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2 Additive manufacturing of a point-of-care
3 “polypill”: Fabrication of concept capsules of
4 complex geometry with bespoke release against
5 cardiovascular disease

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28

29 **Abstract**

30 Polypharmacy is often needed for the management of cardiovascular diseases and
31 is associated with poor adherence to treatment. Hence, highly flexible and adaptable
32 systems are in high demand to accommodate complex therapeutic regimens. A novel
33 design approach was employed to fabricate highly modular 3D printed 'polypill'
34 capsules with bespoke release patterns for multiple drugs. Complex structures were
35 devised using combined fused deposition modelling 3D printing aligned with hot-
36 filling syringes. Two unibody highly modular capsule skeletons with 4 separate
37 compartments were devised: i) concentric format: two external compartments for
38 early release whilst two inner compartments for delayed release, or ii) parallel
39 format: where non-dissolving capsule shells with free-pass corridors and dissolution
40 rate-limiting pores were used to achieve immediate and extended drug releases,
41 respectively. Controlling drug release was achieved through digital manipulation of
42 shell thickness in the concentric format or the size of the rate limiting pores in the
43 parallel format. Target drug release profiles were achieved with variable orders and
44 configurations, hence confirming the modular nature with capacity to accommodate
45 therapeutics of different properties. Projection of the pharmacokinetic profile of this
46 digital system capsules revealed how the developed approach could be applied in
47 dose individualization and achieving multiple desired pharmacokinetic profiles.

48

49 1. Introduction

50 Population-based surveys and cross-sectional studies have shown that polypharmacy affects 40-
51 50% of elderly patients in high income countries.^[1-3] Among chronic conditions, cardiovascular
52 disease (CVD) accounts for 45% of all deaths in Europe^[4] and its management necessitates a
53 complex therapeutic regimen, which usually includes anti-platelet, anti-hypertensive and lipid-
54 lowering agents.^[5] Such complex treatment has been linked to many issues, including
55 psychological distress, depressing symptoms and poor adherence among patients.^[6-8] Common
56 strategies to improve patient compliance include the use of medication boxes or technologies like
57 PillPack dispensing system, alarms to remember dose times, medicines administration records
58 (MARS), and smartphone applications such as My Medication Passport.^[9-11]

59 However, these approaches are usually associated with instructions that may be hard-to-read,
60 understand and/or even follow by elderly patients.^[12] Additionally, daily medication boxes often
61 contain different unlabelled tablets/capsules that may have similar physical appearance and might
62 lead to dispensing, patients or carers errors. Therefore, technology-based approaches need a more
63 rigorous evaluation of cost-effectiveness and patient acceptability, suggesting that a more
64 simplified and efficient strategy is needed.^[13] Polypills can simplify the dosing regimen without
65 compromising the therapeutic plan. The rapidly growing interest in this approach resulted in the
66 progression of several combinations of drugs to clinical trials and registered products.^[14] Despite
67 their proven advantages, the rigid nature of fixed multiple-drug combination in a single pill may
68 be suitable for a limited number of patients. Hence, a highly adaptable manufacturing technique
69 that allows easy selection and titration of multiple drug doses is needed.

70 3D printing is an emerging production method with potential superior agility in the production of
71 on-demand medicines, with a small number of processing steps, low costs and flexibility of
72 design.^[15,16] Several studies have reported the applicability of fused deposition modelling (FDM)
73 3D printing in the production of solid dosage forms.^[17-19] Its advantage of medicine
74 personalization has been extensively explored, in special patient groups (e.g. paediatrics), by

75 improving characteristics such as palatability,^[20] and by fabrication of a ‘dynamic dose combiner’
76 which can be easily shaped to each patient’s needs.^[21, 22]

77 To optimise therapeutic effect, controlling drug release from 3D printing technologies was
78 achieved by modifying printing parameters e.g. infill percentage,^[23, 24] or the shape or size of the
79 dosage form.^[25] 3D printed capsules avoid the high temperatures usually required with FDM 3D
80 printing. An early attempt of FDM 3D printing of a pulsatile release capsule system was reported
81 in 2015.^[26] Further studies have achieved delayed^[27, 28] or pulsatile release capsules.^[29] The
82 capsules were manufactured in two pieces to be manually assembled in a second step. Therefore,
83 a one-step ‘print and fill’ capsule was developed.^[30, 31] However, the use of water-based
84 formulations was linked to moisture absorption by Polyvinyl(alcohol) (PVA) shells with swelling,
85 wall delamination and leakage of the infill. Such deficiencies highlighted the need for formulation
86 optimization of a capsule filling that was compatible with the polymeric walls. Also desirable,
87 and explored in the current study, is a 3D printable modular system capable of including larger
88 numbers of molecules and controlling their dissolution rate.

89 Physiologically based pharmacokinetic (PBPK) model simulation is a tool which has been
90 increasingly used in pharmaceutical development in order to improve efficiency and reduce costs
91 in drug development and absorption, distribution, metabolism & excretion (ADME) assessments.
92 It has proved useful in optimization of clinical trials design, for example in the selection of the
93 drug dose, and helped to understand how individual variability affects drug pharmacokinetics.
94 The simulation model has also demonstrated to be a valuable tool in clinical trials that need
95 individualized adjustable drug doses, for example paediatric^[32] and hepatically impaired
96 patients.^[33]

97 In this study, we present a facile modular platform for individualized complex therapeutic
98 regimens. By adopting combined hot-fill technology to produce unibody capsules of complex
99 structure, a highly modular capsule platform with tuneable release was achieved by mere use of
100 a modified digital design. Four model drugs were used in the development of two highly flexible
101 systems. The first system was based on manipulating pore size in a water insoluble biodegradable

102 shell (polylactic acid (PLA)). The second system was based on shell thickness control of a water
103 soluble PVA shell. The *in-silico* simulation of pharmacokinetics of these tablets aimed to provide
104 a means of pre-designing optimization of the pharmacokinetics of multiple drugs to suit individual
105 patient need.

106 2. Results and discussion

107 Capsules of complex structure were designed to include an oval hollow geometry comprising 4
108 compartments, where each compartment accommodated a single drug-loaded capsule filling. The
109 compartments were configured in two design formats (parallel or concentric) to achieve different
110 drug release patterns. Each design was split into two complementary parts: top and bottom design
111 files (correspondent to the base and cap) (**Figure 1**). The design allowed for three-step
112 manufacturing, where the base of the capsules was produced first (**Figure 2A3 and 2B3**), then
113 hot-filled (**Figures 2A4 and 2B4**) before, thirdly, a complementary cap is printed with subsequent
114 sealing of the capsule (**Figure 2A5 and 2B5**). After dispensing the identical volume of the filling,
115 it reached similar height within the capsule. The physical isolation of each drug in a separate
116 compartment is considered to prevent potential drug-drug interactions within the dosage form and
117 allow for the individualization and “tuning” of each model drug’s release profile.

118 Parallel compartments were designed into the capsular structure with different pore sizes,
119 according to the desired release profile (**Figure 1A**). Internal compartments were designed with
120 (2 mm) free-pass windows to yield an immediate release profile whilst external compartments
121 were fabricated with rate-limiting pores to extend drug release from the capsule. Following an
122 optimization process of pore configuration, dual pores for each side of the compartment seem to
123 allow faster drug release than a single pore of double size (**Supporting information Figures S1**).
124 The impact of pore size on drug release was also screened for all module drugs (**Supporting**
125 **information Figures S2**). Finally, total pore surface areas of 0.25 mm² and 0.49 mm² for each
126 compartment were selected to offer an extended release (**Figure 1B4**). The inclusion of four
127 identical square-shaped pores with a total area of 0.25mm² and 0.49mm² for each compartment

128 permitted aqueous flow within the capsule. SEM images confirmed pore walls within a range of
129 $\pm 60 \mu\text{m}$ of the design (data not shown).

130 To obtain extended and delayed drug release profiles, an alternative format (concentric capsule)
131 was devised. Two external and two internal compartments were configured to obtain extended
132 and delayed drug release profiles, respectively (**Figure 1B**). A wall thickness of 0.6 mm was
133 selected to maintain physical integrity of the capsule. By manipulating the thickness of the
134 bottom, upper and inner walls of the two inner compartments, the design aimed to control the lag
135 time of the delayed drug release. Capsules of different thickness of the inner wall (in multiple
136 increments of 0.6 mm) were fabricated to probe their effectiveness in delaying drug release
137 (**Figures 2A1/2/6**).

138 In order to establish the modularity of the system to meet various patients' needs, both design
139 formats were configured in two drug-sequences: Sequence I, where the most soluble drugs
140 (lisinopril and amlodipine) are dispensed in the immediate (PLA shell) or extended (PVA shell)
141 release compartment and the least soluble drugs (indapamide and rosuvastatin) were placed in the
142 extended (PLA shell) or delayed (PVA shell) release compartments. Sequence II, differed in that
143 the model drugs were configured in reverse order.

