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Characterising the biophysical, economic and social impacts of soil carbon sequestration as a greenhouse gas removal technology

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1 **Characterising the biophysical,**
2 **economic and social impacts of soil**
3 **carbon sequestration as a greenhouse**
4 **gas removal technology**

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25 **Abstract**

26 To limit warming to well below 2°C, most scenario projections rely on greenhouse gas
27 removal technologies (GGRTs); one such GGRT uses soil carbon sequestration (SCS) in
28 agricultural land. In addition to their role in mitigating climate change, SCS practices play a
29 role in delivering agroecosystem resilience, climate change adaptability, and food security.
30 Environmental heterogeneity and differences in agricultural practices challenge the practical
31 implementation of SCS, and our analysis addresses the associated knowledge gap. Previous
32 assessments have focused on global potentials, but there is a need among policy makers to
33 operationalise SCS. Here, we assess a range of practices already proposed to deliver SCS,
34 and distil these into a subset of specific measures. We provide a multi-disciplinary summary
35 of the barriers and potential incentives toward practical implementation of these measures.
36 First, we identify specific practices with potential for both a positive impact on SCS at farm
37 level, and an uptake rate compatible with global impact. These focus on:

- 38 a) optimising crop primary productivity (e.g. nutrient optimisation, pH management,
39 irrigation)
- 40 b) reducing soil disturbance and managing soil physical properties (e.g. improved
41 rotations, minimum till)
- 42 c) minimising deliberate removal of C or lateral transport via erosion processes (e.g.
43 support measures, bare fallow reduction)
- 44 d) addition of C produced outside the system (e.g. organic manure amendments, biochar
45 addition)
- 46 e) provision of additional C inputs within the cropping system (e.g. agroforestry, cover
47 cropping)

48 We then consider economic and non-cost barriers and incentives for land managers
49 implementing these measures, along with the potential externalised impacts of
50 implementation. This offers a framework and reference point for holistic assessment of the
51 impacts of SCS. Finally, we summarise and discuss the ability of extant scientific approaches
52 to quantify the technical potential and externalities of SCS measures, and the barriers and
53 incentives to their implementation in global agricultural systems.

54 **1. Introduction**

55 Despite concerted international effort to curb greenhouse gas (GHG) emissions, their release
56 to the atmosphere accelerated throughout the first decade of the 21st century (Le Quéré et al.,
57 2012). The adoption of the Paris Agreement represented an international consensus to limit
58 global temperature rise to well below 2°C above pre-industrial levels, and an ambition to
59 limit to 1.5°C (United Nations Framework Convention on Climate Change, 2015). To meet
60 the 2°C target, Fuss et al. (2014) estimated that cumulative emissions from 2015 must be
61 restricted to 1200 Gt CO₂. Most integrated assessment models (IAMs) rely on GHG removal
62 technologies (GGRTs) to have a greater than 50% chance of achieving this (Smith et al.,
63 2016; Riahi et al., 2017; Rogelj et al., 2018). The GGRT literature is still in relative infancy,
64 but is growing fast and recognition of the need for the wide-scale deployment of GGRTs is
65 increasing (Fuss et al., 2014, 2018; Popp et al., 2017; Minx et al., 2017, 2018; Rogelj et al.,
66 2018).

67 Several GGRTs are under consideration; the most prevalent are bioenergy with carbon
68 capture and storage (BECCS), direct air capture (DAC), enhanced weathering (EW),
69 afforestation/reforestation (AR), and soil carbon sequestration (SCS) (Smith et al., 2016;
70 Smith, 2016; Popp et al., 2017; Minx et al., 2018; Fuss et al., 2018). SCS shows several
71 important advantages over other GGRTs (Smith, 2016); it has negligible land use impacts
72 since it can be practiced without changing land use (a drawback of BECCS and AR). Besides
73 GGRTs, land-based measures such as reduced-impact logging can achieve mitigation with
74 negligible land use change (Ellis et al., 2019). SCS implementation costs are estimated to be
75 negative for around 20% of potential, and < US\$ 40 t C-eq⁻¹ for the remainder, making it
76 highly cost-effective vs. DAC and EW (Smith, 2016). Water and energy use by SCS are
77 negligible or negative, providing an advantage over BECCS, DAC and AR (Smith, 2016). A

78 key limitation of SCS is saturation of sequestration potential, making GGR by SCS a finite
79 and time-limited quantity, and vulnerable to reversal (Fuss et al., 2014). The global potential
80 of SCS is also challenging to assess, and optimistic assessments are disputed (Schlesinger &
81 Amundson, 2019). While the estimated global potential of SCS is lower than some other
82 GGRTs (Smith, 2016; Minx et al., 2018; Fuss et al., 2018), the efficacy of SCS is greatest in
83 the short- to medium-term (Goglio et al., 2015; Smith, 2012), meaning SCS may act as an
84 interim measure until the deployment of higher potential GGRTs can be realised.

85 Conversion of undisturbed land to agriculture typically results in a loss of SOC (Six et al.,
86 2002; Paustian et al., 2016). This human activity has a pedigree of twelve millennia, dating to
87 the agricultural revolution of the early Holocene (Klein Goldewijk et al., 2011). Thus, a
88 considerable carbon ‘debt’ has been accrued, estimated at 133 Pg C (Sanderman et al., 2017).
89 Within the context of SCS, this debt represents a sequestration opportunity, as agricultural
90 soils may have the capacity to regain historically lost C.

91 SCS can play a critical role in delivering improved soil quality and food security (Paustian et
92 al., 2016; Smith, 2016; Fuss et al., 2018), and is therefore a key contributor to Sustainable
93 Development Goals (SDGs) (Keesstra et al., 2016; Chabbi et al., 2017). Additionally, it is
94 integral to the large-scale ecosystem restoration requirements highlighted by international
95 bodies (IPBES, 2018). This, coupled with the negative-to-low cost of SCS implementation,
96 makes it a no-regrets option, and growing recognition of this is reflected in its incorporation
97 into international initiatives such as the 4-per-mille (4‰) proposition (Minasny et al., 2017).

98 Heterogeneity in environmental conditions and agricultural practices challenge the practical
99 implementation of SCS measures (Lal et al., 2015). This complexity, coupled with the low
100 per-area abatement potential, means that SCS has received comparatively little attention in
101 the GGRT IAM scenarios literature (Popp et al., 2017; Riahi et al., 2017). While several SCS

102 reviews have been conducted, these have typically been either region-specific (Vågen et al.,
103 2005; Luo et al., 2010; Merante et al., 2017), practice-specific (Lehmann et al., 2006;
104 McSherry & Ritchie, 2013; Lorenz & Lal, 2014) or have assessed global potentials without
105 considering explicitly the practices used to deliver SCS (Smith, 2016; Griscom et al., 2017;
106 Fuss et al., 2018). Some broader reviews have been conducted (e.g. Stockmann et al., 2013),
107 though the pace at which scientific knowledge is advancing in this field (Minx et al., 2017)
108 merits a continuation and enhancement of this process. Since soil forms an integral part of the
109 vast majority of agricultural systems, SCS measures must necessarily impact the
110 agroecosystem as a whole, and this impact may directly affect the wider social and economic
111 systems to which the agroecosystem is linked. The biophysical complexity of SCS is thus
112 compounded by inextricable socio-economic complexities. Consequently, in order to
113 facilitate GGR via SCS, measures must be implemented which inherently have:

- 114 1) Uncertainty relating to technical abatement rate and potential
- 115 2) Uncertainty relating to costs
- 116 3) The potential to induce a range of impacts on the agroecosystem in question.
- 117 4) As a result of 3), the potential to induce further impacts on the wider social and
118 economic systems which are linked, directly or indirectly, to the agroecosystem in
119 question.

120 For many measures, the extant literature is in a position to provide answers to each of these
121 elements. What is lacking is a framework which brings this literature together in a
122 coordinated and comparable way. This paper seeks to provide this framework and apply it to
123 a broad range of globally applicable SCS measures. The novelty of the approach therefore
124 lies in the combination of a) a broad initial scope, b) the systematic selection and
125 categorisation of a subset of specific measures, and c) a multi-disciplinary discussion of the
126 pathways and barriers towards practical implementation of these measures.

