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Complexity of packing common bases in matroids

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Abstract

One of the most intriguing unsolved questions of matroid optimization is the characterization of the existence of k disjoint common bases of two matroids. The significance of the problem is well-illustrated by the long list of conjectures that can be formulated as special cases, such as Woodall's conjecture on packing disjoint dijoinings in a directed graph, or Rota's beautiful conjecture on rearrangements of bases. In the present paper we prove that the problem is difficult under the rank oracle model, i.e., we show that there is no algorithm which decides if the common ground set of two matroids can be partitioned into k common bases by using a polynomial number of independence queries. Our complexity result holds even for the very special case when $k = 2$. Through a series of reductions, we also show that the abstract problem of packing common bases in two matroids includes the NAE-SAT problem and the Perfect Even Factor problem in directed graphs. These results in turn imply that the problem is not only difficult in the independence oracle model but also includes NP-complete special cases already when $k = 2$, one of the matroids is a partition matroid, while the other matroid is linear and is given by an explicit representation.

Keywords Matroids · Matroid parity · Packing common bases

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1 Introduction

Various graph characterization and optimization problems can be treated conveniently by applying the basic tools of matroid theory. The main role of matroid theory is not

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only that it helps understanding the true background of known problems but they are often unavoidable in solving natural optimization problems in which matroids do not appear explicitly at all. One of the most powerful results is the matroid intersection theorem of Edmonds [1] providing a min-max formula for the maximum cardinality of a common independent set of two matroids. In particular, this gives rise to a characterization of the existence of a common basis. The closely related problem of packing bases in one matroid is also nicely solved by Edmonds and Fulkerson [2] even in the more general case when there are k matroids on S and we want to pick a basis from each in a pairwise disjoint way.

Edmonds and Giles [3] initiated a common generalization of network flow theory and matroid theory by introducing the notion of submodular flows. Another framework that generalizes matroid intersection, introduced by Frank and Jordán [4], characterized optimal coverings of supermodular bi-set functions by digraphs and provided a min-max result in which the weighted version includes NP-complete problems. Despite being widely general, none of these frameworks gave answer for the long-standing open problem of finding k disjoint common bases of two matroids. This problem was open even for $k = 2$ in the sense that no general answer was known similar to the case of one matroid, but no NP-complete special cases were known either. The few special cases that are settled include Edmonds' theorem on the existence of k disjoint spanning arborescences of a digraph rooted at the same root node [5], König's result on 1-factorization of bipartite graphs [6], and results of Keijsper and Schrijver [7] on packing connectors.

There is a long list of challenging conjectures that can be formulated as a statement about packing common bases of two matroids. Rota's beautiful basis conjecture [8] states that if M is a matroid of rank n whose ground set can be partitioned into n disjoint bases B_1, \dots, B_n , then it is possible to rearrange the elements of these bases into an $n \times n$ matrix in such a way that the rows are exactly the given bases, and the columns are also bases of M . Only partial results are known, see e.g. [9–13].

Woodall's conjecture [14] on packing disjoint dijoins in a directed graph is also a special case of packing common bases, as was shown by Frank and Tardos [15]. Given a directed graph D , a dijoin is a subset of arcs whose contraction results in a strongly connected digraph. The conjecture states that the maximum number of pairwise disjoint dijoins equals the minimum size of a directed cut. The conjecture was known to be true for $k = 2$, for source-sink connected digraphs by Schrijver [16] and independently by Feofiloff and Younger [17], for series-parallel digraphs by Lee and Wakabayashi [18]. Recently Mészáros [19] proved that if k is a prime power, then the conjecture holds if the underlying undirected graph is $(k - 1, 1)$ -partition-connected.

The capacitated packing of k -arborescences is yet another problem that can be formulated as packing common bases in two matroids [20]. A k -arborescence is the union of k pairwise edge-disjoint arborescences rooted at the same vertex. Given a directed graph $D = (V, A)$ with arc-capacities $c : A \rightarrow \mathbb{Z}_+$ satisfying $c(a) \leq \ell$ for $a \in A$ and a node $r_0 \in V$, the problem asks if the existence of a capacity-obeying packing of $k\ell$ spanning arborescences rooted at r_0 implies the existence of a capacity-obeying packing of ℓ k -arborescences rooted at r_0 . Although several papers generalizing Edmonds' theorem on packing arborescences appeared in the last decade

(for recent papers with great overviews, see e.g. [21–23]), this problem remains widely open.

This illustrious list of open problems underpins the significance of the abstract, matroidal version. Given two matroids $M_1 = (S, \mathcal{I}_1)$ and $M_2 = (S, \mathcal{I}_2)$, there are three different problems that can be asked: (A) Can S be partitioned into k common independent sets of M_1 and M_2 ? (B) Does S contain k disjoint common bases of M_1 and M_2 ? (C) Does S contain k disjoint common spanning sets of M_1 and M_2 ? These problems may seem to be closely related, and (A) and (B) are indeed in a strong connection, but (C) is actually substantially different from the others.

There is an obvious necessary condition for the existence of a partition into k common independent sets: the ground set has to be partitionable into k independent sets in both matroids. Davies and McDiarmid showed that this condition is sufficient for the case of strongly base orderable matroids [24]. Kotlar and Ziv [25] proved that if M_1 and M_2 are matroids on S and no element is 3-spanned in M_1 or M_2 , then S can be partitioned into two common independent sets. They conjectured that this can be generalized to arbitrary k : if no element is $(k + 1)$ -spanned in M_1 or M_2 , then S can be partitioned into k common independent sets. Recently, Takazawa and Yokoi proposed a new approach building upon the generalized-polymatroid intersection theorem [26]. Their result explains the peculiar condition appearing in the theorem of Kotlar and Ziv on how many times an element is spanned, and they also provide new pairs of matroid classes for which the natural necessary condition is sufficient.