144 Liquid infill formulations are often used in capsules to improve solubility or the dissolution of
145 poorly soluble drugs.^[34] Putting a liquid formulation into a 3D printed capsule shell presents a
146 major challenge with reported leaking issues and loss of capsule structure.^[31] To establish
147 compatibility between the infill *versus* the PVA and PLA 3D printed capsule shells, a fluorescent
148 molecule was used in the hot fill process of a liquid formulation of PEG 400, a commonly used
149 solubility enhancer in soft gelatine capsules.^[35, 36] Photographs of PVA concentric capsules
150 showed the absorption of the PEG solution by the shell through time (**Figure 3A**). Indeed,
151 microscopic pictures confirmed the migration of fluorescent solution through the polymeric shell
152 in contact with the PEG solution. This could be attributed to the established miscibility of PEG
153 400 with the PVA matrix.^[37] Likely arising from the significant known plasticising effect of PEG
154 ^[38], capsule shell deformation and compromised physical integrity were observed. Uncontrolled,

155 this could lead to interference of the different drug-loaded fillings and alter the individualized
156 release patterns of the drugs as well as initiating potential drug-drug interactions. On the other
157 hand, PLA capsules remained visibly unchanged with PEG solution as the capsule filling (**Figure**
158 **3B**). However, a previous study has reported the plasticising effect of PEG 400 in PLA when
159 mixed at 90 °C. [39]

160 To overcome this, PEG 4000 (melting temperature of 61. 5°C,) was added to allow solidification
161 of the structure at room temperature (**Figure 4E/F/G/H**). The paste was engineered to solidify
162 rapidly within the capsule compartments. Our initial screening indicated that an overall
163 percentage of PEG blends is ideal around 40% to maintain the integrity of the shell e.g. an
164 increased ratio of PEG 400 yielded fillings that leaked and were not compatible with the shell,
165 while fillings with increased ratio of PEG 4000 were too slow to solidify and compromised the
166 shell integrity (data not shown). In order to regulate the rheological behaviour during extrusion,
167 lactose was added to the blend and yielding a facile filling paste to be hot-filled at relatively low
168 temperature (60 °C) Thermogravimetric analysis was performed in order to assess thermal
169 stability of the raw materials and the developed drug-loaded capsule fillings. Thermogravimetric
170 profiles of drug-loaded capsule fillings showed continuous weight loss of about 3% up to 120 °C,
171 which was believed to be due to evaporation of moisture in the PEG 400, PEG 4000 and drug
172 substance (**Figure 4 A/B/C/D**). No significant weight loss was observed at the processing
173 temperature (60 °C).

174 The stability of the drug in the fill matrix was determined after 24 hrs to assess the compatibility
175 of the model drugs at the processing conditions temperature. All individual capsule fillings
176 showed a good stability at the processing temperatures for a period of at least 24 hrs (data not
177 shown), a finding indicating that the composition would be compatible with a process automation
178 using dispensing heated syringes.

179 Considering the results of differential scanning calorimetry, the presence of the endothermic
180 peaks corresponding to the melting of a blend of PEG 400, PEG 4000 and lactose for the drug-
181 free and drug-loaded capsule fillings, confirms the presence of crystalline components, which

182 facilitates their solidification on dispensing to the capsule shell. A broad peak is seen in both drug-
183 free and drug-loaded capsule fillings in the range of 100-150 °C, that may be explained by
184 dehydration of lactose (**Figure 4**). The DSC profile for the lisinopril-loaded capsule filling
185 suggested degradation at around 150 °C. This finding was not unexpected given the reported
186 sensitivity of this molecule to degradation through a Maillard reaction with lactose (**Figure 4E**).

187 ^[40] The use of 60 °C as a processing temperature will minimise the interaction.

188 XRD intensity patterns of the lisinopril-loaded capsule filling showed diffraction peaks
189 characteristic of the drug substance at $2(\Theta) = 7.5^\circ$, 12.5° and 13.6° , revealing the presence of the
190 crystalline form of the drug (**Figure 5A**). The absence of characteristic diffraction peaks of
191 amlodipine, indapamide and rosuvastatin in their correspondent capsule filling indicates that these
192 drug substances were likely amorphous within capsule fill matrices (**Figures 5B-D**). This finding
193 was consistent with DSC data, which revealed no endothermic events near the melting
194 temperatures of any of the drugs. These findings could be partially explained by the solubility
195 parameters values of PEG and the model drugs (**Table 2**). Lisinopril and amlodipine showed the
196 highest difference in total solubility parameter value in comparison to PEG, while rosuvastatin
197 and indapamide have solubility parameter values with a difference of $<7 \text{ MPa}^{1/2}$.

198 While PEG 400 serves as solvent, PEG 4000 and lactose were added to increase the viscosity of
199 infill upon cooling to room temperature. Therefore, rheology studies were performed to confirm
200 the functionality of PEG 4000 and lactose in the capsule fillings as viscosity enhancers. This will
201 allow to assess the flowability of the filling (syringeability) at various temperatures and identify
202 the ideal temperature for capsule filling. The viscosity of the filling was assessed at various
203 temperatures. Complex viscosity data at the processing temperature (50 °C) are shown in **Figure**
204 **5E**. (Attempts to assess the complex viscosity of the samples at room temperature (25 °C) were
205 unsuccessful, due to the solid nature of the ink). The minimum temperature that allowed
206 successful analysis was 40 °C and results can be seen in **Figure 5F**. The results show that PEG
207 400 has a relatively low viscosity with minimum shear thinning behaviour (typical Newtonian
208 fluid). On the other hand, PEG 4000 has the highest complex viscosity value with a more

209 pronounced shear thinning behaviour typical of thermoplastic polymers. Their mixtures exhibited
210 a complex value in between both the pure material with shear thinning behaviour. The addition
211 of lactose increased the complex viscosity value while maintaining the shear-thinning behaviour.
212 In general, adding model drugs to each formulation did not have a significant effect on the
213 complex viscosity (complex viscosity studies for other model drugs are shown in **Supporting**
214 **information, Figure S3**).

215 The strategy of pore fabrication via FDM 3D printing can influence drug release profiles. Initially,
216 drug release from the capsule was attempted through inclusion of a single perforating square
217 shape (pore), however drug release was limited. To accelerate drug release, a dual pore system
218 was employed for each compartment. The effect on drug release was markedly evident compared
219 to a single pore, despite having the same total area (**Supporting information, Figure S1**). The
220 increase was attributed to an enhanced hydrodynamic flow through the capsule in the dual pore
221 system, leading to accelerated media flow and a thinner dissolution layer. It is also possible that
222 air bubbles can be entrapped within the compartment and hinder hydrodynamic flow within the
223 compartment. Therefore, this risk was mitigated by using four rate-limiting pores per
224 compartment.

225 Different pore areas were then evaluated (**Supporting information, Figure S2**). In general, an
226 increase in the total area pore area resulted in faster release rate of the drugs. However, controlling
227 release by modification of the pores area proved to be more effective with indapamide and
228 rosuvastatin, which have lower aqueous solubilities, when compared with lisinopril and
229 amlodipine.^[41-44] Total areas of 0.25 and 0.49 mm² provided a better extended release for lisinopril
230 and amlodipine, and indapamide and rosuvastatin, respectively. In Sequence I, lisinopril and
231 amlodipine showed an immediate release with >80% of drug dissolved in 30 min. A total pore
232 area of 0.49 mm² was necessary to achieve 89% and 55% of indapamide and rosuvastatin release
233 after 24 hrs (**Figure 6A2**). The effect of drug solubility was visually demonstrated by comparing
234 with Sequence II, where the free-pass corridors allowed >80% of indapamide release only after 3
235 hours (**Figure 6B**). An increase in the dissolution rate after pH change at 2 hrs was observed for

236 rosuvastatin and indapamide which can be explained by their acidic nature (pKa of 4.2-4.6 and
237 8.8 respectively).^[45, 46] Although a 0.49 mm² area proved to be suitable to reach extended release
238 in Sequence I, a smaller area (of 0.25 mm²) was necessary to slow down lisinopril and amlodipine
239 release (**Figure 6B1**). This illustrated the importance of software input to “tune” drug release
240 through pore size to accommodate a wide range of model drugs of variable solubilities.
241 Incomplete drug release was observed for indapamide and rosuvastatin in **Figures 6A1/A2** and
242 for lisinopril and indapamide in **Figure 6B1**, after a period of 24 hrs. This might lead to higher
243 plasma exposure when patients have longer transit time.^[47] Therefore, it is important to engineer
244 capsules to complete drug release within the transit time of non-disintegrating oral doses.

245 In order to achieve a chronotherapeutic effect, a concentric PVA polymeric shell was devised.
246 The design was successful in producing extended and time-dependent delayed release (**Figure 7**).
247 In general, a thickness of 0.6 mm was responsible for a lag time of 1 hr, and drugs dispensed in
248 the external compartments achieved >75% of drug released after approximately 3 hours after the
249 start of dissolution (**Figure 7**). This lag phase can be attributed to the time needed for the
250 dissolution of the outer shell and drugs in the external compartments. The dissolution mechanism
251 of PVA in the capsule shell is mediated mainly through erosion.^[48,49] Increasing the inner, top and
252 bottom walls thicknesses to 1.2, 1.8 and 2.4 mm resulted in a lag time of ~ 4, 6 and 8 hrs,
253 respectively, and >80% drug dissolution around 6 hrs thereafter (**Figure 11A3/B3**). External
254 compartments (of 0.6 mm thickness) eroded at a speed of 0.6 ± 0 mm/hr, and internal
255 compartments at 0.41 ± 0.09 mm/hr. The suitability of the polypills was demonstrated using four
256 clinically relevant drugs for the treatment of CVD, however its application to other therapeutic
257 regimens is unlimited. The high versatility of the system is expected to be associated with
258 improved clinical outcomes, by customization of the release profile of drugs to target specific
259 times to attain peak plasma concentration and to avoid drug-drug interactions in complex
260 therapies. One limitation of the developed capsule systems is its relatively large size and shape.
261 Further reduction of the capsules size and a transformation to capsule-like geometry could be

262 applied to meet FDA guidance for recommended size and shape in order to improve patient
263 acceptability.^[50]

264 In the clinical setting, bespoke dosage forms can be dispensed as a patient-specific medicine in
265 an extemporaneous setting. Initial stability trials to determine the impact of storage conditions of
266 the developed capsules were conducted over 28 days. In general, no physical change of the
267 capsule structure was observed by visible inspection (**Supporting information, Figure S4**).
268 Lisinopril and rosuvastatin did not show significant ($p>0.05$) degradation when stored at 4°C
269 (**Supporting information, Table S1**), while a decrease in drug content was significant ($p>0.05$)
270 for indapamide and amlodipine when in PLA capsules. This may be explained by a protective
271 effect of the PVA shell on moisture. The highest degree of degradation of amlodipine when
272 compared with the rest of the model drugs may be due to the high sensitivity of this drug molecule
273 to moisture and light.^[51,52] It is possible that the open pores within the architecture of the parallel
274 design favoured the penetration of light and moisture and contributed to higher level of
275 degradation in amlodipine chamber. In general, immediate release chambers yielded similar
276 release pattern, whilst extended and delayed release patterns was more sensitive to storage
277 temperature (**Supporting information, Figures S5 and S6**).

