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Leveraging wearable sensors for human daily activity recognition with Stacked Denoising Autoencoders

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- Abstract: Activity recognition has received considerable attention in many research fields, such as
- ² industrial and healthcare fields. However, many researches about activity recognition have focused
- on static activities and dynamic activities in current literature, while, the transitional activities, such
- as stand-to-sit and sit-to-stand, are more difficult to recognize than both of them. Consider that
- it may be important in real applications. Thus, a novel framework is proposed in this paper to
- recognize static activities, dynamic activities and transitional activities by utilizing stacked denoising
- ⁷ autoencoders (SDAE), which is able to extract features automatically as a deep learning model
- rather than utilize manual features extracted by conventional machine learning methods. Moreover,
- resampling technique (random oversampling) is used to improve problem of unbalanced samples
- due to relatively short duration characteristic of transitional activity. The experiment protocol is
- designed to collect twelve daily activities (three types) by using wearable sensors from 10 adults in
- smart lab of Ulster University, the experiment results show the significant performance on transitional
 activity recognition and achieve the overall accuracy of 94.88% on three types of activities. The results
- activity recognition and achieve the overall accuracy of 94.88% on three types of activities. The resultsobtained by comparing with other methods and performances on other three public datasets verify
- feasibility and priority of our framework. This paper also explores the effect of multiple sensors
- ¹⁶ (accelerometer and gyroscope) to determine the optimal combination for activity recognition.

Keywords: Activity recognition; transitional activities; stacked denoising autoencoders; wearable
 sensors; resampling technique.

19 1. Introduction

Activity recognition, as an important application, has been received considerable attention and 20 is widely used in many research fields, such as industrial and healthcare fields [1]. In general, 21 activity recognition means that people's daily behavior types are identified by a large amount of 22 human behaviour informations which are collected by a variety of channels which include camera [2], 23 microphone [3], sensor [4], [5] and so on. Wearable sensors which are currently embedded in smart 24 devices are widely used. In this paper, our experimental data is collected by using wearable sensors. 25 Moreover, it is prevailing to utilize wearables which have embedded sensors to collect data, such 26 as smartphones [6], due to the reason that wearable devices are convenient to carry and suitable for 27 long-term monitoring. 28 The wearable sensors which are used to collect raw data are varied [7], [8], [9], [10]. For example, 29

there are accelerometer, gyroscope, magnetometer, barometer, light, proximity, GPS and so on. In this

paper, our experiment utilizes accelerometer and gyroscope to collect data. The data from the two sensors which are tri-axial is three-dimensional [11], which describes meaningful human movement tendency in realistic space. The accelerometer measures acceleration of subject in experiment to analyze human motion states. The gyroscope is commonly used to measure the angle of rotation and its rate of change. Thus, the combination of the two sensors is able to collect informative data which includes more representative features.

The activity recognition is to identify various types of human daily activities which are grouped 37 according to different points of view. For example, the main monitoring activities are classified into static activity, dynamic activity [12] and transitional activity according to activities' characteristics, 39 which is also studied in this paper. Generally, the static activities (e.g., standing, sleeping) and the 40 dynamic activities (e.g., walking, running) are focused in current researches, which have achieved 41 significant performance. But the transitional activities (e.g., stand-to-sit, sit-to-stand) are more 42 complicated due to their short duration, which cause easily loss of performance. Although transitional 43 activities have some overlaps with static and dynamic activities, it is indispensable to detect transitional 44 activities in real life. A variety of activities are usually performed by people in a period of time, 45 containing various transitional activities which include the end of an activity and the beginning of 46 next activity. The transitional activity can also play a huge role in practical application. For patients, 47 monitoring the transitional period makes great sense, which can help diagnosing patient's physical 48 state in real-time [13]. Nowadays, there is already clinical application that using Sit-to-Stand Test to judge safety and reliability with older intensive care unit patients at discharge [50]. Thus, in this paper, 50 we would focus on not only the static and dynamic activities recognition, but also transitional activities 51 recognition. Meanwhile, transitional activities are intermediate and short-term transitions between 52 two activities, thus the number of instances of transitional activities is usually far less than static and 53 dynamic activities in an experiment, which results in drops of accuracy due to the imbalance of sample number. Therefore, the resampling technique [14] is usually used to improve unbalanced problem. 55 The activity recognition is often conducted by many conventional machine learning algorithms, 56 such as KNN [15], [16], SVM [17], Random Forest (RF) [18] and K-means [19]. The universal procedures 57 which perform activity recognition by applying machine learning algorithms contain data gathering, 58 data preprocessing, data segmentation, feature extraction, classifier training and testing. Feature 59 extraction is the core of procedure, which has a significant influence on classification performance. 60 In this step, features are often extracted manually. Even though conducting activity recognition by 61 applying machine learning algorithms have reached reasonable performance, they need high time-cost 62 and computing resource for extracting features manually. Thus, deep learning methods which are 63 able to extract features automatically have received more widespread attention on human activity recognition [20], [21]. 65

In this paper, the stacked denoising autoencoder (SDAE) [7] which is a kind of deep learning 66 model is utilized to perform activity recognition on account of its advantages. Firstly, it is able to extract 67 features automatically comparing with conventional machine learning methods. Secondly, it is able to 68 reduce data dimension to simplify calculation while preserving information, thus providing better 69 conditions for learning in the final training stage. Thirdly, it utilizes encoder to compress unnecessary 70 data and uses decoder to reconstruct data, which is able to extract useful higher level of representations 71 [22]. The dataset we used is collected by using wearable accelerometer and gyroscope. Moreover, 72 our activities' types in this study contain three static activities (standing, sleeping and watching TV), 73 three dynamic activities (walking, running and sweeping) and six transitional activities (stand-to-sit, 74 sit-to-stand, stand-to-walk, walk-to-stand, lie-to-sit and sit-to-lie). 75 The main contributions in this study are described as follows: 76

In this paper, besides static and dynamic activities recognition, we also focus on utilizing deep
 learning models to perform transitional activities recognition which are more difficult and
 complicated than other two cases.

