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A home advantage? Examining 100 years of team success in National Hockey League playoff overtime games

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Title: A home advantage? Examining 100 years of team success in National Hockey League playoff overtime games.

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Abstract (Brief Report)

Objectives: To examine a potential home (dis)advantage in various types of playoff overtime games in the National Hockey League (NHL).

Design: Archival.

Method: Success rates for home and away teams in win-imminent overtime games (i.e., wherein a team has an opportunity to win the playoff series) were compared to their respective success in non-imminent overtime games (i.e., the outcome of the game does not determine the outcome of the series).

Results: When away teams had an opportunity to win a series, they were significantly more likely to win an overtime game compared to home teams. No such advantage was evident for home teams when they had an opportunity to win a series.

Conclusions: When an NHL team has an opportunity to win a playoff series, there appears to be an advantage for visiting teams—not home teams—in winning an overtime game.

Keywords: championship; choke; clutch; home advantage; pressure; self-attention

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 overtime games

3 Over the past few decades, the 'home advantage' phenomenon has been examined within 4 dozens of studies (e.g., Carron, Loughead, & Bray, 2005; Courneya & Carron, 1992; Jamieson, 5 2010; Jones, 2014; Nevill & Holder, 1999). Early research suggested that there was a benefit to 6 competing at one's home venue in light of "the consistent finding that home teams in sport 7 competitions win over 50% of games played under a balanced home and away schedule" 8 (Courneya & Carron, 1992, p. 14). Indeed, a meta-analysis by Jamieson (2010) found that teams 9 who competed at home won 60% of athletic contests, which was significantly larger than what 10 would be expected from chance. This home advantage was generally evident across a range of 11 sports (e.g., soccer, basketball, ice hockey), competitive levels (e.g., collegiate and professional teams), and various types of games (e.g., regular-season and championship). 12 13 The differences between home and away team success are hypothesized to emerge due to 14 game location factors—including advantages with regard to travel, rules, learning, and crowd 15 noise—leading to changes in psychological, physiological, and behavioural states among athletes, 16 coaches, and officials (Allen & Jones, 2014; Carron et al., 2005; Courneya & Carron, 1992). 17 Various experimental studies have provided support for these hypotheses. For example, 18 Unkelbach and Memmert (2010) found that soccer referees who watched scenes from matches in 19 which a foul had been committed were more likely to hand out yellow cards if the crowd noise

(which was manipulated by the researchers) was high compared to those who watched the same scene but under conditions of low crowd noise. In addition, Staufenbiel, Lobinger, and Strauss (2015) found that soccer coaches set more challenging team goals, had higher expectations that their teams would win, and were more likely to choose offensively-aggressive playing tactics if their team was playing at home compared to away team coaches. Additional studies have also

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25 shown that hormones such as testosterone and cortisol levels are also higher when athletes 26 perform at home rather than at an away venue (e.g., Carré, Muir, Belanger, & Putnam, 2006), 27 which could reflect an inherent protective response within human species to territorial 28 intrusions/threats (cf. Neave & Wolfson, 2003). 29 In contrast to the advantage that is typically evident amongst home teams, it has been 30 hypothesized that there may be certain situations in sport whereby this advantage disappears and 31 even reverses (Baumeister & Steinhilber, 1984). Specifically, in the first test of a potential home 32 disadvantage, Baumeister and Steinhilber (1984) proposed that home crowds may present a source of pressure for home teams and, thereby, undermine performance when there is an 33 34 imminent opportunity to win a competition-a phenomenon that they labelled as the "home 35 choke". The authors found that in the World Series of Major League Baseball (MLB) from 1924 -1982, home teams were more likely to win the first four games but less likely to win the final 36 three games of the best-of-seven series, including 16 of the 26 games (61.5%) over that timespan 37 38 that required a seventh game to decide the series. To explain these findings, the authors suggested 39 that the "imminent opportunity to claim a desired [winner's] identity in front of a supportive 40 audience might engender a state of self-attention that could interfere with the execution of skillful 41 responses" (Baumeister & Steinhilber, 1984, p. 85). Complimentary research focused on choking 42 under pressure has helped shed further light on the potential mechanisms that might explain how performance pressure (such as that induced by supportive crowds) can negatively impact athletes. 43 Specifically, high-pressure situations can undermine performance by disrupting the automaticity 44 45 that typically governs the execution of well-learned skills, and increasing individuals' self-46 consciousness, anxiety, and attention to distracting stimuli (Allen & Jones, 2014; Beilock & 47 Gray, 2007). Supportive crowds in particular have been found to result in individuals executing their skills in an overly cautious manner (Butler & Baumeister, 1998). 48

