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1	Calving and estrus detection in dairy cattle using a combination of indoor
2	localization and accelerometer sensors
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14	331 49 08.
15	ABSTRACT
16	Accelerometers (neck- and leg-mounted) and ultra-wide band (UWB) indoor localization
17	sensors were combined for the detection of calving and estrus in dairy cattle. In total, 13

pregnant cows and 12 cows with successful insemination were used in this study. Data were collected two weeks before and two weeks after delivery for calving. Similarly, data were collected two weeks before and two weeks after artificial insemination (AI) for estrus. Different cow variables were extracted from the raw data (e.g., lying time, number of steps, ruminating time, travelled distance) and used to build and test the detection models. Logistic regression models were developed for each individual sensor as well as for each combination of sensors
(two or three) for both calving and estrus. Moreover, the detection performance within different
time intervals (24h, 12h, 8h, 4h, and 2h) before calving and AI was investigated.

In general, for both calving and estrus, the performance of the detection within 2-4 hours was 26 lower than for 8h-24h. However, the use of a combination of sensors increased the performance 27 for all investigated detection time intervals. For calving, similar results were obtained for the 28 detection within 24h, 12h, and 8h. When one sensor was used for calving detection within 24-29 8h, the localization sensor performed best (Precision (Pr) 73-77%, Sensitivity (Se) 57-58%, 30 Area under curve (AUC) 90-91%), followed by the leg-mounted accelerometer (Pr 67-77%, Se 31 54-55%, AUC= 88-90%) and the neck-mounted accelerometer (Pr 50-53%, Se 47-48%, AUC= 32 86-88%). As for calving, the results of estrus were similar for the time intervals 24h-8h. In this 33 case, similar results were obtained when using any of the three sensors separately as when 34 combining a neck- and a leg-mounted accelerometers (Pr 86-89%, Se 73-77%). For both 35 calving and estrus, the performance improved when localization was combined with either the 36 neck- or leg-mounted accelerometer, especially for the sensitivity (73-91%). Finally, for the 37 detection with one sensor within a time interval of 4h or 2h, the Pr and Se decreased to 55-65% 38 and 42-62% for estrus and to 40-63% and 33-40% for calving. However, the combination of 39 localization with either leg or neck-mounted accelerometer as well as the combination of the 40 three sensors improved the Pr and Se compared to one sensor (Pr 72-87%, Se 63-85%). This 41 study demonstrates the potential of combining different sensors in order to develop a multi-42 functional monitoring system for dairy cattle. 43

Keywords: Accelerometer, ultra-wide band (UWB) localization system, dairy cow, calving and
estrus detection, precision livestock farming.

### 46 **1. INTRODUCTION**

The profitability of dairy farms depends greatly on the reproduction efficiency of the dairy cows 47 (Saint-Dizier and Chastant-Maillard, 2018). Therefore, timely and accurate detection of estrus 48 and calving events are of paramount importance for farmers. To detect calving and estrus in 49 50 high density livestock farms, farmer increasingly rely on automated systems using sensors (e.g., accelerometer, pedometer, pressure sensor that measures the weight of the legs, thermometer, 51 etc.) for the collection and the interpretation of animal data. Several studies have investigated 52 the use of sensors for calving and estrus detection in dairy cattle. For example, Jensen (2012) 53 used a commercially available accelerometer attached to the hind leg (IceTag 3D, IceRobotics) 54 to record changes in the number of lying bouts and in overall activity within the pre-calving 55 56 period. Maltz and Antler (2007) reported that 10 out of 12 calving events were successfully detected within 24 h before occurrence based on an algorithm associating lying time, daily 57 numbers of steps, and their ratio to calving moment. In another study (Zehner et al., 2019), a 58 Naïve Bayes classifier model was used for calving prediction with an ingestive behavior 59 monitoring device (RumiWatch noseband sensor, Agroscope, Ettenhausen, Switzerland and 60 Itin+Hoch GmbH, Liestal, Switzerland). As a conclusion, the sensitivity (69-82%) and 61 specificity (86-87%) of the predictive model were satisfying, but the positive predictive value 62 (precision) was low (3-4%) and the amount of false positive alerts was considerably high. In 63 addition, activity sensors (e.g., accelerometers) were used to measure feeding and ruminating 64 time as indicators of time of calving. Schirmann et al., (2013) documented that cows spend, on 65 average, 63 min less time ruminating and 66 min less time feeding in the 24-h period before 66 calving. Ruminating and feeding time continued to decline after calving by on average 133 and 67 82 min, respectively, as compared with the baseline. In another study (Borchers et al., 2017), 68 the combination of two activity sensors (HR Tag (SCR Engineers Ltd., Netanya, Israel) and 69 IceQube (IceRobotics Ltd., South Queensferry, United Kingdom)) for the prediction of the 70

calving moment based on the neck activity, number of steps, the lying time, the standing time, 71 and the lying bouts, yielded a sensitivity of 82.8% and a specificity of 80.4%. Similarly, several 72 studies have used a variety of sensors (activity meters, video cameras, recordings of 73 vocalization, measurements of body temperature and milk progesterone concentration) for 74 estrus detection (Burnett et al., 2018; Dolecheck et al., 2015; Reith and Hoy, 2018; Saint-Dizier 75 and Chastant-Maillard, 2018; Schweinzer et al., 2019). On the basis of their review, Reith and 76 Hoy (2018) recommended to give highest priority to the detection based on sensor-supported 77 activity monitoring (e.g., accelerometers) as being most successful tools for automated estrus 78 detection. 79

However, most research efforts and currently available systems focus on one specific function 80 (e.g., calving or estrus) by using one sensor, which requires the farmer to buy and integrate 81 different systems from different providers on the farm depending on the purpose. This is 82 impractical as it increases the deployment, training, and maintenance costs. Meanwhile, the 83 increasing availability of positioning systems based on small devices unlock the potential of 84 using real-time animal location data for the benefit of cow and farmer. In addition to detecting 85 multiple behaviors and activities of individual cows individual activity (e.g., lying time, 86 drinking time, travelled distance), location data could provide information about social 87 interactions and contacts between cows, which is relevant for assessing cow reproduction and 88 welfare (Van Nuffel et al., 2015). Although recent studies (Homer et al., 2013; Porto et al., 89 2014; Tullo et al., 2016) have started to involve positioning data for the monitoring of dairy 90 cows, localization sensors have not yet been combined with neck- and leg-mounted 91 accelerometers for calving and estrus detection. This combination would likely increase the 92 detection accuracy by expanding the range of predictor variables and allow automated alerting 93 the farmer to a wider range of issues that require his action or attention as compared to systems 94

95 based on one sensor only. Moreover, smartly combining of multiple sensors may considerably 96 reduce the power consumption as compared to each sensor operating independently of one 97 another. For example, when detecting a cow in lying down position by the leg-mounted 98 accelerometer, the localization sensor could be turned-off until detecting the cow is changing 99 position. This could save more than 50 % of the energy of the position monitoring, since cows 100 spend 12 to 14 hours per day lying down (Gomez and Cook, 2010).