We have improved the problem of unbalanced samples due to relatively short duration
 characteristic of transitional activity by applying the resampling methods and adopted varied
 resampling methods to search optimal scenario.

3. A novel framework based on stacked denoising autoencoder is utilized to recognize three

types of activities, which has achieved significant performances and compared with other

classical methods to verify the effectiveness of SDAE model on activity recognition, especially for

transitional activities.

The rest of this paper is organized as follows: Section 2 will introduce existing related works about activity recognition and deep learning methods. Section 3 describes the overall framework about architecture of stacked denoising autoencoder model. Section 4 will design the experimental procedures and propose the methods of data preprocessing. The results of experiment will be also presented and analyzed. Finally, the conclusion will be drawn in Section 6.

92 2. Related work

93 2.1. Activity recognition

In activity recognition, the widespread usage of portable and wearable smart devices such as smartphones and smartwatches which are embedded with sensors have enabled the human activity 95 data to be gathered large-scale. Before smart devices became ubiquitous, human activity recognition 96 was cumbersome by attaching multiple sensors to a user's body [23]. However, with the proliferation of 97 affordable smart devices, it has become easier to collect information about user activity through sensors 98 embedded in the device. Various methods of detecting human activities using acceleration sensors of smartphones have been proposed [24]. In this study, the data we used came from accelerometer 100 and gyroscope, which included three types of activities (static, dynamic and transitional activities). 101 Transitional activity recognition has greater complexity owing to short duration. Jorge-L took feature 102 vectors as input for activity prediction using an SVM and utilized a filtering module to deal with 103 transitions and fluctuations on the SVM module output [25]. Machine learning methods are widely 104 used to predict transitional activities, such as SVM, KNN, Random Forest and so on. Junhuai Li 105 proposed a method based on conventional machine learning algorithms, they first utilized K-means to 106 distinguish between basic activities and transitional activities and then used Random Forest classifier 107 to recognize the two types of activities accurately [26]. In this paper, we exploit a deep learning model, 108 stacked denoising autoencoder, to recognize transitional activities. Feature extraction influences 109 the algorithm performance, computation time and complexity [27]. Conventional machine learning 110 classification methods are often used to complete tasks based on the extracted features. For instance, 111 a paper exploited discrete cosine transform to extract effective features and used PCA to reduce the 112 dimension of feature [28]. At last, they applied multi-class Support Vector Machine (SVM) to recognize 113 human activity. Decision Tree (DT) classifier [29] has the preferable performance in recognizing daily 114 activities. Deep neural language model is exploited for the discovery of interleaved and overlapping 115 activities [30]. The model builds hierarchical activities and captures the inherent complexities in activity 116 details. Backpropagation techniques was used to train feedforward neural for complex human activity 117 recognition in smart home environment [31]. Although the algorithm outperformed the Hidden 118 Markov Model [32], DT and SVM, it requires a combination of manual feature extraction to achieve 119 high performance accuracy. However, for these conventional machine learning algorithms, there have 120 been a drawback that they need to extract features manually. There is no doubt that extracting features 121 manually increases the time consuming, energy and model building procedure. Thus, in this direction, 122 deep learning model which extracts features automatically has received more considerable attention. 123

124 2.2. Deep learning methods

With the emergence of deep learning and increased computation powers, deep learning methods are being widely adopted for automatic feature learning in diverse areas like natural language

processing, image classification and computer vision. Recently, it is used to extract feature automatically 127 from data collected by mobile and wearable sensors, and then classify human activity. In many 128 researches, Convolutional Neural Network (CNN) [33], [34], [35] and Denoising Autoencoder [36], Restricted Boltzmann Machines [37] were used to extract features from data automatically. In this study, 130 stacked denoising autoencoder technique is utilized for activity recognition. Denoising autoencoder 131 was proposed P. Vincent, et al [38] and then A. Wang, et al [36] proposed denoising autoencoder 132 technique to learn underlying feature representation in sensor data and then integrate it with a 133 classifier trained into single architecture to obtain powerful recognition model. Stacked autoencoder was introduced to analyze human activity from data of motion sensors, which has a high performance 135 [39]. Therefore, autoencoder methods have demonstrated significant approaches for automatic feature 136 representation to learn latent feature representation for human activity monitoring and detection 137 approach. What' more, stacked denoising autoencoder was utilized to recognize 8 activities, which 138 achieved high accuracy [7]. However, it also only focused on static and dynamic activities not 139 transitional activities. Generally, stacked autoencoder provides compact feature representation from 140 continuous unlabelled sensor streams to achieve robust and seamless implementation of human 141 activity recognition system [27]. In addition to the above mentioned, deep learning methods also 142 include Recurrent Neural Network (RNN) [40], [41], Long Short-Term Memory (LSTM) [42], Deep 143 Belief Networks (DBN) [43] and so on. In Table 1, some references that utilized deep learning methods 144 were listed. These deep learning models also have the advantage that extract features automatically.

| Reference | Method | Sensor | Activity classes | Accuracy |
|--------------------------|-----------|-----------------------------|--|----------|
| Gu et al. [7] | SDAE | Acc+ Gyr+ Mag+ Bar | stilling, running, walking, upstairs, downstairs, upElevator, downElevato, falsemotion | 94.34% |
| Charissa et al. [33] | CNN+ tFFT | Acc+ Gyr | walking sitting, upstairs, downstairs, standing, laying | 95.75% |
| Song-Mi et al. [34] | 1D-CNN | Acc | run, walk, still | 92.71% |
| Mario [35] | CNN | Acc | walking, sitting, jumping, lying, climbing_up, standing, running, climbing_down | 94% |
| Masaya Inoue et al. [40] | RNN | Acc | standing, sitting, downstairs, laying, walking, upstairs | 95.42% |
| Yao et al. [41] | CNN+ RNN | Acc+ Gyr | standing, climbStair-down, biking, walking, sitting, climbStair-up | 94.20% |
| Yu et al. [42] | LSTM | Acc+ Gyr | walking, upstarirs, standing, sitting, downstairs, laying down | 93.79% |
| Zhang et al. [43] | DBN | Acc | walking, running, standing, sitting, upstairs, downstairs, lying | 98.60% |

Table 1. References of applying deep learning methods on activity recognition. (The Acc is accelerometer, the Gyr is gyroscope, the Mag is magnetometer and the Bar is barometer.)