278 To project the clinical implication of using this bespoke drug delivery system for cardiovascular
279 system, a simulation absorption model was developed to study the effect of drug
280 dissolution in drug pharmacokinetics. Validation of the developed models was performed by
281 comparison of the simulated AUC, C_{\max} and T_{\max} with the observed clinical studies (**Supporting**
282 **information, Table S2**). PLA-based capsules showed a clear predictable effect of drug
283 dissolution in the pharmacokinetics profile. C_{\max} was proportional with the maximum drug release
284 achieved from the *in vitro* dissolution studies (**Figure 8 and Supporting information, Figures**
285 **S7**). PVA-based concentric capsules with different wall thicknesses showed similar good
286 correlation with C_{\max} values and T_{\max} values proportionally increasing with the drug release time
287 (**Figure 9 and Supporting information, Figures S8**). Pharmacokinetic parameters values
288 obtained for PLA and PVA capsule systems can be found in **Supporting information, Table S3**

289 **and S4**, respectively. The ease of modelling the results highlights the applicability of such a
290 highly modular drug delivery systems to conveniently produced timed drug dose release with
291 “tuned” peak drug plasma concentrations to achieve optimal clinical outcome.

292 We envisage the employment of such digitised and modular system as part in an integrated
293 healthcare network in the future (**Figure 10**). In such a configuration, patient’s data and genomics
294 will feed an artificial intelligent and big data-powered network, where desired target PK profile
295 can be set, tested and refined in multiple cycles to achieve clinical outcome in seamless fashion.
296 The growth of database and number of participants in such integrated system to a critical mass
297 can potentially revolutionise and transform the efficacy, safety and patient-centricity of multiple
298 drug treatments.

299 3. Conclusions

300 We present a highly modular multi-compartmental capsule platform of complex structure that
301 accommodates 4 model drugs for bespoke dosing and drug release. A specially developed rapid
302 solidifying fill matrix proved compatible with two biodegradable polymeric shells (PVA and
303 PLA). Two architecture formats, based on digital manipulation of wall thickness and pore sizes,
304 allow a customised release profile for each drug molecule. The novelty of this system resides in
305 employing an established additive manufacturing method with liquid dispensing to achieve a
306 complex multidrug releasing dosage form starting from identical materials. Hence, the platform
307 enables serving large number of patients with a small number of starting materials and relatively
308 low costs. The approach yields minimal migration of the formulation through the shell structure
309 and is stable for 28 days following production (comparable to the usual shelf-life for
310 extemporaneous preparations). While this work provides a proof-of-concept for 4 drug molecules,
311 the reported platform can easily be generalised to a wider spectrum of drug substances that are
312 frequently prescribed together. This work showcases a powerful and economical approach of
313 digital design to provide healthcare staff with a highly adjustable ‘polypill’ solution, to

314 accommodate the increasing number of patients who receive multiple and complex dosing
315 regimens.

316

317

318 **4. Experimental Section**

319 *Materials:* Lisinopril dihydrate, amlodipine besylate, indapamide and rosuvastatin calcium
320 were obtained from Kemprotec Ltd (Cumbria, UK). HPLC gradient grade acetonitrile and
321 methanol were from Fisher Scientific Ltd (Loughborough, UK). Dipyridamole, poly(ethylene
322 glycol) (PEG) 4000 and alpha-D-Lactose monohydrate ACS reagent grade were purchased from
323 Thermo-Fisher Scientific (UK). Poly(ethylene glycol) (PEG) 400 was from Merck KGaA
324 (Darmstadt, Germany). Polyvinyl alcohol (PVA) and Poly(lactic acid) (PLA) filaments were
325 obtained from MakerBot® Industries (NY, USA). All other chemicals were of analytical grade.

326

327 *Preparation of the capsule fill matrix:* A rapid solidifying shell-compatible hot-fill fluid was
328 developed. The composition of each drug-loaded fill matrix is detailed in Table 1. The filling
329 was prepared by dissolving accurately weighed model drug in PEG 400 in a beaker and sonicating
330 the solution/suspension for 15 min. PEG 4000 was then incorporated in the mixture, which was
331 then heated in a FD240 binder heating chamber (Tuttlingen, Germany) for 1 hr at 60°C. Following
332 the complete melting of PEG 4000 and mixed, lactose was suspended and manually mixed to
333 obtain a uniform paste. Pastes were then maintained at 50°C. A volume of 80 µL (~100 mg) of
334 each model drug fill matrix was manually dispensed in each capsule compartment using a 1-mL
335 GASTIGHT® syringe (Hamilton Company, UK) equipped with a 18 gauge- 6.35 mm length
336 needle (McMaster-Carr, CA, USA).

337

338 *3D printing of capsules:* Capsule shells of innovative complex architecture were designed using
339 Autodesk® 3ds Max Design 2016 software version 18.0 (Autodesk, Inc., USA). An oval shape
340 was chosen to simplify its division into 4 compartments **with similar volumes**. The capsules (with
341 0.6 mm walls) were designed with a standard size of 24.1 x 15.1 x 6.26 (X x Y x Z) mm. **PVA**
342 **capsules were designed with z dimension of 7.46, 8.66 and 9.86 mm for design with inner wall**

343 thickness of 1.2, 1.8 and 2.4 mm respectively. Two design formats (**Figure 1**) were adopted to
344 couple extended or delayed release patterns for two model drugs with immediate or extended
345 release for the other two model drugs:

346 1. *PLA-based parallel design capsules with immediate release and extended release*
347 *architecture (Figure 1A)*. Internal compartments were designed with free-pass corridors
348 (2 mm) to facilitate free access of dissolution media and subsequent rapid dissolution and
349 release of capsule fillings. External compartments were designed with rate-limiting pores.
350 The optimization of the design was performed by assessing the release profile of the drugs
351 using a different number (two or four) of the rate-limiting pores per compartment and
352 different total pore areas (namely, 0.25, 0.49, 0.72 and 1mm²). After optimization, the
353 design with four pores per external compartment (two on each side) and pores areas of
354 0.25 and 0.49 mm² were selected as a default.

355 2. *PVA-based concentric design capsules with variable shell thicknesses (Figure 1B) with*
356 *extended and delayed release system architecture*. External walls of the capsule were
357 designed with a 0.6-mm thickness to provide an extended release. Capsules with top,
358 bottom and internal walls were designed with various wall thicknesses (namely 0.6, 1.2,
359 1.8 or 2.4 mm) in order to achieve a delayed drug release profile from the internal
360 compartments.

361 Each design was split into two complementary objects: base and cap. 3D printing of both capsule
362 formats was done using a Makerbot Replicator 2X (Makerbot Industries, LLC, USA) at nozzle
363 and platform temperatures of 200 °C and 50 °C, respectively. Capsule shells were divided in two
364 stereolithography (.stl) files format correspondent to the base and cap of the capsule. 3D printing
365 of the capsule shells was performed without using removable supports and took a maximum of
366 10 min. Each capsule was fabricated in three steps: i) 3D printing of the bottom portion of the
367 design (base), ii) manual capsule filling as detailed in the previous section, and iii) 3D printing of
368 complementary top part (cap). The printing of cap was set using the identical x-y position on the

369 printing plate and at z-level equivalent to the height of the complementary base. No additional
370 sealing materials or process were used in the process.

371 *Compatibility of the hot-filling matrix with the capsule shell:* Fill-matrix compatibility with PLA
372 and PVA shells was studied by assessing the developed fast solidifying fills using a fluorescent
373 molecule (dipyridamole). Capsule fillings (as described above) and dipyridamole solution in PEG
374 400 (control) were dispensed in PLA and PVA capsules and visualised in a NOVEX B-range
375 microscope after 0, 0.5, 2 and 24 hrs. Samples were prepared using the concentration
376 correspondent to the model drug with lowest dose (indapamide), 31.25 mg/mL and 2.5% for the
377 PEG 400 and capsule filling samples, respectively. The capsules were kept at room temperature
378 throughout the experiment and images were obtained using Image focus v3.0.0.1 software to
379 visualise integrity.

380 *High performance liquid chromatography (HPLC):* Drug content and dissolution tests samples
381 were analysed by HPLC, using a method that has been described in a previous study.^[53]

382 *Thermal analysis:* Thermogravimetric analysis (TGA) analysis was performed on a TGA Q500
383 (TA Instruments, Elstree, Hertfordshire, UK) and samples of the raw materials and the capsule
384 fill matrix were run in triplicate. Each sample (approximately 10mg) was heated at a rate of
385 10 °C/min from 25 to 500 °C with a nitrogen purge of 40:60 mL/min for sample: furnace
386 respectively. Differential Scanning Calorimetry (DSC) analysis was conducted on a DSC Q2000
387 (TA Instruments, Elstree, UK). Samples (~10 mg) of the raw materials and the capsule fill matrix
388 were analysed in triplicate using T-zero hermetic pans. Each sample was scanned from -50 to
389 200 °C at 10 °C/min using a nitrogen purge of 50 mL/min. Data obtained from both TGA and
390 DSC were analysed with TA Universal analysis software v4.5A (TA Instruments, Elstree, UK).

