

# On bisecants of Rédei type blocking sets and applications

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## Abstract

If  $\mathcal{B}$  is a minimal blocking set of size less than  $3(q+1)/2$  in  $\text{PG}(2, q)$ ,  $q$  is a power of the prime  $p$ , then Szőnyi's result states that each line meets  $\mathcal{B}$  in  $1 \pmod{p}$  points. It follows that  $\mathcal{B}$  cannot have bisecants, i.e. lines meeting  $\mathcal{B}$  in exactly two points. If  $q > 13$ , then there is only one known minimal blocking set of size  $3(q+1)/2$  in  $\text{PG}(2, q)$ , the so called projective triangle. This blocking set is of Rédei type and it has  $3(q-1)/2$  bisecants, which have a very strict structure. We use polynomial techniques to derive structural results on Rédei type blocking sets from information on their bisecants. We apply our results to point sets of  $\text{PG}(2, q)$  with few odd-secants.

In particular, we improve the lower bound of Balister, Bollobás, Füredi and Thompson on the number of odd-secants of a  $(q+2)$ -set in  $\text{PG}(2, q)$  and we answer a related open question of Vandendriessche. We prove structural results for semiovals and derive the non existence of semiovals of size  $q+3$  when  $p \neq 3$  and  $q > 5$ . This extends a result of Blokhuis who classified semiovals of size  $q+2$ , and a result of Bartoli who classified semiovals of size  $q+3$  when  $q \leq 17$ . In the  $q$  even case we can say more applying a result of Szőnyi and Weiner about the stability of sets of even type. We also obtain a new proof to a result of Gács and Weiner about  $(q+t, t)$ -arcs of type  $(0, 2, t)$  and to one part of a result of Ball, Blokhuis, Brouwer, Storme and Szőnyi about functions over  $\text{GF}(q)$  determining less than  $(q+3)/2$  directions.

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## 1 Introduction

A *blocking set*  $\mathcal{B}$  of  $\text{PG}(2, q)$ , the Desarguesian projective plane of order  $q$ , is a point set meeting every line of the plane.  $\mathcal{B}$  is called *non-trivial* if it contains no line and *minimal* if  $\mathcal{B}$  is minimal subject to set inclusion. A point  $P \in \mathcal{B}$  is said to be *essential* if  $\mathcal{B} \setminus \{P\}$  is not a blocking set. For a point set  $\mathcal{S}$  and a line  $\ell$  we say that  $\ell$  is a  $k$ -secant of  $\mathcal{S}$  if  $\ell$  meets  $\mathcal{S}$  in  $k$  points. If  $k = 1$ ,  $k = 2$ , or  $k = 3$ , then we call  $\ell$  a tangent to  $\mathcal{S}$ , a bisecant of  $\mathcal{S}$ , or a trisecant of  $\mathcal{S}$ , respectively. We usually consider  $\text{PG}(2, q)$  as  $\text{AG}(2, q)$ , the Desarguesian affine plane of order  $q$ , extended by the line at infinity,  $\ell_\infty$ . Throughout the paper  $q$  will always denote a power of  $p$ ,  $p$  prime. For the points of  $\text{AG}(2, q)$  we use cartesian coordinates. The infinite point (or *direction*) of lines with slope  $m$  will be denoted by  $(m)$ , the infinite point of vertical lines will be denoted by  $(\infty)$ . Let  $\mathcal{U} = \{(a_i, b_i)\}_{i=1}^q$  be a set of  $q$  points of  $\text{AG}(2, q)$ . The set of *directions determined by*  $\mathcal{U}$  is  $\mathcal{D}_{\mathcal{U}} := \left\{ \left( \frac{b_i - b_j}{a_i - a_j} \right) : i \neq j \right\}$ . It is easy to see that  $\mathcal{B} := \mathcal{U} \cup \mathcal{D}_{\mathcal{U}}$  is a blocking set of  $\text{PG}(2, q)$  with the property that there is a line, the line at infinity, which meets  $\mathcal{B}$  in exactly  $|\mathcal{B}| - q$  points. If  $|\mathcal{D}_{\mathcal{U}}| \leq q$ , then  $\mathcal{B}$  is minimal. Conversely, if  $\mathcal{B}$  is a minimal blocking set of size  $q + N \leq 2q$  and there is a line meeting  $\mathcal{B}$  in  $N$  points, then  $\mathcal{B}$  can be obtained from the above construction. Blocking sets of size  $q + N \leq 2q$  with an  $N$ -secant are called blocking sets of *Rédei type*, the  $N$ -secants of the blocking set are called *Rédei lines*. If the  $q$ -set  $\mathcal{U}$  does not determine every direction, then  $\mathcal{U}$  is affinely equivalent to the graph of a function  $f$  from  $\text{GF}(q)$  to  $\text{GF}(q)$ , i.e.  $\mathcal{U} = \{(x, f(x)) : x \in \text{GF}(q)\}$ . Note that  $f(x) - cx$  is a permutation polynomial if and only if  $(c)$  is a direction not determined by the graph of  $f$ , see [14] by Evans, Greene, Niederreiter. A blocking set is said to be *small*, if its size is less than  $q + (q + 3)/2$ . Small minimal Rédei type blocking sets, or equivalently, functions determining less than  $(q + 3)/2$  directions, have been characterized by Ball, Blokhuis, Brouwer, Storme, Szőnyi and Ball, see [3, 2]. From these results it follows that such blocking sets meet each line of the plane in  $1 \pmod{p}$  points. This property holds for any small minimal blocking set, as it was proved by Szőnyi in [25].

It follows from the above mentioned results that minimal blocking sets with bisecants cannot be small. If  $q$  is odd, then the smallest known non-small minimal Rédei type blocking set is the following set of  $q + (q + 3)/2$  points (up to projective equivalence):

$$\mathcal{B} := \{(0 : 1 : a), (1 : 0 : a), (-a : 1 : 0) : a \text{ a square in } \text{GF}(q)\} \cup \{(0 : 0 : 1)\}.$$

In the book of Hirschfeld [17, Lemma 13.6 (i)] this example is called the *projective triangle*.  $\mathcal{B}$  has three Rédei lines and has the following properties.

Through each point of  $\mathcal{B}$  there passes a bisecant of  $\mathcal{B}$ . If  $\mathcal{H} \subset \mathcal{B}$  is a set of collinear points such that there passes a unique bisecant of  $\mathcal{B}$  through each point of  $\mathcal{H}$  and there is a Rédei line  $\ell$  disjoint from  $\mathcal{H}$ , then the bisecants through the points of  $\mathcal{H}$  are contained in a pencil. In Theorem 2.4 we show that this property holds for any Rédei type blocking set. In fact, we prove the following stronger result. If  $R_1$  and  $R_2$  are points of  $\mathcal{B} \setminus \ell$ , such that for  $i = 1, 2$  there is a unique bisecant of  $\mathcal{B}$  through  $R_i$  and there is a point  $T \in \ell$ , such that  $TR_1$  and  $TR_2$  meet  $\mathcal{B}$  in at least four points, then for each  $M \in \ell$  the lines  $R_1M$  and  $R_2M$  meet  $\mathcal{B}$  in the same number of points. The essential part of our proof is algebraic, it is based on polynomials over  $\text{GF}(q)$ . We apply our results to point sets of  $\text{PG}(2, q)$  with few odd-secants, which we detail in the next paragraphs.

A semioval  $\mathcal{S}$  of a finite projective plane is a point set with the property that at each point of  $\mathcal{S}$  there passes exactly one tangent to  $\mathcal{S}$ . For a survey on semiovals see [19] by Kiss. In  $\text{PG}(2, q)$  Blokhuis characterized semiovals of size  $q - 1 + a$ ,  $a > 2$ , meeting each line in 0,1,2, or  $a$  points. He also proved that there is no semioval of size  $q + 2$  in  $\text{PG}(2, q)$ ,  $q > 7$ , see [6] and [9], where the term *seminuclear set* was used for semiovals of size  $q + 2$ . For another characterization of semiovals with special intersection pattern with respect to lines see [15] by Gács. We refine Blokhuis' characterization to obtain new structural results about semiovals of size  $q - 1 + a$  containing  $a$  collinear points. As an application, we prove the non-existence of semiovals of size  $q + 3$  in  $\text{PG}(2, q)$ ,  $5 < q$  odd when  $p \neq 3$ . For  $q \leq 17$  this was also proved by Bartoli in [4]. When  $q$  is small, then the spectrum of the sizes of semiovals in  $\text{PG}(2, q)$  is known, see [23] by Lisonek for  $q \leq 7$  and [20] by Kiss, Marcugini and Pambianco for  $q = 9$ . When  $q$  is even, then a stronger result follows from [27, Theorem 5.3] by Szőnyi and Weiner on the stability of sets of even type.

