# Type 1 and 2 sets for series of translates of functions

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Dedicated to the memory of Ákos Császár

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#### Abstract

Suppose  $\Lambda$  is a discrete infinite set of nonnegative real numbers. We say that  $\Lambda$  is type 1 if the series  $s(x) = \sum_{\lambda \in \Lambda} f(x + \lambda)$  satisfies a zero-one law. This means that for any non-negative measurable  $f : \mathbb{R} \to [0, +\infty)$  either the convergence set  $C(f, \Lambda) = \{x : s(x) < +\infty\} = \mathbb{R}$  modulo sets of Lebesgue zero, or its complement the divergence set  $D(f, \Lambda) = \{x : s(x) = +\infty\} = \mathbb{R}$  modulo sets of measure zero. If  $\Lambda$  is not type 1 we say that  $\Lambda$  is type 2.

The exact characterization of type 1 and type 2 sets is not known. In this paper we continue our study of the properties of type 1 and 2 sets. We discuss sub and supersets of type 1 and 2 sets and we give a complete and simple characterization of a subclass of dyadic type 1 sets. We discuss the existence of type 1 sets containing infinitely many algebraically independent elements. Finally, we consider unions and Minkowski sums of type 1 and 2 sets.

## 1 Introduction

This paper is related to the talk given by the first listed author at the Akos Császár Memorial Conference held at the Rényi Institute on February 26, 2018. In 2017 we lost two outstanding mathematicans Jean-Pierre Kahane and Ákos Császár. During the Fall of 2017 in paper [7], which was prepared for the Jean-Pierre Kahane memorial volume of Analysis Mathematica we returned to some open questions from [9], written by Z. Buczolich, J-P. Kahane and D. Mauldin. It is a strange recurrence of events that in 1999 at the 75th Birthday conference of Ákos Császár the first listed author gave a talk on the results from [9] and now exactly when the continuation of that paper was going on he had the opportunity to speak about this topic again at the Ákos Császár Memorial Conference.

This line of research began with a question which was called the *Khinchin* conjecture [16] (1923):

Assume that  $E \subset (0,1)$  is a measurable set and  $f(x) = \chi_E(\{x\})$ , where  $\{x\}$  denotes the fractional part of x. Is it true that for almost every x

$$\frac{1}{k}\sum_{n=1}^{k}f(nx) \to \mu(E)?$$

(In our paper  $\mu$  denotes the Lebesgue measure.)

In 1969 Marstrand [17] proved that the Khinchin conjecture is not true. Other counterexamples were given by J. Bourgain [6] by using his entropy method and by A. Quas and M. Wierdl [18]. For further results related to the Khinchin conjecture we also refer to [2] and [3] and for some generalizations we mention [1], [4] and [5].

The Khinchin conjecture dealt with periodic functions f. For the non-periodic case there was a question from 1970, originating from the Diplomarbeit of Heinrich von Weizsäker [19]:

Suppose  $f: (0, +\infty) \to \mathbb{R}$  is a measurable function. Is it true that  $\sum_{n=1}^{\infty} f(nx)$  either converges (Lebesgue) almost everywhere or diverges almost everywhere, i.e. is there a zero-one law for  $\sum f(nx)$ ?

This question also appeared in a paper of J. A. Haight [14].

In [11] the first author and D. Mauldin gave a negative answer to this question:

**Theorem 1.1.** There exists a measurable function  $f : (0, +\infty) \to \{0, 1\}$  and two nonempty intervals  $I_F$ ,  $I_{\infty} \subset [\frac{1}{2}, 1)$  such that for every  $x \in I_{\infty}$  we have  $\sum_{n=1}^{\infty} f(nx) =$  $+\infty$  and for almost every  $x \in I_F$  we have  $\sum_{n=1}^{\infty} f(nx) < +\infty$ . The function f is the characteristic function of an open set E.

In papers [9] and [10] Z. Buczolich, J-P. Kahane and D. Mauldin considered a more general, additive version of the Haight–Weizsäker problem. Since  $\sum_{n=1}^{\infty} f(nx) = \sum_{n=1}^{\infty} f(e^{\log x + \log n})$ , that is using the function  $h = f \circ \exp$  defined on  $\mathbb{R}$  and  $\Lambda = \{\log n : n = 1, 2, ...\}$  they were interested in almost everywhere convergence questions for the series  $\sum_{\lambda \in \Lambda} h(x + \lambda)$ .

In the original "multiplicative" version of our problem already Haight in [15] started to investigate convergence properties of series  $\sum_{\lambda \in \Lambda} f(\lambda x)$ .

In this note the symbol  $\Lambda$  will always represent a countably infinite, unbounded set of real numbers which is bounded from below and has no finite accumulation points.

Type 1 and type 2 sets were defined in [9]. Given  $\Lambda$  and a measurable  $f : \mathbb{R} \to [0, +\infty)$ , we consider the sum

$$s(x) = \sum_{\lambda \in \Lambda} f(x + \lambda),$$

and the complementary subsets of  $\mathbb{R}$ :

$$C = C(f, \Lambda) = \{x : s(x) < \infty\}, \qquad D = D(f, \Lambda) = \{x : s(x) = \infty\}.$$

**Definition 1.2.** The set  $\Lambda$  is type 1 if, for every f, either  $C(f, \Lambda) = \mathbb{R}$  a.e. or  $C(f, \Lambda) = \emptyset$  a.e. (or equivalently  $D(f, \Lambda) = \emptyset$  a.e. or  $D(f, \Lambda) = \mathbb{R}$  a.e.). Otherwise,  $\Lambda$  is type 2. For type 2 sets there are non-negative measurable witness functions f such that both  $C(f, \Lambda)$  and  $D(f, \Lambda)$  are of positive measure.

That is, for type 1 sets we have a "zero-one" law for the almost everywhere convergence properties of the series  $\sum_{\lambda \in \Lambda} f(x + \lambda)$ , while for type 2 sets the situation is more complicated.

In our recent paper [8], answering a question from [9], we proved the following theorem:

**Theorem 1.3.** Suppose that  $\Lambda$  is type 2, that is there exists a measurable witness function f such that both  $D(f, \Lambda)$  and  $C(f, \Lambda)$  have positive measure. Then there exists a witness function g which is the characteristic function of an open set and both  $D(g, \Lambda)$  and  $C(g, \Lambda)$  have positive measure.

This theorem will be important in this paper as well.

**Definition 1.4.** The unbounded, infinite discrete set  $\Lambda = \{\lambda_1, \lambda_2, ...\}, \lambda_1 < \lambda_2 < ...$  is asymptotically dense if  $d_n = \lambda_n - \lambda_{n-1} \to 0$ , or equivalently:

$$\forall a > 0, \quad \lim_{x \to \infty} \#(\Lambda \cap [x, x + a]) = \infty.$$

If  $d_n$  tends to zero monotonically, we speak about decreasing gap asymptotically dense sets.

If  $\Lambda$  is not asymptotically dense we say that it is asymptotically lacunary.

We denote by  $C_0^+(\mathbb{R})$  the non-negative continuous functions on  $\mathbb{R}$  tending to zero in  $+\infty$ .

By Theorem 4 of [9] lacunarity is a sufficient condition for type 2:

**Theorem 1.5.** If  $\Lambda$  is asymptotically lacunary, then  $\Lambda$  is type 2. Moreover, for some  $f \in C_0^+(\mathbb{R})$ , there exist intervals I and J, I to the left of J, such that  $C(f, \Lambda)$ contains I and  $D(f, \Lambda)$  contains J.

In [9] we gave some necessary and some sufficient conditions for a set  $\Lambda$  being type 2. A complete characterization of type 2 sets is still unknown. We recall here from [9] the theorem concerning the Haight–Weizsäker problem. This contains the additive version of the result of Theorem 1.1 along with some auxiliary information.