To the best of our knowledge, the time complexity of problems (A), (B) and (C) under the independence oracle model was open until now. (It is worth mentioning that the independence, rank, circuit-finding, spanning, port, strong basis and certain closure oracles are polynomially equivalent [27–29].) We will concentrate on the PARTITIONINTOCOMMONBASES problem, defined as follows: Given matroids $M_1 = (S, \mathcal{I}_1)$ and $M_2 = (S, \mathcal{I}_2)$, find a partition of S into common bases. Note that this problem is a special case of all (A), (B) and (C). Our main contribution is the following.

Theorem 1 *The PARTITIONINTOCOMMONBASES problem requires an exponential number of independence queries.*

We prove the theorem by reduction from a problem that we call PARTITIONINTO-MODULARBASES and seems to be closely related to the matroid parity. Theorem 1 immediately implies that all three of the problems (A), (B) and (C) are difficult under the rank oracle model. We also verify that the problem is not only difficult in the independence oracle model, but it also includes NP-complete special cases.

Theorem 2 *PARTITIONINTOCOMMONBASES includes NP-complete problems.*

The proof of Theorem 2 will show that the problem of partitioning into common bases is already difficult in the very special case when $|S| = 2r_1(S) = 2r_2(S)$, one of the matroids is a partition matroid and the other is a linear matroid given by an explicit linear representation.

The rest of the paper is organized as follows. Basic definitions and notation are introduced in Sect. 2. We introduce the PARTITIONINTOMODULARBASES problem in Sect. 3 and prove its hardness in the independence oracle model. Theorem 1 is

then proved by reduction from PARTITIONINTOMODULARBASES. In Sect. 4, we show that PARTITIONINTOMODULARBASES includes the NP-complete NAE-SAT problem, thus proving Theorem 2. The same proof implies that PARTITIONINTOCOMMONBASES remains difficult when restricted to linear matroids given by explicit linear representations. Section 5 considers the PARTITIONINTOMODULARBASES problem for transversal matroids. Through a series of reductions that might be of independent combinatorial interest, we show that the NP-complete Perfect Even Factor problem also fits in the framework of packing common bases. Finally, Sect. 6 concludes the paper with further remarks and open questions.

2 Preliminaries

Matroids were introduced by Whitney [30] and independently by Nakasawa [31] as abstract generalizations of linear independence in vector spaces. A matroid M is a pair (S, \mathcal{I}) where S is the **ground set** of the matroid and $\mathcal{I} \subseteq 2^S$ is the family of **independent sets** that satisfies the following, so-called **independence axioms**: (I1) $\emptyset \in \mathcal{I}$, (I2) $X \subseteq Y \in \mathcal{I} \Rightarrow X \in \mathcal{I}$, (I3) $X, Y \in \mathcal{I}, |X| < |Y| \Rightarrow \exists e \in Y - X$ s.t. $X + e \in \mathcal{I}$. The **rank** of a set $X \subseteq S$ is the maximum size of an independent subset of X and is denoted by $r_M(X)$. The maximal independent sets of M are called **bases**. Alternatively, simple properties of bases can be taken as axioms as well. In terms of bases, a matroid M is a pair (S, \mathcal{B}) where $\mathcal{B} \subseteq 2^S$ satisfies the *basis axioms*: (B1) $\mathcal{B} \neq \emptyset$, (B2) for any $B_1, B_2 \in \mathcal{B}$ and $u \in B_1 - B_2$ there exists $v \in B_2 - B_1$ such that $B_1 - u + v \in \mathcal{B}$.

For a set S , the matroid in which every subset of S is independent is called a **free matroid** and is denoted by M_S^{free} . For disjoint sets S_1 and S_2 , the **direct sum** $M_1 \oplus M_2$ of matroids $M_1 = (S_1, \mathcal{I}_1)$ and $M_2 = (S_2, \mathcal{I}_2)$ is a matroid $M = (S_1 \cup S_2, \mathcal{I})$ whose independent sets are the disjoint unions of an independent set of M_1 and an independent set of M_2 . The k -**truncation** of a matroid $M = (S, \mathcal{I})$ is a matroid (S, \mathcal{I}_k) such that $\mathcal{I}_k = \{X \in \mathcal{I} : |X| \leq k\}$. We denote the k -truncation of M by $(M)_k$.

A matroid $M = (S, \mathcal{I})$ is called **linear** (or **representable**) if there exists a matrix A over a field \mathbb{F} and a bijection between the columns of A and S , so that $X \subseteq S$ is independent in M if and only if the corresponding columns in A are linearly independent over the field \mathbb{F} . The class of linear matroids includes several well-investigated matroid families such as graphic matroids [32], rigidity matroids [33,34] and gammoids [35]. It is not difficult to verify that the class of linear matroids is closed under duality, taking direct sum (when the field \mathbb{F} for linear representations is common), taking minors and taking k -truncation. Moreover, if we apply any of these operations for a matroid (or a pair of matroids) given by a linear representation over a field \mathbb{F} , then a linear representation of the resulting matroid can be determined by using only polynomially many operations over \mathbb{F} (see e.g. [36]).

Given a bipartite graph $G = (S, T; E)$, a set $X \subseteq S$ is independent in the **transversal matroid** $M = (S, \mathcal{I})$ if and only if X can be covered by a matching of G . Transversal matroids are also linear as they are exactly the dual matroids of strict gammoids. However, one has to be careful when discussing the complexity of prob-

lems related to transversal matroids. If a transversal matroid $M = (S, \mathcal{I})$ is given by an independence oracle, then determining its bipartite graph representation is difficult as it requires an exponential number of independence queries [37]. If a bipartite graph $G = (S, T; E)$ is given, then a linear representation of the transversal matroid associated with G on the ground set S over the field of fractions $\mathbb{F}(\mathbf{x})$ can be determined in deterministic polynomial time. Nevertheless, such a representation is not suitable for use in efficient deterministic algorithms. Substituting random values for each indeterminate in \mathbf{x} from a field having size large enough leads to a randomized polynomial time algorithm that gives a linear representation over a field where operations can be carried out efficiently [38]. The derandomization of this approach might require to overcome major obstacles as it would have important consequences in complexity theory [39].

