

1 **Response to interferons and antibacterial innate immunity in absence of tyrosine-**  
2 **phosphorylated STAT1**

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27  
28 **Abstract**

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30 **Signal transducer and activator of transcription 1 (STAT1) plays a pivotal role in the**  
31 **innate immune system by directing the transcriptional response to interferons (IFN).**  
32 **Stat1 is activated by Janus kinase (JAK)-mediated phosphorylation of Y701. To**  
33 **determine whether STAT1 contributes to cellular responses without this phosphorylation**  
34 **event, we generated mice with Y701 mutated to a phenylalanine (Stat1<sup>Y701F</sup>). We show that**  
35 **heterozygous mice do not exhibit a dominant negative phenotype. Homozygous**  
36 **Stat1<sup>Y701F</sup> mice show a profound reduction in Stat1 expression, highlighting an important**  
37 **role for basal IFN-dependent signaling. The rapid transcriptional response to type I IFN**  
38 **(IFN-I) and type II IFN (IFN $\gamma$ ) was absent in Stat1<sup>Y701F</sup> cells. Intriguingly, STAT1Y701F**  
39 **suppresses the delayed expression of IFN-I-stimulated genes (ISG) observed in Stat1<sup>-/-</sup>**  
40 **cells, mediated by the STAT2- IRF9 complex. Thus, Stat1<sup>Y701F</sup> macrophages are more**  
41 **susceptible to *Legionella pneumophila* infection than Stat1<sup>-/-</sup> macrophages. *Listeria***  
42 ***monocytogenes* grew less robustly in Stat1<sup>Y701F</sup> macrophages and mice compared to Stat1<sup>-/-</sup>**  
43 **counterparts, but STAT1<sup>Y701F</sup> is not sufficient to rescue the animals. Our studies are**  
44 **consistent with a potential contribution of Y701-unphosphorylated STAT1 to innate**  
45 **antibacterial immunity.**

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47

## 48 **Introduction**

49

50 The Jak-Stat signaling pathway regulates cellular responses to cytokines. The prototypic  
51 signal transducer and activator of transcription, STAT1, plays a crucial role in host defense by  
52 mediating the effects of interferons (IFN). In the canonical signaling pathway, all types of IFNs  
53 produce transcriptionally active STAT1 through Janus kinase (JAK)-mediated phosphorylation  
54 at Y701. The type II interferon (IFN $\gamma$ ) receptor complex phosphorylates STAT1 exclusively, thus  
55 producing homodimers of the transcription factor. These translocate to the cell nucleus and  
56 stimulate gene expression through binding to gamma interferon-activated sequences (GAS)  
57 within the IFN response regions of its target genes. By contrast, stimulation with type I or type III  
58 interferons (IFN-I and IFN-III, respectively) produces phosphorylated STAT1-STAT2  
59 heterodimers. These heterodimers associate with interferon regulatory factor 9 (IRF9) to form  
60 the IFN-stimulated gene factor 3 (ISGF3). After translocation to the nucleus, ISGF3 binds to  
61 interferon-stimulated response elements (ISRE) to stimulate gene expression [1, 2].

62 In addition to canonical, tyrosine-phosphorylated ISGF3 and STAT1 dimers, STATs 1  
63 and 2 form noncanonical complexes. Transcriptional responses by STAT1/IRF9 complexes in

64 complete absence of STAT2 or in absence of its phosphorylation, and by STAT2 complexes  
65 lacking STAT1 are documented in the literature [3-8]. Noncanonical complexes may contain  
66 transcriptionally active STATs without a phosphotyrosine (U-STATs) [8-10]. In *Drosophila*, U-  
67 STATs associate with and maintain the stability of heterochromatin. Examples of this  
68 chromatin/STAT link exist also in *Dictyostelium* and *C. elegans*, organisms that appear to lack  
69 JAKs, but still have STATs [11-13]. Recent experimental evidence supports the concept that U-  
70 STAT1 prolongs the expression of a subset of IFN-induced genes, many of which are involved  
71 in immune regulation [14]. It has been hypothesized that this occurs due to the accumulation of  
72 newly synthesized STAT1 through a positive feedback loop after interferon stimulation.  
73 Furthermore, it has been proposed that prolonged exposure of cells to IFN $\beta$  induces the  
74 expression of non-phosphorylated STAT2 (U-STAT2) and IRF9 which combine with U-STAT1  
75 and form the un-phosphorylated ISGF3 (U-ISGF3) [9]. U-ISGF3 in turn maintains the expression  
76 of a subset of the initially induced ISGs, leading to extended resistance to virus infection and  
77 DNA damage.

78 In the present manuscript we investigate the role of U-STAT1 signaling in mice  
79 expressing a Stat1<sup>Y701F</sup> mutant. We report an important contribution of STAT1 tyrosine  
80 phosphorylation to a tonic signal increasing Stat1 expression. Extensive analysis of STAT  
81 activation and type I IFN-induced genes (ISGs) expression patterns demonstrate clear  
82 differences between macrophages lacking STAT1 and those expressing STAT1Y701F. These  
83 are reflected by an altered ability to limit the growth of the intracellular bacterial pathogen *L.*  
84 *pneumophila*. In spite of reduced STAT1Y701F protein amounts our work suggests a minor, but  
85 significant contribution of STAT1Y701F also to the innate response against another bacterial  
86 pathogen, *L. monocytogenes*. In summary our results provide evidence for biological activity of  
87 STAT1 in absence of its tyrosine phosphorylation.

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90

## 91 **Results**

92

### 93 **Jak-Stat signaling in Stat1<sup>Y701F</sup> mice**

94 To investigate STAT1 activity in absence of its tyrosine phosphorylation we generated  
95 Stat1<sup>Y701F</sup> knock-in mice by targeting the gene with a construct encoding phenylalanine in  
96 position 701 (see materials and methods). We first examined heterozygous Stat1<sup>Y701F/+</sup> mice.  
97 Importance of this genetic configuration stems from human patients, where Stat1<sup>Y701C</sup>

98 heterozygosity was classified as an autosomal dominant cause of Mendelian susceptibility to  
99 mycobacterial disease (MSMD) [15, 16]. We observed reduced Y701 phosphorylation of STAT1  
100 expressed from the WT allele in macrophages treated with either IFN $\beta$  or IFN $\gamma$  (Fig. 1A). The  
101 kinetics of STAT1 phosphorylation were comparable to those of wild type cells (Fig. 1A).  
102 Reduced STAT1 phosphorylation on Y701 corresponded to decreased protein amounts. STAT2  
103 phosphorylation by IFN $\beta$  and total STAT2 amounts were normal. In line with diminished STAT1  
104 tyrosine phosphorylation, maximal expression of interferon-stimulated genes (ISGs) was lower  
105 after stimulation with either IFN $\beta$  (Fig. 1B) or IFN $\gamma$  (Fig. 1C). The data suggest that Stat1<sup>Y701F</sup>  
106 heterozygosity causes reduced STAT1 expression and a correspondingly lower activation by  
107 the interferon receptors.

108 When analyzing macrophages from mice carrying homozygous Stat1<sup>Y701F</sup> mutation for  
109 STAT1 protein we noted strongly diminished amounts of total STAT1 (Fig. 2A, far right panel)  
110 and treatment with either IFN $\beta$  or IFN $\gamma$  showed the expected loss of tyrosine phosphorylation  
111 (Fig. 2B and 2E). Strongly reduced amounts of STAT1 were also observed in livers, spleens  
112 and other organs of mutant animals (Fig. 2A). Reportedly, small amounts of IFN-I are secreted  
113 and accumulate in tissue milieu even in absence of infection. Constitutive IFN-I secretion was  
114 proposed to generate a tonic signal and cause a priming effect keeping cells in a state of  
115 alertness to other cytokines and contributing to immune homeostasis, maintenance of bone  
116 density, and antiviral and antitumor immunity [17]. Our data provide the first *in vivo* evidence  
117 that a tonic signal, most likely from the IFN-I receptor, increases Stat1 expression through a  
118 mechanism involving its phosphorylation on Y701. Intriguingly, the levels of constitutive STAT1  
119 phosphorylation are below the radar of the tools used for detection in most cell types, yet they  
120 are sufficient to exert a strong biological impact.

121 In accordance with expectations, the early transcriptional response to interferons after 4  
122 h was absent in Stat1<sup>-/-</sup> as well as Stat1<sup>Y701F</sup> cells (Fig. 2C and 2D). Previous studies in Stat1<sup>-/-</sup>  
123 cells demonstrated the occurrence of STAT1-independent, STAT2-dependent gene expression  
124 at a delayed stage of the transcriptional response to IFN-I [4, 6, 18-20]. Consistent with our  
125 previous report [3] stimulation with IFN $\beta$  caused STAT1-independent ISG expression starting  
126 around 8-12 h after treatment which required the presence of both STAT2 and IRF9 (Fig. 2D).  
127 Intriguingly, the presence of STAT1Y701F partially repressed STAT2/IRF9-dependent, STAT1-  
128 independent genes at late stages of the IFN $\beta$  response. This relationship between genotype  
129 and expression profile was noted for many other investigated ISGs (Figure EV1B). The  
130 presence of STAT1Y701F inhibited the late expression of these genes through the  
131 STAT2/IRF9-dependent pathway.

132 After treatment with IFN $\gamma$ , both Stat1<sup>Y701F</sup> and Stat1<sup>-/-</sup> macrophages failed to induce a  
133 transcriptional response of typical STAT1 target genes, such as Irf1, Cllta, Stat1 and Stat2 at  
134 any time after treatment (Fig. 2C and Figure EV1A). Consistent with their regulation by STAT1  
135 homodimers, lack of STAT2 or IRF9 had no or little impact on their expression (data not shown).  
136 These findings suggest that inhibition of late-stage gene expression by STAT1Y701F is  
137 selective for the response to IFN-I.

### 138 139 **Identical STAT2 tyrosine phosphorylation profile in Stat1<sup>-/-</sup> and Stat1<sup>Y701F</sup> macrophages**

140 In order to further characterize the mechanism by which Stat1Y701F represses STAT1-  
141 independent, STAT2/IRF9-dependent gene expression during the late IFN-I response, we  
142 considered a dominant-negative effect of the mutant at the level of JAK-mediated tyrosine  
143 phosphorylation. Therefore, tyrosine phosphorylation of STATs was profiled by western blot. In  
144 accordance with [3] STAT1 showed both delayed and prolonged phosphorylation in Stat2<sup>-/-</sup> and  
145 IRF9<sup>-/-</sup> macrophages stimulated with IFN $\beta$  compared to WT (Fig. 2E). 24 h of stimulation with  
146 IFN $\beta$  lead to upregulation of total STAT1 levels equally well in WT, Stat2<sup>-/-</sup> and IRF9<sup>-/-</sup>, while it  
147 remained absent in Stat1<sup>Y701F</sup> mutant macrophages (Fig. 2E and Figure EV1B). We also  
148 observed delayed, but prolonged phosphorylation of STAT2 in Stat1<sup>Y701F</sup>, Stat1<sup>-/-</sup> and IRF9<sup>-/-</sup>  
149 macrophages. Similar to STAT1, the total amounts of STAT2 were upregulated after 24 h of  
150 stimulation with IFN $\beta$  in all genotypes, but never as well as in WT, with Stat1<sup>Y701F</sup> mutant having  
151 the weakest induction (Fig. 2E and Figure EV1B). The data suggest that the presence or  
152 absence of ISGF3 subunits exerts strong influence on both the tyrosine phosphorylation and  
153 upregulation of STATs 1 and 2.

154 Apart from STAT1, STAT3 and STAT5 are known to contribute to tissue-specific  
155 interferon signaling [21]. To examine possible effects of STAT1Y701F on other STATs, we  
156 profiled the tyrosine phosphorylation of STAT3 and STAT5 by western blot. Compared to their  
157 WT counterparts, cells expressing STAT1Y701F displayed an unimpaired ability to  
158 phosphorylate STAT3 and STAT5 in response to IFN $\beta$  (Figure EV2). In accordance with this,  
159 amounts of STAT3 and STAT5 proteins were unchanged (Figure EV2). These data confirm the  
160 importance of STAT2 phosphorylation and signaling in STAT1-independent, STAT2/IRF9-  
161 dependent gene expression, but did not explain the inhibition of the STAT2/IRF9 pathway by  
162 STAT1Y701F.

163

### 164 **Cytoplasmic STAT1Y701F inhibits STAT1-independent STAT2 signaling**

165 According to the Jak-Stat paradigm, STATs need to be phosphorylated on tyrosine to  
166 perform nuclear functions. This is also true for the U-Stat pathway proposed by Stark and  
167 coworkers because U-Stat function follows an early, tyrosine-dependent IFN response [14].  
168 However, data with several STATs suggest a cytoplasmic or organelle-based function  
169 independently of tyrosine phosphorylation [22-28].

170 To examine the nuclear effect of STAT1Y701F, next-generation sequencing of  
171 chromatin-immunoprecipitations with antibodies to STAT1 (ChIP-Seq) was carried out. Both  
172 untreated and IFN $\beta$  stimulated macrophages of WT and Stat1<sup>Y701F</sup> genotypes were analyzed.  
173 The data provide no hint of nuclear presence of STAT1Y701F before or after IFN $\beta$  treatment. By  
174 contrast, binding of WT STAT1 was readily observed after IFN $\beta$  treatment (Figure EV3 shows  
175 Mx2, IRF7, Stat1 and Stat2 genes as representative examples).

