wiley et al., 2017). The source-sink relationships are closely correlated with the strengths of 15 sources (the ability of the source organ to export carbohydrates) and sinks (the ability of a sink organ 16 17 to import and use carbohydrates). An imbalanced supply and use of carbohydrates can change the source-sink balance (Paul and Foyer, 2001; Arnold et al., 2004; Pinkard et al., 2011; Savage et al., 18 2016). Manipulations of source and sink organs (e.g., defoliation and root pruning) are often applied 19 to investigate the source-sink balance of plants, as pruning alters the availability of carbohydrates 20 and changes plant growth and allocation (Quentin et al., 2012; Wiley et al., 2017). Studies on partial 21 pruning of roots or shoots have found that modifications in the water and nutrient status, 22

nonstructural carbohydrate content and gas exchange characteristics of trees result in changes in 1 growth and biomass allocation patterns (Galiano et al., 2011; Quentin et al., 2012; Wiley et al., 2013; 2 3 Dong et al., 2016; Schmid et al., 2017). Generally, severe shoot pruning will decrease carbohydrate reserves in roots and root growth and metabolic activity (e.g., water and nutrient uptake), increase 4 root death (Snyder and Williams, 2003; Willaume and Pagès, 2006), and ultimately will lead to the 5 growth reduction of the whole tree, especially in evergreen tree species (Schmid et al., 2017). Severe 6 root pruning, in turn, will cause a deficiency of water and nutrients, resulting in a down-regulation of 7 photosynthetic metabolism (Dong et al., 2016). Moderate pruning of shoots or roots, however, may 8 9 not affect tree growth and allocation (Wiley et al., 2013; Dong et al., 2016), as the growth of shoots and roots may be synergistic or antagonistic depending on the extent to which leaf growth is limited 10 by carbohydrates, water and nutrients (Willaume and Pagès, 2006; Xu et al., 2008; Zhang et al., 11 12 2014). When a greater share of carbohydrates is required for leaf growth, mutual competition between leaves and roots for carbohydrates can lead to antagonistic responses (Willaume and Pagès, 13 2006). However, it is often unclear, whether plant growth is limited by the source or sink or both 14 15 (Farrar and Jones, 2000; Asao and Ryan, 2015).

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Plants are complex integrated systems, in which organs interact via effective transport pathways for carbon, water, nutrients and signaling molecules. Besides the properties of the sinks or sources, the whole transport system (xylem and phloem) may also influence the functional balance among sink and source organs (Minchin and Lacointe, 2005; Orians et al., 2005). Previous studies have implied that the topology of vascular bundles and, accordingly, the degree of vascular connectivity, and the distance of sources and sinks are two important characteristics of the long-distance translocation

pathways between leaves and roots (Arnold et al., 2004). Because of these linkages, the properties of 1 long-distance transport systems can affect the functional balance between organs and, further, plant 2 3 growth (Orians et al., 2005; Nikinmaa et al., 2014). On the other hand, a longer pathway always causes a higher xylem hydraulic resistance (Mäkelä and Valentine, 2006) and greater sugar 4 concentration gradients (Paljakka et al., 2017). In trees, different anatomic characteristics of the 5 xylem or phloem between the axial and radial direction usually imply a functional difference (James 6 et al., 2003; Domec et al., 2006), and the distance from lateral branches to the same-side roots is 7 generally shorter than the distance from branches to roots on opposite locations of the same node 8 9 (Orians et al., 2002). Although these orientation-dependent differences in transport pathway lengths can alter the connectivity among plant organs, information of how the functional balance of trees is 10 altered due to differences in the relative direction between branches and roots is still scarce. 11

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Cunninghamia lanceolata (Lamb.) Hook. is a widely planted, economically important, fast-growing 13 evergreen conifer occurring in a warm monsoon climate in subtropical Asia, and it plays an 14 important role in the regional carbon cycle (Liu et al., 2000). Branch and/or root pruning are 15 common silvicultural practices used in subtropical forestry to enhance forest productivity and reduce 16 the rotation length. Previous studies have found that the leaves and roots of C. lanceolata are 17 sensitive to changes in nutrient and water availability (Chen et al., 2015; Dong et al., 2016), and to 18 artificial pruning (Dong et al., 2016). On the other hand, it has been demonstrated that significant 19 compensation can occur after a new functional balance is achieved (Chen et al., 2015; Dong et al., 20 2016). In the present study, we compared growth, morphological traits of leaves, branches and fine 21 roots, nonstructural carbohydrate (NSC) and nutrient contents, and physiological properties of 22

current-year leaves and fine roots in C. lanceolata grown in a field experiment for two seasons. All 1 these measurements were further replicated in a carbon and nitrogen isotope labeling experiment in 2 greenhouse conditions. Our aims were to determine: (1) whether growth and NSC contents of leaves 3 and fine roots are different between partially pruned shoots and roots, (2) whether the responses of 4 lateral leaves and fine roots (e.g., morphology and physiological traits) depend on the relative 5 location of pruning, same-sided vs. opposite pruning; if yes, whether leaves or fine roots on the 6 opposite position in relation to pruning are more sensitive to partial pruning than those pruned on the 7 same side, and (3) whether carbon allocation into fine roots from shoots or nitrogen allocation into 8 leaves from roots are related to the relative location of pruning. We hypothesized that the orientation 9 10 of leaf and root pruning critically affects plant carbon balance, physiology and growth.

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#### 1 MATERIAL AND METHODS

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### 3 Field experiments

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#### 5 *Study site and materials*

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The study was conducted at the Hongya National Plantation Forestry Station (29°47' N, 103°18' E, 7 1100 m a.s.l.) in the Sichuan province, southwestern China. This area is characterized by a 8 subtropical humid monsoon climate with a warm and rainy summer and a dry and cold winter. The 9 10 average annual temperature is 16.6 °C and the average annual precipitation is 1436.5 mm. The soil is mountain yellow soil. The study site is an even-aged (five years old at the beginning of the 11 experiment) monoculture plantation forest of C. lanceolata with a leaf area index of about 6 m<sup>2</sup> m<sup>-2</sup>. 12 During the early growth phase, the crown architecture of C. lanceolata is conical (Dong et al., 2015). 13 The root system is characterized by a robust taproot with abundant lateral roots. The space between 14 the neighboring stems was about 2.0 m, and the lateral branches of adjacent saplings did not overlap 15 during the study. 16

