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1 **Prevalence and consequences of malnutrition and malnourishment in older**
2 **individuals admitted to hospital with a hip fracture**

3

4 Thang S Han,¹ Keefai Yeong², Radcliffe Lisk², David Fluck³, Christopher H Fry⁴

5

6 ¹Institute of Cardiovascular Research, Royal Holloway, University of London, Egham, Surrey
7 TW20 0EX, UK

8 ²Department of Orthogeriatrics, Ashford and St Peter's NHS Foundation Trust, Guildford
9 Road, Chertsey, Surrey KT16 0PZ, UK

10 ³Department of Cardiology, Ashford and St Peter's NHS Foundation Trust, Guildford Road,
11 Chertsey, Surrey KT16 0PZ, UK

12 ⁴School of Physiology, Pharmacology and Neuroscience, University of Bristol, Bristol BS8
13 1TD, UK

14

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19

20 **Corresponding author:** Dr Thang S Han

21 Institute of Cardiovascular Research, Royal Holloway, University of London

22 Egham, Surrey, TW20 0EX, UK. Tel: 01784443807

23 Email: thang.han@rhul.ac.uk

24 **ABSTRACT**

25 **BACKGROUND/OBJECTIVES:** Major causes of hip fractures are osteoporosis and
26 falls, both of which are determined by nutrition. Information on the nutritional status
27 of patients admitted to hospital with a hip fracture is lacking. In this study, we
28 assessed determinants and adverse outcomes associated with malnutrition and
29 malnourishment.

30 **METHODS:** Nutritional status, assessed using the Malnutrition Universal Screening
31 Tool protocol, was compared to age and residency prior to admission, and outcomes
32 during hospital stay and at discharge.

33 **RESULTS:** A total of 1239 patients admitted with a hip fracture (349 men, 890
34 women), aged 60-100yr. Compared with well-nourished individuals, the prevalences
35 of malnutrition risk or malnourishment were higher in older age groups and those
36 from residential or nursing care. Those with risk of malnutrition or malnourishment
37 stayed in hospital longer by 3.0 days (95% confidence interval (CI), 1.5-4.5 days;
38 $p<0.001$) and 3.1 days (95%CI, 0.7-5.5 days; $p=0.011$), respectively. Compared with
39 the well-nourished group, malnourished individuals had increased: i) risk for failure to
40 mobilise within 1-day of surgery (rates=17.9 *versus* 27.0%; odds ratio (OR)=1.6
41 (95%CI, 1.0-2.7), $p=0.045$); ii) pressure ulcers (rates=1.0% *versus* 5.0%; OR= 5.5
42 (95%CI, 1.8-17.1), $p=0.006$; iii) in-patient mortality (rates=4.5% *versus* 10.1%;
43 OR=2.3 (95%CI, 1.1-4.8) $p=0.033$ and iv) discharge to residential/nursing care:
44 rates=4.3% *versus* 11.1%; OR=2.8 (95%CI, 1.2-6.6), $p=0.022$.

45 **CONCLUSIONS:** Inadequate nutrition is common in patients admitted to hospital
46 with a hip fracture, which in turn predisposes them to a number of complications.
47 More research on nutritional support should be directed to this group to prevent or
48 minimise hip fractures.

49 INTRODUCTION

50 The prevalence of hip fracture is common in high income countries, rising steeply
51 with age [1, 2]. Hip fractures are associated with disability which imposes heavy
52 personal and social costs [1-3]. Osteoporosis and frequent falls are interrelated
53 predisposing factors of bone fractures [4]. Osteoporosis may arise from physical
54 inactivity [5], drugs such as steroids and a decline in the levels of sex hormones [6],
55 falls on the other hand, are primarily caused by frailty [7], poor vision and postural
56 stability [8], cognitive decline [9], impaired mobility, urinary incontinence and a
57 number of drugs [10]. Common conditions such as urinary [11] and lower respiratory
58 tract infections often co-exist with frequent falls [12]. All of these risk factors are
59 closely and reciprocally related to the nutritional status of the individual; poor
60 nutrition leads to physical and mental impairment, predisposing an individual to
61 osteoporosis and falls, and conversely these described conditions can often lead to
62 inadequate nutritional intake. Despite medical and healthcare advances, and the
63 understanding of the role of nutrition in the aetiology of chronic diseases, malnutrition
64 and malnourishment remain highly prevalent in modern societies [13].

65

66 The nutritional status of an individual is undoubtedly an important indicator of their
67 health status during the period leading to a hip fracture, and a prognostic marker for
68 recovery potential. Previous studies on nutritional status in patients admitted with a
69 hip fracture focussed primarily on mortality [14], while its association with other
70 complications is surprisingly scarce and mostly based on small samples [15, 16]. In
71 this study of older patients admitted with a hip fracture, we sought to measure the
72 prevalence of risk of malnutrition and malnourishment in relation to age and the type
73 of their residency before admission, and to evaluate the complications of malnutrition
74 and malnourishment including mobility 1-day after surgery (an indicator of rapid

75 functional recovery), pressure ulcers, length of stay (LOS) and deaths in hospital, as
76 well as discharge destination.