176 Consistent with the suppression of gene expression stimulated by STAT2/IRF9  
177 complexes, site-directed ChIP showed decreased STAT2 binding to both Mx2 and Irf7 ISRE  
178 sequences in Stat1<sup>Y701F</sup> cells 24h after IFN $\beta$  treatment (Fig. 3A). In WT macrophages STAT1  
179 occupied the Mx2 ISRE site both 2 and 24 h after stimulation with IFN $\beta$  and binding required  
180 STAT2 (Fig. 3B) ChIP-reChIP experiments confirmed the simultaneous presence of STAT1 and  
181 STAT2 24 h after IFN $\beta$  stimulation on at least a subfraction of ISREs, suggesting that in WT  
182 cells late-stage induction involves both STAT1 and STAT2 (Fig. 3C). Further evidence for a  
183 suppression of STAT2/IRF9-mediated gene expression was obtained with the experiment  
184 shown in figure 3D. Transfection of STAT2 into STAT1-deficient fibroblasts stimulated late IFN $\beta$ -  
185 induced gene expression. Expression of STAT1Y701F along with STAT2 suppressed gene  
186 expression when compared to the amount obtained with STAT2 overexpression alone. By  
187 contrast, WT STAT1 enhanced gene expression when transfected together with STAT2.

188 In absence of evidence for nuclear STAT1Y701F activity, we performed  
189 immunofluorescence-based experiments to test whether the lack of individual ISGF3 subunits  
190 influences nuclear translocation of STAT2. Staining for STAT2 showed a comparable  
191 translocation of STAT2 to the nucleus 30 minutes after IFN $\beta$  stimulation of Stat1<sup>-/-</sup> and IRF9<sup>-/-</sup>  
192 macrophages (Fig. 4A). 24 h after IFN $\beta$  stimulation, STAT2 was largely present in the nucleus  
193 of Stat1<sup>-/-</sup> and IRF9<sup>-/-</sup> macrophages. Importantly, the presence of STAT2 in the nucleus of  
194 Stat1<sup>Y701F</sup> macrophages was reduced in comparison to Stat1<sup>-/-</sup> (Fig. 4B).

195 Together the data strongly suggests that STAT1Y701F leads to inhibition of STAT1-  
196 independent, STAT2/IRF9-dependent late ISG expression through interaction with STAT2 in the  
197 cytoplasm, preventing it from nuclear translocation and DNA binding.

198

199 **Stat1<sup>Y701F</sup> and Stat1<sup>-/-</sup> macrophages and mice differ in their response to infection with**  
200 ***Legionella pneumophila* and *Listeria monocytogenes***

201 To examine whether suppression of late IFN-I signaling by STAT1Y701F alters cell-  
202 autonomous antibacterial immunity provided by macrophages we infected the cells with two  
203 intracellular pathogens, *L. pneumophila* and *L. monocytogenes*. While IFN-I were shown to limit  
204 intracellular growth of *L. pneumophila* with a clear impact of the STAT1-independent delayed  
205 pathway [29-31], this activity of IFN-I is not seen upon infection with *L. monocytogenes* [32].

206 Infection of untreated macrophages with *L. pneumophila* showed no difference between  
207 WT, Stat1<sup>-/-</sup>, or Stat1<sup>Y701F</sup> genotypes (Fig. 5A). As previously reported [3, 30], *L. pneumophila*  
208 growth was reduced after IFN-I treatment in both WT and Stat1<sup>-/-</sup> macrophages (Fig. 5B).  
209 Consistent with the suppressive activity of STAT1Y701F on the STAT1-independent pathway,  
210 Stat1<sup>Y701F</sup> macrophages showed less ability than Stat1<sup>-/-</sup> to inhibit *L. pneumophila* growth (Fig.  
211 5B).

212 During infection of WT macrophages with *L. monocytogenes* IFN-I is produced and  
213 causes STAT1 Y701 phosphorylation (figure 6A), but IFN-I does not affect growth in WT or  
214 Stat1-deficient macrophages [32]. We tested whether Stat1<sup>Y701F</sup> mutation and STAT1 deficiency  
215 have a different impact on the transcriptional response to *L. monocytogenes* by performing gene  
216 microarrays using cDNA from infected cells. Comparing Stat<sup>Y701F</sup> macrophages with WT, we  
217 found differentially expressed probes at an FDR of 0.1 at all observed time points during  
218 infection (Table 1, first row). In striking contrast to the comparison with the WT, no differentially  
219 regulated genes at an FDR of 0.1 were found at any time point when we compared Stat1<sup>Y701F</sup>  
220 and Stat1<sup>-/-</sup> (Table 1, second row). Among the genes higher expressed in WT versus Stat1<sup>-/-</sup> as  
221 well as Stat1<sup>Y701F</sup> macrophages was the *Ifnβ* gene (Fig. 6B). Thus, the strongly reduced  
222 production of type I IFN is likely to obscure potential effects IFN-I might exert on the innate  
223 response of Stat1<sup>Y701F</sup> cells and mice to *L. monocytogenes*. It may also preclude significant  
224 alterations of gene expression when compared to STAT1-deficiency.

225 To investigate potential effects of unphosphorylated STAT1, we infected macrophages *in vitro*  
226 and determined intracellular *L. monocytogenes* growth. Strikingly, untreated macrophages with  
227 the STAT1<sup>Y701F</sup> genotype inhibited *L. monocytogenes* replication better than BMDM with  
228 complete STAT1 deficiency (Fig. 7A), suggesting a role for U-Stat1.

229 STAT1 is essential for innate resistance of mice against *L. monocytogenes* infection [33,  
230 34]. Prompted by the result in isolated macrophages we determined the impact of STAT1Y701F  
231 in murine *L. monocytogenes* infection. Due to the strong decrease in STAT1 amounts caused

232 by Y701F mutation with respect to WT, the most important read-out of these experiments is a  
233 potential gain of function in comparison to Stat1<sup>-/-</sup> mice.

234 Stat1<sup>Y701F</sup> mice infected with *L. monocytogenes* were highly susceptible to infection  
235 when compared to WT, but showed reduced bacterial loads in lungs, brain, liver and spleen 48  
236 h and 72 h after infection compared to the Stat1<sup>-/-</sup> mice (Fig. 7C). The difference between the  
237 Stat1<sup>-/-</sup> and Stat1<sup>Y701F</sup> genotypes was smaller at 72 h after infection and disappeared at the  
238 terminal stage of infection shortly before death (between 72 h and 144 h p.i.). Consistently,  
239 Stat1<sup>Y701F</sup> and Stat1<sup>-/-</sup> mice died at equal rates (Fig. 7B). We conclude that compared to STAT1  
240 deficiency the presence of STAT1Y701F delays *L. monocytogenes* replication, however, without  
241 the efficacy that would be needed for an increase in survival. The delay in bacterial spread and  
242 the concomitant generation of inflammatory infiltrates was further supported by  
243 immunohistochemistry. Stat1<sup>Y701F</sup> mice livers contained fewer *L. monocytogenes* (Fig. 8A) and  
244 immune cell infiltrates were smaller both in numbers and size compared to the Stat1<sup>-/-</sup> mice  
245 livers (Fig. 8A and 8B). As previously described [35], these infiltrates consisted mostly of  
246 neutrophils (Fig. 8A), while there were no clearly discernable differences in macrophage  
247 distribution in livers of all 3 genotypes (Figure EV4). Furthermore, measurements of alanine  
248 aminotransferase (ALT) levels in serum of infected mice showed a decrease of this liver  
249 damage parameter in Stat1<sup>Y701F</sup> mice compared to Stat1<sup>-/-</sup> (Fig. 8C). The data demonstrate a  
250 subtle, yet clearly discernible activity of U-STAT1 in the innate response against *L.*  
251 *monocytogenes*.

252

## 253 Discussion

254 STAT1 makes an essential contribution to innate immunity against viral and  
255 intramacrophagic bacterial disease. The objective of our study was to reveal any contribution of  
256 non tyrosine-phosphorylated STAT1 to innate immunity. Examining cells and organs of mice  
257 expressing a Stat1<sup>Y701F</sup> mutant the first observation of note was the strong dependence of  
258 STAT1 expression on its tyrosine phosphorylation through tonic signaling. None of the tested  
259 stimuli, including *L. monocytogenes* infection, caused upregulation of the Stat1 gene in absence  
260 of its tyrosine-phosphorylated product. Therefore, effects of the U-Stat pathway as defined by  
261 Stark and colleagues which rely on an increase in STAT abundance could not be examined. In  
262 addition, any results obtained for the immune response of Stat1<sup>Y701F</sup> cells or mice could not be  
263 compared to WT counterparts because a distinction between effects of tyrosine phosphorylation  
264 and effects of STAT1 abundance was not possible. To overcome this problem, we recorded  
265 gain or loss of function with respect to Stat1<sup>-/-</sup> cells and mice. With this approach we were able



266 to derive important insight into the cross-regulation of Stat1 and Stat2 genes and the  
267 mechanism of Stat signaling by the IFN receptors. We also demonstrated inhibition of delayed,  
268 STAT1-independent type I IFN signaling by the STAT1Y701F mutant, owing to inhibited nuclear  
269 translocation of STAT2. Reduced inhibition of intracellular *L. pneumophila* growth in  
270 STAT1Y701F compared to Stat1<sup>-/-</sup> macrophages supports our interpretation of this activity.  
271 Other than *L. pneumophila*, STAT1-independent type I IFN signaling is thought to partially  
272 rescue cells and organisms from infection with viruses causing STAT1 inhibition or degradation.  
273 Finally we noted that the presence of STAT1Y701F inhibited *L. monocytogenes* replication,  
274 although not rescuing mice from lethal infection.

275 Mice expressing one Stat1<sup>Y701F</sup> allele in addition to a WT Stat1 allele showed reduced  
276 STAT1 expression, in accordance with phosphotyrosine-dependent autoregulation of the gene.  
277 Decreased tyrosine phosphorylation of WT STAT1 upon IFN treatment may thus reflect  
278 decreased protein amounts rather than a dominant-negative effect of STAT1Y701F. Several  
279 mutations of human Stat1 have been reported to impair the phosphorylation of STAT1 on Y701  
280 [36-38]. However, only one clinical case of two-generation kindred has been described to have  
281 a heterozygous Y701C Stat1 mutation [16]. In this report the patient's blood leukocytes showed  
282 normal STAT1 levels, but reduction in STAT1 phosphorylation and binding to DNA after both  
283 type I and type II interferon treatment. Therefore Stat1<sup>Y701C</sup> mutation was concluded to be  
284 autosomal dominant. We cannot entirely rule out autosomal dominance of Stat1<sup>Y701F</sup> mutation in  
285 mice, but the reduced STAT1 expression appears to manifest a cell type or species-specific  
286 difference.

287 In line with expectations, the early transcriptional response to both tested IFN types was  
288 completely lost in Stat1<sup>Y701F</sup> mice. Tyrosine phosphorylation of STATs 2, 3 and 5 did not differ  
289 from that observed in Stat1<sup>-/-</sup> cells. This shows the lack of dominant-negative activity of the  
290 Y701F mutant with regard to STAT activation by the IFN receptor complex. STAT2 levels were  
291 slightly elevated in resting Stat1<sup>Y701F</sup> compared to Stat1<sup>-/-</sup> cells. However, upon IFN $\beta$  treatment  
292 STAT2 protein increased to higher levels in Stat1<sup>-/-</sup> cells, but much less so in Stat1<sup>Y701F</sup> cells or  
293 IRF9<sup>-/-</sup> cells. In agreement with data in Figure EV1, this shows that the Stat2 gene is a target of  
294 STAT1-independent STAT2/IRF9 signaling which, like many other genes examined in our study,  
295 is partially suppressed by STAT1Y701F. Regarding the mechanism of STAT1Y701F-mediated  
296 ISG suppression, our CHIP-Seq data support the conclusion that it is not through activity in the  
297 cell nucleus. While we cannot exclude insufficient sensitivity of this technology to detect very  
298 small STAT1Y701F amounts, a clear effect on STAT2 nuclear translocation suggests a  
299 cytoplasm-based mode of action. The finding that the small amounts of STAT1Y701F produce

300 this significant effect is explained on the one hand by reduced nuclear presence of  
301 hemiphosphorylated STAT1Y701F/STAT2 dimers and by the resulting defect in STAT2  
302 upregulation. Stat1Y701F/STAT2 dimers might either have reduced ability to enter the nucleus  
303 or to persist in this cell compartment through stable association with chromatin [39, 40]. This  
304 would be expected to reduce the amount of gene-associated STAT2/IRF9 complexes, an  
305 interpretation compatible both with the analysis of STAT2 subcellular distribution (Fig. 4A) and  
306 the site-directed ChIP demonstrating reduced STAT2 binding to ISRE-containing ISG promoters  
307 in Stat1<sup>Y701F</sup> cells (Fig. 3A).

308 Unlike Stat2, the Stat1 gene increased profoundly after IFN $\beta$  treatment not only in WT,  
309 but also in all investigated genotypes except Stat1<sup>Y701F</sup>. This result further emphasizes the  
310 importance of Y701 phosphorylation for Stat1 gene expression. Enhanced expression in Stat2<sup>-/-</sup>  
311 and Irf9<sup>-/-</sup> cells shows that IFN $\beta$  regulation of the Stat1 gene does not involve the ISGF3  
312 complex. Surprisingly and in contrast, STAT1 maintenance in resting cells appears to require  
313 ISGF3 as a profound drop occurs in Stat2<sup>-/-</sup> as well as IRF9<sup>-/-</sup> macrophages.

