

# Article

# Interaction between genetic factors, Porphyromonas gingivalis and microglia to promote Alzheimer's disease

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Revised version 01.09.2020 JOURNAL OF ORAL MICROBIOLOGY Interaction between genetic factors, Porphyromonas gingivalis and microglia to promote Alzheimer's disease Ingar Olsen<sup>a</sup> and Sim K. Singhrao<sup>b</sup> <sup>a</sup>Institute of Oral Biology, Faculty of Dentistry, University of Oslo, Oslo, Norway; <sup>b</sup>Brain and Behavior Centre, Faculty of Clinical and Biomedical Sciences, School of Dentistry, University of Central Lancashire, Preston, UK CONTACT Ingar Olsen ingar.olsen@odont.uio.no Faculty of Dentistry, University of Oslo, POB 1052 Blindern, 0316 Oslo, Norway 

### 29 ABSTRACT

| 30 | In late onset Alzheimer disease (AD) pathogenesis, genes,         |
|----|---|
| 31 | infections and immunity could be significant factors. We have     |
| 32 | reviewed if the keystone periodontal pathogen Porphyromonas       |
| 33 | gingivalis may affect genes and microglia (primary immune         |
| 34 | cells in the brain) to promote AD development. Genes for          |
| 35 | apolipoprotein, clusterin, CD33, triggering receptor expressed    |
| 36 | on myeloid cells-2 (TREM-2), tyrosine kinase binding protein      |
| 37 | (TYR-OBP), and complement receptors can affect microglia.         |
| 38 | Most of these genes can also be affected by P. gingivalis via its |
| 39 | mastering of immune suppression. Besides, P. gingivalis can       |
| 40 | affect microglia directly in several ways. Taken together,        |
| 41 | genetic predisposition, P. gingivalis infection and microglia     |
| 42 | could promote neurodegeneration typical of that reported for      |
| 43 | AD.   |
|    |   |

44

## 45 KEYWORDS

46 Microglia, immune cells, inflammation, brain, training,

- 47 tolerance, hyperactivity, *P. gingivalis*
- 48

# 49 **1. Introduction**

50 Amyloid-beta (A $\beta$ ) plaque and phosphorylated tau (p-Tau)

51 binding to neurofibrillary tangles (NFTs) are important

52 neuropathological and diagnostic markers of Alzheimer's

53 disease (AD). Both lesions in their diverse peptide sizes (A $\beta$ 

and p-Tau) can act as toxins in and outside cells *in vitro* and *in* 

*vivo* animal models [1-4]. A second factor of AD is brain

56 infection/inflammation where the keystone pathogen in

57 "chronic" periodontitis Porphyromonas gingivalis, seems to

58 play an important role. Cerebral inflammation in the form of

59 activated microglia is a third major histopathological feature,

60 but without a role in the neuropathological diagnosis of AD.

## 61 **2.** Aim

62 The aim of the present review is to discuss how genetic factors,

63 *P. gingivalis* periodontal infection and microglia can interact to

64 promote AD. Potential mechanisms for microglia affliction by

65 *P. gingivalis* are listed in Table 1.

66

# 67 3. Relationship between "chronic" periodontitis and

# 68 Alzheimer's disease

69 Important in the relationship between "chronic" periodontitis

and AD is infection where *P. gingivalis* is a suspect pathogen,

for details, see references [3-5]. An infectious episode

72 inevitably gives rise to inflammation (albeit acute and/or

73 longstanding) with a degree of tissue atrophy. *P. gingivalis* has

several virulence factors to promote brain inflammation and

75 associated damage.

76

### 77 **3.a.** Gingipains

78 Institutionalized AD subjects show all forms of dental diseases (amongst them caries and periodontal disease), to co-exist in 79 80 their dentition, and good oral health practices are unlikely to be a priority in their daily lives [6]. Recent knowledge of 81 82 gingipains as virulence factors of P. gingivalis has initiated therapy towards reducing p-Tau peptide related toxicity [4]. 83 This is being tested clinically by neutralizing *P. gingivalis* 84 virulence with inhibitors of gingipains (GAIN Trial: Phase 2/3 85 Study of COR388 in Subjects with Alzheimer's Disease. 86 ClinicalTrials.gov Identifier: NCT03823404) [4, 7]. 87 88 Gingipains of P. gingivalis are reported to digest the 89 normal tau protein into nine fragments [4], and some of these 90 peptides are from tau residues prone to phosphorylation and some are from two of the four microtubule binding domains 91

that also lie within peptides that form paired/straight helical 92 filaments constituting NFTs [4]. This may be one pathway to 93 releasing fragments of the tau protein into the brain's 94 parenchymal tissues. The extracellular phosphotau fragments 95 generated by gingipains may be directly toxic to other neurons 96 97 or the tau fragments may be of the size that neurons are able to take up at synaptic clefts during neurotransmitter uptake, 98 99 thereby causing its spread from neuron to neuron. Further 100 research is needed to clarify the role of gingipains fragmented 101 tau peptides in the pathogenesis of AD.

