

Is There a Correlation Between Airway Volume and Maximum Constriction Area Location in Different Dentofacial Deformities?

Liseane F. dos Santos, DDS, MSD, *David A. Albright, DDS, MSD, †
Vinicius Dutra, DDS, MBA, PhD, ‡Surya S. Bhamidipalli, MHP, §
Kelton T. Stewart, DDS, MS, || and Waldemar D. Polido, DDS, MS, PhD ¶

Purpose: The purpose of the present study was to correlate the airway volume and maximum constriction area (MCA) with the type of dentofacial deformity in patients who had required orthognathic surgery.

Materials and Methods: The present retrospective cohort study included orthognathic surgery patients selected from the private practice of one of us. The selected cases were stratified into 5 different groups according to the clinical and cephalometric diagnosis of their dentofacial deformity. The preoperative airway volume and anatomic location of the MCA were calculated using the airway tool of the Dolphin Imaging software module (Dolphin Imaging and Management Solutions, Chatsworth, CA) and correlated with the diagnosed dentofacial deformity. Differences in the pretreatment airway volumes and MCA location were compared among the deformities.

Results: The MCA location was more often the nasopharynx for maxillary deficiency and the oropharynx for mandibular deficiency deformities. The nasopharynx volume was significantly smaller statistically ($P < .005$) for maxillary deficiency plus mandibular excess compared with mandibular deficiency. The hypopharynx volume was significantly smaller statistically ($P < .005$) for vertical maxillary excess plus mandibular deficiency than for both maxillary deficiency and maxillary deficiency plus mandibular excess. No statistically significant difference was found among the different deformity groups in relation to the mean airway volume ($P > .005$).

Conclusions: The location of the airway MCA seems to have a strong correlation with the horizontal position of the maxilla and mandible. The MCA in maxillary deficiencies (isolated or combined) was in the nasopharynx, and the MCA in mandibular deficiencies (isolated or combined) was in the oropharynx. Clinicians should consider these anatomic findings when planning the location and magnitude of orthognathic surgery movements to optimize the outcomes.

© 2020 Published by Elsevier Inc. on behalf of the American Association of Oral and Maxillofacial Surgeons

J Oral Maxillofac Surg ■:1.e1-1.e10, 2020

*Orthodontics Resident, Department of Orthodontics and Oral Facial Genetics, Indiana University School of Dentistry, Indianapolis, IN.

†Visiting Clinical Assistant Professor, Indiana University School of Dentistry, Indianapolis, IN.

‡Clinical Associate Professor, Department of Radiology, Indiana University School of Dentistry, Indianapolis, IN.

§Statistician, Indiana University School of Medicine, Indianapolis, IN.

||Professor and Chairman, Department of Orthodontics and Oral Facial Genetics, Indiana University School of Dentistry, Indianapolis, IN.

¶Clinical Professor, Department of Oral and Maxillofacial Surgery, Indiana University School of Dentistry, Indianapolis, IN.

Conflict of Interest Disclosures: None of the authors have any relevant financial relationship(s) with a commercial interest.

Address correspondence and reprint requests to Dr Polido: Department of Oral and Maxillofacial Surgery, Indiana University School of Dentistry, W Michigan St, Office DS 126, Indianapolis, IN 46202; e-mail: wdpolido@iu.edu

Received July 10 2019

Accepted March 19 2020

© 2020 Published by Elsevier Inc. on behalf of the American Association of Oral and Maxillofacial Surgeons

0278-2391/20/30318-9

<https://doi.org/10.1016/j.joms.2020.03.024>

This is the author's manuscript of the article published in final edited form as:

dos Santos, L. F., Albright, D. A., Dutra, V., Bhamidipalli, S. S., Stewart, K. T., & Polido, W. D. (2020). Is There a Correlation Between Airway Volume and Maximum Constriction Area Location in Different Dentofacial Deformities? *Journal of Oral and Maxillofacial Surgery*.

<https://doi.org/10.1016/j.joms.2020.03.024>

The interdependence between the skeletal position of the maxilla and mandible, the soft tissues associated with them, and the musculature that holds and maintains the airway can reveal how the skeletal pattern affects the position, shape, and size of the airway passage.^{1,2} Previous studies have used 2-dimensional (2D) lateral cephalometric radiographs to analyze the airway before and after orthognathic surgery.^{3,4} Considering that the airway is a 3-dimensional (3D) structure, 2D images produce issues with magnification, superimposition of bilateral structures, and distortion, making them less reliable than 3D computed tomography (CT) scans.⁵ 3D images obtained with CT and cone-beam CT (CBCT) have been used to examine the pharyngeal airway space (PAS), with appropriate software.⁶⁻⁹ The use of specific software tools facilitate acquisition of the volume and area of the upper airway, the manipulation of images, and planning surgery.^{7,10-12} Because of these many advantages, CBCT has been increasingly used by professionals working in the craniofacial region, and more accurate PAS analysis has become a key component in the comprehensive evaluation of patients.^{13,14}

In 2015, Castro-Silva et al¹ analyzed the PAS of 60 patients using 3D images and Dolphin Imaging software (Dolphin Imaging and Management Solutions, Chatsworth, CA). The patients were divided into 3 groups according to their dental and skeletal malocclusion and assessed using clinical and cephalometric analyses. The results showed that the mean volume and area for skeletal Class III patients were greater than those for skeletal Class I and II patients. In 2018, Shokri et al¹⁵ compared the PAS of 71 patients using CBCT. They classified 3 groups according to skeletal malocclusion in Class I, II, and III to analyze the differences among the airway volume, airway area, minimum axial area, mean airway area, and airway morphology. Their findings showed a correlation between the skeletal facial pattern and upper airway dimensions. Likewise, the total airway volume and mean airway area of the Class III patients were larger than those of the Class II patients.