314 Innate immunity to *L. monocytogenes* was strongly reduced in Stat1<sup>-/-</sup> as well as  
315 Stat1<sup>Y701F</sup> mice, in accordance with its dependence on IFN $\gamma$  and the lack of any apparent  
316 transcriptional activity of STAT1Y701F on IFN $\gamma$ -inducible genes (Fig. 7A and Figure EV3) [41,  
317 42]. In fact, STAT1Y701F was not able to rescue from lethal infection irrespective of the  
318 inoculum size (Fig. 7B). In spite of this, replication of the bacteria in infected Stat1<sup>Y701F</sup> cells and  
319 organs was delayed, as were the examined hallmarks of liver inflammation and damage. At  
320 present we cannot pinpoint the mechanism behind this. Speculatively the altered response to  
321 IFN-I might be a contributing factor. Although Listeria-infected Stat1<sup>-/-</sup> and Stat1<sup>Y701F</sup> cells  
322 produce less IFN-I (Fig. 6B) levels of cytokine are expected to stimulate cells, albeit to a lesser  
323 degree. In absence of IFN-I signaling, Listeria-infected mice show decreased bacterial burden  
324 and increased survival. This correlates with reduced apoptosis of splenic lymphocytes [43-46].  
325 The impact of the late phase of the IFN-I response during which ISG transcription can occur  
326 without STAT1 and during which STAT1Y701F exerts its inhibitory activity on immunity to *L.*  
327 *monocytogenes* is unclear. However, the biological relevance of the STAT1-independent  
328 pathway is documented by antiviral activity of Stat1<sup>-/-</sup> cells against viruses (Dengue virus - [19];  
329 MV - [18]), by type I IFN-mediated viral pathology in mice [47] and antibacterial macrophage  
330 activity against *L.pneumophila* (Fig. 5) [3, 30]. Based on our findings and these reports in the  
331 literature we hypothesize that late-phase suppression of the IFN-I response reduces its known  
332 detrimental effects on innate resistance to *L. monocytogenes*. However, reduced *L.*  
333 *monocytogenes* growth in Stat1<sup>Y701F</sup> macrophages compared to Stat1<sup>-/-</sup> suggests an additional,

334 cell-autonomous effect of U-STAT1 because cells lacking the type I IFN receptor do not  
335 reproduce this phenotype [32] and there is no IFN $\gamma$  in the experimental system. Extensive  
336 microarray analyses are inconsistent with a nuclear activity of the mutant in infected cells,  
337 suggesting a hitherto undefined cytoplasmic route through which U-STAT1 influences  
338 antibacterial resistance.

339 In this regard, our observation that *L. monocytogenes* infection causes phosphorylation  
340 of the STAT1<sup>Y701F</sup> mutant at S727 (data not shown) may be of importance, as several reports  
341 suggest that at least some biological activities of U-STATs require phosphorylation at this  
342 residue. Whereas nuclear S727 phosphorylation by CDK8 enhances transcriptional activity of  
343 the tyrosine-phosphorylated STAT1 dimer [48], cytoplasmic S727 phosphorylation of U-STAT1  
344 was linked to diverse biological processes such as the apoptotic response to TNF or the  
345 regulation of NK cytotoxicity [49, 50]. Therefore, it is tempting to speculate that the cytoplasmic  
346 kinase causing S727 phosphorylation of U-STAT1 in *L. monocytogenes*-infected macrophages  
347 is part of a STAT1-dependent antibacterial pathway.

348 In conclusion our analysis of Stat1<sup>Y701F</sup> cells and mice supports the idea of U-Stat activity  
349 and yielded interesting insight into the cross-regulation of STATs. How relevant is this  
350 experimental system for Stat signaling in WT cells? Generally speaking, the gain of innate  
351 immunity versus STAT1 deficiency seen in our studies is small and hard to extrapolate to a  
352 contribution of unphosphorylated STAT1 in WT animals. The best our experimental model can  
353 achieve is to reveal a potential of unphosphorylated STAT1, but it cannot provide final proof that  
354 this potential is realized in WT cells or animals. The ChIP analysis of figure 3C demonstrates  
355 WT STAT1 at ISREs both early and late after IFN $\beta$  treatment and that it co-occupies the same  
356 sites with STAT2. This suggests that a STAT1-independent pathway employing STAT2/IRF9 is  
357 less prominent in WT cells. Therefore, a selective inhibition of this pathway by  
358 unphosphorylated STAT1, can neither be ruled out nor confirmed. From a broader perspective  
359 the finding demonstrating STAT1<sup>Y701F</sup> mutant inhibition of STAT2 translocation to the nucleus  
360 suggests that the subcellular distribution of STAT2 in type I IFN-treated WT cells may be  
361 regulated by the relative amount of U-STAT1. Moreover, the scenario studied here applies to  
362 patients with Stat1 mutations that inhibit tyrosine phosphorylation [15, 16] or to cells in which  
363 pathogens disrupt Jak-Stat signal transduction.

## 364 **Materials and methods**

365

366 **Mice.** Mice containing an A->T point mutation in exon 23 of the Stat1 gene, causing a  
367 change of Y701 to F were generated following the strategy described for Stat1<sup>S727A</sup> mice by [51].

368 In brief, a targeting construct spanning intron 22 through exon 23 was made, inserting a floxed  
369 Neo cassette in intron 22 and a DT gene after exon 23 for selection of homologous  
370 recombinants. The construct was introduced in ES cells and homologous recombinants  
371 identified by Southern blot of Bgl II-restricted genomic DNA. The point mutation was verified by  
372 PCR amplification of the tyrosine-containing exon 23 and digestion with restriction enzyme Hinf.  
373 The A->T transversion generates an additional Hinf site that divides the PCR amplicon into  
374 fragments of 355 bp and 175 bp length. A positive ES clone (A10) was identified and the neo  
375 cassette excised after infection with a Cre-expressing Adenovirus. Neo-deleted ES cells were  
376 used for blastocyst injection and the generation of chimeric mice. Mice transmitting the mutant  
377 allele to their progeny were backcrossed to C57BL/6N mice. A pure C57BL/6N congenic strain  
378 was established by marker-assisted selection. C57BL/6N and congenic Stat1<sup>-/-</sup>, Stat2<sup>-/-</sup> and  
379 IRF9<sup>-/-</sup> mice were housed under SPF conditions [52-54].

380 All of the animal experiments have been approved by the Vienna University of Veterinary  
381 Medicine institutional ethics committee and performed according to protocols approved by the  
382 Austrian law (BMWF 68.205/0032-WF/II/3b/2014). General condition and behavior of the  
383 animals during the experiments was controlled by FELASA B degree holding personnel. The  
384 progress of the disease was monitored every 2 to 4 hours during the “day phase” (7 a.m. to 7  
385 p.m.) or both during the “day” and the “night phase” depending on the condition of the animals.  
386 In survival or terminal stage experiments, humane endpoint by cervical dislocation was  
387 conducted if death of the animals was expected within next few hours. Animal husbandry and  
388 experimentation was performed under the Austrian national law and the ethics committees of  
389 the University of Veterinary Medicine Vienna and according to the guidelines of FELASA which  
390 match those of ARRIVE.

391  
392 **Infection of mice and *in vivo* colony forming units (CFU) assays.** Mice were infected  
393 by intraperitoneal injection with the indicated inoculum sizes of the LO28 strain of *L.*  
394 *monocytogenes*. The bacteria were prepared as previously described [55]. For infection,  
395 bacteria were washed, diluted in respective concentration in PBS (Sigma) and injected into 8- to  
396 10-week old, gender matched C57BL/6N (WT), Stat1<sup>Y701F</sup> and Stat1<sup>-/-</sup> mice. The infectious dose  
397 was controlled by plating serial dilutions on Oxford agar (Merck Biosciences) or brain heart  
398 infusion (BHI; BD Biosciences) agar plates. For the survival assays, mice were monitored over  
399 the course of 10 days. For detection of bacterial loads in liver, spleen, brain and lungs (CFU  
400 assays), mice were sacrificed at the indicated time points, organs were harvested and

401 homogenized in PBS. The 1:10 serial dilutions were plated on Oxford agar plates (lungs) or BHI  
402 agar plates. The colonies were counted after a 24 h incubation at 37 °C.

403

404 **Histology.** Mouse organs were harvested, fixed in 4 % paraformaldehyde overnight and  
405 dehydrated in 70 % ethanol overnight. Samples were further embedded in paraffin and cut on a  
406 microtome into 3 µm sections. Immunohistochemical detection of *L. monocytogenes* and of  
407 Ly6C/Ly6G<sup>+</sup> cells in infected liver tissue was performed as previously described [35]. In brief,  
408 sections for the identification of *L. monocytogenes* were incubated in 50 % methanol and 3 %  
409 hydrogen peroxide to inhibit endogenous peroxidase activity and then incubated with pronase  
410 (Roche); washed in PBS containing 0.05 % Tween (PBS-T) and blocked in 5 % normal goat  
411 serum. Sections were reacted with primary antibody against *L. monocytogenes* (Abcam) and  
412 binding was detected using HRP rabbit/mouse polymer (Dako) and AEC+ high chromogen  
413 substrate. The counter stain was done with hematoxylin. For Ly6C/Ly6G (Gr-1)  
414 immunohistochemistry liver sections were incubated in 50 % methanol and 3 % hydrogen  
415 peroxide to inhibit endogenous peroxidase activity and then boiled in 10 mM sodium citrate  
416 antigen unmasking solution. The samples were blocked in 3 % normal goat serum and stained  
417 overnight with primary Ly6C/Ly6G antibody (BD Pharmingen). On the next day samples were  
418 incubated with biotinylated rabbit anti-rat IgG (Vector Laboratories), washed and incubated with  
419 ABC reagent (Vector Laboratories). Binding was visualized using AEC+ high sensitivity  
420 chromogen substrate (Dako). Samples were counterstained with hematoxylin.

421

422 **Analysis of alanine aminotransferase levels (ALT).** Mice were infected with  $1 \times 10^5$   
423 LO28 *L. monocytogenes* intraperitoneally and sacrificed 72 h after infection. ALT levels were  
424 measured in mice serum using a Roche COBASc11 analyzer (Labor Invitro, Vienna, Austria).

425

426 **Cell culture.** Bone marrow derived macrophages (BMDMs) were differentiated from  
427 bone marrow isolated from femurs and tibias of 6-8 week old mice. Cells were cultured in  
428 DMEM (Sigma-Aldrich) supplemented with 10 % of FCS (Sigma-Aldrich), 10 % of L929-cell  
429 derived CSF-1 and 100 units/ml penicillin, 100 µg/ml streptomycin (Sigma-Aldrich) as previously  
430 described [55]. The culture contained >99 % of F4/80+ cells.

431

432 ***In vitro* colony forming units (CFU) assay.**

433 *L. monocytogenes*: For *in vitro* colony forming assays (CFUs), cells were infected with *L.*  
434 *monocytogenes* LO28 at a multiplicity of infection (MOI) of 10 in antibiotic-free medium. After 1

435 h the medium was replaced with medium containing 50 µg/mL of gentamicin in order to  
436 eliminate all external bacteria. After one more hour the medium was exchanged again with  
437 medium containing 10 µg/mL gentamicin. At indicated time points, cells were lysed in sterile  
438 water and CFUs were determined by plating 1:10 serial dilutions on BHI (BD Biosciences) agar  
439 plates. Colonies were counted after 24 h incubation at 37 °C.

440 *L.pneumophila*: The *L. pneumophila* JR32 Fla- (flagellin deficient) strain was grown in  
441 AYE (ACES-buffered yeast extract) broth or on CYE (charcoal yeast extracts) plates as  
442 previously described [30]. BMDMs were infected at MOI 0.25 and cells were lysed in sterile  
443 water at indicated time points. Numbers of CFUs were determined by plating 1:10 serial  
444 dilutions plated on CYE plates. Colonies were counted after 72 h of incubation at 37 °C.

445  
446 **Preparation of whole cell lysates and western blot analysis.**  $3 \times 10^6$  BMDMs were  
447 infected with *L. monocytogenes* at MOI 10 as described above, or treated with 250 IU/mL of  
448 IFN $\beta$  (PBL interferon source) or 5 ng/mL of IFN $\gamma$  (Affymetrix - eBioscience). For whole cell  
449 lysates, BMDMs were lysed in 80 µL of Frackelton buffer (10 mM Tris, 30 mM Na $_4$ P $_2$ O $_7$ , 50 mM  
450 NaCl, 50 mM NaF, 1% triton X-100, 0.1 mM PMSF, 1 mM DTT, 0.1 mM Na $_3$ VO $_4$ , pH=7.5)  
451 supplemented with complete protease inhibitors diluted 1:100 (Roche). Proteins were separated  
452 on 10 % SDS-polyacrylamide gels and blotted onto cellulose membranes (Optitran BA-S 83, GE  
453 Healthcare Life Sciences) using a standard semi-dry protocol (1.5 h, 32 mA per gel). For tissue  
454 western blots, spleens were lysed in 1 mL Frackelton buffer and livers were lysed in 5 volumes  
455 of buffer (50 mM TrisHCl pH=8, 150 mM NaCl, 1% TX-100, 0.1% SDS, 5 mM EDTA, 1 mM  
456 EGDA, inhibitors). The following primary antibodies were used: STAT1 C-terminal [56], STAT1  
457 (clone E-23, Santa Cruz), phospho-Y701 STAT1 (Cell Signaling), phospho-Y689 STAT2  
458 (Millipore), STAT2 (Millipore), STAT3 (Cell Signaling), phospho-Y705 STAT3 (Cell Signaling),  
459 phospho-Y694 STAT5 (BD Biosciences), STAT5 (Millipore) and tubulin (Sigma). Secondary  
460 antibodies were purchased from Li-COR and blots were detected on Odyssey CLx<sup>®</sup> Infrared  
461 Imaging System (Li-COR).