A matroid $M = (S, \mathcal{I})$ of rank r is called **paving** if every set of size at most $r - 1$ is independent, or in other words, every circuit of the matroid has size at least r . Blackburn, Crapo and Higgs [40] enumerated all matroids up to eight elements, and observed that most of these matroids are paving matroids. Crapo and Rota [41] suggested that perhaps paving matroids dominate the enumeration of matroids. This statement was made precise by Mayhew, Newman, Welsh and Whittle in [42]. They conjectured that the asymptotic fraction of matroids on n elements that are paving tends to 1 as n tends to infinity. A similar statement on the asymptotic ratio of the logarithms of the numbers of matroids and sparse paving matroids has been proven in [43]. We will need the following technical statement [44–46].

Theorem 3 *Let $r \geq 2$ be an integer and S a set of size at least r . Let $\mathcal{H} = \{H_1, \dots, H_q\}$ be a (possibly empty) family of proper subsets of S in which every set H_i has at least r elements and the intersection of any two of them has at most $r - 2$ elements. Then the set system $\mathcal{B}_{\mathcal{H}} = \{X \subseteq S : |X| = r, X \not\subseteq H_i \text{ for } i = 1, \dots, q\}$ forms the set of bases of a paving matroid. Moreover, every paving matroid can be obtained in this form.*

Let $M = (S, \mathcal{I})$ be a matroid whose ground set is partitioned into two-element subsets called **pairs**. A set $X \subseteq S$ is called a **parity set** if it is the union of pairs. The matroid parity problem asks for a parity independent set of maximum size. This problem was introduced by Lawler [47] as a common generalization of graph matching and matroid intersection. Unfortunately, matroid parity is intractable for general matroids as it includes NP-hard problems, and requires an exponential number of queries if the matroid is given by an independence oracle [37,48]. On the positive side, for linear matroids, Lovász developed a polynomial time algorithm [48] that is applicable if a linear representation is available. In the next section, we will define a packing counterpart of the matroid parity problem in which the goal is to partition the ground set of a matroid into parity bases.

3 Hardness in the independence oracle model

Recall that in the matroid parity problem the aim is to find a parity independent set of maximum size. We define an analogous problem regarding partitions of the ground set.

Let $M = (S, \mathcal{I})$ be a matroid and let \mathcal{P} be a partition of the ground set into non-empty subsets. Members of \mathcal{P} are called **modules**, and a set $X \subseteq S$ is **modular** if it is the union of modules. The PARTITIONINTOMODULARBASES problem is as follows: Given a matroid $M = (S, \mathcal{I})$ over a ground set S of size $2r(S)$ together with a partition \mathcal{P} of S , find a partition of S into two modular bases.

In what follows, we prove that PARTITIONINTOMODULARBASES is intractable for general matroids as it requires an exponential number of independence queries even in the special case when every module is a pair. We will refer to this variant as the PARTITIONINTOPARITYBASES problem. Although PARTITIONINTOPARITYBASES seems to be closely related to matroid parity, the relationship between the two problems is unclear.

Theorem 4 *The PARTITIONINTOPARITYBASES problem requires an exponential number of independence queries.*

Proof Let S be a finite set of $4t$ elements and let \mathcal{P} be an arbitrary partition of S into $2t$ pairs, forming the modules. Let $\mathcal{H} = \{X \subseteq S : |X| = 2t, X \text{ is a parity set}\}$. For a parity set X_0 with $|X_0| = 2t$, define $\mathcal{H}_0 = \mathcal{H} - \{X_0, S - X_0\}$. Both \mathcal{H} and \mathcal{H}_0 satisfy the conditions of Theorem 3, hence $\mathcal{B}_{\mathcal{H}}$ and $\mathcal{B}_{\mathcal{H}_0}$ define two matroids M and M_0 , respectively.

Clearly, the ground set cannot be partitioned into parity bases of M , while $X_0 \cup (S - X_0)$ is such a partition for M_0 . For any sequence of independence queries which does not include X_0 or $S - X_0$, the result of those oracle calls are the same for M and M_0 . That is, any sequence of queries which does not include at least one of the parity subsets X_0 or $S - X_0$ cannot distinguish between M and M_0 , concluding the proof of the theorem. \square

Now we turn to the proof of Theorem 1. We will need the following technical lemma.

Lemma 5 *Let $\ell \in \mathbb{Z}_+$ and let S be a ground set of size 9ℓ . There exist two matroids M'_ℓ and M''_ℓ of rank 5ℓ satisfying the following conditions:*

- S can be partitioned into two common independent sets of M'_ℓ and M''_ℓ having sizes 5ℓ and 4ℓ ;*
- for every partition $S = S_1 \cup S_2$ into two common independent sets of M'_ℓ and M''_ℓ , we have $\{|S_1|, |S_2|\} = \{5\ell, 4\ell\}$, that is, one of the partition classes has size exactly 5ℓ while the other has size exactly 4ℓ .*

Proof Let $S = \bigcup_{j=1}^{\ell} W_j$ denote a ground set of size 9ℓ where $W_j = \{a_j, b_j, c_j, d_j, e_j, f_j, g_j, h_j, i_j\}$. Let M'_ℓ and M''_ℓ denote the graphic matroids defined by the edge-labeled graphs G' and G'' on Fig. 1a, b, respectively. We first prove (a).

Claim 6 *S can be partitioned into two common independent sets of M'_ℓ and M''_ℓ having sizes 5ℓ and 4ℓ .*

Proof It is not difficult to find a partition satisfying the conditions of the claim, for example, $S = S_1 \cup S_2$ where $S_1 = \bigcup_{j=1}^{\ell} \{d_j, e_j, f_j, g_j, h_j\}$ and $S_2 = \bigcup_{j=1}^{\ell} \{a_j, b_j, c_j, i_j\}$. \square

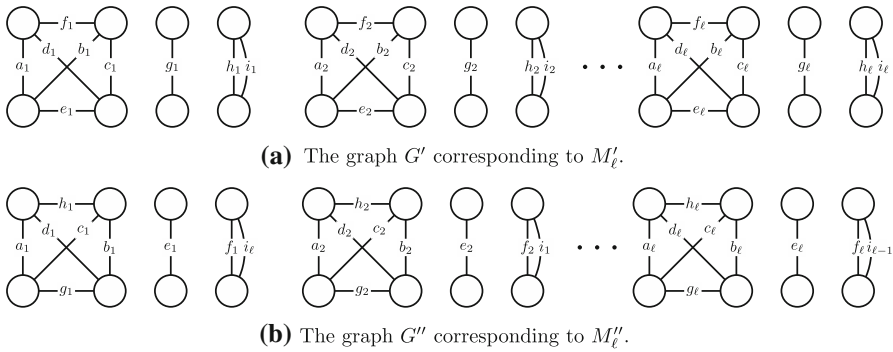


Fig. 1 The edge-labeled graphs defining M'_ℓ and M''_ℓ

In order to verify (b), take an arbitrary partition $S = S_1 \cup S_2$ into common independent sets of M'_ℓ and M''_ℓ . Let $\hat{W}_j = W_j - i_j$.