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Since the seminal research by Baumeister and Steinhilber (1984), various lab-based (e.g., 49 50 Butler & Baumeister, 1998; Law, Masters, Bray, Eves, & Bardswell, 2003) and archival studies (e.g., McEwan, Martin Ginis, & Bray, 2012; Wright, Voyer, Wright, & Roney, 1995) have 51 52 provided support for the notion of a home disadvantage—and corresponding away advantage— 53 during various "outcome-imminent" situations (e.g., a last-second shot, the final/decisive game of 54 a league's championship series). As opposed to comparing overall differences in the success rates of competitions between home and away teams (which arguably provides an incomplete and 55 perhaps too simplistic account of this phenomenon), examining performance in certain types of 56 games or in various situations within that game can help provide a more specific test of the home 57 (dis)advantage. For example, Hoffman, Loughead, Dixon, and Crozier (2017) examined 58 59 differences in win percentages between home and away teams across NHL games that ended in regulation, overtime, and shootouts. They found that the odds of winning were significantly 60 higher for away teams compared to home teams when the game concluded in a shootout rather 61 62 than in overtime. As another example, McEwan et al. (2012) analyzed differences in shot percentages between home and away teams across various situations within NHL shootouts. They 63 64 found that there were no significant differences between home and away shooters in overall shot percentages or in shot percentages where teams faced some sort of outcome-imminent situation-65 that is, where the result of a shot could potentially determine the outcome of the game. However, 66 67 in 'win-imminent' situations, there was a home *disadvantage* such that away shooters were more 68 likely than home shooters to score in situations where they could win the game for their 69 respective team if the player scored a goal on his current shootout attempt.

In addition to assessing differences in success rates between home and away teams in NHL
regular season overtimes and shootouts (and the various situations within those shootouts), the
NHL's playoff format may also be particularly useful in examining the home (dis)advantage. The

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73 league's playoff format is unique compared to other professional sport in two particular ways. 74 First, it is one of the three major professional sports—along with Major League Baseball (MLB) 75 and the National Basketball Association (NBA)—where the competition between teams during 76 league playoffs is decided by multiple games in a 'best-of' format (with most series in these 77 leagues now following a best-of-seven-games format). In contrast, other sports leagues (e.g., 78 National Football League) only have one game during their championship playoff rounds to 79 decide a winner. The format of NHL playoff hockey differs further from the MLB and NBA, in 80 that NHL overtimes follow a 'sudden death' format for both teams during playoffs. Specifically, 81 when a playoff game is tied after regulation time (60 minutes over three periods), the game goes 82 to overtime and the first team to score a goal wins the game. In contrast, teams play a five-minute 83 overtime in NBA games; in MLB, if the away team scores a run in extra-innings (i.e., at the 'top' of the inning), the home team is still given an opportunity to tie or win the game (i.e., at the 84 85 'bottom' of the inning). Hence, with the NHL's playoff format, researchers can compare home and away teams' success of various types of outcome-imminent games. In addition, the sudden-86 87 death format of tie games further amplifies the imminence of these games. That is, while teams in 88 other professional sports leagues still have an opportunity to tie and win a game if the opposing 89 team scores, NHL teams are not afforded the same opportunity-rather, scoring a goal results in 90 an immediate win, while allowing a goal results in an immediate loss.