462  
463 **RNA isolation, cDNA synthesis and Q-PCR.**  $1 \times 10^6$  BMDMs were infected with *L.*  
464 *monocytogenes* at MOI 10 as described above, or treated with 250 IU/mL of IFN $\beta$  (PBL  
465 interferon source) or 5 ng/mL of IFN $\gamma$  (Affymetrix - eBioscience). At indicated time points cells  
466 were lysed in RA1 buffer from the NucleoSpin II RNA isolation kit (Macherey-Nagel). Total RNA  
467 isolation was further performed according to the manufacturer's instructions. cDNA was  
468 synthesized using 200ng of isolated RNA. Q-PCR was performed using GoTaq<sup>®</sup> qPCR Master

469 Mix (Promega) according to the manufacturer's instructions. Samples were amplified on a  
470 Mastercycler realplex real-time PCR system (Eppendorf). mRNA levels were calculated and  
471 normalized to GAPDH using the  $\Delta C_T$  method. Relative fold-induction was calculated by  
472 normalizing all genotypes and treatments to untreated WT. Sequences of primers are listed in  
473 Appendix table 1.

474

475 **Cell transfection.** Stat1<sup>-/-</sup> fibroblasts were grown to 70% confluency in 6 cm dishes. The  
476 cells were transfected with 1  $\mu$ g of each expression plasmid using 8  $\mu$ l of Turbofect™ reagent  
477 (Thermo Scientific). 24h later the cells were treated with IFN $\beta$  for 48h, followed by extraction of  
478 RNA as described above.

479

480 **Immunofluorescence.** 2x10<sup>5</sup> BMDMs were seeded on glass cover slides, treated with  
481 250 IU/mL IFN $\beta$  and fixed with 3 % paraformaldehyde for 20 minutes at room temperature. Cells  
482 were permeabilized with 0.1 % saponin in 0.5 M NaCl PBS. Blocking and incubation with STAT2  
483 primary antibody [3] and secondary anti-rabbit Alexa Fluor® 488 (Life Technologies) were done  
484 in 0.1 % saponin and 1 % BSA in 0.5 M NaCl PBS. Samples were mounted in DAPI-containing  
485 mounting media Dapi-Fluoromount-G (SouthernBiotech). Confocal images were acquired using a  
486 Zeiss LSM 710 microscope with 63X (NA 1.4) oil objectives. Images were processed and  
487 analyzed using the ImageJ software and relative fluorescence was calculated according to the  
488 corrected total cell fluorescence (CTCF) formula (CTCF= Integrated density-(area of selected  
489 cell x mean fluorescence background readings)) as previously described [57, 58].

490

491 **ChIP-seq, ChIP and ChIP-re-ChIP.** Chromatin immunoprecipitation (ChIP) using  
492 DynaBeads Protein G (Invitrogen) was performed as described [59]. BMDMs were treated with  
493 250 IU/mL of IFN $\beta$ . ChIP was performed using STAT1 (clone E-23, Santa Cruz) or STAT2  
494 antibody (clone C-20, Santa Cruz). Levels of precipitated chromatin were measured by Q-PCR  
495 as described above. Primers used for Q-PCR are listed in appendix table 1. Data were  
496 normalized to input and to the sample from untreated wild type cells. For ChIP-seq precipitated  
497 chromatin was sonicated into 200 to 300 bp long fragments. 5-10 ng of DNA precipitated by  
498 ChIP was used as the starting material for the generation of sequencing libraries using the  
499 KAPA library preparation kit for Illumina systems. Completed libraries were quantified with a  
500 BioanalyzerdsDNA 1000 assay kit (Agilent) and a Q-PCR NGS library quantification kit (KAPA).  
501 Cluster generation and paired-end sequencing was achieved with a HiSeq 2000 system with a  
502 read length of 100 bp according to the manufacturer's guidelines (Illumina). Samples were

503 multiplexed using unique adaptors; all the untreated samples were run on the first lane and the  
504 treated ones on the second lane of the flow cell. ChIP-re-ChIP experiments were performed as  
505 previously described [60] using STAT1 (clone E-23, Santa Cruz) or STAT2 antibody (clone C-  
506 20, Santa Cruz). In short, the immune complexes were eluted with 10 mM DTT for 40 min at  
507 room temperature with agitation after which they were diluted 40-fold in ChIP dilution buffer and  
508 re-immunoprecipitated.

509

510 **ChIP-Seq analysis:** Quality based trimming was performed at the 3' end of raw reads  
511 using the "[trim-fastq.pl](#)" script of the PoPoolation toolbox [61], where all trimmed reads with  
512 length less than 30 bp were discarded. Quality controlled reads were mapped to the mouse  
513 genome (UCSC, mm10) using Bowtie [62] where all non-unique alignments were discarded.  
514 Post-alignment filtering: reads mapped in a proper pair were selected and PCR duplicates were  
515 removed using SAMtools [63]. Peak-calling was performed using MACS [64] with default  
516 parameters. Significant peaks were annotated to the nearest genes using PeakAnalyzer [65].  
517 ChIP-Seq data are deposited at ArrayExpress under accession number E-MTAB-3597  
518 (<https://www.ebi.ac.uk/arrayexpress/experiments/E-MTAB-3597/>).

519

520 **Microarray.** Total RNA from mouse bone marrow-derived macrophages (BMDM)  
521 treated with *L. monocytogenes* for 6, 12 or 24 hours was purified using Trizol (Invitrogen, USA)  
522 and RNeasy Mini Kit (Qiagen, Germany). The RNA samples were collected from 3 sets of  
523 independent experiments. Samples were prepared by the Genomics Core of Lerner Research  
524 Institute, Cleveland Clinic, using 1 g of total RNA and Illumina Mouse Ref-8 v2 Expression Bead  
525 Chips (Illumina Inc. USA). After filtering to remove probes expressed at or below background  
526 levels in the WT, 7644 probes remained. The log expression level of each remaining probe was  
527 analyzed with a linear model with genotype, timepoint, and replicate as factors. The mean  
528 expression of the Stat1<sup>Y701F</sup> genotype was contrasted with that of the WT and Stat1<sup>-/-</sup>.  
529 Subsequently a Benjamini-Hochberg false discovery rate analysis was performed independently  
530 for the three comparisons among genotypes. Microarray data are deposited at ArrayExpress  
531 under accession number E-MTAB-3598 ([https://www.ebi.ac.uk/arrayexpress/experiments/E-](https://www.ebi.ac.uk/arrayexpress/experiments/E-MTAB-3598/)  
532 [MTAB-3598/](https://www.ebi.ac.uk/arrayexpress/experiments/E-MTAB-3598/)).

533

534 **Statistical analysis.** Bacterial loads of organs and ALT levels in serum were compared  
535 using the Mann-Whitney test and middle values represent medians. Bacterial loads in *in vitro*  
536 CFU assays were compared with the Student's t-test and bars on the graph represent the mean



537 values with error bars that represent standard deviation (SD). mRNA expression data also  
538 represent the mean values with standard error of mean (SEM). The differences in mRNA  
539 expression data were compared using the ratio t-test. All statistical analyses were performed  
540 using the GraphPad Prism (Graphpad) software. Asterisks denote statistical significance as  
541 follows: ns,  $p > 0.05$ ; \*,  $p \leq 0.05$ ; \*\*,  $p \leq 0.01$ ; \*\*\*,  $p \leq 0.001$ .

542

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549

550 **Author contribution:** A.M. performed the experiments; E.P. and D.S. participated in revision of  
551 the manuscript; H.C., C.V. and P.S. analyzed the CHIP-seq and microarray data; G.R.S., R.S.  
552 and T.D. generated the mouse models; M.M., V.S., C.S. and T.D. supervised the experiments  
553 and gave valuable input; A.M., C.S. and T.D. wrote the manuscript.

554

555 **Conflict of interest:** The authors have no conflict of interest.

556

557

558

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741

742

## 743 **Figure Legends**

744

### 745 **Figure 1 - Interferon signaling in mice bearing heterozygous Stat1<sup>Y701F</sup> mutation** 746 **resembles that of human cells with heterozygous Stat1<sup>Y701C</sup> mutation.**

747 A Western blot analysis STAT expression and phosphorylation. Bone marrow derived  
748 macrophages (BMDMs) of wild type (WT), Stat1<sup>Y701F/+</sup> (WT/YF) or Stat1<sup>-/-</sup> (S1) mice were treated  
749 with 250 IU/mL of IFN $\beta$  or 5 ng/mL of IFN $\gamma$  for 0.5, 6, 12 and 24 h. Whole cell extracts were  
750 collected and tested in western blot for levels of phosphorylation of STAT1 (Y701) and STAT2  
751 (Y689) and total level of STAT1 and STAT2. The blots are representative of more than 3  
752 independent experiments.

753 B Effect of STAT1<sup>Y701F</sup> heterozygosity on the expression of type I IFN-induced genes  
754 (ISG). BMDMs of wild type (WT), Stat1<sup>Y701F/+</sup> (WT/YF) or Stat1<sup>-/-</sup> (S1) mice were treated with 250  
755 IU/mL of IFN $\beta$  for 4 and 48 h. Gene expression was measured by Q-PCR and normalized to  
756 Gapdh and to the expression levels in untreated wild type cells. The bars represent mean  
757 values with the standard deviations (SD) of three independent experiments.

758 C Effect of STAT1<sup>Y701F</sup> heterozygosity on the expression of IFN $\gamma$ -induced genes. BMDMs  
759 of wild type (WT), Stat1<sup>Y701F/+</sup> (WT/YF) or Stat1<sup>-/-</sup> (S1) mice were treated with 5 ng/mL of IFN $\gamma$  for  
760 4 and 48 h. Gene expression was measured by Q-PCR and normalized to Gapdh and to the  
761 expression levels in untreated wild type cells. The bars represent mean values with the standard  
762 deviations (SD) of three independent experiments.

763

### 764 **Figure 2 - STAT1 expression and interferon signaling in Stat1<sup>Y701F</sup> mice.**

765 A Effect of STAT1<sup>Y701F</sup> homozygosity on STAT1 expression in mouse cells and organs.  
766 Spleens, livers or bone marrow derived macrophages (BMDMs) were isolated from wild type  
767 (WT), Stat1<sup>Y701F</sup> (YF) and Stat1<sup>-/-</sup> (S1) mice. Whole cell extracts were collected and tested for

768 levels of total Stat1 in western blot. The blots are representative of more than 3 independent  
769 experiments.

770 B Effect of STAT1<sup>Y701F</sup> homozygosity on STAT1 phosphorylation at Y701. BMDMs were  
771 isolated from wild type (WT), Stat1<sup>Y701F</sup> (YF) and Stat1<sup>-/-</sup> (S1) mice and stimulated for 30 min  
772 with 250 IU/mL of IFN $\beta$  or 5 ng/mL of IFN $\gamma$ . Whole cell extracts were collected and tested for  
773 levels of STAT1 phosphorylation on Y701 in western blot. The blots are representative of more  
774 than 3 independent experiments.

775 C Effect of STAT1<sup>Y701F</sup> homozygosity on the expression of IFN $\gamma$ -induced genes. BMDMs  
776 of wild type (WT), Stat1<sup>Y701F</sup> (YF) and Stat1<sup>-/-</sup> (S1) mice were treated with 5 ng/mL of IFN $\gamma$  for 4  
777 or 48 h. Gene expression was measured by Q-PCR and normalized to Gapdh and to the  
778 expression levels in untreated wild type cells. Bars represent a mean value of 3 independent  
779 experiments. Error bars represent standard error of the mean (SEM); asterisks denote the level  
780 of statistical significance (ns, p>0.05); the p-values were calculated using paired ratio t-test.

781 D Effect of STAT1<sup>Y701F</sup> homozygosity on the expression of type I IFN-induced genes  
782 (ISG). BMDMs were isolated from wild type (WT), Stat1<sup>Y701F</sup>, Stat1<sup>-/-</sup>, Stat2<sup>-/-</sup> and IRF9<sup>-/-</sup> mice  
783 treated with 250 IU/mL of IFN $\beta$  for 4, 8, 12, 24 or 48 h. Gene expression was measured by Q-  
784 PCR and normalized to Gapdh and to the expression levels in untreated wild type cells. Bars  
785 represent a mean value of 3 independent experiments. Error bars represent standard error of  
786 the mean (SEM); asterisks denote the level of statistical significance (ns, p>0.05; \*, p $\leq$  0.05; \*\*,  
787 p $\leq$  0.01); the p-values were calculated using paired ratio t-test.