77

78 **METHODS**

79 **Study design, participants and setting**

80 We conducted a cross-sectional study of older individuals aged ≥ 60 years admitted
81 with hip fractures as the principal diagnosis between 01/01/2016 and 06/06/2019 to a
82 National Health Service hospital. This study does not require NHS Research Ethics
83 Committee approval since it involves secondary analysis of anonymised data.

84

85 **Measurement**

86 Data were prospectively collected by a Trauma Coordinator for patients admitted
87 with a hip fracture through our participation in the National Hip Fracture Database
88 (NHFD) Audit Programme [17-20]. Information on clinical characteristics and care
89 quality from the time of admission to discharge was documented including: age; sex;
90 residency prior to admission; nutritional status on admission; mobility within 1-day
91 after hip surgery; abbreviated mental test score (AMTS); pressure ulcers; LOS and
92 mortality in hospital; new treatment with an antiresorptive agent and discharge
93 destination. All data were updated regularly into a database managed by the lead
94 orthogeriatrician who examined and corrected any error and ensured completeness
95 of data collection as required by the NHFD Audit Programme. All patients with
96 information on the variables described above were included in the present study.
97 Patients whose primary diagnosis was other than hip fracture, or younger than 60
98 years were excluded.

99

100 **Categorisation of variables**

101 Nutritional status, assessed by the Malnutrition Universal Screening Tool (MUST)
102 protocol, was stratified into overall scores of 0, 1, and ≥ 2 to indicate low, medium
103 and high risk, respectively [21]. Mobilisation within 1-day after surgery was defined
104 as those who were able to start rehabilitation no later than the day after surgery [22],
105 pressure ulcers as the presence a new pressure ulcer (of grade 2 or above) acquired
106 during the admission [20], and change in discharge destination as those who came
107 from their own home before hospital admission but were transferred to places where
108 increased care was provided, including residential care, nursing care, or
109 rehabilitation units.

110

111 **Nutritional support**

112 All patients received nutritional assessment using MUST protocol and Fortisip[®]
113 Compact (Nutricia, The Netherlands) was prescribed for patients with medium
114 (score = 1) or high risk (score ≥ 2) of malnutrition. For patients with medium risk,
115 Fortisip[®] Compact was continued until reassessment on day 4, while patients with
116 high risk were referred to dietitians for further assessment. The appropriate level of
117 nutritional support depended on the level of deficiency, but in general, patients would
118 be provided with Fortisip[®] Compact Protein (Nutricia) if they were deemed to be
119 protein deficient. Other supplements include Forticreme[®] Complete (Nutricia),
120 FortiJuice[®] (Nutricia), Meritene[®] (Nestlé Health Science, UK) and Scandishakes[®]
121 (Nutricia) but these were generally determined by patient requirement and
122 preference. Patients who were not able to tolerate or at risk of oral intake, enteral or
123 parental nutrition would be considered if appropriate.

124

125 **Rehabilitation programmes**

126 Physiotherapy during hospital stay consisted of exercising in bed to improve the
127 circulation, strengthen muscles around the hip and regain hip movement. This was
128 done at least four times a day, progressing to daily walking exercises with crutches
129 or sticks and then walking up and down stairs.

130

131 **Statistical analysis**

132 The minimum sample size was calculated based on the formula for cross-sectional
133 studies: $n = [Z^2 \times P (1 - P)]/d^2$, where Z is the level of confidence (we chose Z = 1.96
134 for 95% CI), P is the expected prevalence (P for risk of malnutrition/malnutrition
135 = 30% based on study by Lisk et al [18]), and d is precision (selected at 0.04,
136 approximately 15% of P which is within the recommended precision of 10-20%) [23].
137 Thus, $n = [1.96^2 \times 0.3 \times (1 - 0.3)]/0.04^2 = 504$. Group data are expressed as mean
138 values \pm standard deviation (SD). Differences in age and LOS between nutritional
139 groups were tested by ANOVA with *post-hoc* analyses using a least-significant
140 difference test where necessary. Differences between categorical variables were
141 assessed by chi-squared tests. Logistic regression was performed to assess the
142 association of different nutritional status with outcome measures, unadjusted and
143 adjusted for age and sex. Analyses were performed using IBM SPSS Statistics,
144 v23.0 (IBM Corp., Armonk, NY).