**Theorem 1.6.** The set  $\Lambda = \{\log n : n = 1, 2, ...\}$  is type 2. Moreover, for some  $f \in C_0^+(\mathbb{R}), C(f, \Lambda)$  has full measure on the half-line  $(0, \infty)$  and  $D(f, \Lambda)$  contains the half-line  $(-\infty, 0)$ . If for each  $c, \int_c^{+\infty} e^y g(y) dy < +\infty$ , then  $C(g, \Lambda) = \mathbb{R}$  a.e. If  $g \in C_0^+(\mathbb{R})$  and  $C(g, \Lambda)$  is not of the first (Baire) category, then  $C(g, \Lambda) = \mathbb{R}$  a.e. Finally, there is some  $g \in C_0^+(\mathbb{R})$  such that  $C(g, \Lambda) = \mathbb{R}$  a.e. and  $\int_0^{+\infty} e^y g(y) dy = +\infty$ .

One might believe that for type 2 sets  $\Lambda$  the sets  $C(f, \Lambda)$ , or  $D(f, \Lambda)$  are always half-lines if they differ from  $\mathbb{R}$ . Indeed in [9] we obtained results in this direction. A number t > 0 is called a translator of  $\Lambda$  if  $(\Lambda + t) \setminus \Lambda$  is finite. Condition (\*) is said to be satisfied if  $T(\Lambda)$ , the countable additive semigroup of translators of  $\Lambda$ , is dense in  $\mathbb{R}^+$ . We recall Proposition 3 of [9]: **Proposition 1.7.** Suppose that condition (\*) is satisfied ( $\Lambda$  has arbitrarily small translators). Then the topological closure of C (resp. D) is either  $\emptyset$ , or  $\mathbb{R}$ , or else a closed right half-line (resp. left half-line). The same holds for the support of  $\mathbf{1}_C$  (resp.  $\mathbf{1}_D$ ) meaning the smallest closed set C carrying C (resp. D carrying D) except for a null set. The interior of C (resp. D) is either  $\emptyset$ , or  $\mathbb{R}$ , or else an open right (resp. left) half-line.

Determining the structure of convergence and divergence sets for type 2 sets is an interesting problem. In the recent paper [7] we proved the following theorem:

**Theorem 1.8.** There is a strictly monotone increasing unbounded sequence  $(\lambda_0, \lambda_1, \ldots) = \Lambda$  in  $\mathbb{R}$  such that  $\lambda_n - \lambda_{n-1}$  tends to 0 monotonically, that is  $\Lambda$  is a decreasing gap asymptotically dense set, such that for every open set  $G \subset \mathbb{R}$  there is a function  $f_G : \mathbb{R} \to [0, +\infty)$  for which

$$\mu\left(\left\{x \notin G : \sum_{n=0}^{\infty} f_G(x+\lambda_n) = \infty\right\}\right) = 0, \text{ and}$$
(1)

$$\sum_{n=0}^{\infty} f_G(x+\lambda_n) = \infty \text{ for every } x \in G,$$
(2)

moreover  $f_G = \chi_{U_G}$  for a closed set  $U_G \subset \mathbb{R}$ . By (1) and (2) we have  $D(f_G, \Lambda) \supset G$ , and  $C(f_G, \Lambda) = \mathbb{R} \setminus G$  modulo sets of measure zero.

One can also select a  $g_G \in C_0^+(\mathbb{R})$  satisfying (1) and (2) instead of  $f_G$ .

In this paper two examples from [9], quoted in this paper as Examples 1.9 and 1.11 will play an important role:

**Example 1.9.** Set  $\Lambda = \bigcup_{k \in \mathbb{N}} \Lambda_k$ , where  $\Lambda_k = 2^{-k} \mathbb{N} \cap [k, k+1)$ . In Theorem 1 of [9] it is proved that  $\Lambda$  is type 1. In fact, in a slightly more general version it is shown that if  $(n_k)$  is an increasing sequence of positive integers and  $\Lambda = \bigcup_{k \in \mathbb{N}} \Lambda_k$  where  $\Lambda_k = 2^{-k} \mathbb{N} \cap [n_k, n_{k+1})$  then  $\Lambda$  is type 1.

In [8] we studied the effect of randomly deleting elements of  $\Lambda$ . Let 0 . $Then we say that <math>\Lambda \subset \widetilde{\Lambda}$  is chosen with probability p from  $\widetilde{\Lambda}$  if for each  $\lambda \in \widetilde{\Lambda}$ the probability that  $\lambda \in \Lambda$  is p. Let  $\widetilde{\Lambda} = \bigcup_{k=1}^{\infty} (2^{-k}\mathbb{N} \cap [k, k+1))$ . We know from Example 1.9 that  $\widetilde{\Lambda}$  is type 1. By Theorem 4.3 of [8] if  $\Lambda$  is chosen with probability p from  $\widetilde{\Lambda}$  then almost surely  $\Lambda$  is type 1.

However as Theorem 4.5 of [8] shows, it may happen that type 1 sets are converted into type 2 sets by random deletion:

**Theorem 1.10.** Suppose that  $(m_k)$  and  $(n_k)$  are strictly increasing sequences of positive integers. For each  $k \in \mathbb{N}$ , define  $\Lambda_k = 2^{-m_k} \mathbb{N} \cap [n_k, n_{k+1})$  and let  $\widetilde{\Lambda} =$ 

 $\bigcup_{k=1}^{\infty} \Lambda_k.$  Moreover, fix  $0 and suppose that <math>\Lambda$  is chosen with probability p from  $\widetilde{\Lambda}$ . Set q = 1 - p. For fixed  $(m_k)$ , if  $(n_k)$  tends to infinity sufficiently fast then almost surely  $\Lambda$  is type 2. Notably, if the series  $\sum_{k=1}^{\infty} 1 - (1 - q^{2^{m_k}})^{n_{k+1}-n_k}$  diverges then almost surely  $\Lambda$  is type 2.

**Example 1.11.** Let  $(n_k)$  be a given increasing sequence of positive integers. By Theorem 3 of [9] there is an increasing sequence of integers (m(k)) such that the set  $\Lambda = \bigcup_{k \in \mathbb{N}} \Lambda_k$  with  $\Lambda_k = 2^{-m(k)} \mathbb{N} \cap [n_k, n_{k+1})$  is type 2.

Given  $x \in \mathbb{R}$  and a set  $A \subset \mathbb{R}$  we define  $x + A = \{x + a : a \in A\}$  and for  $y \in \mathbb{R}$  we define  $y - A = \{y - a : a \in A\}$ . Similarly for sets  $A, B \subset \mathbb{R}$  we define  $A + B = \{a + b : a \in A, b \in B\}$  and  $A - B = \{a - b : a \in A, b \in B\}$ .

According to Theorem 6 of [9], type 2 sets form a dense open subset in the box topology of discrete sets while type 1 sets form a closed nowhere dense set. Therefore type 2 is typical in the Baire category sense in this topology. This also shows that it is usually more difficult to find and verify type 1 sets. The question of complete characterization of type 1 and type 2 sets is a difficult and unsolved problem. The goal of this paper is to explore some properties of these sets and provide some more examples of type 1 and type 2 sets.

In Theorem 5 of [9] we obtained a sufficient condition for type 2 based on independent elements in  $\Lambda$ . This is the following result:

**Theorem 1.12.** Suppose that there exist three intervals I, J, K such that J = K + I - I, the interval I is to the left of J, and  $dist(I, J) \ge |I|$ , and two sequences  $(y_j)$  and  $(N_j)$  tending to infinity  $(y_j \in \mathbb{R}^+, N_j \in \mathbb{N})$  such that, for each j,  $y_j - I$  contains a set of  $N_j$  points of  $\Lambda$  independent from  $\Lambda \cap (y_j - J)$  in the sense that the additive groups generated by these sets have only 0 in common. Then  $\Lambda$  is type 2. Moreover, for some  $f \in C_0^+(\mathbb{R})$ ,  $D(f, \Lambda)$  contains I and  $C(f, \Lambda)$  has full measure on K.

In [9] we showed that  $\Lambda = \{ \log n : n = 1, 2, ... \}$  is type 2 by using Theorem 1.12.

Recall that the set  $\{\alpha_1, \alpha_2, \ldots\}$  consists of algebraically independent numbers if for each  $N \in \mathbb{N}$  if  $k_1, k_2, \ldots, k_N \in \mathbb{Z}$  and  $k_1\alpha_1 + k_2\alpha_2 + \cdots + k_N\alpha_N = 0$ , then  $k_1 = k_2 = \cdots = k_N = 0$ .

