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# A UNIFIED PROBABILISTIC MODEL FOR PREDICTING OCCUPANCY, DOMESTIC HOT WATER USE AND ELECTRICITY USE IN RESIDENTIAL BUILDINGS

Jean Rouleau<sup>a</sup>, Alfonso Ramallo-Gonzalez<sup>b</sup>, Louis Gosselin<sup>a\*</sup>, Pierre Blanchet<sup>c</sup>, Sukumar
 Natarajan<sup>d</sup>

6 <sup>a</sup>Department of Mechanical Engineering, Université Laval, Quebec City, QC, Canada

<sup>7</sup> <sup>b</sup>Department of Computer Science, Universidad de Murcia, Murcia, Spain

8 <sup>c</sup>Department of Wood and Forest Sciences, Université Laval, Quebec City, QC, Canada

<sup>d</sup>Department of Architecture and Civil Engineering, University of Bath, Bath, UK

10

# 11 Abstract

12 A novel strategy that combines separate probabilistic models developed by other researchers into a unified model for generating schedules of active occupancy, domestic 13 14 hot water (DHW) use, and non-HVAC electricity use in multiple residences with a 10minute resolution for every day of the year is described. A variety of new model functions 15 16 are introduced in order to generate stochastic predictions for each of numerous residences at once, to enforce appropriate variability of behaviors between dwellings and to ensure 17 18 that domestic hot water and electricity use are coincident with occupancy. The separate 19 models used in this paper were previously developed for the US and the UK; in the unified 20 model, scaling factors were added to these models to adjust the predictions so as to better agree with national aggregated data for Canada. The unified model was validated with 21 22 measurements of domestic hot water use and electricity consumption from the 40 residential units of a social housing building in Quebec City, Canada. The behavior of 23 24 occupants in the case study building was simulated 100 times in order to validate the 25 outputs of the unified model. Goodness-of-fit tests applied to each of these simulations showed that the fit between simulated and measured dwelling-per-dwelling distributions 26 was acceptable for 97% of the DHW consumption profiles and for 92% of the electricity 27 28 consumption profiles. However, there remain discrepancies between simulations and 29 measurements, such as an overestimation of the DHW and electricity consumption in the 30 morning.

<sup>\*</sup> Corresponding author: Louis.Gosselin@gmc.ulaval.ca; Tel.:+1-418-656-7829; Fax: +1-418-656-5343.

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 use; Energy modelling; Stochastic model; Social housing

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# 35 **1. Introduction**

Up to 40% of the global energy demand comes from buildings [1], in part as a result of 36 inefficient design, construction and operational practices. Although low energy design and 37 construction approaches have achieved some success, it is known that poor operational 38 practices could compromise design performance targets by a factor of at least two [2]. 39 Reduction of energy consumption therefore needs to come not only from using improved 40 design and construction technologies, but also from recognizing the impact of occupant 41 42 behavior [3][4]. Yet, despite detailed investigations of occupant behavior and its impact on energy demand [5]–[7], variations in occupant behavior are scarcely considered in practice 43 in building modeling. 44

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46 Many user behaviors affect building energy performance, including: the number of active occupants present, the use of electrical appliances, the use of domestic hot water (DHW) 47 48 appliances, the use of lighting, the control of the heating cooling and ventilation systems, the control of window openings, and the control of blinds. At present, the industry normally 49 50 uses static schedules (i.e. typical daily schedules that are repeated over the years) to represent these actions in energy simulations. Although these deterministic schedules still 51 52 have their place in building simulation depending on the application (the final report of the EBC Annex 66 of the IEA has a chapter on the importance of fit-for-purpose in occupant 53 54 behavior simulation [8]), they have their shortcomings. With such an approach the amount of heat, DHW and electricity used in a specific building at a given time is fixed and 55 corresponds to an "average" expected behavior [8][9]. In reality, different individuals have 56 different preferences and hence adopt different behaviors. Consequently, any particular 57 building may have a range of possible energy consumption levels instead of the single 58 59 value obtained with static schedules. Hence, it is not surprising that great differences are often observed between the predicted energy consumption of a building and its actual 60

energy consumption. This so-called "energy gap" is most frequently due to occupantbehavior [11].

63

Another way to depict occupant behavior in building simulations that has the potential of 64 fixing this issue is the use of probabilistic models [12]–[15]. Since these models are based 65 on probabilities instead of a purely deterministic approach, they allow the representation 66 of more diverse occupant behaviors. These stochastic models allow new ways of 67 performing building designs. For example, Ramallo-González et al. initiated the concept 68 of robust optimization of low-energy buildings [16]. These variations lead to different 69 levels and patterns of energy end uses and thus can capture the wide range of possible 70 71 annual energy consumption of a building.

72

Most existing probabilistic occupant behavior models were built upon country dependent 73 data [17]–[19]. Since occupant behavior depends on socio-economic and psychological 74 factors, cultural differences can lead to different occupant behaviors, implying that 75 76 occupants in different countries might act differently [12][19][20]. Consequently, most existing probabilistic occupant behavior models cannot be employed straightforwardly all 77 78 around the world. One solution to this problem would be to replicate in each country the extensive monitoring process required for the development of these models in order to 79 80 obtain country-specific calibrated models. Sometimes, the required data is readily available in databases [22], but this is not the case for most countries such as Canada. Despite the 81 82 evident reliability and precision provided by extensive field surveys, it should be recognized that this approach is also quite cumbersome since surveys are very time-83 84 consuming and expensive to perform. Nevertheless, even when precise occupant behavior pattern is unknown, probabilistic models can offer the advantage of generating a range of 85 plausible profiles to be considered for design or other purposes. 86

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Another important limitation is that most probabilistic occupant behavior models found in the literature have been developed independently, and focus on individual issues (i.e.: either occupancy, or DHW use, or window openings). Consequently, a building professional may use one model to predict the occupancy in a building, then use a different model to predict the use of DHW. It would be substantially easier for users to employ one
unified model instead of relying on multiple unique models with varying methodologies
and differing nomenclatures.

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This study investigates the potential and limits of a unified model that predicts the number 96 of occupants, domestic hot water (DHW) use, and non-HVAC electricity use in multiple 97 98 residences with a 10-minute resolution for every day of the year. Section 2 details the 99 methodology employed to build the "integrated occupant behavior". Recognized probabilistic occupant behavior models were merged together. These original models were 100 developed independently using data from the US, Canada and the UK. In addition to 101 developing a unified method, this study introduces scaling factors to adjust the predictions 102 103 so as to better agree with national aggregated data for Canada, and measured data from a social housing building in Quebec City. The model developed in this study could be used 104 for other scenarios, but would need to use appropriate inputs. The model was implemented 105 106 in MATLAB [23] and was primarily developed with the idea of representing occupant 107 behavior in energy simulations of multi-residential buildings at the predesign or design stage, which dictated the required level of details and accuracy. The model could also be 108 109 used for other applications, such as for predictive control or demand-side management. For example, a methodology to size the DHW system in an apartment building was developed 110 111 based on an occupant behavior model in [24]. Section 3 discusses the limits of the approach that was used and the validity and precision of the model, by comparing its outputs with 112 113 measurements obtained from a multi-residential building in Quebec City, Canada. Both aggregated and disaggregated demands were analyzed (Sections 3.1 and 3.2). The effects 114 115 of the modifications brought to the existing occupant behavior models on the accuracy of 116 the unified model were then thoroughly studied (Section 3.3).

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#### 118 **2. Occupant behavior model**

This section presents the methodology used to develop the unified probabilistic occupant behavior model that is described and validated in this paper. The model predicts three behaviors: the number of active occupants in each of multiple residences, the DHW consumption in each residence, and the non-HVAC electricity consumption in each 123 residence. The model extends from work documented in a previous conference paper [25]. Each of the predicted behaviors interacts with each other to ensure that the generated 124 outputs are consistent. The flowchart in Fig. 1 exhibits the relationships between these 125 behaviors. The number of dwellings and the number of days must first be specified. Other 126 important parameters that can drive variability of energy consumption such as energy price, 127 socioeconomical status and appliance ownership are already considered by the model with 128 the use of probability functions that compute the type of occupants in each simulated 129 dwelling, so the users of the unified occupant behavior model do not need to provide such 130 information. The origin of these probability functions are discussed later in the paper. By 131 adapting these inputs, the model could also be useful for other scenarios not tested in this 132 study. The blue boxes in Fig. 1 represent the internal parameters within the model that have 133 to be changed so to adapt the model for a specific country. 134







Figure 1: Architecture of the occupant behavior model showing the relationship between all components.
 Green boxes refer to inputs that have to be provided by the model user. Blue boxes are the building/country
 specific data whereas yellow boxes are the outputs of the model.