91 The purpose of the current study was to examine win-loss records for home and away teams 92 during NHL playoff overtime games. Informed by previous research on the home (dis)advantage, 93 four specific hypotheses were tested. First, guided in part by the findings noted above by 94 McEwan et al. (2012) regarding success rates in outcome-imminent situations, it was 95 hypothesized that there would be no home or away advantage in outcome-imminent games 96 overall. That is, when at least one of the teams had an opportunity to win the series by scoring a

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97 goal in overtime, no significant differences in win percentages between home and away teams 98 were expected (hypothesis 1). However, it was hypothesized that away teams would win 99 significantly more overtime games than home teams in games where those away teams had an 100 imminent opportunity to win a series (hypothesis 2). No such advantage was anticipated for home 101 teams in these types of overtime games. That is, no significant differences in win-loss records 102 were expected between home and away teams in overtime games wherein the home team had an 103 opportunity to win a series (hypothesis 3). The final game of a series presents a unique situation 104 in the sense that *both* teams have an imminent opportunity to win a series. In light of the findings 105 from previous studies on win percentages in the final games of a playoff series (e.g., Baumeister 106 & Steinhilber, 1984; Wright et al., 1995), it was hypothesized that away teams would win 107 significantly more overtime games than home teams in this type of outcome-imminent situation (hypothesis 4). It does not appear that an examination of home and away teams' success in these 108 109 various types of playoff games has previously been conducted. As such, the results of this study 110 could provide a detailed test of Baumeister and Steinhilber's (1984) hypothesized home 111 disadvantage, and a novel contribution to the home (dis)advantage in sport literature. 112 Methods 113 Data were obtained from the NHL's official website (nhl.com), which provides the results 114 of all Stanley Cup playoff games in the league's history (1917 – 2018). All playoff series followed a 'best-of' format, wherein teams play each other in multiple games. There was a 115 116

lockout during the 2004-05 season which resulted in the cancellation of the playoffs for that year. 117 As a result, data from the current study represent 100 years of overtime playoff games. Currently 118 (since the 1987 playoffs), 16 teams qualify for the playoffs each season and all series are decided 119 in a best-of-seven format (i.e., 15 total series). Hence, teams have an imminent opportunity to win 120 a series if they have a 3-0, 3-1, or 3-2 lead in games four, five, or six (respectively) of that series.

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If the series is tied 3-3, both teams face a win-imminent opportunity in the decisive, "winnertake-all" seventh game of the series. Series winners continue to advance through to the fourth and final round of the playoffs, where the winning team are crowned the Stanley Cup champions. This best-of-seven format was also followed for all playoff series from 1943 to 1974. Between 1975 and 1987, the first round of the playoffs was decided through a best-of-five series (with the remaining rounds retaining the best-of-seven format). Prior to 1943, the playoffs went through several iterations (as teams were added to the league or dissolved) and included various

128 combinations of best-of-three, best-of-five, and best-of-seven series formats.

129 Data Analysis

130 In the home (dis)advantage literature, differences in win-loss records between home and 131 away teams are often compared using chi-square (χ^2) for contingency tables (e.g., Baumeister & Steinhilber, 1984; Jones, 2014). This data analytic approach was retained in the current study by 132 constructing four separate 2 x 2 (winner x game type) contingency tables within SPSS software 133 134 (Version 24; IBM SPSS Predictive Analytics, Chicago, IL). Thus, each overtime game was coded by noting the location of the winning team (home or away) and game type. For the purposes of 135 136 this study, an *outcome-imminent* type of game was defined as a game where one or both of the 137 teams have an opportunity to clinch the series. In the current best-of-seven playoff format, this 138 occurs when one or both of the teams has obtained three of the necessary four wins in a seven-139 game series (i.e., when the series is 3-0, 3-1, 3-2, or 3-3). Outcome-imminent games were further broken down into three types of games: (1) win-imminent-away, where the away team could 140 141 clinch the series if they score in overtime; (2) win-imminent-home, where the home team could 142 clinch the series if they score in overtime; or (3) final game, where the home or away teams could 143 clinch the series if either scores in overtime (e.g., the seventh game of a best-of-seven series, fifth 144 game of a best-of-five series, or third game of a best-of-three series). All other overtime games,