We also recall part of the remark following Theorem 5 in [9]:

**Remark 1.13.** If  $\Lambda$  is asymptotically dense and consists of elements independent over  $\mathbb{Q}$  then using Theorem 1.12 it is easy to show that  $\Lambda$  is type 2.

In [11] it was established that  $\Lambda = \{\log n : n = 1, 2, ...\}$  is type 2 via a corollary of Kronecker's Theorem [13, p. 53]:

**Theorem 1.14.** Assume  $\theta_1, ..., \theta_L \in \mathbb{R}$  and  $(\alpha_1, ..., \alpha_L)$  is a real vector. The following two statements are equivalent:

A) For every  $\epsilon > 0$ , there exists  $p \in \mathbb{Z}$  such that

$$||\theta_j p - \alpha_j|| < \epsilon, \text{ for } 1 \le j \le L,$$

where  $||x|| = \min\{|x - n| : n \in \mathbb{Z}\}.$ 

B) If  $(u_1, ..., u_L)$  is a vector consisting of integers and

$$u_1\theta_1 + \dots + u_L\theta_L \in \mathbb{Z},$$

then

$$u_1\alpha_1 + \ldots + u_L\alpha_L \in \mathbb{Z}.$$

This paper is organized in the following way: In Section 2 we begin with Theorem 2.1 which gives a sufficient condition for a set to be type 2 by saying that if the cardinality of  $\Lambda$  in subsequent intervals increases with sufficiently large jumps then  $\Lambda$  is type 2. As an application of this theorem in Theorem 2.3 we obtain a complete characterization of type 1 and type 2 sets which are defined analogously to Examples 1.9 and 1.11. Corollary 2.2 is an immediate consequence of Theorem 2.1 and gives an example of a type 2 set,  $\Lambda$  such that any  $\Lambda' \supset \Lambda$  is also type 2. In Theorem 2.6 we show that the growth rate assumption given in Corollary 2.2 can be significantly relaxed in the case where  $\Lambda$  contains sufficiently many algebraically independent elements. In Theorem 2.7 we give an example of a  $\Lambda$  such that every infinite subset of  $\Lambda$  and every superset of  $\Lambda$  is type 2. In Theorem 2.4 we see that we can have a bi-infinite nested sequence  $\Lambda_{n+1} \subset \Lambda_n$ ,  $n \in \mathbb{Z}$  such that  $\Lambda_n$  is type 1 for odd n and type 2 for even n.

Before writing this note we were not aware of any type 1 sets containing infinitely many algebraically independent elements and Theorem 1.12 also suggests that many independent elements lead to type 2 sets. This is illustrated by Theorem 3.1 which roughly states that if we add an infinite set of algebraically independent numbers to a set from Examples 1.9 and 1.11 to obtain a discrete  $\Lambda$  then we always obtain type 2 sets. On the other hand, in Theorem 3.4 we see that there exist type 1 sets which contain infinitely many algebraically independent numbers.

In Section 4 we consider unions and Minkowski sums. From Proposition 4.1 we see that unions of type 1 sets are always type 1, while in Proposition 4.2 we prove that it may happen that the union of two decreasing gap asymptotically dense type 2 sets is type 1. We see in Theorem 4.3 that Minkowski sums of type 1 sets are type 1. Finally, in Theorem 4.4 we prove that there is a type 2 set  $\Lambda$  such that for any infinite discrete  $\Lambda'$  the Minkowski sum set  $\Lambda + \Lambda' = \{\lambda + \lambda' : \lambda \in \Lambda, \lambda' \in \Lambda'\}$  is type 2. On the other hand, simple examples show that it may happen that the Minkowski sum of two type 2 sets is type 1.

## 2 Sub and supersets of type 1 and 2 sets

**Theorem 2.1.** Let  $\varepsilon$  be a positive number. For every  $n \in \mathbb{Z}$  we denote the cardinality of  $\Lambda \cap [n\varepsilon, (n+1)\varepsilon)$  by  $a_n$ . If

$$\limsup_{n \to \infty} \frac{a_n}{a_{n-1}} = \infty \tag{3}$$

(where  $\frac{0}{0} = 0$  and  $\frac{c}{0} = \infty$  if c > 0), then  $\Lambda$  is type 2. *Proof.* Let  $\varepsilon' := \frac{\varepsilon}{3}$  and  $a'_n := \#(\Lambda \cap [n\varepsilon', (n+1)\varepsilon'))$  for every  $n \in \mathbb{N}$ . We will prove that

$$\limsup_{n \in \mathbb{N}} \frac{a'_n}{a'_{n-3} + a'_{n-2} + a'_{n-1}} = \infty.$$
(4)

Proceeding towards a contradiction suppose that there exists a positive number c and  $N_0 \in \mathbb{N}$  such that for every  $n \geq N_0$  we have

$$\frac{a'_n}{a'_{n-3} + a'_{n-2} + a'_{n-1}} < c.$$

Thus, if  $a_{n-1} > 0$  and  $n \ge N_0$  then

$$\frac{a_n}{a_{n-1}} = \frac{a'_{3n} + a'_{3n+1} + a'_{3n+2}}{a_{n-1}} \le \frac{a'_{3n} + a'_{3n+1} + c\left(a'_{3n-1} + a'_{3n} + a'_{3n+1}\right)}{a_{n-1}} = \\
= \frac{ca'_{3n-1} + (c+1)a'_{3n} + (c+1)a'_{3n+1}}{a_{n-1}} \le \\
\le \frac{ca'_{3n-1} + (c+1)a'_{3n} + (c+1)c\left(a'_{3n-2} + a'_{3n-1} + a'_{3n}\right)}{a_{n-1}} \\
\le \frac{ca_{n-1} + (c+1)ca_{n-1} + (c+1)c\left(a_{n-1} + a_{n-1} + ca_{n-1}\right)}{a_{n-1}} = \\
= c + (c+1)c + (c+1)c(1+1+c),$$

which contradicts (3).

We can assume that  $\varepsilon' = 1$  since  $\Lambda$  and  $\frac{1}{\varepsilon'}\Lambda$  have the same type.

We construct a function f such that  $[0, 1) \subset C(f, \Lambda)$  and  $[-2, -1) \subset D(f, \Lambda)$ . We choose a sequence  $(m_k)$  in  $\mathbb{N}$  for which

$$\frac{a'_{m_k}}{a'_{m_k-3} + a'_{m_k-2} + a'_{m_k-1}} \ge 2^k \text{ and } m_{k+1} - m_k \ge 2$$
(5)

for every  $k \in \mathbb{N}$ , and we set  $f = (a'_{m_k})^{-1}$  on  $[m_k - 2, m_k)$ . Everywhere else let f = 0. Then for any x we have

$$\sum_{\lambda \in \Lambda} f(x+\lambda) = \sum_{k=1}^{\infty} \sum_{\lambda \in [m_k - 2 - x, m_k - x) \cap \Lambda} f(x+\lambda).$$

In order to prove the claim we need to estimate the sum

$$\sum_{\lambda \in [m_k-2-x,m_k-x) \cap \Lambda} f(x+\lambda)$$

for each  $k \in \mathbb{N}$ , and  $x \in [-2, -1)$ , or  $x \in [0, 1)$ . First of all, we note that if  $x \in [0, 1)$ , then  $x + \lambda \in [m_k - 2, m_k)$  implies  $\lambda \in [m_k - 3, m_k)$ , and in this interval  $\Lambda$  has  $a'_{m_k-1} + a'_{m_k-2} + a'_{m_k-3}$  elements and  $f = (a'_{m_k})^{-1}$  on  $[m_k - 2, m_k)$ . As a consequence, by (5) we have

$$\sum_{\lambda \in [m_k - 2 - x, m_k - x) \cap \Lambda} f(x + \lambda) \le \left( a'_{m_k - 1} + a'_{m_k - 2} + a'_{m_k - 3} \right) \left( a'_{m_k} \right)^{-1} \le \frac{1}{2^k}$$

for any  $x \in [0, 1)$ . However, the series  $\sum \frac{1}{2^k}$  converges which yields  $[0, 1) \subseteq C(f, \Lambda)$ .