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### 18 Experimental design

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We randomly selected 100 five-year-old saplings (about 2 m in height). The manipulative pruning treatments started on July 22-24, 2012. At that time, the expansion growth of current-year leaves (*ca.* 4 months old) had been completed, the leaves were fully developed, and the trees were in a fast

1	height and diameter growing phase, whereas latewood just started to form (Liu and Wen, 2005). Half
2	of the lateral branches and lateral roots were pruned vertically with a sharp chopper after digging a
3	trench (0.5 m x 0.2 m x 0.5 m in length x width x depth) along the base of the trunk. Five treatments
4	were applied, including no pruning (NP), half of lateral branches pruned (BP), half of lateral roots
5	pruned (RP), half of same side branches and roots (both branches and roots from the same azimuthal
6	direction) pruned (SP), and half of opposite side branches and roots (branches and roots from the
7	opposite directions) pruned (OP). The orientation of pruning was randomly selected. The
8	investigated leaves and roots in NP, BP, RP, SP and OP are marked as NPL, BPL, RP <sub>SL</sub> (same side
9	orientation with pruned roots), $RP_{OL}$ (opposite side orientation with pruned roots), $SP_L$ and $OP_L$ for
10	leaves, and NP <sub>R</sub> , BP <sub>SR</sub> (same side orientation with pruned branches), RP <sub>OR</sub> (opposite side orientation
11	with pruned branches), RP <sub>R</sub> , SP <sub>R</sub> and OP <sub>R</sub> for roots (Fig. 1). Current-year leaves of lateral branches
12	of the same inter-node (target branches) from the mid-crown and fine roots were selected for all
13	ecophysiological measurements. In all cases, the plane of pruning was parallel to the stem and
14	taproot. Field measurements were conducted on October 5-8 in 2012 (10 weeks after pruning) and
15	September 26-28 in 2013 (60 weeks after pruning). Each treatment contained 20 individuals,
16	including four replications with five individuals in each replication. The repeated pruning treatments
17	involved the excision of new lateral branches and roots. Four individuals from each treatment were
18	chosen randomly for measurements.

- 20 Growth and morphology of foliage and fine roots
- 21

22 Four individuals per treatment (in each case, a randomly chosen individual replicate) were measured

for stem height and basal stem diameter. Two target branches from each tree were selected and harvested between 1400 and 1600 h. Current-year lanceolate leaf samples were scanned and their area was estimated from scanned images with the Image J software (National Institutes of Health, USA). The leaves and branches were then oven-dried at 70 °C until a constant mass. The average area and dry mass per current-year leaf, and the average length and dry mass per target branch were calculated.

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Roots were carefully excavated from the depth of 0-30 cm. Three intact lateral roots were harvested 8 9 per treatment and pooled as one root sample. Then the root samples were carefully washed and transported on ice to the laboratory within a few hours. Fine roots (< 2 mm in diameter) were 10 separated and divided into two parts. One part was used for morphological analyses, and for the 11 12 measurements of respiration and nonstructural carbohydrates, and the other part was used for other biochemical measurements. For the morphological analyses, fine root samples, measured for root 13 respiration, were scanned to estimate the length of roots with a root system analysis software 14 (WinRhizo, Regent Instruments, Inc., Québec, Canada). The dry mass of samples was measured after 15 oven-drying at 70 °C to a constant mass. The specific root length (SRL) was calculated as the ratio of 16 17 the root length to dry mass.

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19 *Water potential of leaves* 

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Ten weeks and sixty weeks after pruning, leaf predawn water potential was determined for healthy,
fully-expanded current-year leaves using a WP<sub>4</sub> Dewpoint Potentiometer (Decagon Devices, Inc.,

Pullman, WA, USA). The leaf samples were cut with a sharp razor blade, sealed immediately in small plastic bags containing moist paper towels and kept in a cooler for a short time before analyses. In addition, to investigate the osmotic adjustment induced by the asymmetrical pruning, the concentrations of free proline and total free amino acids of leaves were determined.

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### 6 Gas exchange measurements

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Ten weeks and sixty weeks after pruning, measurements of leaf photosynthesis and fine root 8 9 respiration were conducted between 0800 and 1130 h using LI-6400 portable gas exchange system (Li-Cor Inc., Lincoln, NE, USA). The measurement conditions of leaf photosynthesis were as 10 follows: leaf temperature of 25 °C; relative air humidity of 60%; CO<sub>2</sub> concentration of  $400 \pm 5 \mu$ mol 11 12 mol<sup>-1</sup>; leaf-to-air vapor pressure deficit of  $1.5 \pm 0.5$  kPa, and photosynthetic photon flux density (PPFD) of 1500  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>. Once the steady-state gas exchange rates were observed under these 13 conditions, net photosynthesis rate, stomatal conductance  $(g_s)$  and transpiration rate (E) were 14 15 recorded. Light-saturated photosynthesis rate (A) was measured under the saturating irradiance of 1500 µmol m<sup>-2</sup> s<sup>-1</sup>, as in our pervious study (Dong et al. 2015). Before measurements, the sample 16 leaves were exposed with 1500  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> PPFD provided by the LED light source of the 17 equipment for 15 min to achieve a full photosynthetic induction. Leaf dark respiration rate was 18 measured under the same condition as photosynthesis, except for the light (darkness). The respiration 19 rate was recorded when a steady-state rate was observed, but the leaves were darkened for at least 5 20 min before recording the respiration rates. After photosynthetic capacity measurements, each leaf 21 enclosed in the leaf chamber was scanned and its area was estimated with Image J software (National 22

#### Institutes of Health, USA).

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3 For the respiration measurements of fine roots, three intact lateral roots were clipped from the plants and the cut surfaces were sealed off with vaseline to prevent water loss and callus respiration (plant 4 5 organ respiration is usually higher from a wounded organ part than from a non-wounded organ part). 6 Then, the roots were immediately carefully washed in deionized water. Excess water was removed by absorbent paper. A fine root sample (about 0.3 g fresh mass) was stored in an ice box and taken 7 within two hours to the laboratory and placed into a plexiglass cuvette (7.5 cm diameter × 3.5 cm in 8 9 length) for measurements using the LI-6400 photosynthesis system. The cuvette surface was covered by a black cloth, and measurements for root respiration were carried out in the following conditions: 10  $CO_2$  concentration of 400 µmol mol<sup>-1</sup> and cuvette temperature of 20 °C. The temperature was 11 12 controlled by a central air-conditioner. After the completion of the measurements, root dry mass and length measurements were conducted, as described above. To examine the physiological responses of 13 fine roots after pruning, the root vitality was measured. 14