102

# 103 *P. gingivalis* infection promotes tau phosphorylation

Gingipains have been found to be neurotoxic in vivo and in vitro, 104 having detrimental effects on tau [4]. The capsular serotype K1 105 P. gingivalis W83 strain has shown the potential to contribute to 106 tau phosphorylation at Ser396 in the in vivo wild-type mouse 107 model [8]. Furthermore, an in vitro neuronal cell line model 108 reported by Haditsch et al. [9], demontrated an increased tau 109 phosphorylation at Thr231 following P. gingivalis infection with 110 persistent gingipain expression. Liu et al. [10] observed in their 111 112 gingivalis-infected microglial cells towards the site of infection, activation of the phosphoinositide 3-kinase/Akt (PI3K/AKT) 113 pathway. Our own in house data show that purified P. gingivalis 114 lipopolysaccharide (LPS) application to a neuroblastoma cell 115 116 line, in vitro cell model also activated the PI3K/AKT pathway in which glycogen synthase kinases-3 beta (GSK-3β) mRNA 117 118 expression increased. The importance here is that GSK-3 $\beta$  is one of the enzymes that phosphorylates tau suggesting that P. 119 gingivalis plays an important role in the NFT lesion formation 120 121 and subsequent pathophysiology of AD.

# 122 *P. gingivalis* infection promotes neurodegeneration

| 123 | As mentioned, Haditsch et al. [9] reported AD-like               |
|-----|--|
| 124 | neurodegeneration in P. gingivalis infected neurons in an in-    |
| 125 | vitro culture system with persistent expression of active        |
| 126 | gingipains. Following infection with live P. gingivalis (ATCC    |
| 127 | 33277) 25% of the neurons were lost in a time-dependent          |
| 128 | manner. Full length tau was reduced in surviving cells with an   |
| 129 | increase in phosphorylation over time. This finding was related  |
| 130 | to loss of neuronal synapses and was comparable to features of   |
| 131 | associated neurodegeneration together with presence of           |
| 132 | gingipains in AD autopsy brains. Accordingly, P. gingivalis      |
| 133 | can invade and survive in neurons and generate intra-neuronal    |
| 134 | gingipains that are proteolytically active, leading to           |
| 135 | neurodegeneration associated with AD.                            |
| 136 | Nonata and Nakanishi [11] found in an in vitro study             |
| 137 | that secreted gingipains from P. gingivalis induced microglial   |
| 138 | cell migration. This was likely achieved through endosomal       |
| 139 | signaling by protease-activated receptor 2 (PAR 2).              |
| 140 | Liu et al. [10], attempting to clarify the potential effects     |
| 141 | of the gingipains - Rgp and Kgp on the cellular activation of    |
| 142 | brain-resident microglia in mice, found that Rgp and Kgp         |
| 143 | cooperated thereby contributing to migration of P. gingivalis-   |
| 144 | infected microglial cells towards the site of infection, and     |
| 145 | initiated expression of proinflammatory mediators by activating  |
| 146 | PAR 2. The mitogen-activated protein kinase/extracellular        |
| 147 | signal-regulated kinase (ERK) kinase/ERK pathway                 |
| 148 | contributed to both cell migration and invoked an inflammatory   |
| 149 | response in microglia. Furthermore, PI3K/AKT pathway             |
| 150 | mRNA expression increased together with pro-inflammatory         |
| 151 | mediators such as IL-6, TNF- $\alpha$ and inducible nitric oxide |
| 152 | synthase. The mRNA expression of the anti-inflammatory           |
| 153 | mediators interleukin 10 (IL-10), arginase-1 and IL-4 was not    |
| 154 | affected. The authors proposed that microglial cell migration    |
| 155 | was likely to have been associated with actin polymerization     |
| 156 | and may be necessary for invoking inflammatory responses in      |

| 157 | microglia following activation of PAR 2. Further observations        |
|-----|--|
| 158 | by Liu et al. [10] suggest and that Rgp and Kgp gingipains may       |
| 159 | be responsible for degrading components of the epithelial cell       |
| 160 | basal membrane, which may be facilitating the invasion of <i>P</i> . |
| 161 | gingivalis into the brain. Liu et al. [10] experimentally tested     |
| 162 | their hypothesis by incorporating inhibitors of $Rgp - KYT1$ and     |
| 163 | Kgp – KYT36 and found the P. gingivalis-induced microglia            |
| 164 | cell migration was suppressed in the presence of activated PAR       |
| 165 | 2 pathway. This provided poor of principle indicating that Rgp       |
| 166 | and Kgp were largely responsible for inducing migration of           |
| 167 | microglia in the brain. In the study by Dominy et al. [4]            |
| 168 | synthesized small-molecule inhibitors targeting gingipains were      |
| 169 | tested and this resulted in a reduction in the bacterial load.       |
| 170 | Furthermore, the small-molecule inhibitors of P. gingivalis          |
| 171 | reduced the extent of the brain infection established in mice. In    |
| 172 | addition, the small-molecule inhibitors blocked $A\beta$ 1-42        |
| 173 | production, diminished neuroinflammation, and rescued                |
| 174 | neurons in the hippocampus.  |
| 175 |  |
|     |  |