For the other containment we simply notice that the number of terms in

$$\sum_{\lambda \in [m_k-2-x,m_k-x) \cap \Lambda} f(x+\lambda)$$

for  $x \in [-2, -1)$  is at least  $a'_{m_k}$  as  $x + \lambda \in [m_k - 2, m_k)$  for every  $\lambda \in [m_k, m_k + 1)$ . Hence we obtain

$$\sum_{\lambda \in [m_k - 2 - x, m_k - x) \cap \Lambda} f(x + \lambda) \ge a'_{m_k} \left(a'_{m_k}\right)^{-1} = 1$$

for  $x \in [-2, -1)$ . As the series  $\sum 1$  diverges it follows that  $[-2, -1) \subseteq D(f, \Lambda)$ . This concludes the proof.

**Corollary 2.2.** For every  $n \in \mathbb{Z}$  we denote the cardinality of  $\Lambda \cap [n, n+1)$  by  $a_n$ . If

$$\limsup_{n \to \infty} \frac{a_n}{c^n} = \infty \text{ for every positive } c \in \mathbb{R},$$
(6)

then  $\Lambda \subset \Lambda'$  implies that  $\Lambda'$  is type 2.

*Proof.* If  $\Lambda \subset \Lambda'$  then  $\Lambda'$  also satisfies (6), hence it is enough to prove that  $\Lambda$  is type 2.

We will use Theorem 2.1 with  $\varepsilon := 1$ . If (3) were not satisfied, there would be some  $c \in \mathbb{R}$  such that

$$\limsup_{n \to \infty} \frac{a_n}{a_{n-1}} < c,$$

which contradicts (6).

The next theorem shows that Corollary 2.2 is sharp in some sense. Later in Theorem 2.6 we prove that assumption (6) can be significantly relaxed for algebraically independent numbers and the converse of Corollary 2.2 is not true.

Theorem 2.3 is a much sharper version of Example 1.11 since it gives a necessary and sufficient condition for a set obtained by the "dyadic" construction being type 1 (or type 2).

**Theorem 2.3.** Suppose that  $(m_k)$  and  $(n_k)$  are strictly increasing sequences of positive integers. For each  $k \in \mathbb{N}$ , define  $\Lambda_k = 2^{-m_k} \mathbb{N} \cap [n_k, n_{k+1})$  and let  $\Lambda = \bigcup_{k=1}^{\infty} \Lambda_k$ . Define  $M = \sup_k \{m_{k+1} - m_k\}$ . Then  $\Lambda$  is type 1 if and only if  $M < \infty$ .

*Proof.* Assume that  $M < \infty$ . In this case a straightforward modification of the proof of Theorem 1 in [10] shows that  $\Lambda$  is type 1. Suppose that  $M = \infty$ . Now we can use Theorem 2.1 with  $\varepsilon := 1$ . We have

$$\limsup_{k \to \infty} \frac{a_{n_k}}{a_{n_k-1}} = \limsup_{k \to \infty} 2^{m_k - m_{k-1}} = \infty,$$

hence  $\Lambda$  is type 2.

**Theorem 2.4.** There exists a collection of discrete sets  $\{\Lambda_n\}_{n\in\mathbb{Z}}$  such that

$$\Lambda_{n+1} \subset \Lambda_n \text{ for all } n \in \mathbb{Z}$$

$$\tag{7}$$

and  $\Lambda_n$  is type 1 if n is odd and type 2 if n is even.

*Proof.* For each  $k \in \mathbb{Z}$  define  $\Gamma_k = 2^{-k}\mathbb{N}$  and for each  $j \in \mathbb{Z}$  and  $\nu \in \mathbb{N}$  define  $l(\nu, j) = \lfloor \nu \cdot 2^{-j} \rfloor$  and  $m(\nu, j) = \max\{2^i \colon 2^i \leq \nu \cdot 2^{-j}\}$ . Note that for every  $j \in \mathbb{Z}$  we have

$$l(\nu, j+1) \le m(\nu, j) \le l(\nu, j),$$
(8)

$$\sup_{\nu \in \mathbb{N}} (l(\nu+1,j) - l(\nu,j)) = \lceil 2^{-j} \rceil < \infty,$$
(9)

and

$$\sup_{\nu \in \mathbb{N}} (m(\nu + 1, j) - m(\nu, j)) = \infty.$$
(10)

For each  $j \in \mathbb{Z}$  we define  $\Lambda_{2j} = \bigcup_{\nu=1}^{\infty} (\Gamma_{m(\nu,j)} \cap [\nu, \nu+1))$  and we define  $\Lambda_{2j-1} = \bigcup_{\nu=1}^{\infty} (\Gamma_{l(\nu,j)} \cap [\nu, \nu+1))$ . Then (7) follows directly from (8). By Theorem 2.3, (9) and (10) we see that for every  $j \in \mathbb{Z}$  we have that  $\Lambda_{2j-1}$  is type 1 and  $\Lambda_{2j}$  is type 2.

**Theorem 2.5.** Suppose that  $\Lambda = \{\alpha_1, \alpha_2, \dots\}$ , where  $\alpha_n \to \infty$  and the  $\alpha_i$ s are algebraically independent. Then  $\Lambda$  is type 2.

*Proof.* If  $\Lambda$  is lacunary this follows from Theorem 1.5. Otherwise, we can use Remark 1.13.

**Theorem 2.6.** If  $\Lambda$  is a discrete infinite set of algebraically independent numbers and

$$\limsup_{n \to \infty} \frac{\#(\Lambda \cap [0, n))}{n} = \infty$$
(11)

then every set containing  $\Lambda$  is type 2.

*Proof.* Suppose that  $\Lambda \subset \Lambda'$ . We will prove that  $\Lambda'$  satisfies the conditions of Theorem 1.12, which implies that it is type 2. Define

 $\Lambda^* = \{\lambda' \in \Lambda' : \lambda' \text{ is independent from } \Lambda' \cap (-\infty, \lambda') \}.$ 

It is easy to see that (11) is true for  $\Lambda^*$  as well. Hence there is a sequence  $(y_j)$  in  $\mathbb{N}$  tending to infinity such that  $N_j := j \leq \#(\Lambda^* \cap [y_j, y_j + 1))$ . Let I = (-1, 0], K = [2, 3] and J = K + I - I = (1, 4). By the definition of  $\Lambda^*$  we have that  $(\Lambda^* \cap (y_j - I)) = (\Lambda^* \cap [y_j, y_j + 1)) \subset \Lambda'$  is independent from  $\Lambda' \cap (y_j - 4, y_j - 1) = \Lambda' \cap (y_j - J)$ , thus  $\Lambda'$ , I, J, K,  $(y_j)$  and  $(N_j)$  indeed satisfy the conditions of Theorem 1.12.

One may wonder if it is always possible to construct a chain appearing in Therem 2.4 such that  $\Lambda_0$  is an arbitrary type 2 set. Combining the previous two theorems we obtain a negative answer:

**Theorem 2.7.** Assume that  $\Lambda$  satisfies (11) and  $\Lambda$  consists of algebraically independent numbers. In this case for any  $\Lambda'$  satisfying  $\Lambda' \subseteq \Lambda$  or  $\Lambda \subseteq \Lambda'$  we have that  $\Lambda'$  is type 2.

*Proof.* The claim about sets contained by  $\Lambda$  is obvious from Theorem 2.5. As  $\Lambda$  satisfies (11), we obtain from Theorem 2.6 that every  $\Lambda'$  containing  $\Lambda$  is type 2.

### **3** Independent elements

**Theorem 3.1.** Let  $\{m_k\}_{k=1}^N$  and  $\{n_k\}_{k=1}^N$  be strictly increasing sequences of positive integers, where either  $N \in \mathbb{N}$ , or  $N = \infty$ . If  $N \in \mathbb{N}$  we define  $n_{N+1} = \infty$ . Define

$$\Lambda_1 = \bigcup_{k=1}^N (2^{-m_k} \mathbb{N} \cap [n_k, n_{k+1}))$$

Let  $\Lambda_2 = \{\alpha_k : k \in \mathbb{N}\}$  be an algebraically independent set of irrational numbers, where  $\alpha_k \nearrow \infty$ . Then  $\Lambda_* = \Lambda_1 \cup \Lambda_2$  is type 2.