127 **2. Defining a framework for SCS measure assessment**

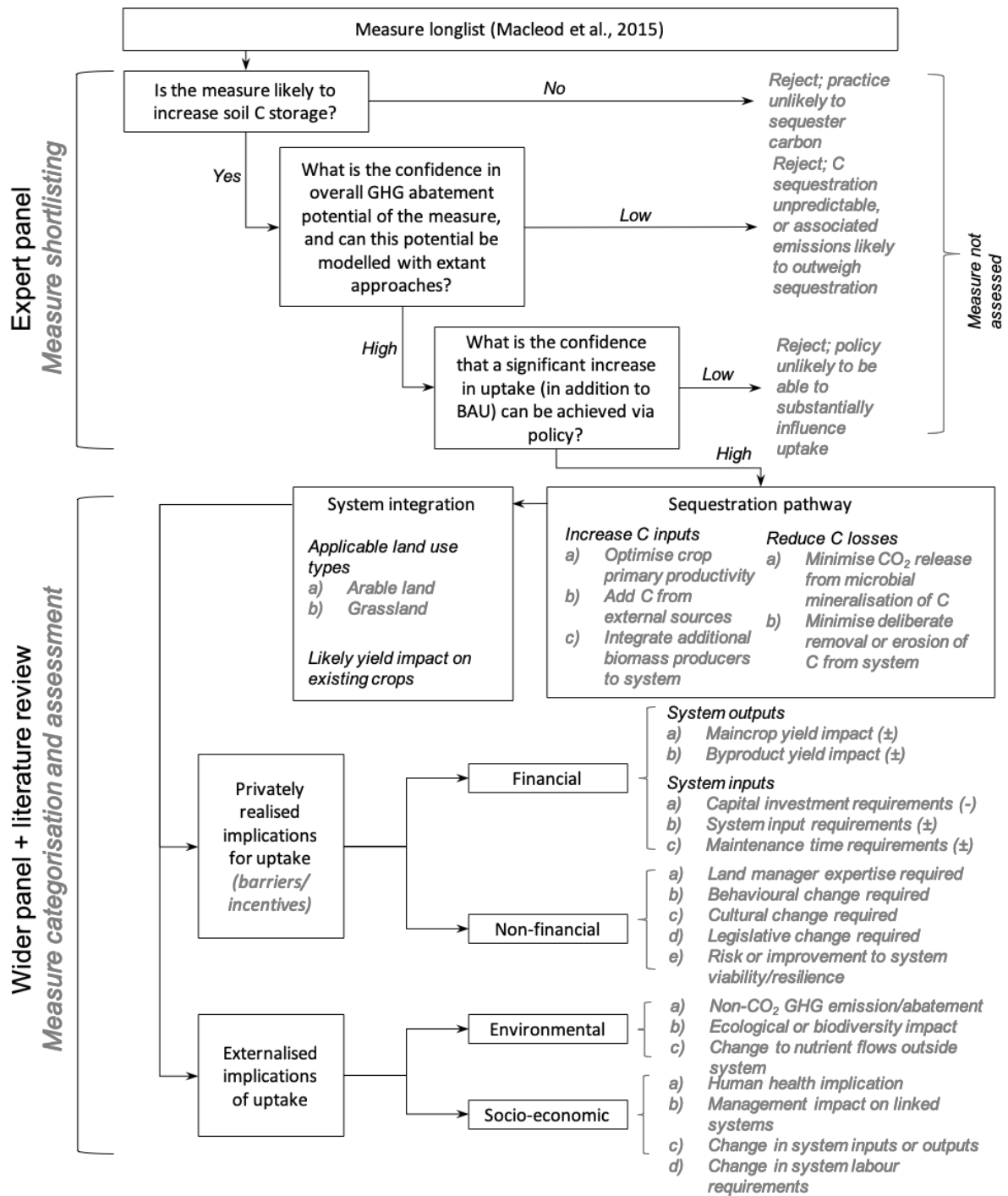
128 Soil organic carbon (SOC) stock change is the difference between addition of organic C
129 (typically as plant residue) and losses via harvested biomass and respiration (Paustian et al.,
130 2016). Whilst the soil C stock of land is often lowered by conversion to agriculture (Six et al.,
131 2002; Paustian et al., 2016), once soil is under agricultural use, pathways to maximise
132 sequestration of organic carbon can be categorised as follows:

- 133 1) Optimising crop primary productivity, particularly below-ground (root) growth, and
134 ensure the retention of this organic matter in the cropping system (increasing C
135 inputs)
- 136 2) Adding C produced outside the cropping system (increasing C inputs)
- 137 3) Integration of additional biomass producers within the cropping system (increasing C
138 inputs)
- 139 4) Minimising atmospheric release of CO₂ from microbial mineralisation by reducing
140 soil disturbance and managing soil physical properties (reducing C losses)
- 141 5) Minimising deliberate removal of C from the system or lateral transport of C via
142 erosion processes (reducing C losses)

143 A long list of potential measures with the potential to deliver one or more of these outcomes
144 was defined based on the review by Macleod et al. (2015). These measures were reviewed by
145 a panel of three experts and independently assessed against the following criteria:

- 146 1) Is the specified measure likely to lead to a significant increase in soil C storage?
- 147 2) What is the expert's confidence in the GHG abatement potential of the specified
148 measure (including the ability of available modelling approaches to reliably quantify
149 this potential)?
- 150 3) Is it likely that significant uptake, in addition to the business-as-usual (BAU) scenario,
151 could be achieved via policy?

152 This system allowed for sequential refinement of the long list into a shortlist of measures
153 meeting the above criteria, with measures rejected at each stage (Fig. 1). Following
154 shortlisting, a framework, illustrated by Fig. 1, was defined against which the measures could
155 be categorised and assessed.

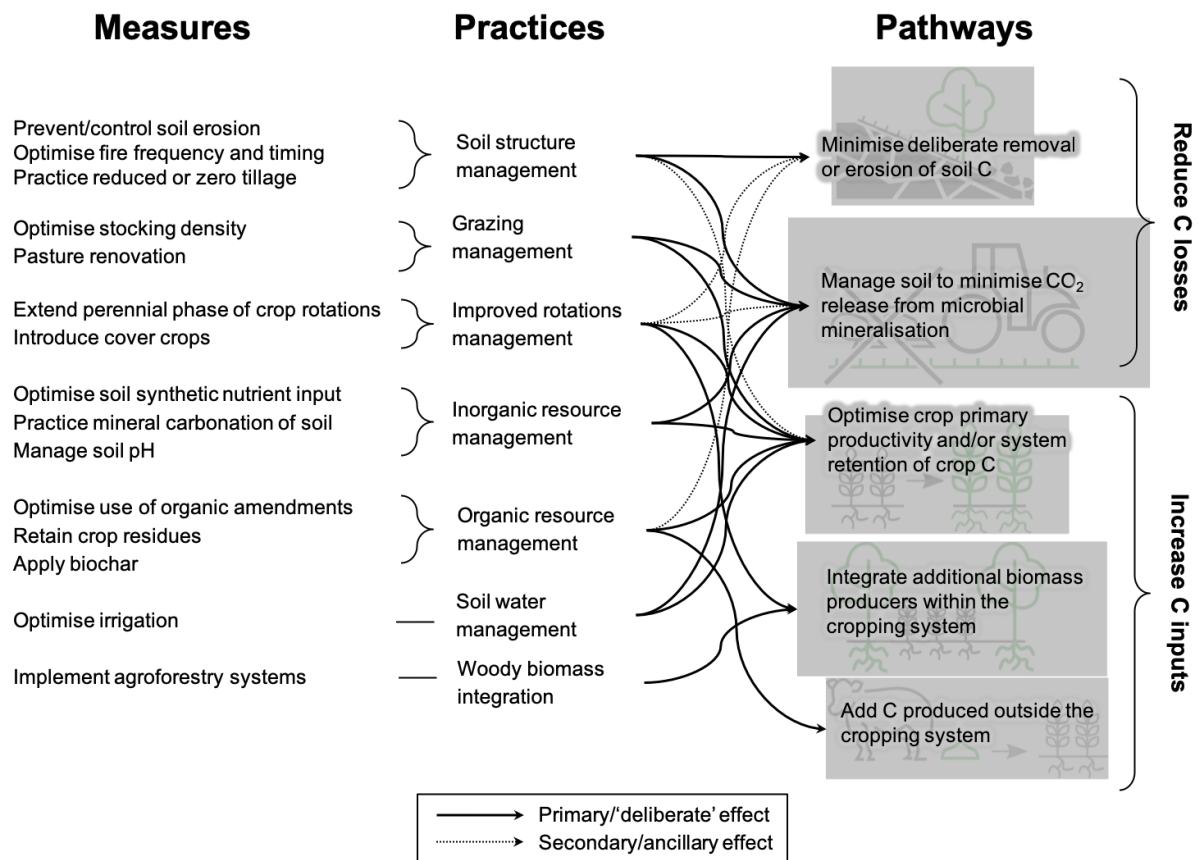


156
157 **Fig. 1.** Systematic approach to selection and assessment of soil carbon sequestration measures followed for this
158 analysis.

159 **3. Selection and assessment of SCS measures**

160 Following shortlisting via the selection process defined in Fig. 1, a group of 21 SCS
161 measures, deemed to have technical potential according to these criteria, were selected. Based
162 on further literature review focused around each shortlisted measure, these measures were

163 sorted into categories representing consistent types of management practice, and further
 164 categorised according to the SCS pathway(s) relevant to each practice (Fig. 2).



165
 166 **Fig. 2.** Results of the shortlisting and categorisation process for the selected SCS measures. Attribution of
 167 practices to pathways is expanded in sections 3.1—3.7.

168 Whilst the pathways defined can be attributed to specific measures, the categorisation of
 169 these measures into similar management practices lead to similar pathway attribution for each
 170 practice group, allowing the generalisation of pathways across practices as shown in Fig. 2.

171 These pathways were further attributed to specific measures, and the private and externalised
 172 impacts (as defined in the framework in Fig. 1) were assigned to each measure based on the
 173 extant literature (Table 1).

174 The remainder of this section maps to the framework of Table 1 and comprises the results of
 175 the review process for each practice from in terms of a) the technical biophysical context and
 176 pathways to SCS, b) private barriers and incentives to implementation of measures by land
 177 managers, and c) externalised impacts of implementation. Where it is possible to quantify or

178 attribute a direction of change to an impact, this is described based on the extant literature;
179 however, many impacts are either non-directional in nature, or context-specific dependent on
180 the agricultural systems or baselines to which they are applied.

181
182
183

Table 1. Defined SCS measures by category, including estimates of applicability by land category, yield response, nature of private barriers and incentives, and externalised impacts.