391

392 *Powder X-ray diffractometry (XRD):* Powder XRD analysis of the raw materials and capsule
393 filling was carried out using an X-ray diffractometer, D2 Phaser with Lynxeye (Bruker,
394 Germany). Each sample was scanned from $2\theta = 5^\circ$ to 50° with a 0.01° step width and a 1.25 sec

395 time count. The divergence slit and scatter slit were 1 mm and 0.6 mm, respectively. The
396 wavelength of the X-ray was 0.154 nm using a Cu source, a voltage of 30 kV and a filament
397 emission of 10 mA.

398

399 *Rheological studies of the capsule fill matrix:* Rheology studies were performed on the capsule
400 fills using an Anton Paar Shear Rheometry Physica MCR 301 (Graz, Austria) with 25mm parallel
401 plates, using a 0.5mm gap distance in oscillation mode. Linear viscoelastic region (LVR) was
402 studied with 0.5% strain amplitude. Samples were tested in triplicate using an amplitude sweep
403 at an angular frequency range from 0.1 to 100 rad/s and angular frequency of 10 rad/s.
404 Temperatures were set at 40 and 50°C (dispensing temperature) and readings were collected every
405 5 sec.

406 *Solubility parameter:* Hansen solubility parameters were calculated using HSPiP v5.0.08
407 software. The canonical simplified molecular-input line-entry system (SMILES) of the
408 compounds as stated in PubChem database was used to calculate the solubility parameters using
409 group contribution method ^[54]. It is worth noting that PEG 400 and PEG 4000 have identical
410 SMILES and therefore have identical solubility parameter values.

411 *Stability assessment:* The stability of the developed formulation was assessed in terms of
412 compatibility with the capsule shells, drug content and dissolution profile. The drug content
413 (w/w%) of each capsule filling was calculated by comparing the recovered amount with the
414 theoretical amount.

415 *Stability at processing conditions:* Stability of the model drugs at the processing conditions was
416 analysed. Drug content was assessed by heating the drug-loaded capsule fillings at 50 °C in a
417 FD240 Binder heating chamber (Tuttlingen, Germany). Samples were collected at the time points
418 0 and 24 hrs, filtered through an Econofltr 0.2 µm syringe filter (Agilent Technologies Ltd.,
419 Cheadle, UK) and analysed in triplicate by the HPLC method mentioned above.^[53]

420 a. *Accelerated stability study*: Accelerated stability of the 3D printed capsules ([Sequence I](#)
421 [PLA-based capsules with 0.49 mm² pores and Sequence I PVA-based capsules with 1.8](#)
422 [mm wall thickness](#)) was performed according to ICH guidelines for one month, at 4 °C,
423 30 °C/ 65% RH and 40 °C / 75% RH. Capsules were individually stored in high-density
424 polyethylene bottles and analysed in triplicate in terms of visual assessment of physical
425 capsule structure, drug content and dissolution profile (see above). For drug content
426 analysis, PVA capsules were placed in 800 mL of water and sonicated until complete
427 dissolution, followed by the addition of 200 mL of acetonitrile and further sonication for
428 1 hr. PLA capsules were firstly dissolved in 200 mL of acetonitrile followed addition of
429 800 mL water and sonication for 1 hr. For amlodipine analysis, 1 mM EDTA was added
430 the solution. The solutions were then filtered through an Econofltr 0.2 µm syringe filter
431 (Agilent Technologies Ltd., Cheadle, UK) and analysed by HPLC as described above.

432 *Scanning electronic microscopy (SEM)* The thickness of the inner wall of the PVA concentric
433 capsules and the pores of the PLA capsules were analysed with a JCM-6000 plus NeoScope™
434 microscope (Jeol, Tokyo, Japan) at 10 kV. Prior to imaging, samples were gold coated under
435 vacuum for 2 min with a JFC-1200 Fine Coater (Jeol, Tokyo, Japan).

436 *In vitro dissolution tests*. The dissolution tests for 3D printed capsules were performed on an
437 Erweka DT600 USP II dissolution test apparatus (Heusenstamm, Germany). The tests were run at
438 37 °C with a paddle rotation speed of 50 rpm, under sink conditions. The capsules were tested in
439 750 mL of 0.1M HCl (pH 1.2) for 2 hrs, followed by pH 6.8 phosphate buffer for 4 hrs (with
440 addition of 250 mL of tribasic phosphate solution 0.215 M) and then pH 7.4 phosphate buffer for
441 additional 18 hrs. The paddles and the water bath were sealed with PTFE-coated glass cloth
442 adhesive tape (Viking Industrial Products, Keighley, UK) and foil, respectively, and the
443 dissolution assessment was performed in a dark room, to prevent degradation of amlodipine. Each
444 experiment was performed in repetitions of six and samples were manually collected (4 mL),
445 [which was replaced](#) and filtered with an Econofltr 0.2 µm syringe filter (Agilent Technologies
446 Ltd., Cheadle, UK). Aliquots were collected at the time points: 0, 0.5, 1, 1.5, 2, 3, 4, 5, 6, 8, 10,

447 12 and 24 hrs and analysed by the developed HPLC method previously described. The period of
448 24 hours was selected based on average transit time of non-disintegrating tablet in the
449 gastrointestinal tract.^[55]

450 With the assumption that a detectable drug concentration is reached when the capsule wall is
451 completely dissolved, the erosion rate (mm/hrs) was estimated using the following equation:

452

$$453 \text{ Erosion rate} = d \text{ (mm)} / t_{\text{lag}} \text{ (hrs)}$$

454 Where (d) is the thickness of the wall, and (t_{lag}) is the lag time before the onset of drug release

455 *In silico simulation* The absorption profile simulation for each drug was developed using
456 Gastroplus[®] v9.7 (Simulation Plus, Lancaster, CA, USA). For the ‘compound’ and
457 ‘pharmacokinetics’ models, input data included experimental data (dissolution profile,
458 permeability and solubility) and data obtained from literature. When precise compound
459 parameters values were not available, parameter estimation was performed by the software.
460 Human physiology under fasted state mode was designated and default values were used.

461 The physicochemical properties and ADME parameters for each drug were obtained from
462 literature (**Supporting information, Table S5**).

463 *Statistical analysis* Statistical analysis of the results was done with independent t-test using SPSS
464 software (22.0.2). Differences in the results below the probability level of $p < 0.05$ were considered
465 significant.

466

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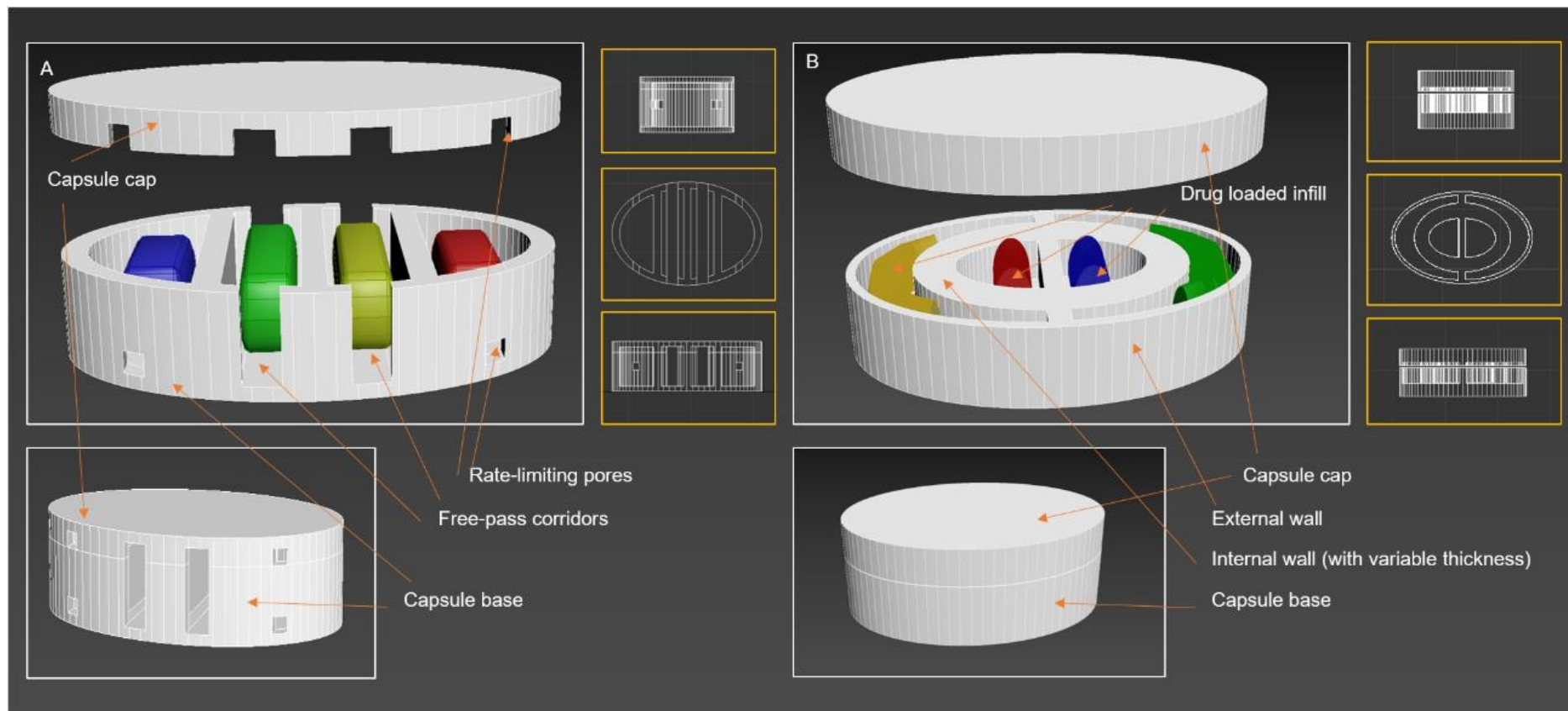


Figure 1 Schematic images of PVA capsules with increased thickness of **(A1)** inner wall and **(A2)** base and cap layers. Images of the PVA concentric design capsules **(A3)** 3D printed base, **(A4)** capsule filling, **(A5)** sealed capsules. **(A6)** SEM images of the inner wall with increased thickness. Images of PLA parallel design capsules **(B1)** printed base, **(B2)** capsule filling, **(B3)** sealed capsules. Detailed images and correspondent SEM pictures of rate-limiting pores with **(B4)** 0.25 mm² and **(B5)** 0.49 mm² areas and **(B6)** corridors from PLA capsules.

