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## **Capturing the views of geoscientists on data sharing: A focus on the Geotechnical Community**

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# Capturing the views of geoscientists on data sharing: A focus on the Geotechnical Community

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## ABSTRACT

The sharing of Ground Investigation (GI) data within the United Kingdom (UK) is commonly practiced only in large infrastructure projects. A vast amount of GI data collected on routine projects is commonly not made publicly available which is arguably inefficient and potentially unsustainable. This paper captures the opinions of the geoscience community and the GI industry on data sharing to better understand current working practices and potential barriers to data sharing. The results of a survey carried out at the *Janet Watson Meeting 2018: A data Explosion: The Impact of Big Data in Geoscience* held at the Geological Society of London are reported. This survey is compared with the results of interviews undertaken during the Dig to Share project, a collaborative project led by Atkins, British Geological Survey (BGS) and Morgan Sindall. The opinions and practices of geoscientists towards data sharing across a project life cycle are reviewed. Drivers of risk relating to geotechnical aspects of a project are directly linked to current data sharing practice.

## 1. INTRODUCTION

The sharing of data and the use of digital tools are becoming ever more important aspects of delivery and management of large civil engineering projects in the United Kingdom (UK). Existing frameworks for collection and storage of ground investigation (GI) data (e.g., [AGS 2004](#); [BSI 2014](#); [AGS 2017](#)) can aid the process of providing geological and geotechnical data within the project team, allowing better decision-making, and making projects faster and more economical.

In a typical civil engineering project, a conceptual engineering geological model is created to anticipate what might be encountered onsite based on geological inferences ([Fookes 1997](#); [Parry et al. 2014](#); [Norbury 2020](#)). This is progressed to a preliminary observational model, one that is made from observations from available boreholes at a site ([Parry et al. 2014](#)). This preliminary work forms part of the Phase I - Desk Study (DS) ([BSI 2020](#)) and requires access to relevant historical data held in

27 databases to help identify the potential presence of *technical* risks (e.g., Clayton 2001; McMahon 1985).

28 A ground model includes engineering parameters, and a geotechnical model is built from a

29 mathematical or physical analysis (Parry et al. 2014). Preliminary information, which would inform a

30 preliminary observational model, may propagate through the subsequent design, construction, and

31 service phases of a project in the form of geotechnical risks that will require identification and

32 management. The DS is, therefore, widely recognised to be the most cost-effective part of this risk

33 identification process (Figure 1) (Fookes 1997; Chapman, 2008; Griffiths 2014).

34 The quality of data collection is required to be consistent with the Geotechnical Category and specific

35 to the requirements of the project. BSI (2020) requires a ‘risk register’ to be completed at the earliest

36 point in a project to summarise the likely foreseen sources of unfavourable conditions associated with

37 the subsurface. Geotechnical risks within a project can be described in terms of ‘Technical’ or

38 ‘Contractual’ risks (Baynes 2010). The technical risks are those that are the result of geotechnical

39 uncertainties, such as ‘The risk of encountering an unknown geological condition’; ‘The risk of using

40 the wrong geotechnical criteria’ and ‘The risk of bias and/or variation in the design parameters being

41 greater than estimated’ (McMahon 1985). Geotechnical engineers usually manage these uncertainties

42 and their associated risks using factors of safety and engineering judgement (often using limit state

43 design or partial factoring approaches) (e.g., Simpson et al. 1981; Bolton 1981; Vardanega & Bolton

44 2016). Risk is also managed by development of documents such as the code of practice for ground

45 investigations, BS 5930:2015+A1:2020 (BSI 2020) formally CP2001:1957, and BS EN 1997-2:2007

46 (Section 2) (BSI 2010) describing the careful planning required for collection of geotechnical data, or

47 other specific documents such as Highways England (HE) document CD 622 (HE 2020) (superseding

48 documents HD 22/08, BD 10/97 and HA 120/08).

49 This paper provides a brief overview of the progression of geotechnical data (and, therefore, the

50 associated uncertainties and risks) through the early project phases in a typical UK construction context.

51 The aim is to explore the current attitudes towards, and behaviour of, GI data storage and sharing of

52 those working in the industry. The methodology and results of two studies (a semi-quantitative survey

53 and a set of semi-structured qualitative interviews) are compared to understand: (i) to what extent does

54 data sharing occur in practice? (ii) is open data useful? and (iii) does data sharing help to improve risk

55 management? By tracking the path of GI data, along with the workflow of geotechnical engineers and  
56 geoscience professionals, the potentially significant role that data sharing could have in identifying  
57 geotechnical risks and potential for improvement of the risk reduction process is discussed.

## 58 **2. REVIEW OF CURRENT UK GEOTECHNICAL DATA MANAGEMENT & WORKFLOW**

59 Consideration of a centralised database for GI data came about as early as the 1980s. Organisations  
60 were urged to release the internal information they held at the time (Wood et al. 1982), and research  
61 became focused on the development of a suitable database structure relevant for descriptions of soils  
62 and rocks (e.g., Toll & Oliver 1995). The British Geological Survey (BGS) began collecting borehole  
63 records in the 19<sup>th</sup> century and this is maintained by the UK National Geoscience Data Centre (NGDC)  
64 (NGDC 2020). Data from ground investigations in the UK are often transferred within a project in a  
65 data transfer format file (AGS 2017) developed by the Association of Geotechnical Specialists (AGS)  
66 during the early 1990's and extended in various version to date (AGS version 4.1) (AGS 2020). The  
67 BGS provides, along with 'pdf copies' of groundwater wells and ground investigation boreholes, a web  
68 portal for upload and download of AGS files.

69 There is often a lack of distinction between geotechnical data and geotechnical information (Chandler  
70 et al. 2012). Geological *data* describes the factual data from a ground investigation whilst *information*  
71 describes the interpreted geological layers, 2D and 3D relationships (which includes pdf copies of  
72 borehole logs) (Chandler et al. 2012). In BS 8574:2014 (BSI 2014) the former distinction is termed  
73 'logical data'. Similarly, in the United States, a data transfer format known as the Data Interchange for  
74 Geotechnical and Geoenvironmental Specialists (DIGGS) (DIGGS 2020), has been developed, but its  
75 adoption in practice has faced challenges. For instance, a study by the Ohio Department of Transport  
76 Office found that data is typically re-input multiple times by those performing ground investigation  
77 projects; the workflow for consultants typically involves manually re-typing data to produce pdf copies  
78 of information-based deliverables for reports (Deaton 2018).