Claim 7 For each $j = 1, \dots, \ell$, S_1 and S_2 partition \hat{W}_j into common independent sets having sizes 5 and 3. Moreover, the elements e_j, f_j, g_j and h_j are contained in the same partition class.

Proof S_1 and S_2 necessarily partition the K_4 subgraphs spanned by \hat{W}_j in G' and G'' into two paths of length 3, so $|S_k \cap \{a_j, b_j, c_j, d_j, e_j, f_j\}| = 3$ and $|S_k \cap \{a_j, b_j, c_j, d_j, g_j, h_j\}| = 3$ for $k = 1, 2$. This implies that either $|S_k \cap \{e_j, f_j\}| = |S_k \cap \{g_j, h_j\}| = 1$ for $k = 1, 2$, or e_j, f_j, g_j and h_j are contained in the same partition class.

In the former case, we may assume that $g_j \in S_1$ and $h_j \in S_2$. In order to partition the K_4 subgraph spanned by \hat{W}_j in G'' into two paths of length 3, either $\{a_j, b_j\} \subseteq S_1$ and $\{c_j, d_j\} \subseteq S_2$ or $\{c_j, d_j\} \subseteq S_1$ and $\{a_j, b_j\} \subseteq S_2$ hold. However, these sets cannot be extended to two paths of length 3 in G' , a contradiction. Thus e_j, f_j, g_j and h_j are contained in the same partition class. Since $|S_k \cap \{a_j, b_j, c_j, d_j, e_j, f_j\}| = 3$ for $k = 1, 2$, the claim follows. \square

Now we analyze how the presence of edges i_j affect the sizes of the partition classes. By Claim 7, we may assume that $\{e_1, f_1, g_1, h_1\} \subseteq S_1$, and so $i_\ell \in S_2$.

Claim 8 $\{e_j, f_j, g_j, h_j\} \subseteq S_1$ and $i_j \in S_2$ for $j = 1, \dots, \ell$.

Proof We prove by induction on j . By assumption, the claim holds for $j = 1$. Assume that the statement is true for j . As i_j is parallel to f_{j+1} in G'' , $f_{j+1} \in S_1$. By Claim 7, $\{e_{j+1}, f_{j+1}, g_{j+1}, h_{j+1}\} \subseteq S_1$. As i_{j+1} is parallel to h_{j+1} in G' , necessarily $i_{j+1} \in S_2$, proving the inductive step. \square

Claims 7 and 8 imply that $|S_1| = 5\ell$ while $|S_2| = 4\ell$, concluding the proof of the lemma. \square

It should be emphasized that, for our purposes, any pair of matroids satisfying the conditions of Lemma 5 would be suitable; we defined a specific pair, but there are several other choices that one could work with.

We are now in the position to prove Theorem 1.¹

Theorem 1 *The PARTITIONINTOCOMMONBASES problem requires an exponential number of independence queries.*

Proof We prove by reduction from PARTITIONINTOMODULARBASES. Let $M = (S, \mathcal{I})$ be a matroid together with a partition \mathcal{P} of its ground set into modules. Recall that $|S| = 2r(S)$, that is, the goal is to partition the ground set into two modular bases.

We define two matroids as follows. For every set $P \in \mathcal{P}$, let $M'_P = (S_P, \mathcal{I}'_P)$ and $M''_P = (S_P, \mathcal{I}''_P)$ be copies of the matroids $M'_{|P|}$ and $M''_{|P|}$ provided by Lemma 5. We denote

$$S' = S \cup \left(\bigcup_{P \in \mathcal{P}} S_P \right).$$

Note that $|S'| = 10|S|$, that is, the size of the new ground set is linear in that of the original. Let

$$M_1 = \left(M \oplus \left(\bigoplus_{P \in \mathcal{P}} M'_P \right) \right)_{\lfloor \frac{|S'|}{2} \rfloor}$$

$$M_2 = \bigoplus_{P \in \mathcal{P}} (M_P^{\text{free}} \oplus M''_P)_{5|P|}.$$

M_1 is defined as the $|S'|/2$ -truncation of the direct sum of M and the matroids M'_P for $P \in \mathcal{P}$. For the other matroid, we first take the $5|P|$ -truncation of the direct sum of M''_P and the free matroid M_P^{free} on P for each $P \in \mathcal{P}$, and then define M_2 as the direct sum of these matroids. We first determine the ranks of M_1 and M_2 .

Claim 9 *Both M_1 and M_2 have rank $|S'|/2$.*

Proof The rank of M_1 is clearly at most $|S'|/2$ as it is obtained by taking the $|S'|/2$ -truncation of a matroid. Hence it suffices to show that $M \oplus \left(\bigoplus_{P \in \mathcal{P}} M'_P \right)$ has an independent set of size at least $|S'|/2$. For each $P \in \mathcal{P}$, let B_P be a basis of M'_P . Then $\bigcup_{P \in \mathcal{P}} B_P$ is an independent set of M_1 having size $\sum_{P \in \mathcal{P}} 5|P| = 5|S| = |S'|/2$ as requested.

