

# A model of type theory with quotient inductive-inductive types <sup>\*</sup>

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**QIITs.** Quotient inductive-inductive types (QIITs) are a general class of inductive types that allow multiple sorts indexed over each other (inductive-inductive [10]) and support equality constructors (quotient). An example is given in the left column of Figure 1. This QIIT has two sorts,  $\text{Con}$  and  $\text{Ty}$ , five point constructors  $\bullet$ ,  $\dots$ ,  $\Sigma$ , and an equality constructor  $\text{eq}$ . It comes with two elimination principles (one for each sort, we don't list them here) which enforce that every function from  $\text{Con}$  preserves the equality  $\text{eq}$ .  $\text{Con-Ty}$  can be extended to the full syntax of type theory [4]. Other examples of QIITs include the real numbers [11] and the partiality monad [3]. Kaposi et al. [8] gave a general definition of QIITs and showed that all finitary QIITs can be constructed from a single QIIT called the universal QIIT. However they did not show that this universal QIIT exists.

In this talk we show that there is a model of type theory which supports the universal QIIT, namely the setoid model [1]. The setting of [8] is extensional type theory, hence by the conservativity result of Hofmann [7] the construction can be transferred to a model of type theory with function extensionality and uniqueness of identity proofs (UIP). As these hold in the setoid model, we conclude that all finitary QIITs can be defined in the setoid model.

The contents of this talk were formalised in Agda, we provide links to specific parts below.

$\text{Con} : \text{Set}$	$\text{Con}^s : \text{Ty } \bullet$
$\text{Ty} : \text{Con} \rightarrow \text{Set}$	$\text{Ty}^s : \text{Ty } (\bullet \triangleright \text{Con}^s)$
$\bullet : \text{Con}$	$\bullet^s : \text{Tm } \bullet \text{Con}^s$
$-\triangleright - : (\Gamma : \text{Con}) \rightarrow \text{Ty } \Gamma \rightarrow \text{Con}$	$\triangleright^s : \text{Tm } (\bullet \triangleright \text{Con}^s \triangleright \text{Ty}^s) (\text{Con}^s [\epsilon])$
$\text{U} : \text{Ty } \Gamma$	$\text{U}^s : \text{Tm } (\bullet \triangleright \text{Con}^s) \text{Ty}^s$
$\text{El} : \text{Ty } (\Gamma \triangleright \text{U})$	$\text{El}^s : \text{Tm } (\bullet \triangleright \text{Con}^s) (\text{Ty}^s [\epsilon, \triangleright^s [\text{id}, \text{U}^s]])$
$\Sigma : (A : \text{Ty } \Gamma) \rightarrow \text{Ty } (\Gamma \triangleright A) \rightarrow \text{Ty } \Gamma$	$\Sigma^s : \text{Tm } (\bullet \triangleright \text{Con}^s \triangleright \text{Ty}^s \triangleright \text{Ty}^s [\epsilon, \triangleright^s]) (\text{Ty}^s [\text{wk}^2])$
$\text{eq} : \Gamma \triangleright \Sigma A B = \Gamma \triangleright A \triangleright B$	$\text{eq}^s : \text{Tm } (\bullet \triangleright \text{Con}^s \triangleright \text{Ty}^s \triangleright \text{Ty}^s [\epsilon, \triangleright^s])$ $(\text{El } (\text{Id } (\text{Con}^s [\epsilon]) (\triangleright^s [\text{wk}^2, \Sigma^s]) (\triangleright^s [(\epsilon, \triangleright^s)^\dagger])))$

**Figure 1:** Constructors of the QIIT  $\text{Con-Ty}$ , a fragment of the well-typed syntax of type theory. Note that  $\text{Con}$ ,  $\text{Ty}$  on the left become  $\text{Con}^s$ ,  $\text{Ty}^s$  on the right and  $\text{Ty}$ ,  $\text{Tm}$  on the right are those of a model of type theory.