145

It is obvious that these experiments which adopted deep learning models in Table 1 achieved acceptable accuracy. Thus, deep learning methods not only extract features automatically, but also improve the experiments' performance. Moreover, compared to these deep learning models, SDAE model is able to improve effectively the problem of gradient disappearance and compress data by utilizing encoder, which extracts more representative features. Moreover, the SDAE model can reduce dimension of data to simplify calculation and improve operation efficiency. Thus, in this study, we would focus on stacked denoising autoencoders to extract feature and recognize human activity.

3. Materials and Methods

In this section, the overall framework of experiment and the method (SDAE) are described in detail, which are used to distinguish three types of activity on human activity recognition. The SDAE is a kind of deep learning model which extracts features automatically. The framework includes three parts: Data preprocessing and SDAE model, which is illustrated in Figure 1.

158 3.1. Data preprocessing

After collecting data, data preprocessing is a critical procedure, which includes resampling 159 and standardized as is shown in Figure 1. In our experiment, the data is collected in a controlled 160 lab environment by using wearable accelerometer and gyroscope. Ten healthy adults were chosen 161 to perform twelve activities. At first, data segmentation was carried out to improve recognition 162 accuracy. Then, owing to scarcity of transitional activity samples, the problem of unbalanced samples 163 will drop recognition accuracy. In order to improve this problem, the resampling technique was 164 applied. Moreover, data standardization was also an important procedure to promote performance of 165 experiment. 166

The dataset we collected contains three primary types of activities: static activity, dynamic activity and transitional activity. The static activity includes standing, sleeping and watching TV. The dynamic activity includes walking, running and sleeping. The transitional activity includes stand-to-sit, sit-to-stand, stand-to-walk, walk-to-stand, lie-to-sit and sit-to-stand. All experimental data is collected by leveraging accelerometer and gyroscope which have three-axis, $x_i = \{ac_i^x, ac_i^y, ac_i^z, gy_i^x, gy_i^y, gy_i^z\}$. The preprocessing procedure is needed to be carried out before the experimental data become input of the model.

174 3.1.1. Segmentation

Data segmentation is an essential procedure in human activity recognition, which is directly related to the recognition performance. In realistic world, human activities are continuous behaviors so that a single data sample at a time point can't reflect enough tendency of an activity. Thus, it is necessary to segment data before training neural network. More representative features of each activity are extracted after data segmentation. In our experiment, the sliding window with 50% overlap is utilized to segment dataset, which has a significant influence on recognition performance. Thus, the dataset is segmented by integrating *n* samples as a sequence according to sampling rate, namely

$$x_{ac} = \{ac_k^x, ..., ac_{k+n-1}^x, ac_k^y, ..., ac_{k+n-1}^y, ac_k^z, ..., ac_{k+n-1}^z\}.$$
(1)

$$x_{gy} = \{gy_k^x, \dots, gy_{k+n-1}^x, gy_k^y, \dots, gy_{k+n-1}^y, gy_{k-1}^z, \dots, gy_{k+n-1}^z\}.$$
(2)

$$x_i = \{x_{ac}, x_{gy}\}.$$
 (3)

where *n* is set to 256 in this paper, which is decided by sample rate of 102.4Hz and time interval of 2.5
seconds. A sliding window whose size is 256 with a 50% overlap is utilized to complete this process.
After segmenting, every instance corresponds to a specific activity class. By this way, we acquired
more powerful performance of classifier.

179 3.1.2. Resampling

After segmentation, we then choose whether to apply resampling technique or not according to the distribution of samples. That's because the accuracy of model drops when the distribution of samples is unbalanced. In general, in order to improve the unbalanced samples problem, the common used method is resampling technique, such as random undersampling, random oversampling and Synthetic Minority Oversampling Technique (SMOTE) [44], [45], [46].

Random undersampling is able to promote running efficiency by decreasing the number of training dataset when dataset is too big, but it may discard some important information, which results

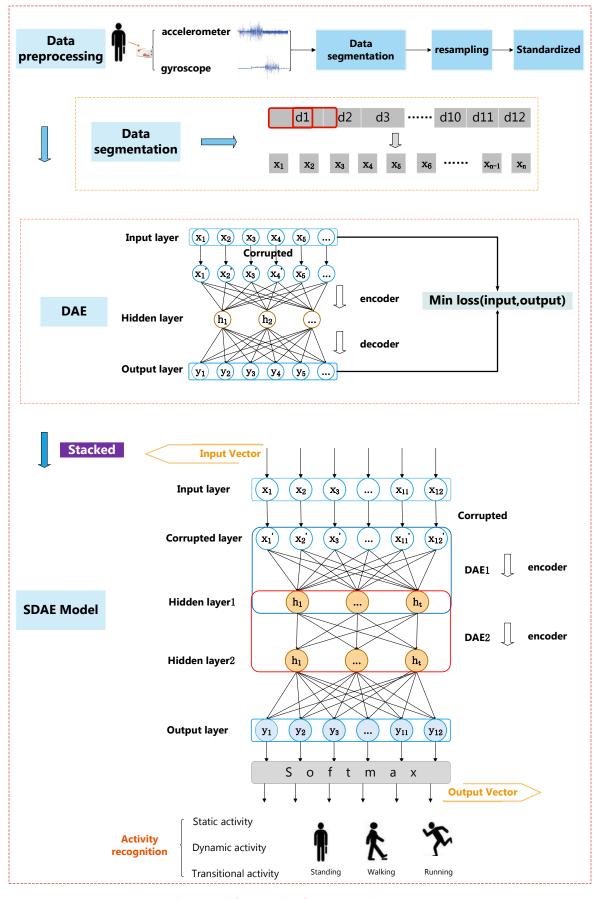


Figure 1. The overall framework of SDAE-based activity recognition

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in inaccuracy of model. Random oversampling is able to duplicate some classes which just has few samples to increase the number of minority class and make sample size become balanced, but it may cause overfitting problem due to random duplicate. Despite all this, the SDAE model we utilized is able to decrease noise and therefore mitigate overfitting problem. The SMOTE method analyzes samples of minority class and synthesize new instances, then adds these synthetic samples to dataset. More specifically, for each sample in minority classes, their near neighbors can be acquired according to euclidean distance between them and other samples. Then some samples are chosen randomly to create new samples by applying equation (4). These examples would be added to original dataset.

$$x_{new} = x + rand(0, 1) * | x - x_n |.$$
(4)

where x_n is a sample in minority class and x is a random nearest neighbor sample of x_n . rand(0,1)represents a random number between 0 and 1, $|x - x_n|$ calculate euclidean distance between x and

 x_n . By this way, it alleviates overfitting problem effectively and not discards meaningful information. However, the SMOTE has also its faults, it may generate extra noise when creating new instances.

