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# Parallel Sentence Mining by Constrained Decoding

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## Abstract

We present a novel method to extract parallel sentences from two monolingual corpora, using neural machine translation. Our method relies on translating sentences in one corpus, but constraining the decoding by a prefix tree built on the other corpus. We argue that a neural machine translation system by itself can be a sentence similarity scorer and it efficiently approximates pairwise comparison with a modified beam search. When benchmarked on the BUCC shared task, our method achieves results comparable to other submissions.

## 1 Introduction

Having large and high-quality parallel corpora is critical for neural machine translation (NMT). One way to create such a resource is to mine the web (Resnik and Smith, 2003). Once texts are crawled from the web, they form large collections of data in different languages. To find parallel sentences, a natural way is to score sentence similarity between all possible sentence pairs and extract the top-scoring ones. This poses two major challenges:

1. Accurately determining the semantic similarity of a sentence pair in two languages.
2. Efficiently scoring sentence similarity for all possible pairs across two languages.

Scoring each source sentence against each target sentence results in unaffordable quadratic time complexity. A typical workflow reduces the search complexity in a coarse-to-fine manner by aligning documents then aligning sentences within documents (Uszkoreit et al., 2010). However, translated websites may not have matching document structures.

More recent methods focus on direct sentence alignment. The results from Building and Using

Comparable Corpora (BUCC) shared task show that direct sentence alignment can be done by sentence-level lexical comparison, neural comparison or a combination of the two (Zweigenbaum et al., 2017, 2018). A state-of-the-art method maps all sentences to multilingual sentence embeddings and compares them using vector similarity (Artetxe and Schwenk, 2019). Such sentence embeddings are produced by neural encoders, but the rise of the attention mechanism demonstrates that sentence embeddings alone are insufficient to obtain full translation quality (Bahdanau et al., 2015).

To exploit quality gains from the attention mechanism, we propose to use a full NMT system with attention to score potentially parallel sentences. The way we avoid pairwise scoring is inspired by constrained decoding in NMT, where the choice of output tokens is constrained to a predefined list (Hokamp and Liu, 2017). Our method works as follows: We designate one language as source and one language as target, and build a trie over all target sentences. Then we translate each source sentence to the target language, but constrain left-to-right beam search to follow the trie. In other words, every translation hypothesis is a prefix of some sentence in the target language. Rather than freely choosing which token to extend by, a hypothesis is limited to extensions that exist in the target language corpus. In effect, we are using beam search to limit target language candidates for each source sentence.

Our work makes two contributions to parallel sentence mining. First, instead of comparing translated text or neural similarity, we use an NMT model to directly score and retrieve sentences on-the-fly during decoding. Second, we approximate pairwise comparison with beam search, so only the top-scoring hypotheses need to be considered at each decoding step.

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\*Equal contribution.

## 2 Methodology

NMT systems can assign a conditional translation probability to an arbitrary sentence pair. Filtering based on this (Junczys-Dowmunt, 2018) won the WMT 2018 shared task on parallel corpus filtering (Koehn et al., 2018). Intuitively, we could score every pair of source and target sentences using a translation system in quadratic time, then return pairs that score highly for further filtering. We approximate this with beam search.

### 2.1 Trie-constrained decoding

We build a prefix tree (trie) containing all sentences in the target language corpus (Figure 1). Then we translate each sentence in the source language corpus using the trie as a constraint on output in the target language. NMT naturally generates translations one token at a time from left to right, so it can follow the trie of target language sentences as it translates.

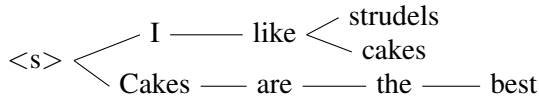


Figure 1: A monolingual trie storing three sentences.

Formally, translation typically uses beam search to approximately maximise the probability of a target language sentence given a source language sentence. We modify beam search to restrict partial translations to be a prefix of at least one sentence in the target language. The trie is merely an efficient data structure with which to evaluate this prefix constraint; partial translations are augmented to remember their position in the trie. We consider two places to apply our constraint.

In post-expansion pruning, beam search creates hypotheses for the next word, prunes hypotheses to fit in the beam size, and then requires they be prefixes of a target language sentences. In practice, most sentences are do not have translations in the corpus and search terminates early if all hypotheses are pruned.

In pre-expansion pruning, a hypothesis in the beam generates a probability distribution over all tokens, but only the tokens corresponding to children of the trie node can be expanded by the hypothesis. The search process is guaranteed to find at least one target sentence for each source sentence. Downstream filtering removes false positives.