In this study, ten cow variables were extracted from three sensors (a neck-mounted 101 accelerometer, a leg-mounted accelerometer and a localization sensor). Three variables were 102 extracted from each accelerometer (i.e., ruminating time, feeding time, and resting time from 103 the neck-mounted accelerometer, and lying time, lying bouts, and number of steps from the leg-104 105 mounted accelerometer), and four variables were extracted from the localization data (i.e., travelled distance, time in cubicles, time in feeding zone, time in drinking zone). These 106 variables were reported as good predictors for calving and/or estrus detection (Borchers et al., 107 108 2017; Jónsson et al., 2011; Rutten et al., 2017). The aim was to test and compare the performance of detecting estrus and calving using different sensors combinations for different 109 detection time intervals (24h, 12h, 8h, 4h, and 2h) before AI and calving. This work is the first 110 to investigate combining a neck-mounted accelerometer, a leg-mounted accelerometer, and a 111 localization sensor for the detection of both calving and estrus events. 112

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### **2. MATERIALS AND METHODS**

2.1 Animals and housing

In total, 13 pregnant Holstein cows (parity  $3.0 \pm 1.1$ ) and 12 cows (different to the pregnant cows) with successful insemination (parity  $2.8 \pm 1.3$ ) were used for the detection of calving and estrus events respectively. The cows were housed with other cows (average size of the group is 30 cows) in the free-stall barn of the Flanders Research Institute for Agriculture, Fisheries and Food (ILVO), Melle, Belgium. The barn contains four areas of 30 m long and 13 m wide each, with individual cubicles and a concrete slatted floor. The cubicles (n = 32) were bedded with a lime-straw-water mixture. The cows were fed roughage ad libitum. The concentrates were supplied by computerized concentrate feeders. Drinking water (two troughs per group) was available ad libitum. This study was conducted between September 2017 and April 2018.

#### 125

#### 2.2 Sensors

Each cow was fitted with three sensors: a localization node, a leg-mounted accelerometer (right 126 hind leg), and a collar-mounted accelerometer (Figure 1-a). For the localization data, an 127 OpenRTLS ultra-wide band (UWB) localization system (DecaWave, Ireland) was installed in 128 the barn using 7 anchors (including the master anchor, see Figure 2). The OpenRTLS system 129 is built around the DW1000 chip from Decawave. This chip is able to very precisely measure 130 the time of flight of a radio signal between a receiver and a transmitter. This results in a very 131 accurate distance measurement which enables tagged objects to be located both indoor and 132 outdoor. The localization measurements are based on time difference of arrival (TDoA) and 133 Two-Way Ranging (TWR), which does not require tight synchronization between the anchors 134 to work. The sampling rate of the localization system was set at 2 Hz to enable a logging interval 135 of about 4 weeks. Accuracy measurements were performed prior to the trial proper. A 136 localization node was put in 46 different locations in the cubicles and the alley. Then, a 137 comparison was made between the actual locations (based on the barn map, Figure 2) and 138 locations estimated by the localization system. The accuracy is defined as the Euclidean 139 distance between the estimated location and the ground truth location. The mean and median 140 accuracy were 38±8 and 34±5 centimeters. The precision between estimated locations of a static 141 tag (standard deviation) was 23.1 cm (averaged over the 46 static locations). 142

On the other hand, the acceleration data (i.e., 3 orthogonal accelerometer vectors) were logged with a sampling rate of 10 Hz (10 samples each second) using Axivity AX3 loggers (Axivity Ltd, Newcastle, UK). The orientation of the accelerometers is shown in Figure 1 (b-c). This orientation was respected for all cows. The clocks of the localization system and the accelerometers were synchronized at the start of data collection. We note that in the current study, the accelerometer data are stored in the loggers, but in a real deployment, these data and the localization data will be transmitted in real-time to the backend system.

150 <Figure 1>

151 <Figure 2>

## 152 2.3 Data collection procedure

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2.3.1 Data collection for calving.

Cows were selected based on the expected calving dates using the cow calendar (date of 154 insemination) and direct observations by ILVO trained farm staff. The pregnant cows were 155 dried off 60±3 days before the expected calving date and moved into the dry pen (one of the 156 four housing areas in the barn) immediately after last milking and into the pre-partum pens 157 (9x5 m2) 3 days before the expected calving date. No assistance was provided during calving 158 for any of the focal cows. The sensors were attached 2 weeks before the expected day of calving 159 and removed 2 weeks after calving. The approximate time of calving (day and hour) was 160 recorded by the farm staff as the calf start to expel from the birth canal to the ground. 161

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# 2.3.2 Data collection for estrus.

163 The sensors were attached 2 weeks before the expected day of estrus and removed 2 weeks after 164 AI (based on the last unsuccessful insemination or the last calving day). Decisions about 165 standing estrus were made by the ILVO trained staff. Not all inseminations were associated with real estruses as insemination might be performed on the basis of false alert or erroneous
interpretation of a cow's behavior. Therefore, to ensure that the data-set was based on true cases
of estrus, only data from periods around inseminations that led to confirmed pregnancy were
used in this study. From 15 cows, 12 cows with successful insemination were used to create the
dataset.