# 176 **3.b. Matrix metalloproteinases**

Matrix metalloproteinases (MMPs) have an important role in 177 178 neuroinflammatory disorders including AD [12, 13]. Increase 179 in the expression of MMPs in the brain tissue and blood of demented patients is reported to be part of the overall 180 181 inflammatory process in AD [14]. Mroczko et al. [15] detected MMP-3 and MMP-9 localized around NFTs and A<sub>β</sub> plaques in 182 AD brains. Healthy elderly with increased risk of developing 183 AD had increased levels of MMP-3 and MMP-9 protein levels 184 in the cerebrospinal fluid. Mroczko et al. [15] proposed that 185 increased protein levels of these MMPs may be related to 186 neuronal degeneration and/or formation of NFTs prior to 187 188 clinical cognitive deterioration [13]. Further research is required to clarify this observation. 189

| 190  | It is accepted that <i>P. gingivalis</i> can induce synthesis of  |
|--|---|
| 191  | MMPs in tissues and cells of the host. For example, cytokine  |
| 192  | and MMP expression in fibroblasts from peri-implantitis   |
| 193  | lesions were reported to be induced by P. gingivalis [16]. In   |
| 194  | addition, the sustained upregulation of inflammatory mediators  |
| 195  | and MMP-1 were suggested to play a role in the pathogenesis   |
| 196  | of peri-implantitis [16]. In oral squamous cell carcinoma P.  |
| 197  | gingivalis promoted invasion by induction of proMMP-9 and   |
| 198  | its activation [17]. It was suggested that P. gingivalis activated  |
| 199  | protease-activated receptor 4 (PAR4) signaling pathways,  |
| 200  | causing proMMP-9 over-expression and invasion of oral   |
| 201  | squamous carcinoma cells [18]. Since P. gingivalis does spread  |
| 202  | to the AD brain as shown experimentally in mice and in  |
| 203  | humans [4, 19] it is plausible to suggest that P. gingivalis could  |
| 204  | contribute to the pool of MMPs in the brain.  |
| 205  |   |
|  |   |
| 206  | <b>3.c.</b> Inhibitors of matrix metalloproteinases   |
| 206<br>207   | <b>3.c. Inhibitors of matrix metalloproteinases</b><br>Tissue inhibitors of metalloproteinases (TIMPS) can modulate   |
| 206<br>207<br>208  | <b>3.c. Inhibitors of matrix metalloproteinases</b><br>Tissue inhibitors of metalloproteinases (TIMPS) can modulate<br>the activity of MMPs [15]. This is important because   |
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221 4. Relationship between microglia and Alzheimer's disease

Microglia comprise 10% of the total brain cells. They are 222 resident macrophages and the brain's primary innate immune 223 224 cells responding to diverse stimuli (Figure 1a and b). They also 225 act as inflammatory cells by rapidly changing morphology, 226 proliferating and migrating to the site of infection/injury where they phagocytose and destroy invaders and remove damaged 227 228 cells. Microglia secrete cytokines, chemokines, prostaglandins, 229 nitric oxide and reactive oxygen species [22]. During aging, 230 they develop a more inflammatory (activated) phenotype possibly due to having previously confronted diverse antigens 231 [23], following which they may fail to return to their original 232 resting (non-activated) state. Some microglia can survive for 233 long periods, even more than two decades [24]. Thus, the 234 235 microglial cell population in the human adult brain is characterized by a slow turnover. Their efforts to resolve any 236 237 inflammatory response involves production of antiinflammatory cytokines such as IL-10. In the case of 238 experimental oral infection with P. gingivalis in apolipoprotein 239  $E^{-/-}$  (Apo $E^{-/-}$ ) mice, the host releases copious amount of IL-10 in 240 241 the serum. However, the bacterium itself still spreads to the brain and encounters microglia, which as a result become 242 243 activated [25]. It appears that peripheral IL-10 mediated immune resolution in the Apo $E^{-/-}$  mice remains inadequate for 244 245 microglia to return to the resting state [25, 26]. Recent research in mice has shown that microglia are able to "remember" a 246 247 previous inflammatory challenge and become "trained" or "tolerant" to toxins like LPS [27]. This may prolong existence 248 of the endotoxin in infected brains. Thus, immune training can 249 inadvertently increase cerebral  $\beta$ -amyloidosis while tolerance 250 251 may decrease it. The immune memory affects the reaction of microglia to new stimuli and the way in which they deal with 252 toxic A $\beta$  plaque in the brain (Figure 1b), thereby modifying 253 neuropathology. 254