These previous studies attempted to correlate the airway volume with the type of occlusion, without clearly specifying the facial characteristics of the deformity. Anatomic deformities of the soft tissue and craniofacial skeletal can modify the pharyngeal airway space and can be modified by the surgical procedure. Despite the correction of the occlusion, the clinical correlation with the location of the main deformity and the airway must be properly assessed to implement proper planning and obtain improved airway outcomes, with correct occlusion and balanced facial esthetics.¹⁶

Hence, the purpose of the present retrospective study was to assess the posterior airway volume and the location of the maximum constriction area (MCA) in patients who had presented for correction of a dentofacial deformity through orthognathic surgery. We sought to correlate the posterior airway volume and MCA location with the type of facial deformity, diagnosed both clinically and cephalometrically. Our hypothesis was that the location of the MCA and an airway area with a smaller volume would have a direct relationship with the clinical diagnosis of the deformity and, hence, would influence the surgeon's decision regarding the correct procedure to be performed.

Materials and Methods

POPULATION AND SELECTION CRITERIA

The present study used a retrospective case series study design. The Indiana University institutional review board approved the present study (approval no. 1901123949). Patients were included in the present study if they had met the following inclusion criteria: 1) availability of an immediately preoperative full face CBCT scan; 2) CBCT images acquired using the same equipment for each individual patient; and 3) preoperative face and occlusion photographs to confirm the clinical diagnosis of the deformity. The exclusion criteria were as follows: 1) documented or suspected craniofacial syndromes; 2) maxillomandibular asymmetries; 3) isolated transverse maxillary deficiency; 4) full edentulism; and/or 5) previous orthognathic surgery.

The chief request of all patients was correction of the occlusion, with improvements in facial esthetics. None of the patients had reported correction of sleep apnea as their chief complaint. The body mass index (BMI) was not recorded for our population, because no patient was considered obese or significantly overweight.

METHODS

The selected patients were stratified according to the clinical and cephalometric diagnosis of their dentofacial deformity into 5 different clinically identified groups. The upper airway boundaries were defined and segmented in 3 different anatomic areas (ie, oropharynx, nasopharynx, hypopharynx). The MCA was identified for the complete volume of the upper airway. The correlation between the diagnosed dentofacial deformity with the location and volume of the 3 different segments of the airway and the location of the MCA was evaluated. The results were analyzed statistically.

CLINICAL ANALYSIS

Standardized facial and intraoral photographs were taken of all subjects. These included frontal, frontal smiling, and profile photographs of the face and frontal, right, and left photographs of the occlusion, which were analyzed to define the clinical diagnosis.

CBCT IMAGING ACQUISITION

CBCT images were obtained preoperatively. The patients were positioned for CBCT acquisition in an upright position. All CBCT images were acquired at the same facility using the iCAT Next Generation Dental Imaging System (Imaging Sciences International, Hatfield, PA). The protocol for image acquisition was 26.9 seconds and 0.3-mm slices. The tomography apparatus was adjusted for 120 KVP and 5 mA, using a field of view of 170×230 mm. Each patient was instructed to hold still, to not swallow, and to breathe smoothly during image acquisition. Additionally, they were requested to keep their teeth in occlusion, with their head position upright, lips relaxed, and the Frankfort plane parallel to the floor. In patients with a clinically diagnosed centric relation–centric occlusion discrepancy, an occlusal splint was constructed using bite registration material, and the patients were instructed to wear it when undergoing CBCT. The obtained images were stored in digital file format (digital imaging communication in medicine [DICOM]). The CBCT images were coded and the analysis performed by a single examiner (L.S.). The CBCT DICOM files were uploaded into Dolphin imaging software (Dolphin Imaging and Management Solutions), and the changes in the airway volume and MCA were analyzed on the same computer with similar evaluation conditions. The 3D volumetric images were oriented using the Dolphin Imaging software as follows: the midsagittal plane was adjusted to match the skeletal midline of the face, and the axial plane was adjusted to the Frankfort horizontal plane.

IMAGING ANALYSIS WORKFLOW

The patients' DICOM files were imported into the Dolphin software (Dolphin Imaging and Management Solutions). The initial lateral cephalometric images were created from the CBCT scans using a dedicated tool from Dolphin. The cephalometric parameters used to assist in determining the dentofacial deformity were those reported in the McNamara cephalometric analysis.¹⁶ The reference used to assess the anteroposterior positions of the maxilla and mandible was a line perpendicular to the Frankfort horizontal plane drawn through the nasion. In a well-balanced face, the A point should be positioned within 1 mm of this line and the B point 0 to 4 mm behind this line. The patients were considered to have maxillary deficiency

if the A point was more than 1 mm behind the nasion-perpendicular (N-P) line. If the B point was more than 4 mm behind the line, mandibular deficiency was the diagnosis, and if the B point was positioned ahead of the N-P line, the diagnosis was mandibular excess.