145

146 **RESULTS**

147 From a total of 1239 patients admitted with a hip fracture (349 men and 890 women),
148 1011 (81.6%) patients came from their own home, 144 (11.6%) from residential care
149 and 84 (6.8%) from nursing care. The mean age was 83.8 ± 8.6 years and LOS was
150 13.5 ± 11.5 days, and median AMTS was 9 (interquartile range = 6-10). During
151 admission, 20.0% of all patients failed to mobilise within 1-day of hip surgery, 1.5%

152 developed a new pressure ulcer, and 5.2% died in hospital, *i.e.* 1174 (94.8%)
153 survived to discharge (**Table 1**). Almost all received specialist falls (99.4%) and
154 physiotherapy (96.5%) assessment while in hospital. There were only 3.3% of
155 patients on an oral or injectable antiresorptive agent before admission. After the
156 assessment in hospital 3.5% did not require treatment, whilst 67.3% were newly
157 prescribed with an oral and 17.0% with an injectable antiresorptive agent: 8.9% of
158 patients were waiting for results of dual X-ray absorptiometry assessment for a
159 decision on antiresorptive treatment to be made. Of the survivors, 943 were originally
160 from their own home, among whom 528 (56.0%) returned home; whilst 31 (3.3%)
161 were transferred to residential care, 21 (2.2%) to nursing care, 333 (35.3%) to
162 rehabilitation and 30 (3.2%) to other destinations (**Figure 1**). Subsequently, all 1239
163 patients were analysed, except for the study on discharge destination where only
164 those who originally came from their own home and survived to the point of
165 discharge were selected ($n=943$).

166

167 **Association of age and residency prior to admission with nutritional status**

168 Patients were aged between 60 and 100 years. Overall, 67.8% were well-nourished,
169 24.1% at risk of malnutrition and 8.1% malnourished on admission (**Table 1**). The
170 risk of malnutrition and malnourishment increased with age ($\chi^2 = 43.1$, $p < 0.001$) and
171 with residential and nursing care ($\chi^2 = 60.5$, $p < 0.001$). Within the 60-70yr, 70-79yr,
172 80-89yr and 90-103yr age bands, the prevalences of risk of malnutrition were 6.7,
173 26.5, 24.0 and 28.5% and the corresponding values of malnourishment were 5.8,
174 3.6, 7.7 and 13.2% (**Figure 2A**). Among those who came from their own home,
175 residential care or nursing care prior to admission, the prevalences of the risk of
176 malnutrition were 20.3, 41.7 and 40.5%, and malnourishment were 7.1, 10.4 and
177 15.5%, respectively (**Figure 2B**).

178

179 Association of nutritional status and outcomes in hospital

180 Within each of the three nutritional status categories (well-nourished, risk of
181 malnutrition and malnourishment), there was a significant rise in the proportions of
182 failure to mobilise within 1-day of hip surgery: 17.9, 23.7 and 28.6% ($\chi^2 = 8.1$, $p =$
183 0.018), pressure ulcers: 1.0, 1.7 and 5.0% ($\chi^2 = 10.4$, $p = 0.006$), and also of
184 mortality: 4.5, 6.2 and 10.1% ($\chi^2 = 6.0$, $p = 0.049$), respectively (**Figure 3**).

185

186 The LOS was also significantly different between nutritional status ($F = 11.1$, p
187 <0.001). Compared with the LOS for the well-nourished group (mean 12.5 days
188 ± 10.1), the LOS was longer for the risk of malnutrition group (mean 15.5 days ± 14.0)
189 and the malnourished group (mean 15.6 days ± 13.4), equating to a longer LOS in
190 hospital by 3.0 days (95% CI: 1.5-4.5 days, $p <0.001$) and 3.1 days (95% CI = 0.7-
191 5.5 days, $p = 0.003$), respectively (**Figure 4**). There were no significant differences in
192 LOS between risk of malnutrition and malnourishment groups.

193

194 **Table 2** shows that compared with those considered to be well-nourished on
195 admission, the age- and sex-adjusted risk in those with malnourishment for failure to
196 mobilise within 1-day of surgery was: OR=1.64 (95%CI = 1.01-2.65, $p = 0.045$); for
197 pressure ulcers was: OR = 4.88 (95%CI = 1.53-15.60, $p = 0.007$); and for inpatient
198 mortality was: OR = 2.26 (95%CI = 1.07-4.80, $p = 0.033$).

199

200 Association of nutritional status and discharge destination

201 Among the 943 patients admitted from their own home who survived to discharge,
202 there were 4.3, 8.0 and 11.1% in the well-nourished, risk of malnutrition and
203 malnourished groups, respectively, who were discharged to residential/nursing care

204 ($\chi^2 = 7.9$, $p = 0.019$). Compared with the well-nourished group, the risk for a
205 discharge to residential/nursing care was increased by 1.93-fold (95%CI = 1.01-3.65,
206 $p = 0.045$) for those with risk of malnutrition, and by 2.76-fold (95%CI = 1.16-6.57, p
207 = 0.022) for those with malnourishment.

208

209 **DISCUSSION**

210 In this study of older adults admitted with a hip fracture, risk of malnutrition and
211 malnourishment were more prevalent with increasing age and in those from
212 residential/nursing care. More of those identified with risk of malnutrition and
213 malnourishment failed to mobilise within 1-day after surgery, pressure ulcers, longer
214 LOS and mortality in hospital. Those who survived to the point of discharge from
215 hospital were more likely to be transferred in a residency of high level of care.