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145 wherein the result of the game could not immediately determine the series' winner, were labelled 146 as non-imminent games (i.e., when the series was 0-0, 1-0, 1-1, 2-0, 2-1, or 2-2 in the best-of-147 seven format, 0-0, 1-0, 1-1 in the best-of-five format, or 0-0 in the best-of-three format). To test 148 the study hypotheses, the number of home and away team wins were compared for each of the 149 four types of outcome-imminent games. In each 2 x 2 table, the number of home and away team 150 wins in non-imminent were used as the baseline comparison (cf. Baumeister & Steinhilber, 1984; 151 Jones, 2014). Hence, the results of the chi-square tests reveal the differences between home and 152 away team win percentages in an outcome-imminent overtime playoff games relative to their

- 153 percentages in non-outcome-imminent overtime playoff games.
- 154

Results

155 Home and away teams' win percentages for each game type are provided in Table 1. The win percentages of home and away teams in 591 non-imminent games (used as a baseline 156 157 comparison for each hypothesis) were 53.3% and 46.7%, respectively. In support of hypothesis 1, 158 there were no significant differences in winning percentages between home (46.5%) and away (53.5%) teams in the 256 outcome-imminent overtime playoff games overall (χ^2 (df) = 3.32 (1), p 159 160 = .068), relative to their winning percentages in non-imminent games; although it should be noted 161 that this p-value approaches conventional levels of statistical significance (p < .05) in favour of 162 an away team advantage. In support of hypothesis 2, away teams won significantly more games (58.5%) than home teams (41.5%) in the 123 overtime games wherein the away teams had an 163 imminent opportunity to win the series ($\chi^2(df) = 5.71(1), p = .017$), relative to the teams' win 164 165 percentages in non-imminent games. No such advantage was found for home teams in the 82 166 games whereby they had an imminent opportunity to win the series. Specifically, in support of hypothesis 3, the win percentages for home (52.4%) and away (47.6%) teams in these types of 167 overtime games did not differ significantly ($\chi^2(df) = 0.02$ (1), p = .888), relative to the win 168

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169	percentages that were noted in non-imminent games. Contrary to the expectation corresponding		
170	to hypothesis 4, there were no significant differences in win percentages between home (49%)		
171	and away (51%) teams in the 51 final games of a series that went to overtime, wherein both teams		
172	had an imminent opportunity to win the series ($\chi^2(df) = 0.35(1), p = .329$), relative to win		
173	percentages in non-imminent games. ¹		
174	Discussion		
175	The purpose of this archival study was to examine a potential home (dis)advantage in		
176	various types of NHL playoff overtime games over the league's 100-year history (1917 – 2018).		
177	Previous studies (e.g., Baumeister & Steinhilber, 1984; Jones, 2014; Wright et al., 1995) have		
178	examined team success rates in certain games of a series, such as by comparing home and away		
179	team win percentages in the early games of a seven-game series (e.g., games 1-4) as well as in th		
180	later games of a series (e.g., games 5-7). However, these analyses did not examine team		
181	performance in specific types of games (e.g., in win-imminent games for the home team, away		
182	team, or both teams) nor did they consider the imminence that is presented in overtime games		
183	specifically—rather, those studies compared win percentages in playoff games regardless of		
184	whether the game went to overtime or not. Other studies (e.g., Hoffman et al., 2017) have		
185	compared home and away team success in overtime games; however, those analyses focused on		
186	regular-season games, rather than playoff games. As such, the current study provides a potentially		
187	notable addition to the home (dis)advantage literature as well as a novel means of testing		
188	Baumeister and Steinhilber's (1984) "home choke" hypothesis. Three of the four hypotheses		
189	tested in this study were supported. The results corresponding to each of these hypotheses, along		

¹ It should be noted that the win percentages were very similar when the final game of three-, five-, and seven-game series were examined. In other words, the presented results do not vary when the final games in these three series lengths are examined together or separately.

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with the implications and potential future research associated with each result are providedbelow.