- 171 2.4 Data processing
- The data processing was performed using MATLAB software (Release 2018b, TheMathWorks, Inc., Natick, Massachusetts, United States).
- 174

### 2.4.1 Processing of accelerometer data.

In total, three variables were extracted from each accelerometer (i.e., hourly ruminating time, 175 feeding time, and resting time from the neck-mounted accelerometer, and hourly lying time, 176 lying bouts, and number of steps from the leg-mounted accelerometer). The data of the neck-177 mounted accelerometer were used to obtain ruminating, feeding, and resting times based on the 178 behavior classification algorithms presented and validated in (Benaissa et al., 2018) as follows: 179 the sum of the time intervals of a certain behavior was considered as the time spent in this 180 behavior (e.g., the sum of the intervals classified as ruminating was considered as ruminating 181 time). We note here that resting behavior is when the cow has a static position (inactivity), i.e., 182 either standing or lying. Lying bouts and lying time were extracted from the leg-mounted 183 accelerometer as presented in (Ito et al., 2009). Finally, a simple k-Nearest Neighbors (kNN, 184 Vázquez Diosdado et al., 2015) algorithm was developed and validated beforehand to count the 185 number of steps based on the data of the leg-mounted accelerometer. The algorithm was 186 validated again during the calving and estrus data collection experiments using direct 187 observation (accuracy of 97 % compared to direct observations. 188

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### 2.4.2 Processing of localization data.

The localization data was calculated based on raw OpenRTLS UWB distance measurements 190 between the mobile node and the fixed anchors, and a Viterbi-based tracking algorithm, a 191 technique related to Hidden Markov Models and backward belief propagation (Trogh et al., 192 2015). The parameters derived from these localization data are listed in Table 1 (first two 193 columns). The travelled distance is the sum of all distances that are labelled as walking, along 194 the trajectory. A distance between two location updates is labelled as such if the travelled 195 196 distance exceeds a threshold within a certain interval. The lower limit of this interval is to remove small jumps around the same location (as a result of location inaccuracies instead of 197 real movement). The upper limit of this interval is to remove outliers. The threshold and the 198 199 lower and upper limits of the interval are based on the time between two location updates, a maximum speed, and confidence in the measurement. The lower interval was set at 1 m, which 200 is sufficient to remove the influence of noise (the precision of the UWB system was 23.1 cm). 201 The upper limit is based on the time difference between two location updates and the moving 202 speed, i.e., cows in a barn will not go faster than a maximum walking speed, e.g., 1.4 m/s 203 204 (Alsaaod et al., 2017; Chapinal et al., 2009). When a cow is located within the lying zone, e.g. the cubicles (red rectangles in Figure 2), a first timer is started. When this timer exceeds a hold-205 off time (i.e., 1 minute), the real lying down timer starts. The purpose of the first timer is to 206 207 remove false positives (e.g., when a cow is falsely located in the boxes for a short time). The timer stops when the cow is located outside the boxes for the same hold-off time. The time at 208 the drinking zone and feeding zone were calculated with the same procedure as time in lying 209 cubicles but with another zone label. These zones are rectangles (or more generally polygons) 210 that have to be specified once and can be drawn on the floor plan or defined in a text document. 211 The default hold-off time (i.e., 1 min) is the same for all zones but can be configured for each 212 zone separately. In total, four variables were extracted from the localization data for each one-213

hour time interval (i.e., travelled distance, time in cubicles, time in feeding zone, time in
drinking zone). We note that this approach based on the zones presents some limitations. For
example, a cow could be standing in the cubicles and this would be recorded as lying down.
Also, it is difficult to obtain reliable values of the time spent in small areas (e.g., drinking
troughs).

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### 2.4.3 Missing localization data.

For the two accelerometers, the data is stored on the sensors. Therefore, no accelerometer data 220 were missing. However, the localization data contained intervals with missing values (no signal 221 reception). A time interval (i.e., 1 hour) with localization data less than 90% was considered as 222 a missing interval. Since the analysis requires continuous series over time, missing time 223 intervals were imputed. The behavior of cows (e.g., travelled distance, lying time) was assumed 224 225 to show a diurnal pattern as described previously (Roelofs et al., 2005). Therefore, it was 226 assumed that a reasonable imputation could be achieved by substituting the missing data with the average of data of the same hourly interval from the previous days. As proposed in (Rutten 227 et al., 2017), a straightforward imputation algorithm that only uses data from preceding three 228 days was used. If data were unavailable for any of the three days, only the available days (one 229 or two) were used. In total 350 hourly intervals (4.1% of the total intervals) of 13 cows (calving 230 231 n=7, estrus n=6) were imputed by the described methodology. The number of imputations ranged from 1 to 37 hourly intervals. For the collected data, no missing intervals for three 232 consecutive days were recorded. Since the missing data were only 4.1 %, the imputation method 233 234 did not have a large effect of the cow variables.

- 235
- 2.5 Calving and Estrus Detection Models

236 2.5.1 L

2.5.1 Logistic Regression Models.

Since the aim was to build a model for binary classification (e.g., a cow is in estrus or not),
logistic regression was chosen. Also, logistic regression is widely adopted when interested in
the impact of various variables (variables from different sensors in this case) on a response
variable (Sperandei, 2014). Logistic regression models the probability of an event based on
individual variables by using the logit function given by (Sperandei, 2014):

242 
$$Logit(p) = \ln\left(\frac{p}{p-1}\right) = a_0 + a_1 * X_1 + a_2 * X_2 + \dots + a_N * X_N$$
 (1)

Where *p* indicates the probability of the event (e.g., calving), and  $a_i$  are the regression coefficients and  $X_i$  the model variables. From equation (1), the probability of the event is given by:

246 
$$p = \frac{1}{1 + e^{-(a_0 + a_1 * X_1 + a_2 * X_2 + \dots + a_N * X_N)}}$$
(2)

The prediction of the resulting logistic model ranges between 0 and 1 ( $0 \le p \le 1$ ) and can be interpreted as the probability that the cow is calving or in estrus. The dependent variable (i.e., event) is the binary variable "in calving" (1 = yes and 0 = no). Similarly, for estrus detection, the dependent variable is "in estrus" (1 = yes and 0 = no). The coefficients of the regression models ( $a_i$ ) are calculated based on a training set and then used to predict the events of the testing. In this study, the data of one cow were used as testing set and the data of the remaining cows were used as training set.

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### 2.5.2 Model Variables.