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**Algorithm 1** Trie-constrained beam search with maximum output length  $L$ , beam size  $B$ , vocabulary  $V$  and a pre-built trie *trie*

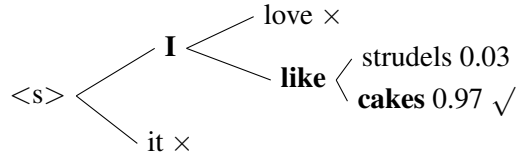
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```

beam0 ← {<s>}
match ← {}
for time step  $t$  in 1 to  $L$  do
  beam $t$  ← {}
  for hypothesis  $h$  in beam $t-1$  do
    V $t$  ← V
    if pre-expansion then + v2
      V $t$  ← V $t$  ∩ Children(trie,  $h$ ) + v2
    beam $t$  ← beam $t$  ∪ Continue( $h$ , V $t$ ,  $B$ )
  beam $t$  ← NBest(beam $t$ ,  $B - |match|$ )
  if post-expansion then + v1
    beam $t$  ← beam $t$  ∩ trie + v1
  Move full sentences from beam $t$  to match.
  if beam $t$  is empty then
    return match
return match
  
```

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Algorithm 1 presents both variants of our modified beam search algorithm. Besides canonical beam search, “+ v1” indicates post-expansion pruning while “+ v2” indicates pre-expansion pruning. Figure 2 visualises trie-constrained beam search with post-expansion pruning.



- Source: Me gustan los pasteles (I like cakes)  
 - Target trie: as shown in Figure 1

Figure 2: Trie-constrained decoding with post-expansion pruning, using beam size 2. × denotes pruned hypotheses. ✓ denotes the retrieved sentence. Numbers denote translation probabilities.

The modified beam search algorithm allows us to efficiently approximate the comparison between a source sentence and  $N$  target sentences. We let  $B$  denote beam size and  $L$  denote maximum output length. Given each source sentence, our NMT decoder only expands the top  $B$  hypotheses intersecting with the trie, for at most  $L$  times, regardless of  $N$ . With  $M$  source sentences, our proposed method will reduce the comparison complexity from  $O(MN)$  to  $O(BLN)$ , where  $BL \ll M$ .

## 2.2 Filtering

Pre-expansion pruning leaves each source sentence with an output, which needs to be filtered out if not parallel. We propose to use two methods. When NMT generates an output, a sentence level cross-entropy score is computed too. One way to perform filtering is to only keep sentences with a better per-word cross-entropy than a certain threshold. Another way is to use Bicleaner, an off-the-shelf tool which scores sentence similarity at sentence pair level (Sánchez-Cartagena et al., 2018). Filtering is optional for post-expansion pruning.

## 2.3 Trie implementation

The trie used in our NMT decoding should be fast to query and small enough to fit in memory. We use an array of nodes as the basic data structure. Each node contains a key corresponding to a vocabulary item, as well as a pointer to another array containing all possible continuations in the next level. Binary search is used to find the correct continuations to the next level. With byte pair encoding (BPE) (Sennrich et al., 2016), we can always keep the maximum vocabulary size below 65535, which allows us to use 2-byte integers as keys, minimising memory usage.

To integrate the trie into the decoder, we maintain external pointers to possible children nodes in the trie for each active hypothesis. When the hypotheses are expanded at each time step, the pointers are advanced to the next trie depth level. This ensures that cross-referencing the trie has a negligible effect on decoding speed.

## 3 Experimental setup

### 3.1 BUCC shared task

We evaluate our method on the BUCC shared task, which requires participants to extract parallel sentences from large monolingual data of English and other languages (Zweigenbaum et al., 2017, 2018). Monolingual and parallel sentences come from Wikipedia and News Commentary respectively. Data are divided into sample, train and test sets at a ratio of 1:10:10. The gold alignments for the test set are not public. Evaluation metrics adopted are precision, recall and F1 score.

When inspecting the BUCC shared task data, we discovered overlapping parallel sentences in the sample, train and test sets. For example, more

than 60% of the German-English gold pairs in the test set appear in the train set too.<sup>1</sup>

### 3.2 Experiment details

We apply our methods on English (En) paired with German (De), French (Fr) and Russian (Ru) on BUCC sample data initially. We train separate translation models for each language into English. All models are Transformer-Base (Vaswani et al., 2017), trained using Marian (Junczys-Dowmunt et al., 2018) with BPE applied. We use parallel data from WMT news translation task (Bojar et al., 2015), excluding News Commentary to prevent our systems from memorising the gold parallel sentences given the overlap issue.