Practice	Measure	Pathway(s)	Applicable land uses		Likely yield response	Private barriers and incentives		Externalised impacts	
			Crop production	Livestock production		Financial	Non-financial	Environmental	Socio-economic
Soil structure management	Prevent or control soil erosion	PP, MR	×	×	+	C, M; <i>Y, I</i>	Ex; <i>Re</i>	Nu	Ag
	Optimise fire frequency and timing	PP, MM	×	×	±	M, Y; <i>Y</i>	Ex, Ri, Be, Po	GG, <i>Eco</i>	He
	Practice reduced or zero tillage	MM	×	×	±	C, I; Y; <i>M, I</i>	Ri: Re	GG	
Grazing land management	Optimise stocking density	PP, MM		×	±	Y, M; <i>Y</i>	Ex, Cu; <i>Re</i>	GG, <i>Eco</i> , Nu	La
	Renovate unimproved pasture	PP		×	+	M, I, C; <i>Y</i>	Be, Inf; <i>Re</i>	GG, <i>Eco</i>	In
Improved rotation management	Extend perennial phase of crop rotations	PP, MM, MR	×		+	Y			Out
	Implement cover cropping	AB, MR	×		+	I, M; <i>Y; I</i>	Ri; <i>Re</i>	Nu	In
Inorganic resource management	Optimise soil synthetic nutrient input	PP	×	×	+	I; <i>Y</i>	Ex, Be, Inf; <i>Re</i>	GG	He, In
	Practice mineral carbonation of soil	MM	×	×	±	I, M; <i>I, Y</i>	Ri, Ex, Inf	GG, Nu, <i>Eco</i>	He, In, La
	Manage soil pH	PP, MM	×	×	+	I, M; <i>Y, I</i>	Ex, Be	GHG , Nu, <i>Eco</i>	In, La
Organic resource management	Optimise use of organic amendments	AC, PP, MR	×	×	+	M, B, C; <i>Y, I</i>	Ex, Inf; <i>Re</i>	GG, Nu	He, Ag, In, Out
	Retain crop residues	MR	×		+	B, C, M; <i>I</i>	Be, Re	GHG, <i>Eco</i>	In, Out
	Apply biochar	AC, PP	×		+	B, I, M; <i>Y, I</i>	Ri, Po, Be, Ex, Inf; <i>Re</i>	GG, Al , Nu	In, La
Soil water management	Optimise irrigation	PP, MM	×	×	+	C, M; <i>Y</i>	Ex, Be	GG , Nu	In, He
Woody biomass integration	Implement agroforestry systems	AB	×	×	+	C, I, M; <i>Y; B</i>	Ri, Be; <i>Re</i>	<i>Eco</i>	In, Out

All columns. Bold text = barrier or negative impact, italicised text = incentive or positive impact, normal text = direction not specified, bidirectional or not applicable.

Pathways. [PP] = maximise primary productivity of existing crops, [MM] = manage soil properties to minimise C mineralisation, [MR] = minimise deliberate removal or erosion of C, [AC] = add external C to system or avoid C removals, [AB] = include additional biomass producers in system.

Yield response. [+] = positive yield response, [-] = negative yield response, [±] = bidirectional (context specific) response, [n] = neutral response.

Private financial barriers/incentives. [Y] = main crop yield (increase/loss), [B] = by-product yield (increase/loss), [C] = capital investment required to implement measure, [I] = agrochemical input (increase/offset), [M] = maintenance/time cost (increase/offset).

Private non-financial barriers/incentives. [Ex] = land manager expertise required to implement measure, [Be] = behavioural barrier i.e. measure likely to require substantial change to habitual behaviour, [Ri] = perceived risk to production system viability associated with implementing measure, [Cu] = cultural barrier, [Po] = potential policy-based or legislative barrier to implementing measure, [Re] = agroecosystem resilience affected by implementation.

Environmental externalities. [GG] = GHG emission or reduction (in addition to SCS), [Nu] = change to agroecosystem nutrient flows, [Al] = albedo effect on affected soils, [Eco] = ecological or biodiversity impact on connected ecosystems.

Socio-economic externalities. [He] = human health implication, [Ag] = management impact for linked agroecosystems, [In] = qualitative change in system input demand, [Out] = qualitative change in supply of system outputs, [La] = change in labour demand for production system.

200 *3.1. Soil structure management*

201 Soil structure management comprises measures which have the main goal of improving soil
202 physical structure and preventing excessive lateral transport or mineralisation of existing soil
203 C fractions. Whilst lateral transport of C reduces only local stocks by definition, improving
204 local soil C storage in this way may also provide increased availability of labile C fractions,
205 the mineralisation of which provides nutrients for plant growth (Chenu et al., 2018); as such,
206 these measures may also indirectly increase soil organic carbon inputs via increased primary
207 productivity.

208 **3.1.1. Prevent or control soil erosion**

209 ***Sequestration Pathways*** (Primary Productivity, Minimised Removal). The role of erosion is
210 an important uncertainty in the quantification of the global potential of soils to sequester C
211 (Doetterl et al., 2016). Agricultural activities have accelerated erosion processes; global SOC
212 erosion is estimated between 0.3 and 0.5 Gt C year⁻¹ (Chappell et al., 2015; Doetterl et al.,
213 2016). Erosion and deposition of SOC concentrates it in depositional sites, without directly
214 changing the net regional C balance, though alters the biological factors which drive the
215 mineralisation of SOC; this may result in a net overall change in stocks (Gregorich et al.,
216 1998; Luo et al., 2011; Lugato et al., 2018; Doetterl et al., 2016). However, the most tangible
217 SOC impact of erosion is through loss of primary productivity, reducing organic inputs
218 (Gregorich et al., 1998).

219 ***Private financial barriers and incentives (Capital, Maintenance; Yield, Inputs)***. Permanent
220 or semi-permanent measures are likely to require significant capital investment (Posthumus et
221 al., 2015) Non-permanent erosion control measures (e.g. contour cropping) may incur a time
222 cost or investment in specialist equipment (Freluh-Larsen et al., 2014). Yield improvements
223 are likely as soil retention improves (Dorren & Rey, 2004; Marques Da Silva & Alexandre,

224 2004), and this may also reduce costs associated with agrochemical and irrigation inputs
225 (Stevens et al., 2009).

226 ***Private non-financial barriers and incentives (Expertise; Resilience)***. Measures are likely to
227 require local expertise to select, design and implement (Freluh-Larsen et al., 2014).

228 Agroecosystem resilience to extreme weather is likely to improve as a result (Lal, 2003).

229 ***Environmental externalities (Nutrients)***. Nutrient losses from system to catchment are likely
230 to be reduced by erosion control measures, reducing water pollution (Chappell et al., 2015;
231 Doetterl et al., 2016).

232 ***Socio-economic externalities (Agroecosystem)***. Agroecosystems in lower catchment areas
233 may lose fertile sediments transported from upper landscape positions (Fiener et al., 2015).

234 **3.1.2. Optimise fire frequency and timing**

235 ***Sequestration pathways (Primary Productivity, Minimalised Mineralisation)***. In arid regions,
236 rangeland burning is used to control bush encroachment (Vågen et al., 2005; Lehmann et al.,
237 2006; Lorenz & Lal, 2014), to improve the quality of grazing land (Snyman, 2004) and to
238 increase plant species diversity (Furley et al., 2008). It is also used to manage heather on
239 upland temperate soils (Yallop et al., 2012). Burning of land increases C inputs to the soil via
240 char, unburned surface litter and un-combusted root matter (Knicker, 2007), while the heat
241 may precipitate thermal decomposition of SOC. Fire may also affect soil physical properties,
242 destabilising soil structure and increasing bulk density. Seasonal timing of burns is critical in
243 terms of the impact on SOC (Fynn et al., 2003; Hunt, 2014; Vågen et al., 2005), and response
244 is highly context-specific (Knicker, 2007; Hunt, 2014); optimisation may mean a) wildfire
245 control, b) increase or decrease in frequency of deliberate burns, or c) alteration to timing of
246 burn to reduce intensity.

247 ***Private financial barriers and incentives (Maintenance, Yield; Yield)***. Reduction in fire
248 frequency may increase costs such as control of bush encroachment (Lorenz & Lal, 2014),

249 which may reduce livestock grazing potential (Vågen et al., 2005). However, optimisation
250 may allow heavier grazing practices without damage to SOC stocks (McSherry & Ritchie,
251 2013).

252 ***Private non-financial barriers (Expertise, Risk, Behavioural, Policy).*** Availability of
253 expertise regarding optimal practice may challenge implementation. An additional barrier
254 may be land manager perception of risk (e.g. fear of yield or income losses), as well as
255 resistance to behavioural change. Existing regional and national policy may restrict land
256 manager control over burning regimes (Biggs & Potgieter, 1999).

257 ***Environmental externalities (GHG, Ecosystem).*** Changes to fire regimes will impact direct
258 CO₂ release (Hunt, 2014), as well as non-CO₂ climate forcers (e.g. black carbon) and air
259 pollutants. While the CO₂ is taken up as vegetation regrows, timescales vary from a few
260 years (e.g. in savannas) to 100s of years (e.g. peatlands) (Joosten, 2010). Ecosystem ecology
261 may be closely linked with fire frequency (e.g. Bond & Keeley, 2005), so restoration of
262 natural regimes may have positive ecological impacts. Changes to resulting air pollutant load
263 may also have ecological impacts (Bowman & Johnston, 2005).

264 ***Socio-economic externalities (Health).*** Uncontrolled fires present a danger to local
265 populations, and all burns cause pollutant emissions with associated human health impacts
266 (Bowman & Johnston, 2005).

267 **3.2.3. Practice reduced or zero tillage**

268 ***Sequestration pathways (Minimised Mineralisation).*** Reduced tillage and no-till systems
269 preserve aggregates which physically protect C from mineralisation (West & Post, 2002;
270 Merante et al., 2017). SCS response is context-specific; many studies (e.g. Paustian et al.,
271 2000; Six et al., 2004; van Kessel et al., 2013) show a positive effect, while others show a
272 negative or neutral response (Sisti et al., 2004; Álvaro-Fuentes et al., 2008; Christopher et al.,
273 2009). Soil texture is likely to influence strongly efficacy of this practice (Gaiser et al., 2009).