In the recent article [1] by Balister, Bollobás, Füredi and Thompson, the minimum number of odd-secants of an  $n$ -set in  $\text{PG}(2, q)$ ,  $q$  odd, was investigated. They studied in detail the case of  $n = q + 2$ . In our last section we improve their lower bound and we answer a related open question of Vandendriessche from [28].

Our Theorem 2.3 yields a new proof to [16, Theorem 2.5] by Gács and Weiner about  $(q + t, t)$ -arcs of type  $(0, 2, t)$ . In Section 3 we explain some connections between Theorem 2.3 and the direction problem.

## 2 Bisecants of Rédei type blocking sets

**Lemma 2.1.** *Let  $\mathcal{U}$  be a set of  $q$  points in  $\text{AG}(2, q)$  and denote by  $\mathcal{D}_{\mathcal{U}}$  the set of directions determined by  $\mathcal{U}$ . Take a point  $R = (a_0, b_0) \in \mathcal{U}$  and denote the remaining  $q - 1$  points of  $\mathcal{U}$  by  $(a_i, b_i)$  for  $i = 1, 2, \dots, q - 1$ . Consider the following polynomial:*

$$f(Y) := \prod_{i=1}^{q-1} ((a_i - a_0)Y - (b_i - b_0)) \in \text{GF}(q)[Y]. \quad (1)$$

For  $m \in \text{GF}(q)$  the following holds.

1. The line through  $R$  with direction  $m$  meets  $\mathcal{U}$  in  $k_m$  points if and only if  $m$  is a  $(k_m - 1)$ -fold root of  $f(Y)$ .
2. If  $(m) \notin \mathcal{D}_{\mathcal{U}}$ , then  $f(m) = -1$ .
3. If  $(\infty) \notin \mathcal{D}_{\mathcal{U}}$ , then the coefficient of  $Y^{q-1}$  in  $f$  is  $-1$ .

**Proof.** We have  $(a_j - a_0)m - (b_j - b_0) = 0$  for some  $j \in \{1, 2, \dots, q - 1\}$  if and only if  $(m)$ ,  $R$  and  $(a_j, b_j)$  are collinear. This proves part 1. To prove part 2, note that  $(a_j - a_0)m - (b_j - b_0) = (a_k - a_0)m - (b_k - b_0)$  for some  $j, k \in \{1, 2, \dots, q - 1\}$ ,  $j \neq k$ , if and only if  $(a_j - a_k)m - (b_j - b_k) = 0$ , i.e. if and only if  $(a_j, b_j)$ ,  $(a_k, b_k)$  and  $(m)$  are collinear. If  $(m) \notin \mathcal{D}_{\mathcal{U}}$ , then this cannot be and hence  $\{(a_i - a_0)m - (b_i - b_0) : i = 1, 2, \dots, q - 1\}$  is the set of non-zero elements of  $\text{GF}(q)$ . It follows that in this case  $f(m) = -1$ . If  $(\infty) \notin \mathcal{D}_{\mathcal{U}}$ , then  $\{a_i - a_0 : i = 1, 2, \dots, q - 1\}$  is the set of non-zero elements of  $\text{GF}(q)$ , and hence  $\prod_{i=1}^{q-1} (a_i - a_0) = -1$ .  $\blacksquare$

**Remark 2.2.** *For a set of affine points  $\mathcal{U} = \{(a_i, b_i)\}_{i=0}^k$  the Rédei polynomial of  $\mathcal{U}$  is  $\prod_{i=0}^k (X + a_i Y - b_i) = \sum_{j=0}^{k+1} h_j(Y) X^{k+1-j} \in \text{GF}(q)[X, Y]$ , where  $h_j(Y) \in \text{GF}(q)[Y]$  is a polynomial of degree at most  $j$ . Now suppose that  $\mathcal{U}$  is a  $q$ -set and  $(a_0, b_0) = (0, 0)$ . Then  $h_{q-1}(Y) = \sum_{j=0}^{q-1} \prod_{i \neq j} (a_i Y - b_i) = \prod_{i=1}^{q-1} (a_i Y - b_i)$  is the polynomial associated to the affine  $q$ -set  $\mathcal{U}$  as in Lemma 2.1. This polynomial also appears in Section 4 of Ball's paper [2].*

**Theorem 2.3.** *Let  $\mathcal{B}$  be a blocking set of Rédei type in  $\text{PG}(2, q)$ , with Rédei line  $\ell$ .*

1. *If there is a point in  $\mathcal{B} \setminus \ell$  which is not incident with any bisecant of  $\mathcal{B}$ , then  $\mathcal{B}$  is minimal and  $|\ell \cap \mathcal{B}| \equiv 1 \pmod{p}$ .*
2. *If  $R, R' \in \mathcal{B} \setminus \ell$  such that  $R$  and  $R'$  are not incident with any bisecant of  $\mathcal{B}$ , then  $|RM \cap \mathcal{B}| = |R'M \cap \mathcal{B}|$  for each  $M \in \ell$ .*

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**Proof.** It is easy to see that if there is a point  $R \in \mathcal{B} \setminus \ell$ , such that there is no bisecant of  $\mathcal{B}$  through  $R$ , then  $|\mathcal{B} \cap \ell| \leq q - 1$ . First we show that  $\mathcal{B}$  is minimal. As  $\mathcal{B}$  is of Rédei type, the points of  $\mathcal{B} \setminus \ell$  are essential in  $\mathcal{B}$ . Take a point  $D \in \mathcal{B} \cap \ell$ . As there is no bisecant through  $R$ , it follows that  $DR$  meets  $\mathcal{B}$  in at least three points and hence there is a tangent to  $\mathcal{B}$  at  $D$ , i.e.  $D$  is essential in  $\mathcal{B}$ .

We may assume that  $\ell = \ell_\infty$  and  $(\infty) \notin \mathcal{B}$ . Let  $R = (a_0, b_0)$  be a point of  $\mathcal{B} \setminus \ell$  which is not incident with any bisecant of  $\mathcal{B}$  and let  $\mathcal{U} = \mathcal{B} \setminus \ell_\infty = \{(a_i, b_i)\}_{i=0}^{q-1}$ . Consider the polynomial  $f(Y) = \prod_{i=1}^{q-1} ((a_i - a_0)Y - (b_i - b_0))$  introduced in (1). Let  $m \in \text{GF}(q)$ . According to Lemma 2.1 we have the following.

- If  $(m) \in \mathcal{B}$ , then  $f(m) = 0$ ,
- if  $(m) \notin \mathcal{B}$ , then  $f(m) = -1$ ,
- the coefficient of  $Y^{q-1}$  in  $f$  is  $-1$ .

Now let  $\ell_\infty \setminus (\mathcal{B} \cup \{(\infty)\}) = \{(m_1), (m_2), \dots, (m_k)\}$  and consider the polynomial

$$g(Y) := \sum_{i=1}^k (Y - m_i)^{q-1} - k.$$

For  $m \in \text{GF}(q)$  we have  $g(m) = f(m)$ . As both polynomials have degree at most  $q - 1$ , it follows that  $g(Y) = f(Y)$ . The coefficient of  $Y^{q-1}$  is  $k$  in  $g$  and hence  $p \mid k + 1$ . As  $k + 1 = q + 1 - |\mathcal{B} \cap \ell_\infty|$ , part 1 follows.