By observing the intraoral and face photographs and the cephalometric data, the deformities were classified into the following clinical aspect groups:

1. Maxillary deficiency
2. Mandibular deficiency
3. Mandibular excess
4. Vertical maxillary excess
5. A combination of these deformities (2-jaw deformity)

AIRWAY MEASUREMENTS

The boundaries and landmarks (Table 1) were identified using a previously described 3D method (Fig 1).^{8,16} Once the CBCT scan was positioned according to the reference planes and landmarks, the sinus/airway tool was activated, and the external limits of the nasopharynx (Fig 1A), oropharynx (Fig 1B), and hypopharynx (Fig 1C) were delineated. The software calculated the volume of the respective delineated regions. The MCA location for the entire upper airway volume was also assessed using the sinus/airway tool. For all airway analysis calculations, the airway sensitivity level was adjusted to 50, which, in the Dolphin software, visually represents the complete fill of the airway space, in the marked area. The results of the airway volume measurements were registered using a password-protected Excel sheet.

RELIABILITY ASSESSMENT

The diagnostic records (profile photographs and lateral cephalograms) of 5 patients were used to assess the reliability with the diagnosis. Two of us (L.S. and W.D.P.) diagnosed the 5 cases according to the established parameters and then repeated the process 1 week later to establish the intra- and interexaminer reproducibility for the clinical diagnosis.

To evaluate the intra- and interrater reliability of the imaging findings, the CBCT scans of 5 patients were identified. Using these CBCT scans, the airway regions of interest were calculated by 2 of us (L.S. and K.S.). The same CBCT scans were used to evaluate the airway again 1 week later, and the results were compared for intra- and interexaminer agreement.

Intraclass correlation coefficients and Bland-Altman plots were used to evaluate the within-investigator repeatability and the between-investigator reproducibility. Acceptable levels of agreement were achieved

Table 1. DEFINITION OF ANATOMIC AREAS

Area	Cephalometric Parameter	Definition
Nasopharynx		
Anterior limit	Anterior limit of upper airway	Line extending from S to PNS
Posterior limit	Posterior limit of upper airway	Line from S to tip of OP
Inferior limit	Inferior limit of upper airway	Line from PNS to OP
Oropharynx		
Superior limit	Superior limit of lower airway	Line extending from PNS to OP
Inferior limit	Inferior limit of lower airway	Line extending from base of epiglottis to posterosuperior base of CV4
Anterior limit	Anterior limit of lower airway	Line extending from PNS to base of epiglottis
Posterior limit	Posterior limit of lower airway	Line extending from tip of OP to posterosuperior corner of CV4
Hypopharynx		
Superior limit	Superior limit of lower airway	Line extending from base of epiglottis to posterosuperior corner of CV4
Inferior limit	Inferior limit of lower airway	Line extending from posteroinferior corner of CV4 to inferior border of symphysis
Anterior limit	Anterior limit of lower airway	Line extending from base of epiglottis to inferior border of symphysis
Posterior limit	Posterior limit of lower airway	Line extending from posterosuperior corner of CV4 to posteroinferior corner of CV4

Abbreviations: CV4, cervical vertebra 4; OD, odontoid process; PNS, posterior nasal spine; S, sella.

dos Santos et al. Correlation Between Airway Volume and MCA Location. J Oral Maxillofac Surg 2020.

by the examiners for both the airway assessment and the subject diagnosis.

STATISTICAL ANALYSIS

A descriptive statistical analysis was performed of the sample. The measurements for the total and segmented volumes and the anatomic location of MCA were correlated with the type of dentofacial deformity. The post hoc calculation showed 80% power to detect the total volume differences of ~15,000 or less, depending on the specific group comparison. Differences smaller than this were not significant for the present study, because they represented smaller changes.

Differences between the deformity categories for the baseline total airway volume, nasopharynx volume, oropharynx volume, and hypopharynx volume were analyzed using analysis of covariance to identify the effects of different combinations of deformity categories, with age and gender included as covariates. Post hoc pairwise comparisons between the deformity categories were performed using the Fisher protected least significant differences test. All pairwise comparisons were performed at the 5% significance level. Analysis assumptions were evaluated and satisfied. All analyses were performed using SAS, version 9.4 (SAS Institute Inc, Cary, NC).

Differences between the deformity categories for the baseline total airway volume, nasopharynx volume, oropharynx volume, and hypopharynx volume

were analyzed using analysis of covariance to identify the effects of different combinations of deformity categories, with age and gender included as covariates. Post hoc pairwise comparisons between deformity categories were performed using the Fisher protected least significant differences test. All pairwise comparisons were performed at the 5% significance level. Analysis assumptions were evaluated and satisfied. All analyses were performed using SAS, version 9.4 (SAS Institute Inc).

Results

The study population was selected from among 87 patients who had presented to the private practice of the surgeon (W.D.P.) for the evaluation and management of their dentofacial deformity from January 9, 2013 and February 9, 2017. At the initial screening, 41 patients had met the inclusion criteria. The mean patient age for the 41 included patients was 28 years (range, 16 to 55 years). The descriptive initial data are presented in [Table 2](#).