216

217 Nutritional status mirrors the underlying health and well-being of an individual. The
218 present study highlights the common and persisting occurrence of inadequate
219 nutrition and its complications in older adults and those living in a care home, which
220 helps raise greater awareness to healthcare professionals in order to prevent or
221 minimise the risk of fractures. A recent review of 12 studies on nutritional status,
222 assessed by Mini-Nutritional Assessment (MNA) and MNA short form (MNA-SF),
223 showed that among patients admitted to hospital with a hip fracture showed the
224 average prevalence of risk of malnutrition was 35.3% and malnourishment was
225 18.7% [14], which are higher than our figures of 24% and 8% respectively. However,
226 the review included studies, from high income countries, dating back more than a
227 decade ago. The prevalence of inadequate nutrition in individuals with a hip fracture
228 living in an institution is less well documented. Using MNA to assess nutritional
229 status, reports on non-hip fracture studies showed that among German home-care

230 receivers with functional impairments, 57% of these individuals were at risk of
231 malnutrition and 12% were malnourished, [24]. Among Swedish older patients
232 admitted to hospital, the corresponding figures were 55.1% and 9.4% [25], which are
233 similar to figures reported from a multinational study of 1586 older adults from
234 nursing home of 53.4% and 13.8%, both studies also used MNA [26]. In comparison,
235 the prevalence of risk of malnutrition (40.5% from residential and 41.7% from nursing
236 care) observed in our study was lower, but the prevalence of malnourishment was
237 not too dissimilar (10.4% from residential and 15.5% from nursing care). The
238 observations of the association of risk of malnutrition or malnourishment with older
239 age in our study are similar to those reported in other studies [27].

240

241 Although the association between nutritional status in patients admitted with hip
242 fracture and mortality has been established [14], less is known about its relationship
243 with other clinical outcomes. The findings of this study showing an association of risk
244 of malnutrition or malnourishment with a number of complications are in line with
245 those of patients admitted to hospital for general conditions other than hip fractures
246 [25, 28]. Overall, there were only 1.5% of our patients who developed a pressure
247 ulcer, which is relatively low compared with a recently reported figure of 5.2% among
248 patients admitted with a hip fracture in the US [29], and 12% in Europeans studied
249 more than a decade ago [30]. Our study showed the important role of nutrition and
250 risk of pressure ulcers and is supported by evidence from a smaller study of older
251 adults with dementia living in nursing homes showing an association of inadequate
252 nutrition with increased risk of developing pressure ulcers [31]. Findings from our
253 study are also consistent with previous observations of the association of risk of
254 malnutrition and malnourishment in non-hip fracture admissions with longer LOS and

255 discharge to high level of care in a study by Neuman et al [28], and risk of all-cause
256 mortality in a five year follow-up study by Söderström et al [25].

257

258 Observations from our study suggest the need to gain further insights into ways that
259 improve nutrition of older adults living in the community, particularly those from
260 institutionalised residency, in order to lower the risk of fractures and their
261 complications. For those who were admitted to hospital with a hip fracture and with
262 evidence of malnutrition or malnourishment, early nutrition support is vital for
263 adequate supply of energy and nutrients to prevent rapid loss of muscular and
264 skeletal mass and strength arising from extreme physical inactivity. Interventional
265 studies have been conducted to address malnutrition or malnourishment and
266 showed mixed results [32], with some success reported in reducing LOS in hospital
267 [33], functional recovery [34] and reducing [35] or delaying onset and progression of
268 pressure ulcers [36], while some studies found little or no benefit [37, 38], probably
269 due to non-compliance [37, 39] but may also be confounded by a number of other
270 co-existing factors such as co-morbidities, medications and infections.

271

272 The relatively high prevalence of risk of malnutrition or malnourishment in the
273 community and hospital, together with their adverse consequences observed in our
274 study, lend support for routine nutritional assessment of older patients admitted to
275 hospital. Oral nutritional supplement in adults admitted to hospital has been shown to
276 reduce the hospital LOS by 2.3 days, and 30-day readmission by 2.3% [40], while
277 enteral nutrition support for critically ill patients has been shown to reduce mortality
278 by 56% [41]. A delay in a dysphagia screen (thus delay in nutrition support) for
279 patients admitted with an acute stroke was shown to associate with a LOS on
280 hyperacute stroke units, increased risk of urinary tract infection and pneumonia

281 within seven days of admission and greater in-patient mortality [42]. More research
282 on the effect of early nutrition support for at-risk patients (e.g. those developed a new
283 life-changing condition such as cognitive impairment) may be helpful to see if early
284 intervention would prevent or reduce adverse clinical outcomes.