- 140
- 141 2.1 Active occupancy model

142 The initial step of the model is to find when occupants are active in their home. For its 143 simplicity, the stochastic daily occupancy profiles generator developed by Richardson et 144 al. [17] was chosen to serve as the basis for the active occupancy model. Active occupants are defined here as occupants that are physically present and not sleeping. Richardson's 145 model employs a first-order Markov-chain Monte Carlo method [26]. The number of active 146 occupants at a given time step depends only on the number of active occupants at the 147 preceding time step, the day of the week, and the hour of the day. Richardson's model uses 148 a 10-minute resolution, meaning that there are 144 time steps in a day. The probability of 149 changing from one state (i.e., number of active occupants) to another is different for each 150 of these time steps. These probabilities are logged in "transition probability matrices" that 151 are based on a survey of 20,000 weekly UK household journals [27]. 152

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Three additions to Richardson's model were incorporated. First, the possibility of allowing the model to choose the household size of each simulated dwelling was included. In Richardson's model, the user must provide the household size. In the present model, the household size can be generated randomly based on a probability distribution of the given country (in our case from Canadian household statistics [28]). Note that this step is not mandatory if one already knows the household size of the dwellings.

160

161 The second adjustment modifies Richardson's model to fill in unknown parameters for one country using data from a different country. Researchers have developed occupancy 162 163 models that are similar to Richardson's in the US [29], Spain [30] and Sweden [31]. The center for Time Use Research in Oxford have uploaded data files that contain time use 164 165 information from dozens of countries [22]. However, for some of these countries (Canada being one of them), the available time use information provides the number of minutes 166 spent by citizens on various activities, but not the starting time of these activities. It is thus 167 impossible with that data to find precisely at what time occupants were actively at home, 168 preventing the replication of Richardson's methodology to create occupancy simulator for 169 170 those countries. However, it is possible to compute the aggregated daily amount of time during which a person is actively at home. Knowing this data for two countries, it is 171 172 possible to calculate a scale factor to adapt an occupancy model developed in one country 173 so as to represent occupancy in a different country. Referring to the case of the UK, time-174 use survey overviews say that British citizens spend on average 1,003 minutes per day in 175 their home and sleep for 476 minutes, meaning that they are active in their dwellings for 527 minutes per day [27]. In Canada, these numbers are 990 minutes at home and 498 176 minutes of sleep; consequently for this study 492 minutes of active occupancy was used. 177 [32]. Therefore, Canadians spent on aggregate 35 fewer minutes per day awake at home 178 179 than British – an average reduction of 6.6% of active occupancy. For this scaling approach to be appropriate, one has to assume that the lifestyle in the two countries considered is not 180 too dissimilar. 181

182

Any time a random number is drawn to find the number of active occupants for the next 183 time step, the number is multiplied by a scale factor that ensures that occupancy respects 184 national aggregated data. The model was run 1,000 times after the application of this scale 185 factor for a household during a weekday and a weekend day. This number of simulations 186 was chosen based on the work of McKenna et al., who showed with a similar model that 187 188 negligible variations of aggregated results are found after 1,000 simulations [33]. It showed that active occupancy lasts for 473.0 minutes during weekdays, 539.2 minutes during 189 190 weekend and thus as expected 492.0 minutes per day on average. The main effect that this change had on the aggregated occupancy daily schedules was to reduce slightly the 191 192 probability of occupants being active throughout the day. Therefore, this scaling methodology relies on the assumption that apart from the total time of active occupancy, 193 194 people from the two countries that are compared are likely to follow similar occupancy patterns (i.e. waking up at the same time of the day and likewise for going to work, coming 195 196 back home and going to sleep). It is clear that the assumption that the occupancy pattern in a country can serve as the basis for developing the occupancy pattern in another country 197 198 might not be true if the two countries are too dissimilar. Evidently, when one would already have access to TUS data or to a specific occupancy model for the country of interest, it 199 200 would be preferable to refer to this data. However, when such detailed information in 201 unavailable, the proposed methodology could be considered, and in that case, the scaling 202 is a simple and convenient way to adapt the occupancy profiles with the available information. 203

205 The final modification accounts for diversity in occupancy patterns between different 206 households. Families have different needs and live through different situations, meaning that some households have individuals at home more often than other households. To 207 208 reproduce this "dwelling-to-dwelling" variability, the model employs a probability distribution to assign an average daily occupancy duration to each dwelling. This 209 210 methodology does not necessarily cover all possible occupancy patterns, but it captures a more realistic diversity of occupied hours per dwelling. The chosen probability distribution 211 assumes that the average amount of time spent at home for a dwelling follows a normal 212 distribution since no indication were found as to what distribution law should be used. The 213 mean of the distribution is set to one so that its introduction in the model will not affect the 214 aggregated occupancy. The standard deviation was computed with results from Aerts et 215 al., who found that people who are mostly absent from home spend approximately 240 216 minutes per day at home while those mostly at home stay there 720 minutes when they 217 clustered households in seven distinct groups according to their occupancy profiles [34]. 218 This work was made in Belgium, where the average active occupancy is 493 minutes per 219 day [34]. The standard deviation of 114 minutes was chosen for the normal distribution of 220 221 occupied daily hours per dwelling so that the range of values agrees with Aerts' data. This standard deviation is equal to 23% of the mean value. Therefore, for every household, the 222 223 scale factor in the model is multiplied by a random parameter which follows a normal distribution with a mean value of  $\mu = 1$  and a standard deviation of  $\sigma = 0.23$ . 224

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Figure 2: Distribution of the average daily amount of time of active occupancy per person in 1,000 simulated dwellings according to different models. The average daily amount of time of active occupancy should be between 240 and 720 minutes.

229 230

231 The methodology was used to obtain annual profiles for 1,000 dwellings. Their total time of active occupancy was then separated into distinct bins of 100 minutes per day per person. 232 233 Fig. 2 shows the resulting distribution and compares it to the one obtained by repeating this process for two other simulation strategies that do not employ a distribution to infer 234 235 "dwelling-to-dwelling" diversity: (i) simulating one weekday and one weekend day for a 236 dwelling and replicating them over a year (use the obtained weekday schedule for 261 days 237 and the weekend one for the remained 104 days) and (ii) simulating 365 days (use a different simulated schedule day after day) without inducing diversity between the 238 households. Strategy (i) should yield "dwelling-to-dwelling" variability in occupancy 239 patterns, but practically no "day-to-day" variability since the same days are repeated over 240 and over again. For strategy (ii), it is the opposite – occupancy schedules are different day 241 after day, but all households should have similar aggregated occupancy behaviors since no 242 diversity was enforced. This is shown in Fig. 2 where the latter option leads to a very 243 narrow distribution that is not close to the target "dwelling-to-dwelling" diversity (240 to 244 720 minutes of active occupancy) found from Aerts' study. The "simulating two days" 245 solution tends on the other hand to overrate the diversity of occupancy as a non-negligible 246

proportion (10.7%) of the dwellings are outside the target "dwelling-to-dwelling" diversity. 247 248 This option yields a standard deviation of 148 minutes per day, which overestimates the 249 target of 114 minutes by 29.8%. The average value obtained from multiple draws is quite variable for small numbers of draws but will converge towards a specific value for a large 250 251 number of draws. This explained why the "simulating 365 days" strategy greatly underestimates the diversity of occupied hours whereas the "repeating 2 days over a year" 252 253 strategy overcompensate. These results are based on the assumption that the probability 254 distribution used in the model to enforce diversity in occupancy patterns is accurate.

255

### 256 <u>2.2 Domestic Hot Water (DHW) model</u>

Few probabilistic DHW models that generate volumetric consumption are available in the literature [17][34][35]. Most of the DHW models are integrated in thermal domestic demand models that compute the thermal demand for DHW. These models use a range of methods such as non-homogeneous Markov chains [32][36][37], time-series [39], probability density functions [15] or neural network [40] to predict the heat demand due to the consumption of water.