79 Typical projects in the UK have a similar workflow as described in Deaton (2018) (Figure 2) and  
80 inefficiencies are caused by the way that data is handled during a project. The individuals closest to the  
81 original GI data at the start of the chain, i.e. new ground investigation data is produced at initiation of a

82 project by the GI contractor, supply data down the chain to those that use the information to inform  
83 design decisions (see suggested groups of individuals in Figure 2). Where data is supplied as ‘pdf  
84 copies’, which is not logical data, the resulting work processes can cause significant delay in delivery  
85 of GI information. Geotechnical aspects can be submitted to Building Information Models (BIMs), yet  
86 these are commonly not reviewed, and the flow of information is often one way (Chandler et al. 2012).  
87 The data and information commonly do not reach a data repository, so cannot go towards benefitting  
88 another project team, researchers, or other organisations for improvement to UK geotechnical  
89 engineering practice.

90 Building Information Modelling (BIM) (e.g., BSI 2019) government mandates have driven the need  
91 for collective development of 3-dimensional models into the wider project environment, which has  
92 affected geotechnical aspects (Chandler et al. 2012), and has driven the need to share data to build  
93 reliable ground models. An emphasis on 3D modelling also features in the BS 5930 amendment (BSI  
94 2020; Norbury 2020). UK Government initiatives have led to the development of the BIM Industry  
95 Working Group to improve project delivery and operational performance (BIM Industry Working  
96 Group 2011; HMG 2013). In the Highways and Rail sectors in the UK, where there is a greater need to  
97 build detailed ground models (e.g., Mooney 2020), to achieve this requirement, organisations maintain  
98 databases of engineering related information acquired during infrastructure projects, i.e. the Highways  
99 Agency Geotechnical Data Management System (HA GDMS). This is a project-based system including  
100 scanned analogue (paper) reports and AGS files. Similarly, the United Kingdom Oil and Gas Authority  
101 (OGA), a government authority created to promote innovation in UK oil exploration, describes the need  
102 for stewardship of a National Data Repository of well and seismic information, in part due to new  
103 requirements of the UK Energy Act 2016. The geospatial commission was formed in April 2018 by the  
104 UK Government to co-ordinate driving of value from geospatial data (HMG 2019) in the context of  
105 construction and technology. This industry is looking to technologies including GIS, use of ‘Big data’  
106 and BIM to promote innovation in geographical settings (AGI 2015).

107 In the construction industry greater efficiencies are seen in large infrastructure projects such as HS1  
108 and HS2 (Smale 2017), Cross rail’s Farringdon Station (Aldiss et al. 2012; Gakis et al. 2016) and  
109 modelling of the London Basin (Mathers et al. 2014) where data is shared within a project. These

110 focused data sharing initiatives in UK infrastructure projects/sectors are presenting an interesting  
111 opportunity for the geotechnical community, as it is not currently understood to what degree an increase  
112 in data sharing would help the industry reduce project risk and impact overall productivity.

### 113 3. SURVEY METHODOLOGY

114 To investigate current attitudes to and practices of geoscience data-sharing a survey of 11 multiple-  
115 choice questions were presented to attendees of the *Janet Watson Meeting 2018: A data Explosion: The*  
116 *Impact of Big Data in Geoscience* held between 27<sup>th</sup> February to 1<sup>st</sup> March 2018 at the Geological  
117 Society of London, UK. A total 54 individuals responded to the questionnaire, of whom 44.4%  
118 represented the oil and gas industry, 26% research, 12.9% exploration, 7.4% construction industry,  
119 3.7% remote sensing, 3.7% mining or mineral extractive industries and 1.8% data science (Q1). The  
120 purpose was to provide perspective of these individuals attitude towards 'open data' and so understand  
121 if the GI industry could improve their current workflow, by understanding the opinions of those working  
122 closer to data science concepts within the geoscience community. The questions were designed to first  
123 establish whether the individuals use open datasets, followed by an understanding of preferred data  
124 storage types, participation in release of data to open environments, how they use open data in their  
125 work and attitude to risk of using it.

126 To build on this initial research a comparison of the perspectives and practices of this wider geoscience  
127 community was made with those of a focussed population of construction industry professionals. A  
128 series of semi-structured interviews were held by the behavioural research initiative Dig to Share  
129 (2018a) a joint project between Atkins, Morgan Sindall, Fluxx and the BGS. This project produced a  
130 document which detailed interesting quotes from interviews of 23 people from the engineering sector  
131 (Dig to Share 2018b). Participants included individuals representing Utilities Providers, Ground  
132 Investigation/drilling Contractors, Multi-disciplinary Consultancies, Principal Contractors and Public-  
133 Sector Bodies. The interviews were designed with a particular focus on how these individuals interact  
134 with the existing BGS database. Participants were encouraged to discuss the following topics:

- 135 • value articulation: what is the perception of the value of the BGS database those dealing with  
136 ground investigation data on a day-to-day basis (additionally those who do not)?

- 137 • time and resources: is uploading data to the BGS database considered a commercially viable  
138 activity?
- 139 • complexity of parties involved in civil engineering projects: what are the problems around  
140 multiple stages of data sharing within a project?
- 141 • data ownership: should owners of the data be obliged to give over their data to open datasets?
- 142 • data availability and format: is the current data held in the BGS database at a high enough  
143 quality, quantity, accuracy to be useful? and
- 144 • technology: how can the functionality of the BGS database be improved, including the systems  
145 used by its contributors i.e. methods of data capture and manipulation tools?

146 This research collates the quotes from the Dig to Share document ([Dig to Share 2018b](#)) according to  
147 the participants stage on a typical project life cycle. The Janet Watson results are provided as a record  
148 of the number of answers for each multiple-choice question. The insight from both populations, the  
149 engineering sector, and from a wider geoscience context are reviewed.

## 150 **4. RESEARCH INSIGHTS**

### 151 ***4.1 Janet Watson Results***

152 Figure 3 and Figure 4 provide the results of the Janet Watson meeting survey. The number of  
153 participants selecting each multiple-choice answer is provided. A total 48 out of 54 participants (88.8%)  
154 confirmed the use of open datasets in their work (Q2). The most common open resources selected from  
155 the choices shown included Ocean drilling/seismic based resources and both British and Non-UK  
156 Geological Survey data (Figure 3a). Internal/company held databases were the fourth most used  
157 resources. For the majority, open data is either extrapolated or used to support newly acquired data to  
158 make decisions (Figure 4a) and within sectors that are naturally more reliant on using shared data, such  
159 as Remote Sensing, Exploration and Oil and Gas, the use of open data as a sole informant for decisions  
160 appears to be an accepted approach. This acceptance of use of open data, is mirrored in the perception  
161 of risk, 73.1% of participants perceive a low, to moderately low attitude to risk (when risk is described  
162 as a scale proportioned across four categories i.e. low, moderately low, moderately high and high risk),  
163 58% are from these sectors (Figure 4d). The small representation that are from roles which are either



164 solely data science, or a mixture of data science and some other geoscience sectors, also held a lower  
165 perception of risk. 50% of participants felt comfortable asking a client for permission to make data  
166 collected during a project open source. The other 50% was made up of 25.9% who were not comfortable  
167 and 24.1% who were in a position where this was not applicable to them (Figure 3c).