788 E STAT1 and STAT2 phosphorylation in Stat1<sup>-/-</sup>, Stat1<sup>Y701F</sup>, Stat2<sup>-/-</sup> and Irf9<sup>-/-</sup>  
789 macrophages. BMDMs were isolated from wild type (WT), Stat1<sup>Y701F</sup> (YF), Stat1<sup>-/-</sup> (S1), Stat2<sup>-/-</sup>  
790 (S2) and IRF9<sup>-/-</sup> (IRF9) mice and treated with 250 IU/mL of IFN $\beta$  for 30 min or 6, 12 or 24 h. The  
791 whole cell extracts were collected and tested in western blot for levels of phosphorylation of  
792 STAT1 on Y701 and of STAT2 on Y689. The same cell extracts were tested for total levels of  
793 STAT1 and STAT2. The blots are representative of more than 3 independent experiments.

794

795 **Figure 3 - Presence of the STAT1Y701F mutant reduces IFN $\beta$ -stimulated binding of**  
796 **STAT2 to nuclear ISRE sequences.**

797 A IFN $\beta$ -stimulated binding of STAT2 to ISRE sequences of Mx2 and IRF7 promoters.  
798 Bone marrow derived macrophages (BMDMs) of wild type (WT), Stat1<sup>Y701F</sup> (YF), Stat1<sup>-/-</sup> (S1),  
799 Stat2<sup>-/-</sup> (S2) and IRF9<sup>-/-</sup> (IRF9) mice were treated with 250 IU/mL of IFN $\beta$  for 2 or 24 h. Cells  
800 were crosslinked, sonicated and immunoprecipitated with STAT2-specific antibody. The amount  
801 of precipitated DNA was measured by Q-PCR. Bars represent a mean value of 3 independent



802 experiments. Error bars represent standard error of the mean (SEM); asterisks denote the level  
803 of statistical significance (\*\*,  $p \leq 0.01$ ); the p-values were calculated using paired ratio t-test.

804 B Impact of STAT2 deficiency on IFN $\beta$ -stimulated STAT1 association with the Mx2  
805 ISRE. BMDMs of wild type (WT), Stat1<sup>-/-</sup> (S1) and Stat2<sup>-/-</sup> (S2) mice were treated with 250 IU/mL  
806 of IFN $\beta$  for 2 or 24 h. Cells were crosslinked, sonicated and immunoprecipitated with STAT1-  
807 specific antibody. The amount of precipitated DNA was measured by Q-PCR. Bars represent  
808 mean values of three independent experiments; error bars represent standard error of mean  
809 (SEM).

810 C Simultaneous association of STAT1 and STAT2 with the Mx2 ISRE analyzed by ChIP-  
811 reChIP. BMDMs of wild type (WT) mice were treated with 250 IU/mL of IFN $\beta$  for 2 or 24 h. Cells  
812 were crosslinked, sonicated and immunoprecipitated with either STAT1-specific antibody and  
813 re-immunoprecipitated with STAT2-specific antibody or vice versa. The amount of precipitated  
814 DNA was measured by Q-PCR. Bars represent mean values of three independent experiments;  
815 error bars represent standard deviation (SD).

816 D Impact of STAT1Y701F on delayed, STAT2-mediated expression of IFN-induced  
817 genes. Stat1<sup>-/-</sup> fibroblasts were transfected with plasmids driving expression of the indicated  
818 proteins. 24 h after transfection, 250 IU/ml of IFN $\beta$  was added to the transfected cells and ISG  
819 expression was determined by Q-PCR after 48h of cytokine treatment. Gene expression was  
820 measured by Q-PCR and normalized to Gapdh. Bars represent a mean value of 3 independent  
821 experiments. Error bars represent standard error of the mean (SEM) and asterisks denote the  
822 level of statistical significance (\*,  $p \leq 0.05$ ); the p-values were calculated using paired ratio t-test.

823

#### 824 **Figure 4 - STAT1Y701F mutant reduces IFN $\beta$ -stimulated nuclear translocation of STAT2.**

825 A Analysis of STAT2 nuclear translocation by immunofluorescence. Bone marrow  
826 derived macrophages (BMDMs) of wild type (WT), Stat1<sup>Y701F</sup> (YF), Stat1<sup>-/-</sup> (S1), Stat2<sup>-/-</sup> (S2) and  
827 IRF9<sup>-/-</sup> (IRF9) mice were seeded on cover slips and stimulated with 250 IU/mL of IFN $\beta$  for 30  
828 min or 24 h. The cells were fixed and stained for STAT2 specific antibody followed by  
829 Alexafluor® 488 conjugated secondary antibody (green). Nuclei were stained with DAPI (blue).  
830 Studies are representative of more than three independent experiments. The scale bars  
831 represent 10  $\mu$ m.

832 B Quantitative evaluation of STAT2 nuclear translocation. The intensity of STAT2-  
833 dependent immunofluorescence over DNA staining (DAPI) was quantified using ImageJ  
834 software in 20 cells from two independent experiments. Bars represent a mean with standard

835 deviation (SD) and asterisks denote the level of statistical significance (\*\*\*,  $p \leq 0.001$ ); p-value  
836 was calculated using unpaired t-test.

837

838 **Figure 5 - STAT1<sup>Y701F</sup> mutant counteracts the inhibition of *L. pneumophila* replication**  
839 **by delayed, STAT2/IRF9-dependent IFN signaling.**

840 A *Legionella pneumophila* growth in unstimulated macrophages. Bone marrow derived  
841 macrophages (BMDMs) of wild type (WT), Stat1<sup>Y701F</sup>, Stat1<sup>-/-</sup> mice were seeded on in the 24-  
842 well plates and infected with *L. pneumophila* (JR32 Fla-, MOI 0.25). The numbers of colony  
843 forming units (CFUs) were determined 24 h, 48 h and 72 h after infection on charcoal yeast  
844 extract plates (CYE). The 0 time point was collected 1.5 h after the infection.

845 B *Legionella pneumophila* growth in IFN $\beta$ -treated macrophages. Bone marrow derived  
846 macrophages (BMDMs) of wild type (WT), Stat1<sup>Y701F</sup>, Stat1<sup>-/-</sup> mice were seeded in 24-well  
847 plates, treated with 500 U/mL of IFN $\beta$  and then infected with *L. pneumophila* (JR32 Fla-, MOI  
848 0.25). The numbers of colony forming units (CFUs) were determined 24 h, 48 h and 72 h after  
849 infection on charcoal yeast extract plates (CYE). The 0 time point was collected 1.5 h after the  
850 infection.

851 The studies in A and B represent six biological repeats and the data are represented as mean  
852 values. Asterisks denote statistically significant differences between CFU numbers from  
853 Stat1<sup>Y701F</sup> and Stat1<sup>-/-</sup> cells (\*\*\*,  $p \leq 0.001$ ); p-values were calculated using unpaired t-test.

854

855 **Figure 6 - Interferon signaling in *L. monocytogenes* infected Stat1<sup>Y701F</sup> bone marrow**  
856 **derived macrophages.**

857 A Western blot analysis of STAT1 tyrosine phosphorylation. Bone marrow derived  
858 macrophages (BMDMs) of wild type (WT), Stat1<sup>Y701F</sup> (YF) and Stat1<sup>-/-</sup> (S1) mice were infected  
859 with *L. monocytogenes* (LO28, MOI 10) for 5 or 6 h. Whole cell extracts were collected and  
860 tested in western blot for levels of total STAT1 and phosphorylation of STAT1 on tyrosine  
861 (Y701). The blots are representative of more than 3 independent experiments.

862 B Impact of Stat1<sup>Y701F</sup> mutation, or of deletion of ISGF3 subunits on the expression of  
863 the IFN $\beta$  gene. BMDMs of wild type (WT), Stat1<sup>Y701F</sup>, Stat1<sup>-/-</sup>, Stat2<sup>-/-</sup> and IRF9<sup>-/-</sup> mice were  
864 infected with *L. monocytogenes* (LO28, MOI 10) for 4, 8, 12, 24 or 48 h. Levels of Ifn $\beta$  gene  
865 expression were determined by Q-PCR. Bars represent mean values of three independent  
866 experiments. Error bars represent standard error of mean (SEM).

867

868 **Figure 7 - STAT1<sup>Y701F</sup> contributes to clearance of *L. monocytogenes* infection.**

869 A Impact of Stat1 deficiency or of STAT1Y701F mutation on the growth of *Listeria*  
870 *monocytogenes* in macrophages. Bone marrow derived macrophages (BMDMs) of wild type  
871 (WT), Stat1<sup>Y701F</sup> and Stat1<sup>-/-</sup> mice were infected with *L. monocytogenes* (LO28, MOI 10). Colony  
872 forming unit (CFU) numbers were determined 1, 2, 4, 6 or 8 h after infection by plating on brain-  
873 heart-infusion (BHI) agar plates. The graph represents biological triplicates and the data are  
874 represented as mean values. Error bars represent standard deviation (SD) and asterisks denote  
875 statistically significant differences (ns, p>0.05; \*\*, p≤0.01; \*\*\*, p≤ 0.001); p-values were  
876 calculated using unpaired t-test.

877 B Survival of mice infected with *L. monocytogenes*. 10 wild type (WT), Stat1<sup>Y701F</sup> and  
878 Stat1<sup>-/-</sup> mice per group were infected by intraperitoneal injection of 1x 10<sup>2</sup> viable *L.*  
879 *monocytogenes*. Survival was monitored over 10 days. The study is representative of more than  
880 3 independent experiments.

881 C Organ pathogen burdens of mice infected with *L. monocytogenes*. Wild type (WT),  
882 Stat1<sup>Y701F</sup> (YF) and Stat1<sup>-/-</sup> (S1) mice were infected by intraperitoneal injection of 1x 10<sup>2</sup> viable *L.*  
883 *monocytogenes*. Number of colony forming units (CFU) in organs was determined at 48 h, 72 h  
884 or at the terminal stage of infection by plating homogenates on brain-heart-infusion (BHI) agar  
885 plates or Oxford agar plates (for lungs). Dots represent pooled data of 3 independent  
886 experiments. Lines represent the median and asterisks denote statistically significant  
887 differences (ns, p>0.05; \*, p≤ 0.05; \*\*, p≤ 0.01; \*\*\*, p≤ 0.001; \*\*\*\*, p≤ 0.0001); p-values were  
888 calculated using Mann-Whitney test.

889

890 **Figure 8 - Liver inflammation in Stat1<sup>Y701F</sup> and Stat1<sup>-/-</sup> mice after infection with *Listeria***  
891 ***monocytogenes*.**

892 A Immunohistochemical analysis of infection and inflammatory infiltrates. Wild type  
893 (WT), Stat1<sup>Y701F</sup> and Stat1<sup>-/-</sup> mice were infected by intraperitoneal injection of 1x10<sup>2</sup> viable *L.*  
894 *monocytogenes* for 48 h. Liver sections were examined by immunohistochemistry with *L.*  
895 *monocytogenes* or Ly6C/Ly6G specific antibody. The scale bars on 20x magnification images  
896 represent 100 μm and 50 μm on the 63x magnification images.

897 B Quantitative evaluation of inflammatory infiltrates. Infiltrates representing the entire  
898 surface of sections from five animals per genotype were counted and categorized according to  
899 their size.

900 C Liver pathology in infected mice. Wild type (WT), Stat1<sup>Y701F</sup> (YF) and Stat1<sup>-/-</sup> (S1) mice  
901 were infected by intraperitoneal injection of 1x10<sup>5</sup> viable *L. monocytogenes* for 72 h. Serum was  
902 collected and tested for ALT activity. Lines represent the median and asterisks denote

903 statistically significant differences (\*,  $p \leq 0.05$ ; \*\*\*,  $p \leq 0.001$ ); p-values were calculated using  
904 unpaired t-test.

905

906 **Table legend.**

907

908 **Table 1:** Transcriptome changes in macrophages of wt, Stat1<sup>Y701F</sup> and Stat1<sup>-/-</sup> genotypes after  
909 infection with *L. monocytogenes*. Numbers indicate differentially expressed genes between wt  
910 and Stat1<sup>Y701F</sup> macrophages (first row) and between STAT<sup>Y701F</sup> and Stat1<sup>-/-</sup> macrophages  
911 (second row). Numbers in brackets indicate percent changes with respect to all genes analyzed.  
912 Microarray data are deposited at ArrayExpress under accession number E-MTAB-3598  
913 (<https://www.ebi.ac.uk/arrayexpress/experiments/E-MTAB-3598/>).

914 **Expanded View Figure Legends:**

915

916 **Figure EV1 - STAT1Y701F inhibits late STAT1-independent, STAT2/IRF9-dependent ISG**  
917 **expression in response to IFN $\beta$ .**

918 A Expression of Stat1 and Stat2 genes. Bone marrow derived macrophages (BMDMs) of  
919 wild type (WT), Stat1<sup>Y701F</sup> and Stat1<sup>-/-</sup> mice were treated with 5 ng/mL of IFN $\gamma$  (IFN $\gamma$ ) for 4 or 48  
920 h. Gene expression was measured by Q-PCR and normalized to Gapdh and to the expression  
921 levels in uninduced wild type cells.

922 B Expression of type I IFN-induced genes. BMDMs of wild type (WT), Stat1<sup>Y701F</sup>, Stat1<sup>-/-</sup>,  
923 Stat2<sup>-/-</sup> and IRF9<sup>-/-</sup> mice were treated with 250 IU/mL of IFN $\beta$  for 4 or 48 h. Gene expression was  
924 measured by Q-PCR and normalized to Gapdh and to the expression levels in uninduced wild  
925 type cells.