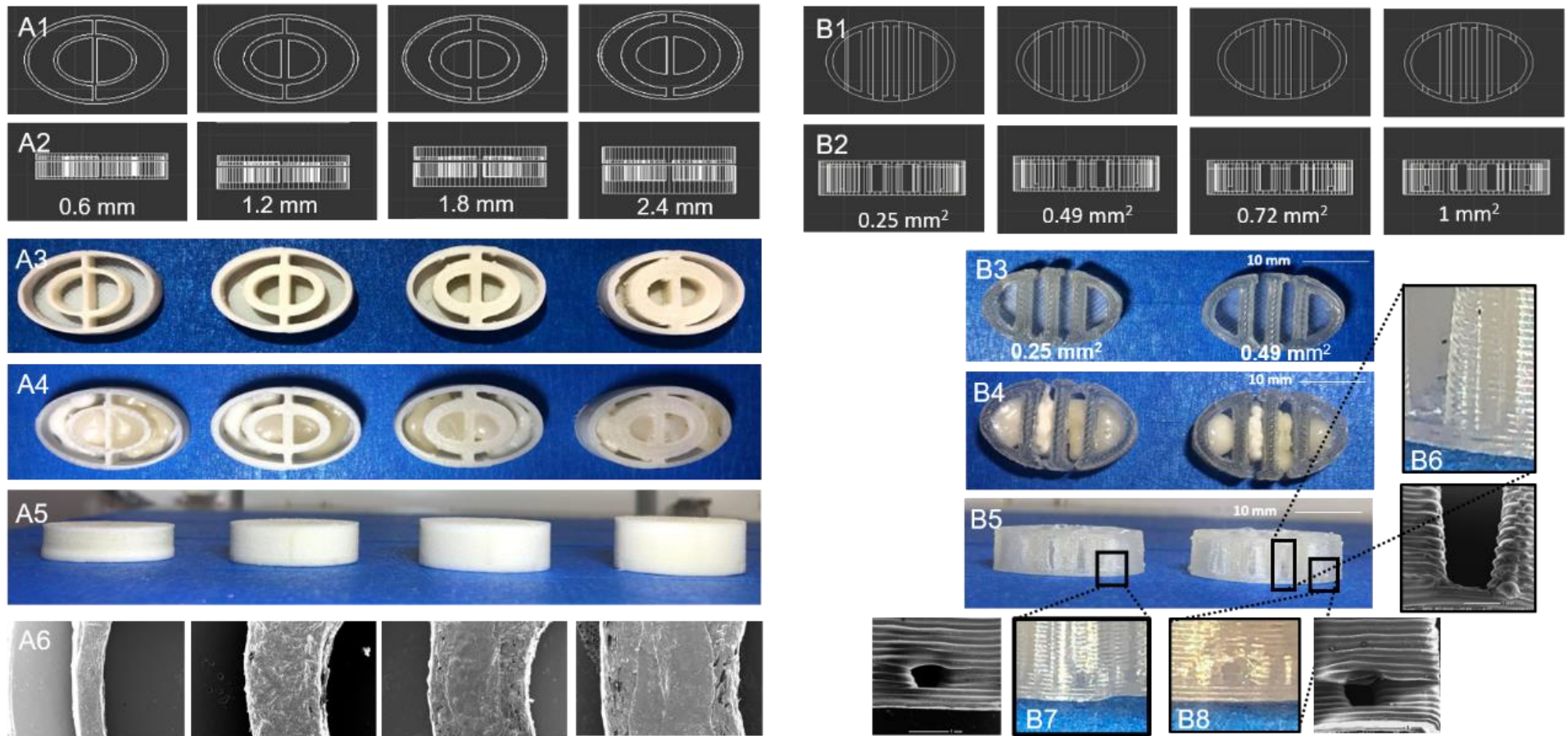


Figure 2 Rendered images of computer-aided design (CAD) (Autodesk 3DS Max) of capsule base and cap of (A) PVA capsules of concentric compartments design and varying internal wall thicknesses, (B) PLA capsules of parallel compartments with free-pass corridors and rate-limiting pores and

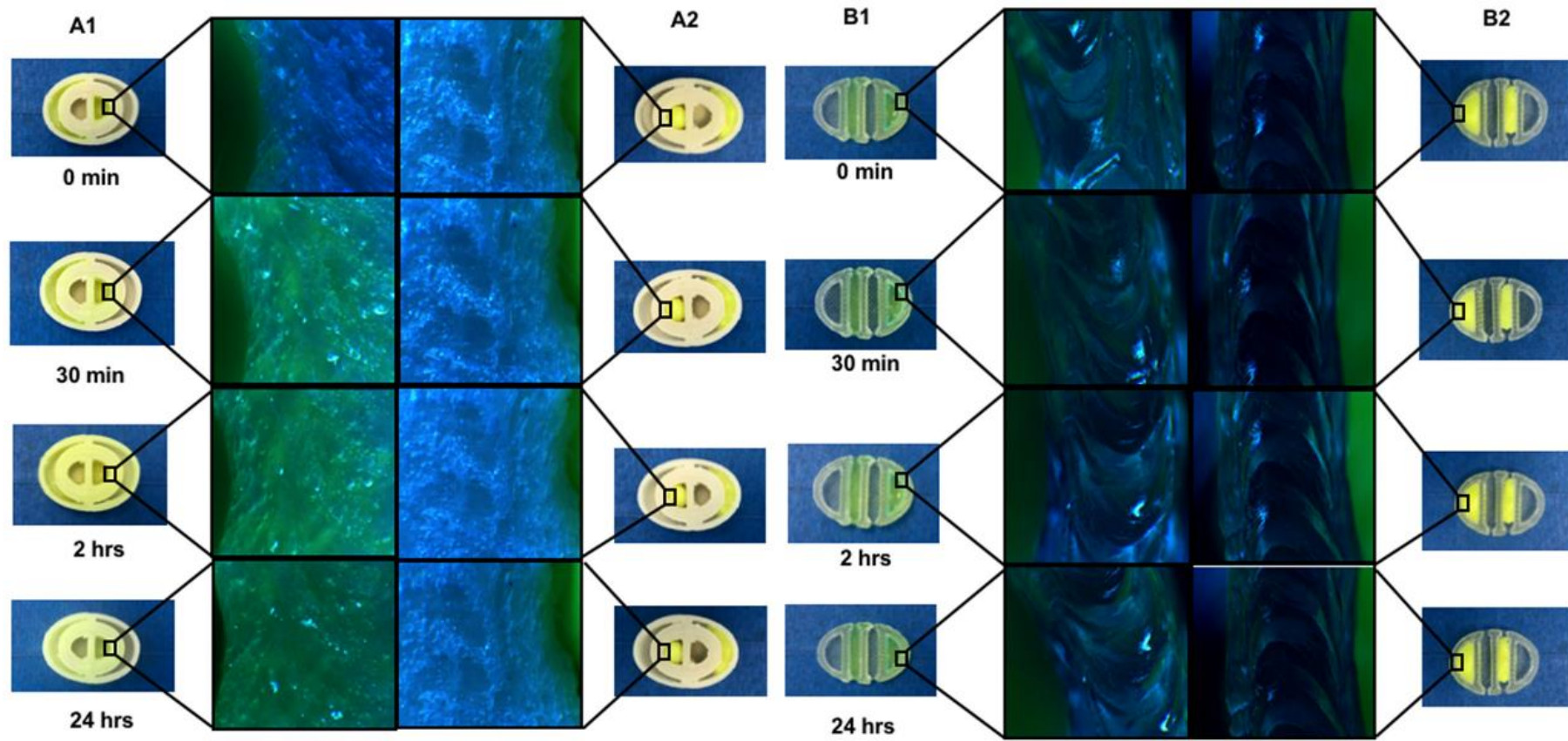


Figure 3 Images of (A1) PVA and (B1) PLA shells with dipyridamole PEG and (A2) PVA and (B2) PLA shells with dipyridamole-loaded capsule filling.