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### 16 *Leaf N and P concentrations*

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The leaves measured for gas exchange were oven-dried at 70 °C until a constant mass. Dried leaf samples (0.2 g) were ground in a ball mill and used for N and P concentration measurements. The N concentration was determined with a Vario MAX CN analyzer (Elementar Analysensysteme GmbH, Hanau, Germany) and the P concentration by induced plasma emission spectroscopy (Optima 8300,

22 Perkin Elmer Inc., Norwalk, CT, USA).

- 2 Nonstructural carbohydrate content of leaves and fine roots
- 3

The dried and fine-ground current-year leaf and fine root samples from each treatment were 4 5 incubated in 80% (w/v) ethanol at 80 °C for 30 min and centrifuged at 5,000 g for 10 min. Total soluble sugars were determined in ethanol extracts and the residues left in the centrifuge tubes were 6 used to determine the starch content after hydrolyzing it to glucose with 9.2 M HClO<sub>4</sub>. Total soluble 7 sugars and starch contents were estimated by the anthrone assay using 0.2% anthrone in concentrated 8 9 H<sub>2</sub>SO<sub>4</sub> as a reagent. Total soluble sugars were detected colorimetrically at 625 nm (Yemm and Willis, 1954). The amount of total nonstructural carbohydrates (NSC<sub>T</sub>) was calculated as the sum of soluble 10 sugars and starch. 11

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## 13 Isotope labeling experiments

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15 Study site and material
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To examine the effect of partial pruning on the carbon and nutrient translocation and allocation, two-year-old *C. lanceolata* saplings with full root systems and attached soil were carefully excavated from the site of the field experiment. The saplings were transplanted to a greenhouse (average day/night temperature about 25/15 °C and average relative humidity about 60% during the treatment period) at the Chengdu Institute of Biology, Chinese Academy of Sciences. Individual saplings were grown in the center of plastic pots (volume about 60 L) filled with 50 kg homogenized yellow soil from the experimental site. During the second growing season in the greenhouse, the saplings with
 approximately the same crown size and height were chosen for the experiments.

3

A similar experimental design as in the main experiment (NP, BP, RP, SP and OP) was used. The pruning treatment began on May 10-11 in 2013 when the new leaves were fully expanded. On August 20-23 (15 weeks after pruning), three trees from each treatment were randomly chosen for <sup>13</sup>C and <sup>15</sup>N labeling experiments, and three trees from each treatment were used as controls (unlabeled).

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## 10 <sup>13</sup>C and <sup>15</sup>N labeling procedure

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The <sup>13</sup>C labeling procedure was conducted according to Zhang et al. (2005) with some modifications. 12 One healthy lateral branch from the middle crown per individual was chosen. 0.5 g Ba<sup>13</sup>CO<sub>3</sub> (93% 13 abundance of <sup>13</sup>C; Shanghai Engineering Research Center of Stable Isotopes, China) was added into 14 15 a 25 ml glass vial. After the branch was sealed and the CO<sub>2</sub> concentration had decreased to near equilibrium, a pulse of <sup>13</sup>CO<sub>2</sub> was released by injecting 3 ml 70% H<sub>2</sub>SO<sub>4</sub> from a syringe through a 16 gas port into the Ba<sup>13</sup>CO<sub>3</sub> solution. A similar procedure with an equal amount of Ba<sup>12</sup>CO<sub>3</sub> was 17 carried out for the control trees. The branch was exposed to  ${}^{13}CO_2$  (labeled) or  ${}^{12}CO_2$  (unlabeled) in a 18 transparent polycarbonate bag. The labeling proceeded in a sunny day from 0900 to 1100. Three 19 lateral fine root samples (sub-samples from three intact and attached lateral roots per individual) 20 from three individuals (one pooled sample per tree) were harvested 72 h later from <sup>13</sup>C labeled and 21 control individuals. 22

2	For <sup>15</sup> N labeling, five intact and attached lateral roots per individual were chosen. The roots were
3	placed into a 100 µM N L <sup>-1</sup> K <sup>15</sup> NO <sub>3</sub> (99% abundance of <sup>15</sup> N; Shanghai Engineering Research Center
4	of Stable Isotopes, China) solution (20 mg per plant) in a glass vial with a black cover. A similar
5	procedure with an equal amount K <sup>14</sup> NO <sub>3</sub> was conducted for control trees. In addition, the solutions
6	contained 10 mg L <sup>-1</sup> of ampicillin to minimize microbial activity and 0.2 mM CaCl <sub>2</sub> to maintain the
7	function of roots (Warren and Adams 2007). Three current-year leaf samples from lateral branches in
8	the mid-crown of three individuals were harvested 72 h later from <sup>15</sup> N labeled and control
9	individuals.

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## 11 Carbon and nitrogen isotope analysis

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 $\delta^{13}$ C of the fine root samples and  $\delta^{15}$ N of current-year leaf samples from labeled and non-labeled 13 individuals were measured with a combined system of an elemental analyzer (Flash EA1112 HT; 14 Thermo Fisher Scientific, Inc., USA) and an isotope ratio mass spectrometer (DELTA V Advantage; 15 Thermo Fisher Scientific, Inc., USA). We determined excess  $\delta^{13}C$  (%) of fine roots and  $\delta^{15}N$  of 16 current-year leaves as deviations from the baseline values ( $\delta^{13}C_{\text{baseline}}$  or  $\delta^{15}N_{\text{baseline}}$ ; values for each 17 tree from each treatment were calculated by averaging the  $\delta^{13}$ C or  $\delta^{15}$ N values of the non-labelled 18 individuals) as excess  $\delta^{13}C = \delta^{13}C_{\text{sample}} - \delta^{13}C_{\text{baseline}}$  and excess  $\delta^{15}N = \delta^{15}N_{\text{sample}} - \delta^{15}N_{\text{baseline}}$ , 19 respectively (Kagawa et al., 2006a). 20

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22 Excess <sup>13</sup>C of fine root samples (from the three target roots) or excess <sup>15</sup>N of current-year leaf