285

286 **Limitations and strengths**

287 The present study has certain limitations due to the nature of its study design.
288 Although risk of malnutrition or malnourishment were identified on admission and
289 routinely treated in our centre, we did not have information on their nutritional status
290 afterwards. However, all those with evidence of risk of malnutrition or
291 malnourishment were referred to dietitians. Previous studies have shown that
292 patients with malnourishment on admission and without nutritional support lost 5.4%
293 of body weight, whilst those referred for nutritional support gained 7.9% on discharge
294 [43]. While only 3% of patients in our study was on an antiresorptive treatment, 84%
295 received a new antiresorptive agent while in hospital and a further 9% were being
296 considered after discharge. The strengths of the study include its relatively large
297 sample with precise and detailed data collected according to the national guidelines
298 [13-15]. We used the MUST protocol because it was selected by the Royal College
299 of Physicians for the NHFD Audit Programme for its well-validated and widely
300 applied in clinical practice for assessing nutritional status [21], and has been shown
301 to be comparable with other nutritional assessment tools [44]. There exist a number
302 of other methods for assessing nutritional status, including the Mini Nutritional
303 Assessment instrument (MNA-SF) which is an effective tool for screening the
304 nutritional status of geriatrics across settings. However, MUST and Nutrition Risk
305 Screening (NRS-2002) proposed by the European Society for Clinical Nutrition and

306 Metabolism (ESPEN) for the hospital setting are applicable to all hospital patients,
307 irrespective of age [21].

308

309 In conclusion, inadequate nutrition is common in patients admitted to hospital with a
310 hip fracture from residential/nursing, which in turn predisposes patients to a number
311 of complications. More research on nutritional support should be directed in this
312 group to prevent or minimise hip fractures.

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316

317 **Author contributions** TSH reviewed the topic related literature and conceived the
318 original idea. KY and RL performed the study coordination and data collection. TSH
319 wrote the first draft, analysed, interpreted the data and revised the manuscript. CHF
320 and DF edited the manuscript. All authors checked, interpreted the results and
321 approved the final version.

322

323 **Compliance with ethical standards** The authors declare that they have no conflict
324 of interest.

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462 hip fracture operated elderly patients. *Clin Nutr*. 2016; 35: 1053-1058.
- 463

464 45. **Table 1.** Characteristics of 1239 of older adults aged 60-100 years admitted
 465 with hip fractures.

	Mean \pmSD
Age (years)	83.8 \pm 8.6
Length of stay in hospital (days)	13.5 \pm 11.5
	Median (IQR)
Abbreviated mental test score	9 (6-10)
	Proportion (%)
Sex distribution (women: men)	71.8: 28.2
Residence before admission	
Own home: residential care: nursing care	81.6: 11.6: 6.8
Mobility within 1-day after hip surgery	
Able to mobilise: failure to mobilise	88.0: 22.0
Pressure ulcers acquired in hospital	1.5
Death in hospital	5.2
Nutritional status on admission	
Well nourished: risk of malnutrition: malnourished	67.8: 24.1: 8.1
Specialist falls assessment	99.4
Physiotherapist assessment	96.5

466 46. SD, standard deviation; IQR, interquartile range.

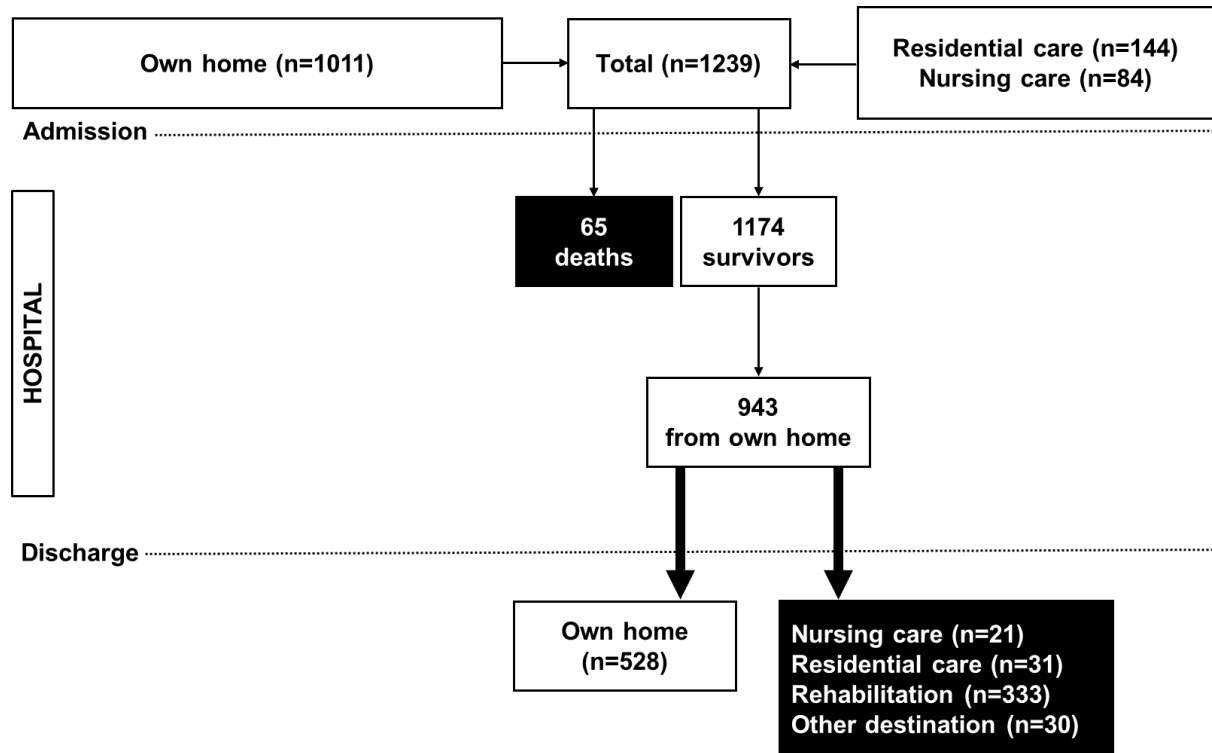
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468 **Table 2.** Rates and risk of failure to mobilise within 1-day after hip surgery, pressure
 469 ulcers and death in hospital, and discharge to residential/nursing care.