These methods are able to improve unbalanced samples problem and have specific drawbacks. We would discuss and analyze their performance in next section. Moreover, in order to improve the accuracy, data standardization was carried out before it was taken as input of model. In this step, each value is mapped to the 0-1 range by applying mean and standard deviation:

$$x^* = \frac{x - \mu}{\sigma}.$$
 (5)

where x^* represents the input data, x represents concrete value, μ is mean and σ is standard deviation. In the end, the whole dataset was divided into training set, validation set and testing set with the ratio of 6:2:2 randomly.

¹⁹² 3.2. Stacked Denoising Autoencoder

The stacked denoising autoencoder stacks input layers and hidden layers of multiple denoising 193 autoencoders (DAE). Its architecture and overall design in this paper are illustrated in Figure 1. DAE 194 includes an input layer, a hidden layer and an output layer, which utilizes encoder and decoder to 195 acquire output. The encoder can encode input data to learn hidden features. Then the decoder is able 196 to decode the output of encoder to restructure data. Its goal is to minimize the loss between input and 197 output and make them keep consistent as far as possible. What's more, in order to avoid the problem 198 that output is a direct copy of raw input, a denoising factor is used to corrupt input. The training 199 process of stacked denoising autoencoder consists two steps: (i) pretraining; (ii) fine-tuning. 200

201 3.2.1. Pretraining

In this step, the stacked denoising autoencoder utilizes greedy layer-wise training to update parameters. In other words, every denoising autoencoder in stacked denoising autoencoder are trained separately. Moreover, every DAE's output will act as input of subsequent denoising autoencoder until the whole network is trained.

There are two processes in training process of denoising autoencoder: encoding and decoding. In the encoding process, our training data from accelerometer and gyroscope will be mapped to hidden layer by applying a sigmoid function, which is used to compress data, and then it is reconstructed by applying a sigmoid function in the decoding process. The error between the input data and the output data would be minimized by using gradient descent.

Formally, let x_i represents the input data, which have already been preprocessed and segmented. x_i is a 1*N vector. The N equals 3072 in this paper. The whole dataset is represented as follows:

$$S_n = \{x_0, x_1, \dots, x_n\}.$$
 (6)

Firstly, each x_i must be corrupted by a denoising factor a, which obtain x'_i . The probability of each node lost in the layer is a. Then in the encoding section, x'_i is mapped to hidden layer by a sigmoid function f, namely

$$y = f(W_1 x'_i + b_1).$$
(7)

where W_1 and b_1 are the weight matrix and bias respectively. Then, the *y* is mapped to output layer by a sigmoid function *g*, namely

$$z = g(W_2 y + b_2).$$
 (8)

where W_2 and b_2 are the weight matrix and bias respectively. Then, the error between the output data and raw data is computed. The loss function we utilize is cross entropy which is written as:

$$L(x_i, z) = -\sum_{i=0}^{n} (x_i log(z) + (1 - x_i) log(1 - z)).$$
(9)

Last, the parameters of each layer are updated by applying gradient descent. After that, the pretraining process is done.

213 3.2.2. Fine-tuning

In this step, a softmax layer is added at the top of network, which is used to identify current
type of activity. Then the whole network would be trained liked a Multilayer Perceptron (MLP) in a
supervised manner by using labeled data. It is noted that parameters of pretraining progress are shared
with fine-tuning progress. Then parameters of each layer are fine-tuned by applying backpropagation
and gradient descent. In training progress, the whole dataset is divided into training set, validation set
and test set in proportion. Training set is used to train model, validation set is used to detect optimal
model specifications and test set is used to test the performance of model. The pseudo-code of human
daily activity recognition in this paper is described in Algorithm 1.

Algorithm 1 Human activity recognition method with SDAE

Input: Raw dataset D

Output: Activity types of testing dataset

- 1: Data Preprocessing:
- 2: Segment the dataset according to sampling frequency
- 3: Apply the random oversampling
- 4: Standardize the dataset to obtain input vector x_i
- 5: Divide the dataset into training dataset D_{train} , validation dataset $D_{validate}$, test dataset D_{test}
- 6: Pretraining:
- 7: while $l \ll$ Hidden layers N_l do
- 8: The dataset x_i in D_{train} is corrupted into x'_i by adding a denoising factor. Then let x'_i as input to train l-th layer of stacked denoising autoencoder.
- 9: The output of l-th layer will be the input of l+1-th layer
- 10: l+=1
- 11: end while
- 12: Fine-tuning:
- Fine-tune the whole network by applying backpropagation. Utilize labeled dataset *D_{train}* to train softmax layer.
- 14: Test:
- 15: Use the D_{train} and $D_{validate}$ to train model and validate performance of model respectively. Recognize the activity type of test data D_{test} .