1	samples (from one target branch) was also calculated according to procedures described by Kagawa
2	et al. (2006b). To reveal different amounts of <sup>13</sup> C and <sup>15</sup> N translocated into specific tree parts, the
3	relative ratios of excess <sup>13</sup> C in target fine roots and <sup>15</sup> N in target current-year leaves of each tree part,
4	and the excess <sup>13</sup> C in the labeled current-year leaves of each target lateral branch or <sup>15</sup> N of the fine
5	roots of the target lateral root were calculated.
6	Relative ratio of Excess <sup>13</sup> C or Excess <sup>15</sup> N was calculated as follows:
7	Relative ratio of excess ${}^{13}C = excess {}^{13}C_{target fine roots}/excess {}^{13}C_{labaled current-year leaves}$ ;
8	Relative ratio of excess ${}^{15}N = excess {}^{15}N_{target current-year leaves}/excess {}^{15}N_{labaled fine roots.}$
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10	Statistical analysis
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12	The differences in the effects of pruning treatments on growth, and on morphological and
13	physiological data (the mean of each leaf/branch/root from each side in each individual in each

1. treatment used) were analyzed with one-way analysis of variance (ANOVA). A post hoc test 14 (Tukey's test) was conducted if the differences were significant (P < 0.05). Our previous studies 15 showed that the allocation, chemical and physiological traits of C. lanceolata saplings were not 16 significantly different among azimuthal directions in non-pruned control plants (Dong et al., 2015, 17 18 2016). Thus, for branches, leaves and fine roots in the controls (no pruning treatment), we averaged the ecophysiological data (dry mass per branch and leaf, leaf nutrient concentration, gas exchange 19 traits, fine root mass distribution among the quadrants) of an individual. Before ANOVA, the data 20 were checked for normality and homogeneity of variances, and log-transformed to correct deviations 21 from these assumptions when needed. All statistical analyses were carried out using the SPSS 18.0 22

for Windows statistical software package (SPSS Inc., Chicago, IL, USA). Principal component
 analysis (PCA) of eco-physiological traits in the field experiment was further undertaken to reveal
 the most discriminatory effects on position-related pruning.

- 1 **RESULTS**
- 2
- 3 The effects of lateral pruning on growth, and on dry mass and morphology of branches
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5 At the whole tree level, increments in basal diameter and height were significantly different among 6 the five treatments both 10 weeks and 60 weeks after pruning (Fig. 2). Height growth decreased due to pruning, and the lowest values (66.7% and 37.8% lower 10 weeks and 60 weeks after pruning, 7 respectively, compared to control plants) were observed when half of opposite side branches and 8 9 roots were pruned (OP; Fig. 2). The greatest basal diameter increase was observed in trees with half of lateral roots pruned (RP). Compared to non-pruned (NP) trees, those with half of lateral branches 10 pruned (BP) had a lower basal diameter increment (27.3%) after 60 weeks, while their height 11 12 differences were not significant. Opposite side pruning had a greater negative effect on the growth of basal diameter and height compared to the same side pruning (SP; Fig. 2). 13

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15 At the branch scale, the average branch mass (30.3% and 40.7% higher 10 weeks and 60 weeks after pruning, respectively, compared to control plants) and length (14.8% and 22.3% higher 10 weeks and 16 60 weeks after pruning, respectively, compared to control plants) were highest in the BP treatment 17 but lowest in the OP treatment (Table 1). In the RP treatment, both branch mass and length of RPs 18 (lateral branches with the same orientation with pruned roots) were lower (20.2% in dry mass and 19 15.1% in length) than those of RP<sub>0</sub> (lateral branches with the orientation opposite to pruned roots) 10 20 weeks after pruning but they were similar 60 weeks after pruning. There were no significant 21 differences in branch mass and length between SP and NP at either time point (Table 1). 22

- 2
- The effects of lateral pruning on dry mass, morphological, nutrient and physiological traits of leaves
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At the leaf level, the average dry mass ( $M_L$ ) and area ( $A_L$ ) per leaf were the lowest (47.1% and 52.7% lower mass, and 36.8% and 46.5% lower area 10 weeks and 60 weeks after pruning, respectively, than in control plants) in OP, and  $M_L$  was lower (25.5% and 16.2% lower 10 weeks and 60 weeks after pruning, respectively) in RPs than in NP. In the RP treatment,  $M_L$  of RPs was slightly lower than that of RPo (Table 1).

9

Leaf nitrogen and phosphorus concentrations observed in BP treatments were higher than those in RP
(Table 1). The leaf phosphorus concentration of the OP treatment was highest 10 weeks after pruning
but lowest 60 weeks after pruning (Table 1). The leaf nitrogen concentration was similar in SP and
OP treatments 10 weeks after pruning, while later it was lower in OP than in SP (Table 1). In addition,
N and P were not significantly different between RP<sub>0</sub> and RP<sub>s</sub>, and between SP and NP.

15

The branch-pruning treatment increased the light-saturated photosynthetic rate (A; Fig. 3a), but it did not affect the leaf respiration rate ( $R_L$ ; Fig. 3b), while the OP treatment decreased A and increased  $R_L$ after a longer post-pruning period. Ten weeks after pruning, stomatal conductance ( $g_s$ ) was slightly higher in the case of pruning compared to no pruning, while  $g_s$  values were similar among treatments 60 weeks after pruning (Fig. 3c). Pruning always increased the leaf transpiration rate (E; Fig. 3d), while it decreased the water-use efficiency ( $E_W$ ), except for  $E_W$  in BP when measured 10 weeks after pruning (Fig. S1b). The transpiration rate was greater in the BP treatment than in the non-pruned treatment, and *E* in BP<sub>S</sub> was higher than that in BP<sub>0</sub> 10 weeks after pruning (Fig. 3d). In addition, *E* in OP was higher than that in SP 10 weeks after pruning, while *E* values were similar 60 weeks after pruning. Pruning also resulted in reduced leaf water potential ( $\Psi$ ), except in BP (Table 1). The lowest *E*<sub>W</sub> and  $\Psi$  values were found in OP, while *E*<sub>W</sub> and  $\Psi$  values in BP<sub>S</sub> and BP<sub>0</sub> treatments were similar. In addition, the nitrogen use efficiency (*E*<sub>N</sub>) and phosphorus use efficiency (*E*<sub>P</sub>) (Fig. S1c, d), and the amounts of free proline and free amino acids (FAA) of leaves (Fig. S2) were affected by pruning.