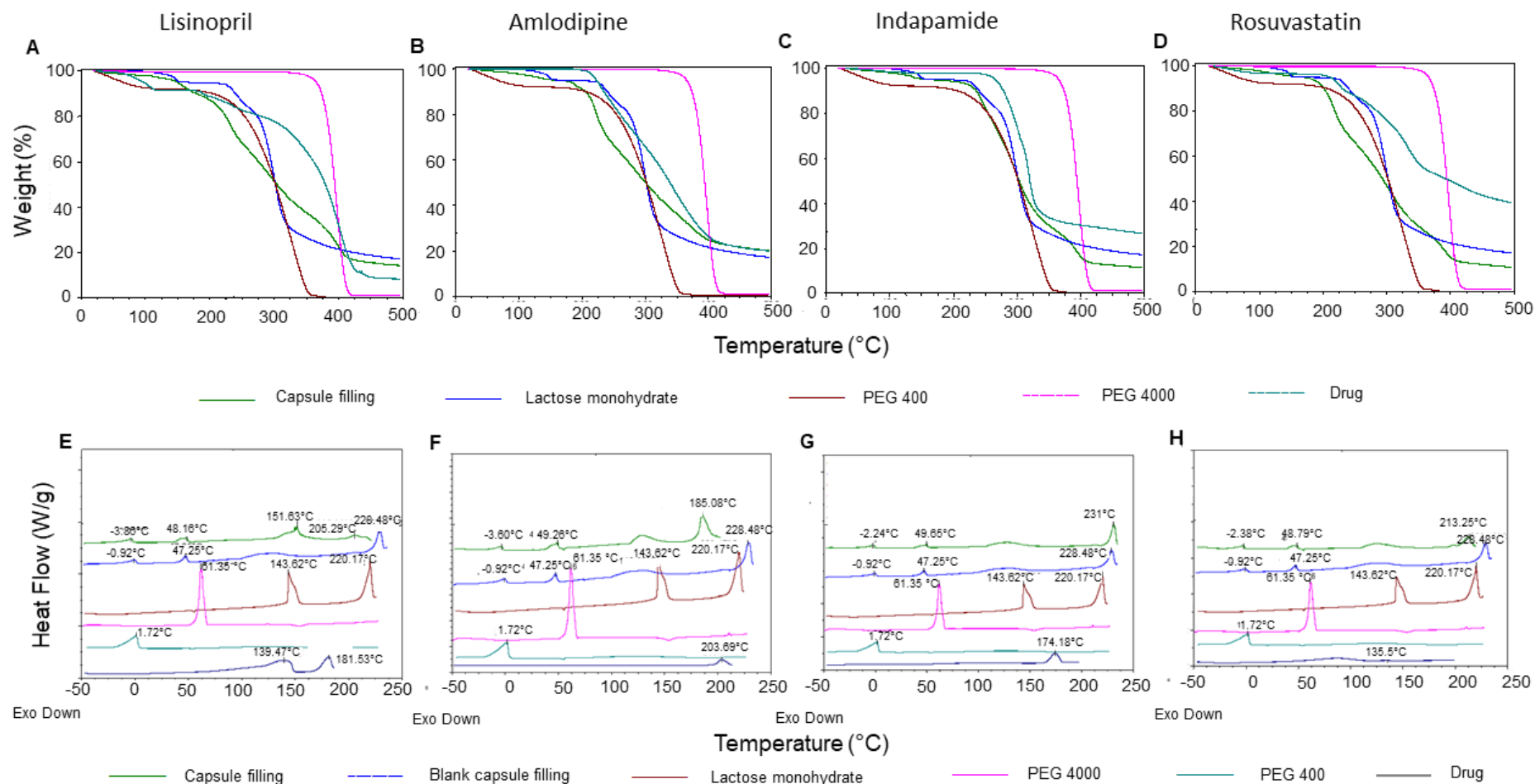


Figure 4 TGA profiles and DSC scans of raw materials and capsule filling of (A/E) lisinopril, (B/F) amlodipine, (C/G) indapamide and (D/H) rosuvastatin, respectively.

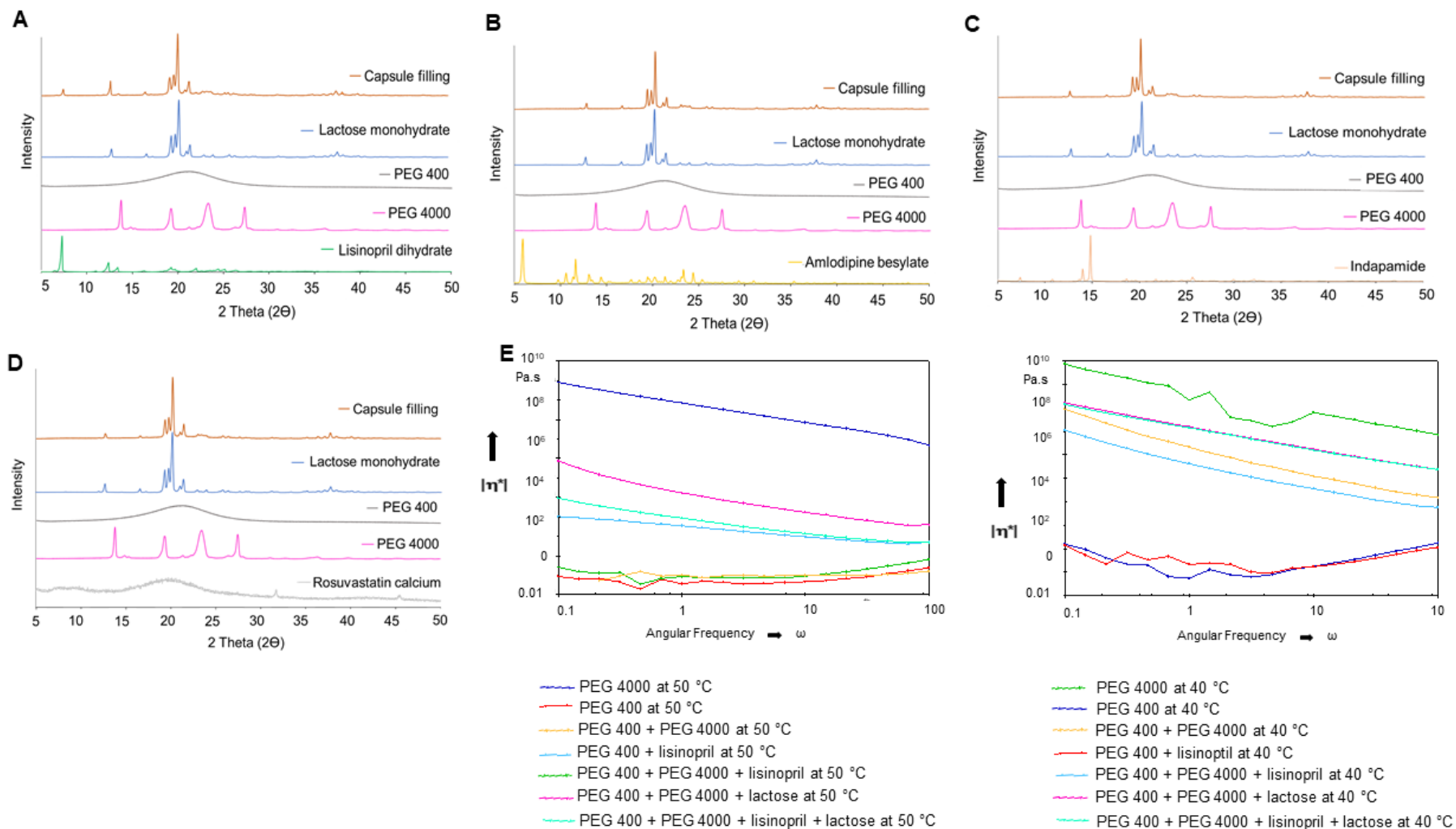


Figure 5 Powder XRD patterns of raw materials and capsule filling of (A) lisinopril, (B) amlodipine, (C) indapamide and (D) rosuvastatin. Complex viscosity of PEG 400, PEG 4000 and their mixtures with and without lactose and with lisinopril at (E) 50 °C and (F) 40 °C.