As described in Section 2.4, all variables (feeding time, number of steps, lying time, etc.,) were summarized in 1-h intervals. The 1-h intervals were adjusted for the actual AI (estrus) or the calving time (0 is the time of calving or AI). A 24-h moving average was applied to smooth the data as performed in (Borchers et al., 2017). To estimate the changes over time of the cow variables, each value of the calculated hourly variables  $Var_i(t)$ , with *i* indicates e.g., lying time, feeding time, etc. and *t* indicates the time interval, was subtracted from the mean value of the past 24 values of the same cow (i.e., 24 hours). The variables used for the logistic regression model (equation 2) were calculated then as follows:

263 
$$X_i(t) = Var_i(t) - \frac{1}{24} * \sum_{k=t-24}^{k=t-1} Var_i(k)$$
(3)

264

# 2.5.3 Performance Evaluation.

The calculated variables for each 1 hour time interval as well as the labels obtained from the 265 observation were used as inputs for the logistic regression models. Only data collected during 266 the 7 days before calving and AI were used for the detection models (the first week was a 267 habituation period), as the information that the event has already passed is not/less relevant. 268 Different detection time intervals were investigated (2, 4, 8, 12, 24 hours) as illustrated in Figure 269 3. For example, for 2h time interval, the 2 hours before calving and AI were considered as event 270 periods. The same was performed for 4, 8, 12, and 24h time intervals (Rutten et al., 2017; 271 Schirmann et al., 2013). Finally, to measure the performances of the detection models, the 272 leave-one-out cross validation strategy was used (Arlot and Celisse, 2010) to calculate the 273 precision (Pr), the sensitivity (Se), the specificity (Sp), the overall accuracy, and the area under 274 curve (AUC) from the ROC curve. The precision (Pr), the sensitivity (Se), and the specificity 275 (Sp) are defined as (Chawla, 2005): 276

$$Pr = \frac{TP}{TP + FP}$$
(4)

$$Se = \frac{TP}{TP + FN}$$
(5)

$$Sp = \frac{TN}{TN + FP} \tag{6}$$

280

Here, TP (true positive) is the number of instances where calving/estrus was correctly detected 281 by the logistic regression model during the detection time interval (See Figure 3). FN (false 282 negative) is the number of instances where no alters were generated by the model during the 283 detection time interval. FP (false positive) is the number of alerts generated by the model before 284 the detection time interval (i.e., non-estrus period/ before calving period). TN (true negative) is 285 286 the number of instances where no alerts were generated before the detection time interval. For each case (calving and estrus), the data of one cow were used as testing set and the data of the 287 remaining cows were used as training set. This was repeated for all cows in the data set and the 288 average precision, sensitivity, specificity, overall accuracy, and AUC were considered. 289

**3. RESULTS** 

291 3.1 Calving

For the neck-mounted accelerometer, the difference between the reference period and the day 292 prior calving was highest for ruminating time (a decrease of 21 %, P<0.01) followed by resting 293 time (a decrease of 14%, P<0.05), while feeding time did not change significantly (P=0.09) 294 (Table 1). For the leg-mounted accelerometer, the lying bouts increased by 90% (P<0.01), the 295 number of steps increased by 71% (P<0.01), but the daily lying time decreased by 28% 296 297 (P<0.01). Finally, for the localization sensor, both the travelled distance and the time in cubicles increased by 47% (P<0.01 and P=0.02, respectively). However, the time in the feeding zone 298 decreased by 17% (P=0.04) and the time in the drinking zone did not show a significant change 299 300 (P>0.05).

301 <Table 1>

Table 5.2 lists the detection performance for calving for different detection time intervals (i.e., 302 24h, 12h, 8h, 4h, and 2h). Similar results were obtained for the time intervals (TI) 24h, 12h, and 303 8h. In the case of 24-8h, when one sensor was used for the detection, the localization sensor 304 performed best (Pr 73-77%, Se 57-58%, AUC= 90-91%), followed by the leg-mounted 305 accelerometer (Pr 67-77%, Se 54-55%, AUC= 88-90%) and the neck-mounted accelerometer 306 (Pr 50-53%, Se 47-48%, AUC= 86-88%). With two sensors used for the detection, the 307 performance increased for all combinations. The best combination of two sensors was the 308 localization with the leg-mounted accelerometer (Pr 83-84%, Se 73-78%), or with the neck-309 mounted accelerometer (Pr 82-84%, Se 74-76%). Finally, the combination of three sensors 310 yielded the highest performance (Pr 84-88%, Se 79-85%). The specificity for all combinations 311 was between 95 and 98%. 312

Similar conclusions were obtained for time intervals 4h and 2h for the sensor combinations. However, the Pr and Se decreased to 40-63% and 33-40% for one sensor, 53-78% and 43-62% for two sensors, and 67-79% and 63-69% for three sensors. The values of the Sp were similar to TI 24-8h.

317 <Table 2>

### 318 3.2 Estrus

For the neck-mounted accelerometer, ruminating time decreased by 26 % (P<0.01) between the reference period and the day of AI (Table 1). Similarly, resting time decreased by 23 % (P<0.01). However, the 10% increase in feeding time was not significant (P>0.05). For the legmounted accelerometer, the lying time decreased by 38 % (P<0.01) and the number of steps increased by 95% (P<0.01). However, the change in lying bouts was not significant (P>0.05). Finally, for the localization sensor, the travelled distance increased by 92% and the time in cubicles decreased by 32% (P<0.05 and P=0.03, respectively). However, the time in drinking zone and feeding zone did not change significantly (P=0.2, P=0.1, respectively) between thereference period and the day of AI.

Table 5.3 lists the detection performance for estrus for different detection time intervals (i.e.,
24h, 12h, 8h, 4h, and 2h). For calving, similar results were obtained for the TI 24h-8h. However,
the performance decreased for TI 4h and 2h.

For TI 24h-8h, similar results were obtained when using any of the three sensors separately as 331 when combining a neck- and a leg-mounted accelerometer (Pr 86-89%, Se 73-77%). In these 332 cases, the values of the Sp and AUC varied between 91 and 95%. The performance improved 333 when localization was combined with either the neck- or leg-mounted accelerometer, especially 334 for the sensitivity (85-91%). As for calving, the best performance was obtained when 335 combining all three sensors. For TI 4h and 2h, the Pr and Se decreased to 55-65% and 42-62% 336 337 for one sensor as when combining a neck- and a leg-mounted accelerometer. However, the combination of localization with either leg or neck-mounted accelerometer as well as the 338 combination of three sensors improved the Pr and Se compared to one sensor (Pr 72-87%, Se 339 63-85%). The Sp and the AUC values for TI 4h-2h were similar to TI 24h-8h. 340

341 <Table 3>

### 342 **4. DISCUSSION**

We investigated the combination of two accelerometers (one attached to the hind leg and the other to the neck-collar) and a localization sensor for the detection of calving and estrus in dairy cattle. This would lead to the integration of different dairy cattle monitoring systems towards one multi-sensor multi-functional monitoring system. Moreover, the detection within different time intervals (24h, 12h, 8h, 4h, and 2h) before calving and AI was investigated. 348