Observe that  $\Lambda_1$  can be any of the sets from Examples 1.9 or 1.11, hence  $\Lambda_1$ can be a type 1 set which is converted in this case into a type 2 set after we add the independent numbers.

*Proof.* We assume that  $0 < \alpha_1 < \alpha_2 < \ldots$  and choose a subsequence  $\{\alpha_{\nu_k}\} := \{\beta_k\}$ such that

$$\beta_{k+1} - \beta_k > 5 \quad \forall k \in \mathbb{N}.$$
(12)

For each  $k \in \mathbb{N}$  we define

$$B_k = \{\beta_{2^{k+1}}, \beta_{2^{k+2}}, \dots, \beta_{2^{k+1}}\} \text{ and } A_k = \{\alpha_1, \alpha_2, \dots, \alpha_{\nu_{2^{k+1}}} = \beta_{2^{k+1}}\}.$$

We also define

 $r_k = \sup\{m_l: n_l \le \beta_{2^{k+1}} + 1\},\$ 

and let  $A_k^* = \{2^{r_k}\alpha : \alpha \in A_k\}.$ For each  $i = 1, 2, \dots, 2^k$  we define

$$\alpha_{i,k} = \beta_{2^k+i} = \alpha_{\nu_{2^k+i}}.$$

For each  $k \in \mathbb{N}$  we let  $\mathbf{n} = \mathbf{n}(k) = 2^k$ . Note that  $A_k^*$  is a finite set of algebraically independent numbers and therefore using Kronecker's Theorem (Theorem 1.14) we may choose  $p_k \in \mathbb{N}$  such that

$$\left| \left| p_k 2^{r_k} \alpha_{i,k} + \frac{i}{\mathbf{n}} \right| \right| \le \frac{1}{10\mathbf{n}}, \qquad i = 1, 2, \dots, \mathbf{n}$$
(13)

and

$$\left| \left| p_k 2^{r_k} \alpha_j \right| \right| \le \frac{1}{10\mathbf{n}} \text{ for } \alpha_j \in A_k \backslash B_k.$$
(14)

We also define  $t_k = p_k 2^{r_k}$  and for each  $i \in \{1, 2, ..., 2^k\}$  and  $k \in \mathbb{N}$  we define

$$S_{i,k} = \left(\bigcup_{j=1}^{\infty} \left[\frac{j}{t_k} - \frac{1}{\mathbf{n}t_k}, \frac{j}{t_k} + \frac{1}{\mathbf{n}t_k}\right]\right) \cap [\alpha_{i,k}, \alpha_{i,k} + 1]$$

and then define

$$S = \bigcup_{k=1}^{\infty} \bigcup_{i=1}^{2^{k}} S_{i,k} = \bigcup_{k=1}^{\infty} S_{k}$$

and  $f = \mathbf{1}_S$ . Note that by (12) we have

$$dist(S_{i,k}, S_{i',k'}) \ge 4$$
 if  $(i,k) \ne (i',k')$ . (15)

Claim 3.2.  $[\frac{1}{4}, \frac{3}{4}] \subset D(f, \Lambda_*).$ 

Proof of Claim 3.2. Let  $x \in [\frac{1}{4}, \frac{3}{4}]$  and  $k \in \mathbb{N}$  and recall that  $\mathbf{n} = 2^k$ . Choose  $i \in \mathbb{N}$  and  $l_k \in \{1, 2, \ldots, 2^k\}$  such that

$$\left|x - \frac{i}{t_k} - \frac{l_k}{\mathbf{n}t_k}\right| \le \frac{1}{2\mathbf{n}t_k}.$$
(16)

We will show that  $x + \alpha_{l_k,k} \in S_{l_k,k} \subset S$ . Note that by (13) we have

$$\left|\left|t_k \alpha_{l_k,k} + \frac{l_k}{\mathbf{n}}\right|\right| \le \frac{1}{10\mathbf{n}}.$$
(17)

Thus, we can choose  $i' \in \mathbb{Z}$  such that

$$\left|t_k \alpha_{l_k,k} - i' + \frac{l_k}{\mathbf{n}}\right| \le \frac{1}{10\mathbf{n}} \tag{18}$$

and therefore

$$\left|\alpha_{l_k,k} - \frac{i'}{t_k} + \frac{l_k}{\mathbf{n}t_k}\right| \le \frac{1}{10\mathbf{n}t_k}.$$
(19)

It follows from (16) and (19) that

$$\left|x + \alpha_{l_k,k} - \frac{i+i'}{t_k}\right| < \frac{1}{\mathbf{n}t_k}.$$
(20)

Since  $x \in [\frac{1}{4}, \frac{3}{4}]$ , it follows that  $x + \alpha_{l_k,k} \in [\alpha_{l_k,k}, \alpha_{l_k,k}+1]$  and therefore  $x + \alpha_{l_k,k} \in S_{l_k,k} \subset S$ . Thus,

$$\sum_{i=1}^{\infty} f(x + \alpha_i) \ge \sum_{k=1}^{\infty} f(x + \alpha_{l_k,k}) = \infty.$$

Since x was chosen arbitrarily from  $[\frac{1}{4}, \frac{3}{4}]$ , it follows that  $[\frac{1}{4}, \frac{3}{4}] \subset D(f, \Lambda_2) \subset D(f, \Lambda_*)$ , as claimed.

**Claim 3.3.**  $\mu(C(f, \Lambda_*) \cap [3, 4]) = 1.$ 

Proof of Claim 3.3. For each  $k \in \mathbb{N}$  let

$$F_{k} = [3, 4] \cap \left(\bigcup_{j \in \mathbb{N}} \left[\frac{j}{t_{k}} - \frac{3}{2\mathbf{n}t_{k}}, \frac{j}{t_{k}} + \frac{3}{2\mathbf{n}t_{k}}\right]\right),$$
$$\Lambda_{i,k} = \{\alpha \in \Lambda_{2} : ([3, 4] + \alpha) \cap S_{i,k} \neq \emptyset\},$$
$$\Lambda_{k} = \bigcup_{i=1}^{2^{k}} \Lambda_{i,k},$$

and define

$$\boldsymbol{s}_k(x) = \sum_{\alpha \in \boldsymbol{\Lambda}_k} f(x+\alpha).$$

Note that

$$\sum_{\alpha \in \Lambda_2} f(x + \alpha) = \sum_{k=1}^{\infty} \boldsymbol{s}_k(x).$$

Let  $D_k = \{x \in [3,4] : \mathbf{s}_k(x) > 0\}$ . We claim that  $D_k \subset F_k$ . For each  $i = 1, 2, ..., 2^k$  let

$$D_{i,k} = \{ x \in [3,4] : x + \alpha \in S_{i,k} \text{ for some } \alpha \in \Lambda_{i,k} \}.$$

Note that  $D_k = \bigcup_{i=1}^{2^k} D_{i,k}$ . Let  $x \in D_k$ . Then choose *i* such that  $x \in D_{i,k}$  and choose  $\alpha \in \Lambda_{i,k}$  such that  $x + \alpha \in S_{i,k}$ . It follows that  $\alpha_{i,k} - 4 \leq \alpha \leq \alpha_{i,k} - 2$  and thus by (15) we have that  $\alpha \in A_k \setminus B_k$ . Therefore, by (14) we have

$$||t_k\alpha|| \le \frac{1}{10\mathbf{n}},\tag{21}$$

where  $\mathbf{n} = 2^k$ . Thus we can choose  $j \in \mathbb{N}$  such that  $|t_k \alpha - j| \leq \frac{1}{10\mathbf{n}}$ , and hence

$$\left|\alpha - \frac{j}{t_k}\right| \le \frac{1}{10\mathbf{n}t_k}.\tag{22}$$

Moreover, since  $x + \alpha \in S_{i,k}$  we can choose  $j' \in \mathbb{N}$  such that

$$\left|x + \alpha - \frac{j'}{t_k}\right| \le \frac{1}{\mathbf{n}t_k}.$$
(23)

From (22) and (23) we deduce that  $|x - \frac{j'-j}{t_k}| \leq \frac{1}{\mathbf{n}t_k} + \frac{1}{10\mathbf{n}t_k} < \frac{3}{2\mathbf{n}t_k}$  and hence we can conclude that  $x \in F_k$ . It follows that  $\mu(D_k) \leq \mu(F_k) \leq \frac{1}{2^{k-2}}$  and thus  $\sum_{k=1}^{\infty} \mu(D_k) < \infty$ . Thus by the Borel–Cantelli Lemma we obtain

$$\mu\Big(\Big\{x\in[3,4]:\ \sum_{k=1}^{\infty}\boldsymbol{s}_k(x)=\infty\Big\}\Big)=0$$

and therefore  $\mu(C(f, \Lambda_2) \cap [3, 4]) = 1$ .