| 255 | It seems the severity of AD and its progression may be                |
|-----|---|
| 256 | linked to chronic inflammation [28, 29]. It is therefore plausible    |
| 257 | to suggest that immunological memory in long-living microglia         |
| 258 | can represent a risk for not only protracting but also initiating     |
| 259 | clinical AD at the appropriate age, particularly if they become       |
| 260 | tolerant to inflammation [27]. Singhrao et al. [30] proposed that     |
| 261 | the long-term effect of inflammatory mediators, pathogens,            |
| 262 | and/or their virulence factors could, over time, prime the            |
| 263 | brain's microglia in individuals with inherent susceptibility         |
| 264 | traits.   |
| 265 | Microglia are not uniform cells, and this is why only                 |
| 266 | fragments of microglia are seen in tissue sections following          |
| 267 | their immuno/fluorescence/histochemistry reactivities (Figure         |
| 268 | 1a and b). Activation of microglia in the central nervous system      |
| 269 | involves two opposing phenotypes denoted M1 and M2.                   |
| 270 | Depending on the trigger that activates these two phenotypes,         |
| 271 | microglia (M1) can exert cytotoxic (proinflammatory cytokine          |
| 272 | release) or neuroprotective (M2) (immune resolution) effects          |
| 273 | [31]. Hammond et al. [32], performed single-cell RNA                  |
| 274 | sequencing and in situ brain mapping, and detected nine               |
| 275 | transcriptionally distinct stages of > 76,000 microglial cells in     |
| 276 | mice expressing unique sets of genes. Some of these genes             |
| 277 | were upregulated in microglia-surrounding A $\beta$ plaques [33].     |
| 278 | Microglial cell phenotypes were most diverse in the developing        |
| 279 | brain and following aging and injury. Furthermore, RNA                |
| 280 | sequencing revealed that the expression of genes from                 |
| 281 | microglial cell activation increased in several neurological          |
| 282 | diseases including AD [34].   |
| 283 | Microglia can also develop functional defects as seen in              |
| 284 | other neurodegenerative disorders [Boche et al., 2013]. During        |
| 285 | early stages of AD, they play a key role in the clearance of $A\beta$ |
| 286 | and reducing the plaque burden [35]. However, $A\beta$ plaques and    |
| 287 | extracellular tau peptides can eventually become surrounded by        |
| 288 | glial cells with dysfunctional homeostatic control and as a           |

- result acquire a proinflammatory phenotype amplifying 289 neuronal damage [36]. Similarly, cytokines and 290 proinflammatory molecules secreted by microglia that initially 291 292 have a neuroprotective role, can subsequently become the cause 293 of further neurodegeneration [36]. If microglia become 294 overactive, they can initiate the biosynthesis of complement 295 proteins and with the appropriate trigger, activate the complement cascade [37]. This can lead to their aberrant 296 297 digestion of nerve synapses [38]. This is observed in mice lacking the TAR DNA-binding protein 43 (TPD-43) [39]. A 298 complement-microglial axis has been found to drive synapse 299 loss in AD [40] and is a plausible issue for deteriorating 300 301 memory.
- 302

# 303 5. Relationship between *P. gingivalis* and microglia

- 304 It is noteworthy that gingipains have been detected in microglia
- [8], and in the capillaries of the hippocampus in ApoE<sup>-/-</sup> mice
- brains that were from a mono-*P. gingivalis*-infected group [41].
- 307 Mice brains have shown a potent microglial activation response
- to mono-*P. gingivalis* infection [25]. However, there are also
- 309 several other effects that *P. gingivalis* may exert on microglia,
- 310 which are less appreciated.
- 311

# 312 **5.a. Affliction by genetic factors**

- 313 Genome-Wide-Association Studies (GWAS) have identified
- several susceptibility genes expressed by microglia in AD.
- 315 Genome-wide meta-analysis of clinically diagnosed AD and
- AD-by-proxy (71,880 cases, 383,378 controls) found
- 317 associated genes to be strongly expressed in immune-related
- tissues (spleen and liver), and cell types such as microglia [42].
- 319 Other GWAS and integrated network studies identified

immune-related pathways, as risk factors for AD, with 320 microglia as central players. These studies strongly support the 321 idea that genes, pathogens and the immune system act together 322 in the eventual development of AD [5, 43]. Among the genes 323 related to microglia and AD were clusterin (apolipoprotein J), 324 325 complement receptor 1 (CR1), CD33, triggering receptor expressed on myeloid cells-2 (TREM-2) and tyrosine kinase 326 327 binding protein (TYR-OBP) [44]. These genes play a role in 328 clearance of cellular debris from the brain. However, in the 329 context of *P. gingivalis* infection of the brain, the impressive immune subversion of this bacterium in cleaving receptors 330 331 challenges this very function as discussed below.

## 332 *Clusterin* gene

333 The *clusterin* gene was identified as an important risk locus for AD with the three SNPs (rs 11136000, rs 2279590 and 334 rs9331888) showing statistically significant relationship with 335 the disease [45, 46]. Clusterin (CLU) is one of the complement 336 cascade regulatory plasma proteins that significantly increases 337 338 during AD [47]. It is present in A $\beta$  plaques where it binds to insoluble amyloid peptides and interacts with Aβ40 and Aβ42 339 340 [48]. Due to its well accepted role in the complement cascade, CLU is likely to affect A $\beta$  clearance, amyloid deposition and 341 342 subsequent neurotoxicity [49]. CLU is also said to stimulate expression and secretion of various chemotactic cytokines such 343 344 as TNF- $\alpha$ , which has a critical role in promoting macrophage chemotaxis via the Pi3K/Akt mitogen-activated protein ERK 345 346 and JNK pathways [50].

# 347 *CR1* gene

- 348 It has been reported that SNPs rs3818361 and rs6656401 of the
- 349 *CR1* gene is associated with increased likelihood of AD [48].
- 350 This supports a *CR1* gene defect in AD [5]. CR1 helps with

regulating the complement cascade and promotes phagocytosis of cellular debris and A $\beta$  plaques, and adherence of immune complexes to erythrocytes [5]. Interestingly, *P. gingivalis* mediates immune subversion in relation to CR1 [51]. This may suggest that a vulnerability-axis exists, within a protein region (e.g. CR1), which is exploited by both genetic defects and pathogens like *P. gingivalis*.