4.1 Changes in the cow variables

Changes were observed in most of the recorded cow variables in the 24 hours before calving 349 compared to the reference period (i.e., six days before the day of calving). The daily lying bouts 350 and lying time was influenced by calving time, which corroborates the findings of (Jensen, 351 2012; Miedema et al., 2011a; Ouellet et al., 2016). In the present study, an increase of 10.4 352 lying bouts were found in the 24 hours before calving compared to the reference period. Our 353 354 result is higher than that measured in (Ouellet et al., 2016), but comparable to two other studies that observed 7 and 7.8 more lying bouts during the last 24-h before calving compared to 4 days 355 pre-calving, respectively (Jensen, 2012; Miedema et al., 2011a). Daily lying time decreased by 356 3.6 hours in the 24 hours before calving compared to the reference period. This was higher than 357 the values (52 min/24h) reported in (Ouellet et al., 2016). The change in feeding time was not 358 significant (P>0.05). This is in line with the results of (Miedema et al., 2011b), who stated that 359 the duration of feeding did not show significant changes (P=0.09) during the 24 hours before 360 calving. Ruminating time was decreased on the calving day by 21% compared with the 4 days 361 before calving, which is comparable to 16% reported by (Schirmann et al., 2013). The variation 362 of the results could be related to the different devices used to measure the ruminating time. 363 (Schirmann et al., 2013) used a neck-mounted acoustic sensor, whereas a neck-mounted 364 accelerometer was used in our study. The variation might be also due to the different housing 365 systems. Miedema et al. (2011) housed the cows in a large straw-bedded barn, and (Jensen, 366 2012) kept their cows in individual calving pens, also bedded with deep straw; and (Ouellet et 367 al., 2016) kept the cows in a tie-stall, which could explain the smaller increase in lying bouts 368 and lying time. 369

Similar to calving, most of the recorded cow variables changed significantly in the 24 hours
before AI. In comparison to other studies, Dolecheck et al. (2015) found that lying time
decreased during the estrus period by 58%. Time spent lying decreases around estrus because

of increased activity and restlessness (Jónsson et al., 2011). This explains also the decrease of 373 resting time. Ruminating time in our study decreased during estrus by 37%. Reith and Hoy 374 (2012) evaluated 265 estrus events, finding that ruminating time on the day of estrus decreased 375 by 17% (74 min), but with large variation between herds (14 to 24%). In a follow-up study that 376 looked at 453 estrous cycles, ruminating time decreased 20% (83 min) on the day of estrus 377 (Reith et al., 2014). Pahl et al. (2015) also found a decrease in ruminating time (19.3%) on the 378 day of AI. The decrease in ruminating time around estrus found in the current study (26%) is 379 comparable to previous studies. The change in feeding time was not significant, similar to the 380 conclusions reported by De Silva et al. (1981), who found no change in feed intake during the 381 3-d period around estrus. To our knowledge, no study has used cow variables from a 382 localization system such as time in feeding zone or time in cubicles to detect calving or estrus. 383

#### 384

### 4.2 Detection with one sensor

For the detection models, with one sensor used for calving detection, the sensitivity did not 385 exceed 68% and the precision did not exceed 77%. Lower performances (i.e., a sensitivity of 386 21.2-42.4%) were also reported in (Rutten et al., 2017), where a single sensor was used for 387 calving detection, meaning that automatic detection of calving is difficult using one sensor. The 388 performance of estrus detection with one sensor was higher than for calving, but still lower than 389 the combination of two or three sensors. By using a pedometer for estrus detection, (Holman et 390 al., 2011) reported lower sensitivity (63%) and precision (73%) compared to a sensitivity of 391 77% and a precision of 92% found by the leg-mounted accelerometer in this study. In the same 392 study, (Holman et al., 2011) reported lower sensitivity (59%) and a similar precision (93%) 393 compared to the present study (77% and 91%, respectively) by using a neck-mounted 394 accelerometer. 395

396

#### 2.3 Detection with a combination of sensors

When two sensors were used for detection, the best combination was leg-mounted 397 accelerometer + localization for calving, while the best combination was neck-mounted 398 accelerometer + localization for estrus. This could be due to the high increase of lying bouts 399 before calving (90 %), while it did not show a significant change during estrus. Although the 400 number of steps increased for both cases, this variable reports nearly the same information as 401 402 the travelled distance reported by the localization sensor. With a combination of two accelerometers for calving detection, Borchers et al. (2017) reported high sensitivity (72-82%) 403 compared to the current study (62%), which might be due to the use of a neural network 404 algorithm compared to a logistic regression model or other factors such as the number of 405 animals used (33 compared to 13 in this study). In a recent study by Ouellet et al. (2016), 406 rumination time, lying time and lying bouts were recorded from two accelerometers (one on the 407 ear tag and the other on the hind leg) and combined to predict calving events. For the detection 408 within 24 hours before calving, Ouellet et al. (2016) found a relatively similar sensitivity to the 409 combination of leg- and neck-mounted accelerometers in our study (57% versus 61%), but 410 lower specificity (57% versus 98%). This could be explained by the additional cow variables 411 extracted from the accelerometers in the present study (e.g., resting time, number of steps) 412 compared to their study. Finally, with three sensors, the precision increased to 87 % for calving 413 and 93 % for estrus and the sensitivity increased to 84% for calving and 90 % for estrus. The 414 use of a combination of sensors increases the number of cow variables that could change before 415 calving or during estrus. 416

For practical applications, because of the cost associated with missed events, larger specificity
values are more valued in estrus detection (Rutten et al., 2017). False positives (type I errors)
can cause financial losses through unnecessary AI. This is not applicable to calving prediction.
Identifying a non-calving cow as calving could cause unnecessary treatment or handling. False

negatives may be more costly with calving prediction, because systems do not detect actual 421 calving events. The consequences of missed calving events could be extremely detrimental 422 (e.g., dystocia, stillbirth, cow death). Therefore, if both factors cannot be concurrently obtained, 423 calving prediction methods should be more sensitive and less specific. From a deployment point 424 of view, in addition to the purchasing, maintenance, and processing costs, the use of a 425 monitoring system based on one sensor that generates many false alarms elevates the costs for 426 the farmer (e.g., wasted straws of semen, technician costs and time), frustrates the farmer, and 427 reduces his trust in the system. On the other hand, low sensitivity leads to miss the insemination 428 time and the imminent calving, which also decreases the reproduction efficiency of the dairy 429 430 farms. Therefore, it is crucial to have an alerting system with both very high sensitivity and precision. 431