263

264 A popular and easy-to-use model is the yearly DHW event schedule generator developed by Hendron et al. [18], [41]. This model generates an annual volumetric DHW profile for 265 266 a single dwelling by dividing DHW consumption into five types of water appliances (shower, bath, sink, clothes washer and dishwasher). Each appliance has a daily probability 267 268 density function (PDF) that determines the probability that the appliance is involved in a hot water event at each hour. These PDFs were computed with datasets coming from two 269 270 monitoring studies in the United States [41]. When the model predicts a hot water event, the volumetric consumption is calculated by multiplying the duration of the event with the 271 272 flowrate at which water is consumed. These two variables are randomly chosen according to different PDFs that are specific to the five hot water appliances. This model is based on 273 274 data coming from one country and, like Richardson's occupancy model, might not 275 adequately represent the DHW demand patterns in other countries.

277 Six modifications were implemented to adapt Hendron's model for the model described in this paper. First, a linear interpolation was made to adjust the hourly resolution of the start-278 279 time PDFs from hourly resolution to the 10-minute resolution used in the model. Second, a calibration scalar was added to account for the household size. There should be more hot 280 water events in dwellings that have large household size and vice versa. As suggested by 281 other studies [42]–[44], a linear scaling with a slope of 35 litres per person, divided within 282 the five appliances, is used for this calibration. This slope is equal to the value used by the 283 Canadian building simulation software HOT2000 [45]. 284

285







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Figure 3: Modification made to the probability density function of a shower event to account for active occupancy.

The third modification links DHW consumption to occupancy. The shower, bath and sinks cannot use DHW when there are no occupants active in the building. In addition, there should be more DHW consumption when there are many active occupants in the dwelling. Therefore, for all time steps, the PDFs are multiplied by the projected number of active occupants to increase the probability curves in time steps with high occupancy. The area under the curve of the new PDFs must be equal to the initial ones to ensure that the daily total DHW use is unaffected by this change. The modified functions are thus multiplied by 297 a correction factor that is equal to the ratio between these two areas. Fig. 3 offers a graphical 298 example of this procedure for the probability of using the shower during a single day. The 299 aggregation achieved by simulating 1,000 different days is shown in Fig. 4. If active occupancy (blue curve) had no influence, the probability curve before the fitting with 300 301 occupancy (black curve) would perfectly be superimposed with the aggregated function generated after the fitting (red curve). The morning peak in the aggregated PDF happens 302 an hour later than in the previous function, probably due to the British origins of the 303 occupancy model versus Hendron's model which was developed for the USA. In the 304 evening, since it is the peak period for active occupancy, there is an increase in the 305 probability of a shower event. The integration of the black and red curves provides identical 306 307 values, demonstrating that this treatment is only affecting the timing of events and not the overall quantity of events. 308

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The fourth adjustment scales Hendron's model from American to Canadian data (see Table A2). A scale factor reduces the PDFs that are used for the duration of hot water events since Americans and Canadians have slightly different DHW consumption levels. The fifth

modification is another scale factor that decreases the flowrate to account for low-flow
devices (showerheads, dishwashers, washing machines and sinks) that are getting more
widespread. A reduction factor of 20% was selected based on an analysis of retrofits in
[46]. This factor is applied to all appliances except for the bath.

321

The sixth and final change to Hendron's model was the consideration of diversity in the 322 323 level of consumption between dwellings. To do so, a scalar is drawn from a "diversity" PDF that is based on a monitoring study [42]. This study provides the distribution of daily 324 DHW consumption of 119 households, ranging from an average of 12.5 L/day to 612.5 325 L/day with a mean value of 172.0 L/day. Part of that variability is due to the number of 326 occupants forming these households, but the study also gives the distribution of occupancy 327 328 in the monitored dwellings in addition of a best fit equation to find the average daily DHW consumption in L/day from the household size: 329

$$V_{\rm DHW} = 39 \times \# \rm Occ + 17 \tag{1}$$

where #Occ is the number of occupants living in the dwelling. By combining this best fit 330 331 equation with the occupancy distribution, it is possible to find what the distribution of DHW consumption would be if every occupant asked for the same volume of water. Fig. 332 333 5 compares this "household size based" distribution with the one actually measured in the 334 119 homes. It is clear that the measured distribution is larger than the one predicted strictly with the household sizes – more dwellings have an average consumption below 100 L/day 335 and above 300 L/day. This is suspected since people have different habits and some use 336 337 more DHW than others. A random parameter has to be applied to Eq. (1) to simulate this 338 aspect. Different distributions were tested and it was found that the log-normal distribution with a mean of  $\mu = 0$  and a standard deviation of  $\sigma = 0.35$  provided the best fit between 339 the generated DHW consumption distribution and the one measured in the study. The 340 341 average output of a log-normal distribution with  $\mu = 0$  is 1 so this introduced parameter 342 does not change the predicted aggregated volume of water. Therefore, in the model, each dwelling received a 'diversity' parameter from this distribution which is multiplied by the 343 344 duration of hot water events to calibrate the total volume consumed by the household. This modification changes the average volume of water used per event, but not the number of 345

events itself, i.e. heavy DHW users are considered in the model as people taking long
showers, not as people taking many showers. The frequency of hot water events is already
linked with the number of occupants living in the dwelling.

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Figure 5: Comparison of the measured density of average daily DHW consumption with the one generated
 by only considering household sizes.

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### 354 <u>2.3 Electricity model</u>

Several residential electricity consumption models have been created by previous 355 researchers to predict the intensity and timing of demand and peaks and various 356 357 methodologies have been proposed. For instance, Chitnis and Hunt developed a model that uses financial aspects (price of electricity, household income, appliance ownership...) as 358 independent variables to help predict residential electricity consumption [47]. Harris and 359 360 Liu included weather data (temperature, precipitation...) in their electricity consumption [48]. The type of occupants (age, gender, education) is considered in the model created by 361 Fischer et al. [49]. "Economic" models often run into the problem of combining aggregated 362 economic data with disaggregated load profile data, hence the recent gain in popularity of 363 "non-economic" models that prefer to use time-use surveys as their basis [36][47]–[49]. 364 Two of these time-use surveys based models are the ones developed by Richardson [19] 365 366 and Armstrong [53], which were both taken in this paper to simulate the electricity 367 consumption. Since it is already connected with the active occupancy model, Richardson's
368 was taken to generate schedules for the use of electric appliances, as these schedules greatly
369 depends on active occupancy. As for Armstrong's model, it was employed for the usage of
370 the lighting systems. Armstrong's model has the advantage (in the context of this paper) of
371 being based on Canadian lifestyle.

372

Like his occupancy model, Richardson's electricity use model relies on the Markov-Chain 373 technique. This technique is an efficient way to model the use of electrical appliances as 374 these appliances have two possible states (on/off). Consequently, their popularity in 375 electricity forecasting models is not surprising [11], [27], [51]. In time-use based electricity 376 377 models, Markov chains create daily schedules of activities in a building by identifying the times at which occupants switch from one activity (e.g.: cooking, laundry, watching TV) 378 to another. The probability density functions for transition between different activities were 379 computed from time-use survey data, as in his active occupancy model. Every individual 380 appliance is linked to an activity so that its likelihood of being used increases once the 381 382 corresponding activity is ongoing in the generated activity schedule. Contrary to Hendron's DHW model, when an appliance is seen as being activated, it is used for a constant duration 383 384 with a specific power consumption since no data could be found on the variability of the duration of use of the electrical appliances considered in the model. Future iterations of the 385 386 model could include this detail.

387

388 Once again, Richardson developed a model that is based on measured household electricity use in the UK and aggregated electricity use data from Canada was used to scale 389 390 Richardson's model to fit with Canadian lifestyle so the predictions of the model could be 391 validated with the data available for this specific work. Table A1 lists the aggregated 392 amount of time that a Canadian spends on cooking, on watching TV and on household work [32]. Differences are observable between this data and the ones found in time-use 393 394 surveys made in the UK [27]. The activity probabilities were multiplied by a scale factor 395 to ensure that the aggregated results are identical to the left column of Table A1.