192 It was first hypothesized that there would be no overall differences between home and away 193 teams' winning percentages in overtime games when at least one of the teams had an opportunity 194 to win a playoff series (with the teams' win percentages in non-imminent overtime games serving 195 as the baseline comparison). This hypothesis was supported, which aligns with the results from 196 previous studies that have found null effects in home and away team success in outcome-197 imminent situations (such as in shootouts in NHL regular season games; McEwan et al., 2012). It 198 should be noted, however, that the difference (p = .068) approached conventional levels of 199 statistical significance (i.e., p < .05) in favour of the away team winning significantly more 200 outcome-imminent games than home teams (53.5% versus 46.5%, respectively). Hence, it could 201 be *tentatively* concluded that there is no home (dis)advantage overall in overtime playoff games 202 when one or both of the teams has an opportunity to win a series. That said, future research on the 203 differences in home and away team success in playoff overtime games should be conducted with 204 other sports leagues to determine whether this null effect is apparent in those sports as well, or if 205 those differences reach conventional levels of statistical significance.

206 Second, it was predicted that away teams would win significantly more overtime games 207 than home teams when the away team had an imminent opportunity to win a series. This 208 hypothesis was indeed supported with away teams winning 58.5% of these games. Conversely, 209 no such advantage was anticipated for home teams in overtime games wherein the home team had an opportunity to win a series. This hypothesis was also supported with home teams winning 210 211 52.4% of these games, which was quite similar to their winning percentage in non-outcome 212 imminent games (53.3%). These results appear to align with, and extend, findings from previous 213 studies, such as those from Wright et al. (1995) who found an away advantage in the game that

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214 concluded a NHL playoff series (whether game 4, 5, 6, or 7). The current results add to those 215 findings by breaking these games into either a win-imminent opportunity for the home team or a 216 win-imminent opportunity for the away team. Moreover, demonstrating that there is an away advantage (i.e., home disadvantage) in overtime games specifically is important, as these games 217 218 arguably provide a more specific test of Baumeister and Steinhilber's (1984) hypothesis, due to 219 the imminence embedded within sudden-death overtimes. Thus, while many studies have 220 examined performance in various types of championship and non-championship games (e.g., Baumeister & Steinhilber, 1984; Jamieson, 2010; Jones, 2014; Wright et al., 1995), additional 221 studies examining performance in various types of games when overtime is required could 222 223 provide a further (and perhaps more detailed) test of the home (dis)advantage and supplement the 224 results from previous studies.

225 Finally, it was hypothesized that away teams would win significantly more overtime games 226 than home teams in the final, decisive game of a series wherein *both* teams have an opportunity to 227 win the series-that is, in game 7 of a seven-game series (or, in earlier seasons of the NHL's 228 history, game 5 of a five-game series or game 3 of a three-game series). This hypothesis was not 229 supported, as there were no significant differences between home and away teams in these 230 games.¹ This is an interesting (null) finding when compared to previous studies that have 231 examined the home (dis)advantage in game sevens. For example, as previously mentioned, Baumeister and Steinhilber (1984) found that away teams won 16 of the 26 (62%) MLB World 232 233 Series games that required a seventh game to decide the series between 1924 and 1982. By 234 contrast, in an updated analysis of these games, Jones (2014) found that home teams won all eight 235 World Series game sevens from 1983-2012. Further, Jones (2014) found that home teams won 15 236 out of 17 game sevens (88%) in National Basketball Association (NBA) championship and semi-

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finals series from 1983-2012. Yet, neither a home nor away advantage was found in the currentstudy.