926 The bars in A and B represent a mean value of 3 independent experiments. Error bars  
927 represent standard error of mean (SEM) and asterisks denote level of statistical significance  
928 (ns,  $p > 0.05$ ; \*,  $p \leq 0.05$ ; \*\*,  $p \leq 0.01$ ; \*\*\*,  $p \leq 0.001$ ); the p-values were calculated using paired  
929 ratio t-test.

930

931 **Figure EV2 - Deletion of ISGF3 subunits or Stat1<sup>Y701F</sup> mutation is without effect on the**  
932 **expression or IFN $\beta$ -stimulated phosphorylation of STAT3 and STAT5.**

933 Western blot analysis of STAT3 and STAT5 tyrosine phosphorylation. Bone marrow  
934 derived macrophages (BMDMs) of wild type (WT), Stat1<sup>Y701F</sup> (YF), Stat1<sup>-/-</sup> (S1), Stat2<sup>-/-</sup> (S2) and  
935 IRF9<sup>-/-</sup> (IRF9) mice were treated with 250 IU/mL of IFN $\beta$  for 0.5, 6, 12 or 24 h. The whole cell  
936 extracts were collected and tested in western blot for total STAT3 and STAT5 amounts and for

937 their tyrosine phosphorylation at, respectively, Y705 and Y694. The blots are representative of  
938 more than 3 independent experiments.

939

940 **Figure EV3 - STAT1<sup>Y701F</sup> mutant does not bind to ISRE sequences after IFN $\beta$  treatment.**

941 Genomic analysis of STAT1 binding. ChIP-seq analysis was performed on bone marrow  
942 derived macrophages (BMDMs) of wild type (WT), Stat1<sup>Y701F</sup> (YF) and Stat1<sup>-/-</sup> (S1) mice.

	untreated	6 h	12 h	24 h
WT	6 (0.08%)	1047 (13.68%)	570 (7.46%)	274 (3.58%)
Stat1 <sup>-/-</sup>	0	0	0	0

943 BMDMs were  
944 treated with  
945 250 IU/mL of  
946 IFN $\beta$  (IFN $\beta$ )

947 for 2 h. Chromatin immunoprecipitation (ChIP) was performed using STAT1 antibody. 4 genes  
948 are shown as representative examples. A genome-wide search did not produce evidence of  
949 STAT1<sup>Y701F</sup> binding to ISREs elsewhere in the genome. ChIP-Seq data are deposited at  
950 ArrayExpress under accession number E-MTAB-3597  
951 (<https://www.ebi.ac.uk/arrayexpress/experiments/E-MTAB-3597/>).

952

953 **Figure EV4 - Immune cells infiltrates in Stat1<sup>Y701F</sup> mice livers are smaller in numbers and  
954 size compared to Stat1<sup>-/-</sup> livers. These infiltrates are mostly composed of F4/80-negative  
955 cells.**

956 Wild type (WT), Stat1<sup>Y701F</sup> and Stat1<sup>-/-</sup> mice were infected by intraperitoneal injection of  
957 1x10<sup>2</sup> *L. monocytogenes* for 48 h. Immunohistochemistry was performed on liver sections using  
958 F4/80-specific antibody. The scale bars on 20x magnification images represent 100  $\mu$ m and 50  
959  $\mu$ m on the 63x magnification images.

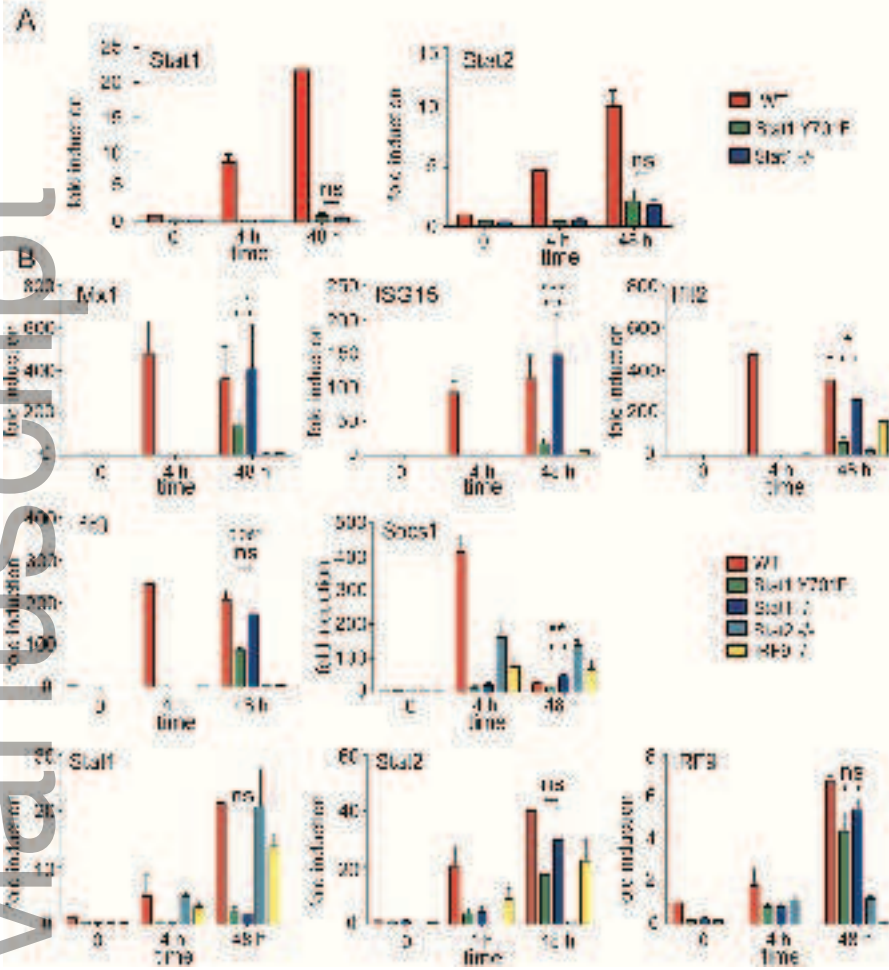
960

961 **Table 1.** Microarray analysis of *L. monocytogenes*-infected macrophages from Wt, Stat1<sup>Y701F</sup>  
962 and Stat1<sup>-/-</sup> mice.

**Table 1.** Microarray analysis of *L. monocytogenes*-infected macrophages from Wt, Stat1<sup>Y701F</sup> and Stat1<sup>-/-</sup> mice.

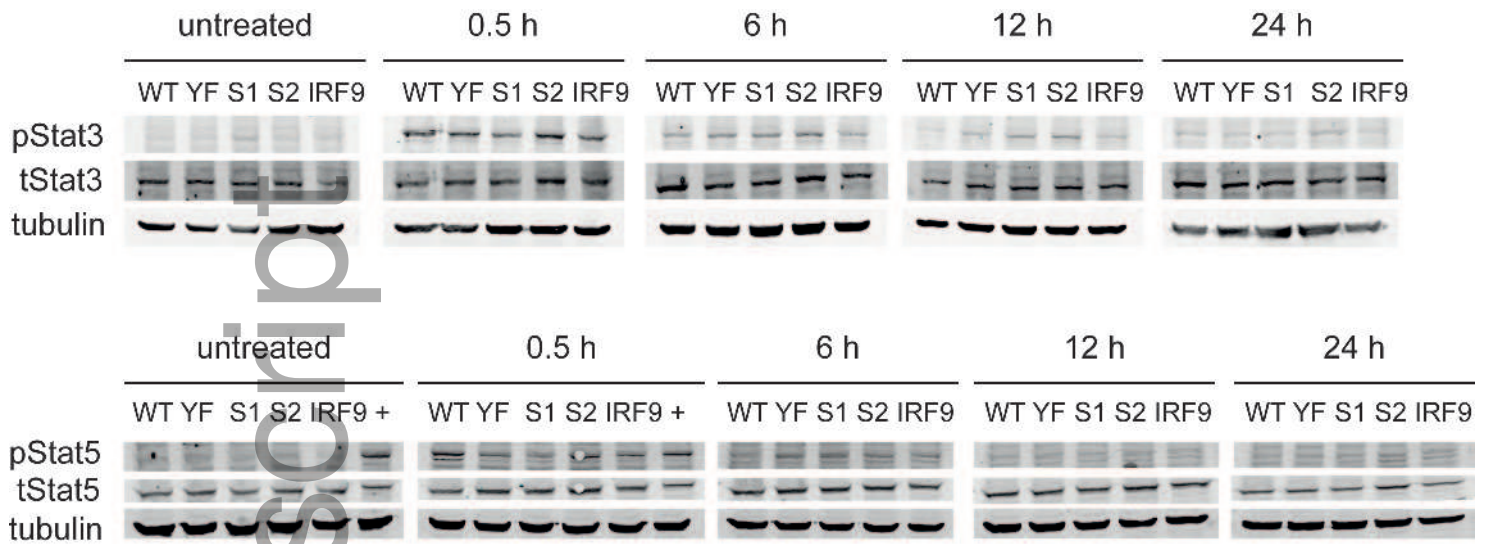
	<b>untreated</b>	<b>6 h</b>	<b>12 h</b>	<b>24 h</b>
<b>WT</b>	6 (0.08%)	1047 (13.68%)	570 (7.46%)	274 (3.58%)
<b>Stat1<sup>-/-</sup></b>	0	0	0	0

Figure FV1



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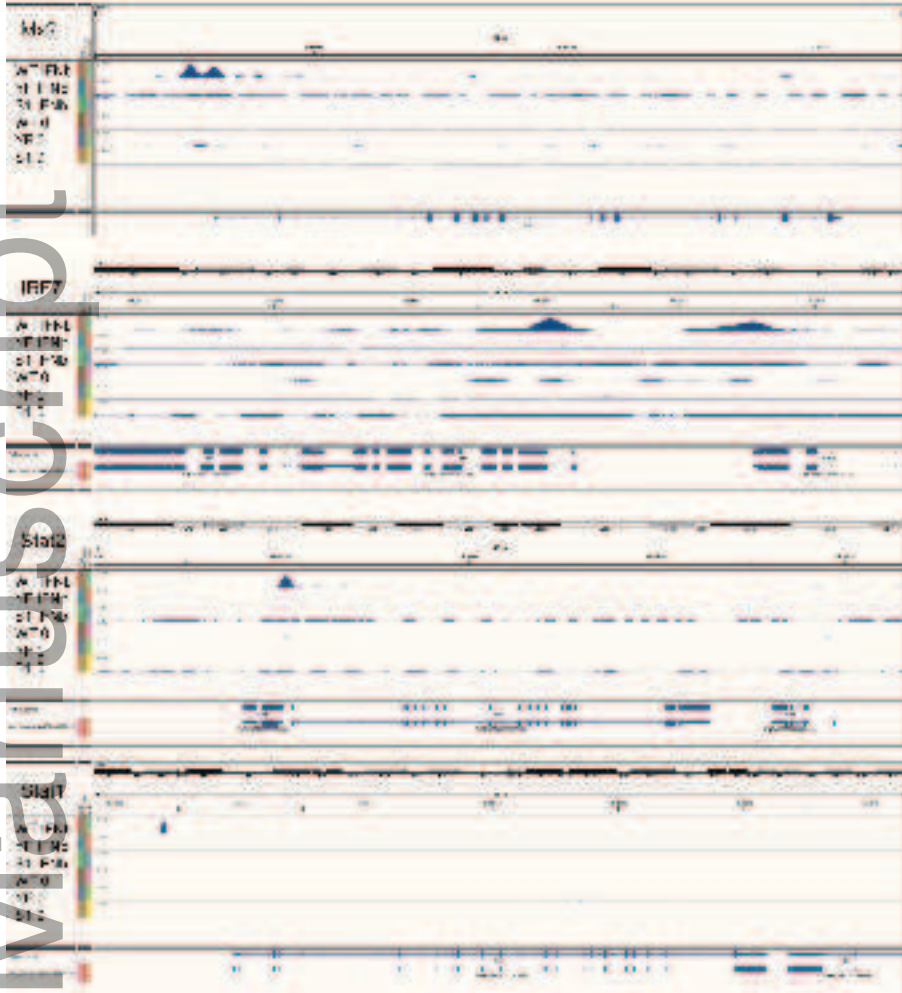
Figure EV2