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#### 8 The effects of lateral pruning on dry mass, morphology, physiology and nutrient traits of roots

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Specific fine root length (SRL) varied significantly among pruning treatments: SRL was highest in RP (24.0% and 36.4% higher 10 weeks and 60 weeks after pruning compared to control plants) but lowest in OP (35.0% and 43.4% lower 10 weeks and 60 weeks after pruning compared to control plants) (Fig. 4a). SRL values in the BP treatment were lower for those pruned from the same (BP<sub>s</sub>) or opposite (BP<sub>o</sub>) side than in the control trees (no pruning): 25.1% or 31.7% lower 10 weeks after pruning, and 47.0% or 14.1% lower 60 weeks after pruning. SRL of BP<sub>s</sub> was 38.2% lower than that of BP<sub>o</sub> 60 weeks after pruning (Fig. 4a).

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Analyses of differences in root vitality indicated that RP increased root vitality but BP decreased it (Fig. 2b; Fig. S3). The highest fine root respiration rate ( $R_R$ ) was observed in RP, and the lowest one in OP (Fig. 2b). Sixty weeks after pruning,  $R_R$  of BP<sub>s</sub> was slightly lower than that of BP<sub>o</sub>, and it was significantly lower in OP than in SP. The SP treatment did not change root vitality, but in OP the vitality was lower than in the other treatments. A greater difference in root vitality was observed 1 between RP<sub>S</sub> and RP<sub>O</sub> 60 weeks after pruning (Fig. S3).

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3 The effects of lateral pruning on leaf and root nonstructural carbohydrates

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5 Ten weeks after pruning, leaf soluble sugars and total nonstructural carbohydrate (NSC<sub>T</sub>) contents per mass in various pruning treatments were not significantly different from those in the control 6 plants, while 60 weeks after treatments, pruning decreased leaf sugar and NSC<sub>T</sub> contents, and they 7 8 both were lowest in BP (Fig. 5a). In the RP treatment, leaf sugars and NSC<sub>T</sub> were lower in RP<sub>S</sub> than 9 in RP<sub>0</sub> 10 weeks after pruning, while these differences were not observed 60 weeks after pruning (Fig. 5a). Compared to SP, leaf soluble sugars and NSC<sub>T</sub> were slightly higher in the OP treatment. 10 The starch content was the lowest in SP 10 weeks after the treatment, and in OP 60 weeks after the 11 12 treatment (Fig. 5a).

13

In fine roots, starch and NSC<sub>T</sub> increased in the RP treatment, especially after a longer period following pruning (Fig. 5b). In the BP treatment, nonstructural carbohydrates (except starch 10 weeks after pruning) were lower in BP<sub>s</sub> than in BP<sub>o</sub>, and BP<sub>o</sub> was similar with NP. When half of both lateral branches and roots were pruned, the contents of nonstructural carbohydrates of fine roots (except starch 10 weeks after pruning) in OP were lower than those in SP treatments, while sugar, starch and NSC<sub>T</sub> contents of SP were not significantly different when compared to NP (Fig. 5b).

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21 The effects of lateral pruning on excess  $\delta^{I_3}C$ , excess  $^{I_3}C$  and RR  $^{I_3}C$  in fine roots, and excess  $\delta^{I_5}N$ , 22 excess  $^{I_5}N$  and RR  $^{I_5}N$  in leaves

2	Both the excess $\delta^{13}C$ and excess $^{13}C$ of fine roots located <i>on</i> the same side were significantly higher
3	than those in the roots opposite to the labeled branch (Figs. 6a, b). Compared to the non-pruned
4	control roots, the excess $\delta^{13}C$ of fine roots located on the same side as the labeled branch was lower
5	in BP, while there were no significant differences in $\delta^{13}C$ of fine roots located on the opposite side
6	relative to the labeled branch among NP, BP and RP (Fig. 4a), and the OP treatment increased the
7	fine root $\delta^{13}$ C (Fig. 6a). Excess <sup>13</sup> C and the relative ratio of <sup>13</sup> C (excess <sup>13</sup> C target fine roots/excess <sup>13</sup> C labeled
8	current leaves) in fine roots (both for the same and opposite sides of the labeled branch) in the RP
9	treatment were the highest among treatments (Fig. 6b, c), while these values on the same side and
10	opposite side were similar among NP, BP and OP, except for the relative ratio of <sup>13</sup> C in OP (Fig. 6b,
11	c).

12

The excess  $\delta^{15}$ N, excess  $^{15}$ N and relative ratio of  $^{15}$ N (excess  $^{15}$ N <sub>target current leaves</sub>/excess  $^{15}$ N<sub>labaled fine roots</sub>) in current-year leaves were similar between the same and opposite locations relative to the labeled roots (Fig. 6d-f). These three traits were similar in SP and NP, while the lowest values of excess  $\delta^{15}$ N and excess  $^{15}$ N were observed in the OP treatment (Fig. 6d-f). BP and OP treatments decreased leaf excess  $\delta^{15}$ N, while RP and SP did not influence leaf excess  $\delta^{15}$ N (Fig. 6d). Excess  $^{15}$ N decreased in pruning treatments, except for SP (Fig. 6e). The lowest relative ratio of  $^{15}$ N in current-year leaves among pruning treatments was observed in RP (Fig. 6f).

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22

<sup>21</sup> Relationships among studied traits in shoots under different pruning treatments

The PCA showed a clear delineation based on trait combinations in different pruning treatments (Fig. 7). The pruning treatments were well separated from each other at 10 weeks (Fig. 7a) and 60 weeks (Fig. 7b). The PCA model with two components explained 57.11% and 67.48% of the observed total variance at 10 weeks and 60 weeks. PC1 was strongly influenced by height growth, leaf area and mass, photosynthetic rate, transpiration rate and water potential. PC2 was strongly influenced by DBH growth, leaf NSC and phosphorus concentrations.

- 1 **DISCUSSION**
- 2

3 We found that partial branch and root pruning changed tree growth, carbon and nitrogen allocation, water and nutrient status, and physiological processes of leaves and fine roots, similarly as observed 4 in some previous studies on trees (e.g., Li et al., 2002; Eyles et al., 2013; Aguadé et al., 2015; Wiley 5 et al., 2017). However, the responses varied depending on the relative lateral positions of pruned 6 branches and roots. There was a significantly higher photosynthate allocation to lateral fine roots 7 from the same side branches compared to the opposite side branches, while nitrogen allocation to 8 9 lateral leaves was not significantly different between the same side and opposite side roots. Furthermore, with increasing time after branches or roots were partially pruned, differences in 10 morphology and physiology between the same and opposite side fine roots increased, but the 11 12 differences between the same and opposite side current-year leaves decreased. Our results thus demonstrated that (1) the position of pruning has a strong influence on growth, photosynthesis, and 13 carbon storage and remobilization, as well as on water- and nutrient-related physiological responses; 14 (2) compared to leaves, carbon availability for fine roots is more strongly affected by partial pruning. 15