274 **Private financial barriers and incentives (Capital, Inputs; Yield; Maintenance, Inputs).**
275 Capital investment in new equipment may be necessary (Posthumus et al., 2015). Additional
276 pesticides, particularly herbicides, may be required to remove weeds, pests and previous
277 crops where no-till is adopted (Gaiser et al., 2008; Beehler et al., 2017; Maillard et al., 2018).
278 The measure has potential to increase crop yield, though losses are also possible, particularly
279 in wetter regions (Ogle et al., 2012; Pittelkow et al., 2015). No-till reduces fuel and time costs
280 associated with cultivation, germination success in dry soils may be enhanced, and irrigation
281 requirements may reduce (Schlegel et al., 2016; Pareja-Sánchez et al., 2017).

282 **Private non-financial barriers (Risk; Resilience).** This practice may, correctly or not, be
283 perceived as likely to induce yield loss (Grandy et al., 2006); agronomic challenges (e.g.
284 potential for weed and pest build up) may also impact perceptions. In contrast, bare fallow
285 reduction and increased aggregate stability will contribute erosion resilience (Marques Da
286 Silva & Alexandre, 2004; Pittelkow et al., 2015).

287 **Environmental externalities (GHG).** Reduced- or no-till uses less energy per unit area,
288 reducing GHG emissions from cultivation (Williams et al., 2010). In some circumstances
289 reduced tillage can be associated with increased N₂O emissions (Powlson et al., 2014).

290 **3.2. Grazing land management**

291 Measures collated under this management practice represent those which specifically apply to
292 land under direct livestock production. These measures therefore involve either directly
293 managing livestock, or managing the grass sward, such that C sequestration is optimised
294 under grazing. The net effect of these measures is to improve either overall primary
295 productivity or its retention in grassland soils.

296 **3.2.1. Optimise stocking density**

297 **Sequestration pathways (Primary Productivity, Minimised Mineralisation).** Optimised-
298 intensity grazing maximises primary productivity and proportionally increases below-ground

299 fractions (Wienhold et al., 2001; Reeder & Schuman, 2002; Garnett et al., 2017). Optimal
300 intensity is context-specific; some grazing may increase below-ground C, while overgrazing
301 results in mineralisation of existing SOC and decreases C returns; this response is metered by
302 factors including primary productivity, livestock type, soil texture, initial SOC content and
303 sward composition (Stockmann et al., 2013; McSherry & Ritchie, 2013; Lu et al., 2017; G.
304 Zhou, X. Zhou, He, et al., 2017; Abdalla et al., 2018). In particular, the growth form of the
305 dominant grass species types (C₃ vs. C₄) may impact the direction of grazing response.
306 Livestock manure deposition may also improve the transfer of OC to stable pools (McSherry
307 & Ritchie, 2013; Rutledge et al., 2017a, 2017b).

308 ***Private financial barriers and incentives (Yield, Maintenance; Yield)***. Optimal stocking
309 density should give high sustainable yield, though may incur short-term losses (McSherry &
310 Ritchie, 2013). If optimisation increases system complexity (e.g. rotational or mob grazing),
311 time costs may be incurred (Waters et al., 2017).

312 ***Private non-financial barriers (Expertise, Cultural; Resilience)***. Effective optimisation
313 requires local expertise. In cultures where livestock ownership contributes to perceived
314 wealth (e.g. sub-Saharan Africa), reduction may be difficult to incentivise (Oba et al., 2000).
315 However, implementation should benefit agroecosystem resilience to pests, erosion
316 processes, and weather events (Keim et al., 2015).

317 ***Environmental externalities (GHG, Ecosystem, Nutrients)***. Optimisation of stocking density
318 will impact availability and quality of forage, and hence impact CH₄ from enteric
319 fermentation, and GHGs and nutrient leaching from manure (Dong et al., 2006; de Klein et
320 al., 2006). Grazing pressure precipitates direct and indirect biodiversity impacts as a result of
321 changes to sward composition (Frank et al., 1995; Bruinenberg et al., 2002; Derner et al.,
322 2006).

323 ***Socio-economic externalities*** (Labour). A change in herd size or grazing extent may impact
324 system labour requirements (Dillon et al., 2005).

325 **3.2.2. Renovate unimproved pasture**

326 ***Sequestration pathways*** (Primary Productivity). Pasture renovation is typically undertaken to
327 improve the yield and nutritional quality of grazing (Frame & Laidlaw, 2011; Bruinenberg et
328 al., 2002). Soil C input is increased through higher primary productivity, though soil
329 disturbances and interruption of C inputs may result from removal of the old sward (Mudge
330 et al., 2011; Rutledge et al., 2017a, 2017b). Optimal implementation may include deep-
331 rooting grasses, such as *Brachiaria* spp., which have the potential to enhance SCS by
332 improving belowground inputs (Fisher et al., 1994; Amézquita et al., 2008; Costa et al., 2016;
333 Stahl et al., 2017). Increased sward biodiversity has also been shown to drive SOC
334 accumulation (Tilman et al., 1996; De Deyn et al., 2009; Mueller et al., 2013; Cong et al.,
335 2014; Rutledge et al., 2017a).

336 ***Private financial barriers and incentives*** (Maintenance, Capital, Inputs; Yield). Costs are
337 likely to stem from equipment, maintenance and input requirements (Bruinenberg et al.,
338 2002; Frame & Laidlaw, 2011). Increased stocking rates and feed conversion of grazing
339 animals are likely (Bruinenberg et al., 2002).

340 ***Private non-financial barriers*** (Behavioural, Infrastructure; Resilience). Required change
341 to habitual practices may present a behavioural barrier. For developing regions, access to the
342 requisite expertise, capital items and inputs may preclude implementation (e.g. Cardoso et al.,
343 2016). Optimal implementation may increase system resilience to climate change, disease
344 and pests (Barker, 1990; McSherry & Ritchie, 2013).

345 ***Environmental externalities*** (GHG, Ecosystem). Pasture renovation is likely to increase
346 agrochemical-related emissions, but reduce enteric CH₄ from livestock (de Klein et al., 2006;

347 Dong et al., 2006). Alterations to sward species composition will precipitate direct and
348 indirect biodiversity impacts (Meek et al., 2002; Bruinenberg et al., 2002).
349 ***Socio-economic externalities*** (Input demand). This measure will create local demand for
350 additional agricultural inputs and agrochemicals (e.g. Cardoso et al., 2016).

351 ***3.3. Improved rotation management***

352 Measures grouped under this practice category focus on improving the management of crop
353 rotations to either a) increase the retention of biomass by the cropping system, or b) integrate
354 additional biomass producers into the existing rotations. Both strategies tend to increase long-
355 term ground cover, with the ancillary effects of reducing soil disturbance and minimising
356 erosion.

357 **3.3.1. Extend the perennial phase of crop rotations**

358 ***Sequestration pathways*** (Primary Productivity, Minimised Mineralisation, Minimised
359 Removal). Diversification of arable cropping systems with perennial plants, such as grass
360 leys, serves to increase the quantity and continuity of below-ground residue returned to the
361 soil, and can support microbial activity and diversity (West & Post, 2002; Fu et al., 2017).
362 Mineralisation of existing stocks due to disturbance will also be reduced (Gentile et al., 2005;
363 Johnston et al., 2017; Prade et al., 2017). Other perennial crops introduced into arable
364 rotations may include woody (Heller et al., 2003; Don et al., 2012) or non-woody (Sainju et
365 al., 2017) biomass crops for bioenergy.

366 ***Private financial barriers and incentives (Yield)***. The majority of studies comparing to
367 arable-only rotations find a net reduction in arable production (Persson et al., 2008; Prade et
368 al., 2017; Johnston et al., 2017; Knight et al., 2019), though annual yield may increase long-
369 term.

370 ***Socio-economic externalities*** (Output supply). System establishment is likely to reduce
371 arable outputs, and increase those derived from the perennial crop (e.g. Prade et al., 2017;
372 Heller et al., 2003).

373 **3.3.2. Implement cover cropping**

374 ***Sequestration pathways*** (Additional Biomass, Minimised Removal). Cover crops are grown
375 primarily to maintain soil cover during winter fallow periods (Ruis & Blanco-Canqui, 2017),
376 and may serve to prevent N leaching (Cicek et al., 2015) or provide nutrition to the main crop
377 (Dabney et al., 2010; Alliaume et al., 2014); these functions can be combined, as in crucifer-
378 legume mix cover crops (Couëdel et al., 2018). Year-round soil cover serves to prevent
379 erosion (De Baets et al., 2011), decrease N leaching (Blombäck et al., 2003), and increase
380 main crop productivity (Lal, 2004). Poepflau & Don (2015) showed that cover cropping can
381 also minimise SOC loss between rotations; systems avoiding or reducing fallow have been
382 demonstrated to increase soil C stocks independently of other factors (Goglio et al., 2012;
383 Goglio, Smith, Grant, et al., 2018; Gentile et al., 2005).

384 ***Private financial barriers and incentives*** (**Inputs, Maintenance**; Yield; *Inputs*).

385 Establishment of this measure will induce additional input and time costs. Main yield effects
386 are context specific (Poepflau & Don, 2015). The cover crop may provide by-products (e.g.
387 green manure) to the main crop (Ruis & Blanco-Canqui, 2017), and use of some
388 agrochemicals may also reduce under some cover crop rotations (Snapp et al., 2005).

389 ***Private non-financial barriers*** (**Risk**; *Resilience*). Risk of yield loss or negative pest control
390 impacts may disincentivise implementation (Garcia et al., 2018). Soil erosion resistance
391 should improve with reduction of bare fallow (Van den Putte et al., 2010).

392 ***Environmental externalities*** (GHG, Ecosystem). Cover cropping is demonstrated to reduce
393 N₂O emissions (Pellerin et al., 2013; Eory et al., 2015). Pest control requirements are likely to

394 change, though this response is bidirectional with positive (Snapp et al., 2005) and negative
395 (Posthumus et al., 2015) elements.

396 ***Socio-economic externalities*** (Input demand). Establishment of the cover crop will require
397 inputs (Garcia et al., 2018), and may offset demand for agrochemicals required by the main
398 crop (Ruis & Blanco-Canqui, 2017).