432

### 4.4 Influence of the detection time interval

Detecting calving or estrus with one sensor was difficult for time intervals (TI) 2h and 4h 433 compared to 24-8h. However, the combination of sensors improved the model performance for 434 2h and 4h. Although the performance improved for large time intervals (24-8h), alerts two or 435 four hours before the start of calving could be more valuable. These alerts can be seen as an 436 indicator that calving is about to start. Alerts given eight or more hours before the start of 437 calving may be too early, but they could be used to separate the cows. The use of multiple 438 sensors increases the chance to detect behavioral changes within a short time frame. The use of 439 one sensor limits the number of cow variables that can be detected by the monitoring system. 440 Although some studies (Mattachini et al., 2013; Resheff et al., 2014) suggest that one 441 accelerometer could detect several cow variables (Benaissa et al., 2017), not all variables are 442 detected with the same accuracy. On the other hand, not all variables contribute meaningfully 443 to a better detection of calving or estrus. For example, the lying bouts detected by the leg-444 mounted accelerometers and the time in feeding zone detected by the localization sensor did 445

not show significant change during the estrus period. Similarly, the feeding time detected by 446 the neck-mounted accelerometer did not change significantly before calving. Different factors 447 such as lactation stage, environment, season, and disturbance of the cows due to diseases 448 inspection could influence the behavior of the cows before calving or during estrus (Orihuela, 449 2000). For example, cattle on pasture spend more time feeding (grazing) than animals confined 450 in barns or corrals (Phillips and Leaver, 1986) and thus have less time to engage in estrous 451 behaviors. Gwazdauskas et al. (1983) found that the intensity of estrous behavior increased with 452 parity, although, (Roelofs et al., 2010) stated that some secondary signs such as mounting-other-453 cows decrease with parity. 454

Diseases like lameness or mastitis could also diminish cows' struts and pre-calving 455 456 expressions. For instance, as shown in (Olechnowicz and Jaskowski, 2011), lame cows spent less time upright and more time lying down compared with non-lame cows during estrus. This 457 included lame cows spending less time walking or standing. However, in that study, it is 458 459 reported that lameness did not affect the durations of drinking, grazing, or ruminating, or how these behavioral states fluctuated throughout the day. Thus, a system that would be multi-460 functional in dairy cattle would require certainly the integration of several sensors in order to 461 enlarge the number of cow variables detected by the monitoring system and to accommodate 462 individual differences between cows in how they express estrus or imminent calving. Moreover, 463 the farm management practices and human-animal interactions are widely ignored when 464 developing systems of dairy cattle monitoring. The deployment of a multi-sensor system would 465 decrease the impact of these factors on the detection system as it could record several cow 466 467 variables.

468 On the other side, calving detection could be used to predict the actual day of calving which 469 allows to move the cow to an individual pen to facilitate the surveillance and the intervention 470 under good conditions of hygiene. Thus, the first prediction alert should be delivered before the 471 second stage of parturition, because moving a cow just before or during its expulsion can extend 472 the time of delivery (Saint-Dizier and Chastant-Maillard, 2018). A second alert should be a 473 warning of the onset of the calf expulsion. The combination of sensor could provide alerts over 474 different time intervals before calving, from a day (24 h) to a few hours, which is useful for 475 calving management by the farmer.

The results presented in this work show clearly an improved performance, enhancing the number of successful alerts and significantly reducing the number of false alarms. Such performance with a multi-functional option is preferred by farms and the system could be deployed in large-sized dairy farms.

In addition to the cow individual activity, the use of a location system could provide information about social interactions and contacts between cows, which is important for assessing cow health and welfare (Van Nuffel et al., 2015). For example, lameness could be detected by looking at interactions between the lame cow and other cows. As lame cows have pain, they tend be lower in rank and avoid contact with other cows (Galindo et al., 2000). Social interaction between cows could also be used for estrus (e.g., mounting behavior) and calving detection (cows seek isolation from the group prior calving (Proudfoot et al., 2014).

Finally, the proposed monitoring system would require a real-time collection and wireless transfer of the UWB localization data. This severely impacts the system lifetime as energy is usually provided through batteries, which the farmer does not want to replace every few months. Ideally, the lifetime of the monitory system should match the animal's lifetime. Recently, research has been performed on the potential of wireless power transfer to power the sensors' batteries during short amounts of times when the cows are drinking or are being milked (Minnaert et al., 2018). A follow-up study with a larger sample size is required to validate the 494 findings from this paper from a relatively limited set of cows and to consider different
495 conditions (e.g., heifers, dystocia) and longer periods, as well as to include other anomalies in
496 dairy cattle (e.g., heat stress, lameness).

# 497 **5. CONCLUSIONS**

Accelerometers (neck- and leg-mounted) and ultra-wide band (UWB) indoor localization sensors were combined for the detection of calving and estrus in dairy cattle. The detection performance within different time intervals (24h, 12h, 8h, 4h, and 2h) before calving and AI was investigated.

502 The performance of the detection within 2-4 hours before calving or AI was lower than for 8-24h. However, the use of a combination of sensors increased the performance for all 503 investigated time intervals. For calving, similar results were obtained for the time intervals 24h, 504 12h, and 8h. In the case of 24-8h, when one sensor was used for detection, the localization 505 sensor performed best (Pr 73-77%, Se 57-58%, AUC= 90-91%), followed by the leg-mounted 506 accelerometer (Pr 67-77%, Se 54-55%, AUC= 88-90%) and the neck-mounted accelerometer 507 (Pr 50-53%, Se 47-48%, AUC= 86-88%). As for calving, the results of estrus were similar for 508 the TI 24h-8h. In this case, similar results were obtained when using any of the three sensors 509 separately as when combining a neck- and a leg-mounted accelerometers (Pr 86-89%, Se 73-510 77%). For both calving and estrus, the performance improved when localization was combined 511 with either the neck- or leg-mounted accelerometer, especially for the sensitivity (73-91%). 512 Finally, for the detection with one sensor within TI 4h and 2h, the Pr and Se decreased to 55-513 65% and 42-62% for estrus and to 40-63% and 33-40% for calving. However, the combination 514 515 of localization with either leg or neck-mounted accelerometer as well as the combination of the three sensors improved the Pr and Se compared to one sensor (Pr 72-87%, Se 63-85%). This 516 study demonstrates the potential of combining different sensors in order to develop a multi-517

functional monitoring system for dairy cattle. Future work will consist of expanding this
research to other herds with larger sample size as well as considering cows' anomalies (e.g.,
mastitis, lameness) and other sensors (e.g., bolus or ear tag to measure the temperature).