358

# 359 CD33

| 360 | CD33 appears to have an important role in $A\beta$ clearance and                |
|-----|---|
| 361 | other neuroinflammatory pathways in the brain aided by                          |
| 362 | microglia [49]. CD33 belongs to an immunoglobulin (Ig)-like                     |
| 363 | family of receptors that are expressed on myeloid cells                         |
| 364 | including microglia [52, 53]. CD33 binds to alpha2-3- or                        |
| 365 | alpha2-6-linked sialic acids (N-acetyl neuraminic acid) to                      |
| 366 | which <i>P. gingivalis</i> also binds [54]. Sialylation of <i>P. gingivalis</i> |
| 367 | cell surface components such as LPS may provide additional                      |
| 368 | benefits to this prominent periodontal pathogen in biofilm                      |
| 369 | formation and in escaping complement mediated killing [55].                     |
| 370 | CR1 is highly expressed on CD33+ cells which facilitate <i>P</i> .              |
| 371 | gingivalis binding to it and is also a general clearance receptor               |
| 372 | for pathogens [56]. However, P. gingivalis is either able to                    |
| 373 | cleave CD33 from surface membrane of cells or down-regulate                     |
| 374 | functional cell surface receptors on myeloid cells. If this was                 |
| 375 | the case, then the CD33 receptor expression would be affected                   |
| 376 | in a similar way on microglia.  |
| 377 |   |

#### 378 *TREM-2* gene

379 The *TREM-2* gene codes for a protein in the brain that is

380 expressed on microglial cells and is also involved in removing

381 degenerated tissue, including remnants from

neuroinflammation [57]. The TREM-2 protein has been found 382 to increase the susceptibility to AD, with an odds ratio similar 383 to that of the apolipoprotein  $\varepsilon 4$  allele [58, 59]. TREM-2 384 deficiency inhibited A<sup>β</sup> degradation in a primary microglial 385 culture and in a mouse brain model [60]. Interestingly, P. 386 387 gingivalis significantly down-regulated TREM-2 expression in microglia [61]. Lack of TREM-2 protein may accelerate aging 388 389 processes, neuronal cell loss and reduce microglial activity 390 leading to neuroinflammation [62]. 391

#### 392 TYR-OBP gene

*TYR-OBP* has been identified as a key regulator among genes
involved in phagocytosis [63]. It is a key signaling molecule for *TREM-2*, as determined from networks involved in immune
and microglia-specific modules disrupted in AD brains 63].
The association of this gene defect with *P. gingivalis* activity is
little understood. Further research is needed to clarify if *P. gingivalis* can affect *TREM-2* signaling through *TYR-OBP*.

# 401 **5.b. Complement activation**

402 P. gingivalis has been proposed to exploit complement receptors 1 and 3 for evading innate immune clearance [64, 65]. 403 404 Active invasion of *P. gingivalis*-induced complement activation in ApoE<sup>-/-</sup> mice brains has been investigated [25]. Microglia in 405 406 both infected (*P. gingivalis*, oral infection) and control groups 407 exhibited strong intracellular labeling with complement 408 components/opsonins from C3 and C9, due to on-going 409 biosynthesis and activation. Further, Poole et al. [25] showed that *P. gingivalis* was able to access the Apo $E^{-/-}$  brain and 410 411 contribute to development of AD inflammatory pathology 412 through mechanisms involving acute-phase proteins, cytokines and the complement cascade where neurons would be attacked. 413 414 It has since been shown that ApoE binds to activated C1q and

- that the resulting C1q-ApoE complex becomes a common 415 player to affect brain inflammation [66]. Thus, inappropriate 416 complement activity plays a significant role in AD 417 pathophysiology. Interestingly, treatment with small interfering 418 RNA (siRNA) against C5, which is formed in all complement 419 420 pathways, attenuated Aβ-associated microglia accumulation 421 [66]. As mentioned, microglia and the complement-dependent 422 pathway can over-prune functional synapses and lead to 423 memory loss [44].
- 424

# 425 **5.c.** Activation by lipopolysaccharide

426 LPS is one of the major virulence factors of *P. gingivalis*. 427 Several animal studies have shown that LPS administered 428 directly into the peritioneum of the brain initiates neuroinflammation in the form of microglial cell activation 429 [e.g., 67]. Researchers measured the inflammatory response 430 following LPS administration in experimental mice and this 431 demonstrated learning and memory impairment in test mice 432 [68, 69]. In the Cunningham study [67] the microglial cells 433 434 were "primed" so that they induced increased inflammatory responses to subsequent LPS challenges. 435 In a study by Henry et al. [70] peripheral LPS challenge 436 437 in aged mice induced a hyperactive microglial response together with a higher induction of inflammatory IL-1 $\beta$  and 438 439 anti-inflammatory IL-10. Injection of LPS caused a marked