397 Table A3 contains the list of appliances that are considered in the model shown in this 398 paper. Out of the 33 electrical appliances that are considered in Richardson's model, some 399 were taken off. Chest freezer, Fridge freezer and Upright freezer were merged in one single appliance named Freezer. Likewise, Tumble dryer and Washer dryer became Dryer. 400 Answer machine, Cassette Player, Clock, VCR/DVD player, Cordless telephone, Fax and 401 *Printer* were eliminated as they either are devices that are rarely seen in dwellings today 402 or that consume a negligible amount of energy. Small cooking (group) was divided in 403 multiple end-uses: Toaster, Exhaust fan and Coffee Maker. Moreover, all appliances 404 related to electric domestic water or space heating were not considered since this model is 405 about the non-HVAC electricity consumption of residential buildings. Two additional 406 devices were introduced: Laptop computer and Hair dryer. 407

408

The activity *None* in Table A3 means that the appliances do not require active occupancy 409 to be operating. For devices that are associated with Occupant, there has to be at least one 410 active occupant in the dwelling for them to be turned on. The Clothes washer and 411 412 Dishwasher appliances are simulated differently since they are linked to Domestic Hot *Water*. The DHW part of the model directly identifies time steps in which these appliances 413 414 are used, so there is no need for calibration scalars. The rest of the activities are the ones considered by Richardson and are simulated with the activity probabilities matrix: 415 416 Watching TV, Cooking, Laundry, Washing/Dressing, Iron and House cleaning. The probabilities of use provided in Table A3 describe the likelihood that an appliance is 417 418 operating once its corresponding activity is enabled in the activity schedule. For example, when the *Cooking* activity is happening, there is a probability of 17.2% that the hot plate 419 420 is used by the occupants. For their calculations, the total number of hours of operation per 421 year has to be computed:

$$D_{i} = \frac{1000E_{i} - 8760P_{off,i}}{P_{on,i} - P_{off,i}} \text{ for } i = 1, 2..., m$$
(2)

422 where  $E_i$  is the aggregated energy consumption in kWh measured in Canadian homes 423 found in Table A3 for appliance i,  $P_{on,i}$ , its power consumption when operating and  $P_{off,i}$ , 424 the standby consumption. Inserting proper numerical values in Eq. (2) gives, for example, a use of 168.3 hours per year for the hot plate. Knowing this duration, it is possible to findthe annual number of events:

$$M_{i} = \frac{60D_{i}}{\lambda_{i}}$$
 for  $i = 1, 2..., m$  (3)

427 where  $\lambda_i$  is the event length in minutes. Continuing with the example of the hot plate, 428 which was attributed an event length of 16 minutes, the model must produce an average of 429 631 events per year. To obtain the probability that people use the hot plate when cooking, 430 the total number of time steps in which the *Cooking* activity is activated is needed:

$$N_{j} = \frac{365\delta_{j} \times 2.4}{\Delta t}$$
 for j = 1, 2..., n (4)

Here,  $\delta_i$  represents the daily aggregated amount of time spent on activity j and  $\Delta t$  the 431 model time step.  $\boldsymbol{\delta}_j$  is multiplied by 2.4 because according to the household size 432 433 distribution, the mean household size is 2.4 occupants per dwelling. For the Cooking activity, Canadians cook 42 minutes per day, meaning that in the average dwelling, there 434 is cooking for 100.8 minutes per day (36,792 minutes per year). With a time step of 10 435 minutes, this translates for the model into 3,679.2 time steps in which Cooking should be 436 enabled. The probability that the hot plate is operating when cooking is merely the ratio 437 between the targeted amount of hot plate events and the number of *Cooking* time steps: 438

$$P_{i} = \begin{cases} \frac{M_{i}}{N_{j}} & \text{if } j = \text{on} \\ 0 & \text{if } j = \text{off} \end{cases}$$
(5)

Hence, a probability of use of 631 / 3679.2 = 17.2% for the hot plate. The same procedure was repeated for all appliances to get the parameters displayed in Table A3.

441

As previously mentioned, Armstrong's electricity model, which is based on probability density functions, was used to simulate the consumption of the lighting systems. Each season has its own daily probability curve to calculate the odds of a lighting event happening. Use of lighting greatly depends on multiple building aspects, such as its localization and orientation, its window-to-wall ratio or the shading of the surrounding 447 buildings. For the sake of simplicity, these aspects are not considered in these PDFs. The variability of lighting appliance use introduced by these aspects is assumed by Armstrong 448 to be included in the probabilistic aspect of the model. When a lighting event occurs, the 449 power consumption varies between 60 and 410 W and the duration of the event is selected 450 between 5 and 120 minutes. These two parameters are selected based on a uniform random 451 distribution. The modification made to Armstrong's model was to adapt the PDFs so they 452 fit with occupancy profiles. The treatment applied to Hendron's model to account for 453 occupancy was repeated for the probability curves of lighting events. 454

455

For each dwelling, a scale factor was applied to the 'probability of use' parameters for electrical appliances. This factor was defined as the product between three sub-factors: one that is due to household size  $s_{\#Occ}$ , another for the type of consumer  $s_{consumer}$  and a final one to consider the type of building  $s_{building}$ :

$$\mathbf{s}_{\text{dwelling}} = \mathbf{s}_{\text{\#Occ}} \times \mathbf{s}_{\text{consumer}} \times \mathbf{s}_{\text{building}}$$
(6)

The 'number of occupants' sub-factor (S<sub>#Occ</sub>) was estimated with data taken from Statistics 460 Canada suggesting that the relation between electricity consumption and household size 461 has a slope of approximately 3.75 kWh/day per occupant [55]. As for the 'type of 462 consumer' sub-factor (S<sub>consumer</sub>), according to Armstrong the mean daily electricity use for 463 detached houses in Canada ranges from 13.2 to 35.6 kWh/day. Unfortunately, since studies 464 on the diversity of electricity consumption between different people are rare, it was not 465 possible to isolate the variations of consumption that are due to the household size. 466 Applying the methodology used to determine diversity in active occupancy, the range 467 468 delimited by 13.2 and 35.6 kWh/day corresponds to a normal law with a mean value of 469 24.5 kWh/day and a standard deviation of 5.6 kWh/day. The standard deviation is equal to 22.9% of the mean value, and therefore for each dwelling a normal distribution with  $\mu = 1$ 470 and  $\sigma = 0.229$  drives the value of the 'type of consumer' sub-factor. Once again, the 471 distribution's unitary mean value ensures that this sub-factor does not affect aggregated 472 results. A minimum of zero is set for this parameter so there cannot be negative 473 consumption. Since this prescribed minimum is more than three standard deviations away 474 from the mean, the distribution is not visibly truncated and the effect of this constraint on 475

476 the mean output is negligible. The 'type of building' parameter is there to adapt the energy 477 demand for apartments. All data related to electricity used so far were representative of 478 consumption in detached single houses. Since the electricity consumption is quite larger in 479 detached houses than in apartments (mostly due to a larger floor area and a larger set of electrical appliances), an adjustment is necessary to simulate consumption in apartments. 480 In [56], which presents the overall energy consumption of 8,230,596 detached houses and 481 2,059,428 apartments in Canada, the average non-HVAC electricity consumption of an 482 apartment is approximately 57% of the one of a detached house. If one wants to simulate 483 detached house, the 'type of building' sub-factor should be set to 1, but it needs to be 0.57 484 485 for apartment units.

486

### 487 **3.** Comparison of the model with in situ measurements

The model was compared with measurements taken in a recently constructed multi-488 residential social housing building in Quebec City, Canada. Data measured in this building 489 490 include DHW volumetric demand for each of the 40 dwellings along with the electricity 491 consumption of eight apartments. These quantities were measured every 10 minutes. In addition to the real-time measurement of electricity for some of the dwellings, the 492 493 electricity consumption of the remaining 32 dwellings was recorded every month by electricity meters. Since heat needed for space heating and DHW is provided to the building 494 495 by radiators using hot water from a district heating system, the electricity consumption was used for non-HVAC purposes. Electricity used by the fans of the ventilation system were 496 497 measured at the building level, but not at the dwelling level so it was not included in the electricity consumption of an apartment. The monitoring duration considered for the 498 499 validation is a full year (from January 1<sup>st</sup> 2016 to January 1<sup>st</sup> 2017). This dataset was independent from the model – it was not used in the making of the model and therefore can 500 501 be used for independent validation. In practice the occupant behavior model could be used before the construction of the building (e.g., for energy simulations or sizing equipment) 502 503 and therefore, it would not be possible to adjust the model to fit in situ measurements.