	Well-nourished (n=840)**	Risk of malnutrition (n = 299) [†]			Malnourishment (n = 100) [†]		
Model 1: Unadjusted	OR	OR	95% CI	p	OR	95% CI	p
Failure to mobilise within 1-day of surgery	1	1.43	1.04-1.97	0.028	1.70	1.06-2.74	0.028
Pressure ulcers in hospital	1	1.77	0.57-5.45	0.321	5.47	1.76-17.07	0.003
Death in hospital	1	1.40	0.79-2.51	0.251	2.39	1.15-4.98	0.019
Discharge to residential/nursing care	1	1.93	1.01-3.65	0.045	2.76	1.16-6.57	0.022
Model 2: Age and sex adjusted							
Failure to mobilise within 1-day of surgery	1	1.42	1.03-1.96	0.033	1.64	1.01-2.65	0.045
Pressure ulcers in hospital	1	1.70	0.55-5.26	0.360	4.88	1.53-15.60	0.007
Death in hospital	1	1.43	0.80-2.58	0.230	2.26	1.07-4.80	0.033
Discharge to residential/nursing care	1	1.66	0.87-3.20	0.128	2.10	0.87-5.06	0.099

470 47. *Reference group; [†]For analysis of discharge to residential/nursing care, only
 471 those admitted from own home were selected (n = 943): Well-nourished =
 472 693, risk of malnutrition = 187, malnourished = 63.

473

474 **FIGURES and LEGENDS**475 **Figure 1.**

476

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478

479 **Figure 1.** Flow chart showing the distribution of patients before, during and after

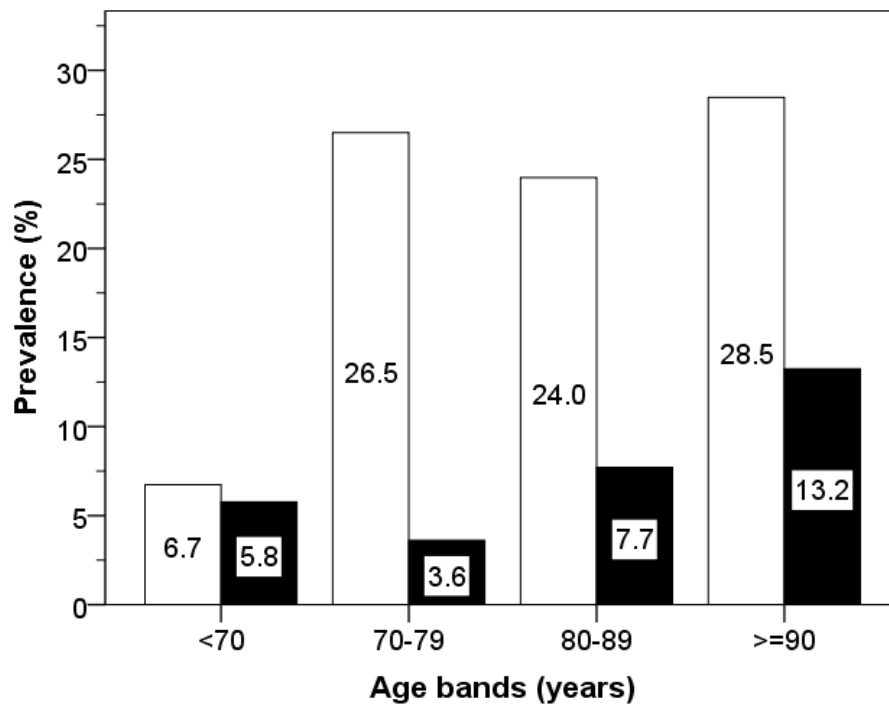
480 hospitalisation.