222 3.3. Experimental design

In order to acquire efficient and useful information of activities, this experiment was carried out
in a controlled laboratory environment. Ten healthy adults who came from the Ulster University
were chosen to perform the twelve activities which were grouped into three classes: static activities,
dynamic activities and transitional activities. These activities contain standing, sleeping, watching
TV, walking, running, sweeping, stand-to-sit, sit-to-stand, stand-to-walk, walk-to-stand, lie-to-sit and
sit-to-lie. Their brief descriptions are given in Table 2.

| Class | Activities | Description |
|----------------------------|---------------|--|
| | standing | The subject stands still and maintains 5 minutes |
| Stationary Activities | sleeping | The subject sleeps on the sofa for 5 minutes and is allowed to do some small movements, such as changing the lying posture |
| | watching TV | The subject watches TV for 5 minutes when he sits on the sofa in a comfortable position. And changing sitting posture is allowed |
| | walking | The subject walks on treadmill at constant speed for 5 minutes |
| Dynamic running | | The subject runs on treadmill for 5 minutes |
| Activities — | sweeping | The subject sweeps in room with vacuum cleaner for 5 minutes |
| | stand-to-sit | Standing for 15s, and then sitting on the sofa, repeat 15 times |
| | sit-to-stand | Sitting on the sofa for 10s, and then standing up, repeat 15 times |
| Transitional Activities | stand-to-walk | Standing for 15s, and then walking for 15s, repeat 15 times |
| | walk-to-stand | Walking for 15s, and then standing for 15s, repeat 15 times |
| | lie-to-sit | Sitting on the sofa for 15s, and then lying down, repeat 15 times |
| | sit-to-lie | Lying on the sofa, and then sitting on the sofa, repeat 15 times |

Table 2. The concrete descriptions of twelve human daily activities

228

In designing progress, every subject was attached a tri-axial accelerometer and a tri-axial 229 gyroscope on their left wrist which is one of the most common placements in activity detection. 230 Then they performed twelve activities according to the description of Table 2. Moreover, the researcher 231 informed subjects what activity to perform but not limit strictly how to perform. The raw data 232 were usually collected by a Shimmer wireless sensor platform which contains an on-board tri-axial 233 accelerometer and gyroscope with a configurable sampling rate up to 1 kHz and an amplitude range 234 up to $\pm 6g$. In reality, our original data were collected with a sampling rate of 102.4Hz and an 235 amplitude range of $\pm 2.0g$ (g = 9.8 m/s2), which was conducted by accelerometer and gyroscope 236 sensors. Therefore, 4020288 samples were recorded over ten hours in the end. 237

238 4. Results

In this section, we will analyze the experimental results. After data preprocessing, the segmented
dataset is taken as input of model to train. Meanwhile, various experimental methods will be used. In
this step, we will discuss results in terms of different perspectives.

242 4.1. Experimental result without resampling

In our study, there are 12 activities, which contains standing, sleeping, watching TV, walking, running, sweeping, stand-to-sit, sit-to-stand, stand-to-walk, walk-to-stand, lie-to-sit and sit-to-lie. To analyze the performance of experiment in detail, according to the experimental result without resampling, the recognition accuracy of every activity as is shown in Table 3.

In experiment, the estimation metrics this paper adopted are *Accuracy*, *Precision*, *Recall* and *F1 score*. The *Accuracy* is the proportion between number of true labels model predicted and all true labels. The *Precision* of an activity is proportion between the number of correct predictions and the number of corresponding activity's label model predicted. The *Recall* of an activity is the proportion between the number of true label model predicted and the number of this activity's realistic label. The *F1 score* is the combination of the *Precision* and the *Recall*, which can be defined as follows:

$$Accuracy = \frac{TP + TN}{TP + TN + FP + FN}$$
(10)

$$Precision = \frac{TP}{TP + FP}$$
(11)

$$Recall = \frac{TP}{TP + FN}$$
(12)

$$F1 \ score = 2 \times \frac{Precision \times Recall}{Precision + Recall}$$
(13)

²⁴⁷ Where TP is true positive, TN is true negative, FP is false positive, and FN is false negative.

| | Accuracy(%) | Precision(%) | Recall(%) | F1 score(%) |
|---------------|-------------|--------------|-----------|-------------|
| standing | 97.03 | 94.72 | 97.03 | 95.86 |
| sleeping | 97.32 | 98.37 | 97.32 | 97.84 |
| watching TV | 96.82 | 92.54 | 96.82 | 94.63 |
| walking | 86.75 | 85.71 | 86.75 | 86.25 |
| running | 95.73 | 91.81 | 95.73 | 93.73 |
| sweeping | 88.92 | 82.52 | 88.92 | 85.60 |
| stand-to-sit | 62.16 | 63.01 | 62.16 | 62.59 |
| sit-to-stand | 51.19 | 70.49 | 51.19 | 59.31 |
| stand-to-walk | 35.14 | 39.39 | 35.14 | 37.14 |
| walk-to-stand | 26.51 | 51.16 | 26.51 | 34.92 |
| lie-to-sit | 73.33 | 68.75 | 73.33 | 70.97 |
| sit-to-lie | 58.73 | 58.73 | 58.73 | 58.73 |

Table 3. Performance of each activity class recognition without resampling

According to the Table 3, it shows that the static and the dynamic activities recognition acquire 248 high performance in experiment, and static activities recognition yields higher accuracy than dynamic 249 activities. But the performance of transitional activities recognition is much lower than static and 250 dynamic activities. For example, the walk-to-stand activity recognition obtained a worst accuracy of 251 26.52% which is bolded and the sleeping activity recognition achieved best precision of 98.37% which 252 is bolded. The main reason for this result is that our dataset are unbalanced. The maximum gap has 253 been up to 246074 between transitional and other activities. The relatively short duration characteristic 254 of transitional activities make the number of transitional activities be far less than static and dynamic 255 activities. Meanwhile, it can be concluded that the problem of unbalanced samples will drop accuracy 256 apparently. 257

Therefore, in order to promote the performance of experiment, the resampling techniques are utilized to improve the problem of unbalanced samples.

260 4.2. Performance enhancement with resampling

The primary reason of poor performance is that the distribution of samples in our study is 261 extremely unbalanced. Compared to static and dynamic activities, the number of transitional activities 262 is too little. As mentioned above, the maximum gap has been up to 246074 between transitional activity 263 and dynamic activity, which decreases the accuracy obviously. Thus, in order to avoid the drops of 264 accuracy, the resampling technique is proposed. The random oversampling, SMOTE and random 265 undersampling are applied to enhance performance. Table 4 shows the sample size of raw data and 266 the instance size after segmentation and applying resampling technique. Obviously, the resampling 267 methods have made the size of each class be same by increasing or decreasing samples. Figure 2 268 demonstrates the final performance of model by applying resampling technology.