168 Participants were asked their perceived level of sharing of geoscience data in their own work. The  
169 results indicated that participation to data sharing is low, in Figure 3d, 75% of survey participants  
170 believed less than 50% of data from their work is made available as open source. Two aspects which  
171 appear from results to be jointly contributing to low data sharing are the allocation of resources and  
172 time. The need to incentivise and prevent data loss is perceived to be of less importance. Also, it can be  
173 inferred that there is a general appreciation of financial advantages and benefits realised by the majority  
174 i.e. the lowest answered categories shown in Figure 3e. It is also evident that many geoscience  
175 professionals are still not prioritising data sharing activities in their workflow (Figure 4b). This question  
176 also identified that collaboration between data scientists and geoscientists is currently low. The  
177 participants were asked to provide an indication of who is most responsible for driving the release of  
178 data to open environments. A total 44% of the Janet Watson population agree that the drive is required  
179 from the Government (Figure 4c).

#### 180 ***4.2 Dig to Share Analysis***

181 The Dig to Share results compiled from document '*148 interesting things*' (Dig to Share 2018b) have  
182 been summarised into Figure 5 describing the key discussion themes drawn for comparison and  
183 arranged according to stage on a project life cycle. Allocations R-1 to R-6 have been used to show the  
184 groups of individuals (as identified in Figure 2) discussing each topic. The Dig to Share results indicate  
185 that generally, the BGS database is considered a valuable resource; a utilities provider using the words  
186 'vital' or 'incredibly useful', whilst Multi-disciplinary consultants described advantage being gained to  
187 preliminary scoping and effective targeting of borehole logs, enabling preliminary work to be advised  
188 to designers. Interestingly those in roles closest to the data's original procurement (groups R-1 and R-  
189 2) are expressing most concern for the evaluation of uncertainties and, therefore, risk. From a GI  
190 Contractor at the beginning of the chain, there is a concern for the current quality of records held in the  
191 BGS database due to the lack of sharing of newly acquired data. This group also challenged the quality

192 of meta-data due to improvement of testing methods or procedures, suggesting archived information  
193 needs to be checked, and describing difficulty in terms of resolution and quality when making 3D  
194 models. This group also indicated that open data will not be able to replace the need to complete a site-  
195 specific GI.

196 Those usually mid-way in the supply chain, the Multi-disciplinary consultants (R-2) provide discussion  
197 across all key themes. Concerns are regarding the processes surrounding quality control, i.e. the risk of  
198 accepting data that has an unknown audit process. Also describing a need to verify acquired data. This  
199 group noted the importance of ensuring reliability of data, and many discuss the liabilities associated  
200 with sharing data.

201 The differences in opinions across the supply chain represents an unbalanced view. Those at the  
202 infrastructure end consider the BGS open dataset a cost saving asset (Utilities provider), yet those earlier  
203 in the supply chain, see withholding data is a commercial advantage (Multi-disciplinary). One  
204 interviewee expresses a frustration of knowing data has been acquired during a nearby project, but they  
205 are unable to obtain it. Several participants of the Dig to Share interviews from Multi-disciplinary  
206 consultancy indicate that the act of sharing data is often forgotten, with no opportunity to bill for time.  
207 Various comments revolved around the cost burden that the additional time and work to share data  
208 would ensue, realising the impracticality of digitising data that remains in paper format or in archives  
209 (Infrastructure provider).

210 When discussing how communication through the supply chain is currently working, interviewees  
211 described the motivations and priorities of those that are the owners of the data. Several participants  
212 indicate that often the client or those working in the chain of GI to construction are unable to understand  
213 the value of the data that they may hold or own. To counteract this, others would prefer permission to  
214 become a common addition to contracts, enabling prior permission to be granted. Interestingly these  
215 comments are coming from the intermediary owners and users of the data, specifically the roles, R-2,  
216 R-3, and R-4.

217 When looking for future innovation to data sharing, those working on smaller scale developments  
218 indicate that planning authorities could help drive the open data agenda. Interviewees appear to be  
219 looking for solutions from existing work systems which have enabled other innovations in digital

220 storage of data, i.e. BIM. Evidence of some public sector project requirements are described to already  
221 be written into contracts, for instance the Highways England contracts. Other interviewees indicate that  
222 those closest to the data, who are acquiring it, would be most suitable to deliver it to the BGS database.  
223 It is evident that existing use of technologies is currently low. Discussions include the preference to  
224 retain the system of capture of data in hand-written form onsite. Innovations in digital capture have  
225 been opposed by those who had experience testing these methods, due to concerns for possibility of  
226 missing the quality assurance associated with re-typing of logs by the geological or geotechnical  
227 engineer. Where an AGS digital data transfer format file is available the data is still chosen to be passed  
228 on as pdf copies.

## 229 **5. DISCUSSION POINTS AND RECOMMENDATIONS**

230 Figure 6 summarises the drivers of risk evidenced from the opinion studies. At project level disparity  
231 of meta-data resolution, low time and resources, perception of risk, participation and communication  
232 are identified. The wider geoscience community is affected by the lack of release of data beyond a  
233 project, which is inhibiting research opportunities and curation of learning outcomes sourced from  
234 industry experiences. This is further driving individual project risk, due to lack of innovation in research  
235 into codes of practices, natural variability and correlation of engineering parameters, which rely on data  
236 availability.

237 The drivers at project level are realised to be from ‘human’ sources, originating from current practices  
238 and understanding. It is clear from both survey populations that there is an appreciation of the benefits  
239 of data sharing but sharing participation is low. From those that find value in ‘Big Data’ principles and  
240 are working in roles that are dealing with finding solutions for our data needs in geosciences, the  
241 practical aspects of data sharing are the same, but the outlook of individuals are different. Each driver  
242 is considered separately in the following sections.

### 243 ***5.1 Time and Resources***

244 The uploading of content and data sharing is not seen as commercially viable by the majority in the GI  
245 industry and it is not fully appreciated that the short-term efforts could outweigh that of the longer-term.  
246 Government strategies are already in place to drive reduction in construction costs and promote faster

247 delivery (HMG 2013). Government data platforms are also detailing geographic information such as  
248 Lidar data, UK Air quality and the Department for Environment and Rural Affairs (DEFRA) which  
249 have been opened for use. A lot of the benefits are being realised in open data frameworks, developed  
250 to enable smart cities (mruk n.d.) and have government support (Capgemini Consulting 2013). It seems  
251 many want to benefit from the impacts of accessing more data but are still not allocating time and  
252 resources from within their own working systems.