We choose beam size 90 by performing a grid search on De-En pair and keep it unchanged. Regarding the filtering for pre-expansion pruning, per-word conditional cross-entropy thresholds are tuned separately for each pair, because languages inherently have different (cross-)entropies. For Bicleaner, we stick to its default settings, except that we disable the language model filter. All models translate into English, but our method is actually language-agnostic. Hence, we train a separate En→De model, which will allow us to compare our method in inverse translation directions.

Table 1 reports the performance of our systems on the sample data. Our method exhibits a much higher precision than recall. We hypothesise that if the systems in inverse directions retrieve different sentence pairs, then taking a union will sacrifice some precision for recall, consequently a higher F1. Thus, we present in the same table the results of taking the union of outputs from En→De and De→En systems, labelled as “(3) ∪ (4)”. Likewise, we also take the union of the results from cross-entropy and Bicleaner filtering and report scores in the same table.

It turns out that pre-expansion works better than post-expansion. In order to directly compare with previous work, we tune parameters of its filtering thresholds on train data for De-En pair, and apply the pre-expansion variant on the test data. Our results, evaluated by the BUCC organisers, are reported in Table 2 together with other submissions.

Finally, we conduct an add-on experiment to see how our system would perform with in-domain

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<sup>1</sup>The shared task organisers confirmed the issue after we pointed it out. They re-evaluated previous submissions without overlapping parallel sentences. On average, recall drops by 2% with the largest being 4%.

	<b>(1) Fr→En</b>			<b>(2) Ru→En</b>			<b>(3) De→En</b>			<b>(4) En→De</b>			<b>(3) ∪ (4)</b>		
	P	R	F1	P	R	F1	P	R	F1	P	R	F1	P	R	F1
(v1) post-expansion	92	62	74	<b>99</b>	61	75	88	61	72	96	59	73	81	75	81
(v2) pre-expansion															
+ cross-entropy (CE)	<b>97</b>	72	83	98	<b>84</b>	<b>90</b>	<b>96</b>	73	83	<b>98</b>	79	<b>88</b>	<b>96</b>	87	<b>91</b>
+ Bicleaner (BC)	86	77	81		n/a		93	81	86	91	82	86	86	87	87
+ CE ∪ BC	93	<b>81</b>	<b>86</b>		n/a		91	<b>84</b>	<b>87</b>	90	<b>86</b>	<b>88</b>	91	<b>91</b>	<b>91</b>

Table 1: Precision, recall and F1 of our methods on BUCC sample set. Bicleaner does not have a published classifier model for Ru-En.

data. We fine-tune our De→En and En→De systems on News Commentary, excluding the sentence pairs which appear in BUCC train or test sets. As BUCC submissions are asked not to use News Commentary, this is only used to contrast with our own results on the train set.

	<b>Train</b>	<b>Test</b>
Azpeitia et al. (2018)	84.3	85.5
Wieting et al. (2019)	77.5	n/a
Artetxe and Schwenk (2019)	91.9	95.6
(v2) pre-expansion + CE ∪ BC	83.0	83.9
+ fine-tuning	85.5	n/a

Table 2: F1 scores of our method and other methods on BUCC De-En train and test sets. Wieting et al. only evaluated on the publicly available train set.

## 4 Results and Analysis

Experiments on the sample data in Table 1 show that pre-expansion pruning outperforms post-expansion by about 10 F1 points. This can be explained by the fact that the decoder has a better chance to generate the correct target sentence if the available vocabulary is constrained. For both variants, the high precision reflects the effectiveness of using NMT as a sentence similarity scorer. Regarding filtering methods, we notice that Bicleaner achieves a more balanced precision and recall, while filtering by per-word cross-entropy leads to very high precision but lower recall. Generally, the latter does better in terms of F1. Taking a union of the output from the two filtering methods results in a even more balanced precision and recall, without damaging F1. This implies that the two filtering techniques keep different sentence pairs.

Table 2 shows that our method achieves comparable performance to other methods. More-

over, our models are trained using a vanilla Transformer-Base architecture on WMT data. Without data or model wise techniques (e.g. in-domain fine-tuning), they are nowhere close to state-of-the-art NMT systems (Barrault et al., 2019). Contrasting Table 1 and Table 2 reveals a discrepancy between our method’s F1 scores on the sample and train sets. We suspect that when there are more possible target sentences, our model will have more choices, leading to a lower performance. The same behaviour is also observed in other BUCC 2018 submissions which report their scores on the sample data (Azpeitia et al., 2018; Leong et al., 2018).