521

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- 663

# 664 Tables

**Table 1.** Mean values and standard error (SE) of the cow variables obtained by the three sensors for calving and estrus, [-24, 0] is the 24 hours

before the calving moment or the AI. (\*P<0.05, \*\*P<0.01, no asterisks means P>0.05, the MATLAB (release2018b) function ttest() was used to

		Calving			Estrus				
Sensors	Variables	[-168,-24]	[-24,0]	Differen	ce <sup>1</sup>	[-168,-24]	[-24,0]	Differen	ce <sup>1</sup>
Neck Acc	Ruminating time [hours]	9.1±0.3	7.2±0.4	-1.9**	-21%	8.4±0.6	6.2±0.7	-2.2**	-26%
	Feeding time [hours]	4.8±0.5	4.3±0.3	-0.5	-10%	4.5±0.5	5.1±0.3	0.6	13%
	Resting time [hours]	9.4±1.4	8.1±0.6	-1.3*	-14%	7.3±0.7	$5.6 \pm 0.5$	-1.7**	-23%
Leg Acc	Lying bouts [-]	11.6±0.7	22.0±1.3	10.4**	90%	6.8±1.2	6.1±0.8	-0.7	-10%
	Lying time [hours]	12.7±0.5	9.1±1.2	-3.6**	-28%	12.0±0.9	7.4±1.1	-4.6**	-38%
	Number of steps [-]	2664±146	4553±376	1889**	71%	2470±210	4824±302	2354**	95%
Localiza tion	Travelled distance [m]	2403±194	3526±392	1123**	47%	2161±165	4146±285	1985**	92%
	Time in cubicles [hours]	8.9±0.6	13.1±0.8	4.2*	47%	$10.5 \pm 0.8$	7.1±1.0	-3.4*	-32%
	Time in feeding zone [hours]	4.1±0.7	3.4±0.9	-0.7*	-17%	$4.8 \pm 0.5$	4.9±0.4	0.1	2%
	Time in drinking zone [min]	16.5±11.2	12.8±8.3	-3.7	-22%	14.4±10.6	19.1±13.2	4.7	33%
668									

667 conduct a paired-sample *t*-test). Acc: accelerometer

<sup>1</sup> The difference is calculated as follows: Cow variable ([-24, 0]) - Cow variable ([-168, -24]), and in %: [Cow variable ([-24, 0]) - Cow variable ([-168, -24])]/ Cow variable ([-168, -24])]/ Cow variable ([-168, -24])]/ Cow variable ([-168, -24])

#### Table 2. The precision (Pr), sensitivity (Se), specificity (Sp), overall accuracy (Accuracy),

and AUC for calving detection using one sensor, a combination of two sensors, and a combination of the three sensors for different detection time intervals (2, 4, 8, 12, and 24 

hours before calving). Acc: accelerometer 

TI	Logistic regression model based on	Pr [%]	Se [%]	Sp [%]	Accuracy [%]	AUC [%]
	Neck Acc	53±2.2	48±2.5	94±1.2	89±0.5	88±1.2
	Leg Acc	77±2.3	55±2.3	94±0.9	90±1.2	89±0.6
	Localization	77±1.8	58±2.1	96±0.7	91±0.8	91±0.4
24h	Neck + Leg Acc	83±1.2	68±3.2	98±0.5	92±0.8	93±0.4
	Neck Acc + Localization	82±0.9	74±3.0	97±0.8	93±0.7	94±0.5
	Leg Acc+ Localization	$84{\pm}0.8$	78±2.4	97±0.8	95±0.8	96±0.8
	All sensors	87±1.9	85±1.3	98±11	96±1.3	97±0.9
	Neck Acc	51±2.8	47±2.9	95±0.2	86±0.4	87±0.3
	Leg Acc	75±2.4	56±1.6	96±0.2	91±0.3	88±0.2
	Localization	77±1.6	55±1.5	96±0.2	91±0.3	90±0.5
12h	Neck + Leg Acc	79±1.8	72±2.1	96±0.3	91±0.4	93±0.2
	Neck Acc + Localization	84±0.9	76±1.9	97±0.1	92±0.2	94±0.1
	Leg Acc+ Localization	83±0.8	$78 \pm 0.8$	95±0.4	93±0.3	94±0.1
	All sensors	88±1.6	84±1.9	98±0.2	94±0.2	98±0.1
	Neck Acc	50±2.4	47±2.3	94±0.2	87±0.3	86±0.4
	Leg Acc	67±2.6	54±2.5	94±0.2	90±0.3	90±0.3
	Localization	73±1.7	57±1.0	93±0.3	90±0.3	91±0.5
8h	Neck + Leg Acc	73±2.0	65±1.7	94±0.3	94±0.2	94±0.2
	Neck Acc + Localization	82±0.7	74±1.6	96±0.1	98±0.2	93±0.1
	Leg Acc+ Localization	83±0.9	73±1.2	97±0.5	97±0.2	96±0.2
	All sensors	84±1.7	79±2.2	97±0.3	97±0.5	97±0.1
	Neck Acc	47±1.9	42±2.1	94±0.8	85±0.2	83±0.5
	Leg Acc	62±1.5	35±2.2	94±0.5	88±0.1	86±0.3
	Localization	63±1.7	40±2.2	95±0.5	89±0.2	87±0.7
4h	Neck + Leg Acc	67±1.5	54±2.0	96±0.5	93±0.2	91±0.2
	Neck Acc + Localization	72±1.6	60±1.9	96±0.4	94±0.1	92±0.1
	Leg Acc+ Localization	78±1.0	62±1.7	97±0.9	96±0.3	94±0.3
	All sensors	79±2.1	69±1.8	97±0.7	97±0.1	94±0.1
	Neck Acc	40±2.1	39±2.2	95±0.4	82±0.5	83±0.7
	Leg Acc	41±0.8	37±2.5	95±0.2	86±0.5	$84 \pm 0.4$
	Localization	43±0.7	33±2.0	95±0.2	87±0.8	86±0.9
2h	Neck + Leg Acc	52±0.3	42±1.5	95±1.0	90±0.8	90±0.7
	Neck Acc + Localization	56±0.4	$48 \pm 1.4$	96±0.5	91±0.7	92±0.2
	Leg Acc+ Localization	53±0.5	43±1.9	97±0.3	93±0.7	91±0.3
	All sensors	67±1.0	63±1.0	97±0.3	94±0.2	92±0.4