253 The profession still encounters issues relating to the ground, which could have been identified prior to  
254 intrusive investigation and the scope or amount of geotechnical investigation is linked to the likelihood  
255 of under or overdesign (Jaksa et al. 2005). Whyte (1995) reviewed project expenditure on 58 UK  
256 highways projects reporting GI cost was 0.45 % of total out-turn cost. Where costs increased above  
257 tender cost, around 54% of the cost was due to geotechnical origins (Whyte 1995). Chapman (2008)  
258 reviews typical project expenditure from a developer's point of view and finds that the cost of a desk  
259 study (assumed £5K) and ground investigation (assumed £40K), typically constitutes 0.19% of the  
260 building cost, or 0.34% of the structure cost while ground risks are responsible for causing 20% of  
261 projects significant delay (where one month of delay is estimated to cost £100M). The continued  
262 pressure to deliver site investigations under low budgets may still be promoting competition between  
263 consultants and contractors.

264 Child et al. (2014) describe that the 'data journey' requires an update, which is more than just a digital  
265 version of the traditional paper process, where data can be accessed at all stages of a project  
266 development. Where a system for data sharing is initialised at the start of a project, this could help  
267 manage this aspect more efficiently.

## 268 ***5.2 Opportunities for dealing with risk***

269 Risk was a common narrative discussed by those in the geotechnical sector and concerns are still held  
270 regarding liability. The multi-disciplinary consultants are often 'keepers' of the data and perhaps are  
271 most informed of its quality, understanding the difficulties that are held in communication of the data's  
272 aspects of uncertainty. Evidence from this study indicates that for other geoscience sectors who rely on  
273 shared data the use of open datasets as a sole source of information is accepted, and these individuals  
274 generally perceive a lower attitude to risk. However, the limit of liability is a complex issue in the

275 construction sector, and one that needs to be better understood by all parties (e.g., [AGS 2018](#)). Problems  
276 of liability and risk are being addressed in other disciplines by using the concept of a ‘data trust’, as is  
277 described by the Open Data Institute (ODI) ([ODI 2019](#)). This may hold substantial benefits to those in  
278 construction. Additionally, new ways of writing contracts and project management approaches to  
279 attaining ground investigation data could easily be adopted (e.g., [NGDC 2020](#), specifically ‘Data  
280 sharing agreements’). To establish these new working methods the following points could be  
281 considered.

282 (1) Methods which allow an ‘Agile’ approach to management, which involve close collaboration with  
283 stakeholders of a project, so that unforeseen circumstances or anomalies found in the ground can be  
284 communicated and reviewed whilst the GI Contractor is still onsite so that risks are better understood  
285 ([Geach & Grice 2020](#)).

286 (2) The alignment of geotechnical outcomes to the principles of BIM with the progression of standard  
287 references to Levels of Detail and Levels of Information and/or procedures to enable quality control.

288 (3) Targeted efforts by supporting Learned Societies to increase understanding and dialogue between  
289 legal representatives and geotechnical professionals, such as accreditation and CPD training courses.

### 290 ***5.3 Collaboration and education***

291 An outcome of this research is that that the owners of GI data and those in the supply chain must be  
292 better informed of technological advances in other sectors regarding data sharing and be aware of the  
293 existing frameworks that are currently not being effectively applied. In the GI sector, use of the *Code*  
294 *of Practice for the Management of Geotechnical data* ([BSI 2014](#)), and more recent modifications to  
295 AGS4 ([AGS 2017](#); [Child et al. 2014](#); [AGS 2020](#)), which include tables for the exchange of laboratory  
296 schedules within the format, could be more widely adopted. [Griffiths \(2014\)](#) describes a need for  
297 engineering geologists to broaden their skills base to tackle future societal challenges and it is clear that  
298 new technology needs to be further embraced by the community.

299 In the data science sector, many data management tools are already available which enable ‘Big Data’  
300 application ([Yaqoob et al. 2016](#)). The use of ‘Big Data’ solutions in the engineering geoscience industry  
301 is reported to have increased, yet the limiting factor of effective uptake has similarly been described as  
302 human rather than technological ([Dabson & Fitzgerald 2018](#)). The current amounts of data in the GI

303 industry are perhaps not as large as in other sectors, however, concepts such as PropBase (Kingdon et  
304 al. 2016), which can successfully combine data from differing formats could hold future benefits for  
305 integration of other geoscience datasets i.e. geophysical data, alongside the geotechnical properties held  
306 within an AGS data transfer format file. Other geoscience areas are also struggling to make the most of  
307 efficiency gains of technology. In the Petroleum and Petrochemical industry, the uptake of ‘Big Data’  
308 is differing between upstream and downstream operations (Hassani & Silva 2018). In communities also  
309 dealing with geospatial data, it is understood that there is known financial and sustainability reward  
310 from sharing geographic information and this is actively encouraged but is also managing the same  
311 problems the GI industry is facing (e.g., AGI 2015; ODI 2018).

312 Consideration for data science collaboration is an important outcome of the Janet Watson meeting. The  
313 use of data science concepts and the enhanced methodologies for data analysis this can provide (i.e use  
314 of open source tools such as Python), should be given as much relevance as any other discipline taught  
315 to a geotechnical engineer. Interestingly, this is not discussed or considered an important competency  
316 in a lot of work seeking effective future development of engineering geology practice (i.e. Turner &  
317 Rengers 2010; Griffiths 2014).

318 The sharing and efficient storage of newly acquired data must form part of the workflow and be an  
319 integral part of the continued development of site investigation practice. Clear changes to existing  
320 practice are required, including:

- 321 a) Use of existing data science technology for management and querying of GI data (i.e. web-based  
322 systems, integration of analytical tools such as Python, R languages for visualisation and  
323 statistical analysis, using SQL or open-source data processing tools (i.e. Hadoop)) should be  
324 embraced by the industry. The AGS data transfer format should be the principle approach to  
325 sharing GI data within these developed systems.
- 326 b) Data sharing responsibilities should be nominated in contracts.
- 327 c) Increased employment from data science roles to introduce technological efficiencies in  
328 workflow systems, reducing pressures on time and resources.

329 ***5.4 Lack of communication and, therefore, feedback loop in the Geotechnical Community***

330 The lack of movement to pro-active behaviours has been described previously about geotechnical  
331 engineering, describing some ground investigation practices as ‘business open as usual’ (Knill 2003).  
332 A large issue with the current workflow discussed in this research is communication. This is not only  
333 through lack of understanding by management or the design team (Bridges 2019), it is a lack of  
334 ownership or responsibility for data sharing described throughout the supply chain. The owners of the  
335 data are generally considered to be the ultimate client or developer of a particular asset. However, this  
336 is not clear in all projects and there is a need to specify data stewardship into contracts. The BGS is in  
337 the process of releasing donated site investigations and borehole logs which have been previously held  
338 as confidential, where the data has been held by them for over 4 years. This is in response to The  
339 Freedom of Information Act and Environmental Information Regulations (EIR) which requires BGS to  
340 revisit all previous confidentiality agreements and notifying donors (BGS 2020).