embr\_201540726\_fev2.tif

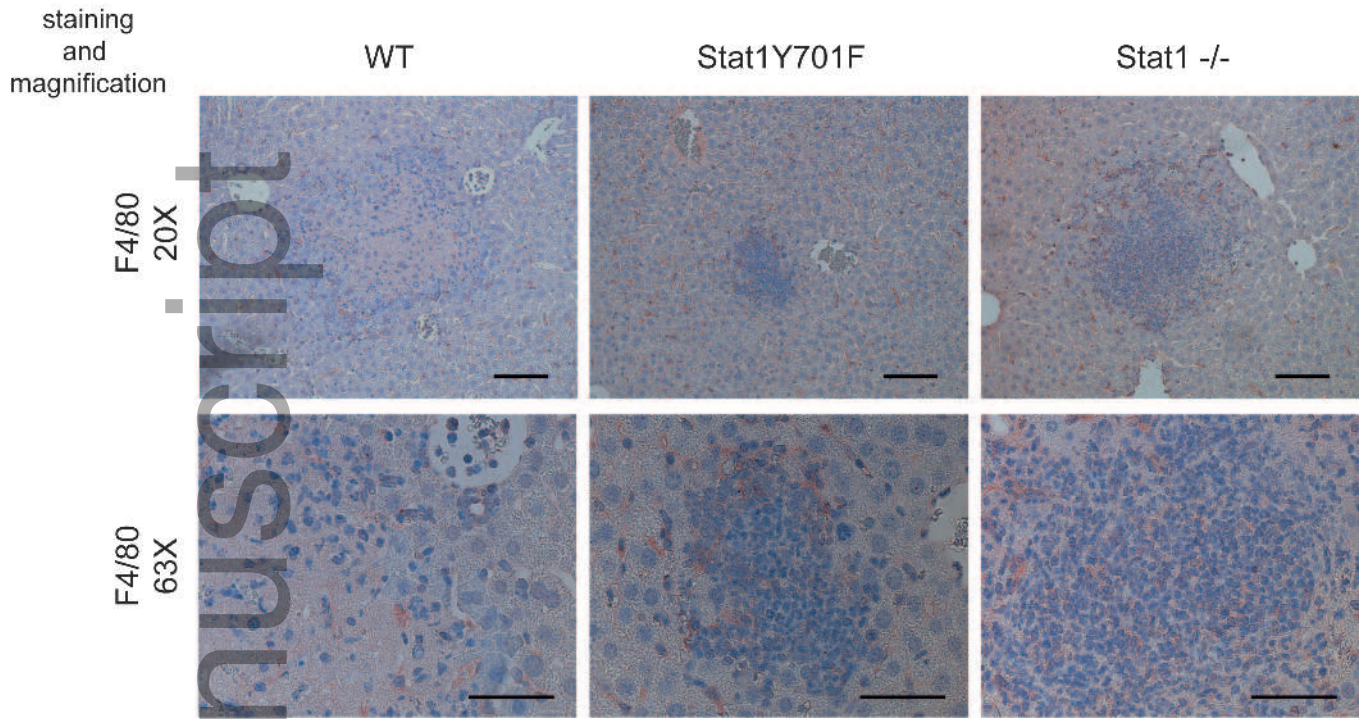


Figure EV3



embr\_201540726\_fev3.tif

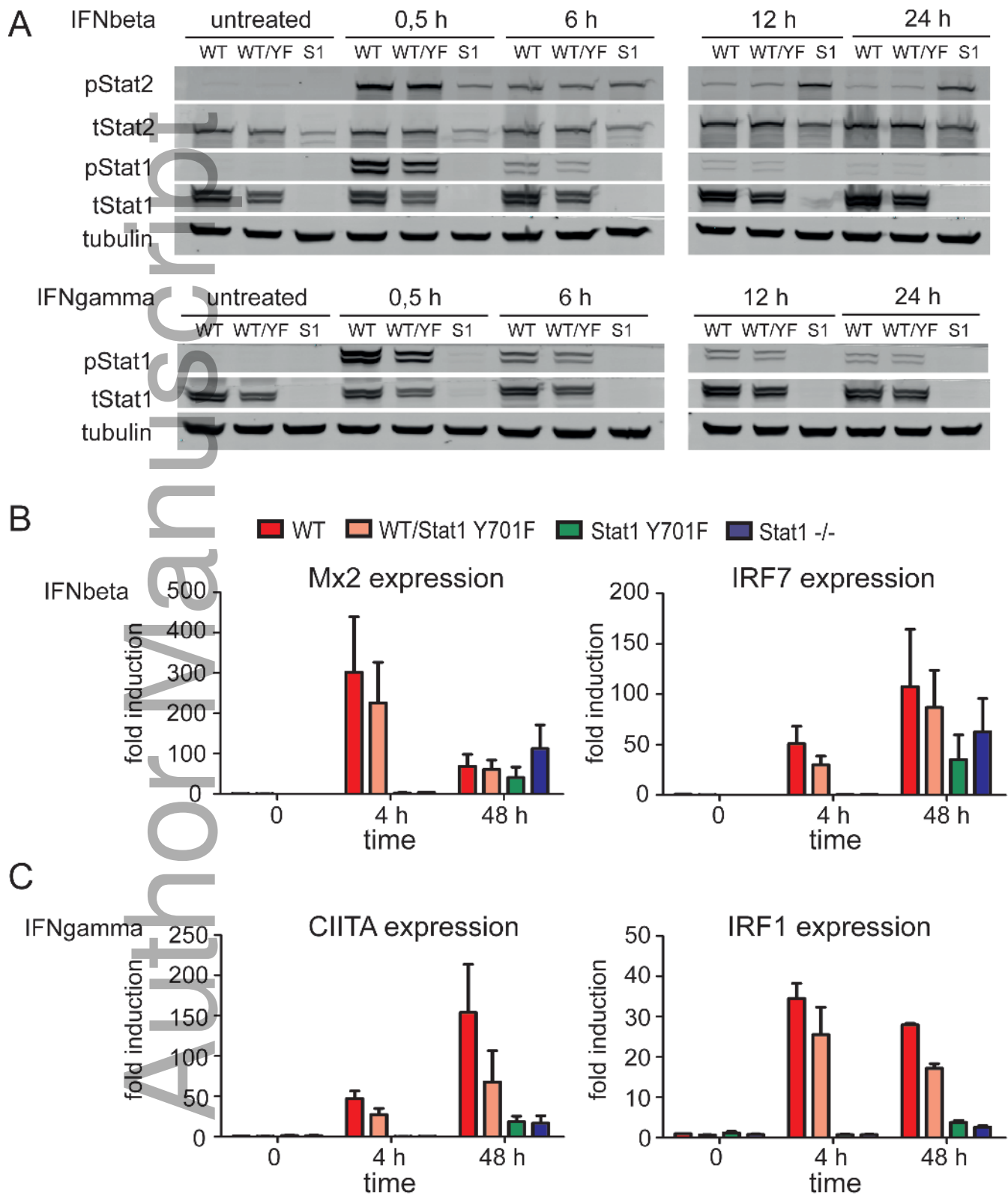
Figure EV4



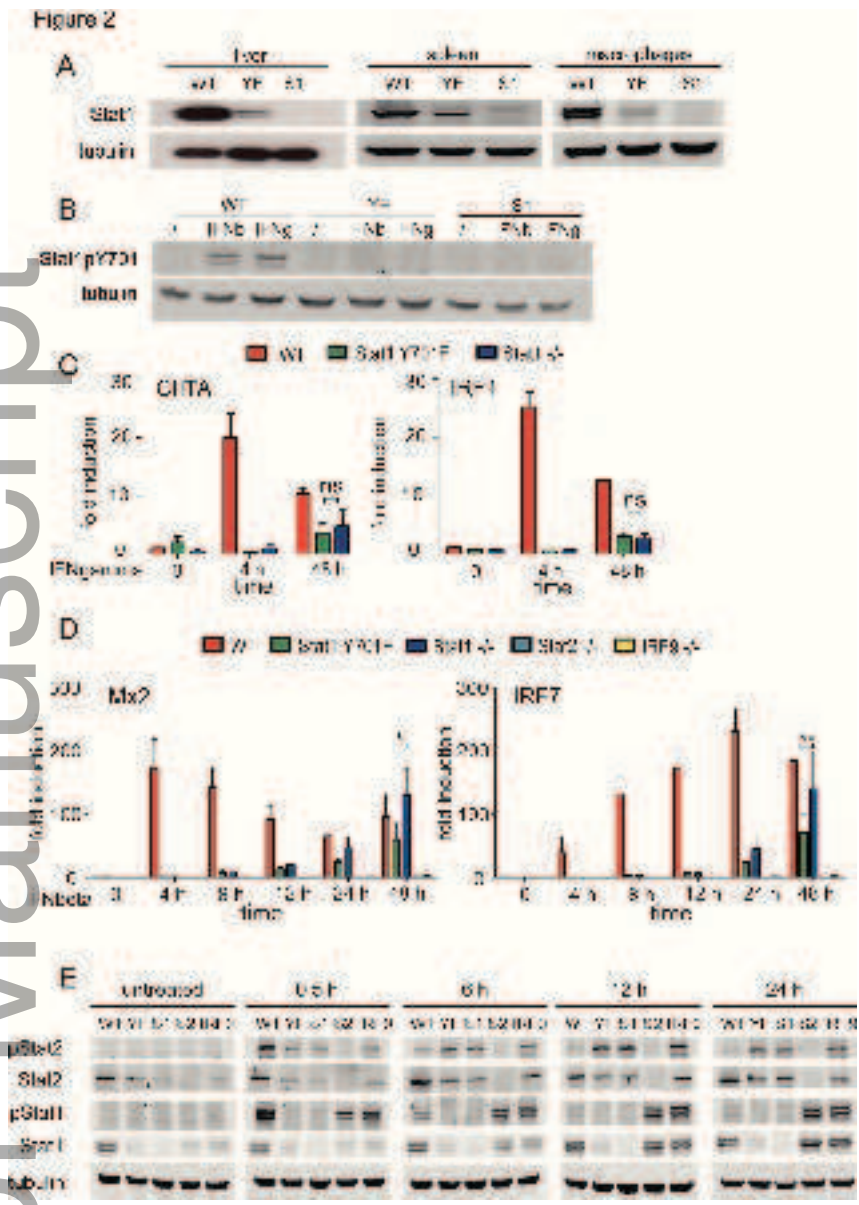
embr\_201540726\_fev4.tif

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Figure 1

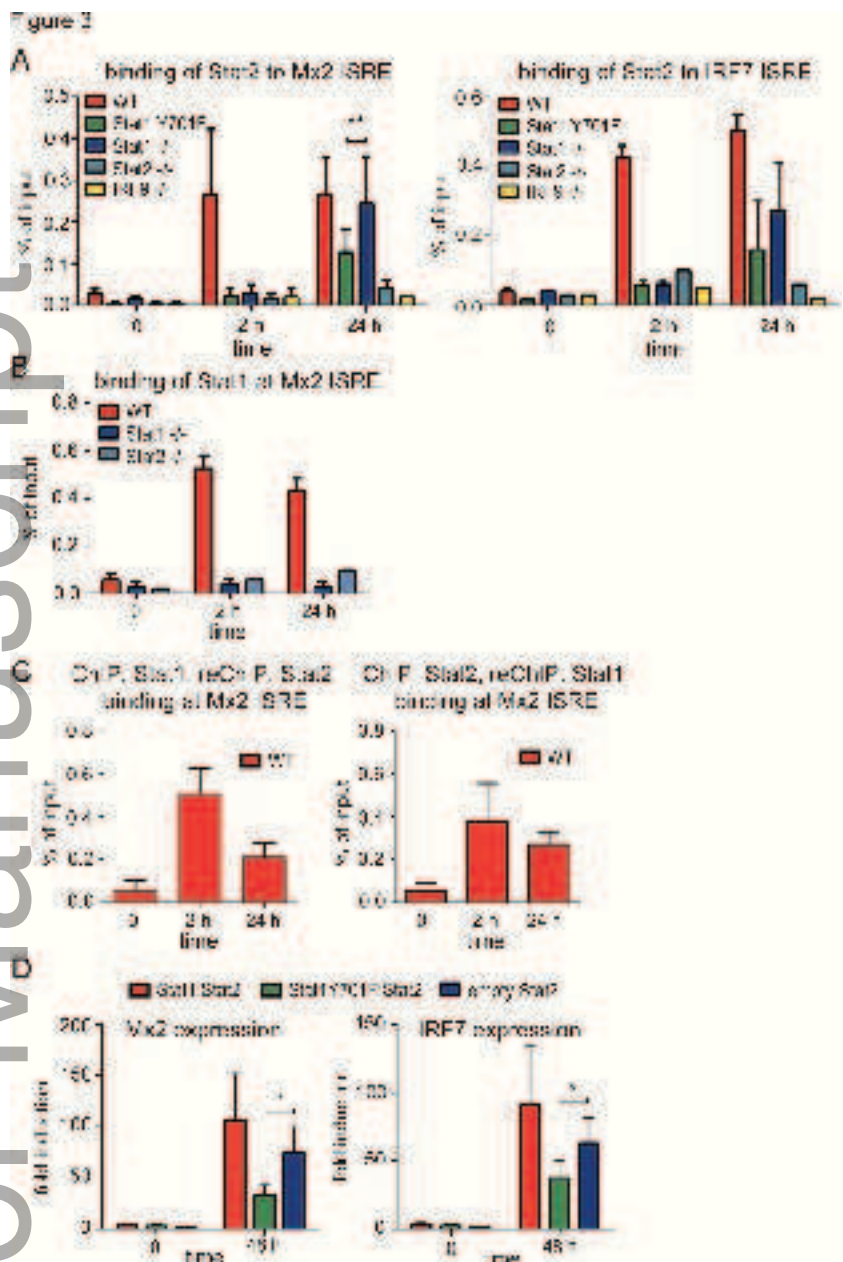


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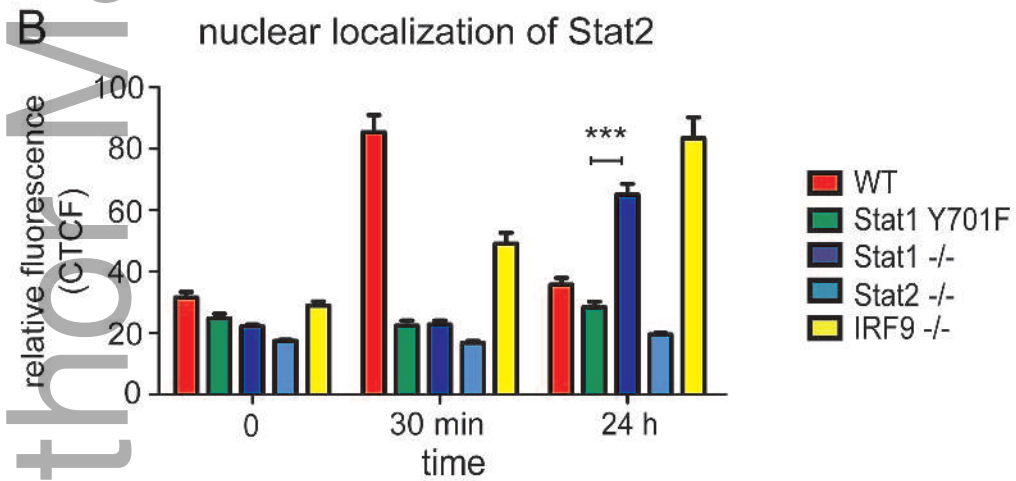