TOTAL AIRWAY VOLUME

The mean total airway volumes for the different facial deformities were as follows: 22,986.67 mm³ for mandibular deficiency, 23,027.25 mm³ for maxillary deficiency, 25,785.40 mm³ for maxillary deficiency plus mandibular deficiency, 24,912.20 mm³ for maxillary deficiency plus mandibular excess, and

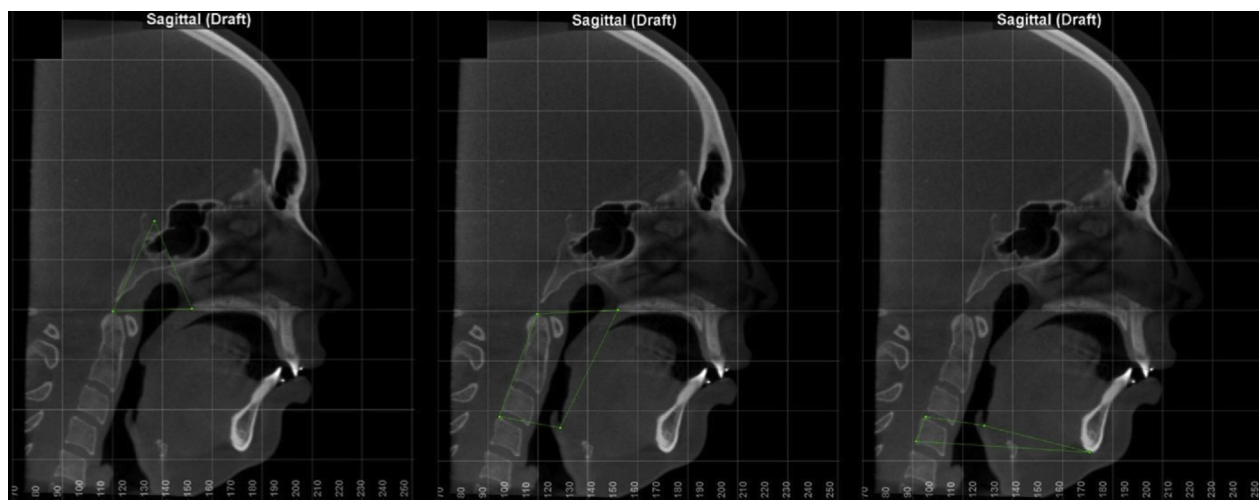


FIGURE 1. Images depicting *Left*, upper airway boundaries, nasopharynx; *Middle*, lower airway boundaries, oropharynx; and *Right*, lower airway boundaries, hypopharynx.

dos Santos et al. Correlation Between Airway Volume and MCA Location. J Oral Maxillofac Surg 2020.

20,225.25 mm³ for vertical maxillary excess plus mandibular deficiency. No statistically significant differences were found among the different deformity groups in relation to the mean airway volume.

When comparing the sample according to gender, the women had a larger mean airway volume (24,669.61 mm³) compared with the men (21,835.38 mm³). However, this difference was not statistically significant.

Analyzing the segmented airway volumes by location in the different dentofacial deformities, the nasopharynx volume was significantly smaller for maxillary deficiency plus mandibular excess

compared with mandibular deficiency ($P = .0330$). The hypopharynx volume was significantly smaller for vertical maxillary excess plus mandibular deficiency compared with maxillary deficiency ($P = .0297$) or maxillary deficiency plus mandibular excess ($P = .0437$). Generally, the nasopharynx volume was significantly smaller statistically for the groups with maxillary deficiency compared with the groups with mandibular deficiency. The data are detailed in [Table 3](#).

MAXIMUM CONSTRICTION AIRWAY

The MCA mean volume found in the established airway regions were as follows: hypopharynx, 109.13 mm²; nasopharynx, 179.29 mm²; and oropharynx, 149 mm². The comparison of the MCA volume between the groups showed no statistically significant differences among the dentofacial deformities ($P = .6333$).

The location of the MCA was in the oropharynx in 19 patients, nasopharynx in 14 patients, and hypopharynx in 8 patients ([Table 4](#)). In examining the dentofacial deformity groups more broadly, a trend was noted. Patients with maxillary deficiencies ([Fig 2](#)) more commonly exhibited the MCA in the nasopharynx (13 patients), followed by the oropharynx (9 patients) and hypopharynx (6 patients). Patients with mandibular deficiencies ([Fig 3](#)) demonstrated a different MCA location distribution, with most patients having the MCA in the oropharynx (13 patients), followed by the nasopharynx (3 patients) and hypopharynx (2 patients). The correlations of these locations with the respective dentofacial deformities and gender are depicted in [Table 5](#).

Table 2. DESCRIPTIVE INITIAL DATA

Variable	n (%)
Gender	
Female	28 (68.3)
Male	13 (31.7)
Deformity category	
Mandibular deficiency	9 (22.0)
Maxillary deficiency	8 (19.5)
Maxillary deficiency + mandibular deficiency	5 (12.2)
Maxillary deficiency + mandibular excess	15 (36.6)
Maxillary excess + mandibular deficiency	4 (9.8)

dos Santos et al. Correlation Between Airway Volume and MCA Location. J Oral Maxillofac Surg 2020.