For  $(m) \notin \mathcal{B}$  the line through any point of  $\mathcal{U}$  with slope  $m$  meets  $\mathcal{B}$  in 1 point. For  $(m) \in \mathcal{B}$  the line through  $R$  with slope  $m$  meets  $\mathcal{B}$  in  $k_m + 2$  points if and only if  $m$  is a  $k_m$ -fold root of  $f(Y)$ . As  $f(Y) = g(Y)$ , and the coefficients of  $g(Y)$  depend only on the points of  $\mathcal{B} \cap \ell_\infty$ , it follows that  $k_m$  does not depend on the initial choice of the point  $R$ , as long as the chosen point is not incident with any bisecant of  $\mathcal{B}$ . This proves part 2. ■

**Theorem 2.4.** *Let  $\mathcal{B}$  be a blocking set of Rédei type in  $\text{PG}(2, q)$ , with Rédei line  $\ell$ .*

1. *If there is a point in  $\mathcal{B} \setminus \ell$  contained in a unique bisecant of  $\mathcal{B}$ , then  $|\mathcal{B} \cap \ell| \not\equiv 1 \pmod{p}$ .*
2. *If  $R_1, R_2 \in \mathcal{B} \setminus \ell$ , each of them is contained in a unique bisecant of  $\mathcal{B}$  and there is a point  $T \in \ell$  such that  $R_1T$  and  $R_2T$  both meet  $\mathcal{B}$  in at least four points, then for each  $M \in \ell$  we have  $|MR_1 \cap \mathcal{B}| = |MR_2 \cap \mathcal{B}|$ .*

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3. If  $R_1, R_2 \in \mathcal{B} \setminus \ell$ , each of them is contained in a unique bisecant of  $\mathcal{B}$  and the common point of these bisecants is on the line  $\ell$ , then for each  $M \in \ell$  we have  $|MR_1 \cap \mathcal{B}| = |MR_2 \cap \mathcal{B}|$ .

**Proof.** Let  $R$  be a point of  $\mathcal{B} \setminus \ell$  contained in a unique bisecant  $r$  of  $\mathcal{B}$ . First suppose  $|\mathcal{B} \cap \ell| = q$ . Then part 1 is trivial and there is no line through  $R$  meeting  $\mathcal{B}$  in at least 4 points, since otherwise we would get more than one bisecants through  $R$ . Suppose that  $R'$  is another point of  $\mathcal{B} \setminus \ell$  contained in a unique bisecant  $r'$  of  $\mathcal{B}$  and  $r \cap r' \in \ell$ . Let  $\{Q\} = \ell \setminus \mathcal{B}$ . Then  $RQ$  and  $R'Q$  are tangents to  $\mathcal{B}$  and  $|MR \cap \mathcal{B}| = |MR' \cap \mathcal{B}| = 3$  for each  $M \in (\ell \cap \mathcal{B}) \setminus \{r \cap r'\}$ . From now on, we assume  $k := q - |\mathcal{B} \cap \ell| \geq 1$ .

First we prove the theorem when  $\mathcal{B}$  is minimal. We may assume  $\ell = \ell_\infty$  and  $\ell_\infty \setminus \mathcal{B} = \{(\infty), (m_1), \dots, (m_k)\}$ .

As in the proof of Theorem 2.3, let  $\mathcal{U} = \mathcal{B} \setminus \ell_\infty = \{(a_i, b_i)\}_{i=0}^{q-1}$  and define  $f(Y)$  as in (1). Take  $m \in \text{GF}(q)$  and let  $t$  be the slope of the unique bisecant through  $R$ . From Lemma 2.1 we obtain the following.

$$f(m) = \begin{cases} -1 & \text{if } (m) \notin \mathcal{B}, \\ 0 & \text{if } (m) \in \mathcal{B} \setminus \{(t)\}, \\ f(t) \neq 0 & \text{if } m = t. \end{cases}$$

Consider the polynomial

$$g(Y) := f(t) + |\mathcal{B} \cap \ell_\infty| + \sum_{i=1}^k (Y - m_i)^{q-1} - f(t)(Y - t)^{q-1}. \quad (2)$$

For  $m \in \text{GF}(q)$  we have  $g(m) = f(m)$ . As both polynomials have degree at most  $q - 1$ , it follows that  $g(Y) = f(Y)$ . The coefficient of  $Y^{q-1}$  is  $-|\mathcal{B} \cap \ell_\infty| - f(t)$  in  $g$  and  $-1$  in  $f$ . It follows that  $p \mid |\mathcal{B} \cap \ell_\infty| + f(t) - 1$  and hence  $f(t) \equiv 1 - |\mathcal{B} \cap \ell_\infty| \equiv k + 1 \pmod{p}$ . If  $|\mathcal{B} \cap \ell_\infty| \equiv 1 \pmod{p}$ , then  $f(t) = 0$ , a contradiction. This proves part 1.

Now consider

$$\partial_Y g(Y) = - \sum_{i=1}^k (Y - m_i)^{q-2} + (k + 1)(Y - t)^{q-2},$$

and

$$\begin{aligned} w(Y) &:= (Y - t) \prod_{i=1}^k (Y - m_i) \partial_Y g(Y) = \\ &- \sum_{i=1}^k (Y - m_i)^{q-1} (Y - t) \prod_{j \neq i} (Y - m_j) + (k + 1)(Y - t)^{q-1} \prod_{j=1}^k (Y - m_j). \end{aligned}$$

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If  $(m) \in \mathcal{B} \setminus \{(t)\}$ , then

$$w(m) = - \sum_{i=1}^k (m-t) \prod_{j \neq i} (m-m_j) + (k+1) \prod_{j=1}^k (m-m_j).$$

Suppose that the line through  $R$  with direction  $m$  meets  $\mathcal{B}$  in at least four points. Then  $m$  is a multiple root of  $f(Y)$  and hence it is also a root of  $w(Y)$ . It follows that  $m$  is a root of

$$\tilde{w}(Y) := -(Y-t) \sum_{i=1}^k \prod_{j \neq i} (Y-m_j) + (k+1) \prod_{j=1}^k (Y-m_j). \quad (3)$$

Note that  $\sum_{i=1}^k \prod_{j \neq i} (m-m_j) = 0$  and  $\tilde{w}(m) = 0$  together would imply  $(k+1) \prod_{j=1}^k (m-m_j) = 0$ , which cannot be since  $(m) \notin \{(m_1), \dots, (m_k)\}$  and  $p \nmid k+1$ . It follows that  $t$  can be expressed from  $m$  and  $m_1, \dots, m_k$  in the following way:

$$t = m - \frac{(k+1) \prod_{j=1}^k (m-m_j)}{\sum_{i=1}^k \prod_{j \neq i} (m-m_j)}. \quad (4)$$

Now let  $R_1$  and  $R_2$  be two points as in part 2 and let  $T = (m)$ . It follows from (4) that the bisecants through these points have the same slope. Then, according to (2),  $f(Y) = g(Y)$  does not depend on the choice of  $R_i$ , for  $i = 1, 2$ . The assertion follows from Lemma 2.1 part 1.

If  $R_1$  and  $R_2$  are two points as in part 3, then the bisecants through these points have the same slope. It follows that  $f(Y) = g(Y)$  does not depend on the choice of  $R_i$ , for  $i = 1, 2$ . As above, the assertion follows from Lemma 2.1 part 1.

Now suppose that  $\mathcal{B}$  is not minimal and  $R_1 \in \mathcal{B} \setminus \ell$  is contained in a unique bisecant of  $\mathcal{B}$ . As  $\mathcal{B}$  is a blocking set of Rédei type, the points of  $\mathcal{B} \setminus \ell$  are essential in  $\mathcal{B}$ . Let  $C \in \mathcal{B} \cap \ell$  such that  $\mathcal{B}' := \mathcal{B} \setminus \{C\}$  is a blocking set. In this case for each  $P \in \mathcal{B} \setminus \ell$  the line  $PC$  is a bisecant of  $\mathcal{B}$  and  $R_1C$  is the unique bisecant of  $\mathcal{B}$  through  $R_1$ . It follows that there is no bisecant of  $\mathcal{B}'$  through  $R_1$ . Then Theorem 2.3 yields that  $|\ell \cap \mathcal{B}'| \equiv 1 \pmod{p}$ . As  $|\ell \cap \mathcal{B}| = |\ell \cap \mathcal{B}'| + 1$ , we proved part 1.

If  $R_2$  is another point of  $\mathcal{B} \setminus \ell$  such that  $R_2$  is contained in a unique bisecant of  $\mathcal{B}$ , then there is no bisecant of  $\mathcal{B}'$  through  $R_2$  and hence parts 2 and 3 follow from Theorem 2.3 part 2. ■

### 3 Connections with the direction problem

Let  $\mathcal{B}$  be a blocking set in  $\text{PG}(2, q)$ . We recall  $q = p^h$ ,  $p$  prime. The *exponent* of  $\mathcal{B}$  is the maximal integer  $0 \leq e \leq h$  such that each line meets  $\mathcal{B}$  in  $1 \pmod{p^e}$  points. We recall the following two results about the exponent.