To complete the proof of Claim 3.3 we need to show that  $\mu(C(f, \Lambda_1) \cap [3, 4]) = 1$ . Define

$$\Lambda_k^1 = \{\lambda \in \Lambda_1 : ([3,4] + \lambda) \cap S_k \neq 0\}$$

and

$$u_k(x) = \sum_{\lambda \in \Lambda_k^1} f(x+\lambda),$$

so  $\sum_{\lambda \in \Lambda_1} f(x+\lambda) = \sum_{k=1}^{\infty} u_k(x)$ . Also, we define

$$E_k = \{x \in [3,4] : x + \lambda \in S_k \text{ for some } \lambda \in \Lambda_1\}$$

Note that  $E_k = \{x \in [3, 4] : u_k(x) > 0\}$ . By the Borel–Cantelli Lemma, it remains to show that  $\sum_{k=1}^{\infty} \mu(E_k) < \infty$ .

For every  $\lambda \in \Lambda_k^1$  we have  $\lambda \leq \beta_{2^{k+1}} - 2$  and hence  $\lambda \in 2^{-r_k} \mathbb{N}$ . Since  $2^{r_k}$  divides  $t_k$ , it follows that

$$E_k \subset \left(\bigcup_{j=1}^{\infty} \left[\frac{j}{t_k} - \frac{1}{\mathbf{n}t_k}, \frac{j}{t_k} + \frac{1}{\mathbf{n}t_k}\right]\right) \cap [3, 4] := G_k.$$

Since  $\mu(G_k) = \frac{2}{\mathbf{n}} = \frac{1}{2^{k-1}}$ , it follows that  $\sum_{k=1}^{\infty} \mu(E_k) < \infty$ .

Hence the proofs of Claim 3.3 and of Theorem 3.1 are complete.

Looking at Theorems 2.5 and 3.1, one might guess that any discrete set  $\Lambda$  containing infinitely many algebraically independent numbers is type 2. As our next result (Theorem 3.4) shows, this is not the case:

**Theorem 3.4.** There exists a discrete set  $\Lambda$  which is type 1 and which includes infinitely many algebraically independent numbers.

*Proof.* Let  $\{\alpha_1, \alpha_2, \dots\}$  be a sequence of algebraically independent irrational numbers. For each  $k, n \in \mathbb{N}$  we define

$$A_{n,k} = \left\{ \alpha_n + \frac{j}{2^k} : \ j \in \mathbb{Z} \text{ and } 0 < \alpha_n + \frac{j}{2^k} < 1 \right\}.$$

Let  $P = \{(i, j) \in \mathbb{N} \times \mathbb{N} : i \leq j\}$ . and define an anti-lexicographical ordering on P as follows:

$$(i, j) < (i', j')$$
 if either  $j < j'$  or  $(j = j' \text{ and } i < i')$ .

Now define  $\{A_k\}_{k\in\mathbb{N}}$  so that

for each  $(i, j) \in P$  there exists  $k \in \mathbb{N}$  such that  $A_k = A_{i,j}$ ,

and

if 
$$k < k'$$
 and  $A_k = A_{i,j}$  and  $A_{k'} = A_{i',j'}$ , then  $(i,j) < (i',j')$ .

For each  $k \in \mathbb{N}$  we also define  $B_k = \bigcup_{n=1}^k A_n$ .

We are now ready to define  $\Lambda$ . For each  $k \in \mathbb{N}$  we define

$$\Lambda_k = \bigcup_{i=0}^{2^k - 1} (B_k + 2^k + i)$$

and let  $\Lambda = \bigcup_{k=1}^{\infty} \Lambda_k$ . Note that

 $(\Lambda \cap [n, n+1)) + 1 \subset \Lambda \cap [n+1, n+2) \text{ for all } n \in \mathbb{N}$ (24)

and

$$(\Lambda \cap [n, n+1)) + 1 = \Lambda \cap [n+1, n+2)$$
 if  $n \neq 2^k$ .

We continue with a few more definitions:

**Definition 3.5.** Given  $i \in \mathbb{N}$ , and  $n \in \mathbb{Z}$  we say that  $\Gamma$  is  $\frac{1}{2^i}$  periodic on [n, n+1] if

$$\left(\Gamma \cap \left[n + \frac{j-1}{2^i}, n + \frac{j}{2^i}\right]\right) + \frac{1}{2^i} = \Gamma \cap \left[n + \frac{j}{2^i}, n + \frac{j+1}{2^i}\right]$$
 for  $j = 1, 2, \dots, 2^i - 1$ .

**Lemma 3.6.** Suppose that  $i \in \mathbb{N}$ . If  $n \geq N(i) := 2^{\frac{(i-1)\cdot i}{2}+1}$ , then  $\Lambda$  is  $\frac{1}{2^i}$  periodic on [n, n+1].

*Proof.* The proof is straightforward and left to the reader.

In order to get a contradiction we now suppose that  $\Lambda$  is type 2. Then by Theorem 1.3 we can find a measurable set S and a characteristic function  $f = \mathbf{1}_S$ such that  $\mu(C(f, \Lambda)) > 0$  and  $\mu(D(f, \Lambda)) > 0$ .

Observe that for any  $k \in \mathbb{N}$  the set  $(\Lambda + 1/2^k) \setminus \Lambda$  is a finite set and hence condition (\*) of Proposition 1.7 is satisfied. Hence  $C(f, \Lambda)$  is a right half-line and  $D(f, \Lambda)$  is a left half-line modulo sets of measure zero.

Then we can choose intervals  $I_C$  and  $I_D$  of unit length such that

$$\mu(D(f,\Lambda) \cap I_D) = 1 \text{ and } \mu(C(f,\Lambda) \cap I_C) = 1.$$
(25)

We assume without loss of generality that  $I_C = [0, 1]$  and  $I_D = [-N, -(N-1)]$  for some  $N \in \mathbb{N}$ , where

$$N \ge 3. \tag{26}$$

Since f(x) > 0 implies that f(x) = 1, we can choose  $\mathbf{C} \subset C(f, \Lambda) \cap I_C$  and  $M \in \mathbb{N}$  such that

$$\mu(\mathbf{C}) > 0.8 \tag{27}$$

and

for all  $\lambda \in \Lambda \cap [M, \infty)$  and for all  $x \in \mathbf{C}$  we have  $x + \lambda \notin S$ . (28)

We also assume that M is chosen so that

$$M > 2N. \tag{29}$$

We define  $E = I_C \setminus \mathbf{C}$  and  $\mathbf{D} = E - N$  and for each  $n \in \mathbb{N}$  let

$$S'_n = S \cap I_n \text{ where } I_n = [n, n+1),$$
$$S_n = \{ y \in I_n : (y - \Lambda) \cap \mathbf{C} = \emptyset \}, \qquad \widetilde{S}_n = S_n - n,$$

$$D_n = (S_n - \Lambda) \cap I_D$$
.

We also define  $D'_n = D_n \setminus \mathbf{D} = D_n \setminus (E - N)$  and we let  $D''_n = D_n \cap \mathbf{D}$  so  $D_n = D'_n \cup D''_n$ . Note that by our choice of M and (24) we have  $S'_n \subset S_n$  for all n > M. For each  $n \in \mathbb{N}$  set

$$\Gamma_n = \Lambda \cap [n-1, n+1).$$

Observe that

$$S_n = \{ y \in I_n : (y - \Gamma_n) \cap \mathbf{C} = \emptyset \} \text{ and } D_n = (S_n - \Gamma_{n+N}) \cap I_D.$$
(30)

For the remainder of the proof we assume that n > M. Observe that by (29) we have  $n < n + N < \frac{3}{2}n$ . Choose  $m \in \mathbb{N}$  such that  $2^m < n \leq 2^{m+1}$ . It follows that

$$\Gamma_n, \Gamma_{n+N} \subset \Lambda_m \cup \Lambda_{m+1}. \tag{31}$$

Let p be the largest integer such that

$$\Lambda$$
 is  $\frac{1}{2^p}$  periodic on  $I_{n-1}$ .