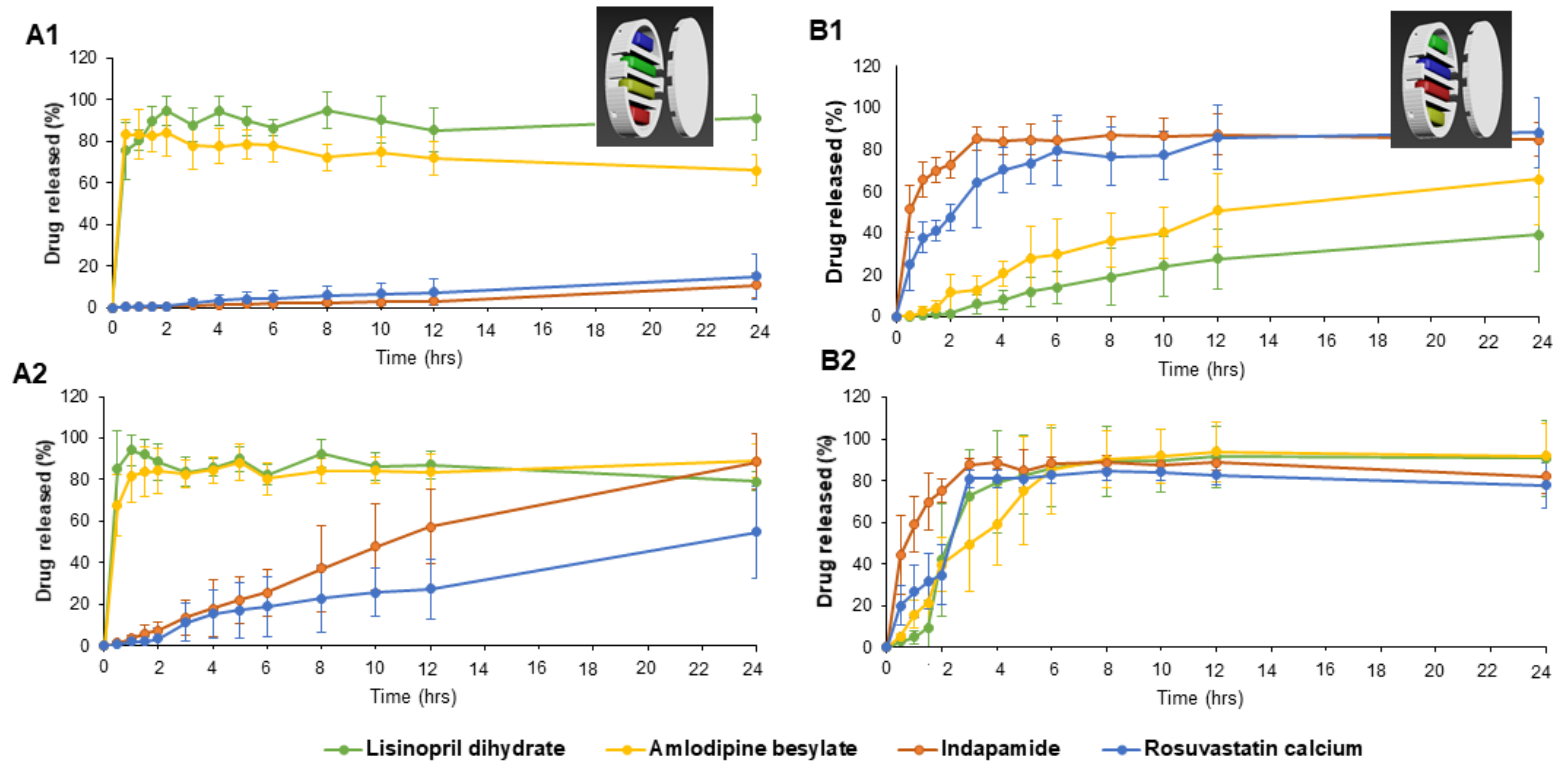


Figure 6 *In vitro* drug release of PLA parallel design capsules with (A1 and B1) 0.25 mm² pores and (A2 and B2) 0.49 mm² pores (n=6).

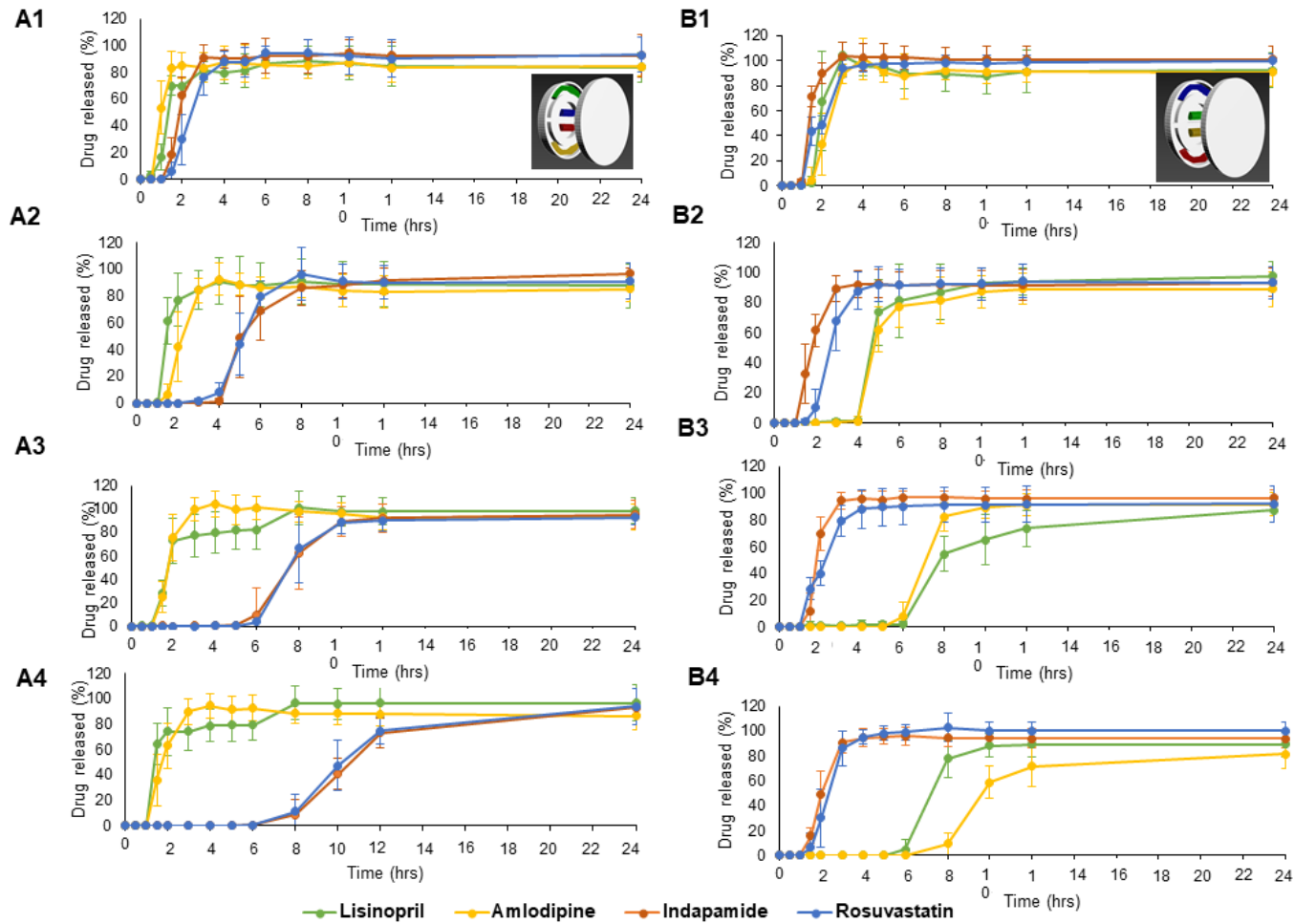


Figure 7 *In vitro* drug release of PVA concentric design capsules with (A1 and B1) 0.6 mm, (A2 and B2) 1.2 mm, (A3 and B3) 1.8 mm and (A4 and B4) 2.4 mm inner wall thickness (n=6).

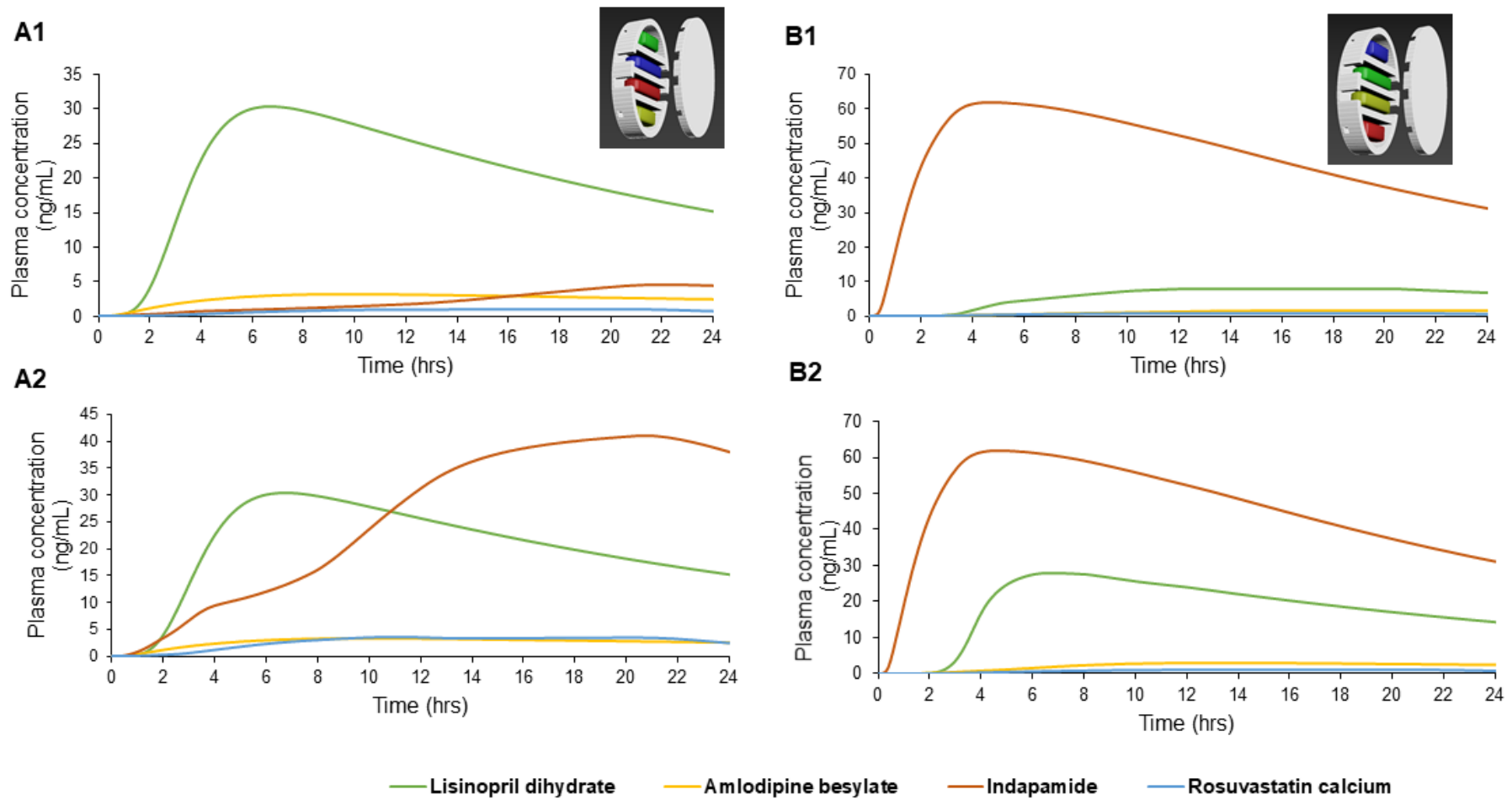


Figure 8 Simulated mean plasma profiles of PLA capsules with 0.25 mm² (A1/B1) and 0.49 mm² (A2/B2) pores PLA capsules, respectively.