399 ***3.4. Inorganic resource management***

400 These measures employ inorganic resources to modify soil properties, serving either to
401 improve nutrient availability to crops, increase primary productivity, or reduce the likelihood
402 of CO₂ release to the atmosphere via microbial mineralisation. Mineral carbonation stands
403 distinct from all other measures assessed in this study in that it provides a permanent soil-
404 based sink for mineralised organic C (Beerling et al., 2018).

405 **3.4.1. Optimise soil synthetic nutrient input**

406 ***Sequestration pathways*** (Primary Productivity). Stoichiometric limitations to SOC
407 accumulation are present in many agroecosystems (Kirkby et al., 2013; Van Groenigen et al.,
408 2017); optimum SCS requires N availability in addition to that required for optimal crop
409 production (Kirkby et al., 2014). Optimisation of nutrient (particularly N) input therefore has
410 potential to maximise yield and SOC accumulation in arable systems (Lu et al., 2009; Yang
411 et al., 2015; Jokubauskaite et al., 2016; Chaudhary et al., 2017). Most studies find that mixing
412 synthetic and organic amendments optimises SCS, and some (e.g. Su et al., 2006) report
413 negative SCS in the absence of organic fertiliser.

414 ***Private financial barriers and incentives (Inputs; Yield)***. Fertiliser costs will increase,
415 though yield will increase substantially in many regions (Mueller et al., 2012). At optimal
416 SCS, some nutrients remain sequestered in SOC compounds rather than plant matter (Kirkby
417 et al., 2014), resulting in a cost not compensated by yield increase.

418 ***Private non-financial barriers (Expertise, Behaviour, Infrastructure; Resilience)***. Land
419 manager expertise will be required, and reluctance to rely on purchased inputs may be a
420 disincentive (Cook & Ma, 2014). Fertiliser availability may present an infrastructure barrier
421 in developing nations. This measure should increase agroecosystem resilience (Shehzadi et
422 al., 2017; Goglio et al., 2012; Goglio et al., 2014).

423 ***Environmental externalities (GHG, Nutrients)***. GHG emissions associated with production
424 and application of synthetic fertiliser are likely to increase (Schlesinger, 2010; Goglio et al.,
425 2014; Goglio et al., 2012). This measure will alter nutrient flows within and beyond the
426 system (Kirkby et al., 2013).

427 ***Socio-economic externalities (Health, Input demand)***. Negative health impacts may result
428 from increased fertiliser use (e.g. Brainerd & Menon, 2014). The measure is also likely to
429 increase local demand for agrochemical inputs (Mueller et al., 2012).

430 **3.4.2. Practice mineral carbonation of soil**

431 ***Sequestration pathways (Minimised Mineralisation)***. Following microbial mineralisation, a
432 proportion of organic carbon in soils becomes fixed as pedogenic carbonates (Cerling, 1984).
433 Amendment of soils with weatherable calcium sources, such as calcium-bearing silicate
434 rocks, and the consequent formation of calcium carbonates provides a permanent sink for
435 mineralised organic C (Manning et al., 2013; Beerling et al., 2018).

436 ***Private financial barriers and incentives (Inputs, Maintenance; Inputs, Yield)***. Purchase of
437 material comminuted to maximise GGR is required, and application may incur time costs
438 (Renforth, 2012). Rigorous determinations of yield benefits of crushed basaltic rocks are few
439 (Beerling et al., 2018) but recent studies show some successes (e.g. Tavares et al., 2018).

440 ***Private non-financial barriers (Risk, Expertise, Infrastructure)***. Risk of yield non-
441 response or health impacts may disincentivise uptake (Pidgeon & Spence, 2017). Lack of a
442 broad research base may present a knowledge barrier (Beerling et al., 2018). Global

443 application depends on the ability to source calcium-bearing silicate rocks and to deliver
444 these in appropriate form to farms for application.
445 **Environmental externalities** (GHG, Nutrients, *Ecosystem*). Mining, grinding and spreading
446 of rock may have negative ecological impacts on affected areas, and may lead to GHG
447 emissions related to energy use; if sourced as a byproduct, impacts are minimised, though
448 production would have to increase ten-fold to reach GGR scenarios suggested by Beerling et
449 al. (2018). If fertiliser use is reduced as a result of crushed rock application, net GHG
450 emissions may be reduced. Losses of CaCO₃ to the system catchment are likely; these may
451 ultimately act to increase ocean alkalinity and stimulate growth of calcareous organisms
452 (Beerling et al., 2018).

453 **Socio-economic externalities** (**Health**, Input demand, Labour). Implementation of this
454 measure is likely to increase demand for crushed rock and may reduce fertiliser demand
455 (Beerling et al., 2018). Quarrying and processing of these rocks is widespread, with
456 associated human health impacts (e.g. dust inhalation) mostly well understood. System labour
457 demands may be altered by implementation of this measure.

458 **3.4.3. Manage soil pH**

459 **Sequestration pathways** (Primary Productivity, Minimised Mineralisation). Optimising soil
460 pH generally consists of reducing soil acidity through application of alkaline calcium or
461 magnesium carbonates or oxides, known as lime, or reducing sodicity via gypsum
462 applications (Hamilton et al., 2007). Calcium carbonate rich soils provide free calcium, which
463 binds with OM to form complex aggregates, providing physical protection from microbial
464 decomposition (Tu et al., 2018). Optimal pH improves soil nutrient availability, increasing
465 primary productivity and OM input to soil (Ahmad et al., 2013; Holland et al., 2019).
466 However, liming also increases C and N mineralisation (Paradelo et al., 2015; Chenu et al.,

467 2018), accelerating losses as well as increasing inputs, and making net SCS response context-
468 specific.

469 ***Private financial barriers and incentives (Inputs, Maintenance; Yield, Inputs)***. Lime or
470 gypsum must be purchased to implement. Yield improvements may offset this, though
471 upfront cash cost may be prohibitive in developing nations (Mitchell et al., 2003), and
472 application will incur time costs. Optimisation of this measure may reduce requirements for
473 other agrochemical inputs (Fornara et al., 2011).

474 ***Private non-financial barriers (Expertise, Behavioural)***. Expertise is required to optimise
475 application. Resistance to becoming reliant on externally priced inputs disincentivise uptake
476 (Mitchell et al., 2003).

477 ***Environmental externalities (GHG, Nutrients, Ecosystem)***. Lime application releases CO₂
478 (de Klein et al., 2006), but microbial communities also respond by increasing the N₂/N₂O
479 ratio during denitrification, potentially reducing N₂O emissions (Goulding, 2016). Extraction,
480 transportation and application of lime will affect nutrient flows and energy-related CO₂
481 emissions. If demand for lime increases, increased extraction rates may cause ecological
482 impacts at extraction sites (Salomons, 1995).

483 ***Socio-economic externalities (Input demand, Labour)***. Increased application rates will create
484 local demand. Smaller-scale extraction (e.g. Mitchell et al., 2003) may involve in-system
485 processing, which will alter labour requirements.

486 ***3.5. Organic resource management***

487 These measures transfer existing organic carbon to the soil pool. This in itself is soil C
488 storage (Chenu et al., 2018), but where this transfer to the soil C pool (vs. other uses)
489 increases long-term C removal from the atmosphere, it represents net sequestration. Organic
490 amendments may also improve crop primary productivity via increased nutrient availability

491 and labile C fractions; this represents a secondary pathway by which this measure can
492 influence net atmospheric C removal.

493 **3.5.1. Optimise use of organic amendments**

494 ***Sequestration pathways*** (Additional Carbon, Primary Productivity, Minimised Removal).

495 Optimal application of organic fertilisers has potential to contribute to soil carbon storage in
496 croplands and grasslands (Yang et al., 2015; Y. Wang et al., 2015; Jokubauskaite et al., 2016;
497 Chaudhary et al., 2017; Shahid et al., 2017). Organic manure is commonly applied and
498 effective, though green manures are also important (X. Wang et al., 2015). Both improve
499 agroecosystem productivity through returning organic C to the soil in addition to other
500 nutrients, improving soil structure and water retention, and reducing erodibility (Brady &
501 Weil, 2002; Shehzadi et al., 2017). The alternative fate of the organic material used is
502 important; net sequestration will occur only where a) the organic amendments are produced
503 by or for, rather than repurposed to, the agroecosystem, or b) where the C in existing
504 amendments would otherwise be more rapidly lost to the atmosphere, such as through
505 burning (e.g. Sandars et al., 2003). The latter may also be possible to achieve via
506 reapportionment of resources to land with lower C stocks; organic material tends to be
507 applied on grazing land (Sainju et al., 2008; Chaudhary et al., 2017), which typically has a
508 higher C equilibrium than croplands (IPCC, 2006).

509 ***Private financial barriers and incentives (Maintenance, By-products. Capital; Yield,***
510 ***Inputs)***. Organic fertiliser application has labour and time costs in comparison to equivalent
511 synthetic fertiliser (Yang et al., 2015), and costs may result if amendments are normally sold
512 or otherwise utilised (e.g. Williams et al., 2016). Optimisation should increase yields, or may
513 offset requirements for more expensive inputs (e.g. synthetic NPK). Increased soil quality
514 may reduce other costs (e.g. irrigation, agrochemical inputs) (Shehzadi et al., 2017).

515 **Private non-financial barriers (Expertise, Infrastructure; Resilience).** Land manager
516 expertise is required to optimise application rates. Transport of organic amendments requires
517 an effective and low-cost transport network, which may be a barrier in developing nations.
518 Increased soil aggregative stability will improve agroecosystem resilience to erosion and
519 extreme weather (Shehzadi et al., 2017).