The rank of $(M_P^{\text{free}} \oplus M''_P)_{5|P|}$ is $5|P|$ for each $P \in \mathcal{P}$. This implies that the rank of M_2 is at most $\sum_{P \in \mathcal{P}} 5|P| = 5|S| = |S'|/2$. We get an independent set of that size by taking a basis B_P of M''_P for each $P \in \mathcal{P}$, and then taking their union $\bigcup_{P \in \mathcal{P}} B_P$. \square

The main ingredient of the proof is the following.

¹ The proof is based on reduction from PARTITIONINTOMODULARBASES, and so, by Theorem 4, we could assume that every module has size 2. However, our construction in Sect. 4 for proving that the linear case is already difficult uses modules of larger sizes, hence we show reduction from the general version of PARTITIONINTOMODULARBASES.

Claim 10 *If $S' = B'_1 \cup B'_2$ is a partition of S' into two common bases of M_1 and M_2 , then each module $P \in \mathcal{P}$ is contained completely either in B'_1 or in B'_2 .*

Proof For an arbitrary module P , let $I_1 = S_P \cap B'_1$ and $I_2 = S_P \cap B'_2$. Clearly, I_1 and I_2 are independent in both M'_P and M''_P . By Lemma 5, we may assume that $|I_1| = 4|P|$ and $|I_2| = 5|P|$. As the rank of $(M_P^{free} \oplus M''_P)_{5|P|}$ is $5|P|$, we get $P \subseteq B'_1$ as requested. \square

The next claim concludes the proof of the theorem.

Claim 11 *S has a partition into two modular bases if and only if S' can be partitioned into two common bases of M_1 and M_2 .*

Proof For the forward direction, assume that there exists a partition $S' = B'_1 \cup B'_2$ of S' into two common bases of M_1 and M_2 . By Claim 10, for every module $P \in \mathcal{P}$, the elements of P are all contained either in B_1 or in B_2 . This implies that $B_1 = S \cap B'_1$ and $B_2 = S \cap B'_2$ are modular sets. By the definition of M_1 , these sets are independent in M . As $|S| = 2r(S)$, B_1 and B_2 are modular bases of M .

To see the backward direction, let $S = B_1 \cup B_2$ be a partition of S into modular bases. For each $P \in \mathcal{P}$, let $I_P^1 \cup I_P^2$ be a partition of S_P into common independent sets of M'_P and M''_P having sizes $4|P|$ and $5|P|$, respectively. Recall that such a partition exists by Lemma 5. Then the sets

$$\begin{aligned}
 B'_1 &= B_1 \cup \{I_P^1 : P \subseteq B_1\} \cup \{I_P^2 : P \subseteq B_2\} \quad \text{and} \\
 B'_2 &= B_2 \cup \{I_P^1 : P \subseteq B_2\} \cup \{I_P^2 : P \subseteq B_1\}
 \end{aligned}$$

form common independent sets of M_1 and M_2 and partitions the ground set S' . By Claim 9, B'_1 and B'_2 are bases, concluding the proof of the claim. \square

The theorem follows by Claim 11. \square

4 Hardness in the linear case

The aim of this section is to show that the PARTITIONINTOMODULARBASES problem might be difficult to solve even when the matroid is given with a concise description, namely by an explicit linear representation over a field in which the field operations can be done efficiently. In order to do so, we consider the PARTITIONINTOMODULARBASES problem for graphic matroids, called the PARTITIONINTOMODULARTREES problem. The problem can be rephrased as follows: Given a graph $G = (V, E)$ and a partition \mathcal{P} of its edge set, find a partition of E into two spanning trees consisting of partition classes.

Theorem 12 PARTITIONINTOMODULARTREES is NP-complete.

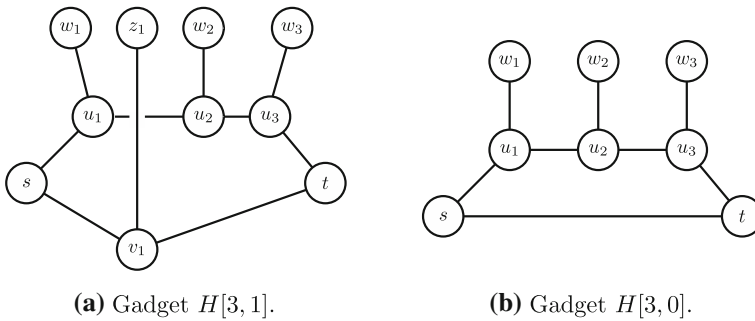


Fig. 2 Examples for variable gadgets

Proof We prove by reduction from Not-All-Equal Satisfiability, abbreviated as NAE-SAT: Given a CNF formula, decide if there exists a truth assignment not setting all literals equally in any clause. It is known that NAE-SAT is NP-complete, see [49].²

Let $\Phi = (U, \mathcal{C})$ be an instance of NAE-SAT where $U = \{x_1, \dots, x_n\}$ is the set of variables and $\mathcal{C} = \{C_1, \dots, C_m\}$ is the set of clauses. We construct an undirected graph $G = (V, E)$ as follows. We may assume that no clause contains a variable and its negation simultaneously, as for such a clause every assignment has a true value and no assignment sets all literals equally.

First we construct the variable gadget. Let $H[p, q]$ denote an undirected graph on node set $\{s, t\} \cup \{u_i, w_i : i = 1, \dots, p\} \cup \{v_j, z_j : j = 1, \dots, q\}$ consisting of the two paths $su_1, u_1u_2, \dots, u_pt$ and $sv_1, v_1v_2, \dots, v_qt$, together with edges u_iw_i for $i = 1, \dots, p$ and v_jz_j for $j = 1, \dots, q$. If any of p or q is 0, then the corresponding path simplifies to a single edge st (see Fig. 2).