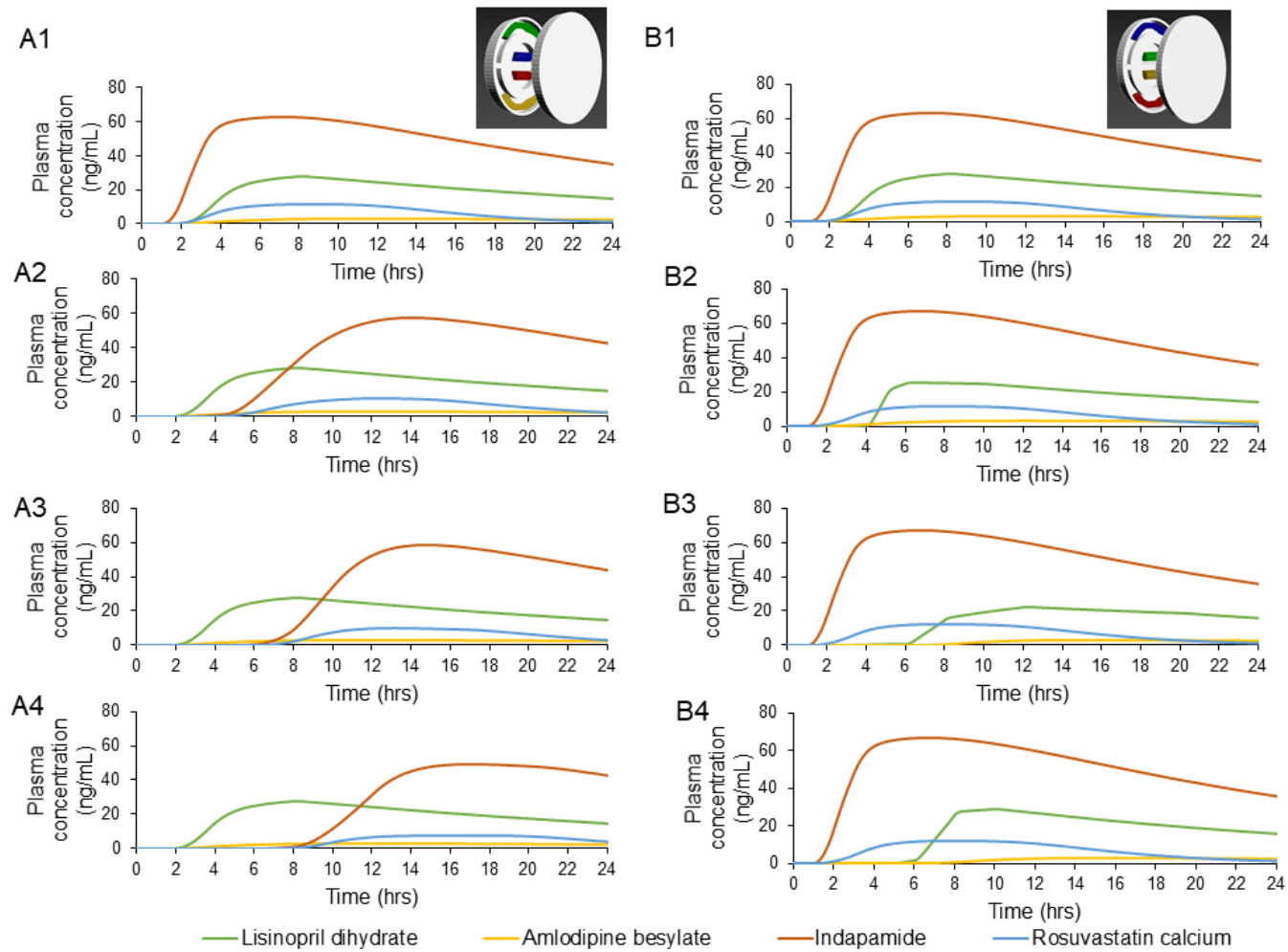


Figure 9 Simulated mean plasma profiles of PVA capsules with 0.6 mm (A1/B1), 1.2 mm (A2/B2), 1.8 mm (A3/B3) and 2.4 mm (A4/B4) wall thickness.

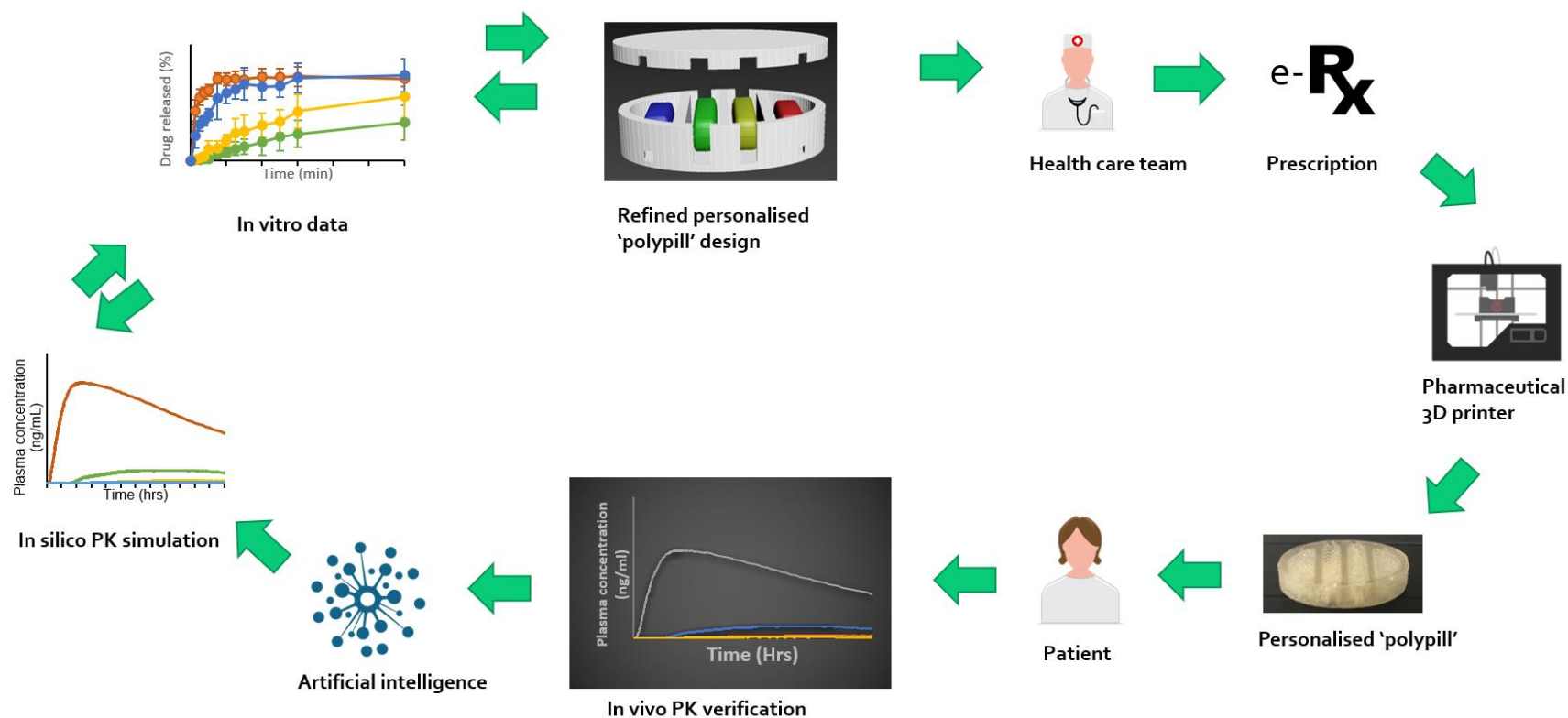


Figure 10 Schematic diagram of future scenario for integrated electronic healthcare system that employ Pharmaceutical 3D printer. The patient's medical information and genomic specifics will be fed in artificial intelligence system, where target PK simulation will be set. Computer software will help to generate an in vitro plasma profile and a tailored 'polypill' design will be built. Healthcare team will approve a corresponding e-prescription and a personalised polypill will be 3D printed and dispensed to the patient. The PK data from patients to improve and maintain target plasma exposure of multiple drugs. The increased number of repeated cycles as well as number participants will improve the accuracy of the system.

Table 1. Composition of hot-filled capsule contents.

Drug-loaded capsule filling	Ingredients (w/w%)						
	Lisinopril dihydrate	Amlodipine besylate	Indapamide	Rosuvastatin calcium	PEG 4000	PEG 400	Lactose monohydrate
Lisinopril dihydrate	10%	-	-	-	10%	30%	50%
Amlodipine besylate	-	5%	-	-	10%	30%	55%
Indapamide	-	-	2.5%	-	10%	30%	57.5%
Rosuvastatin calcium	-	-	-	10%	10%	30%	50%

Table 2. Solubility parameters in MPa^{1/2} and components.

Compound	Solubility parameters			
	δD	δP	δH	δT
Rosuvastatin	18.7	11.8	10	24.3
Lisinopril	17.1	8.2	9.1	21
Indapamide	21.6	18.9	9.6	30.2
Amlodipine	18	4.3	7.2	19.8
PEG	19.5	13.1	20.3	31