680 **Table 3.** The precision (Pr), sensitivity (Se), specificity (Sp), overall accuracy (Accuracy),

and AUC for estrus detection using one sensor, a combination of two sensors, and a

682 combination of the three sensors for different detection time intervals (2, 4, 8, 12, and 24

683 hours before AI). Acc: accelerometer

TI	Logistic regression model based on	Pr [%]	Se [%]	Sp [%]	Accuracy [%]	AUC [%]
	Neck Acc	88±1.8	76±1.1	93±0.8	95±0.2	93±0.8
	Leg Acc	89±2.4	77±1.5	93±0.4	95±0.3	94±0.2
	Localization	89±2.0	75±0.9	94±0.8	94±0.8	93±0.5
24h	Neck + Leg Acc	89±2.9	77±1.7	95±0.8	95±0.5	93±0.6
	Neck Acc + Localization	91±3.2	88±1.9	98±0.5	96±0.4	97±0.4
	Leg Acc+ Localization	92±1.3	89±2.4	98±0.2	96±0.4	97±0.7
	All sensors	93±1.4	90±1.2	99±0.3	98±0.3	99±0.2
	Neck Acc	87±0.8	75±2.1	93±0.1	94±0.3	91±0.4
	Leg Acc	87±0.9	76±2.3	92±0.2	93±0.1	91±0.1
	Localization	86±1.0	78±2.4	93±0.5	96±0.1	92±0.4
12h	Neck + Leg Acc	87±1.5	78±1.9	95±0.5	97±0.1	93±0.1
	Neck Acc + Localization	90±2.1	89±2.0	98±0.1	97±0.3	95±0.6
	Leg Acc+ Localization	91±2.0	91±1.3	98±0.8	99±0.1	96±0.2
	All sensors	91±2.4	90±2.3	99±0.8	99±0.1	96±0.1
	Neck Acc	87±0.7	74±2.4	94±0.5	93±0.3	92±0.2
	Leg Acc	86±0.9	73±2.2	95±0.3	94±0.1	91±0.1
	Localization	87±2.3	76±2.8	93±0.2	93±0.3	92±0.5
8h	Neck + Leg Acc	86±0.7	74±2.7	94±0.1	96±0.1	92±0.1
	Neck Acc + Localization	90±2.5	85±2.0	94±0.3	97±0.5	94±0.6
	Leg Acc+ Localization	91±2.3	90±1.8	97±0.1	97±0.1	92±0.1
	All sensors	92±2.3	91±1.7	98±0.2	98±0.2	97±0.4
	Neck Acc	64±1.5	54±2.7	94±0.3	93±0.2	90±0.4
	Leg Acc	65±1.4	51±2.8	95±0.1	92±0.1	91±0.1
	Localization	64±1.7	57±2.6	94±0.2	94±0.2	92±0.1
4h	Neck + Leg Acc	68±2.0	62±2.2	95±0.3	93±0.1	92±0.1
	Neck Acc + Localization	79±2.4	76±2.4	95±0.2	94±0.4	94±0.8
	Leg Acc+ Localization	79±2.6	75±2.6	98±0.3	95±0.1	95±0.2
	All sensors	87±2.3	85±2.7	97±0.1	95±0.1	95±0.1
	Neck Acc	58±2.4	42±2.8	95±0.2	91±0.1	90±0.5
	Leg Acc	58±2.3	55±2.5	95±0.3	90±0.1	90±0.1
	Localization	59±2.4	56±2.5	94±0.5	93±0.1	91±0.3
2h	Neck + Leg Acc	55±2.6	58±2.0	96±0.4	92±0.2	93±0.5
	Neck Acc + Localization	72±2.0	63±2.3	94±0.5	95±0.2	93±0.5
	Leg Acc+ Localization	72±2.7	63±1.9	97±0.6	94±0.1	94±0.4
	All sensors	78±2.1	71±2.7	97±0.8	94±0.1	94±0.5

# 685 Figures

Figure 1. A cow wearing the three sensors (a) and the orientation of the neck- and leg mounted accelerometers (b and c). X, Y, and Z are the axes of the accelerometers

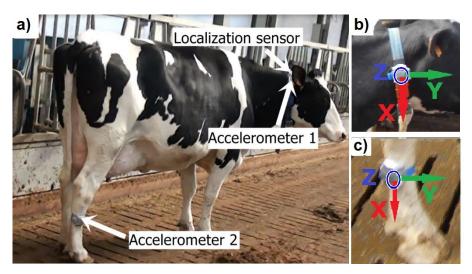


Figure 2: Localization defined zones (red: lying zone, green: drinking zone, yellow: feeding zone and concentrate feeders). The black circles are the locations of the anchors 

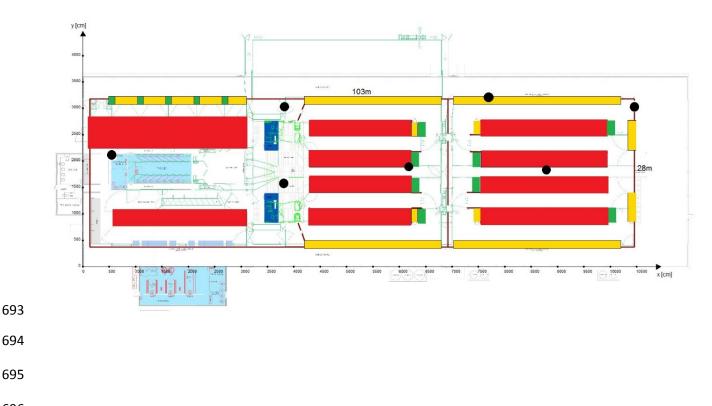


Figure 3. Association between generated alerts or not generated alerts with the performance
evaluation: True positive (TP), True negative (TN), False positive (FP), and False negative
(FN). The red line indicates the calving time and the artificial insimination time (estrus).