341 The Janet Watson results suggest that lack of sharing is not driven through reluctance to speak to owners  
342 of the data. The Dig to Share participants indicate that approaching the client is low on the list of  
343 priorities, teams can be large over a scheme and individuals cannot bill time to it. In response to these  
344 findings, the Dig to Share project developed the following initiatives which could improve upon  
345 communication, including:

- 346 a) Increase discussion in the value of geotechnical data with Clients who can benefit from data  
347 sharing principles.
- 348 b) Promote the role of Super Users (individuals within a company for instance) whose role is to  
349 drive an increase of data sharing from within a company.
- 350 c) Develop potential methods of incentivisation in the release and return of data for access to third  
351 parties in the national annex of borehole records (BGS database).

352 Janet Watson meeting participants identified both themselves and the government to be relevant drivers  
353 of change. Many participants from the GI industry believe that the changes are required from  
354 enforcement from government sources to drive the change in data sharing. There is already significant  
355 evidence of a government drive to the better management of geospatial data (HMG 2019). This is  
356 reflecting significant governmental investment, coupled with data mandates through principles of BIM.

357 Although there are clear benefits of sharing data it is evident that the geoscience community is still  
358 struggling to achieve this.

## 359 **6. SUMMARY AND CONCLUSIONS**

360 This paper draws together observations and views on the context of sharing data across the broader  
361 geoscience industry and more specifically the disciplines of ground investigation and geotechnical  
362 engineering. The questionnaire and interviews have provided an evidence base for current practices  
363 and some of the barriers to GI data sharing; and brought greater insight into an issue that has perhaps  
364 been recognised within the GI industry for many years. The main conclusions are: (i) data sharing is  
365 not an active part of the current workflow, and the current working practice for curation of data from  
366 past UK-based civil engineering projects is causing inefficiencies; (ii) in cases where data sharing is  
367 occurring it is providing useful and relevant information for preliminary phases of projects; and (iii) the  
368 lack of data-sharing is driving ground related uncertainties. Current working practices could be  
369 improved by actions such as increasing awareness of those in non-technical roles in the construction  
370 supply chain, allocating time and resources to data sharing, promoting data science in geoscience  
371 education, and increasing collaborations between data science professionals.

372 Data has historically been important for geotechnical engineering design processes and practices (e.g.,  
373 [Kulhawy & Mayne 1990](#); [Phoon & Kulhway 1999](#)) so it might be expected that the lack of data-sharing  
374 is hindering innovation. Research relies heavily on what has been published in the literature, by  
375 producing new data or through specific collaboration efforts which require funding (e.g., [Vardanega et](#)  
376 [al. 2020](#)). This is especially important given that ground-related uncertainties are still causing  
377 significant time and financial risks to projects. Concerning the management of geotechnical risks, it is  
378 proposed that data sharing not only hold potential for technical improvements and help inform project  
379 level management decisions, but additionally aid other projects and the continued research into  
380 geotechnical uncertainties in engineering design. The findings indicate that data sharing is not yet  
381 happening widely enough in the UK and two of the main barriers seem to be the current attitudes and  
382 working practices. The use of BGS as a central independent organisation to curate UK GI data is



383 working. This suggests that continued collection and management needs to be fuelled both from  
384 company and government levels.

385

## 386 DATA AVAILABILITY STATEMENT

387 The numerical data related to the survey at the Janet Watson meeting are presented in the paper and  
388 anonymity is preserved. Data from the Dig to Share research can be sourced from [Dig to Share \(2018b\)](#).

389

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396

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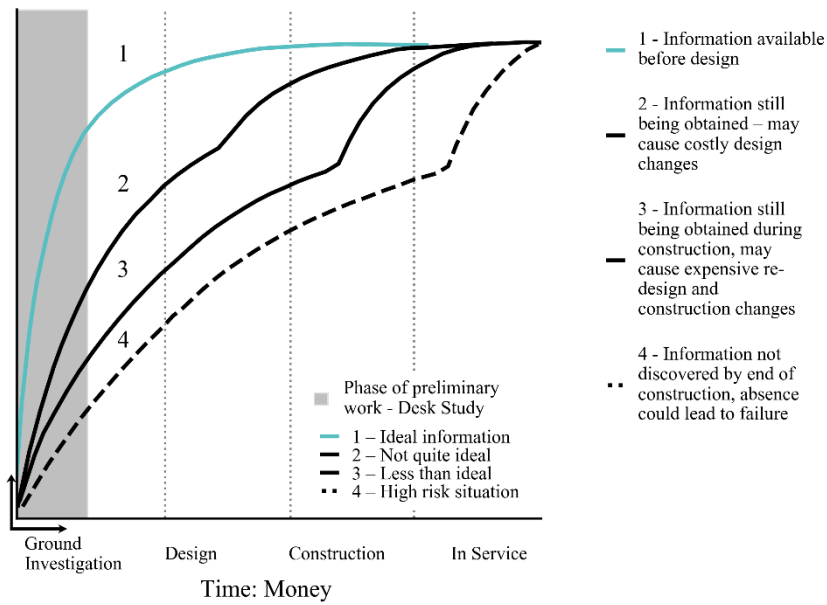
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Information for as complete as possible  
geotechnical understanding of an engineering site



What constitutes ideal information for the geotechnical engineer at preliminary stages of a project

This data is sourced from 'open' data repositories i.e. BGS database, Environment Agency, Coal Authority

Figure 1. Modified from Fookes (1997) schematic. Relationship between time and money spent on a civil engineering project and the influence of information gathering to enable a complete geotechnical understanding of a project. © Geological Society of London