Table 4. The sample size of raw data and the instance size after segmentation and applying resampling technique

| | Initial number | After segmentation | Undersampling | SMOTE | Oversampling |
|---------------|----------------|--------------------|---------------|-------|--------------|
| standing | 307061 | 1198 | 238 | 1200 | 1200 |
| sleeping | 307109 | 1200 | 238 | 1200 | 1200 |
| watching TV | 306228 | 1196 | 238 | 1200 | 1200 |
| walking | 300457 | 1174 | 238 | 1200 | 1200 |
| running | 294676 | 1151 | 238 | 1200 | 1200 |
| sweeping | 302052 | 1179 | 238 | 1200 | 1200 |
| stand-to-sit | 61173 | 239 | 238 | 1200 | 1200 |
| sit-to-stand | 61035 | 238 | 238 | 1200 | 1200 |
| stand-to-walk | 61881 | 242 | 238 | 1200 | 1200 |
| walk-to-stand | 61640 | 242 | 238 | 1200 | 1200 |
| lie-to-sit | 61454 | 240 | 238 | 1200 | 1200 |
| sit-to-lie | 62089 | 242 | 238 | 1200 | 1200 |

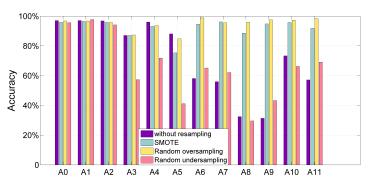


Figure 2. Accuracy of each activity class by applying random oversampling, SMOTE and without resampling. (A0-standing, A1-sleeping, A2-watching TV, A3-walking, A4-running, A5-sweeping, A6-stand-to-sit, A7-sit-to-stand, A8-stand-to-walk, A9-walk-to-stand, A10-lie-to-sit and A11-sit-to-lie)

According to Table 4, the sample size of different activities have large gaps and three resampling 270 techniques have improved the imbalance sample problem. In Figure 2, it is obvious that performance 271 of recognition has significant improvements after applying random oversampling and SMOTE. But 272 the random undersampling has a negative impact on the recognition accuracy, which may be due to 273 discard of too much useful information. Moreover, the random oversampling technique in this study has more powerful influence. Thus it may be known, the problem of unbalanced samples does have 275 significant influence on results. When sample size is balanced, the accuracy has been greatly improved. 276 Furthermore, it is noted that dynamic activities recognition performance has a slight drop. That's 277 because dynamic activities are more complex than other activities and more likely to be misrecognized. 278

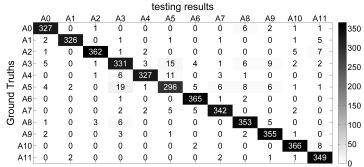
After resampling, the final classification performance of method in this study reached 94.88%
as measured by *Accuracy*, 94.88% as measured by *Precision*, 94.88% as measured by *Recall*, 94.86% as measured by *F1 score*. Meanwhile, the classification performance of each activities is shown in Table 5.

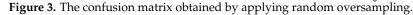
| | Accuracy(%) | Precision(%) | Recall(%) | F1 score(%) |
|---------------|-------------|--------------|-----------|-------------|
| standing | 96.75 | 95.61 | 96.75 | 95.73 |
| sleeping | 96.74 | 98.79 | 96.74 | 97.75 |
| watching TV | 95.77 | 98.37 | 95.77 | 96.85 |
| walking | 87.34 | 89.46 | 87.34 | 88.39 |
| running | 93.70 | 97.61 | 93.70 | 95.62 |
| sweeping | 84.81 | 89.97 | 84.81 | 87.31 |
| stand-to-sit | 98.92 | 95.80 | 98.92 | 97.34 |
| sit-to-stand | 95.53 | 96.07 | 95.53 | 95.80 |
| stand-to-walk | 95.92 | 93.39 | 95.92 | 94.64 |
| walk-to-stand | 97.53 | 93.92 | 97.53 | 95.69 |
| lie-to-sit | 97.34 | 96.32 | 97.34 | 96.83 |
| sit-to-lie | 98.31 | 93.57 | 98.31 | 95.88 |

Table 5. The classification performance of each activity by using random oversampling technique

From Table 5, the SDAE model acquires a significant performance and the recognition of every 282 activity contributes high accuracy. The balanced samples have played a great role in recognition 283 performance. And transitional activity recognition has achieved high accuracy by utilizing SDAE 284 model. Furthermore, it is obvious that the performance of transitional activities precedes dynamic 285 activities. The sweeping and walking reach lowest accuracy than other activities. We assume that 286 compared to dynamic activity, the transitional activity has more obvious changes in a short time, which 287 make the activities' features more representative. According to bolded results, the sweeping achieves a 288 lowest accuracy of 84.81%, the stand-to-sit reaches a highest accuracy of 98.92%. 289

As is shown in Figure 3, a confusion matrix is given, the most obvious errors are that 15 instances of walking are incorrectly recognized as sweeping, and 19 instances of sweeping are misrecognized as walking. It is concluded that walking activity and sweeping activity have similar characteristics to some extent. Thus, the two activities are easily misrecognized and reach lowest accuracy of recognition.





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4.3. *Hyperparameter analysis*

When adopted different hyperparameters, the classifier had different performance. Therefore, some specific parameters were configured in experiment to obtain the best performance. These parameters we discussed contains iterations of training progress, pretraining learning rate and the number of hidden layer.

a) Iterations of training progress

³⁰¹ In order to analyze effect of iterations, the accuracy changes of each activity are shown in Figure

³⁰² 4. With the increasing of iterations, the performance of each activity has no obvious changes. There

performance than static and transitional activities. But it is noted that changes of different iterations

are so small, which cannot transmit enough and useful information. Therefore, it is concluded that

the number of iterations have not a significant influence on the performance of model. Moreover, considering the accuracy, time-cost and computational complexity, the choice of 200 iterations is a

³⁰⁷ considering the accuracy, time-cost and computational complexity, the choice of 200 iterations is a

balance point. For each iteration, the training dataset is first used to train network, then the loss

of validation set and testing set can be obtained based on current parameters to detect recognition performance. This progress will repeat 200 times.