Overall our method does not outperform state-of-the-art which leverages neural embeddings. We identify several weaknesses: beam search can only find local optima, and a genuine parallel sentence cannot be recovered once it is pruned. Thus the method is vulnerable when parallel sentences have different word ordering. For example, “Por el momento, estoy bebiendo un café” (English: “At the moment, I am drinking a coffee”) can hardly match “I am drinking a coffee at the moment”, because an NMT system will have very low probability of generating a reordered translation, unless using an undesirably large beam size. Moreover, compared to methods that consider textual overlap, NMT is sensitive to domain mismatch and rare words (Koehn and Knowles, 2017). When a system is confused by rare words in the source, we observe that the overly zealous language model in the decoder generates a fluent sentence in the trie rather than a translation. This problem is alleviated when our systems are fine-tuned on in-domain data, as shown in Table 2 that there is a gain in F1.

Finally we discuss the limitation of evaluating our method on the BUCC task. First, our method based on NMT can be liable to favour

machine-translated texts, whereas the BUCC data is unlikely to contain those. Next, we notice that some parallel sentences in BUCC data are not included in the gold alignments. For instance, in De-En train set, “de-000081259” and “de-000081260” are the same German sentence, and so are “en-000036940” and “en-000036941” on the English side. Gold alignments only include (de-000081259, en-000036940) and (de-000081260, en-000036941), but not the other two. Lastly, it still remains unknown if a system optimised for F1 will produce the sentences that can truly improve NMT performance.

## 5 Related Work

A typical parallel corpus mining workflow first aligns parallel documents to limit the search space for sentence alignment. Early methods rely on webpage structure (Resnik and Smith, 2003; Shi et al., 2006). Later, Uszkoreit et al. (2010) translate all documents into a single language, and shortlist candidate document pairs based on TF-IDF-weighted n-grams. Recently, Guo et al. (2019) suggest a neural method to compare document embeddings obtained from sentence embeddings.

With the assumption that matched documents are parallel (no cross-alignment), sentence alignment can be done by comparing sentence length in words (Brown et al., 1991) or characters (Gale and Church, 1993), which is then improved by adding lexical features (Varga et al., 2005). After translating texts into the same language, BLEU can also be used to determine parallel texts, by anchoring the most reliable alignments first (Sennrich and Volk, 2011). Most recently, Thompson and Koehn (2019) propose to compare bilingual sentence embeddings with dynamic programming in linear runtime.

There are also research efforts on parallel sentence extraction without the reliance on document alignment. Munteanu and Marcu (2002) acquire parallel phrases from comparable corpora using bilingual tries and seed dictionaries. Azpeitia et al. (2018) computes Jaccard similarity of lexical translation overlap. Leong et al. (2018) use an autoencoder and a maximum entropy classifier. Bouamor and Sajjad (2018) consider cosine similarity between averaged multilingual word embeddings. Guo et al. (2018) design a dual encoder model to learn multilingual sentence em-

beddings directly with added negative examples. Wieting et al. (2019) obtain sentence embeddings from sub-word embeddings and train a simpler model to distinguish positive and negative examples. Artetxe and Schwenk (2019) refine Guo et al. (2018)’s work and achieve state-of-the-art by looking at the margins of cosine similarities between pairs of nearest neighbours.

In our work, using NMT as a similarity scorer relies on constrained decoding (Hokamp and Liu, 2017), which has been applied on image captioning (Anderson et al., 2017) and keyword generation (Lian et al., 2019).

## 6 Conclusion and Future Work

We bring a new insight into using NMT as a similarity scorer for sentences in different languages. By constraining on a target side trie during decoding, beam search can approximate pairwise comparison between source and target sentences. Thus, overall we present an interesting way of finding parallel sentences through trie-constrained decoding. Our method achieves a comparable F1 score to existing systems with a vanilla architecture and data.

Maximising machine translation scores is biased towards finding machine translated text produced by a similar model. More research is needed on this problem given the prevalent usage of NMT. We hypothesise that part of the success of dual conditional cross-entropy filtering (Junczys-Dowmunt, 2018) is checking that scores in both directions are approximately equal, whereas a machine translation would be characterised by a high score in one direction.

Finally, scalability is a key issue in large-scale mining of parallel corpora, where both quantity and quality are of concern. The scalability of direct sentence alignment without a document aligner has not been thoroughly investigated in our work, as well as other related work.

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