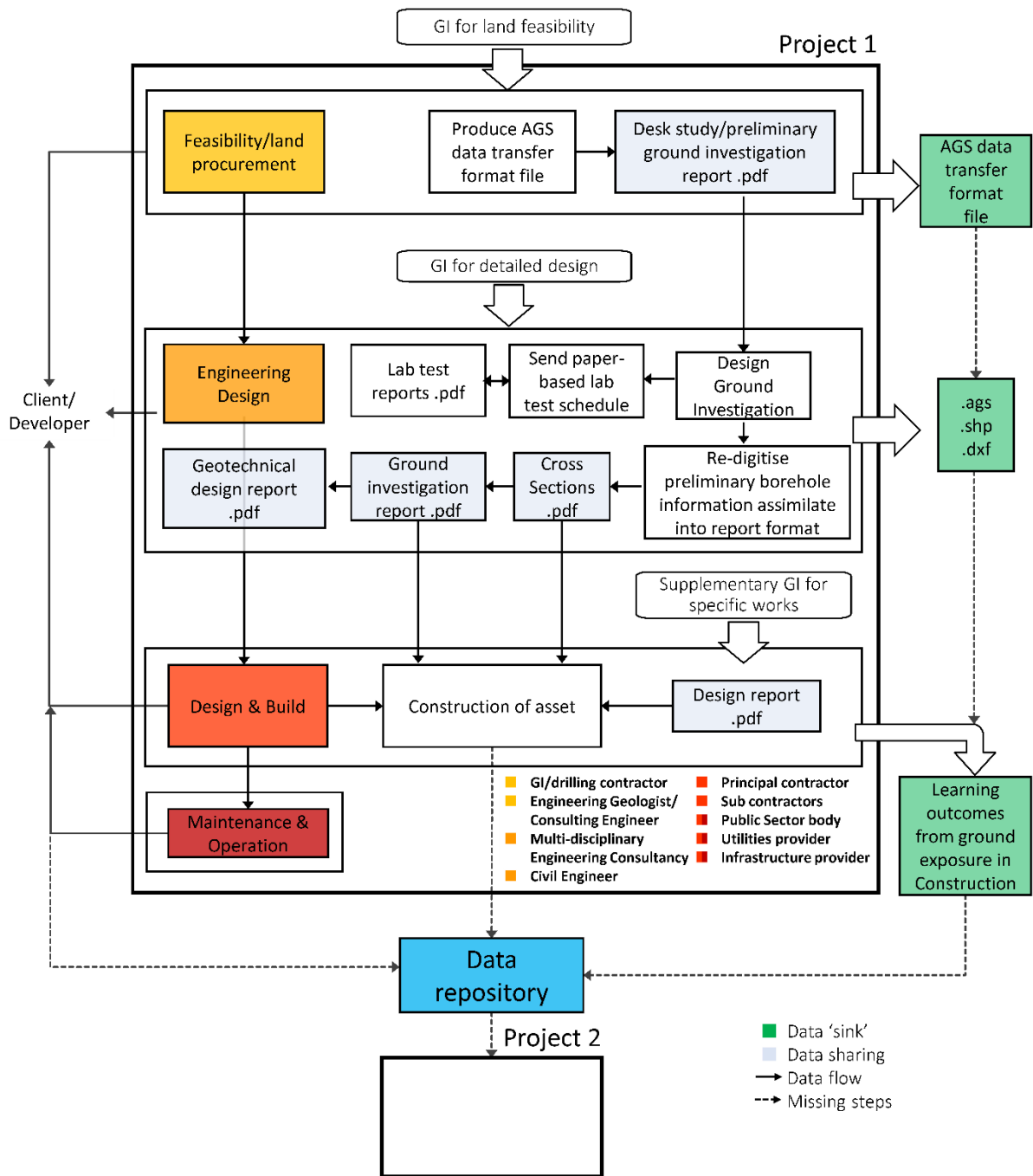


Figure 2. Summary of the main stages of ground investigation work undertaken during a civil engineering project including the data transferred and the professional roles involved in each stage of work.