239 There may be two potential reasons in particular for these contrasting results. For one, it 240 should be reiterated that the results from both Baumeister and Steinhilber (1984) as well as Jones (2014) were based on home and away team win percentages in game sevens regardless of 241 242 whether or not that game required overtime. As such, the "imminent opportunity to claim a desired [winner's] identity" (Baumeister & Steinhilber, 1984, p. 85, emphasis added) may not be 243 244 as prominent in those other leagues compared to the NHL. Second, these results may be due to the differences in the playoff formats of NHL games compared to NBA and MLB games. 245 Specifically, overtime games in NHL playoffs are decided in a 'sudden death' format, wherein 246 247 the team that scores the first goal in overtime wins the game. As such, there is an additional amount of imminence in NHL overtime games compared to NBA overtime games (which consist 248 249 of 5 minutes of overtime) and MLB games that require extra innings (whereby the home team 250 still has an opportunity to tie or win the game in the 'bottom' of the inning even if the away team 251 scores a run in the 'top' of the inning). Hence, a unique situation is presented when the decisive 252 game of a playoff series goes to sudden-death overtime (such as in NHL games), as both teams 253 have an imminent opportunity to win the series by being the first team to score a goal (as opposed 254 to the other types of outcome-imminent games where just one team has an opportunity to win the 255 series). Based on the (null) results from the current study, it would appear that neither a home advantage nor disadvantage exists in these types of overtime games. Further research on the home 256 257 (dis)advantage in other sports that follow a 'best-of' playoff format along with a 'sudden-death' 258 overtime format appears warranted to examine the generalizability of these findings.

Although the results of this study provide a novel and potentially substantive contribution
to the home (dis)advantage literature, it is not without certain limitations. For one, the hypothesis

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261 by Baumeister and Steinhilber (1984) proposes that a home disadvantage is due to supportive audiences enhancing home athletes' perceived pressure and inducing self-attention, which could 262 263 interfere with the execution of skillful responses. While this explanation is certainly possible, one 264 would be unable to conclusively determine that this was the mechanism of the results in the 265 current study, since no mediation analyses were able to be conducted (due to the archival nature 266 of the study). In addition, although the aforementioned sudden-death overtime format of NHL playoff games enhances the imminence of these games and the 'best-of' playoff format allows for 267 268 comparisons of team success based on the various types of games, it does potentially limit the generalizability of these findings due to the somewhat unique format of NHL playoff overtime 269 270 games compared to other sports. Moreover, the 2 x 2 contingency tables were specifically chosen 271 in order to test the hypotheses of this study, in a manner that was similar to past examinations of the home (dis)advantage (e.g., Baumeister & Steinhilber, 1984; Jones, 2014). Of course, it is 272 273 important to recognize that this type of analysis precludes one from considering other factors that 274 might be involved in team success, such as distance travelled by away teams (cf. Carron et al., 275 2005; Courneya & Carron, 1992) or team quality (cf. Hoffman et al., 2017). 276 Conclusion 277 The results of the current study provide further nuance to our understanding of the home 278 (dis)advantage in sport. Overall, the findings appear to support the notion that away teams might 279 have an advantage over home teams when there is an imminent opportunity to claim a winner's identity. Future research examining the home (dis)advantage in specific types of games (and 280

situations within those games) in other sports as well as the mechanisms that might explain the differences in success between home and away teams will help advance the literature on this area of study beyond its current state.

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HOME (DIS)ADVANTAGE IN NHL PLAYOFF OVERTIMES

Table 1

Home and away team success rates in National Hockey League playoff overtime games (1917-2018).

Game Type	Home Team Wins	Away Team Wins 413 (48.8%)
All OT games $(n = 847)$	434 (51.2%)	
Non-Imminent $(n = 591)$	315 (53.3%)	276 (46.7%)
Outcome-Imminent $(n = 256)$	119 (46.5%)	137 (53.5%)
WI-Away Team $(n = 123)$	51 (41.5%)	72 (58.5%)
WI-Home Team $(n = 82)$	43 (52.4%)	39 (47.6%)
Final Game $(n = 51)$	25 (49%)	26 (51%)

Note. OT: overtime; WI-Away Team: visiting team can win the playoff series with a win in current game; WI-Home Team: home team can win the playoff series with a win in current game. Results do not include data from the 2004-05 season, as the playoffs were cancelled due to a league lockout.

Highlights

- Examination of team success in professional hockey (NHL) playoff overtime games
- There was an away team advantage when they had a chance to win a playoff series
- No home team advantage was found when they had a chance to win a series
- Home and away teams were equally likely to win final games that went to overtime