

1 Title:

2 Pre-migration tuberculosis screening - do be aware that the first step is always the hardest.

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36 Summary

37 As the proportion of foreign-born persons among tuberculosis (TB) notifications continues to rise,

38 Japan is preparing to introduce pre-migration TB screening for those coming from selected countries,

39 who are intending to stay for more than 90 days. It has announced that the programme will commence

40 in 2020. In this review, the authors examine the experiences from two countries which already have

41 years of experience in operating pre-migration TB screening, namely the United Kingdom and

42 Australia. The authors point out that both countries have developed strong health information system

43 to not only to collect and analyze screening results, but also to use the data to effectively monitor and

44 evaluate the screening program itself. The critical role which health information system plays within

45 pre-migration screening is often overlooked, however that the authors argue that Japan, as with any

46 other countries planning to introduce pre-migration screening for TB, must also plan for data

47 management.

48

## 49 **Introduction**

50 It has for some time been recognized that migrants from high tuberculosis (TB) incidence countries  
51 are at a higher risk for getting TB. In addition, there is also a risk of TB treatment interruption in some  
52 cohorts.<sup>1,2,3</sup> Moreover, changing patterns of migration have resulted in an increasing proportional  
53 contribution of TB cases among foreign-born persons in a number of countries, including both low-  
54 and middle-TB burden countries<sup>4,5,6</sup>. Pre-entry TB screening has become increasingly popular as one  
55 of the strategies to address this, and has been introduced, mainly in high-income countries such as  
56 Arab States of the Gulf, Australia, some European states, New Zealand, and North America. As  
57 evidence for effectiveness and cost-effectiveness of pre-entry TB screening builds up<sup>7,8,9</sup>, more  
58 countries are considering pre-entry TB screening programmes as a possible policy option. Japan is one  
59 such country, as it prepares to introduce pre-entry TB screening for its incoming foreign-born persons  
60 in 2020. In this paper, the authors wish to send out the message that “the first step is always the hardest”  
61 – and point to potential pitfalls if the start of such a program is rushed, with a specific emphasis on the  
62 importance of an effective health information system to support the program.

63

## 64 **Background to Japan’s introducing pre-migration TB screening**

65 In Japan, the annual TB notification rate has fallen to 12.3 per 100,000 population in 2018, and is  
66 steadily approaching the national target of 10 per 100,000 by 2020<sup>10</sup>. Conversely, both the number and  
67 proportion of foreign-born TB patients of all notifications has been increasing for the past decade,  
68 from 945 in 2008 to 1,667 in 2018, contributing to 10.7% out of total notifications. Moreover, the  
69 proportion is as high as 70.8% among those aged between 15 and 24 years old<sup>2,7</sup>. About 80% of  
70 foreign-born TB patients in Japan were born in six countries, the Philippines, China, Vietnam,  
71 Indonesia, Nepal, and Myanmar <sup>2,7</sup>. In response to the growing burden of foreign-born TB patients in  
72 Japan, the Ministry of Health, Labor, and Welfare (MOHLW) of Japan has announced in February

73 2018 its decision to introduce a pre-entry TB screening ahead of the Tokyo Olympics Games in 2020.  
74 Its programme is targeted towards all visa applicants who wish to stay in Japan for more than 90 days  
75 from the above six countries, and aims to screen for active TB. In preparing for the screening  
76 programme, it is tempting to focus on perfecting the screening algorithm itself, particularly when the  
77 working group consists largely of TB physicians. However, whilst this is important, a pre-entry TB  
78 screening programme is more than a practice of screening for an infectious disease. It is a national  
79 project crossing multiple sectors and agencies, with different interests and required outcomes in  
80 respect of visa grants and border entry that are not necessarily always aligned with the spirit of public  
81 health to assist individual and broader community health. It is an ongoing operation that must be  
82 justifiable, based on rigorous continuous evaluation mechanism. In order for such evaluation to take  
83 place, data must be collected and analyzed. In other word, a strong health information system should  
84 be an integral component of the pre-entry TB screening programme. We now turn to the experiences  
85 of the United Kingdom (UK) and Australia to highlight our point on accurate and meaningful data  
86 capture to allow through analysis of programmes.