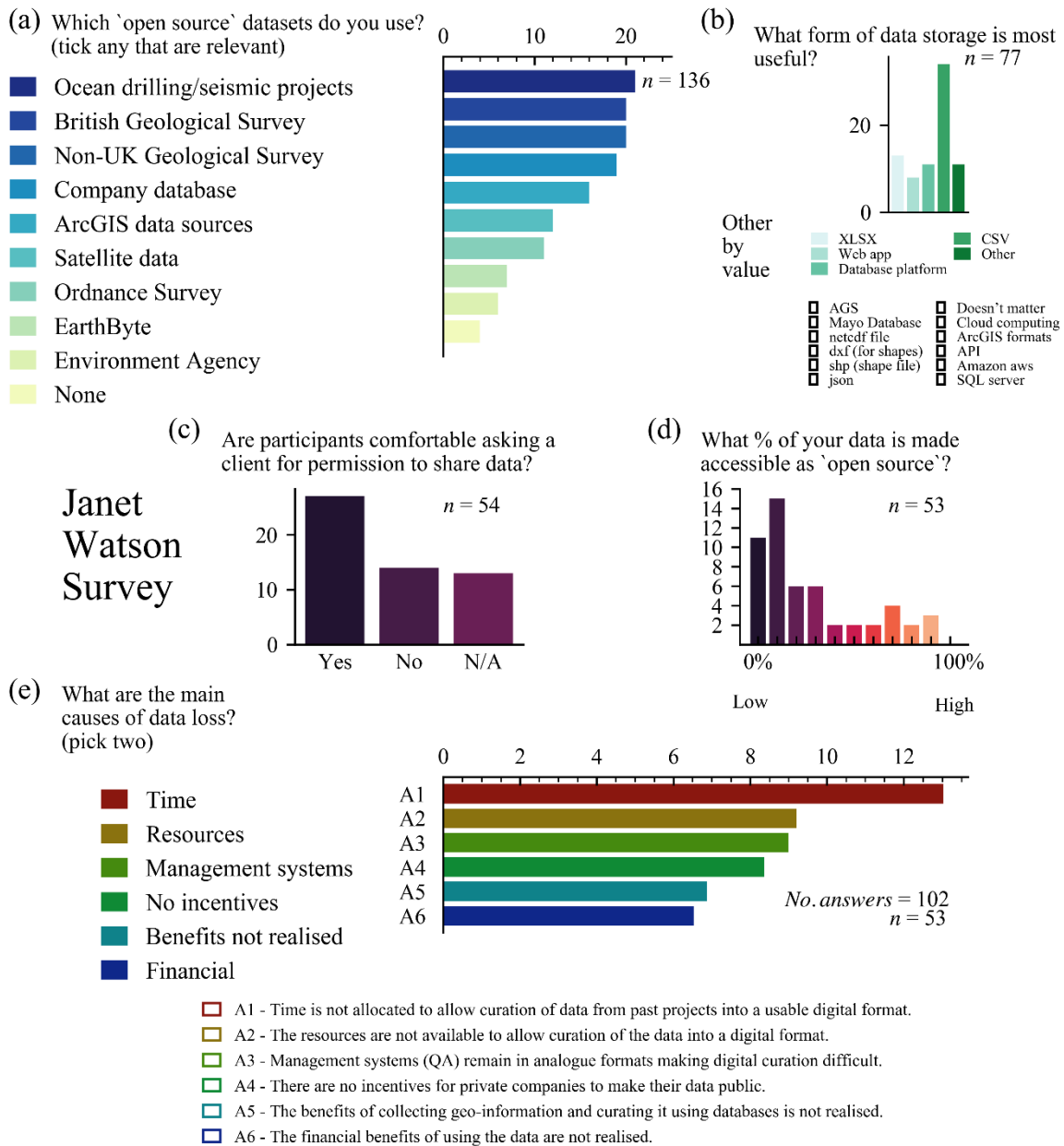


Figure 3. Results from Janet Watson Survey providing the number of answers for each question. Questions are as follows: (a) which open datasets do you use? (Q3) Ocean drilling/seismic projects includes seismic data and sources relevant to oil exploration including: International Ocean Discovery Program (IODP), Deep Sea Drilling Project (DSDP). Non-UK Geological Surveys include United States Geological Survey (USGS) and Geoscience Australia. Other participants suggested the following additions to the original multiple-choice options presented above including: Geological Survey of the Netherlands (TNO), Common Data Access Ltd (CDA) and Norwegian Petroleum Directorate (NPD) (b) What form of data storage is most useful? (Q5) additional suggestions by participants are added (c) Are participants comfortable asking a Client for permission to make the data collected on their project to be made open source? (Q9) (d) What percentage of your data is made accessible as open source? (Q7) 0% = none of their work is perceived to be shared 100% = all of their work is perceived to be shared. (e) What is the main cause of data loss in your industry? No of answers shows where some participants did not pick two, each participant answer was weighted so that the total score per participant was equal to one (one participant did not answer) (Q6).

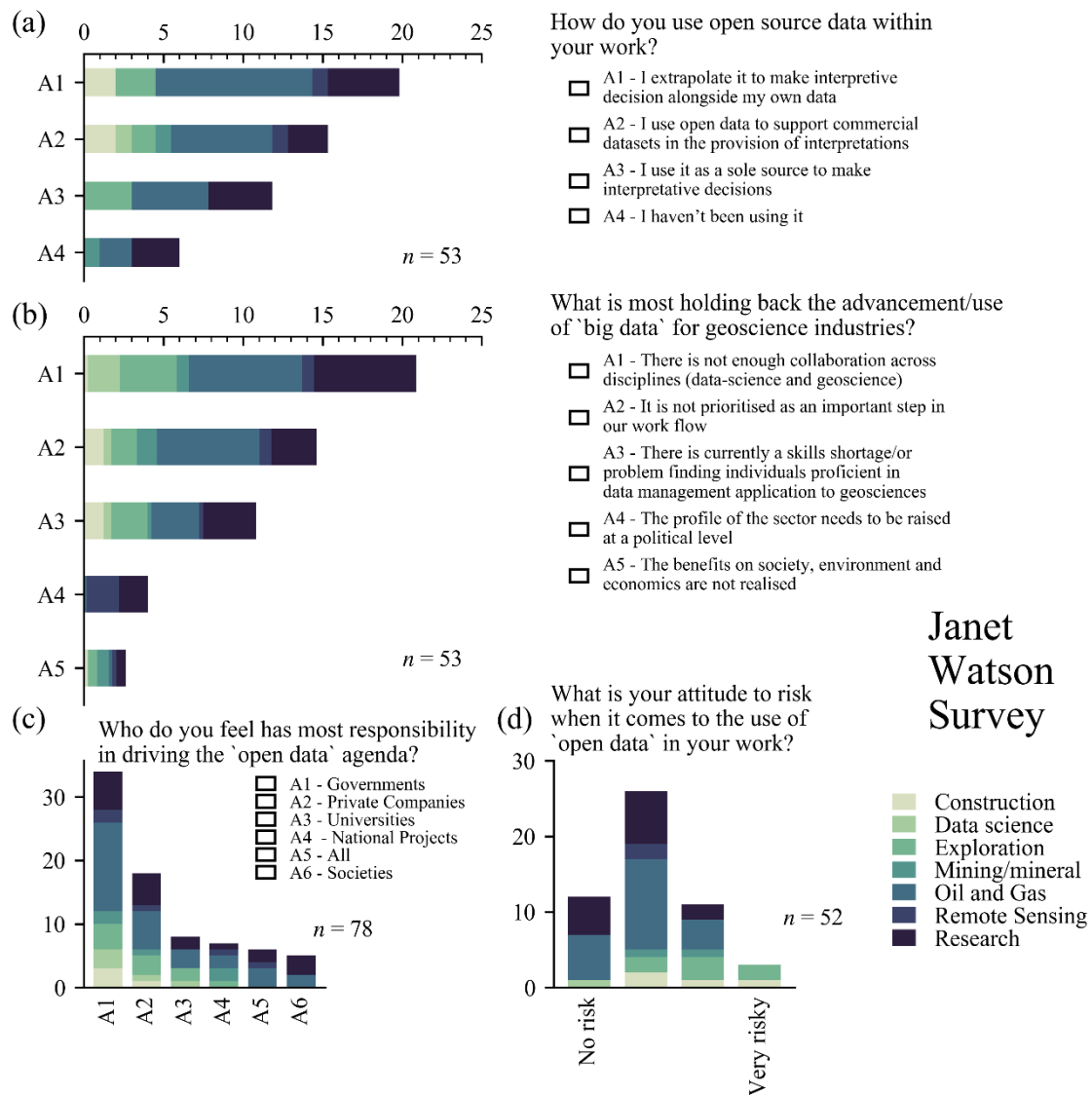


Figure 4. Results from Janet Watson Survey. The number of answers for each question is presented according to the participants sector of work (colour key) (a) shows answers to question: How do you use open source data within your work? (Q4) (b) In your opinion what is most holding back the advancements in 'big data' for geoscience industries? (Q8) (c) Who do you feel has most responsibility in driving the 'open data' agenda? (Q11) (d) What is your attitude to risk when using open data (Q10).



## DIG TO SHARE INTERVIEW QUOTES

■ Feasibility/land procurement    
 ■ Engineering Design    
 ■ Construction    
 ■ Maintenance and Operation