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17 The responses of growth, and leaf and fine root traits to partial lateral branch and root pruning
18 (shoot pruning vs. root pruning)

19

Once the distribution of shoots or roots is altered, adjustments in physiology, morphology and growth will begin, and these adjustments may happen at the organ or whole plant level until a new balance is reached (Pinkard et al., 2011; Eyles et al., 2013; Dong et al., 2016). Despite the growth of

branch and root apical parts and secondary stem thickening being characteristic sinks of 1 photoassimilates in summer, we observed decreases in growth (Fig. 2), root respiration rate ( $R_R$ ) (Fig. 2 3 4b) and root vitality (Fig. S3), and lower root NSC contents (Fig. 5b) when branches were partially pruned. Overall, our results show that the source limitation induced by branch pruning results in 4 5 carbon depletion and lowered metabolic capacity in roots, and, consequently, in decreased uptake of water and nutrients (see also Kosola et al., 2002; Kobe et al., 2010). The results were supported also 6 by the nitrogen isotope labelling study (Fig. 6d, e). In addition, the decrease in growth may be 7 beneficial for maintaining the nonstructural carbohydrate concentration of leaves (Wiley et al., 2013). 8 9 Our finding that growth (height and DBH incensements) has a negative relationship with leaf NSC (Fig. 7) may explain the observation that leaf NSC decreased slowly (Fig. 5a). On the other hand, 10 after partial branch pruning, plants can have compensatory responses by increasing the 11 12 photosynthetic rate, biomass and length of remaining branches (Paul and Foyer, 2001; Pinkard et al., 2011; Eyles et al., 2013; Asao and Ryan, 2015). In our study, partial branch pruning (about 50% leaf 13 area lost) did not significantly affect height and DBH increments (except for DBH that decreased by 14 15 27.3% at 60 weeks). These results are related to the increased remaining leaf area and photosynthetic rate (Fig. 7), which derived from the increased leaf nitrogen and phosphorus concentrations in the 16 remaining foliage, and enhanced nitrogen- and phosphorus-use efficiencies (Table 1; Fig. S1c, d). 17 These results further confirm the onset of plant compensatory responses after branch pruning. 18

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20 Under only partial root pruning (RP), the basal stem diameter growth was greater, despite the 21 decreased height growth. When branch and leaf growth were compared to the non-pruned control 22 plants, a lower dry mass per branch and leaf (mainly from the same direction with root pruning) was

detected in RP 10 weeks after pruning, while RP did not affect the growth of branches and leaves 60 1 weeks after pruning (Table 1). Our results indicate that the physiological (e.g., root respiration rate 2 3 and root vitality) and morphological (e.g., specific fine root length) compensation responses of fine roots can maintain the water and nutrient supply of leaves, and this conclusion was further supported 4 by the results from the nitrogen isotope labelling (Fig. 6d). The photosynthetic down-regulation after 5 partial pruning of roots may be mainly caused by the limitation of the carbon sink storage capacity 6 (initial root and shoot height growth decreased) rather than by water or nitrogen limitation at the 7 whole-plant level (Farrar and Jones, 2000; Paul and Foyer, 2001; Eyles et al., 2013; Dong et al., 8 9 2016). In addition, we suggest that the increased basal stem diameter in RP is mainly caused by greater carbohydrate availability for stem growth, as lateral pruning of roots restricts carbohydrate 10 translocation to roots (Asao and Ryan, 2015). 11

12

Our results clearly demonstrate that branch pruning treatment caused a negative effect on fine root 13 growth and metabolic activity, although it increased the growth and photosynthesis of remaining 14 branches. On the other hand, a positive effect on the growth of remaining fine roots and metabolic 15 activity was detected in the root pruning treatment. These responses highlight the acclimation 16 changes in the source-sink balance of carbon allocation after pruning. Excess  $\delta^{13}$ C, excess  $^{13}$ C and 17 the relative ratio of <sup>13</sup>C in fine roots to that in labeled leaves were lower in branch pruning treatments 18 than in root pruning treatments (Fig. 6a-c), clearly indicating that photoassimilate partitioning to 19 roots increased in response root pruning. Overall, the data also suggest that the carbon status 20 (respiration and NSC concentration) of heterotrophic fine roots changes more strongly than that of 21 autotrophic leaves during asymmetric pruning of shoot and roots. 22

- 2 The bidirectional responses of branches, leaves and fine roots under partial pruning of branches or
  3 roots (BP, RP)
- 4

It has been demonstrated in non-pruned C. lanceolata plants that the dry mass of branches and leaves, 5 gas exchange characteristics, nutrient concentrations and water use traits of leaves and fine roots are 6 similar bilaterally (Dong et al., 2015, 2016). However, when half of the lateral branches or roots 7 8 were pruned, differences in morphological and physiological responses between branches located at 9 the same or opposite direction in current-year foliage, and in fine root traits became significant. Half of the branches being pruned induced greater decreases in fine root traits (SRL, R<sub>R</sub>, vitality and NSC) 10 on the branch-pruned side than on the opposite side (Fig. 4; Fig. S3; Fig. 5b), while half of the roots 11 12 being pruned did not lead to differences between the root-pruned side and the opposite side in most leaf traits (e.g., biomass, area, physiological characteristics and nutrient concentrations) (Table 1; Fig. 13 3). These results indicate that the ecophysiological responses of C. lanceolata within an individual 14 are related to the relative position between lateral branches and roots, and leaf and fine root 15 responses are different during asymmetric disturbances depending on the position, which suggests 16 17 that the xylem is better connected than the phloem (Thorn and Orians, 2011). The results indicate that the plant transport system (xylem and phloem) plays a crucial role in determining the responses 18 of roots and leaves, as has also been shown in some previous studies (Minchin and Lacointe, 2005; 19 De Kroon et al., 2009; Savage et al., 2016). 20