induction of mRNA expression of both IL-1 $\beta$  and IL-10 in the

441 cortex of aged mice as compared to adults. An age-dependent

- 442 increase in the major histocompatibility complex (MHC) class
- 443 II mRNA and protein expression were also seen in microglia,
- 444 suggesting their activated status. Other studies have indicated
- that, peripheral injection of *P. gingivalis* LPS also causes a
- higher increase in IL-1 $\beta$ . Interestingly, the most prominent
- 447 induction of IL-1 $\beta$  was detected in MHC II (+) microglia from

| 448   | aged mice [71]. In another study Zhang et al. [72] found that <i>P</i> .   |
|---|--|
| 449   | gingivalis LPS induced cognitive dysfunction, mediated by  |
| 450   | neuronal inflammation via activation of the TLR4 signaling   |
| 451   | pathway in C57BL/6 mice. Both microglia and astrocytes in the  |
| 452   | cortex and hippocampus were activated. Accordingly, age-   |
| 453   | associated priming of microglia seems to have a central role in  |
| 454   | exaggerated inflammation induced by activation of the  |
| 455   | peripheral immune system. IL-1 $\beta$ is also implicated in synaptic  |
| 456   | loss [73, 74], promoting deterioration in cognition [44] by  |
| 457   | stimulating $A\beta$ cleavage indirectly from the action of cathepsin  |
| 458   | B on the APP with its cognate receptor (IL-1R) on neurons  |
| 459   | [71]. Last, but not least, P. gingivalis LPS has been reported in  |
| 460   | the human brain, thus suggesting it might activate brain   |
| 461   | microglia participating in brain inflammation [4]. This idea was   |
| 462   | supported in an 18-h <i>in vitro</i> stimulation study with ultrapure <i>P</i> .   |
| 463   | gingivalis LPS in rats that resulted in classical and alternative  |
| 464   | activation of rat brain microglia and the concomitant release of   |
| 465   | cytokines and chemokines [75].   |
| 466   | Microglia, being influenced by their environment, can  |
| 467   | assume a diversity of phenotypes and can change functions  |
| 468   | aimed to maintain homeostasis. Like their macrophage   |
| 469   | united to munitum noncostusis. Like then macrophage  |
|   | "cousins", microglia show unique features with regard to   |
| 470   | "cousins", microglia show unique features with regard to<br>phenotype polarization. As mentioned, they can be stimulated   |
| 470<br>471  | "cousins", microglia show unique features with regard to<br>phenotype polarization. As mentioned, they can be stimulated<br>by LPS and IFN-γ to develop into an M1 phenotype for   |
| 470<br>471<br>472   | "cousins", microglia show unique features with regard to<br>phenotype polarization. As mentioned, they can be stimulated<br>by LPS and IFN- $\gamma$ to develop into an M1 phenotype for<br>expression of proinflammatory cytokines, or by IL-4/IL-13 to   |
| 470<br>471<br>472<br>473  | "cousins", microglia show unique features with regard to<br>phenotype polarization. As mentioned, they can be stimulated<br>by LPS and IFN- $\gamma$ to develop into an M1 phenotype for<br>expression of proinflammatory cytokines, or by IL-4/IL-13 to<br>an M2 phenotype for resolution of inflammation and tissue  |
| 470<br>471<br>472<br>473<br>474   | "cousins", microglia show unique features with regard to<br>phenotype polarization. As mentioned, they can be stimulated<br>by LPS and IFN- $\gamma$ to develop into an M1 phenotype for<br>expression of proinflammatory cytokines, or by IL-4/IL-13 to<br>an M2 phenotype for resolution of inflammation and tissue<br>repair [76]. Whether <i>P. gingivalis</i> -LPS has this capacity is not   |
| 470<br>471<br>472<br>473<br>474<br>475                                    | "cousins", microglia show unique features with regard to<br>phenotype polarization. As mentioned, they can be stimulated<br>by LPS and IFN- $\gamma$ to develop into an M1 phenotype for<br>expression of proinflammatory cytokines, or by IL-4/IL-13 to<br>an M2 phenotype for resolution of inflammation and tissue<br>repair [76]. Whether <i>P. gingivalis</i> -LPS has this capacity is not<br>known. <i>P. gingivalis</i> causes an imbalance in M1/M2 activation  |
| 470<br>471<br>472<br>473<br>474<br>475<br>476                             | "cousins", microglia show unique features with regard to<br>phenotype polarization. As mentioned, they can be stimulated<br>by LPS and IFN- $\gamma$ to develop into an M1 phenotype for<br>expression of proinflammatory cytokines, or by IL-4/IL-13 to<br>an M2 phenotype for resolution of inflammation and tissue<br>repair [76]. Whether <i>P. gingivalis</i> -LPS has this capacity is not<br>known. <i>P. gingivalis</i> causes an imbalance in M1/M2 activation<br>in macrophages, resulting in a hyperinflammatory environment  |
| 470<br>471<br>472<br>473<br>474<br>475<br>476<br>477                      | "cousins", microglia show unique features with regard to<br>phenotype polarization. As mentioned, they can be stimulated<br>by LPS and IFN- $\gamma$ to develop into an M1 phenotype for<br>expression of proinflammatory cytokines, or by IL-4/IL-13 to<br>an M2 phenotype for resolution of inflammation and tissue<br>repair [76]. Whether <i>P. gingivalis</i> -LPS has this capacity is not<br>known. <i>P. gingivalis</i> causes an imbalance in M1/M2 activation<br>in macrophages, resulting in a hyperinflammatory environment<br>that promotes the pathogenesis of periodontitis [77]. These   |
| 470<br>471<br>472<br>473<br>474<br>475<br>476<br>477<br>478               | "cousins", microglia show unique features with regard to<br>phenotype polarization. As mentioned, they can be stimulated<br>by LPS and IFN- $\gamma$ to develop into an M1 phenotype for<br>expression of proinflammatory cytokines, or by IL-4/IL-13 to<br>an M2 phenotype for resolution of inflammation and tissue<br>repair [76]. Whether <i>P. gingivalis</i> -LPS has this capacity is not<br>known. <i>P. gingivalis</i> causes an imbalance in M1/M2 activation<br>in macrophages, resulting in a hyperinflammatory environment<br>that promotes the pathogenesis of periodontitis [77]. These<br>authors reported that <i>P. gingivalis</i> or <i>P. gingivalis</i> -derived LPS  |
| 470<br>471<br>472<br>473<br>474<br>475<br>476<br>477<br>478<br>479        | "cousins", microglia show unique features with regard to<br>phenotype polarization. As mentioned, they can be stimulated<br>by LPS and IFN-γ to develop into an M1 phenotype for<br>expression of proinflammatory cytokines, or by IL-4/IL-13 to<br>an M2 phenotype for resolution of inflammation and tissue<br>repair [76]. Whether <i>P. gingivalis</i> -LPS has this capacity is not<br>known. <i>P. gingivalis</i> causes an imbalance in M1/M2 activation<br>in macrophages, resulting in a hyperinflammatory environment<br>that promotes the pathogenesis of periodontitis [77]. These<br>authors reported that <i>P. gingivalis</i> or <i>P. gingivalis</i> -derived LPS<br>induced inflammatory responses that enhanced M1   |
| 470<br>471<br>472<br>473<br>474<br>475<br>476<br>477<br>478<br>479<br>480 | "cousins", microglia show unique features with regard to<br>phenotype polarization. As mentioned, they can be stimulated<br>by LPS and IFN-γ to develop into an M1 phenotype for<br>expression of proinflammatory cytokines, or by IL-4/IL-13 to<br>an M2 phenotype for resolution of inflammation and tissue<br>repair [76]. Whether <i>P. gingivalis</i> -LPS has this capacity is not<br>known. <i>P. gingivalis</i> causes an imbalance in M1/M2 activation<br>in macrophages, resulting in a hyperinflammatory environment<br>that promotes the pathogenesis of periodontitis [77]. These<br>authors reported that <i>P. gingivalis</i> or <i>P. gingivalis</i> -derived LPS<br>induced inflammatory responses that enhanced M1<br>macrophages and suppressed M2 macrophages, even in the |