Let  $V = \{2^k : k \in \mathbb{N}\}$ . We make the following useful observations:

$$\Gamma_n + 1 \subset \Gamma_{n+1},\tag{32}$$

$$S_n \subset S_{n-1} + 1$$
 and hence  $\widetilde{S}_n \subset \widetilde{S}_{n-1}$ , (33)

$$\Gamma_n + 1 = \Gamma_{n+1} \text{ as long as } \{n, n+1\} \cap V = \emptyset, \tag{34}$$

$$\Gamma_n \text{ is } \frac{1}{2^p} \text{ periodic on } [n-1, n+1] \text{ as long as } n \notin V,$$
(35)

$$S_n$$
 is  $\frac{1}{2^p}$  periodic on  $I_n$  as long as  $n \notin V$ , (36)

$$S_n + 1 = S_{n+1} \text{ as long as } \{n, n+1\} \cap V = \emptyset.$$
(37)

Let  $\widetilde{\Gamma}_n = \Gamma_{n+N} \setminus (\Gamma_n + N)$  and note that by the definition of  $\Lambda$  and p and (31) we have

$$\#\left(\widetilde{\Gamma}_n \cap \left[n+N-1+\frac{j-1}{2^p}, n+N-1+\frac{j}{2^p}\right]\right) \le 2 \tag{38}$$

for  $j = 1, 2, ..., 2^{p+1}$ . Define

$$T_n = (\Lambda + D'_n) \cap S_n.$$

Next we prove that  $T_n = (\widetilde{\Gamma}_n + D'_n) \cap S_n$ . From  $x \in D'_n$  it follows that  $x \notin \mathbf{D} = (I_C \setminus \mathbf{C}) - N$  and hence  $x \in \mathbf{C} - N$ . This implies that  $x + N + \lambda \notin S_n$  for  $\lambda \in \Gamma_n$ . On the other hand, obviously  $(\Lambda + D'_n) \cap S_n = (\Gamma_{n+N} + D'_n) \cap S_n \supset (\widetilde{\Gamma}_n + D'_n) \cap S_n$ . Now we show that

$$T_n - \widetilde{\Gamma}_n \supset D'_n. \tag{39}$$

Indeed, if  $x \in D'_n$  then there exists  $\lambda \in \widetilde{\Gamma}_n$  such that  $x + \lambda = y \in S_n$ . Then  $y \in (\widetilde{\Gamma}_n + D'_n) \cap S_n = T_n$  and  $x = y - \lambda \in T_n - \widetilde{\Gamma}_n$ .

We claim that

$$(T_n + N) \cap S_{n+N} = \emptyset. \tag{40}$$

To prove this claim let  $y' \in T_n + N$ . Then y' = y + N, where  $y \in T_n$ . Thus, we can choose  $x \in D'_n$  and  $\lambda \in \widetilde{\Gamma}_n$  such that  $x + \lambda = y$ . Since  $x + N \in \mathbb{C}$  and  $y' = x + N + \lambda$ , we see that  $y' \notin S_{n+N}$ , as desired.

Let  $\widetilde{T}_n = T_n - n \subset \widetilde{S}_n$ . Observe that since by (33),  $\widetilde{S}_{n+1} \subset \widetilde{S}_n$ , from (40) and the fact that  $\widetilde{T}_n \subset \widetilde{S}_n$ , we conclude that

$$\widetilde{T}_{n+kN} \cap \widetilde{T}_n \subset \widetilde{S}_{n+kN} \cap \widetilde{T}_n \subset \widetilde{S}_{n+N} \cap \widetilde{T}_n = \emptyset \text{ for all } k \in \mathbb{N}.$$
(41)

We next examine several cases depending on the membership of n and n + N in V as equations (34-37) show that these are the exceptional cases.

First suppose that  $n \in V$ . In this case, from (26), (29) and n > M we conclude that  $\{n+N-1, n+N\} \cap V = \emptyset$  and therefore by (34),  $\Gamma_{n+N} = \Gamma_{n+N-1} + 1$ . Since we also have  $S_n \subset S_{n-1} + 1$ , it follows that

$$D_n = (S_n - \Gamma_{n+N}) \cap I_D \subset (S_{n-1} - \Gamma_{n+N-1}) \cap I_D = D_{n-1}$$
(42)

and hence

$$D'_{n} = D_{n} \backslash \mathbf{D} \subset D_{n-1} \backslash \mathbf{D} = D'_{n-1}.$$
(43)

Now suppose that  $n + N \in V$ . In this case, using (26), (29) and n > M again, we see that  $\{n, n + 1\} \cap V = \emptyset$  and therefore (37) holds and hence  $S_n + 1 = S_{n+1}$ . By (32) we also have  $\Gamma_{n+N} + 1 \subset \Gamma_{n+N+1}$ . Therefore, we obtain

$$D_n = (S_n - \Gamma_{n+N}) \cap I_D \subset (S_{n+1} - \Gamma_{n+N+1}) \cap I_D = D_{n+1}$$
(44)

and hence

$$D'_{n} = D_{n} \backslash \mathbf{D} \subset D_{n+1} \backslash \mathbf{D} = D'_{n+1}.$$
(45)

Finally, suppose that  $\{n, n + N\} \cap V = \emptyset$ . In this case we have the following:

$$S_n$$
 is  $\frac{1}{2^p}$  periodic on  $I_n$ ,  
 $\Gamma_{n+N}$  is  $\frac{1}{2^p}$  periodic on  $[n+N-1, n+N+1]$ 

and

$$\Gamma_n$$
 is  $\frac{1}{2^p}$  periodic on  $[n-1, n+1]$ .

It follows that  $\widetilde{\Gamma}_n$  is  $\frac{1}{2^p}$  periodic on [n+N-1, n+N+1] and thus  $T_n$  is  $\frac{1}{2^p}$  periodic on  $I_n$ . Therefore  $T_n - \widetilde{\Gamma}_n$  is  $\frac{1}{2^p}$  periodic on  $I_D$ . Using this fact, along with (38) and (39) we conclude that

$$\mu(D'_n) \le 2\mu(T_n) = 2\mu(\widetilde{T}_n). \tag{46}$$

Now let  $R = \{j > M : \{j, j + N\} \cap V = \emptyset\}$  and for each k = 1, 2, ..., N define  $N_k = \{M + k, M + k + N, M + k + 2N, ...\}$ . Then using (41) and (46) we deduce that for k = 1, 2, ..., N we have

$$\sum_{j \in N_k \cap R} \mu(D'_j) \le 2 \sum_{j=0}^{\infty} \mu(\widetilde{T}_{M+k+jN})$$

$$= 2\mu(\bigcup_{j=0}^{\infty} \widetilde{T}_{M+k+jN})$$

$$\le 2.$$

$$(47)$$

Now let  $R_1 = \{j > M : j \in V\}$  and  $R_2 = \{j > M : j + N \in V\}$ . Then  $R_1 - 1 \subset R$ and  $R_2 + 1 \subset R$ . Using this fact along with (43), (45) and (47-48) we see that for  $k = 1, 2, \ldots N$  we have  $\sum_{j \in N_k \cap R_i} \mu(D'_j) \leq 2$  for i = 1, 2. Putting this together with (47-48) we deduce that

$$\sum_{j=M+1}^{\infty} \mu(D'_j) < 6N < \infty.$$

Let  $G = \{x \in I_D \setminus \mathbf{D} : \sum_{\lambda \in \Lambda} f(x + \lambda) = \infty\}$ . Then the above inequality and the Borel–Cantelli Lemma tell us that  $\mu(G) = 0$ . Therefore, we have shown that  $\mu(D(f, \Lambda) \setminus \mathbf{D}) = 0$  and hence it follows that  $\mu(D(f, \Lambda) \cap I_D) \leq \mu(\mathbf{D}) < 0.2$  which contradicts (25), as desired.