- 21
- 22 Thorn and Orians (2011) have found that *Ocimum basilicum* accumulates more <sup>15</sup>N (applied to one

half of the root system) in orthostichous (growing at an angular distance of 0 degrees) leaves than in 1 leaves from the opposite sector. This result suggests that tighter vascular links exist between roots 2 and branches on the same side. In our study, excess  $\delta^{13}C$  (Fig. 6a) and excess  $^{13}C$  (Fig. 6b) in fine 3 roots were always higher on the same side than on the opposite side relative to the lateral branches, 4 and the pattern did not change with pruning (Fig. 6a, b). However, excess  $\delta^{15}N$  (Fig. 6d) and excess 5 6 <sup>15</sup>N (Fig. 6e) in current-year leaves were not different between the same and opposite side positions 7 relative to the pruned lateral roots, despite the mean values being slightly higher on the same side than on the opposite side. The values of excess <sup>13</sup>C and excess <sup>15</sup>N characterize new carbon and 8 nitrogen allocation within the plant (Kagawa et al., 2006a, b). Thus, the nitrogen isotope labeling 9 results suggest that nitrogen allocation among leaves from different target branches was not related 10 to their orientation. Different allocation patterns between nitrogen and photosynthates in leaves and 11 12 fine roots may be related to the anatomy and physiology of xylem and phloem (Savage et al., 2016) rather than to the source-sink relationships. Therefore, the results of carbon and nitrogen allocation 13 partially support our expectations. 14

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16 The responses of branches, leaves and fine roots at the same and opposite side positions under 17 partial pruning of branches and roots (SP vs. OP)

18

The integrated transport system at the whole plant level has an adaptive evolutionary advantage related to a high growth rate (Orians et al., 2005; Zanne et al., 2006; Schenk et al., 2008) and biotic resistance (Aguadé et al., 2015). The functional integration (transport of water, sugars and nutrients) between branches and roots within a tree can be mediated by vascular connections (Orians et al.,

2002, 2005; Zanne et al., 2006). The key result of our study on C. lanceolata is that the smallest 1 effects on growth and the largest effects on leaf and fine root morphology and physiology (e.g., stem 2 3 increment, gas exchange traits, leaf water and nutrients, and root carbon status) were detected when opposite side branches and roots had been pruned (OP), while branch and fine root traits were not 4 5 affected when half of branches and roots from the same side had been pruned (SP). These results provide the first evidence for the view that trees can maintain the functional balance between lateral 6 branches and roots in orthostichous positions, while the functional linkage in opposite side branches 7 and roots is relatively easily disturbed. The evidence comes from isotope labelling experiments, in 8 which both the excess <sup>15</sup>N of leaves and excess <sup>13</sup>C of fine roots were significantly lower under 9 opposite side pruning than under same side pruning (Fig. 6). This phenomenon may be due to 10 differences in resistance (related to the distance between source and sink organs and architecture of 11 12 structure) between transport pathways in xylem and phloem in different lateral positions (Orians et al., 2002; James et al., 2003; Thorn and Orians, 2011). Thus, the distinct performance of branches, 13 leaves and fine roots between OP and SP supports the view that leaf and fine root responses are 14 related to the position of pruning (Thorn and Orians, 2011). Our results also suggest that nutrients 15 can be transported in transverse directions via xylem (James et al., 2003; Snyder and Williams, 16 2003). 17

18

Some of the compensatory responses observed here might reflect physiological adjustments of leaves that enhance the stress resistance of foliage (Niinemets et al., 2015). In this study, the decreased growth under OP is caused by pruning effects on both photosynthesis and carbon assimilation efficiency (photosynthesis rate divided by respiration rate, Fig. 4a), while  $g_s$  (Fig. 3c) was not

1	significantly influenced (although a slight increase was observed 10 weeks after the treatment). This
2	evidence suggests that the decreased photosynthesis rate under OP may have resulted from the
3	reduced maximum carboxylation activity of Rubisco (Flexas et al., 2016). However, a higher
4	transpiration rate $(E)$ was found in OP compared to SP and the control treatment (no pruning).
5	Higher $E$ increases water loss, but higher $E$ is compatible with a greater mass flow from roots to
6	leaves and is expected to result in a greater nutrient uptake. This possibility is supported by similar
7	nitrogen and higher phosphorus concentrations in leaves (Table 3). We observed greater amounts of
8	soluble sugars, proline and total free amino acids (FAA) in leaves in OP, while the leaf water
9	potential was lower in OP than in SP (Table 1; Fig. S2). These responses suggest that the OP
10	treatment caused a greater water stress than SP (Lei et al., 2006). Thus, our results indicate that in OP,
11	C. lanceolata has a higher metabolic cost of leaves (higher rates of respiration and transpiration,
12	lower carbon fixation efficiency and water use efficiency), which may be related to nutrient uptake
13	(Dong et al. 2015).
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#### 1 CONCLUSIONS

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3 To our knowledge, the current study is the first investigation on the responses of both branches and roots to different types of lateral pruning, specifically on the functional balance of carbon, water and 4 5 nutrients in branches and roots of tree saplings. We showed that pruning of a half of the shoot and/or root system significantly alters tree growth, branch morphology, current-year leaf and fine root 6 structure, and physiology in C. lanceolata and that these effects are related to the horizontal direction 7 of pruning, same-side vs. opposite-side pruning. Our experiments support the source-sink interaction 8 9 model of branches and roots, and emphasize that the functional balance of carbon distribution between branches and roots is more readily achieved for the same-side pruning compared with 10 opposite-side pruning of roots and leaves. These results provide novel insight into the functional 11 12 balance of tree carbon, nutrient acquisition and distribution, and water acquisition and use, and into effects of standard forest management practices on forest carbon storage. Further studies are required 13 to examine the mechanisms of the coupling of carbon and nutrient transport through xylem and 14 15 phloem between leaves and roots, and to test the observed patterns in other species.

16

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20

21 **Conflict of interest** The authors declare that they have no conflicts of interest.