Table 3. REPORT OF DATA STRATIFIED BY OUTCOME

Predictor	Patients (n)	Mean \pm SD (mm ³)	Median (mm ³)	Range (mm ³)
Total volume				
Deformity				
Mandibular deficiency	9	22,987 \pm 5,522	20,833	16,050-32,700
Maxillary deficiency	8	23,027 \pm 7,908	24,440	10,667-35,074
Maxillary deficiency + mandibular deficiency	5	25,785 \pm 5,829	25,592	18,203-31,798
Maxillary deficiency + mandibular excess	15	24,912 \pm 9,193	21,796	15,514-45,445
Mandibular excess + mandibular deficiency	4	20,225 \pm 4,364	19,681	15,514-26,026
Gender				
Female	28	24,670 \pm 8,039	21,985	10,667-45,445
Male	13	21,835 \pm 5,334	21,904	13,432-31,176
Hypopharynx volume				
Deformity				
Mandibular deficiency	9	2,356 \pm 1,284	2,094	358-4,864
Maxillary deficiency	8	3,430 \pm 2,001	3,435	1,147-6,163
Maxillary deficiency + mandibular deficiency	5	3,149 \pm 1,766	3,268	600-5,246
Maxillary deficiency + mandibular excess	15	3,133 \pm 1,747	2,991	683-7,308
Mandibular excess + mandibular deficiency	4	1,119 \pm 555	1,121	522-1,712
Gender				
Female	28	2,805 \pm 1,719	2,591	522-7,308
Male	13	2,872 \pm 1,733	2,204	358-5,775
Nasopharynx volume				
Deformity				
Mandibular deficiency	9	7,148 \pm 1,837	7,266	4,448-10,041
Maxillary deficiency	8	5,534 \pm 1,636	5,809	3,210-8,086
Maxillary deficiency + mandibular deficiency	5	5,293 \pm 2,343	6,339	1,674-7,149
Maxillary deficiency + mandibular excess	15	5,295 \pm 2,334	5,731	1,780-8,811
Mandibular excess + mandibular deficiency	4	5,781 \pm 536	5,868	5,077-6,312
Gender				
Female	28	6,267 \pm 1,881	6,329	1,780-10,041
Male	13	4,781 \pm 2,076	4,223	1,674-8,014
Oropharynx volume				
Deformity				
Mandibular deficiency	9	13,483 \pm 4,998	11,157	8,030-21,309
Maxillary deficiency	8	14,063 \pm 6,583	15,223	1,101-22,824
Maxillary deficiency + mandibular deficiency	5	17,344 \pm 4,595	18,781	12,343-22,191
Maxillary deficiency + mandibular excess	15	16,484 \pm 6,403	13,415	9,506-31,633
Mandibular excess + mandibular deficiency	4	13,326 \pm 3,912	12,232	9,915-18,923
Gender				
Female	28	15,599 \pm 6,498	13,391	1,101-31,633
Male	13	14,183 \pm 3,512	13,893	8,801-18,923

Abbreviation: SD, standard deviation.

dos Santos et al. Correlation Between Airway Volume and MCA Location. *J Oral Maxillofac Surg* 2020.

Table 4. DESCRIPTIVE STATISTICS OF MCA STRATIFIED BY LOCATION

MCA Location	Patients (n)	Mean \pm SD (mm ³)	Median (mm ³)	Range (mm ³)
Hypopharynx	8	109.13 \pm 72.39	87.00	46.00-278.00
Nasopharynx	14	179.29 \pm 89.25	172.50	54.00-388.00
Oropharynx	19	149.00 \pm 76.78	144.00	44.00-361.00

Abbreviations: MCA, maximum constriction area; SD, standard deviation.

dos Santos et al. Correlation Between Airway Volume and MCA Location. J Oral Maxillofac Surg 2020.

Discussion

The purpose of the present study was to assess the posterior airway volume and the location of the MCA in patients who had presented for correction of a dentofacial deformity through orthognathic surgery and to correlate them with the type of facial deformity, diagnosed clinically and cephalometrically. After the clinical diagnosis had been determined using photographic and cephalometric records, airway measurements were performed on CBCT images using the Dolphin Imaging airway tool. Our hypothesis was that the location of the MCA and the airway area with the smaller volume would have a direct relationship with the clinical diagnosis of the deformity and, hence, would influence the surgeon's decision on which procedure should be performed.

Previous studies have discussed airway volume changes but correlated them with the type of occlusion and did specify the clinical diagnosis in relationship to the facial anatomy. It is common knowledge that a Class III malocclusion can be represented by maxillary deficiency or mandibular excess, or a combination of both. Normal Class I occlusion can be achieved by advancing the maxilla or setting back the mandible, or a combination of these 2 procedures

(2-jaw surgery). Usually, esthetic parameters are considered when deciding which jaw to move in which direction and by what magnitude. By analyzing the airway volume in the different segments and the MCA and correlating it with the type of facial deformity, we sought to provide more evidence for considering the airway characteristics when defining the jaw movements during orthognathic surgery.

Our sample included 5 different types of dentofacial deformities, in agreement with the most common deformities treated surgically in the field of orthognathic surgery. The present study used the N-P line derived from reconstructed cephalometric images from CBCT files and clinical photographs to diagnose the dentofacial deformities. The McNamara cephalometric parameter (N-P) is commonly used by surgeons in the diagnosis and treatment planning of orthognathic surgeries because of its reproducible clinical parameters.^{16,17}

We compared the airway volume, MCA, and MCA location among the different dentofacial deformities using the 3D airway tool in Dolphin software, which uses segmentation algorithms to select and identify the structures according to sensitivity. Sensitivity is the description of how the software tool analyzes