- 482 reduce inflammatory damage and promote microglia
- 483 polarization to the M2 phenotype in LPS-induced
- 484 neuroinflammation [78].
- 485

# 486 5.d. Transduction of inflammatory signals to microglia by

# 487 leptomeningeal cells

- 488 The leptomeninges (pia mater and the arachnoid together 489 housing the brain and spinal cord) plays a role as secretory cells, which transduce systemic inflammatory signals into the 490 491 CNS [79-81]. In studies by Liu et al. [82] and Wu and Nakanishi [83] leptomeningeal cells transduced inflammatory 492 493 signals from peripheral macrophages to brain-resident 494 microglia exposed to P. gingivalis LPS. The mean amount of TNF- $\alpha$  and IL-1 $\beta$  after exposure to conditioned medium from 495 P. gingivalis LPS-stimulated macrophages were significantly 496
- 497 higher than after treatment with *P. gingivalis* LPS alone. This
- 498 indicated that leptomeningeal cells could transduce
- 499 inflammatory signals to microglia in the deeper brain areas,
- 500 which in turn initiated neuroinflammation.
- 501

## 502 5.e. Porphyromonas gingivalis DNA in brain microglia

- 503 Repeated chronic oral administration of *P. gingivalis* in wild
- 504 type mice transferred *P. gingivalis* to the brain where the

505 bacterium and its proteases (gingipains) were detected within

- 506 intra-nuclear and peri-nuclear locations of microglia,
- astrocytes, neurons, and extracellular spaces [8]. Microgliosis
- and astrogliosis were found in the experimental but not in the
- 509 control group, and significantly higher levels of expression of
- 510 IL6, TNF- $\alpha$  and IL-1 $\beta$  were detected in the experimental group.
- 511 Also, neurodegeneration was more evident in the experimental
- 512 group. Extracellular A $\beta$ 42 was detected in the parenchyma of
- the experimental group but not in controls. This was the first

report of p-Tau (Ser396) and NFT formation. Ilievski et al. [8] 514 have proven the concept that chronic periodontal infection can 515 result in the formation of the diagnostic neuropathology lesions 516 consistent with AD. Haditsch et al. [9], confirmed the findings 517 of Ilievski et al. [8] for p-Tau on Ser396 and additionally 518 519 demonstrated an increased tau phosphorylation at Thr231 520 following P. gingivalis infection with persistent gingipain 521 expression with ongoing neurodegeneration.