We construct an undirected graph $G = (V, E)$ as follows. With each variable x_j , we associate a copy of $H[p_j, q_j]$ where the literal x_j occurs p_j times and the literal \bar{x}_j occurs q_j times in the clauses. These components are connected together by identifying t^j with s^{j+1} for $j = 1, \dots, n - 1$. We apply the notational convention that in the gadget corresponding to a variable x_j , we add j as an upper index for all of the nodes. For a variable x_j , the ordering of the clauses naturally induces an ordering of the occurrences of x_j and \bar{x}_j . For every clause C_i , we do the following. Assume that C_i involves variables $x_{j_1}, \dots, x_{j_\ell}$. Recall that no clause contains a variable and its negation simultaneously, hence ℓ is also the number of literals appearing in C_i . If C_i contains the literal x_{j_k} and this is the r th occurrence of the literal x_{j_k} with respect to the ordering of the clauses, let $y_{j_k}^i := w_r^{j_k}$. If C_i contains the literal \bar{x}_{j_k} and this is the r th occurrence of the literal \bar{x}_{j_k} with respect to the ordering of the clauses, let $y_{j_k}^i := z_r^{j_k}$. Then we add the edges of the cycle $y_{j_1}^i, \dots, y_{j_\ell}^i$ to the graph. Finally, we close the construction by adding edges $t^n w_k^j$ for $j = 1, \dots, n, k = 1, \dots, p_j$, and adding edges $t^n z_k^j$ for $j = 1, \dots, n, k = 1, \dots, q_j$ (see Fig. 3). An easy computation

² In [50], Schmidt proved that NAE-SAT remains NP-complete when restricted to the class LCNF_3^+ , that is, for monotone, linear and 3-regular formulas. Although the construction appearing in our reduction could be slightly simplified based on this observation, we stick to the case of NAE-SAT as it appears to be a more natural problem.

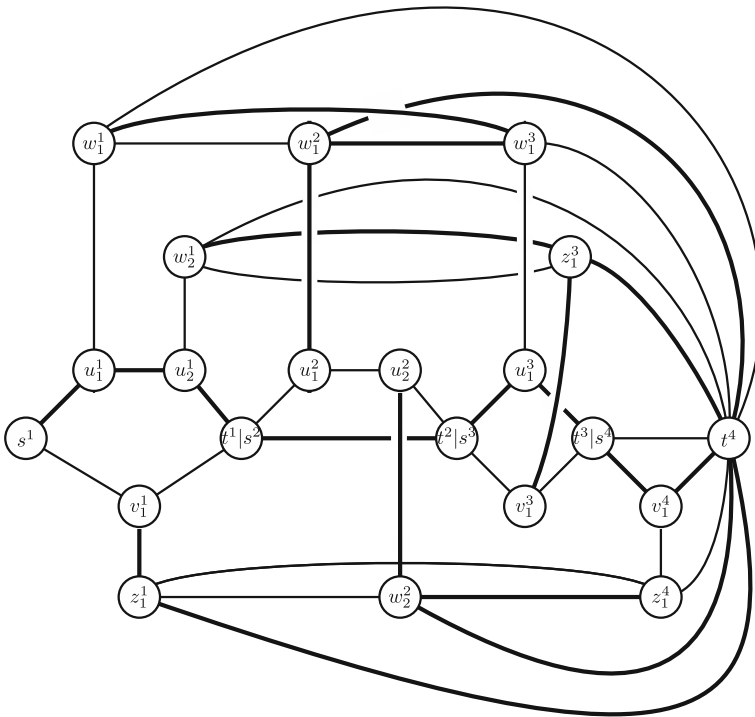


Fig. 3 The graph corresponding to $\Phi = (x_1 \vee x_2 \vee x_3) \wedge (x_1 \vee \bar{x}_3) \wedge (\bar{x}_1 \vee x_2 \vee \bar{x}_4)$. Thick and normal edges form modular spanning trees T_1 and T_2 , respectively. Both the assignment $x_1 = x_3 = 1, x_2 = x_4 = 0$ corresponding to T_1 and the assignment $x_1 = x_3 = 0, x_2 = x_4 = 1$ corresponding to T_2 are solutions for NAE-SAT

shows that the number of edges is $|E| = 2|U| + 4 \sum_{C \in \mathcal{C}} |C|$, while the number of nodes is $|V| = |U| + 2 \sum_{C \in \mathcal{C}} |C| + 1$, that is, $|E| = 2|V| - 2$.

Now we partition the edge set of G into modules. For every variable x_j , if $p_j > 0$ then the path $P_j = \{s^j u_1^j, u_1^j u_2^j, \dots, u_{p_j}^j t^j\}$ form a module. Similarly, if $q_j > 0$ then the path $N_j = \{s^j v_1^j, v_1^j v_2^j, \dots, v_{q_j}^j t^j\}$ form a module. Finally, the pairs $M_k^j = \{u_k^j w_k^j, w_k^j t_n\}$ form modules of size two for $k = 1, \dots, p_j$, and similarly, the pairs $N_k^j = \{v_k^j z_k^j, z_k^j t_n\}$ form modules of size two for $k = 1, \dots, q_j$. All the remaining edges of G form modules consisting of a single element.

We claim that Φ has a truth assignment not setting all literals equally in any clause if and only if G can be partitioned into two modular spanning trees. For the forward direction, let $E = T_1 \cup T_2$ be a partition of E into two modular spanning trees. Then

$$\varphi(x_j) = \begin{cases} 1 & \text{if } p_j > 0 \text{ and } P_j \subseteq T_1, \text{ or } p_j = 0 \text{ and } s^j t^j \in T_1, \\ 0 & \text{otherwise.} \end{cases}$$

is a truth assignment not setting all literals equally in any clause. To verify this, observe that for a variable x_j , if $x_j = 1$ then $M_k^j \subseteq T_2$ for $k = 1, \dots, p_j$. This follows

from the fact that T_2 has to span the node u_k^j and $\{u_k^j w_k^j, w_k^j t_n\}$ form a module for $k = 1, \dots, p_j$. Similarly, if $x_j = 0$ then $N_k^j \subseteq T_2$ for $k = 1, \dots, q_j$. Let now C_i be a clause involving variables $x_{j_1}, \dots, x_{j_\ell}$ and recall the definition of $y_{j_1}^i, \dots, y_{j_\ell}^i$. If all the literals in C_i has true value then, by the above observation, the cycle $y_{j_1}^i, \dots, y_{j_\ell}^i$ has to lie completely in T_1 , a contradiction. If all the literals in C_i has false value then, again by the above observation, the cycle $y_{j_1}^i, \dots, y_{j_\ell}^i$ has to lie completely in T_2 , a contradiction. A similar reasoning shows that T_2 also defines a truth assignment not setting all literals equally in any clause.