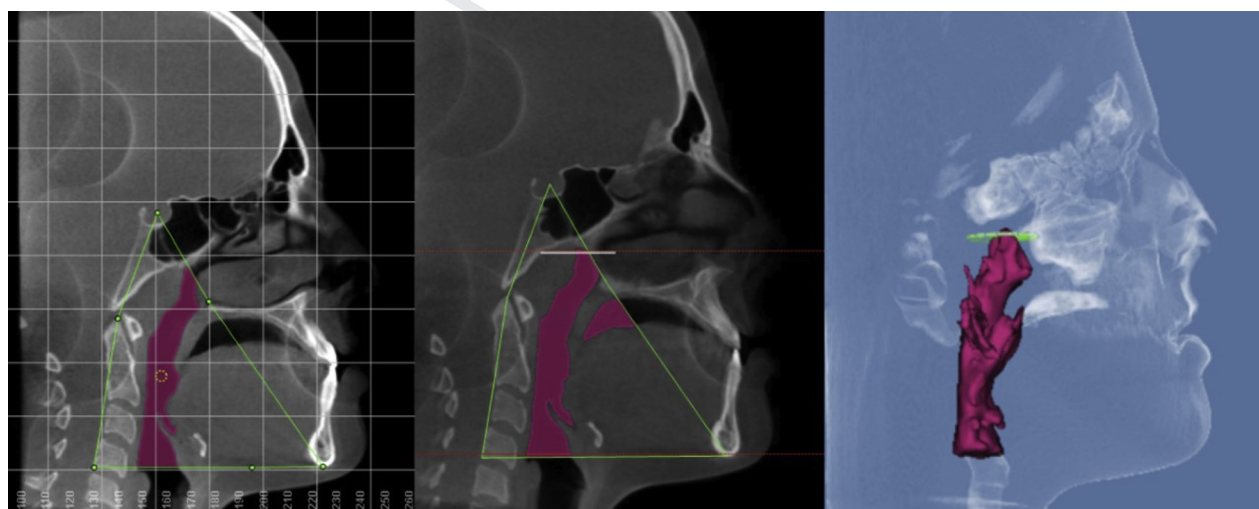


FIGURE 2. Images of a patient with maxillary deficiency showing maximum constriction area limits and location.

dos Santos et al. Correlation Between Airway Volume and MCA Location. J Oral Maxillofac Surg 2020.

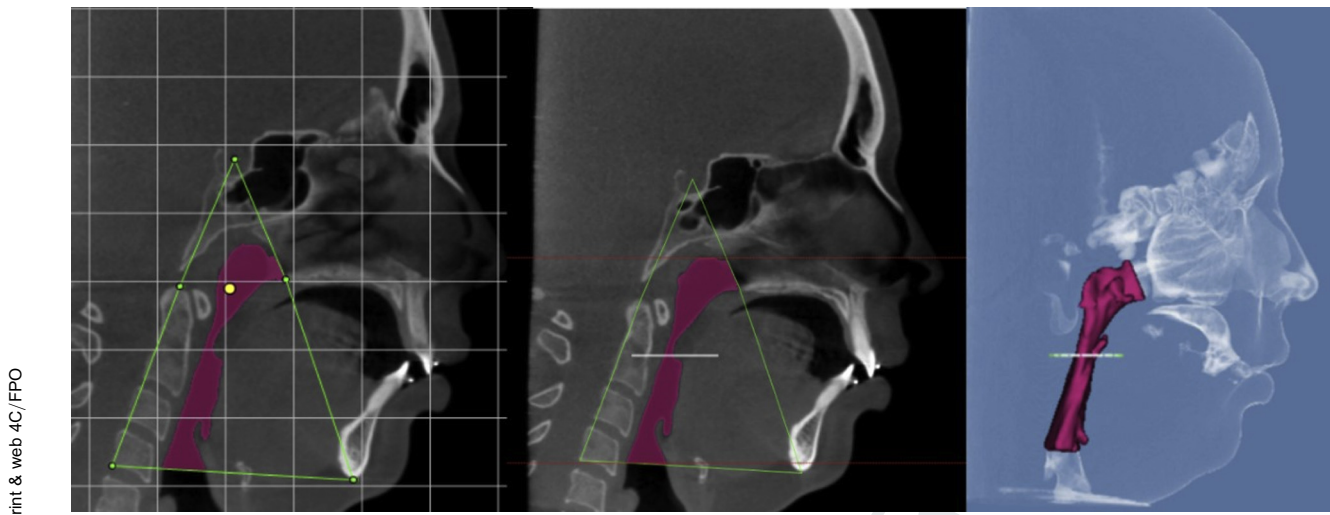


FIGURE 3. Images of a patient with mandibular deficiency showing maximum constriction area limits and location.

dos Santos et al. Correlation Between Airway Volume and MCA Location. J Oral Maxillofac Surg 2020.

and reads the differences in the density of anatomic structures. It can be influenced by factors such as the exposition when obtaining the images and the use of different software algorithms. In our series, the value of 50 was found to be the one that segmented the less dense airway structure from the surrounding denser bone structures, providing a uniform visual segmentation of the airway inside the defined boundaries. Because all CBCT scans had been performed using the same equipment, a fixed sensitivity value of 50 was set for all airway evaluations. This was similar to the study by Fagala,¹⁸ in which a sensitivity value of 45 was used.

A number of investigators have reported conflicting data regarding the influence of gender, with some reporting no statistically significant differences between males and females.^{15,19-21} Alves et al²² demonstrated a statistically significant difference between males and females, with males having greater volumes. Grauer

et al²³ also showed that Class III male patients had larger nasopharynx volumes than did females. In the present study, the 28 female patients had presented with a larger mean airway volume compared with that of the 13 male patients; however, this difference was not statistically significant.