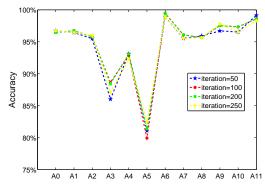


Figure 4. The recognition performance of each activity when using different iterations. (learning rate is set to 1×10^{-7} , number of hidden layer is set to 2)

b) Pretraining learning rate

Figure 5 indicates the influence of pretraining learning rate. When different pretraining learning 312 rates are chosen, the performance had obvious changes. To analyze the influence of pretraining 313 learning rate, the performance of every activity was tested when learning late is set to 1×10^{-3} , 314 1×10^{-4} , 1×10^{-5} , 1×10^{-6} , 1×10^{-7} , 1×10^{-8} respectively. As is shown in Figure 5, adopting 315 different learning rates can lead to enormous fluctuations. Moreover, it demonstrates that the best 316 performance is achieved at 1×10^{-7} level by comparing six parameters though the pretraining learning 317 rate is set very low. Because the goal of pretraining is to acquire proper initial values of parameters 318 and ensure that the fine-tuning process has better astringency and performance. Thus, the pretraining 319 learning late doesn't need to be too high.

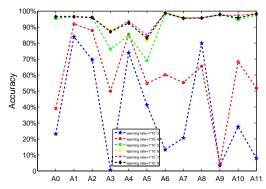


Figure 5. The recognition performance of each activity when using different learning rates. (Iterations is set to 200; number of hidden layer is set to 2)

320 321

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c) The number of hidden layer

In Figure 6, the performance fluctuations are exhibited when selecting different numbers of hidden layer. It is obvious that the main disparity concentrate on walking and sweeping. The both activities recognition achieve lowest accuracy than other activities, which is also as mentioned above. With growth of hidden layer number, dynamic and transitional activities recognition performance are fluctuant while static activities are stable. Moreover, the fluctuation is not very obvious and

³²⁷ computational cost will raise when using more layers. Thus, in order to achieve the trade-off between recognition accuracy and time-cost, we utilize 2 hidden layers to create the network.

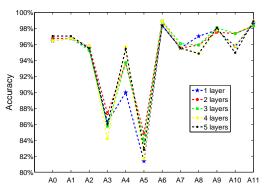


Figure 6. The performance of each activity recognition when selecting different numbers of hidden layer. (Iterations is set to 200, learning rate is set to 1×10^{-7})

Then Table 6 given a list of fixed hyperparameters of neural network architecture. Because some

hyperparameters have a little influence on recognition accuracy according to experiment, thus we have

discussed above three hyperparameters.

Table 6. Optimal hyperparameters for neural network

| Hyperparameters | values |
|-----------------------------|--------------------|
| number of hidden layers | 2 |
| number of units per layer | 500 |
| pretraining learning rate | 1×10^{-7} |
| fine-tuning learning rate | 0.01 |
| iteration | 200 |
| denoising factor | 0.5 |
| data segment size (seconds) | 5 |

332 4.4. The influence of different sensors

In this experiment, the data we collected was from accelerometer and gyroscope. In general,

adopting more types of sensors has a stronger influence on results. To analyze how the two sensors
affect the performance of model, the Figure 7 is given to demonstrate the changes of specific activity
when using different sensors. The performance of accelerometer and gyroscope is shown in Table 6.

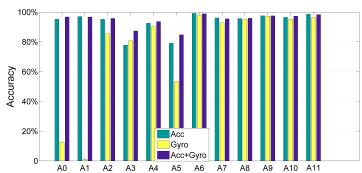


Figure 7. The performance changes of each activity recognition when adopting different sensors.

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From Figure 7, the gyroscope improved indeed the accuracy of dynamic activities (walking, running, sweeping) recognition comparing to the single accelerometer. Moreover, it is obvious that when exploiting the data collected by gyroscope identified the transitional activities, the performance of dynamically activity recognition is acceptable, but the static activity recognition is not efficient. When the data collected by accelerometer is used, the accuracy of static and transitional activity the data collected by accelerometer and gyroscope together is used, all classes of activity recognition

³⁴⁴ obtained a significant accuracy. Thus, utilizing two sensors has a good influence on result than one sensor.

| Sensors | Accuracy(%) | Precision(%) | Recall(%) | F1 score(%) |
|----------|-------------|--------------|-----------|-------------|
| Acc | 93.36 | 93.35 | 93.36 | 93.27 |
| Gyro | 75.76 | 74.77 | 75.76 | 72.60 |
| Acc+Gyro | 94.88 | 94.88 | 94.88 | 94.86 |

 Table 7. Performance comparison of adopting different sensors

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According to the Table 7, although there is not much difference in the total performance of single accelerometer and two sensors, different activity recognition has different performance according to Figure 7. Specifically, combination of accelerometer and gyroscope obtained a best performance. The single accelerometer reached an acceptable result and the single gyroscope achieved an unsatisfactory result. However, it isn't able to conclude that the data from gyroscope is not worthy. In short, the combination of accelerometer and gyroscope contributes better performance than applying single sensor.