**Theorem 3.1** (Szőnyi [25]). *Let  $\mathcal{B}$  be a small minimal blocking set in  $\text{PG}(2, q)$ . Then  $\mathcal{B}$  has positive exponent.*

**Theorem 3.2** (Sziklai [24]). *Let  $\mathcal{B}$  be a small minimal blocking set in  $\text{PG}(2, q)$ . Then the exponent of  $\mathcal{B}$  divides  $h$ .*

**Proposition 3.3.** *Let  $\mathcal{B}$  be a blocking set of Rédei type in  $\text{PG}(2, q)$ , with Rédei line  $\ell$ . Suppose that  $\mathcal{B}$  does not have bisecants. Then  $\mathcal{B}$  has positive exponent and for each point  $M \in \ell \cap \mathcal{B}$  the lines through  $M$  different from  $\ell$  meet  $\mathcal{B}$  in 1 or in  $p^t + 1$  points, where  $t$  is a positive integer depending only on the choice of  $M$ .*

**Proof.** Theorem 2.3 part 1 yields that  $\ell$  meets  $\mathcal{B}$  in  $1 \pmod{p}$  points. Lines meeting  $\ell$  not in  $\mathcal{B}$  are tangents to  $\mathcal{B}$ . For any  $M \in \ell \cap \mathcal{B}$  Theorem 2.3 part 2 yields that  $MR$  meets  $\mathcal{B} \setminus \ell$  in the same number of points for each  $R \in \mathcal{B} \setminus \ell$ . Denote this number by  $k$ . Then  $k$  divides  $|\mathcal{B} \setminus \ell| = q$ . As  $\mathcal{B}$  does not have bisecants, it follows that  $k > 1$  and hence  $k = p^t$  for some positive integer  $t$ . ■

The following result is a consequence of the lower bound on the size of an affine blocking set due to Brouwer and Schrijver [11] and Jamison [18].

**Theorem 3.4** (Blokhuis and Brouwer [7, pg. 133]). *If  $\mathcal{B}$  is a minimal blocking set of size  $q + N$ , then there are at least  $q + 1 - N$  tangents to  $\mathcal{B}$  at each point of  $\mathcal{B}$ .*

**Theorem 3.5.** *Let  $f$  be a function from  $\text{GF}(q)$  to  $\text{GF}(q)$  and let  $N$  be the number of directions determined by  $f$ . If any line with a direction determined by  $f$  that is incident with a point of the graph of  $f$  is incident with at least two points of the graph of  $f$ , then each line meets the graph of  $f$  in  $p^t$  points for some integer  $t$  and*

$$q/s + 1 \leq N \leq (q - 1)/(s - 1),$$

where  $s = \min\{p^t : \text{there is line meeting the graph of } f \text{ in } p^t > 1 \text{ points}\}$ .

**Proof.** If  $\mathcal{U}$  denotes the graph of  $f$ , then  $\mathcal{B} := \mathcal{U} \cup \mathcal{D}_{\mathcal{U}}$  is a blocking set of Rédei type without bisecants. Proposition 3.3 yields that each line meets



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$\mathcal{U}$  in  $p^t$  points for some integer  $t$ , with  $t = 0$  only for lines with direction not in  $\mathcal{D}_{\mathcal{U}}$ . Take a point  $R \in \mathcal{U}$  and let  $\mathcal{D}_{\mathcal{U}} = \{D_1, D_2, \dots, D_N\}$ . Then  $|D_i R \cap \mathcal{B}| \geq s + 1$  yields  $|\mathcal{B}| = q + N \geq Ns + 1$  and hence  $(q - 1)/(s - 1) \geq N$ . Take a line  $m$  meeting  $\mathcal{U}$  in  $s$  points and let  $M = m \cap \ell_{\infty}$ . According to Proposition 3.3 the lines through  $M$  meet  $\mathcal{U}$  in 0 or in  $s$  points. Theorem 3.4 yields that the number of lines through  $M$  that meet  $\mathcal{U}$  is at most  $N - 1$ . It follows that  $(N - 1)s \geq q$  and hence  $N \geq q/s + 1$ . ■

Applying Theorems 3.5 and 3.1 we can give a new proof to the following result.

**Theorem 3.6** (part of Ball et al. [3] and Ball [2]). *Let  $f$  be a function from  $\text{GF}(q)$  to  $\text{GF}(q)$  and let  $N$  be the number of directions determined by  $f$ . Let  $s = p^e$  be maximal such that any line with a direction determined by  $f$  that is incident with a point of the graph of  $f$  is incident with a multiple of  $s$  points of the graph of  $f$ . Then one of the following holds.*

1.  $s = 1$  and  $(q + 3)/2 \leq N \leq q + 1$ ,
2.  $q/s + 1 \leq N \leq (q - 1)/(s - 1)$ ,
3.  $s = q$  and  $N = 1$ .

**Proof.** The point set  $\mathcal{B} := \mathcal{U} \cup \mathcal{D}_{\mathcal{U}}$  is a minimal blocking set of Rédei type. If  $s = 1$ , then  $\mathcal{B}$  cannot be small because of Szőnyi's Theorem 3.1 and hence  $N \geq (q + 3)/2$ . If  $s > 1$ , then the bounds on  $N$  follow from Theorem 3.5. ■

In [3] and [2] it was also proved that for  $s > 2$  the graph of  $f$  is  $\text{GF}(s)$ -linear and that  $\text{GF}(s)$  is a subfield of  $\text{GF}(q)$ . Note that Theorem 3.2 by Sziklai generalizes the latter result.

## 4 Small semiovals

An *oval* of a projective plane of order  $q$  is a set of  $q + 1$  points such that no three of them are collinear. It is easy to see that ovals are semiovals. The smallest known *non-oval semioval*, i.e. semioval which is not an oval, is due to Blokhuis.

**Example 4.1** (Blokhuis [6]). *Let  $\mathcal{S}$  be the following point set in  $\text{PG}(2, q)$ ,  $3 < q$  odd,  $\mathcal{S} = \{(0 : 1 : s), (s : 0 : 1), (1 : s : 0) : -s \text{ is not a square}\}$ . Then  $\mathcal{S}$  is a semioval of size  $3(q - 1)/2$ .*

**Conjecture 4.2** (Kiss et al. [20, Conjecture 11]). *If a semioval in  $\text{PG}(2, q)$ ,  $q > 7$ , has less than  $3(q - 1)/2$  points, then it has exactly  $q + 1$  points and it is an oval.*

Let  $\mathcal{S}$  be a semioval and  $\ell$  a line meeting  $\mathcal{S}$  in at least two points. Take a point  $P \in \mathcal{S} \cap \ell$ . As there is a unique tangent to  $\mathcal{S}$  at  $P$ , it follows that  $|\mathcal{S} \setminus \ell| \geq q - 1$ , and hence  $|\mathcal{S}| \geq |\mathcal{S} \cap \ell| + q - 1 \geq q + 1$ . It is convenient to denote the size of  $\mathcal{S}$  by  $q - 1 + a$ , where  $a \geq 2$  holds automatically. Then each line meets  $\mathcal{S}$  in at most  $a$  points.

**Theorem 4.3** (Blokhuis [6]). *Let  $\mathcal{S}$  be a semioval of size  $q - 1 + a$ ,  $a > 2$ , in  $\text{PG}(2, q)$  and suppose that each line meets  $\mathcal{S}$  in 0, 1, 2, or in  $a$  points. Then  $\mathcal{S}$  is the symmetric difference of two lines with one further point removed from both lines, or  $\mathcal{S}$  is projectively equivalent to Example 4.1.*

If  $\mathcal{S}$  is a semioval of size  $q + 2$ , then each line meets  $\mathcal{S}$  in at most three points, thus Theorem 4.3 yields the following.

**Theorem 4.4** (Blokhuis [6]). *Let  $\mathcal{S}$  be a semioval of size  $q + 2$  in  $\text{PG}(2, q)$ . Then  $\mathcal{S}$  is the symmetric difference of two lines with one further point removed from both lines in  $\text{PG}(2, 4)$ , or  $\mathcal{S}$  is projectively equivalent to Example 4.1 in  $\text{PG}(2, 7)$ .*

We also recall the following well-known result by Blokhuis which will be applied several times. For another proof and possible generalizations see [26, Remark 7] by Szőnyi, or [12, Corollary 3.6] by Csajbók, Héger and Kiss.