504

505 The total population of the building during the monitoring period was 90 people (an 506 average of 2.25 occupants per household). According to the household size distribution 507 used in the model, this number was lower than average, but not abnormally low  $(22^{nd})$ 508 percentile of possible building population). For both DHW and electricity consumption, 509 the objective of the work presented here was to achieve a model that accurately depicts stochasticity in occupant behavior while still offering satisfying aggregated results. 510 Therefore, the validation of the model is divided in two parts. The first part checked the 511 aggregated patterns, where the whole building consumption ass compared to aggregated 512 results from the model. The other part of the validation will study diversity in consumption 513 between individual households. Because no data were taken for active occupancy in the 514 real building, this part of the model could not be directly validated. However, due to its 515 link with the other two simulated behaviors, adequate consumption representation 516 indirectly revealed whether the occupancy is appropriately simulated. Furthermore, it had 517 already been shown in Fig. 2 that the active occupancy model generates satisfying results 518 regarding aggregated national statistics. 519

520

# 521 <u>3.1 Aggregated demand</u>

522 Consecutive simulations of the same building can provide different results due to the stochastic nature of the model. To quantify the different possible levels of DHW and 523 electricity consumption of the building, multiple simulations were performed and 524 compared with the monitored building to obtain various overall annual profiles. The 525 526 number of simulated dwellings was set to 40, the number of days to 365 and the household size distribution is identical to the one found in the real building (i.e. each simulation had 527 528 a population of 90 people). The evolution of the distribution of building consumption is presented in Table 1 as a function of the number of simulations performed. The non-zero 529 530 standard deviation (which refers to the deviation found from the distribution of average DHW consumption of each building simulation) demonstrates that the total DHW and 531 electricity consumption of the building cannot be precisely known before operation due to 532 the occupant behavior, even if the impact of every household is smoothened over 40 533 534 dwellings. After 100 simulations (translating into a total of 4,000 simulated dwellings), the 535 average daily DHW use and electricity demand are respectively 134.8 litres per dwelling and 13.86 kWh per dwelling. A consumption level of 134.8 litres corresponds to a 536 537 reduction of 40% from the value provided by National Resources Canada in 2012 (225 538 litres; see Table A2) for the average hot water consumption in a Canadian dwelling [57]. 539 This significant drop between the model and the expected value can be explained by the 540 small number of occupants in the building and by the installation of water saving devices. In another recent monitoring study in Canada, an average demand of 172 litres per day was 541 measured over a sample of 119 homes that had a mean household size of 3.83 people [42]. 542 Therefore, it is not aberrant that the level of consumption in the model is lower than the 543 544 value reported by National Resources Canada. In fact, in the case study building, the average daily consumption of hot water during the monitoring period was 131.2 litres per 545 apartment. In Fig. 6a, the distribution of the DHW consumption in the building obtained 546 with the 100 simulated profiles is illustrated. Since the amount of DHW use in the 547 validation data falls into the distribution generated by the model, it appears that the model 548 is in agreement with the case study building for the total amount of hot water use. 549

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- 551 552

Table 1: Variability of the DHW consumption and electricity use profiles as a function of the number ofprofiles generated

| Number of<br>profiles generated | Domesti<br>[ | ic hot water<br>L<br>dwelling | $\frac{\text{Electricity}}{\left[\frac{\text{kWh}}{\text{day} \cdot \text{dwelling}}\right]}$ |                       |  |
|---------------------------------|--------------|-------------------------------|---|-----------------------|--|
|                                 | Average      | Standard<br>deviation         | Average   | Standard<br>deviation |  |
| 1                               | 135.1        | -                             | 13.71   | -                     |  |
| 5                               | 134.4        | 6.1                           | 14.37   | 0.80                  |  |
| 10                              | 136.0        | 5.4                           | 14.17   | 0.66                  |  |
| 25                              | 135.5        | 5.7                           | 13.93   | 0.67                  |  |
| 50                              | 135.2        | 6.8                           | 13.87   | 0.62                  |  |
| 75                              | 134.6        | 6.7                           | 13.89   | 0.57                  |  |
| 100                             | 134.9        | 7.0                           | 13.86   | 0.54                  |  |

553

The distribution of electricity demand computed by the model is also shown (Fig. 6b). The average electricity consumption for a dwelling in the monitored building is 14.81 kWh per day. This figure shows that the measured electricity consumption falls within the values given by the model, with a tendency to be closer to high values.

559 Figure 7 compares the simulated mean daily DHW and electricity profiles throughout the year for all dwellings generated in the 100 simulations with the average profiles found in 560 561 the validation data. The shaded area around the simulation curves provide the variations seen between all simulations – the area is bounded by the 5<sup>th</sup> and 95<sup>th</sup> percentiles observed 562 from the 100 aggregated simulated profiles at every hour of the day. Consumption of hot 563 water and electricity during the night is lower in the model than in the measurements, but 564 the model overrates the morning peak from 7AM to 10AM – it is the only period of the 565 day where the measured curve is out of the range generated by the simulations. After 566 10AM, the aggregated patterns provided by the model closely follow the ones of the case 567 study building. Nonetheless, measured and simulated profiles have similar general 568 behaviors: low-consumption in the early hours, followed by an increase in the morning to 569 570 a level of consumption that is mostly constant until the evening peak happens. The only large difference between simulations and measurements is the morning DHW 571 consumption. Simulations predict a peak with a consumption rate of nearly 12 litres per 572 hour that is not happening in the monitored building. It can be argued that the occupants 573 574 living in the case study building do not follow a "typical" daily DHW schedule as morning peaks are seen in most DHW monitoring studies [43]. For instance, in the previously 575 576 mentioned monitoring study made in Canada [42], the consumption of hot water between 6AM and 10AM represents 28.3% of the total daily DHW demand whereas in the building 577 578 used in this paper, this value goes down to 18.8%. In the simulated profiles produced by the model, 23.5% of the DHW consumption is made in that morning period. A possible 579 580 explanation to this unusual behavior in the monitored building is that due to a high proportion of children, baths are more often taken in the evening instead of in the morning. 581 582 Another reason for the differences might be that the modeling of active occupancy is not "perfect". Since the occupancy in the simulations is based on British schedules, there could 583 584 be some errors in the representation of Canadian occupancy patterns. For example, the increase of consumption in the morning happening approximately one hour earlier in the 585 586 validation data versus in the simulations can be due to Canadians waking up on average an 587 hour earlier than British, but at this point no clear report in literature was found to confirm this assumption. A similar observation can be made for electricity – the simulation results 588 predict more consumption between 7AM to 9AM than what is seen. Again, the metered 589

590 profile slightly differs from what is seen in other electricity monitoring analyses, with a 591 proportion of 6.1% of electricity being consumed between 7AM to 9AM. Two different 592 samples of houses in Canada (one of 29 households in Nova Scotia and the other of 22 households in Ottawa) have a proportion of approximately 8.0% and 8.3% of electricity 593 594 consumed during this period of the morning [58]. Larger samples in Europe have also yielded a fraction around 8% [56][57]. The model predicts on average that 8.4% of the 595 596 electricity is used between 7AM to 9AM. Since the metered data comes from a social housing building, socioeconomic factors might also explain why the DHW use has no 597 morning peak, but a more balanced consumption during the day with occupants adapting 598 different schedules. However, since this study used data from a single building, it is not 599 600 currently possible to assess whether this discrepancy is really caused by the social housing aspect of the building or by other factors. The shape of the measured electricity 601 consumption profile is similar to the one simulated for the weekend (the models predicts 602 that to 7AM to 9AM period is responsible for 6.7% of electricity use during the weekend). 603 604



605 606

Figure 6: Distribution of the a) average DHW and b) electricity daily consumption per dwelling obtained after 100 simulations. Shaded bar represents the cluster in which the monitored building falls into.

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607

Notwithstanding this difference in the morning, peak heights are roughly the same in the 609 simulated and measured datasets. Regression coefficients between the measured and 610 generated time series are  $R^2 = 0.855$  for DHW and  $R^2 = 0.890$  for electricity consumption. 611 612 Moreover, the differences seen between the measured and simulated DHW use profiles do not lead to errors for the sizing of the hot water system [24]. It can thus be concluded that 613 the aggregated daily behavior of the model fits reasonably well with the measurements. If 614 615 the goal was to represent more closely the case study building, one would need to scale 616 down the probability of DHW and electricity demand events in the morning.



618

Figure 7: Average daily (weekdays and weekend days combined) a) DHW and b) electricity use by
simulated and measured dwellings over a year from 100 simulations. Shaded areas represent the range
prescribed by the 5<sup>th</sup> and 95<sup>th</sup> percentiles obtained from the 100 simulated profiles.