One limitation of our study was that we did not record the patients' BMI. In addition, data on sleep apnea (eg, an Epworth or STOP-BANG score) had not been recorded in the medical records. However, none of our patients were considered obese, and none had reported obstructive sleep apnea as their chief complaint. We had recruited our patients from those who had required treatment using orthognathic surgery for occlusal and esthetic concerns. Because the airway analysis is an important factor to be considered, we analyzed the airway and correlated the volume with the presented dentofacial deformity. The BMI can influence the airway volume but might not

Table 5. DENTOFACIAL DEFORMITIES STRATIFIED BY GENDER AND MCA LOCATION

Variable	Mandibular Deficiency	Maxillary Deficiency	Maxillary Deficiency + Mandibular Deficiency	Maxillary Excess + Mandibular Excess	Vertical Maxillary Excess + Mandibular Deficiency
Gender					
Female	7 (77.8)	4 (50)	3 (60)	11 (73.3)	3 (75)
Male	2 (22.2)	4 (50)	2 (40)	4 (26.7)	1 (25)
MCA location					
Hypopharynx	1 (11.1)	2 (25)	0 (0)	4 (26.7)	1 (25)
Nasopharynx	0 (0)	3 (37.5)	2 (40)	8 (53.3)	1 (25)
Oropharynx	8 (88.9)	3 (37.5)	3 (60)	3 (20)	2 (50)

Data presented as n (%).

Abbreviation: MCA, maximum constriction area.

dos Santos et al. Correlation Between Airway Volume and MCA Location. J Oral Maxillofac Surg 2020.

897 have greater influence on the MCA location than the
898 skeletal deformity. Future studies correlating the BMI
899 and MCA for different deformities should
900 be conducted.

901 In relation to skeletal deformities, our findings have
902 shown that mean total airway volumes did not demon-
903 strate statistically significant differences among the 5
904 groups. However, when the posterior airway was
905 segmented, the nasopharynx volume was significantly
906 smaller in those with maxillary deficiency plus
907 mandibular excess compared with those with mandib-
908 ular deficiency. The hypopharynx volume was signifi-
909 cantly smaller in those with vertical maxillary excess
910 plus mandibular deficiency compared with those
911 maxillary deficiency or maxillary deficiency plus
912 mandibular excess.

913 The patients with mandibular excess had a mean total
914 airway volume smaller than that of those with
915 mandibular deficiency (25,785.40 mm³ for maxillary
916 deficiency plus mandibular deficiency vs
917 24,912.20 mm³ for maxillary deficiency plus mandib-
918 ular excess). In our sample, no patient had a diagnosis
919 of isolated mandibular excess, which might have been
920 because those with maxillary deficiency plus mandib-
921 ular excess (Class III) had a more posteriorly posi-
922 tioned maxilla compared with the patients with
923 maxillary deficiency plus mandibular deficiency (Class
924 II). Differing BMIs could be another explanation.

925 The MCA expresses the location of the smallest axial
926 size of the posterior airway. Shokri et al¹⁴ found no sta-
927 tistical significance among the 3 skeletal malocclusion
928 classes, although the location in most of their study
929 population was the oropharynx. In our study, the com-
930 parison of the MCA location among the identified den-
931 tofacial deformity groups showed no statistically
932 significant differences. The Fisher exact test was
933 used to evaluate the association between MCA loca-
934 tion and dentofacial deformity. When the 5 individual
935 deformities were retained for the association with
936 MCA location, the results did not reach statistical sig-
937 nificance ($P = .0588$). However, when the deformities
938 were combined into 3 levels because of the small sam-
939 ple sizes—mandibular deficiency only, maxillary defi-
940 ciency only, or both—the association was statistically
941 significant ($P = .0224$), with a lower percentage of
942 maxillary deficiency only in the patients with the
943 MCA located in the oropharynx.

944 In our sample, the MCA location was more often
945 seen in the nasopharynx for those with maxillary defi-
946 ciency and in the oropharynx for those with mandib-
947 ular deficiency. This latter finding is congruent with
948 the findings reported by Claudino et al,² who had
949 also found the MCA location to be in the oropharynx
950 for skeletal Class II patients.

951 The location of the airway MCA seems to have a
952 strong correlation with the horizontal position of the

maxilla and mandible. Maxillary deficiencies (isolated
or combined) had the MCA at the nasopharynx and
mandibular deficiencies (isolated or combined) had
the MCA at the oropharynx.

957 In conclusion, we found that the airway volume and
958 the MCA location are influenced by the maxilloman-
959 dibular morphology. Patients whose skeletal deformity
960 included maxillary deficiency, isolated or with mandib-
961 ular excess, had had a smaller nasopharynx volume
962 than patients with mandibular deficiency. In contrast,
963 patients with mandibular deficiency, isolated or with
964 vertical maxillary excess, demonstrated smaller hypo-
965 pharynx volume than patients with maxillary defi-
966 ciencies, regardless of whether mandibular excess
967 was present.

968 The location of the MCA is a factor that should be
969 considered when planning orthognathic surgical pro-
970 cedures, in addition to the classic occlusion and facial
971 aesthetic analysis. In our sample, although small, a
972 trend was noted for the MCA location to be positioned
973 more often in the nasopharynx in patients with maxil-
974 lary deficiency and in the oropharynx in patients with
975 mandibular deficiency. However, the difference was
976 not statistically significant. These findings bring sup-
977 porting evidence to what has been considered a com-
978 mon assumption—that horizontally deficient jaws
979 influence the location of the MCA.