**Proposition 4.5** (Blokhuis [6, Proposition 2]). *Let  $\mathcal{S}$  be a point set of  $\text{PG}(2, q)$ ,  $q > 2$ , of size  $q - 1 + a$ ,  $a \geq 2$ , with an  $a$ -secant  $\ell$ . If there is a unique tangent to  $\mathcal{S}$  at each point of  $\ell \cap \mathcal{S}$ , then these tangents are contained in a pencil. The carrier of this pencil is called the nucleus of  $\ell$  and it is denoted by  $N_\ell$ . For the sake of simplicity, the nucleus of a line  $\ell_i$  will be denoted by  $N_i$ .*

If  $\mathcal{A}$  and  $\mathcal{B}$  are two point sets, then  $\mathcal{A} \Delta \mathcal{B}$  denotes their symmetric difference, that is  $(\mathcal{A} \setminus \mathcal{B}) \cup (\mathcal{B} \setminus \mathcal{A})$ .

**Example 4.6** (Csajbók, Héger and Kiss [12, Example 2.12]). *Let  $\mathcal{B}'$  be a blocking set of Rédei type in  $\text{PG}(2, q)$ , with Rédei line  $\ell$ . Suppose that there is a point  $P \in \mathcal{B}' \setminus \ell$  such that the bisecants of  $\mathcal{B}'$  pass through  $P$  and there is no trisecant of  $\mathcal{B}'$  through  $P$ . For example, if  $\mathcal{B}'$  has exponent  $e$  and  $p^e \geq 3$  (cf. Section 3), then  $\mathcal{B}'$  has no bisecants or trisecants and hence one can choose any point  $P \in \mathcal{B}' \setminus \ell$ . Take a point  $W \in \ell \setminus \mathcal{B}'$  and let  $\mathcal{S} = (\ell \Delta \mathcal{B}') \setminus \{W, P\}$ . Then  $\mathcal{S}$  is a semioval of size  $q - 1 + a$ , where  $a = |\ell \cap \mathcal{S}|$ .*

**Remark 4.7.** *The blocking set  $\mathcal{B}'$  in Example 4.6 is necessarily minimal. To see this consider any point  $R \in \mathcal{B}' \setminus (\ell \cup \{P\})$ . As the bisecants of  $\mathcal{B}'$  pass through  $P$ , it follows that there is no bisecant of  $\mathcal{B}'$  through  $R$  and hence Theorem 2.3 part 1 yields that  $\mathcal{B}'$  is minimal.  $\blacksquare$*

**Lemma 4.8.** *Let  $\mathcal{S}$  be a semioval of size  $q - 1 + a$  in  $\text{PG}(2, q)$  and suppose that there is a line  $\ell$  which is an  $a$ -secant of  $\mathcal{S}$ . Denote the set of tangents through the points of  $\mathcal{S} \setminus \ell$  by  $\mathcal{L}$  and let  $\mathcal{B} = \{N_\ell\} \cup (\mathcal{S} \Delta \ell)$ . Then one of the following holds.*

1.  $\mathcal{S}$  is an oval.
2.  $\mathcal{L}$  is contained in a pencil with carrier  $C$ . Then  $C \in \ell$  and  $\mathcal{B}' := \mathcal{B} \setminus \{C\}$  is a blocking set of Rédei type with Rédei line  $\ell$ . In this case  $\mathcal{S}$  can be obtained from  $\mathcal{B}'$  as in Example 4.6 with  $P = N_\ell$  and  $W = C$ .
3.  $\mathcal{L}$  is not contained in a pencil. Then  $\mathcal{B}$  is a minimal blocking set of Rédei type with Rédei line  $\ell$  and
  - (a)  $p \nmid a$ ,
  - (b) for any  $R \in \mathcal{S} \setminus \ell$  the line  $RN_\ell$  is not a tangent to  $\mathcal{S}$ ,
  - (c) if  $R_1, R_2 \in \mathcal{S} \setminus \ell$  and there is a point  $T \in \ell$  such that  $R_i T$  meets  $\mathcal{S} \cup \{N_\ell\}$  in at least three points for  $i = 1, 2$ , then for each  $M \in \ell$  we have  $|R_1 M \cap (\mathcal{S} \cup \{N_\ell\})| = |R_2 M \cap (\mathcal{S} \cup \{N_\ell\})|$ ,
  - (d) if  $R_1, R_2 \in \mathcal{S} \setminus \ell$  and the tangents to  $\mathcal{S}$  at these two points meet each other on the line  $\ell$ , then for each  $M \in \ell$  we have  $|R_1 M \cap (\mathcal{S} \cup \{N_\ell\})| = |R_2 M \cap (\mathcal{S} \cup \{N_\ell\})|$ .

**Proof.** First we show that  $\mathcal{B}$  is a blocking set of Rédei type. Take a point  $R \in \mathcal{S} \setminus \ell$ . As there is a tangent to  $\mathcal{S}$  at  $R$  it follows that  $\ell$  meets  $\mathcal{S}$  in at most  $q$  points and hence  $\ell$  is blocked by  $\mathcal{B}$ . Lines meeting  $\ell$  not in  $\mathcal{S}$  are blocked by  $\mathcal{B}$  since  $\ell \setminus \mathcal{S} \subset \mathcal{B}$ . If a line  $m$  meets  $\ell$  in  $\mathcal{S}$ , then either  $m$  is a tangent to  $\mathcal{S}$  and hence  $N_\ell \in m$ , or  $m$  is not a tangent to  $\mathcal{S}$  and hence there is a point of  $\mathcal{S} \setminus \ell$  contained in  $m$ . As  $\{N_\ell\} \cup (\mathcal{S} \setminus \ell) \subset \mathcal{B}$ , it follows that  $m$  is blocked by  $\mathcal{B}$  and hence  $\mathcal{B}$  is a blocking set. The line  $\ell$  meets  $\mathcal{B}$  in  $|\mathcal{B}| - q$  points, thus  $\mathcal{B}$  is of Rédei type and  $\ell$  is a Rédei line of  $\mathcal{B}$ .

If  $a = 2$ , then  $\mathcal{S}$  is an oval. From now on we assume  $a \geq 3$ . First suppose that  $\mathcal{L}$  is contained in a pencil with carrier  $C$ . If  $C \notin \ell$ , then  $|\mathcal{L}| \leq q + 1 - a$ , but  $|\mathcal{L}| = |\mathcal{S} \setminus \ell| = q - 1$ . It follows that  $C \in \ell$ .

Let  $\mathcal{B}' = \mathcal{B} \setminus \{C\}$ . In this paragraph we prove that  $\mathcal{B}'$  is a blocking set. It is enough to show that the lines through  $C$  are blocked by  $\mathcal{B}'$ . This trivially

holds for the  $q - 1$  lines in  $\mathcal{L}$ . First we show that  $\mathcal{B}'$  blocks  $\ell$  too. Suppose to the contrary that  $\ell \setminus (\mathcal{S} \cup \{C\}) = \emptyset$  and hence  $a = q$ . As  $a \geq 3$ , we have  $q \geq 3$  and hence there are at least two points in  $\mathcal{S} \setminus \ell$ . Take  $R, Q \in \mathcal{S} \setminus \ell$  and let  $M = RQ \cap \ell$ . Since  $M \neq C$ , we have  $M \in \mathcal{S}$ . Then there are at least two tangents to  $\mathcal{S}$  incident with  $M$  and this contradiction shows that  $\ell$  is blocked by  $\mathcal{B}'$ . Now we show  $CN_\ell \notin \mathcal{L}$ . Suppose to the contrary that  $CN_\ell$  is a tangent to  $\mathcal{S}$  at some  $V \in \mathcal{S} \setminus \ell$ . Then  $VC$  is a trisecant of  $\mathcal{B}$ . If there were a bisecant  $v$  of  $\mathcal{B}$  through  $V$ , then, by the construction of  $\mathcal{B}$ ,  $v$  would be a tangent to  $\mathcal{S}$  at  $V$ . This cannot be since the unique tangent to  $\mathcal{S}$  at  $V$  is  $VC$ , which is a trisecant of  $\mathcal{B}$  and hence  $v \neq VC$ . For any  $V' \in \mathcal{S} \setminus (\ell \cup \{V\})$ , there is a unique bisecant of  $\mathcal{B}$  through  $V'$ , namely  $V'C$ . We have shown that there is a point in  $\mathcal{B} \setminus \ell$  not incident with any bisecant of  $\mathcal{B}$  and there are points in  $\mathcal{B} \setminus \ell$  incident with a unique bisecant of  $\mathcal{B}$ . This cannot be because of Theorem 2.3 part 1 and Theorem 2.4 part 1. It follows that  $CN_\ell$  is not a tangent to  $\mathcal{S}$ . As  $CN_\ell$  is blocked by  $\mathcal{B}'$  and the other  $q$  lines through  $C$ ,  $\ell$  and the lines of  $\mathcal{L}$ , are also blocked, it follows that  $\mathcal{B}'$  is a blocking set. It is easy to see that  $\ell$  is a Rédei line of  $\mathcal{B}'$ .