622

# 623 <u>3.2 Disaggregated demand</u>

The variability in consumption between different dwellings generated by the model is examined in contrast with the one observed in the real building. Among the 100 simulated building profiles, the one that produced the level of DHW consumption and electricity that were the closest to the real building was selected and is analyzed here. The measured standard deviation of daily consumption between the 40 dwellings is 95.2 litres for hot water and 5.93 kWh for electricity. In the selected simulated profiles, these values

respectively are 42.5 litres and 6.60 kWh, meaning that although the variability for 630 electricity consumption is accurate, the model is conservative in terms of variability among 631 632 households for domestic hot water. Further work to obtain more data about this variability would be helpful to get an improved representation. The goodness-of-fit between the 633 observed distribution and the one predicted by the model was assessed with Mann-Whitney 634 test. The computed p-values are  $3.52 \cdot 10^{-5}$  for the hot water distribution and 0.357 for 635 electricity use. At a significance level of 95%, these values mean that the model fits with 636 637 observed data for electricity consumption, but not for DHW. This is confirmed by Fig. 8 which displays separately the consumption of every measured and simulated dwelling. In 638 the case of DHW (Fig. 8a), contrarily to the simulation results, there are several very-heavy 639 users in the building as well as low-consumption occupants. 640



Figure 8: Average daily a) DHW and b) electricity profiles from 100 simulations compared to the one measured from the case study building.

645

To identify the reason behind this disparity, the DHW consumption of dwellings was plotted in Fig. 9 by separating them according to their household sizes. Fig. 9 also offers best fit lines computed from linear regression for the estimation of DHW demand with the household size. The diversity of consumption around the linear regressions is slightly underestimated by the model. The larger diversity in the measured data appears to be

mostly caused by the larger impact of household size on hot water use. A comparison of 651 652 the linear regression equation reveals that the household size has twice as big an influence 653 in the monitored data (slope of 55 litres per person) than in the simulations (27 litres per person). Consequently, there is an important difference in consumption between dwellings 654 with low and high household sizes, explaining the larger variability. The test was re-run 655 with a slope of 55 litres per person prescribed in the model. This modification significantly 656 657 increased the goodness-of-fit between the distribution seen in the monitored building and the one predicted by the model. The new p-value of 0.331, indicating that both distributions 658 fit at a significance level of 95%. Black bars in Fig 8a represent the interhousehold 659 distribution obtained with the new slope - it can be seen that it follows the measured 660 distribution more closely than the simulated distribution generated with the previous slope. 661 A slope of 55 litres per occupant is larger than those found elsewhere. Studies have reported 662 a slope of 26 L/person in the UK [43] and of 35 [45] and 39 L/person [42] in surveys made 663 in Canada. The presence of numerous families with young children might once again be 664 responsible for this difference. Larger households are those with young children, who 665 666 consume more hot water, hence the increase of the slope. The slope used in the model can easily be readjusted by users. 667



Figure 9: Consumption of DHW as a function of household size according to a) measurements and b)
 simulations.

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Fig. 10a offers a visual depiction of how all simulated DHW consumption profiles 673 compared with measured data. The first column on the left that is separated from the others 674 is the measured profile, from the lowest-consuming dwelling to the highest. The other 675 676 columns represent the 100 profiles generated from simulation, after the change of the 677 DHW-per-occupant slope, and ranked by total DHW consumption. Note that for the sake of visibility, the colorbar is topped at 300 L per day. Fig. 10b presents the inverse 678 679 cumulative distribution function of daily DHW demand from metered data (blue curve) and simulations (shaded areas). The black shaded area is the variations seen from the 5<sup>th</sup> 680

and 95<sup>th</sup> percentiles observed from the 100 simulated profiles before the change of the slope and the red one is obtained after the change, showing that the change of slope was beneficial. When expressed on a per capita basis, simulated daily DHW consumption vary from  $\sim$ 31 L per day per person to  $\sim$ 114 L per day per person, from low-use to high-use consumers. This result is coherent with literature, e.g. ASHRAE handbook [61].

686

Figs. 10c and 10d are respectively the electricity consumption equivalent of Figs. 10a and 10b. Again, a maximum value of 30 kWh is used in Fig. 10c to improve visibility of the variations. Fig. 10d reveals that the 100 simulated profiles all match fairly well with the measured building profile, except for a slight divergence for the low-consuming households (those set in the lowest 10%).

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- 693





Figure 10: a) Average dwelling daily DHW consumption for all measured and simulated profiles (x-axis: the 100 profiles, y-axis: the 40 dwellings). b) Inverse cumulative probability function of the DHW consumption of a dwelling from measurements and simulations. c) Average dwelling daily electricity consumption for all measured and simulated profiles (x-axis: the 100 profiles, y-axis: the 40 dwellings). d) Inverse cumulative probability function of the DHW consumption of a dwelling from measurements and simulated profiles.

Mann-Whitney goodness-of-fit tests yields acceptable fit at a significance level of 95% for
97 of the 100 slope-adjusted DHW profiles (from 3 out of 100 with an unadjusted slope)
and 92 of the 100 electricity profiles.

705

706 Patterns of residential energy consumption exhibit some stochastic variation in multiple dimensions. In addition to modeling diversity in consumption among buildings, day-to-day 707 708 variations must also be modelled for each dwelling. People do not consume the same 709 quantity of energy day after day. Figs. 11 and 12 exhibits the day-to-day variability of the measured and simulated dwellings. Centerlines in the boxes represent the median day of 710 consumption, edges of the boxes the first and third quartiles and the whiskers show the 711 position of the 5<sup>th</sup> and 95<sup>th</sup> percentiles. Note that for electricity, Fig. 12 could only be 712 generated for the eight dwellings whose electricity consumption is measured as daily 713 consumption for the other apartments is unavailable. For both DHW and electricity, the 714 model generated day-to-day variability that is nearly constant for all dwellings as shown 715 by the similar length of the boxes and whiskers in Figs. 11 and 12. A different pattern is 716 717 seen for the measured data, in which day-to-day variability is fluctuating from a dwelling to another. Some households consume a very consistent volume of DHW day after day and 718 719 others do not. For example, in the case of electricity demand, dwellings #3 and #4 have a 720 nearly identical median day, but the narrower box evidences that the consumption in 721 dwelling #3 is much more consistent than in dwelling #4.

722

723 The average day-to-day standard deviation for DHW is 65.9 litres in the validation data and 57.9 litres in the simulation profile; while for electricity, these values are 6.13 and 4.48 724 725 kWh respectively. Therefore, it appears that the model generates less day-to-day variation for electricity and hot water use than occurs in reality. No factor was introduced in the 726 727 model to force diversity of consumption between different days for a single dwelling. This diversity is driven by the probabilistic nature of the occupant behavior model. It appears 728 729 that this is not sufficient and that another factor would be valuable to enhance the day-to-730 day variability of a simulated dwelling. Such factor could be drawn from a PDF and could 731 vary every day.





Figure 12: Measured and simulated day-to-day variability of electricity consumption.



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Figure 13: Simulated and measured daily schedule of a) DWH use during the highest day of consumption
b) electricity use during the highest day of consumption and c) electricity use during the lowest day of
consumption for a selected dwelling. Minimal DHW use during the lowest day of consumption is not
shown since it yielded zero consumption for both simulations and measurements.

Figure 13 illustrates the consumption schedules during individual days for one selecteddwelling. The dwelling was randomly selected from the simulation profiles and then it was

747 paired with a dwelling from the monitored building that yielded a similar level of 748 consumption. Fig. 13a presents the maximum day of DHW consumption (a total volume 749 of 370.0 litres was consumed during that day in measurements, 399.3 in simulations), Fig. 13b the maximum day for electricity consumption (32.3 kWh in measurements, 32.4 in 750 751 simulations) and Fig. 13c the minimum day for electricity consumption (2.2 kWh in measurements, 3.0 in simulations). The day that had the lowest use of DHW is not 752 753 displayed since in both the model and validation datasets this day had zero consumption of 754 hot water. The purpose of Fig. 13 is merely to show the profile trends - a perfect match between the curves is not expected. The DHW curves have a similar behavior: zero 755 756 consumption for most of the days along with ten to twenty spontaneous short consumption 757 events. Peaks of consumption related to an occurring event have comparable magnitude. The peak heights are also similar for electricity consumption. Curves for this part of the 758 model show that electricity use oscillates when the dwelling is in "standby mode". When 759 occupants are truly using electrical appliances, the power demand increases greatly. A 760 761 zoom on Fig. 13c exposes that the standby power is smaller in the model (41 W) than it 762 was in the monitored dwelling (60 W). This gives a reason for the underestimation of consumption during the night in the aggregated profile (see Fig. 7) since an 763 764 underestimation of 19 W throughout the day translates into an energy consumption of 0.46 kWh/day per dwelling. Looking back at Fig. 6, considering such an offset would move the 765 766 measured electricity use closer to the average calculated from the simulations. This offset 767 could be explained by the choice of electrical appliances in the dwellings. Nevertheless, 768 extreme days yield similar total amount of energy use between the simulated and the measured apartment. The overall trends were adequately reproduced, demonstrating the 769 770 capacity of the model to generate realistic daily profiles.