980 When performing treatment planning for orthog-
981 nathic surgical procedures, the occlusal, esthetic,
982 and airway parameters should be considered. By un-
983 derstanding the location of the MCA and the area
984 with the smaller airway volume, the treatment plan
985 can maximize advancement of the deficient region,
986 as long as that is esthetically feasible, once normal oc-
987 clusion can be achieved with any jaw movement. An
988 initial immediate postoperative analysis of our own
989 sample (report in preparation) will allow us to explore
990 the correlation between the magnitude of advance-
991 ment and the effects on the airway volume and MCA
992 position. Future studies on this topic are required
993 with a larger number of patients, comparisons of the
994 pre- and postoperative CBCT images using the same
995 method to confirm whether an increase in volume or
996 a change in the MCA location occurs when procedures
997 are performed.

998 References

- 1000 1. Castro-Silva L, Monnazzi MS, Spin-Neto R, et al: Cone-beam eval-
1001 uation of pharyngeal airway space in class I, II, and III patients.
1002 *Oral Surg Oral Med Oral Pathol Oral Radiol* 120:679, 2015
- 1003 2. Claudino LV, Mattos CT, Ruellas AC, Sant'Anna EF: Pharyngeal
1004 airway characterization in adolescents related to facial skeletal
1005 pattern: A preliminary study. *Am J Orthod Dentofacial Orthop*
1006 143:799, 2013
- 1007 3. Butterfield KJ, Marks PL, McLean L, Newton J: Linear and volu-
1008 metric airway changes after maxillomandibular advancement
for obstructive sleep apnea. *J Oral Maxillofac Surg* 73:1133, 2015

4. Gonçalves E, Duarte M, Palmieri C Jr, et al: Retrospective analysis of the effects of orthognathic surgery on the pharyngeal airway space. *J Oral Maxillofac Surg* 72:2227, 2014
5. Abramson ZR, Susarla S, Tagoni JR, Kaban L: Three-dimensional computed tomographic analysis of airway anatomy. *J Oral Maxillofac Surg* 68:363, 2010
6. Brunetto DP, Velasco L, Koerich L, Araujo MT: Prediction of 3-dimensional pharyngeal airway changes after orthognathic surgery: A preliminary study. *Am J Orthod Dentofacial Orthop* 146:299, 2014
7. El H, Palomo JM: Measuring the airway in 3 dimensions: A reliability and accuracy study. *Am J Orthod Dentofacial Orthop* 137(suppl):S50.e1, 2010
8. Smith T, Ghoneima A, Stewart K, et al: Three-dimensional computed tomography analysis of airway volume changes after rapid maxillary expansion. *Am J Orthod Dentofacial Orthop* 141:618, 2012
9. Weissheimer A, Menezes LM, Sameshima GT, et al: Imaging software accuracy for 3-dimensional analysis of the upper airway. *Am J Orthod Dentofacial Orthop* 142:801, 2012
10. Eslami E, Katz ES, Baghdady M, et al: Are three-dimensional airway evaluations obtained through computed and cone-beam computed tomography scans predictable from lateral cephalograms? A systematic review of evidence. *Angle Orthod* 87:159, 2017
11. Aboudara C, Nielsen I, Huang JC, et al: Comparison of airway space with conventional lateral head films and 3-dimensional reconstruction from cone-beam computed tomography. *Am J Orthod Dentofac Orthop* 135:468, 2009
12. Loubele M, Guerrero ME, Jacobs R, et al: A comparison of jaw dimensional and quality assessments of bone characteristics with cone-beam CT, spiral tomography, and multi-slice spiral CT. *Int J Oral Maxillofac Implants* 22:446, 2007
13. El H, Palomo JM: Airway volume for different dentofacial skeletal patterns. *Am J Orthod Dentofacial Orthop* 139:e511, 2011
14. Schendel SA, Hatcher D: Automated 3-dimensional airway analysis from cone-beam computed tomography data. *J Oral Maxillofac Surg* 68:696, 2010
15. Shokri A, Miresmaeili A, Ahmadi A, et al: Comparison of pharyngeal airway volume in different skeletal facial patterns using cone beam computed tomography. *J Clin Exp Dent* 10:e1017, 2018
16. Kula K, Ghoneima A: *Cephalometry in orthodontics: 2D and 3D (ed 1)*. Berlin, Germany, Quintessence International Publishing, 2018
17. Jacobson A, Jacobson RL: *Radiographic Cephalometry: From Basics to 3-D Imaging*. Berlin, Germany, Quintessence International Publishing, 2006
18. Fagala KD: *A CBCT analysis of class I and II orthodontic cases: A correlative study of airway morphology and facial form*. Memphis, TN, University of Tennessee Health Science Center, 2013
19. Handelman CS, Osborne G: Growth of the nasopharynx and adenoid development from one to eighteen years. *Angle Orthod* 46:243, 1976
20. Klein JC: Nasal respiratory function and craniofacial growth. *Arch Otolaryngol Head Neck Surg* 112:843, 1986
21. Solow B, Siersbaek-Nielsen S, Greve E: Airway adequacy, head posture, and craniofacial morphology. *Am J Orthod* 86:214, 1984
22. Alves M Jr, Franzotti ES, Baratieri C, et al: Evaluation of pharyngeal airway space amongst different skeletal patterns. *Int J Oral Maxillofac Surg* 41:814, 2012
23. Grauer D, Cevidanes LS, Styner MA, et al: Pharyngeal airway volume and shape from cone-beam computed tomography: Relationship to facial morphology. *Am J Orthod Dentofacial Orthop* 136:805, 2009

1038
1039
1040
1041
1042
1043
1044
1045
1046
1047
1048
1049
1050
1051
1052
1053
1054
1055
1056
1057
1058
1059
1060
1061
1062
1063
1064
1065
1066