520 **Environmental externalities** (GHG, Nutrients). Manure may be burned for fuel or electricity;
521 reappportioning risks ‘leakage’ if higher emitting processes fill this demand (Williams et al.,
522 2016). Emissions from manure storage and application may change (Saggar, 2010; de Klein
523 et al., 2006), and emissions from synthetic fertiliser production may be indirectly impacted.
524 Nutrient flows to and from the system are likely to be altered (Shehzadi et al., 2017).

525 **Socio-economic externalities** (Health, Agroecosystem, Input demand, Output supply). Use of
526 manure on human-edible crops, and transfer of manure between systems, has associated
527 human and animal health implications (Amoah et al., 2005; Liu et al., 2013). Local supply
528 and demand for organic and synthetic fertilisers will be affected.

529 **3.5.2. Retain crop residues**

530 **Sequestration pathways** (Minimised Removal) Removal of crop residues for use as animal
531 feed, bedding, fuel, industrial feedstock and building material is common; removal of this
532 organic carbon stock results in a loss of SOC (Smith et al., 2012; Ruis & Blanco-Canqui,
533 2017). Retention of residues is therefore likely to induce positive changes in SOC (X. Wang
534 et al., 2015) and crop yield (Hu et al., 2016). Residue incorporation is associated with
535 increased N₂O and CH₄ emissions (Koga & Tajima, 2011; de Klein et al., 2006; Hu et al.,
536 2016) but overall GHG emissions can be reduced by use of appropriate tillage (Ball et al.,
537 2014; Tellez-Rio et al., 2017).

538 **Private financial barriers and incentives (By-products, Capital, Maintenance; Inputs).**

539 Residues will be rendered unavailable for other uses by this measure. Capital investment in

540 new equipment, and a time cost may be necessary to process or reincorporate residues
541 (Garcia et al., 2018). Fertiliser costs may be partially offset by nutrients from retained
542 residues (e.g. Prade et al., 2017).

543 ***Private non-financial barriers (Behaviour, Resilience)***. Given many alternative uses for
544 residues, overcoming habitual behaviour may be a significant barrier to implementation. Pest
545 and disease control is impacted by residue management, and returning crop residues may
546 negatively impact agroecosystem resilience (Bailey & Lazarovits, 2003).

547 ***Environmental externalities*** (GHG, Ecosystem). Incorporation of residues may incur direct
548 N₂O and CH₄ emissions (de Klein et al., 2006), though may offset emissions from fertiliser.
549 There is also potential for emissions ‘leakage’ if re-allocation precludes residue availability
550 for other GHG-offsetting activities (e.g. biofuel production) (Kim & Dale, 2004).

551 Biodiversity of the microbial community is likely to be improved by residue retention
552 (Govaerts et al., 2007; Turmel et al., 2015).

553 ***Socio-economic externalities*** (Input demand, Output supply). Demand for substitute
554 materials to fulfil foregone applications (e.g. fuels, livestock feeds), or reduction the supply
555 of residues for off-system uses, is likely.

556 **3.5.3. Apply biochar**

557 ***Sequestration pathways*** (Additional Carbon, Primary Productivity). Biochar is pyrogenic
558 organic matter produced by a high-temperature, low-oxygen conversion of biomass. Biochar
559 contributes to SCS owing to its high C content and high recalcitrance (Lehmann, 2007). In
560 principal, this offers an unlimited sink for C in soil, as well as more permanent changes in
561 other soil properties. General positive effects on primary productivity (Jeffery et al., 2017)
562 may be attributed to increased soil pH, and nutrient and moisture availability. A small
563 proportion of C in biochar is much less stable than the rest, and the addition of labile C can
564 induce a ‘priming’ effect where microbial biomass is increased over the short term

565 (Kuzyakov et al., 2000; Kuzyakov, 2010). This effect is highly context-specific (Zimmerman
566 et al., 2011; van der Wal & de Boer, 2017; Kuzyakov et al., 2000; Kuzyakov, 2010), with
567 reported examples of positive (Wardle et al., 2008), neutral (Novak et al., 2010), and negative
568 (Weng et al., 2017) priming effects on soil C stocks. Regardless of short-term impact, long-
569 term SOC impact of biochar amendment is positive (Maestrini et al., 2015; Liu et al., 2016;
570 Wang et al., 2016; Zhou et al., 2017; H. Zhou et al., 2017).

571 ***Private financial barriers and incentives (By-products, Inputs, Maintenance; Yield,***
572 ***Inputs).*** Biochar must be purchased or produced, with variable cost depending on source
573 material, labour and processing. Agricultural by-products (e.g. residues) may be utilised
574 (Jones et al., 2012), though this precludes their sale or use elsewhere. Positive impacts on pH,
575 passive buffering, soil water, soil microbial community and soil nutrient dynamics give
576 potential for yield improvements (Xu & Chan, 2012; Joseph et al., 2013; Qian et al., 2014),
577 and integration of biochar into existing agricultural inputs may improve efficiency of nutrient
578 delivery (Xu & Chan, 2012).

579 ***Private non-financial barriers (Risk, Policy, Expertise, Behaviour, Infrastructure;***
580 ***Resilience).*** Barriers to uptake may include resistance to increased system complexity,
581 perceived risk of non-response and reluctance to rely on purchased inputs; supply chain
582 infrastructure may also present a challenge (Lehmann et al., 2006; Meyer et al., 2011). The
583 regulatory position regarding the use of biochar may take time to resolve. By contrast,
584 biochar amended soil is likely to have greater aggregate stability and erosion resilience
585 (Liang et al., 2014).

586 ***Environmental externalities (GHG, Albedo, Nutrients).*** Except for wet feedstock, the energy
587 required for biochar production can be recovered from the gases produced in pyrolysis
588 (Lehmann, 2007). Application generally decreases N₂O emissions (He et al., 2017;
589 Schirrmann et al., 2017), and CH₄ emissions in the case of flooded rice (Song et al., 2016).

590 Application of biochar can darken its soil, with the resultant reduction in albedo reducing the
591 net GHG mitigation benefit by up to 22% (Meyer et al., 2012).

592 ***Socio-economic externalities*** (Input demand, Labour). Demand for biochar or raw materials
593 will be created, and system labour requirements may change, particularly if biochar is
594 produced on-site.

595 *3.6. Soil water management*

596 **3.6.1. Optimise irrigation**

597 ***Sequestration pathways*** (Primary Productivity, Minimised Mineralisation). Optimal

598 irrigation can improve SCS in water-scarce systems by increasing primary productivity and
599 OM input to the soil (Oladele & Braimoh, 2013; Guo et al., 2017); increased SOC improves
600 soil water holding and plant water use efficiency (Shehzadi et al., 2017), feeding back into
601 the efficacy of irrigation practices, and optimal management of soil moisture may also serve
602 to inhibit microbial decomposition of SOC (Guo et al., 2017). Over-irrigation may reduce
603 SOC stocks through reduced plant investment in root systems, or increased microbial
604 mineralisation from frequent wetting-drying cycles (Mudge et al., 2017).

605 ***Private financial barriers and incentives (Capital, Maintenance; Yield)***. Costs are likely to
606 stem from investment in equipment, construction and system maintenance (e.g. Zhang et al.,
607 2018). These range from on-farm costs to collective structures such as dams, reservoirs, or
608 even a national grey water network (Haruvy, 1997). Water abstraction may be a direct cost.
609 Crop yield and quality is likely to increase (Mudge et al., 2017; Zhang et al., 2018).

610 ***Private non-financial barriers (Expertise, Behavioural)***. Expertise is required to implement
611 and optimise the system, and the required increase in complexity and maintenance may
612 disincentivise uptake.

613 **Environmental externalities** (GHG, Nutrients). Irrigation may trigger denitrification and
614 N₂O emissions from soils (Snyder et al., 2009; Saggar, 2010), can exacerbate phosphate
615 runoff and nitrate leaching, and may alter nutrient flows in the agroecosystem.

616 **Socio-economic externalities** (Input demand, Health). Where irrigation results in increased
617 water demand, conflict may result between agriculture and direct human or industrial needs,
618 given the finite supply of water resources (Vörösmarty et al., 2000).

619 *3.7. Woody biomass integration*

620 **3.7.1. Implement agroforestry systems**

621 **Sequestration pathways** (Additional Biomass). Agroforestry refers to the practice of growing
622 trees in crop or livestock systems; it encompasses several implementations and can be applied
623 to intercropped systems (e.g. alley cropping), fallow management, wind or shelter belts, and
624 grazing (Nair et al., 2010). For each, the resulting woody biomass inputs represent a key
625 route to SCS (Lorenz & Lal, 2014); in addition to C sequestration in aboveground tree
626 biomass, with ongoing transfer to the soil C pool, tree roots improve the quality and quantity
627 of belowground C inputs, and recover nutrients and moisture from lower soil horizons
628 (Lorenz & Lal, 2014). Overall agroecosystem primary productivity is likely to increase
629 (Burgess & Rosati, 2018).

630 **Private financial barriers and incentives** (Capital, Inputs, Maintenance; Yield; By-
631 *products*). Capital investment is required to implement, together with ongoing input and
632 maintenance costs (Burgess et al., 2003). Additional time costs may be associated with
633 maintenance or harvesting (Lasco et al., 2014). Optimal implementation may increase
634 primary crop or livestock production, though often yields are reduced owing to light and
635 water competition (Lorenz & Lal, 2014; Burgess & Rosati, 2018). Timber, leaves and fruits
636 may be harvested from trees for use or sale (Eichhorn et al., 2006; Palma et al., 2017).

637 **Private non-financial barriers (Risk, Behavioural; Resilience)**. Perceived risk of yield loss
638 or other negative impacts on the production system may represent a behavioural barrier, and
639 the long-term timescale may also engender reluctance to commit (Mbow et al., 2014).

640 Agroforestry systems typically induce a microclimate effect, improving the climate change
641 adaptability of vulnerable agroecosystems (Mbow et al., 2014; Lasco et al., 2014), as well as
642 improving resilience to pests, diseases, erosion, and heat stress (Lasco et al., 2014), though
643 may contribute to increased bushfire incidence or severity (Lorenz & Lal, 2014).