We show that there is no bisecant of  $\mathcal{B}'$  through the points of  $\mathcal{S} \setminus \ell$ . Take a point  $R \in \mathcal{S} \setminus \ell$  and suppose to the contrary that there is a bisecant  $b$  of  $\mathcal{B}'$  through  $R$ . Then, by the construction of  $\mathcal{B}'$ , the line  $b$  is a tangent to  $\mathcal{S}$  at  $R$ . This is a contradiction since  $b \neq RC$ . It follows that if  $\mathcal{B}'$  has bisecants, then they pass through  $N_\ell$ . If there were a trisecant  $t$  of  $\mathcal{B}'$  through  $N_\ell$ , then let  $V = t \cap \mathcal{S}$ . It follows that  $t$  is a tangent to  $\mathcal{S}$  at  $V$ . But we have already seen that there is no line of  $\mathcal{L}$  incident with  $N_\ell$ . This finishes the proof of part 2.

Now suppose that  $\mathcal{S}$  is as in part 3. If  $\mathcal{B}$  were not minimal, then the line set  $\mathcal{L}$  would be contained in a pencil with carrier on  $\ell$ , a contradiction. Take a point  $R \in \mathcal{S} \setminus \ell$ . If  $RN_\ell$  is the tangent to  $\mathcal{S}$  at  $R$ , then there is no bisecant of  $\mathcal{B}$  through  $R$ , thus  $p \mid a$  (cf. Theorem 2.3 part 1). If  $RN_\ell$  is not the tangent to  $\mathcal{S}$  at  $R$ , then there is a unique bisecant of  $\mathcal{B}$  through  $R$  (the tangent to  $\mathcal{S}$  at  $R$ ), thus  $p \nmid a$  (cf. Theorem 2.4 part 1). It follows that if any of the lines of  $\mathcal{L}$  is incident with  $N_\ell$ , or if  $p \mid a$ , then the whole line set  $\mathcal{L}$  is contained in the pencil with carrier  $N_\ell$ , a contradiction. This proves parts (a) and (b). Parts (c) and (d) follow from Theorem 2.4 parts 2 and 3, respectively.  $\blacksquare$

**Remark 4.9.** *The properties (a)-(d) in part 3 of Lemma 4.8 also hold when  $\mathcal{S}$  is as in Example 4.6. From the properties of the point  $P$  in Example 4.6 it follows that for  $R \in \mathcal{S} \setminus \ell$  the line  $RP$  is not a tangent to  $\mathcal{S}$  and this proves (b). As for any two points  $R_1, R_2 \in \mathcal{S} \setminus \ell$  there is no bisecant of  $\mathcal{B}'$  incident*

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with  $R_1$  or  $R_2$ , properties (a), (c) and (d) follow from Theorem 2.3. ■

**Theorem 4.10.** *Let  $\mathcal{S}$  be a semioval of size  $q - 1 + a$ ,  $a > 2$ , which admits an  $a$ -secant  $\ell$ , and let  $m \neq \ell$  be a  $k$ -secant of  $\mathcal{S}$ .*

1. *For each  $R \in \mathcal{S} \setminus \ell$ , the line  $RN_\ell$  is not a tangent to  $\mathcal{S}$ .*
2. *If  $k \geq 3$ , then the tangents to  $\mathcal{S}$  at the points of  $m$  are contained in a pencil with carrier on  $\ell$ .*
3. *If  $k > (a - 1)/2$ , then  $k = a$  and  $N_\ell \in m$ , or  $k = \lceil a/2 \rceil$  and  $N_\ell \notin m$ .*

**Proof.** Part 1 follows from Lemma 4.8 part 3 (b), and part 2 follows from Lemma 4.8 part (c) with  $T = m \cap \ell$ .

To prove part 3 first suppose  $k > (a + 1)/2$  and  $N_\ell \notin m$ . Let  $m \cap \mathcal{S} = \{R_1, R_2, \dots, R_k\}$ . The lines  $R_i N_\ell$  for  $i = 1, 2, \dots, k$  cannot be bisecants of  $\mathcal{S} \cup \{N_\ell\}$  since they are not tangents to  $\mathcal{S}$ . Thus each of these lines meets  $\mathcal{S} \cup \{N_\ell\}$  in at least three points. Let  $B_i = \ell \cap R_i N_\ell$ , then we have  $|R_i B_i \cap (\mathcal{S} \cup \{N_\ell\})| \geq 3$  for  $i \in \{1, 2, \dots, k\}$ . We apply Lemma 4.8 part 3 (c) with  $T = \ell \cap m$  (note that  $k > (a + 1)/2 \geq 2$ ). For  $j \in \{2, \dots, k\}$  we obtain  $|R_1 B_j \cap (\mathcal{S} \cup \{N_\ell\})| = |R_j B_j \cap (\mathcal{S} \cup \{N_\ell\})|$ , thus also  $|R_1 B_j \cap (\mathcal{S} \cup \{N_\ell\})| \geq 3$  for  $j \in \{2, 3, \dots, k\}$ . We have  $N_\ell \in R_1 B_1$  and hence  $N_\ell \notin R_1 B_j$  for  $j \in \{2, 3, \dots, k\}$ . It follows that  $R_1 B_2 \cup R_1 B_3 \cup \dots \cup R_1 B_k \cup m$  contains at least  $2(k - 1) + k = 3k - 2$  points of  $\mathcal{S}$ . As there is a unique tangent to  $\mathcal{S}$  at  $R_1$ , we must have  $a + (q - 1) - (3k - 2) \geq q - k$ . This is a contradiction when  $k > (a + 1)/2$ . It follows that lines meeting  $\mathcal{S}$  in more than  $(a + 1)/2$  points have to pass through  $N_\ell$ .

Now suppose that  $m$  is a  $k$ -secant of  $\mathcal{S}$  with  $(a - 1)/2 < k < a$  and  $N_\ell \in m$ . Take a point  $R \in m \cap \mathcal{S}$ . As  $k < a$ , there is at least one other line  $m'$  through  $R$  meeting  $\mathcal{S}$  in at least three points. Let  $R' \in (m' \cap \mathcal{S}) \setminus \{R\}$ . Lemma 4.8 part 3 (c) with  $T = m' \cap \ell$  and  $M = m \cap \ell$  yields that the line joining  $R'$  and  $m \cap \ell$  meets  $\mathcal{S}$  in  $|( \mathcal{S} \cup \{N_\ell\} ) \cap m| = k + 1 > (a + 1)/2$  points. Then, according to the previous paragraph, this line also passes through  $N_\ell$ , a contradiction. It follows that either  $k = a$  and hence  $N_\ell \in m$ , or  $N_\ell \notin m$  and hence  $(a - 1)/2 < k \leq (a + 1)/2$ . ■

**Lemma 4.11.** *Let  $\mathcal{S}$  be a semioval of size  $q - 1 + a$  in  $\text{PG}(2, q)$ . For each point  $R \in \mathcal{S}$  the number of lines through  $R$  meeting  $\mathcal{S}$  in at least three points is at most  $a - 2$ . ■*

**Theorem 4.12.** *Let  $\mathcal{S}$  be a semioval of size  $q - 1 + a$ ,  $a > 2$ , in  $\text{PG}(2, q)$ . If  $\mathcal{S}$  has two  $a$ -secants, then one of the following holds.*

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1.  $\mathcal{S}$  is the symmetric difference of two lines with one further point removed from both lines.
2.  $\mathcal{S}$  is projectively equivalent to Example 4.1.