<b>VALUE</b>	<ul style="list-style-type: none"> <li>▪ Good broad overview for tender phase, would not like to rely too heavily for detailed design, R-1.</li> </ul>	<ul style="list-style-type: none"> <li>▪ There is an advantage of having the data for scoping and to start advising designers, R-2.</li> <li>▪ Boreholes can be targeted more effectively, refinement from early stages of the project, R-2.</li> </ul>	<ul style="list-style-type: none"> <li>▪ Often use BGS alongside own database, R-3.</li> </ul>	<ul style="list-style-type: none"> <li>▪ The BGS database is useful and holds vital data, R-6.</li> <li>▪ Increases certainty in early stages of design, R-6.</li> <li>▪ Projects would cost more without BGS, as it would slow projects down if data had to be requested, R-6.</li> </ul>	
<b>RISK</b>	<ul style="list-style-type: none"> <li>▪ There is a disparity in terms of quality and resolution of datasets providing challenges when doing 3D modelling, R-1.</li> <li>▪ Archived information needs to be checked prior to it being shared, R-1.</li> <li>▪ Open data does not take the place of the need to do site specific GI, R-1.</li> <li>▪ As techniques and standards have advanced can the information be relied upon in the same way, R-1.</li> </ul>		<ul style="list-style-type: none"> <li>▪ The risk of using acquired data is large, a stage of verification is needed, R-2.</li> <li>▪ There is a risk when accepting data for which you do not know the audit process, R-2.</li> <li>▪ Sharing AGS data will usually mean sharing more information than that usually held in a printed log and this needs to be checked, R-2.</li> <li>▪ Liability is a big issue, someone sharing data doesn't want to be liable and equally those using the data do not want liability, R-2.</li> </ul>		
<b>PARTICIPATION</b>	<ul style="list-style-type: none"> <li>▪ Sharing with BGS is not at the front of everyone's minds, and is something that gets forgotten, R-2.</li> <li>▪ Data sharing is non-essential so is not something to stay late at work for, R-2.</li> <li>▪ There is marginal reward, clients cannot be billed for the time spent, R-2.</li> <li>▪ Confidentiality of the data prevents sharing, the data can be used to inform understanding, but not legally relied upon, R-2.</li> </ul>		<ul style="list-style-type: none"> <li>▪ Public data is already being uploaded it is just private company data that needs to be pushed, R-2.</li> <li>▪ It is a logistical burden, R-2.</li> <li>▪ It is one of the selling points of the company that we have borehole data from lots of projects, R-2.</li> </ul>		<ul style="list-style-type: none"> <li>▪ It is not practical for commercial organisations to digitise old data, R5.</li> </ul>
<b>COMMUNICATION</b>	<ul style="list-style-type: none"> <li>▪ If data is made fully digital onsite there is the potential to miss steps in QA, R-1.</li> </ul>	<ul style="list-style-type: none"> <li>▪ Information needs to be transferred in the right format, R-2.</li> <li>▪ There is not time or priority given to approaching project managers to describe the value of the database and sharing importance, R-2.</li> <li>▪ PDF copies of GI data are being shared, R-2.</li> </ul>	<ul style="list-style-type: none"> <li>▪ Not all people in the supply chain are directly in contact with the client or are one or two levels detached from the individuals who procured the GI, R-3.</li> </ul>	<ul style="list-style-type: none"> <li>▪ Clients who own the data do not have an awareness of the value of the BGS database, R-4.</li> <li>▪ Data sharing rules are already in contracts within government transportation projects, R-4.</li> <li>▪ It is hard to find someone who knows where to insert the data sharing wording in a contract, R-4</li> </ul>	
<b>FUTURE</b>	<ul style="list-style-type: none"> <li>▪ Its going to need an act of parliament, so it is the law to provide all borehole records within five years, R-1.</li> </ul>	<ul style="list-style-type: none"> <li>▪ Get the government onboard and get data sharing into policy, R-2.</li> <li>▪ BIM would be a good way of improving the existing system, R-2.</li> <li>▪ Blanket permission to sharing data should be built into future contracts, R-2.</li> </ul>			

R-1 – GI contractor

R-3 - Principal contractor

R-5 - Infrastructure provider

R-2 - Multi-disciplinary consultancy

R-4 - Public sector body

R-6 - Utilities provider

Figure 5. Direct or partial quotes abstracted from original document Dig to Share (2018b), collated and sorted by interviewee role and position on a typical project lifecycle for the purposes of this research.

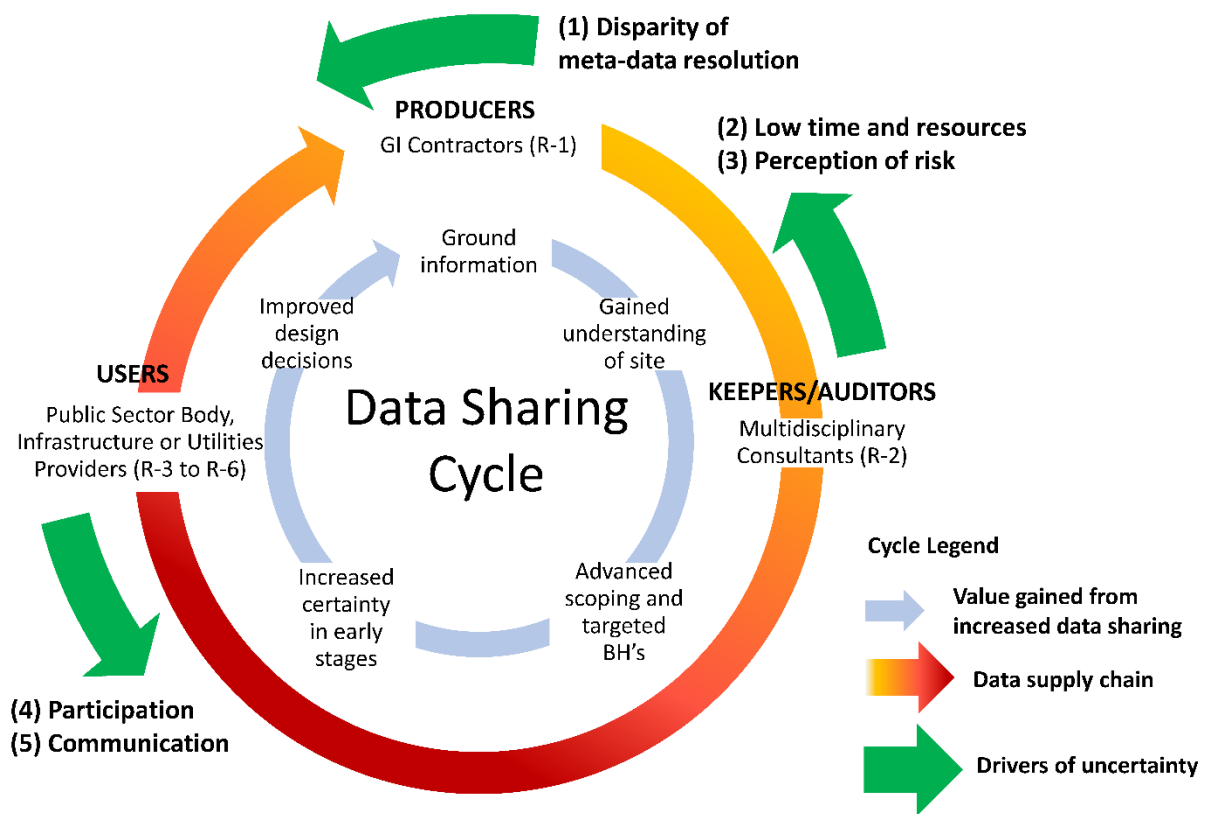


Figure 6. Summary of drivers of risk to a civil engineering project lifecycle.