644 **Environmental externalities (Ecosystem)**. Agroforestry should induce ecosystem benefits,
645 including biodiversity, habitat connectivity and water quality (Jose, 2009).

646 **Socio-economic externalities (Input demand, Output supply)**. Establishment and
647 maintenance of agroforestry systems may qualitatively change system input demands, and
648 supply of outputs from the system may change qualitatively as a result of agroforestry
649 byproducts (e.g. fruits, wood) (Lasco et al., 2014).

650 **4. Modelling to operationalise SCS**

651 The practices identified and described in this paper are heterogeneous between different
652 regions, climates and production systems in terms of their technical and socio-economic
653 viability. Facilitation of SCS in agricultural soils is not, therefore, the identification of
654 universally applicable measures, but the development of methodologies which can be used to
655 identify appropriate measures in different environments and production systems. This section
656 discusses how extant methodologies may be applied to identify measures for different
657 production systems, regions and climates.

658 Assessing a measure's direct impact on the agroecosystem requires the consideration of
659 possible effects on soil biochemistry, plant growth and the loss of C and key nutrients. The
660 range of models suitable for this purpose can be considered to form a continuum of

661 complexity, bounded, on one edge, by simpler models built on empirical relationships and, on
662 the other, by process-based models seeking to describe the underlying mechanisms in detail.
663 In general, an empirical model connects the system's main drivers (e.g. climate, soil
664 conditions) to its outputs (e.g. soil CO₂ fluxes) using fewer intermediate nodes (e.g.
665 biochemical sub-processes) than a more process-based model. This spectrum is not a
666 dichotomy; empirical models are, usually, less data demanding than process models, and due
667 to the fact that our knowledge on certain soil processes remains limited, many process models
668 also depend on empirical sub-models to some extent (Butterbach-Bahl et al., 2013; Brill et
669 al., 2017). Here, we review of how the SCS practices, measures and pathways defined in this
670 assessment may be characterised in existing biogeochemical models, considering the range of
671 the described complexity spectrum.

672 Crop residue retention is one of the most frequently examined SCS measures in relevant
673 model-based studies (Turmel et al., 2015). Any portion of the crop biomass can be left on the
674 field as residue after harvest, with a fraction of that C eventually entering the soil system.
675 While the complexity of a model's soil C architecture can vary greatly, a typical model
676 includes a number of discrete C pools each with a specific C decomposition potential, from
677 inert to very labile. How residues-based C is allocated to the different pools varies depending
678 on the model's level of descriptive detail with crop-specific allocation rules, and residues C:N
679 ratio and lignin content being the three most commonly used approaches (Liang et al., 2017;
680 Thevenot et al., 2010). The description of C turnover in each model pool can be controlled by
681 factors such as soil moisture, temperature and the size of the soil's microbial pool (if
682 considered) (Wu & Mcgechan, 1998; Smith et al., 2010; Taghizadeh-Toosi et al., 2014). If
683 the model is able to describe N cycling processes then each pool's C:N ratio is also used in C
684 turnover-related process. Finally, a model might be also able to consider the impact of
685 residues cover on soil temperature and moisture under no till conditions.

686 Tillage regimes are also frequently modelled as SCS measures. Of particular interest this
687 respect is the way a model describes the discretisation of the soil profile. Simple models may
688 treat the modelled soil as a uniform volume or discretise it into very few layers (e.g. a top and
689 a deeper layer). Detailed and process-oriented models tend to use more layers (Taghizadeh-
690 Toosi et al., 2016). More detailed models will be able to consider how the vertical movement
691 of C, nutrients and water is modelled. With this structure, the simplest approach in modelling
692 tillage effects is to use a tillage factor and directly adjust how much C is lost after each tillage
693 event (Andales et al., 2000; Chatskikh et al., 2009). Depending on the model's soil C pool
694 architecture this factor can be used to adjust either the total soil CO₂ or its constituents (i.e.
695 decomposition and maintenance CO₂) (Fiedler et al., 2015). The more process oriented
696 approach, on the other hand, is to consider the effect of tillage to the physical (i.e. bulk
697 density) and chemical (i.e. C:N due to residues incorporation) properties of the soil layers that
698 tillage disturbs directly (Leite et al., 2004). This readjustment of BD and soil-pool CN ratios
699 has consequences on all other aspects of the soil's C dynamics (e.g. decomposition, microbial
700 activity etc).

701 The modelling of soil erosion has a relatively long history, with more recent links to soil C
702 (Laflen & Flanagan, 2013). While water, tillage and wind are major drivers of soil erosion,
703 most existing erosion models are essentially models of water erosion with tillage and wind
704 effects underexamined (Doetterl et al., 2016). The universal soil loss equation (USLE) and its
705 revised version (RUSLE) are widely used empirical erosion models. These models use
706 empirical factors to consider (1) the soil's rainfall-induced erodibility; (2) the influence of
707 crop cover and management; and (3) the role of slope (Panagos et al., 2014). Recent studies
708 have attempted to couple USLE/RUSLE to simpler and more process-oriented soil-C models
709 in order to describe erosion-caused losses of soil C (Wilken et al., 2017). Modelling is
710 complicated by a) the episodic nature of erosion processes (Fiener et al., 2015), b) feedback

711 loops between SOC, stability of soil aggregates, and soil erodibility (Ruis & Blanco-Canqui,
712 2017), and c) small-scale heterogeneity of erosion processes (Panagos et al., 2016).

713 In contrast to soil erosion, the modelling of agroforestry systems has a rather limited history.
714 The fundamental modelling approach, especially in studies at larger spatial scales, is to
715 attribute certain fractions of the simulated area to crops or grass and trees and model each
716 ecosystem element independently. This approach does not consider the possible impacts that
717 tree-crop interactions may have (Luedeling et al., 2016), and some process-oriented models
718 can address this by simulating the impacts of trees on the agroecosystem microclimate (e.g.
719 solar interception, wind speed) (Smethurst et al., 2017).

720 The modelling of nutrient and water management in agroecosystems depends on the ability of
721 a model to consider the role of nutrients and water on soil C decomposition processes (Zhang
722 et al., 2015; Li et al., 2016). As mentioned, soil C modelling is often based on adjusting soil
723 C decomposition rates according to the soil's N content, its temperature and its moisture
724 level. More detailed models can consider the role of soil O₂ levels, cation exchange capacity
725 and pH and use them, directly or indirectly, to define the amount and type of soil organisms.

726 Crop rotations modelling is, generally, straightforward. Nevertheless, the robustness of
727 modelling rotations depends on the ability of the model to discriminate between crops in
728 terms of their biomass potential, the partitioning of growing biomass and their nutrient and
729 water demands (Zhang et al., 2015; Li et al., 2016). In this context, it is good knowledge on
730 sow/harvest dates, crop varieties, and fertilisation and irrigation-related parameters (e.g.
731 amount, time) that will determine how realistically crop rotations and their impacts on soil C
732 are modelled.

733 The modelling of grasslands and their management has similarities with that of crop rotations
734 in part because of dependence on difficult-to-obtain input data (e.g. animal type, grass variety

735 or mixture) (Li et al., 2015; Sándor et al., 2016). The simplest way to describe the impacts of
736 animal stocks on soil C is based on adjusting the amount of grass (and thus aboveground C
737 and nutrients) that is removed from the ecosystem via grazing depending on animal type and
738 size (Irving, 2015). However, the movement of grazed biomass-C and N through the animal
739 and to the soil's surface is itself a complex part of the grazed grassland ecosystem. Livestock
740 presence also affects soil texture and compaction (Li et al., 2011). N fixation by sward
741 legumes is another grass-based GGR technique, with N fixation modelling based on the
742 assumptions that a) fixation is activated if plant N demand is not met, b) N fixation
743 capabilities are related to the growing grass variety, and c) that the amount of N fixed is
744 proportional to the size of the plant's root system (Gopalakrishnan et al., 2012; Chen et al.,
745 2016).

746 Whether fires are natural or human-caused, spatial context is key for fire modelling.
747 Empirical models a simplistic concept of 'fire probability'; a function of available
748 combustible plant material, fire season length, soil moisture and extinction moisture (Hantson
749 et al., 2016). Process-based models are also based on this concept but may parameterise the
750 spread and intensity of fire in more detail (Thonicke et al., 2010). The description of the
751 impacts of fire on vegetation varies between models but it is typically estimated on the basis
752 of fuel availability (i.e. plant biomass), plant specific mortality and regeneration. In this
753 context, the modelling approach is, in essence, empirical but process models can go into
754 some detail by considering the role of bark thickness, tree diameter and resprouting (Kelley et
755 al., 2014).

756 While biochar application is a promising SCS measure, lack of experimental data means few
757 models can simulate it effectively (Sohi, 2012; Tan et al., 2017). The empirical modelling
758 approach treats biochar as a quantity of C made up by different fractions, each with a specific

759 degree of decomposability. The biggest part of biochar C is considered as being protected
760 against further decomposition while the rest can be more or less exposed to decomposition
761 (Woolf et al., 2010). The more process-based description is based on the same principles but
762 considers the impacts of biochar to the soil's physical (i.e. bulk density, water retention) and
763 chemical (i.e. CEC, N retention) properties (Archontoulis et al., 2016). These
764 physicochemical properties are, in turn, influencing the turnover of the soil's different C
765 pools.