771

Overall, there is a good fit in terms of aggregated and disaggregated patterns between the profiles that are generated by the model and the measurements made in a real building. Yet, there remains discrepancies that suggest that more data has to be collected for further improving the model. For example, a 'day-to-day variability' factor which control the consumption level of every day could be useful for the model, but no study on the day-today variability in consumption can be found in literature and thus it is not possible to obtain 778 an appropriate PDF from which this factor could be drawn. Additionally, one could 779 question the relevance of adding such a factor as it would slow down the computations 780 without necessary adding information that is important for building design. Another way of improving the model could be the characterisation of different user types via a 781 differentiation of behavior. The model could assign to each dwelling the type of DHW 782 users (morning versus evening users) that live in it and then adjust hot water events PDFs 783 784 accordingly. To do so, one needs to know the proportion of people that consume more water in the morning, which is very difficult to quantify. 785

786

# 787 <u>3.3 Effects of changes on accuracy of model predictions</u>

To create a unified probabilistic model for the simulation of occupant behavior in residential buildings, several changes were applied to already existing models as described before. This section verifies how each of these changes influences the accuracy of the simulations. Three indicators were chosen to assess the performance of the occupant behavior model. First, the relative difference of overall consumption between the case study building and the average obtained from 100 simulations of the building was computed:

$$I_{cons} = \frac{\frac{1}{n} \sum_{i=1}^{n} Q_i - Q_m}{Q_m} \times 100\%$$
(7)

where  $Q_m$  is the average daily measured quantity,  $Q_i$  is the average daily simulated quantity for the ith generated profile and n is the number of simulated building profiles (n = 100 here). The second performance indicator is related to the timings of consumption and looks at the average daily schedule of consumption:

$$I_{sched} = 100\% \times \frac{Q_{m}}{\overline{q}_{m}} \sqrt{\frac{\sum_{j=1}^{144} \left(\frac{q_{j,m}}{Q_{m}} - \frac{1}{n} \sum_{i=1}^{n} \frac{q_{ij}}{Q_{i}}\right)}{144 - 1}}$$
(8)

where  $q_{j,m}$  is the average measured rate of consumption for the jth time step of the day and q<sub>ij</sub> the average simulated rate of consumption obtained from the ith generated profile. The 144 value in Eq. (8) comes from the fact that there are 144 time steps during a day when using a 10-min frequency. The average rate of consumption are divided by the average daily consumption in order to ensure that changes in overall consumption (which are already measured by the first indicator) do not also influence the second performance index. The final indicator is the discrepancy between the measured and simulated coefficient of dwelling-to-dwelling variation:

$$I_{dwellings} = \frac{\frac{1}{n} \sum_{i=1}^{n} CV_i - CV_m}{CV_m} \times 100\%$$
(9)

807 The coefficient of dwelling-to-dwelling variation is defined as the standard deviation of 808 the overall consumption of dwellings in a building divided by the average consumption of 809 the building. Once again, dividing the standard deviation by the average consumption ensures that discrepancy in overall consumption will not be reflected in this indicator. The 810 811 three performance indices were computed after each change was cumulatively applied to 812 the occupant behavior model for both DHW and electricity consumption. The computed indices are presented in Table 2. The blue cases in Table 2 were implemented before this 813 validation test to represent where changes are expected to have an effect on the model, e.g. 814 the first change (scaling for apartment or detached houses) is only expected to influence 815 the overall consumption of electricity predicted by the model. 816

817

All three indicators are error functions, so low values for the indicators indicate better 818 performance. The figures in Table 2 demonstrate that the changes applied were greatly 819 beneficial for the prediction of DHW and electricity use in terms of overall consumption 820 in the building and of dwelling-to-dwelling variability. For the DHW section, adjusting the 821 822 daily hot water use from 27 to 55 L per occupant as done during the validation reduced the 823 underestimation of dwelling-to-dwelling variability from 37.2 to 9.4%. Although an underestimation of 37.2% as initially obtained after applying the "type of consumer" 824 parameter appears unsatisfactory, the introduced parameter still significantly reduced the 825 error on the dwelling-to-dwelling variability as it was set at an underestimation of 83.9% 826 827 in the original model. The introduced modifications did not have a high impact on the 828 timings of the hot water consumption, merely reducing  $I_{sched}$  from 30.4 to 24.2% for DHW and from 18.6 to 15.1% for electricity. This is explained by the fact that the changes 829

830 brought to the occupancy part of the model had no significant impacts on the simulation, with the three performance indices staying nearly unchanged before and after the 831 832 introduction of those changes. It appears that the two scale factors related to occupancy were not able to correct the fact the schedules obtained from British lifestyle was used to 833 834 simulate the behavior of Canadians. The fact that a social housing building was used for the validation may also explain this lack of improvement as occupancy behavior in a 835 836 dwelling might change according the socioeconomical status of its occupants. More data on active occupancy and activity schedule need to be available if one wants to improve the 837 prediction of the scheduling of hot water and electricity events in the occupant behavior 838 model. 839

| #  | Section of  | Change   | DHW            |                 |                     | Electricity    |                 |                     |
|----|-------------|--|----------------|-----------------|---------------------|----------------|-----------------|---------------------|
|    | the model   | 0  | $I_{cons}$ [%] | $I_{sched}$ [%] | $I_{dwellings}$ [%] | $I_{cons}$ [%] | $I_{sched}$ [%] | $I_{dwellings}$ [%] |
| 0  |             | -  | 72.5           | 30.4            | -83.9               | -41.4          | 18.6            | -73.6               |
| 1  |             | Scale for type of dwelling                         | 72.6           | 30.6            | -83.7               | -66.6          | 18.5            | -74.7               |
| 2  |             | Scale for electricity appliances (UK to Canada)    | 72.5           | 30.6            | -83.9               | -14.4          | 15.5            | -74.7               |
| 3  | Electricity | Scale for occupant activities (UK to Canada)       | 72.4           | 30.5            | -83.8               | -7.6           | 16.7            | -72.4               |
| 4  |             | Electricity/Household size slope                   | 72.6           | 30.7            | -83.8               | -8.4           | 16.8            | -26.1               |
| 5  |             | Add the "Type of consumer" parameter               | 72.4           | 30.6            | -83.8               | -8.4           | 16.8            | -7.1                |
| 6  |             | Link DHW with occupancy                            | 24.1           | 24.4            | -92.1               | -7.9           | 16.8            | -6.7                |
| 7  |             | Scale for hot water appliances (USA to Canada)     | 22.4           | 23.9            | -92.3               | -8.9           | 16.8            | -7.4                |
| 8  | DHW         | Scale for low-flow devices                         | 3.2            | 23.6            | -92.7               | -8.3           | 16.8            | -6.8                |
| 9  |             | Add the "Type of consumer" parameter               | 2.9            | 23.5            | -37.2               | -7.8           | 16.8            | -7.9                |
| 10 |             | Adjusted the slope from 27 to 55<br>L/(day*person) | 2.6            | 23.4            | -9.4                | -8.2           | 16.8            | -7.3                |
| 11 | Occupancy   | Scale for active occupancy (UK to Canada)          | 2.2            | 23.9            | -9.1                | -5.9           | 15.6            | -5.2                |
| 12 | Securpting  | Add the "Type of occupant" parameter               | 2.7            | 24.2            | -9.5                | -6.4           | 15.1            | -2.0                |

Table 2. Performance of DHW and electricity prediction after applying various changes applied to already existing occupant behavior models.