4.5. Comparison with other conventional methods

In order to demonstrate the superiority of method of this paper, we compared it with some
 conventional machine learning algorithms, including Support Vector Machine (SVM), Decision Tree
 (DT), Naive Bayesian Model (NBM), K-nearest neighbors (KNN), and other deep learning algorithm,
 such as CNN, LSTM and BiLSTM. In training progress of these conventional machine learning methods,
 the features we extracted are statistical features, including mean, maximum, minimum, variance and
 standard deviation. Moreover, the random oversampling technique is also used to balance samples in
 these methods. By comparing with other methods, their performances are shown in Table 8.

| Methods | Accuracy(%) | Precision(%) | Recall(%) | F1 score(%) |
|---------|-------------|--------------|-----------|-------------|
| SVM | 90.95 | 90.81 | 90.95 | 90.60 |
| DT | 88.15 | 87.53 | 88.15 | 87.54 |
| KNN | 84.84 | 84.38 | 84.84 | 84.29 |
| CNN | 81.33 | 79.85 | 81.33 | 80.27 |
| LSTM | 81.63 | 83.56 | 81.63 | 81.62 |
| BiLSTM | 84.75 | 85.23 | 84.75 | 84.63 |
| SDAE | 94.88 | 94.88 | 94.88 | 94.86 |

Table 8. Performance comparison between SDAE and other methods

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From Table 8, it is obvious that the SDAE model obtains a best performance than other 361 conventional machine learning algorithm (SVM, DT, KNN), which achieves accuracy of 94.88%. 362 Compared to other conventional machine learning algorithm, SDAE model have a better performance. 363 In conventional machine learning methods, SVM acquired the best performance that recognition 364 accuracy exceeded 90% and other methods all have more than 80% of accuracy, which are lower than 365 SDAE model. Moreover, conventional machine learning algorithm need to extract features manually and SADE is able to extract features automatically. Thus, in activity recognition, it is more precise 367 and more convenient to utilize SDAE than conventional machine learning algorithms. Furthermore, 368 compared to CNN and LSTM, SDAE model acquires more accurate result. Generally speaking, CNN 369 and LSTM model can also achieve significant performance. In this situation, we analyze the accuracy 370 of each activity after utilizing LSTM and CNN. We find that the watching TV has achieved the lowest 371 accuracy of 42.39% than other activities which have achieved the accuracy of 76.02% - 95.87% when 372 LSTM model is used. CNN model has faced a similar situation that the recognition accuracy of 373

watching TV is lowest. Thus, we assume that these model can acquire significant performance in some activities (e.g. running), but they can't extract useful representation in some activities (e.g. watching

TV) which have more similarities with other activities. We also use BiLSTM to recognize activities. It

has a better performance than CNN and LSTM, but also has a lower accuracy than SDAE model. And
we also find that they need more time to train than SDAE model. In this point, SDAE model is proper.

4.6. The performance of SDAE model on three public datasets

With the experimental verification, the behavioral dataset we collected reach a significant performance on activity recognition. However, considering a special situation that the result of experiment may depend on the dataset, and not on the model. Therefore, without loss of generality, we also conducted different experiments that exploited three public datasets which include human activity recognition using smartphones data set [47], activity recognition from single chest-mounted accelerometer data set [48] and UCI daily and sports dataset [49]. Table 9 shows their basic informations and their performance in experiments are given in Table 10.

Table 9. Basic informations of the three public datasets

| Datasets | People | Classes | Sensors | Transitions |
|---------------|--------|---------|--------------|-------------|
| Smartphone | 30 | 6 | Acc+Gyro | No |
| Chest-mounted | 15 | 7 | Acc | No |
| UCI | 8 | 19 | Acc+Gyro+Mag | No |

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Table 10. Activity recognition performance of SDAE model on other public datasets

| Datasets | Accuracy(%) | Precision(%) | Recall(%) | F1 score(%) |
|---------------|-------------|--------------|-----------|-------------|
| Smartphone | 97.15 | 97.19 | 97.15 | 97.15 |
| Chest-mounted | 89.99 | 89.96 | 89.99 | 89.83 |
| UCI | 95.26 | 95.42 | 95.26 | 95.15 |

According to the Table 10, the SDAE model obtained significant performances on three public datasets. Thus, we are able to conclude that the SDAE mode has strong applicability and the result of our experiment is convincing.

390 5. Discussion

The preceding results show the significant performance of SDAE model on transitional activities 301 and illustrate that the accuracy will drop when dataset is unbalanced. The resampling technique 392 provides feasible methods to improve this question and the accuracy can be greatly improved. 393 In experiment, we perform multiple sets of contrast experiments which refer to resampling, 394 hyperparameters, different sensors, multiple methods and datasets. According to the resampling 395 experimental result, resampling technique is able to balance sample gap and enhance the recognition 396 performance obviously. And SDAE model can alleviate overfitting problem due to its denoising 397 characteristic. Different sensors have unique effect on activity recognition, the intergration of varied 398 wearable sensors can have a positive influence on performance. Moreover, we have analyzed the 399 reason that CNN and LSTM achieve lower performance than SDAE. We assume that these models 400 cannot apply to all human daily activities and the architecture need to be adjusted according to demand. Furthermore, results on three public datasets based SDAE demonstrate the effectiveness 402 and applicability of our framework. According to these experimental results, it can be concluded that 403 SDAE is proper to recognize three types of activities in this paper, especially for transitional activities. 404 In activity recognition area, the transitional activity is as important as other activities and can be 405 applied to healthcare filed. For example, it can play a significant role in human fall detection technique, 406 detecting patient state and so on. We believe there will be more research results about transitional 407

409 6. Conclusions

In this paper, we utilized the stacked denoising autoencoder, a deep learning model which is 410 able to extract useful features automatically and compress unnecessary data by encoder to extract 411 more representative features and recognize human daily activities. Moreover, besides static and 412 dynamic activities, we also focused on transitional activities recognition which were more difficult 413 for recognizing. Furthermore, we utilized random oversampling technology to improve problem 414 that samples were unbalanced due to the short-term characteristic of transitional activities. The 415 experimental result we obtained demonstrated the SDAE model was able to achieve significant 416 performance on transitional activities recognition and outperformed other conventional machine 417 learning methods on human daily activity recognition. Meanwhile, we analyzed the influence of 418 sensors respectively and verified the effectiveness of SDAE model on three public datasets for activity 419 recognition, which proved indirectly that the result we obtained on our dataset is convincing. 420

In the future, we consider studying further special human's activity recognition, which will be able to be applied to specific fields, such as healthcare. Additionally, we also consider utilizing more kinds of sensors to collect data in future experiments. Furthermore, considering various channels of data gathering, the combination of activity recognition and computer vision is also an important research.

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 and Ian Cleland; Visualization, Zhuo Fan; Writing – original draft, Zhuo Fan; Writing – review & editing, Qin Ni,
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