766 For all measures, their implementation in global agroecosystems is likely to modify both land
767 management practices and system outputs. Life Cycle Assessment (LCA) is a standardised
768 methodology (ISO 14044-2006; ISO 14040-2006) for estimation of environmental
769 consequences resulting from system modification (Goedkoop et al., 2009; CML, 2015;
770 Goglio, Smith, Worth, et al., 2018). However, there is no standardised procedure for the
771 assessment of SCS in LCA; aside from coupling with the biophysical approaches described,
772 LCA analyses may also consider the consequences of SCS on local, regional and global
773 markets; given the holistic nature of many SCS practices, implementation may cause
774 variation in system outputs (Schmidt, 2008; Dalgaard et al., 2008). A consequential LCA
775 achieves this by considering the marginal actors affected by a market change (Ekvall &
776 Weidema, 2004; Schmidt, 2008) and the potential consequences of a particular production
777 system influencing the world market (Anex & Lifset, 2014; Plevin et al., 2014). This complex
778 approach requires the identification of marginal data (e.g. competitive energy and material
779 suppliers), whose availability determines the level of uncertainty of the assessment (Ekvall &
780 Weidema, 2004).

781 The main elements of the biophysical modelling processes reviewed here, as they relate to the
782 specific measures defined in this assessment, are summarised in Table 2. Table 2 also

783 summarises the key impacts of each measure likely to be influential in LCA assessments of
784 their implementation in global agroecosystems.

Table 2. Summary of key biophysical modelling elements and LCA considerations for the defined SCS measures assessed. These elements are generalisations based on the literature review in sections 3–4.

Practice	Measure	Key elements for biophysical agroecosystem models	Key elements for LCA ¹
Soil structure management	Prevent or control soil erosion	Fate of eroded soil C Impact of erosion on primary productivity Impact of control measures on erosion	Agricultural production impacts Environmental impact(s) of physical erosion control structures and/or erosion control practices
	Optimise fire frequency and timing	Impact of fire on agroecosystem productivity Impact of fire on mineralisation of soil C stocks	Agricultural production impacts CO ₂ released from burn Non-CO ₂ climate forcers released from burn
	Practice reduced or zero tillage	Impact of soil structure/aggregation on mineralisation of soil C stocks Impact of tillage regime on primary productivity	Agricultural production impacts Change in energy usage for tillage practice Environmental impact(s) of required capital items
Grazing land management	Optimise stocking density	Impact of grazing density on agroecosystem biomass retention Physical impact of livestock on soil structure Impact of soil structure on microbial mineralisation	Agricultural production impacts Impact of stocking density on livestock direct emissions
	Renovate unimproved pasture	Impact of new sward on agroecosystem primary productivity and N fixation Impact of renovation on soil C stocks	Agricultural production impacts Impact of sward change on livestock direct emissions Environmental impact(s) of sward renovation inputs and agrochemicals
Improved rotation management	Extend perennial phase of crop rotations	Impact of perennial rotation phase on soil C inputs, losses and N fixation Impact of annual phase on soil C inputs, losses and N fixation	Agricultural production impacts Change in input/agrochemical usage for new rotation Change in energy requirements for cultivation
	Implement cover cropping	Impact of cover crop on soil C inputs Impact of cover crop on mineralisation of soil C stocks	Agricultural production impacts Environmental impact(s) of energy, input and agrochemical usage changes resulting from cover crop
Inorganic resource management	Optimise soil synthetic nutrient input	Impact of nutrient availability on crop primary productivity Impact of increased primary productivity/nutrients on mineralisation of C stocks	Agricultural production impacts Energy usage for application Environmental impact(s) of synthetic production, processing and transport
	Practice mineral carbonation of soil	Reaction rate of applied calcium source Agroecosystem primary productivity impact of application	Agricultural production impacts Energy usage from application Environmental impact(s) of product extraction, processing and transport
	Manage soil pH	Impact of application on primary productivity Impact of application on soil structure/aggregation Impact of application on microbial activity/mineralisation of C stocks	Agricultural production impacts Energy usage from application Environmental impact(s) of product extraction, processing and transport
Organic resource management	Optimise use of organic amendments	Impact of application on primary productivity Impact of application on soil structure/aggregation Impact of application on microbial mineralisation of C stocks Net difference between use in system vs. other possible uses	Agricultural production impacts Environmental impact(s) of change in fate of organic material Environmental impact(s) of transport Energy usage for application
	Retain crop residues	Impact of retention on primary productivity Impact of retention on microbial mineralisation of C stocks Net difference between use in system vs. other possible uses	Agricultural production impacts Environmental impact(s) of change in fate of organic material Energy use for incorporation
	Apply biochar	Net C transfer in biochar production Decomposition rate of biochar Impact of biochar on microbial mineralisation of existing stocks Impact of biochar on primary productivity	Agricultural production impacts Energy usage/production and environmental impact(s) from biochar production, transport and application Environmental impact(s) of change in fate of organic material
Soil water management	Optimise irrigation	Impact of soil water content on primary productivity Impact of soil water content on microbial mineralisation of C stocks	Agricultural production impacts Environmental impact(s) of required capital items Direct water usage and environmental impact(s) of abstraction
Woody biomass integration	Implement agroforestry systems	Impact of woody biomass on below-ground C Sequestration of C in woody biomass Impact of tree-understorey interactions on understorey productivity	Agricultural production impacts, including tree-based byproducts Environmental/energy use impacts of agroforestry system implementation, maintenance and harvesting

¹In addition to direct, land-based GHG fluxes (CO₂, N₂O, CH₄) presumed quantified by biophysical agroecosystem models.

788 **5. Policy relevance and conclusion**

789 The potential of SCS in offsetting emissions and supporting food security is now recognised
790 in global policy initiatives such as the 4 per mille international research program (Minasny et
791 al., 2017). This assessment has identified a range of SCS practices which can be considered
792 to be an effective route to GGR in global agricultural soils, and to critically assess the
793 biophysical, economic and social impacts of these measures and their implementation in
794 global systems. Whilst not unique in this respect (e.g. Chenu et al., 2018), in providing a
795 framework for the application of existing knowledge and methodologies to the challenge of
796 local- and regional-scale SCS implementation, this assessment represents a novel approach in
797 facilitating SCS. Recognition, incentives or credits for these practices require robust
798 monitoring, reporting and verification procedures, and defining a standardised framework for
799 the assessment of these measures is a useful step towards implementation of such a system.

800 Calls for the agricultural economy to reflect ecosystem services provided by soil are
801 numerous (e.g. Panagos et al., 2016; Lal, 2016; Thamo & Pannell, 2016), and in practice
802 amount to rewarding farmers for implementation of SCS practices, whether through direct
803 subsidy (i.e. payments for public goods) or through the development of private offset markets
804 (Kroeger & Casey, 2007). The former is already happening and includes the Australian
805 Government's Carbon Farming Initiative (Bispo et al., 2017). In the European Union, there
806 are ongoing discussions about how SCS can be included in payments related to the Common
807 Agricultural Policy, though problems in terms of monitoring compliance and evaluation must
808 be addressed. The same problems hinder the development of carbon credit markets or other
809 potential payment methods, which are currently more piecemeal, and require an
810 understanding of the technical, economic and social viability of SCS practices. In following
811 the approach taken in this assessment, we have defined a framework which can be used to

812 structure extant knowledge and approaches in fulfilling these requirements. Particularly, a
813 distinction emerged in the process of this assessment between a) measures which represent
814 the implementation of a management action specifically for the purpose of inducing SCS in
815 the agroecosystem, and b) those which represent the optimisation of elements of the
816 agricultural system which are either common practice (e.g. synthetic or organic nutrient
817 regimes) or an inherent part of the agroecosystem (e.g. stocking density). This latter group
818 are less well-represented in the literature by comparison, and are challenging to discuss, in
819 that they can be defined only against the system in which they are to be implemented, and
820 hence require detailed understanding of the management practices and biophysical processes
821 in that system. The modelling approaches reviewed (section 4), coupled with good quality
822 local or regional baseline data, will be necessary to actually define these measures in such a
823 way that they may be implemented in agricultural systems.

824 Another important distinction which emerges exists between measures which primarily
825 facilitate C storage, as opposed to those which directly induce sequestration (defined as in
826 Chenu et al., 2018). Measures falling under Organic Resource Management (3.5) can be
827 categorised in the former way, and are highly dependent on assumptions made about the
828 alternative fate of the source material, and its comparative residence time in the soil C pool.
829 The availability of this material also places limits on the maximum SCS which can be
830 achieved via this measure, as well as challenges relating to supply and demand (e.g.
831 Schlesinger & Amundson, 2019). All these measures induce externalities relating to inputs
832 and outputs from the agricultural system, the market effect of which is challenging to predict
833 (Plevin et al., 2014).

834 Optimism relating to SCS for GGR is high (Minasny et al., 2017) and the surrounding
835 literature is developing at a fast pace (Minx et al., 2017). In identifying a gap between global-

836 scale assessments (e.g. Smith, 2016) and measure-based or region-specific analyses, this
837 paper brings together a novel combination of discrete SCS measures with a thorough,
838 literature-based framework for the alignment of extant knowledge and methods, and the
839 objective and quantitative assessment of SCS in global agricultural systems. This is a crucial
840 step in translating existing science into policy able to incentivise farmers to implement SCS
841 measures (Lal, 2016; Bispo et al., 2017; Smith, 2016).

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846 **7. Acronyms used**

847 Note: acronyms used in Table 1 are defined in the footnote(s) to Table 1.
848

AR	Afforestation/reforestation
BAU	Business-as-usual [scenario]
BECCS	Bioenergy with carbon capture and storage
DAC	Direct air capture
EW	Enhanced weathering
GGR	Greenhouse gas removal
GGRT	Greenhouse gas removal technology
GHG	Greenhouse gas
IAM	Integrated assessment model
IPCC	Intergovernmental Panel on Climate Change
LCA	Life cycle assessment
MRV	Monitoring, reporting, and verification
NPK	Nitrogen, phosphorus, potassium [fertiliser]
OM	Organic matter
SCS	Soil carbon sequestration
SDG	Sustainable Development Goals
SOC	Soil organic carbon

849

850 8. References

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