**Proof.** Let  $\ell_1$  and  $\ell_2$  be two  $a$ -secants of  $\mathcal{S}$  and let  $\mathcal{S}' = \mathcal{S} \setminus (\ell_1 \cup \ell_2)$ . Theorem 4.10 yields  $N_1 \in \ell_2$  and  $N_2 \in \ell_1$ . If  $\mathcal{S}' = \emptyset$ , then  $\mathcal{S} \subseteq \ell_1 \cup \ell_2$  and it is easy to see that  $\mathcal{S}$  is as in part 1. If  $\mathcal{S}' \neq \emptyset$ , then take any point  $R \in \mathcal{S}'$ . We show that the tangent to  $\mathcal{S}$  at  $R$  passes through  $P := \ell_1 \cap \ell_2$ . As  $a > 2$ , there is a line  $r$  through  $R$  meeting  $\mathcal{S}$  in at least 3 points. According to Theorem 4.10 part 2, the tangents to  $\mathcal{S}$  at the points of  $r \cap \mathcal{S}$  pass through a unique point of  $\ell_1$ , and also through a unique point of  $\ell_2$ . It follows that these tangents pass through the point  $P$ .

We show that  $\mathcal{S}'$  is contained in the line  $\ell_3 := N_1N_2$ . Suppose, contrary to our claim, that there is a point  $R \in \mathcal{S}' \setminus \ell_3$ . There is a line  $r$  through  $R$  meeting  $\mathcal{S}$  in at least three points. Since  $R \notin \ell_3$ ,  $r$  cannot be incident with both  $N_1$  and  $N_2$ . We may assume  $N_2 \notin r$ . Let  $M = r \cap \ell_1$ . Note that  $M \notin \mathcal{S} \cup \{N_2, P\}$ . Take a point  $Q \in \ell_2 \cap \mathcal{S}$ . Since the unique tangent to  $\mathcal{S}$  at  $Q$  is  $QN_2$ , it follows that  $QM$  is a bisecant of  $\mathcal{S}$  and it contains a unique point of  $\mathcal{S}'$ . Denote this point by  $R'$ . The tangents to  $\mathcal{S}$  at  $R$  and  $R'$  pass through the same point of  $\ell_1$ , namely  $P$ , and hence we can apply Lemma 4.8 part 3 (d). It follows that  $2 = |MR' \cap (\mathcal{S} \cup \{N_1\})| = |MR \cap (\mathcal{S} \cup \{N_1\})| \geq 3$ . This contradiction shows  $\mathcal{S}' \subset \ell_3$ . Lines meeting each of  $\ell_1$ ,  $\ell_2$  and  $\ell_3$  meet  $\mathcal{S}$  in at most two points. Take any point  $H \in \mathcal{S} \cap \ell_3$ . Since the tangent to  $\mathcal{S}$  at  $H$  is  $PH$ , and the other lines through  $H$  are not tangents, we obtain  $2a = |\ell_1 \cap \mathcal{S}| + |\ell_2 \cap \mathcal{S}| = q - 1$  and hence  $a = (q - 1)/2$ . The size of  $\mathcal{S}$  is  $q - 1 + a = 2a + |\mathcal{S}'|$ , so  $|\mathcal{S}'| = a = (q - 1)/2$ . It is easy to show that  $\mathcal{S}$  is projectively equivalent to Example 4.1. For the complete description of semiovals contained in the sides of a vertexless triangle see the paper of Kiss and Ruff [21]. ■

A  $(k, n)$ -arc of  $\text{PG}(2, q)$  is a set of  $k$  points such that each line meets the  $k$ -set in at most  $n$  points.

**Theorem 4.13.** *Let  $\mathcal{S}$  be a semioval of size  $q + 3$  in  $\text{PG}(2, q)$ ,  $q$  is a power of the prime  $p$ . Then  $q = 5$  and  $\mathcal{S}$  is the symmetric difference of two lines with one further point removed from both lines, or  $q = 9$  and  $\mathcal{S}$  is as in Example 4.1, or  $p = 3$  and  $\mathcal{S}$  is a  $(q + 3, 3)$ -arc.*

**Proof.** It is easy to see that the points of  $\mathcal{S}$  fall into the following two types:

- points contained in a unique 4-secant and in  $q - 1$  bisecants,

- points contained in two trisecants and in  $q - 2$  bisecants.

If  $\mathcal{S}$  does not have 4-secants, then the number of trisecants of  $\mathcal{S}$  is  $(q+3)2/3$ , thus  $3 \mid q$ . Now suppose that  $\mathcal{S}$  has a 4-secant,  $\ell$ . Theorem 4.10 with  $a = 4$  yields that  $\mathcal{S}$  does not have trisecants. The assertion follows from Theorem 4.12.  $\blacksquare$

## 5 Small semiovals when $q$ is even

We will use the following theorem by Szőnyi and Weiner. This result was proved by the so called resultant method. We say that a line  $\ell$  is an *odd-secant* (resp. *even-secant*) of  $\mathcal{S}$  if  $|\ell \cap \mathcal{S}|$  is odd (resp. even). A *set of even type* is a point set  $\mathcal{H}$  such that each line is an even-secant of  $\mathcal{H}$ .

**Theorem 5.1** (Szőnyi and Weiner, [27]). *Assume that the point set  $\mathcal{H}$  in  $\text{PG}(2, q)$ ,  $16 < q$  even, has  $\delta$  odd-secants, where  $\delta < (\lfloor \sqrt{q} \rfloor + 1)(q + 1 - \lfloor \sqrt{q} \rfloor)$ . Then there exists a unique set  $\mathcal{H}'$  of even type, such that  $|\mathcal{H} \Delta \mathcal{H}'| = \left\lceil \frac{\delta}{q+1} \right\rceil$ .*

As a corollary of the above result, Szőnyi and Weiner gave a lower bound on the size of those point sets of  $\text{PG}(2, q)$ ,  $16 < q$  even, which do not have tangents but have at least one odd-secant, see [27]. In this section we prove a similar lower bound on the size of non-oval semiovals.

**Lemma 5.2.** *Let  $\mathcal{S}$  be a semioval in  $\Pi_q$ , that is, a projective plane of order  $q$ . If  $|\mathcal{S}| = q + 1 + \epsilon$ , then  $\mathcal{S}$  has at most  $|\mathcal{S}|(1 + \epsilon/3)$  odd-secants.*

**Proof.** Take  $P \in \mathcal{S}$ , then there passes exactly one tangent and there pass at most  $\epsilon$  other odd-secants of  $\mathcal{S}$  through  $P$ . In this way the non-tangent odd-secants have been counted at least three times.  $\blacksquare$

**Corollary 5.3.** *If  $\mathcal{S}$  is a semioval in  $\text{PG}(2, q)$ ,  $16 < q$  even, and  $|\mathcal{S}| \leq q + 3 \lfloor \sqrt{q} \rfloor - 11$ , then  $\mathcal{S}$  is an oval.*

**Proof.** If  $\delta$  denotes the number of odd-secants of  $\mathcal{S}$ , then Lemma 5.2 yields:

$$\delta \leq (q + 3 \lfloor \sqrt{q} \rfloor - 11)(\lfloor \sqrt{q} \rfloor - 3) < (\lfloor \sqrt{q} \rfloor + 1)(q - \lfloor \sqrt{q} \rfloor + 1).$$

By Theorem 5.1 we can construct a set of even type  $\mathcal{H}$  from  $\mathcal{S}$  by modifying (add to  $\mathcal{S}$  or delete from  $\mathcal{S}$ )  $\left\lceil \frac{\delta}{q+1} \right\rceil \leq \lfloor \sqrt{q} \rfloor + 1$  points of  $\text{PG}(2, q)$ .

If  $P \in \mathcal{S}$  is a modified (and hence deleted) point, then the number of lines through  $P$  which are not tangents to  $\mathcal{S}$  and do not contain modified points is at least  $q - \left( \left\lceil \frac{\delta}{q+1} \right\rceil - 1 \right)$ . These lines are even-secants of  $\mathcal{H}$  and

hence they are non-tangent odd-secants of  $\mathcal{S}$ . It follows that the size of  $\mathcal{S}$  is at least  $1 + 2(q - \lfloor \sqrt{q} \rfloor)$ , a contradiction.