To see the backward direction, consider a truth assignment φ of Φ not setting all literals equally in any clause. We define the edges of T_1 as follows. For each variable x_j with $\varphi(x_j) = 1$, we add P_j and N_k^j for $k = 1, \dots, q_j$ to T_1 . For each variable x_j with $\varphi(x_j) = 0$, we add N_j and M_k^j for $k = 1, \dots, p_j$ to T_1 . Finally, for each clause C_i involving variables $x_{j_1}, \dots, x_{j_\ell}$ do the following: for $k = 1, \dots, \ell$, if C_i contains the literal x_{j_k} and $\varphi(x_{j_k}) = 1$ or C_i contains the literal \bar{x}_{j_k} and $\varphi(x_{j_k}) = 0$, then add the edge $y_{j_k}^i y_{j_{k-1}}^i$ to T_1 (indices are meant in a cyclic order). By the assumption that φ does not set all literals equally in any clause, this last step will not form cycles in T_1 . It is not difficult to see that both T_1 and its complement T_2 are modular spanning trees, thus concluding the proof of the theorem. \square

Now Theorem 2 is a consequence of the previous results.

Theorem 2 PARTITIONINTOCOMMONBASES includes NP-complete problems.

Proof The proof of Theorems 1 shows that PARTITIONINTOMODULARBASES can be reduced to PARTITIONINTOCOMMONBASES. As PARTITIONINTOMODULARTREES is a special case of the former problem, the theorem follows by Theorem 12. \square

As the matroids M'_ℓ, M''_ℓ given in the proof of Lemma 5 are graphic, they are linear. If we apply the reduction described in the proof of Theorem 1 for a graphic matroid M , then the matroids M_1 and M_2 can be obtained from graphic matroids by using direct sums and truncations, hence they are linear as well and an explicit linear representation can be given in polynomial time [36]. This in turn implies that PARTITIONINTOCOMMONBASES is difficult even when both matroids are given by explicit linear representations.

Harvey et al. [12] showed that the computational problem of common base packing reduces to the special case where one of the matroids is a partition matroid. Their construction involves the direct sum of M_1 and the matroid obtained from the dual of M_2 by replacing each element by k parallel elements. This means that if both M_1 and M_2 are linear, then the common base packing problem reduces to the special case where one of the matroids is a partition matroid and the other one is linear. Concluding these observations, we get the following.

Corollary 13 The PARTITIONINTOCOMMONBASES problem includes NP-complete problems even when $r(S) = 2|S|$, one of the matroids is a partition matroid and the other is a linear matroid given by an explicit linear representation.

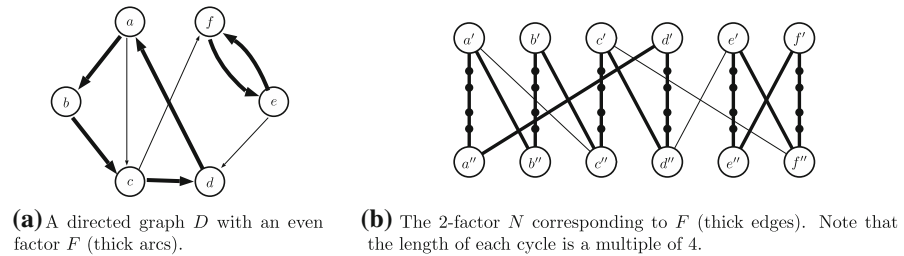


Fig. 4 Reduction from PERFECTEVENFACTOR to C_{4k+2} FREE2FACTOR

5 Hardness in another special case: the perfect even factor problem

Let us recall that in the PARTITIONINTOPARITYBASES problem a matroid $M = (S, \mathcal{I})$ is given together with a partition of its ground set into pairs, and the goal is to find a partition of S into parity bases. The aim of this section is to show that PARTITIONINTOPARITYBASES is difficult for transversal matroids.

First we define the C_{4k+2} FREE2FACTOR problem: Given a bipartite graph $G = (S, T; E)$, decide if G admits a 2-factor in which the length of each cycle is a multiple of 4. This problem was previously studied in [51], where it was shown that the problem is tractable for a special subclass of bipartite graphs. However, for general bipartite graphs C_{4k+2} FREE2FACTOR is NP-complete.

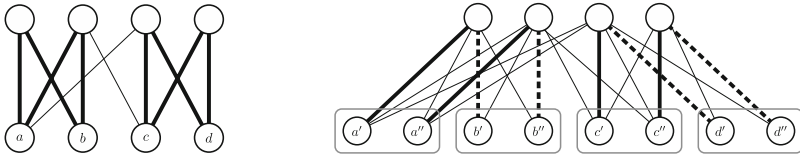
Theorem 14 C_{4k+2} FREE2FACTOR is NP-complete.

Proof We prove by reduction from PERFECTEVENFACTOR: Given a directed graph $D = (V, A)$, decide if there exists a node-disjoint collection of directed cycles of even length covering every node of D . This problem was shown to be NP-complete in [52].³

Given an instance $D = (V, A)$ of PERFECTEVENFACTOR, we construct a bipartite graph $G = (S, T; E)$ as follows. Let V' and V'' denote two copies of V . The copies of a node $v \in V$ are denoted by v' and v'' , respectively. For each $v \in V$, add a path $P_v = \{v'w_1^v, w_1^v w_2^v, w_2^v w_3^v, w_3^v w_4^v, w_4^v v''\}$ of length 5 between v' and v'' . For every arc $uv \in A$, add an edge $u'v''$ to the graph. Note that the graph $G = (S, T; E)$ thus obtained is bipartite and, say, $V' \subseteq S$ and $V'' \subseteq T$. For a set $F \subseteq A$, let $E_F = \{u'v'' \in E : uv \in F\}$ denote the corresponding set of edges in G . We claim that D admits a perfect even factor if and only if G has a C_{4k+2} -free 2-factor (see Fig. 4 for the construction).