481

482 **Figure 2.**

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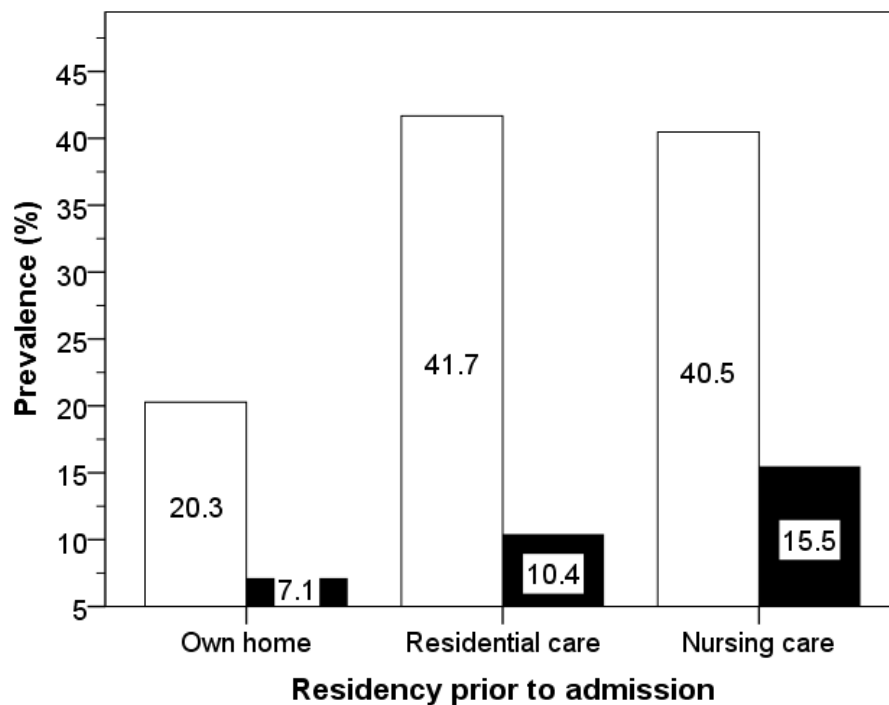
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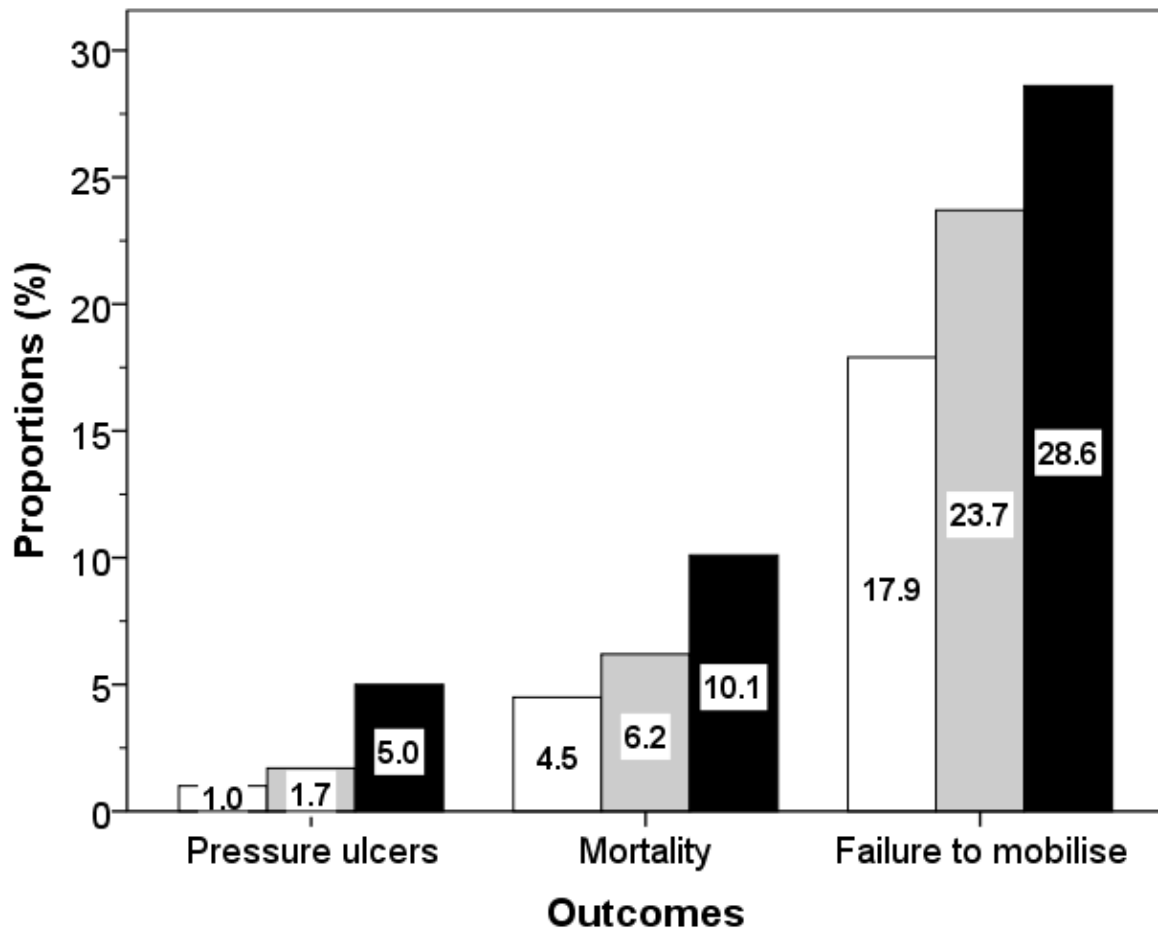
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(B)