522

### 523 6. Concluding remarks

GWAS have indicated that genes, pathogens and the immune 524 525 system act together to generate AD. In addition, 526 neuroinflammation plays a pivotal role and this has made 527 scientist's ask the question if AD is an infectious disease. In this complex interaction of different players, microglia seem to 528 be important in the host defense against invasion of the 529 530 keystone periodontopathogen P. gingivalis. The latter may affect microglia in both direct and indirect ways. Whether other 531 putative periopathogens and even intestinal bacteria also affect 532 microglia of the AD brain remain to be tested. Astrocytes, 533 534 which are macroglia, can also be activated by *P. gingivalis*. 535 Such activation may have toxic effects on neurons. The chronic nature of low-level infections such as "chronic" periodontitis 536 and associated byproducts, e.g. endo/exotoxins and cytokines 537 538 could affect susceptible brains' defense capacity to a point where microglia involved in brain protection become adversely 539 affected. Whether microglia will "remember" inflammation 540 caused by P. gingivalis and develop "tolerance" to it, requires 541 further research. However, it is plausible to suggest that once 542 microglia are primed by *P. gingivalis* exposure, there remains 543 the possibility of developing tolerance through the mastery of 544 innate immunity manipulation by this bacterium, which may be 545

- the result of inadequate clearance of cellular debris  $(A\beta)$  from
- 547 the AD brain.
- 548

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- 555

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- 558

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| Factor          | Mechanism   | Re      |
|-----------------|---|---------|
| Gingipains      | Inhibitors of gingipains are being tested for reducing p        | -Tau    |
|                 | toxicity in man   |         |
|                 | Persistent expression of gingipains in P.ginfected neu          | I-      |
|                 | rons gave AD-like neurodegeneration                             |         |
|                 | Secreted gingipains from <i>P.g.</i> induced microglia migrated | tion    |
|                 | Inhibitors of Rgp and Kgp suppressed P.ginduced mi              | cro-    |
|                 | glia migration  | 1       |
| Matrix metalle  | )-  |         |
| proteinases (M  | IMPs)P.g. can induce synthesis of MMPs in host tissues and      | cells 1 |
|                 | <i>P.g.</i> may contribute to the brain pool of MMPs            | 4,      |
| Inhibitors of N | IMPs  |         |
| (TIMPs)         | P.g. inactivated TIMP-1 and TIMP-2 causing destructi            | on      |
|                 | of connective tissue  |         |
| Clusterin gen   | e Clusterin is a complement cascade regulatory plasma           |         |
|                 | protein. P.g. phosphorylated its ser396 in mice                 |         |
| CR1 gene        | CR1 regulates complement cascade. P.g.causes immun              | e       |
|                 | subversion in relation to CR1                                   | 5       |
| CD33            | Belongs to an Ig-like family of receptors expressed on          |         |
|                 | microglia.CR1 and is highly expressed on CD33+ cells            | to      |
|                 | which <i>P. g.</i> binds  | 5       |
| TREM-2 gene     | Codes for a protein expressed on microglia. P.g. down-          | regu-   |
|                 | lates TREM-2 expression on microglia which may acc              | ele-    |
|                 | rate AD   | 57, 5   |
| TYR-OBP gen     | e Key signaling molecule for <i>TREM-2</i>                      | 6.      |
| Complement      | <i>P.g.</i> initiated AD inflammation involving the comple-     |         |
|                 | ment cacade of ApoE-/- brains                                   | 2       |
| LPS             | Initiates neuroinflammation through microglia activation        | on 6    |
|                 | Migroglia were "primed" inducing increased responses            | s to    |
|                 | subsequent challenges   | 6       |
|                 | When located in brains microglia can be activated by            |         |
|                 | P.g. LPS  | 4, 7    |
|                 | <i>P.g.</i> causes imbalance in the M1/M2 phenotype of          |         |
|                 | microglia   | 7       |
| Leptomeninge    | al  |         |
| cells           | <i>P.g.</i> LPS stimulated transfer of inflammatory signals fi  | rom     |

| 930        | peripheral macrophages to brain-resident microglia 82,83                |
|------------|---|
| 931        | Administration of <i>P.g.</i> to mice caused <i>P.g.</i> and its prote- |
| 932        | ases to be detected intra- and perinuclear in microglia 8               |
| 933        |   |
| 934        |   |
| 935        |   |
| 936<br>937 |   |
| 938        |   |

- 939 Figure 1. Brain tissue showing microglia responding to
- 940 infection in a mouse model and to  $A\beta$  plaque in a brain tissue
- 941 section from Alzheimer's disease.

#### Figure 1



- 943 a) Confocal image. Brain tissue showing microglia (white
- arrows) following mono-*P. gingivalis* infection (24 weeks)
- 945 from an apolipoprotein  $E^{-/-}$  mouse brain immunolabelled to
- 946 demonstrate microglia (anti-1ba1); Blue = DAPI; Red =
- 947 TRITC label for immunopositive microglia.
- **b**) Immunohistochemistry. Double labelling of a cortical human
- 949 AD brain tissue section showing activated microglia brown
- 950 (anti-HLADR) demarcated by black arrows, and A $\beta$  plaque
- 951 (anti-A $\beta$ ) blue (white arrow head) to demonstrate their
- 952 relationship.