For the forward direction, let $N \subseteq E$ be a C_{4k+2} -free 2-factor of G . Due to the presence of nodes having degree 2, N necessarily contains all the edges of the path P_v for $v \in V$. This implies that the degree of every node $v \in V' \cup V''$ in $N \cap E_A$ is exactly 1. Hence $N \cap E_A$ corresponds to a subgraph of D in which every node has in- and out-degrees exactly 1, thus forming a perfect cycle factor of D . The length of a cycle in N is six times the original length of the corresponding directed cycle in D .

³ In fact, [52] proves hardness of finding an even factor with maximum size. However, applying the same proof for the 2P2N-SAT problem that is also NP-complete [53,54], one get the desired hardness result for the Perfect Even Factor problem.



(a) A bipartite graph $G = (S, T; E)$ with a C_{4k+2} -free 2-factor F (thick edges). (b) Two matchings (thick and dashed edges) corresponding to F .

Fig. 5 Reduction from C_{4k+2} FREE2FACTOR to PARTITIONINTOPARITYBASES

As the length of every cycle in N is a multiple of 4, the corresponding dicycles have even lengths.

To see the backward direction, consider a perfect even factor $F \subseteq A$ in D . Then $N = E_F \cup \bigcup_{v \in V} P_v$ is a C_{4k+2} -free 2-factor in G . Indeed, it is clear that N is a 2-factor. The length of a cycle in N is six times the original length of the corresponding directed cycle in F . As F was assumed to be an even factor, every cycle in N has a length that is a multiple of 4, concluding the proof of the theorem. \square

Now we show that the PARTITIONINTOPARITYBASES problem is difficult already for transversal matroids.

Theorem 15 PARTITIONINTOPARITYBASES includes the C_{4k+2} FREE2FACTOR problem.

Proof Let $G = (S, T; E)$ be an instance of C_{4k+2} FREE2FACTOR. We may assume that $|S| = |T|$ as otherwise there is certainly no 2-factor in G . We define a new bipartite graph $G^+ = (S' \cup S'', T; E^+)$, where S' and S'' are two copies of S . The copies of a node $s \in S$ will be denoted by s' and s'' . For each $st \in E$, we add the edges $s't, s''t$ to E^+ . Let $P = \{\{s', s''\} : s \in S\}$ denote the partitioning of $S' \cup S''$ into pairs where each pair consists of the two copies of a node in S . Finally, let $M = (S' \cup S'', \mathcal{I})$ denote the transversal matroid on $S' \cup S''$ defined by G^+ . We claim that G admits a C_{4k+2} -free 2-factor if and only if the ground set of M can be partitioned into two parity bases (see Fig. 5 for the construction).

For the forward direction, take a partition of $S' \cup S''$ into parity bases, that is, let $S = S_1 \cup S_2$ be a partition of S so that $S'_1 \cup S''_1$ and $S'_2 \cup S''_2$ are bases of M . Then there exist edge-disjoint matchings N_1 and N_2 in G^+ such that N_i covers $S'_i \cup S''_i$ for $i = 1, 2$. As the two copies s', s'' of a node $s \in S$ form a pair, the union of these two matchings contains at most one copy of each edge $st \in E$. Thus, for $i = 1, 2$, N_i can be naturally identified with a subset $F_i \subseteq E$ in which every node in T has degree exactly 1 while every node in S has degree either 0 or 2. The union of F_1 and F_2 is then a 2-factor in which every cycle has a length that is a multiple of 4.

To see the the backward direction, consider a C_{4k+2} -free 2-factor F of G . Let $\{\{s_{i,1}t_{i,1}, t_{i,1}s_{i,2}, \dots, s_{i,2k_i}t_{i,2k_i}, t_{i,2k_i}s_{i,1}\} : i = 1, \dots, q\}$ be the set of cycles appearing in F where $s_{i,j} \in S$ and $t_{i,j} \in T$ for every i, j . Let

$$S_1 = \{s_{i,2j-1} : j = 1, \dots, k_i, i = 1, \dots, q\}$$

and

$$S_2 = \{s_{i,2j} : j = 1, \dots, k_i, i = 1, \dots, q\}.$$

Then, if the indices are considered in a cyclic order,

$$N_1 = \{s'_{i,2j-1}t_{i,2j-2}, s''_{i,2j-1}t_{i,2j-1} : j = 1, \dots, k_i, i = 1, \dots, q\}$$

and

$$N_2 = \{s'_{i,2j}t_{i,2j-1}, s''_{i,2j}t_{i,2j} : j = 1, \dots, k_i, i = 1, \dots, q\}$$

are matchings covering $S'_1 \cup S''_1$ and $S'_2 \cup S''_2$, respectively, concluding the proof of the theorem. \square

The proof of Theorem 14 implies that PARTITIONINTOPARITYBASES includes NP-complete problems even when restricted to transversal matroids. However, we assumed throughout that the transversal matroid in question is given by a bipartite graph representation. It is not clear whether PARTITIONINTOPARITYBASES remains difficult if the matroid is given by an explicit linear representation. The authors find it quite unlikely, but it might happen that the problem becomes tractable if a linear representation of the corresponding transversal matroid is also given. However, the randomized polynomial algorithm of [38] and the gap between the solvability of MATROIDPARITY and PARTITIONINTOPARITYBASES for transversal matroids given by a bipartite graph suggest that this is not the case, and PARTITIONINTOPARITYBASES is most probably difficult even if explicit linear representations are given.

6 Conclusions

In this paper we study a longstanding open problem of matroid theory, the problem of partitioning the ground set of two matroids into common bases. We prove that the problem is difficult, i.e., it requires an exponential number of independence queries in the independence oracle model. We also show that the problem remains intractable for matroids given by explicit linear representations.

The hardness of the general case increases the importance of tractable special cases. The long list of open questions and conjectures that fit in the framework of packing common bases shows that there is still a lot of work to do. For example, one of the simplest cases when one of the matroids is a partition matroid while the other one is graphic remains open.

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