## 4 Unions and Minkowski sums

**Proposition 4.1.** If  $\Lambda_1, \Lambda_2 \subset \mathbb{R}$  are type 1 sets then  $\Lambda_1 \cup \Lambda_2$  is also type 1.

*Proof.* Let  $f : \mathbb{R} \to \mathbb{R}$  be a non-negative measurable function. For every  $x \in \mathbb{R}$  we have

$$\max\left(\sum_{\lambda\in\Lambda_1} f(x+\lambda), \sum_{\lambda\in\Lambda_2} f(x+\lambda)\right) \le \sum_{\lambda\in\Lambda_1\cup\Lambda_2} f(x+\lambda) \le \sum_{\lambda\in\Lambda_1} f(x+\lambda) + \sum_{\lambda\in\Lambda_2} f(x+\lambda),$$

hence

$$D(f, \Lambda_1), D(f, \Lambda_2) \subset D(f, \Lambda_1 \cup \Lambda_2) \subset D(f, \Lambda_1) \cup D(f, \Lambda_2),$$

i.e. if  $\mu(\mathbb{R} \setminus D(f, \Lambda_1)) = 0$  or  $\mu(\mathbb{R} \setminus D(f, \Lambda_2)) = 0$  then  $\mu(\mathbb{R} \setminus D(f, \Lambda_1 \cup \Lambda_2)) = 0$ , and  $\mu(D(f, \Lambda_1)) = \mu(D(f, \Lambda_2)) = 0$  implies  $\mu(D(f, \Lambda_1 \cup \Lambda_1)) = 0$ , thus  $\Lambda_1 \cup \Lambda_2$  is type 1. **Proposition 4.2.** There exist two decreasing gap asymptotically dense type 2 sets  $\Lambda_1$  and  $\Lambda_2$  such that there union is type 1.

Proof. Set

$$\Lambda_1 = \bigcup_{i=0}^{\infty} \left( \left( \bigcup_{n=2^{2i}}^{2^{2i+1}-1} (2^{-n} \cdot \mathbb{Z}) \cap [n, n+1) \right) \cup \left( [2^{2i+1}, 2^{2i+2}) \cap (2^{-2^{(2i+1)}} \cdot \mathbb{Z}) \right) \right),$$

and

$$\Lambda_2 = \bigcup_{i=0}^{\infty} \left( \left( \bigcup_{n=2^{2i+1}}^{2^{2i+2}-1} \left( (2^{-n} \cdot \mathbb{Z}) \cap [n, n+1) \right) \right) \cup \left( [2^{2i}, 2^{2i+1}) \cap (2^{2^{-2i}} \cdot \mathbb{Z}) \right) \right).$$

For every  $i \in \mathbb{N}$  we have

$$\frac{\#\left(\Lambda_1 \cap [2^{2i}, 2^{2i} + 1)\right)}{\#\left(\Lambda_1 \cap [2^{2i} - 1, 2^{2i})\right)} = \frac{2^{2^{2i}}}{2^{2^{2i-1}}} = 2^{2^{2i-1}},$$

and

$$\frac{\#\left(\Lambda_2\cap[2^{2i+1},2^{2i+1}+1)\right)}{\#\left(\Lambda_2\cap[2^{2i+1}-1,2^{2i+1})\right)} = \frac{2^{2^{2i+1}}}{2^{2^{2i}}} = 2^{2^{2i}}$$

hence  $\Lambda_1$  and  $\Lambda_2$  are type 2 by Theorem 2.1.

From the definition of these sets

$$\Lambda_1 \cup \Lambda_2 = \bigcup_{n=0}^{\infty} [n, n+1) \cap 2^{-n} \cdot \mathbb{Z},$$

which is a type 1 set according to Theorem 2.3.

**Theorem 4.3.** If the sets  $\Lambda = \{\lambda_0, \lambda_1, \ldots\}$  and  $\Lambda' = \{\lambda'_0, \lambda'_1, \ldots\}$  are type 1 then the Minkowski sum  $\Lambda + \Lambda'$  is also type 1.

*Proof.* We can assume that  $\lambda_0 = \lambda'_0 = 0$  as a translation does not change the type of a set.

Take a measurable characteristic function  $f \colon \mathbb{R} \to \mathbb{R}$  (by Theorem 1.3 it is enough to study characteristic functions). If  $\sum_{\lambda \in \Lambda} f(x + \lambda)$  diverges for almost every  $x \in \mathbb{R}$  then  $\sum_{\tilde{\lambda} \in \Lambda + \Lambda'} f(x + \tilde{\lambda})$  also diverges for almost every  $x \in \mathbb{R}$ , since  $\Lambda + \Lambda'$  contains  $\Lambda$ .

If  $\sum_{\lambda \in \Lambda} f(x + \lambda)$  converges almost everywhere, then the function g defined by  $g(x) := \sum_{\lambda \in \Lambda} f(x + \lambda)$  is a non-negative extended real valued function (that is  $g : \mathbb{R} \to [0, \infty]$ ), and it has a finite value almost everywhere. For every  $x \in \mathbb{R}$ 

$$\sum_{\lambda'\in\Lambda'}g(x+\lambda') = \sum_{\widetilde{\lambda}\in\Lambda+\Lambda'}\#\{\lambda\in\Lambda:\widetilde{\lambda}-\lambda\in\Lambda'\}\cdot f(x+\widetilde{\lambda}),\tag{49}$$

thus using that f is a characteristic function we obtain

$$\sum_{\lambda'\in\Lambda'}g(x+\lambda')<\infty \text{ if and only if } \sum_{\widetilde{\lambda}\in\Lambda+\Lambda'}f(x+\widetilde{\lambda})<\infty.$$
(50)

For every  $x \in \mathbb{R}$  let

$$g^*(x) := \begin{cases} g(x) & g(x) < \infty \\ 0 & g(x) = \infty. \end{cases}$$

As  $g^*$  and g agree almost everywhere, by (50) for almost every  $x \in \mathbb{R}$  we have

$$\sum_{\lambda'\in\Lambda'} g^*(x+\lambda') < \infty \text{ if and only if } \sum_{\widetilde{\lambda}\in\Lambda+\Lambda'} f(x+\widetilde{\lambda}) < \infty.$$
 (51)

Since  $\Lambda'$  is type 1,  $\sum_{\lambda' \in \Lambda'} g^*(x+\lambda')$  converges almost everywhere or diverges almost everywhere, hence  $\sum_{\lambda \in \Lambda+\Lambda'} f(x+\lambda)$  also converges almost everywhere or diverges almost everywhere according to (51).

**Proposition 4.4.** There is a type 2 set  $\Lambda$  such that for every  $\Lambda' = \{\lambda'_0, \lambda'_1, \ldots\}$  the Minkowski sum  $\Lambda + \Lambda'$  is type 2.

*Proof.* Let  $\Lambda$  be a type 2 set which is not contained by a type 1 set as guaranteed by Theorem 2.7. We can assume that  $\lambda_0 = \lambda'_0 = 0$  as a translation does not change the type of a set. Then  $\Lambda \subset \Lambda' + \Lambda$ , hence  $\Lambda' + \Lambda$  is also type 2.

It is useful to point out that it is easy to construct examples of type 2 sets with type 1 sum or a type 2 and a type 1 set with type 1 sum. For instance, we take  $\Lambda_1$  and  $\Lambda_2$  from the proof of Proposition 4.2 and let  $\Lambda = \Lambda_1 \cup \Lambda_2$ . We know that  $\Lambda_1$  and  $\Lambda_2$  are type 2 and  $\Lambda$  is type 1. All of them contain 0 hence  $\Lambda$  is a subset of  $\Lambda_1 + \Lambda_2$  and  $\Lambda_1 + \Lambda$ . Since  $\lambda + \Lambda \subset \Lambda$  for every  $\lambda \in \Lambda$ , we also have  $\Lambda_1 + \Lambda_2, \Lambda_1 + \Lambda \subset \Lambda$ , therefore  $\Lambda = \Lambda_1 + \Lambda_2 = \Lambda_1 + \Lambda$  is a type 1 set.

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