### 4. Conclusions

A strategy to create a unified probabilistic occupant behavior model for Canadian multiresidential buildings was proposed and tested. This strategy merges multiple recognized models built in different parts of the world. Since occupants in different countries could have different behaviors, scaling is necessary to adapt already existing models to specific locations worldwide. This was possible since Canada, US and UK share similar occupant behavior patterns. Modifications were also necessary to make sure that the outputs from the occupant behaviors models were coherent. In this paper, this idea has been shown to be possible for Canadian lifestyle. The scaling was based on national aggregated statistics about time-use, DHW demand and electricity consumption of Canadians. These data are more accessible in most countries than the large datasets required to build a new occupant behavior model. Therefore, it appears easier to scale a model from one country to another than to create a completely new model. The behaviors considered in the developed model are occupancy, domestic hot water use and consumption of electricity. The model has a time resolution of 10 minutes. Four already existing models were merged and scaled in this new model: Richardson's active occupancy and domestic electricity use models, Hendron's DHW profile generator and Armstrong's model for the simulation of stochastic lighting loads in dwellings. It was found that additional scale factors are needed to ensure that there is a significant diversity in consumption between different dwellings and that the level of consumption is coherent with the household size of the dwellings.

The model predictions were validated with measured data from a multi-residential building in Canada. The validation section of this work shows that the aggregated simulation and measurement results agree with one another better than previous models. Even though every building has unique differences that are difficult to predict without very detailed knowledge about the residents' behavior, the remaining discrepancies were relatively small and could be explained by a lack of data (e.g. data concerning the DHW consumption of young families). Despite minor differences, the total consumption of the building falls into the range predicted by the model, and the average daily profiles have similar patterns. Most of the differences between the model and measurements might be explained by the large number of young families in the real building. The difference in consumption between the dwellings is well replicated for electricity but not for DHW, for which it underestimated. Further analyses have shown that this underestimation is mainly caused by the misrepresentation of the relation between DHW consumption and household sizes. Household size is more important for DHW demand than usual in the monitored building, again likely due to the numerous young families. As for the day-to-day diversity of consumption for an apartment, while its representation was adequate for DHW consumption, the diversity for electricity demand is too narrow when compared with validation data. An additional scale factor that infers different levels of consumption for each day could fix this shortcoming. This could be important in certain applications; for example, in evaluating the instantaneous pairing of PV systems with buildingelectricity demand. New studies on the variations of electricity consumption between different days for one household would be necessary to implement such a factor and is recommended for further work. Nevertheless, the newly developed model was shown to offer better performance than the original models for the simulation of DHW and electricity consumption in a multi-residential building in Canada.

The model was developed with the objective of being coupled with building simulation software. The model could also be used in several disciplines such as sociology, psychology, grid design, urban logistics and many others. With respect to energy assessment models, the generated profiles could directly provide occupancy, DHW and electricity use time series to the building numerical model, which is crucial for the calculations of internal gains and of the overall energy demand of the building. To estimate internal gains generated by the occupants themselves or for performing calculations of air quality and contaminants diffusion, it would be beneficial to know when they are sleeping in the building. The model currently does not discern between being away from the building and being in the building, but sleeping. Therefore, a possible improvement would of a third state (sleeping) in the occupancy model. Also, socioeconomic factors were not directly considered in the version of the model presented in this paper. In preconstruction simulations, it could be difficult to know the household composition of a dwelling. Instead of weighting the model for age, gender, salary and other social parameters, it was thus

decided to use scale factors drawn from probability density functions created to simulate the variability in consumption related to those parameters. Considering socioeconomic factors (age, salary, energy price, education...) could increase the accuracy of the model, in particular when one wants to simulate a specific and existing building for which this information is available. For instance, the energy consumption in the case study building was more balanced than predictions from the unified model during the day with no peak of consumption during the morning. This discrepancy might be explained by the young population of the building and/or by its social housing aspect. However, considering these factors would require significantly more data as the observations made in this paper are derived from a single case study building. More monitoring studies on occupant behavior in different types of residential buildings are needed to further increase our understanding on this topic.

The existing base models used to create the united model presented in this paper were developed in Canada, United Kingdom and United States. Although differences in occupant behavior are observed between these countries, one could argue that their socioeconomical environment are similar, which eased the process to adapt the models for Canada. The methodology would need to be tested with countries where residents have substantially different domestic hot water use or electricity consumption patterns. For example, these differences might come from work schedules (e.g., variation of the number of hours spent at work vs at home in different countries), energy price (e.g., the energy price structure in a country might influence the way people consume energy), climate (e.g., number of hours spent inside versus outside, use of artificial vs natural lighting, etc.), and so on. The extent to which the approach used in the paper could be extended to countries with very different occupation behaviors is yet an open question. To minimize bias in the scheduling of occupancy and of energy events, using occupancy data or models from a specific country will always be preferable than using scaled data from another country, but when this option is unavailable, the scale strategy seems to provide satisfying results for the generation of realistic energy use profiles.

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# Appendix A

The appendix includes Tables A1-A3.

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| Activities       | Canadian data [min] | British data [min] |
|------------------|---------------------|--------------------|
| Active occupancy | 492                 | 527                |
| Cooking          | 42                  | 37                 |
| Watching TV      | 126                 | 85                 |
| Household work   | 73                  | 57                 |

Table A1. Daily amount of time spent on various household activities for the average person [26], [31].

Table A2. Aggregated daily DHW use per dwelling for five water appliances [17], [54], [60].

| Hot water appliances | Canadian data [L/day] | American data [L/day] |
|----------------------|-----------------------|-----------------------|
| Shower               | 59                    | 73                    |
| Bath                 | 40                    | 18                    |
| Sink                 | 81                    | 65                    |
| Clothes washer       | 36                    | 24                    |
| Dishwasher           | 9                     | 15                    |
| Total consumption    | 225                   | 195                   |

|                                |             | Operating | Standby   | Event           | Probability of | Annual<br>consumption in | Annual<br>consumption in |
|--------------------------------|-------------|-----------|-----------|-----------------|----------------|--------------------------|--------------------------|
| Appliance                      | Activity    | Power [W] | power [W] | length<br>[min] | use            | Canada                   | the UK                   |
|                                |             |           |           | լաույ           |                | [kWh/year]               | [kWh/year]               |
| Refrigerator                   | None        | 265       | 0         | 20              | 0.1902         | 801                      | 87                       |
| Freezer                        | None        | 263       | 0         | 20              | 0.1916         | 614                      | 277                      |
| Desktop computer               | Occupant    | 250       | 5         | 300             | 0.0023         | 749                      | 247                      |
| Laptop computer                | Occupant    | 130       | 0         | 300             | 0.0016         | 156                      | -                        |
| Stereo                         | Occupant    | 120       | 9         | 60              | 0.07858        | 153                      | 80                       |
| Coffee maker                   | Occupant    | 900       | 0         | 3               | 0.1330         | 130                      | -                        |
| Kettle                         | Occupant    | 1500      | 1         | 3               | 0.1662         | 225                      | 157                      |
| Lighting [141 m <sup>2</sup> ] | Occupant    | -         | 0         | -               | -              | 2030                     | 715                      |
| Dishwasher                     | DHW         | 467       | 0         | 35              | -              | 94                       | 91                       |
| Clothes washer                 | DHW         | 505       | 1         | 30              | -              | 99                       | 149                      |
| TV 1                           | Watching TV | 100       | 3         | 73              | 0.0631         | 99                       | 236                      |
| TV 2                           | Watching TV | 100       | 3         | 73              | 0.0635         | 99                       | 140                      |
| TV receiver box                | Watching TV | 40        | 2         | 73              | 0.1104         | 63                       | 128                      |
| Exhaust fan                    | Cooking     | 250       | 0         | 30              | 0.2035         | 90                       | -                        |
| Hot plate                      | Cooking     | 1250      | 1         | 16              | 0.1715         | 219                      | 128                      |
| Microwave                      | Cooking     | 1500      | 2         | 30              | 0.0658         | 197                      | 66                       |
| Toaster                        | Cooking     | 1200      | 0         | 3               | 0.2598         | 58                       | -                        |
| Range                          | Cooking     | 1600      | 3         | 43              | 0.1950         | 770                      | 145                      |
| Dryer                          | Laundry     | 4115      | 1         | 45              | 0.8892         | 1284                     | 80                       |

Table A3. Specifications used by the model for each appliance to compute their operating schedule and energy consumption [18], [50].

| Hair dryer     | Wash/Dress     | 1000 | 0 | 5  | 0.2042 | 60 | -  |
|----------------|----------------|------|---|----|--------|----|----|
| Iron           | Iron           | 1000 | 0 | 30 | 0.4675 | 72 | 16 |
| Vacuum cleaner | House cleaning | 800  | 0 | 20 | 0.1964 | 96 | 69 |