87

## 88 **UK and the UKTB**

89 Based on a successful pilot in eight countries between 2005 and 2011, the UK rolled out pre-entry  
90 screening for TB between 2012 and 2014. The programme requires persons who apply for a visa to  
91 enter the UK for longer than six months and who come from countries with a TB incidence of 40 per  
92 100,000 or above to be certified free of TB. Screening for TB is carried out through symptom check  
93 and chest X-ray (CXR), followed by three sputum smears and cultures, in the event of abnormality of  
94 initial screening. Confirmed TB patients are offered treatment in the country of origin, i.e., before re-  
95 screening and clearance. Screening is carried out by a panel consisting of locally qualified physicians,  
96 radiologists, and TB laboratories designated by the UK authorities and informed by the UK Technical

97 Instructions<sup>11</sup>. As of November 2019, screening is operational globally in 101 countries with more  
98 than 140 panel clinics<sup>12</sup>.

99

100 Screening data is collected by the panel clinics and collated and analyzed by the Public Health England  
101 (PHE). Whilst most providers utilize a structured data submission template designed by the PHE,  
102 about 40% of panel clinics, which are managed by the International Organization for Migration (IOM)  
103 utilize a bespoke IOM global clinical management system called the UKTB, which feeds into the PHE  
104 monitoring and surveillance system. UKTB consolidates data from all IOM locations in a central  
105 online database and provides information for monitoring of trends and epidemiological situation in  
106 the processing locations. It has been reported that while IOM data is of good quality, non-IOM data  
107 can often contain missing variables and discrepant dates<sup>13</sup>. Whenever possible, missing values are  
108 deduced from other variables. Both structured data submissions from non-IOM clinics and IOM data  
109 from UKTB are then consolidated in the PHE into a common dataset, which is reported on and used  
110 for surveillance, monitoring and quality assurance, as well as to inform about effectiveness and cost-  
111 effectiveness of the screening. According to the latest PHE annual report<sup>12</sup>, 304,234 applicants were  
112 screened in 2018. A total of 318 TB cases were detected, giving an overall TB yield of 104.5 per  
113 100,000 applicants.

114

### 115 **Australia and the *eMedical***

116 Australia has had a pre-entry health screening programme (initially undertaken on ships before arrival)  
117 since the introduction of the *Immigration (Restriction) Act* at the time of federation in 1901<sup>14</sup>. Today,  
118 all visa applicants must be free from TB, mostly through self-declaration although under the current  
119 health assessment programme, Australia physically screens over 750,000 migrants annually for TB,  
120 including all permanent migrants and humanitarian entrants, and all visa applicants intending to stay

121 in Australia<sup>15</sup> for a period of longer than 6 months where they come from a country with TB incidence  
122 of greater than 40 per 100,000 or are health care workers. TB screening similarly consists of a  
123 comprehensive physical examination for all, including CXR for those 11 years and older. For children  
124 aged 2–10 years, to minimise the number of x-ray exposures in this age group, they are primarily  
125 screened with a latent TB infection (LTBI) test (either Interferon Gamma Release Assay, IGRA or  
126 Tuberculin Skin Test, TST). Those suspected of TB follow a similar algorithm to the UK. Health  
127 assessment and screening for TB is conducted by panels according to Australian -specified guidelines<sup>16</sup>.  
128 Such panels currently operate in 167 countries through approximately 600 clinics including over 40  
129 designated TB centres. While newer molecular technologies such as XpertMTB/RIF have been  
130 considered and are used in positive smears for earlier treatment decisions, the use of culture is still felt  
131 to provide higher yield and assurance and hence are still seen as essential in this process.