486

487 **Figure 2.** Prevalence of patients at risk of malnutrition (open bars) or
488 malnourishment (solid bars) on admission to hospital with a hip fracture according to
489 age (A) and residency prior to admission (B).

490 **Figure 3**

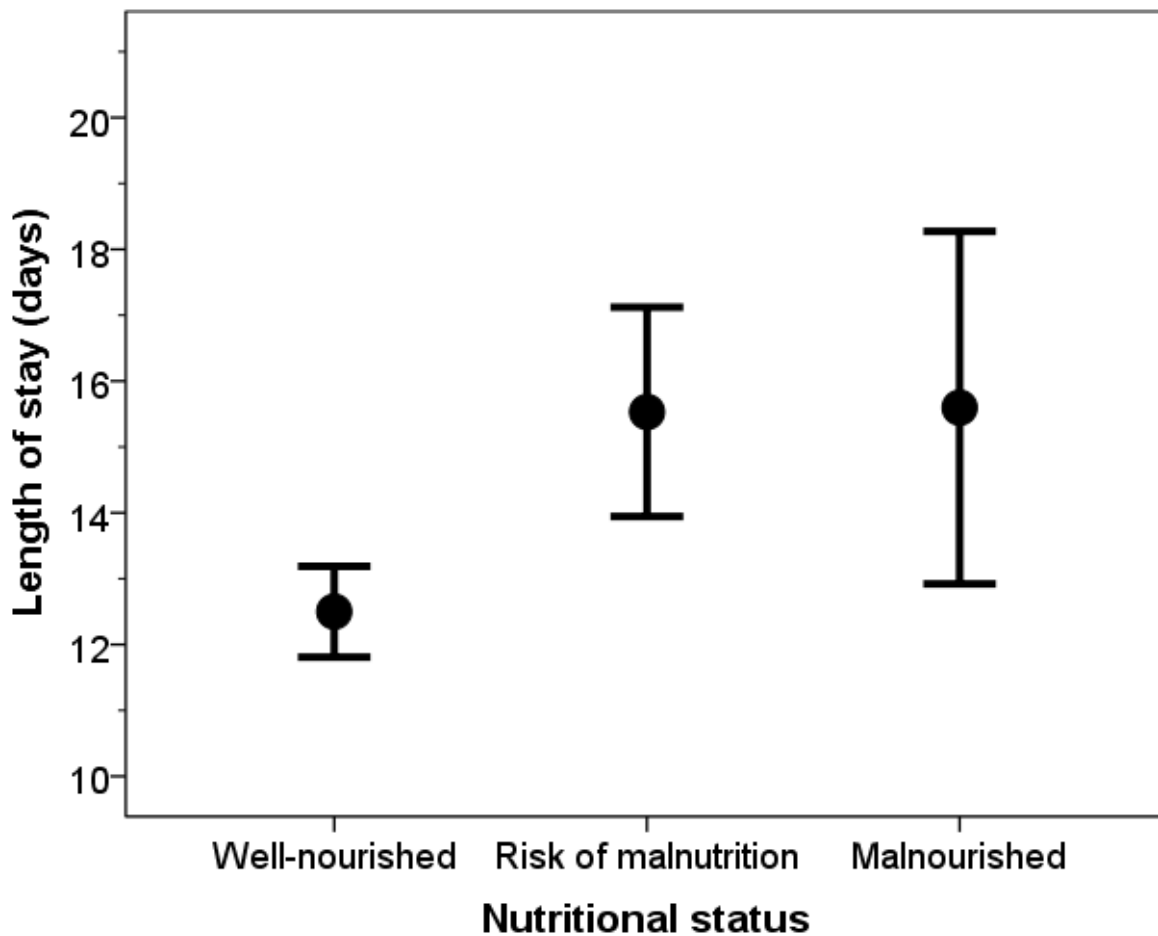
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492 **Figure 3.** Proportions of patients with pressure ulcers, failure to mobilise within 1-day
493 after hip surgery, and mortality according to nutritional status: white bars indicate
494 well-nourished, grey bars indicate risk of malnutrition, and black bars indicate
495 malnourishment.

496

497 **Figure 4.**

498



499

500 **Figure 4.** Length of stay in hospital among individuals with different nutritional status.