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	Treatment	$M_{\rm B}$	L <sub>B</sub>	$M_{ m L}$	$A_{\rm L}$	Nitrogen	Phosphorus	Water potential
		(g branch <sup>-1</sup> )	(cm branch <sup>-1</sup> )	(mg leaf <sup>-1</sup> )	(cm <sup>2</sup> leaf <sup>-1</sup> )	(mg g <sup>-1</sup> )	(mg g <sup>-1</sup> )	(MPa)
2012	NP	26.7±0.2b	56.2±0.7b	15.3±0.4a	1.25±0.03ab	18.9±0.5ab	1.14±0.03b	-0.73±0.03ab
	BP	34.8±1.4a	64.5±1.3a	13.3±0.5ab	1.33±0.06a	20.8±1.1a	1.35±0.02a	-0.54±0.04a
	RPs	19.7±1.3c	45.0±1.5c	11.4±0.9b	$1.08 \pm 0.06b$	16.1±0.3c	1.10±0.04b	-0.97±0.04c
	RPo	24.7±0.3b	53.0±1.1b	13.5±0.2ab	1.27±0.06ab	15.4±0.2c	1.14±0.03b	-0.81±0.03bc
	SP	25.6±1.2b	56.8±1.3b	14.5±0.9ab	1.30±0.07ab	16.7±0.2bc	1.18±0.04b	-0.92±0.04c
	OP	16.8±0.8c	38.9±2.4c	8.1±0.3c	0.79±0.01c	17.6±0.2bc	1.37±0.03a	-1.5±0.07d
	F	41.27***	39.96***	17.96***	14.45***	14.35***	12.55***	58.01***
2013	NP	36.6±0.9b	79.1±1.0b	14.8±0.6a	1.27±0.04ab	17.1±0.9ab	1.21±0.05bc	-0.87±0.04ab
	BP	51.1±0.7a	96.6±3.0a	13.1±0.4a	1.22±0.02ab	18.4±1.3a	1.48±0.07a	-0.59±0.09a
	RPs	35.7±1.9b	73.7±1.7b	12.4±0.2a	$1.09{\pm}0.02b$	16.3±0.5ab	1.35±0.02ab	-1.0±0.05b
	RPo	36.5±1.1b	79.2±1.6b	13.7±0.8a	1.22±0.08ab	13.9±0.3bc	1.16±0.03bc	-0.89±0.01ab
	SP	36.7±1.1b	78.6±1.0b	13.7±0.4a	1.33±0.03a	16.9±0.6ab	1.31±0.04ab	-1.2±0.1b
	OP	28.0±1.3c	63.5±0.9c	7.0±0.6b	$0.68{\pm}0.06c$	12.5±0.7c	1.02±0.05c	-1.8±0.1c
	F	38.27***	40.12***	27.41***	25.33***	7.85***	12.56***	27.34***

 Table 1. Effects of different pruning treatments on the structural characteristics of branches and structural characteristics, nutrient concentrations and water potential of current-year leaves in *C. lanceolata* in 2012 (10 weeks after pruning) and 2013 (60 weeks after pruning).

 $M_{\rm B}$ , average branch dry mass;  $L_{\rm B}$ , average branch length;  $M_{\rm L}$ , average current-year leaf dry mass;  $A_{\rm L}$ , average current-year leaf area. Values (means  $\pm$  SE, n = 4) followed by the same letters in the same column are not significantly different at P < 0.05 according to Tukey's tests. All factorial analyses (ANOVA) are significant at  $P \le 0.001$  (denoted as \*\*\*). Treatments are as defined in Fig. 1.

## **Figure legends**

**Figure 1.** Illustration of the experimental design of *Cunninghamia lanceolata* pruning treatments. NP (no pruning), BP (half of lateral branches pruned), RP (half of lateral roots pruned), SP (half of same side, i.e. the same azimuthal direction, branches and roots pruned) and OP (half of opposite side, i.e. opposite azimuthal direction, branches and roots pruned). Leaves from the same internode in lateral branches in mid-crown were chosen for analyses. Codes for the branch and root samples taken are also shown. NP, no pruning; BP<sub>s</sub>, the same side, half of lateral branches pruned; BP<sub>o</sub>, the opposite side, half of lateral branches pruned; RP<sub>s</sub>, the same side, half of lateral roots pruned; RP<sub>o</sub>, the opposite side, half of lateral roots pruned; SP, half of the same side lateral branches and roots pruned; OP, half of the opposite side lateral branches and roots pruned.

Figure 2. Weekly increments of basal stem diameter (a) and tree height (b) of *C. lanceolata* in each treatment, as defined in Fig. 1, in 2012 and 2013. Different letters denote significant differences (P < 0.05) according to Tukey's tests among different pruning treatments at each time.

Figure 3. Light-saturated photosynthetic rate (a), dark respiration rate (b), stomatal conductance (c) and transpiration rate (d) of current-year leaves of *C. lanceolata* in each treatment, as defined in Fig. 1, in 2012 and 2013. Different letters denote significant differences (P < 0.05) according to Tukey's tests among different pruning treatments at each time.

**Figure 4.** Specific fine root length (SRL) and fine root respiration rate ( $R_R$ ) of *C. lanceolata* in each treatment, as defined in Fig. 1, in 2012 and 2013. Different letters denote significant differences among treatments at P < 0.05 according to Tukey's tests. Error bars represent standard errors.

**Figure 5.** Soluble sugars (circles), starch (triangles) and total nonstructural carbohydrate (NSC<sub>T</sub> = soluble sugars + starch; squares) contents per dry mass in current-year needles (a) and fine roots (b) of *C. lanceolata* in each treatment, as defined in Fig. 1, in 2012 and 2013. Different letters denote significant differences among treatments at P < 0.05 according to Tukey's tests.

**Figure 6.** Excess  $\delta^{13}$ C (a) and excess  $^{13}$ C in target fine roots (b), relative ratio of excess  $^{13}$ C in target fine roots (excess  $^{13}$ C in target fine roots/excess  $^{13}$ C in the labeled current-year leaves; c), excess  $\delta^{15}$ N (d), and excess  $^{15}$ N in target current-year leaves (e), and relative ratio of excess  $^{15}$ N in target current-year leaves (excess  $^{15}$ N in target current-year leaves/excess  $^{15}$ N in the labeled fine roots; f) for samples with the same side orientation (light gray bars) and opposite side orientation (black bars) in *C. lanceolata* in each treatment, as defined in Fig. 1, 15 weeks after the treatments (mean  $\pm$  SE, n = 3). The  $^{13}$ C-related traits of RP in same or opposite side positions mean that the labeled branch is from the same or opposite side as the corresponding root, respectively;  $^{15}$ N-related traits of BP in same or opposite side positions mean that the labeled root is from the same or opposite side as the corresponding branch, respectively.

**Figure 7.** PCA based on eco-physiological traits in shoots in each treatment (as defined in Fig. 1; NP, black circle; BP, white square; RPs, white inverse triangle; RPo, white inverse triangle; SP, white star; OP, black star) in 2012 (a) and 2013 (b). DBH and H, basal stem diameter and height increment per week, MB and LB, dry mass and length per branch; ML and AL, dry mass and area per leaf; A, photosynthetic rate;  $g_s$ , stomatal conductance; Rd, dark respiration rate of leaf; E, transpiration rate; N and P, leaf nitrogen and phosphorus concentration; SSL, STL and NSCL, leaf sugars, starch and NSC concentration; WP, leaf potential.



