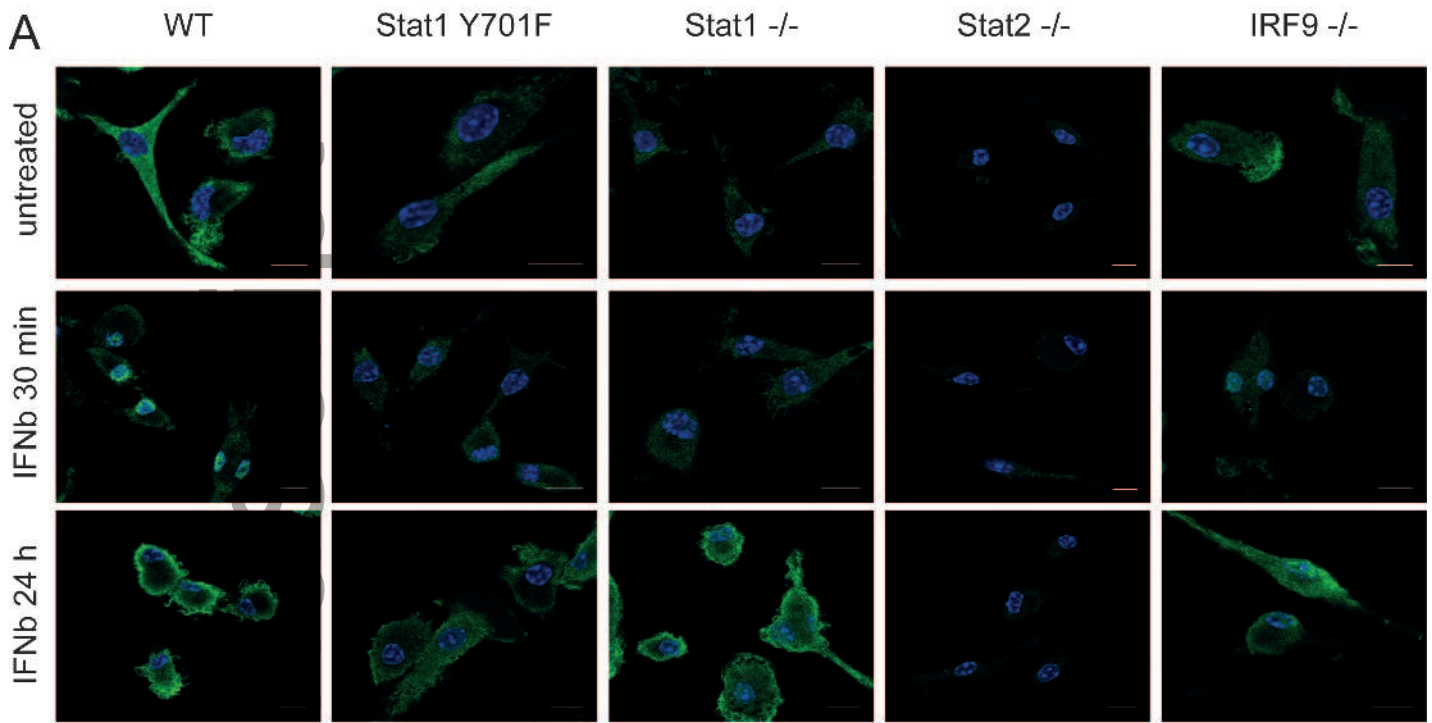
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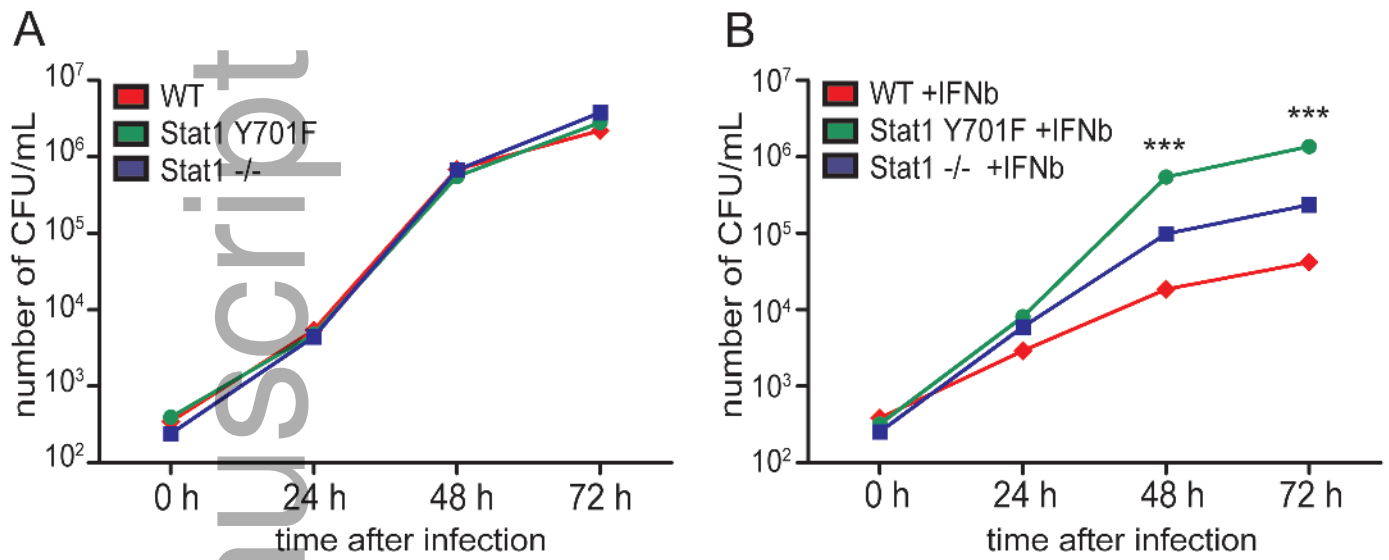
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Figure 4



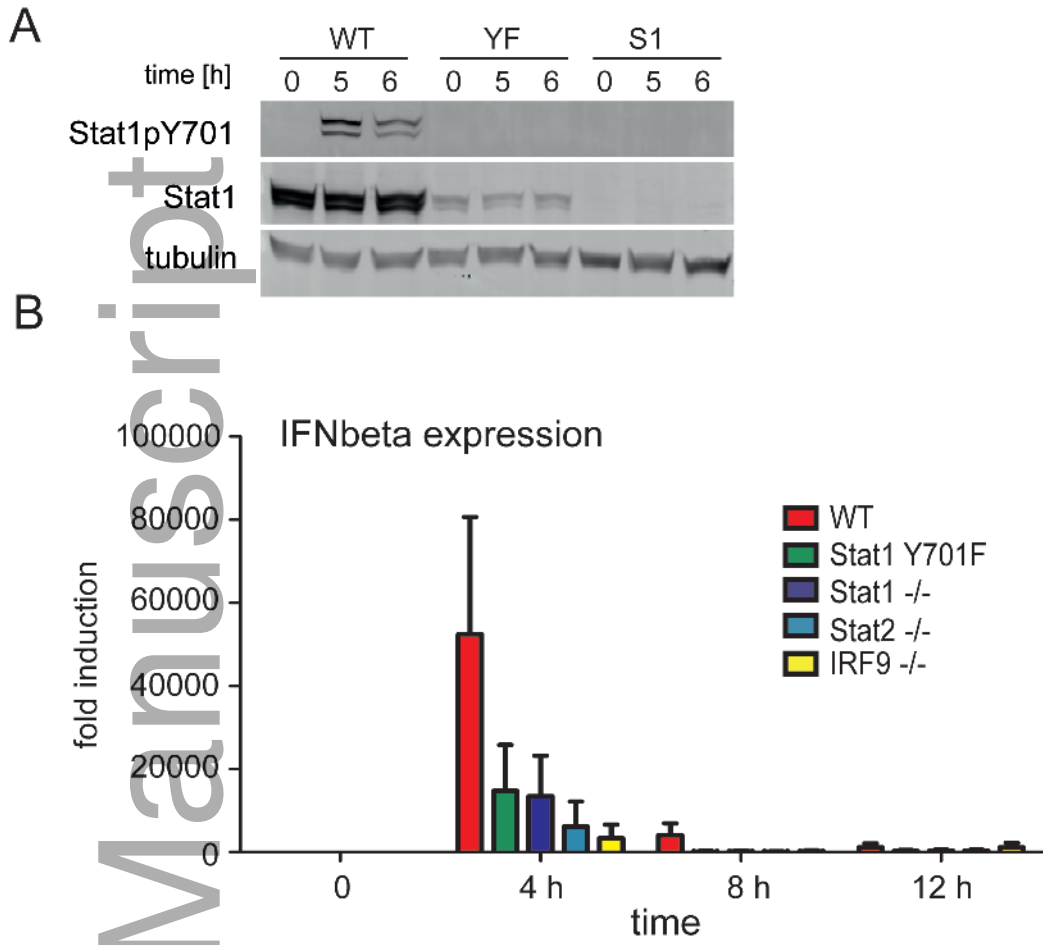
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Figure 5



embr\_201540726\_f5.tif

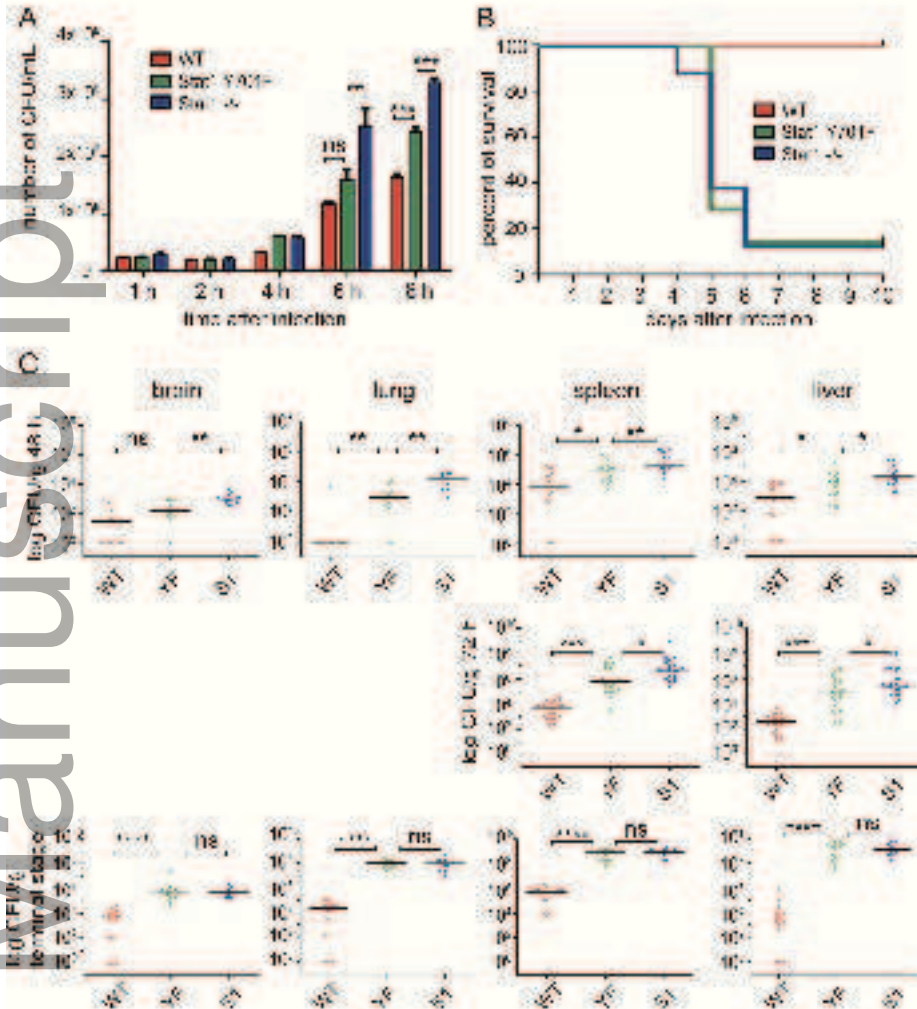
Figure 6



embr\_201540726\_f6.tif



Figure 7



embr\_201540726\_f7.tif

Figure 8