**Specification of QIITs in a model.** We use categories with families (CwFs [5]) as the notion of model of type theory. That is, a model is a category given by objects  $\text{Con}$ , morphisms  $\text{Sub}$ , families  $\text{Ty}$ ,  $\text{Tm}$ , substitution is written  $-[-]$ , empty context  $\bullet$ , context extension  $\triangleright$ .

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Specifying what it means for a model to support a QIIT is straightforward but tedious. For the constructors of `Con-Ty` [this is done](#) on the right hand side of Figure 1. Here `Ty` and `Tm` refer to types and terms of the model, not sorts of the QIIT. The elimination principle can be specified in a similar way. The difficulty of working inside a model is that we have to write out all arguments explicitly (e.g.  $\Gamma$  for  $U$ ), we need weakenings (e.g. in the type of  $\Sigma^s$ ) and `CwF`-combinators instead of variables names. For modularity and efficiency reasons we don't use function space of the model to list parameters of constructors, instead we add them to the context. E.g.  $\triangleright^s$  is not a function with two arguments, but a term in a context of length two.

The universal QIIT is a syntax for a small type theory describing signatures of QIITs. It is (roughly) an extension of our `Con-Ty` example. We specified the [constructors](#) and [elimination principles](#) of the universal QIIT. These specifications import the setoid model, but they also work with the standard (set) model or any other strict model. Importing the standard model and normalising the types helps to make sure that the internal specification (right hand side of Figure 1) corresponds to the external one (left hand side of the figure).

**The setoid model.** In the setoid model [1], an element of `Con` is a setoid, that is, a set with a (`Prop`-valued) equivalence relation. The idea is that each type comes with its own identity type encoded in this relation. For example, the relation for function space says that the two functions are extensionally equal. This way the setoid model supports [function extensionality](#), [propositional extensionality](#) and quotients. We formalised [the setoid model](#) in Agda without using any axioms or postulates, we also did not rely on UIP in Agda. The only special feature that we used was the definitionally proof irrelevant universe of propositions `Prop` [6]. The setoid model is strict [2], that is, all the equalities are definitional in Agda.

**Implementation of QIITs in the setoid model.** We defined an [IIT with four sorts](#) which we call the *implementation IIT* for `Con-Ty`. There are the two `Set`-sorts for `Con` and `Ty` and two `Prop`-sorts for their equality relations. The constructor `eq` is a constructor of the equality relation for `Con`. The `Set`-sort for `Ty` includes an additional coercion constructor, the `Prop`-sorts include constructors expressing that they are equivalence relations, congruence rules and that coercion respects the relation. With the help of the implementation IIT, we defined the constructors for `Con-Ty`. The elimination principle is defined simply by pattern matching (eliminating from the implementation IIT). We defined both the [recursion principle with uniqueness](#) and the dependent elimination principle for `Con-Ty`. All the computation rules hold definitionally.

The above method also [works for the universal QIIT](#), we defined [the recursor](#) and formalised [uniqueness](#). We haven't managed to typecheck uniqueness yet due to performance problems.

**Further work.** We would like to extend the universal QIIT with more type formers to allow non-closed QIITs (metatheoretic  $\Pi$ ), infinitary constructors, equalities as inputs of constructors, sort equalities. We formalised that the setoid model supports [arbitrary branching trees where the order of subtrees do not matter](#). This is an infinitary QIIT which seems not to be definable from quotients without using the axiom of choice [4]. In fact, some QIITs are known not to be constructible without the axiom of choice [9, Section 9].

We showed that finitary QIITs exist in the setoid model, but can they be defined in the set model (using only quotients)? There is a weak morphism of models from the setoid model to the set model, we plan to investigate what this morphism maps the universal QIIT to.

As the setoid model is given in an intensional metatheory, it provides a computational interpretation of the QIITs we defined. It remains to be checked what happens if we replay the construction of other QIITs from the universal QIIT [8]. Would we still get definitional computation rules?

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