132

133 Panel physicians for Australia must use an electronic medical system, eMedical, to enter the health  
134 assessment and subsequent results and management of TB. It is a web-based, user-friendly application  
135 that is directly linked to the visa application process, and as with UKTB, plays an integral part in  
136 producing data with which to evaluate Australia's screening program. Furthermore, aside from  
137 managing clinical information for pre-entry TB screening, one distinct feature of eMedical is that it is  
138 also available through a password protected process for TB specialists within Australia. Australia  
139 requires applicants with previously treated TB<sup>17</sup>, or LTBI (abnormal CXR finding consistent with  
140 previous TB or positive LTBI test) to attend within 28 days of arrival – through eMedical, TB  
141 physicians who can access information on such applicants, and conduct the necessary follow-up. Their  
142 visa status is dependent on attending for this further TB follow-up, which might require 2 to 3 years  
143 monitoring in some cases. This system is used to analyse the data from all countries to compare to  
144 WHO incident rates as well as the post-arrival notifications from the same countries. This allows

145 identification of potential areas of under-diagnosis premigration and specific actions that can then be  
146 used to address any shortcomings as identified in the pooled data example in the next paragraph.

147

148 A second distinct feature of eMedical is that it is shared by Canada, New Zealand, and US. Through  
149 such a system there is much data and information that can be gained through sharing and building on  
150 a common database. This includes testing and comparing screening algorithms and diagnostic tests,  
151 comparing migrant cohorts at greater risk, the quality of the reporting and performance and panel  
152 physicians. One such project that was looked at collectively by these countries was the very low yield  
153 rates in the premigration health assessment phase that all were receiving from one large and significant  
154 sending country with very high incidence of TB and high rates of TB post arrival in migrants from this  
155 country. The yield rates were less than 90% of the reported incidence in the country, atypical of active  
156 screening seen for other countries who usually have the same or higher incidence in many  
157 circumstances. By pooling data, the five countries were able to target specific initiatives to further  
158 clarify weaknesses in the programme and address improved screening practice such as TB laboratory  
159 processes, analysis of cohort of migrants, review of integrity measures and the role of migration agents  
160 and 'pre-treatment' for TB.

161

## 162 **Concluding remarks: so, what are the lessons for Japan?**

163 Surprisingly most countries do not have in place health information systems to assist in the tracking  
164 and monitoring of TB outcomes from their premigration screening programmes and as such require  
165 significant handling of at times, inaccurate manual data collection systems. The experiences from the  
166 two countries clearly highlight the importance of establishing a good health information system at the  
167 outset of any screening programme through which data can be collected, collated, analyzed, and  
168 reported. Such information is necessary not only to produce indicators with which to evaluate the

169 programme, but can also assist to identify quality issues, point out to specific groups who may require  
170 particular attention and – as in the case of Australia, link pre-migration screening electronically to  
171 post-entry health care. The pattern of migration, and with it the priorities for pre-migration screening,  
172 are constantly in a flux and the health information is critical in allowing the program to be responsive  
173 and fine-tune itself.

174

175 Lastly, pre-migration screening is not simply about detecting TB among migrants who are intending  
176 to travel – it is about improving and sustaining the welfare and health of migrant population, and pre-  
177 migration screening must be able to contribute to that ultimate objective. Without the health  
178 information system, there will be no data. And without data, it will be impossible to assess whether or  
179 not the screening programme is achieving what is it supposed to achieve.

180

181 Japan now stands at a crossroads between initiating its pre-entry TB screening quickly and with  
182 minimum investment into health information system, and spending some considerable time and effort  
183 in preparing for electronic data collection and analysis. The experiences from the two countries, UK  
184 and Australia, however clearly seem to indicate that while it is undoubtedly true that the start is always  
185 the hardest, a good and right beginning almost always assures success. Let us hope that Japan is  
186 convinced that this is so, and makes that start.

187

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