Thus each of the modified points has been added. Suppose  $|\mathcal{S}| > q + 1$ . As there is a tangent to  $\mathcal{S}$  at each point of  $\mathcal{S}$ , we have  $2 \leq \left\lceil \frac{\delta}{q+1} \right\rceil$ . Let  $A$  and  $B$  be two modified (and hence added) points. If the line  $AB$  contains another added point  $C$ , then through one of the points  $A, B, C$  there pass at most  $(|\mathcal{S}| - 1)/3 + 1$  tangents to  $\mathcal{S}$ . If  $AB$  does not contain further added points, then  $AB$  cannot be a tangent to  $\mathcal{S}$  and hence through one of the points  $A, B$  there pass at most  $|\mathcal{S}|/2$  tangents to  $\mathcal{S}$ . Let  $A$  be an added point through which there pass at most  $|\mathcal{S}|/2$  tangents to  $\mathcal{S}$  and denote the number of these tangents by  $\tau$ . Through  $A$  there pass at least  $q + 1 - \tau - \left( \left\lceil \frac{\delta}{q+1} \right\rceil - 1 \right)$  lines meeting  $\mathcal{S}$  in at least two points. Thus from  $\tau \leq |\mathcal{S}|/2$  and from the assumption on the size of  $\mathcal{S}$  we get

$$q + 3 \lfloor \sqrt{q} \rfloor - 11 \geq \tau + 2(q + 1 - \tau - \lfloor \sqrt{q} \rfloor) \geq 2(q - \lfloor \sqrt{q} \rfloor + 1) - (q + 3 \lfloor \sqrt{q} \rfloor - 12)/2.$$

After rearranging we obtain  $0 \geq q - 13 \lfloor \sqrt{q} \rfloor + 38$ , which is a contradiction. It follows that  $|\mathcal{S}| \leq q + 1$ , but also  $|\mathcal{S}| \geq q + 1$  and  $\mathcal{S}$  is an oval in the case of equality.  $\blacksquare$

## 6 Point sets with few odd-secants in $\text{PG}(2, q)$ , $q$ odd

Some combinatorial results of this section hold in every finite projective plane. As before, by  $\Pi_q$  we denote an arbitrary projective plane of order  $q$ .

**Definition 6.1.** Fix a point set  $\mathcal{S} \subseteq \Pi_q$ . For a positive integer  $i$  and a point  $P \in \mathcal{S}$  we denote by  $t_i(P)$  the number of  $i$ -secants of  $\mathcal{S}$  through  $P$ . The weight of  $P$ , in notation  $w(P)$ , is defined as follows.

$$w(P) := \sum_{i \text{ odd}} t_i(P)/i.$$

For a subset  $\mathcal{P} \subseteq \mathcal{S}$ , let  $w(\mathcal{P}) = \sum_{P \in \mathcal{P}} w(P)$ . Suppose that  $w(P)$  is known for  $P \in \{P_1, P_2, \dots, P_m\} \subseteq \mathcal{S} \cap \ell$ , where  $\ell$  is a line meeting  $\mathcal{S}$  in at least  $m$  points. Then the type of  $\ell$  is

$$[w(P_1), w(P_2), \dots, w(P_m)].$$

Suppose that the value of  $t_i(P)$  is known for a point  $P \in \mathcal{S}$  and for  $1 \leq i \leq q + 1$ . Let  $\{a_1, a_2, \dots, a_k\} = \{i: t_i(P) \neq 0\}$ , then the type of  $P$  is

$$[a_1 t_{a_1}(P), a_2 t_{a_2}(P), \dots, a_k t_{a_k}(P)].$$



**Example 6.2** (Balister et al. [1]). *Let  $\mathcal{S} = \mathcal{C} \cup \{P\}$ , where  $\mathcal{C}$  is a conic of  $\text{PG}(2, q)$ ,  $q$  odd, and  $P \notin \mathcal{C}$  is an external point of  $\mathcal{C}$ , that is, a point contained in two tangents to  $\mathcal{C}$ . Then the type of  $P$  is  $[1_{(q-1)/2}, 2_2, 3_{(q-1)/2}]$  and  $w(P) = (q-1)/2 + (q-1)/6$ . If  $T_1$  and  $T_2$  are the points of  $\mathcal{C}$  contained in the tangents to  $\mathcal{C}$  at  $P$ , then the type of  $T_i$  is  $[2_{q+1}]$  and  $w(T_i) = 0$  for  $i = 1, 2$ . Each point of  $\mathcal{C} \setminus \{T_1, T_2\}$  has type  $[1_1, 2_{q-1}, 3_1]$  and weight  $4/3$ . The number of odd-secants of  $\mathcal{S}$  is  $2q - 2$ .*

**Theorem 6.3** (Balister et al. [1, Theorem 6]). *The minimal number of odd-secants of a  $(q+2)$ -set in  $\text{PG}(2, q)$ ,  $q$  odd, is  $2q - 2$  when  $q \leq 13$ . For  $q \geq 7$ , it is at least  $3(q+1)/2$ .*

**Conjecture 6.4** (Balister et al. [1, Conjecture 11]). *The minimal number of odd-secants of a  $(q+2)$ -set in  $\text{PG}(2, q)$ ,  $q$  odd, is  $2q - 2$ .*

The following propositions are straightforward.

**Proposition 6.5.** *The number of odd-secants of  $\mathcal{S}$  is  $w(\mathcal{S}) = \sum_{P \in \mathcal{S}} w(P)$ .*

**Proposition 6.6.** *Let  $\mathcal{S}$  be a  $(q+2)$ -set in  $\Pi_q$  and let  $P$  be a point of  $\mathcal{S}$ . The smallest possible weights of  $P$  are as follows:*

- $w(P) = 0$  if and only if the type of  $P$  is  $[2_{q+1}]$ ,
- $w(P) = 4/3$  if and only if the type of  $P$  is  $[1_1, 2_{q-1}, 3_1]$ ,
- $w(P) = 2$  if and only if the type of  $P$  is  $[1_2, 2_{q-2}, 4_1]$ ,
- $w(P) = 8/3$  if and only if the type of  $P$  is  $[1_2, 2_{q-3}, 3_2]$ ,
- $w(P) = 16/5$  if and only if the type of  $P$  is  $[1_3, 2_{q-2}, 5_1]$ ,
- $w(P) = 10/3$  if and only if the type of  $P$  is  $[1_3, 2_{q-3}, 3_1, 4_1]$ . ■

**Proposition 6.7.** *Let  $\mathcal{S}$  be a point set of size  $q+2$  in  $\Pi_q$  and let  $P$  be a point of  $\mathcal{S}$ .*

1. *If  $P$  is contained in a  $k$ -secant, then  $w(P) \geq k - 2$ ,*
2. *if  $P$  is contained in at least  $k$  trisecants, then  $w(P) \geq \frac{4}{3}k$ .*

**Proof.** In part 1, the number of tangents to  $\mathcal{S}$  at  $P$  is at least  $q - (q+2-k) = k - 2$ . In part 2,  $P$  is incident with at least  $q+1 - k - (q+2 - (2k+1)) = k$  tangents to  $\mathcal{S}$ , thus  $w(P) \geq k/3 + k$ . ■

**Theorem 6.8** (Bichara and Korchmáros [5, Theorem 1]). *Let  $\mathcal{S}$  be a point set of size  $q + 2$  in  $\text{PG}(2, q)$ . If  $q$  is odd, then  $\mathcal{S}$  contains at most two points with weight 0, that is, points of type  $[2_{q+1}]$ .*

**Lemma 6.9.** *Let  $\mathcal{S}$  be a point set of size  $q + k$  in  $\text{PG}(2, q)$  for some  $k \geq 3$ . Suppose that  $\ell_1$  is a  $k$ -secant of  $\mathcal{S}$  meeting  $\mathcal{S}$  only in points of type  $[2_q, k_1]$ . Then the  $k$ -secants of  $\mathcal{S}$  containing a point of type  $[2_q, k_1]$  are concurrent.*

**Proof.** Let  $\ell_2, \ell_3$  be two  $k$ -secants of  $\mathcal{S}$  with the given property and let  $R_i \in \ell_i \cap \mathcal{S}$  be a point of type  $[2_q, k_1]$  for  $i = 2, 3$ . It is easy to see that  $\mathcal{B} := \ell \Delta \mathcal{S}$  is a blocking set of Rédei type and  $R_2, R_3$  are not incident with any bisecant of  $\mathcal{B}$ . It follows from Theorem 2.3 part 2 that  $\ell_2 \cap \ell_3 \in \ell_1$ . ■

**Definition 6.10.** *A  $(q + t, t)$ -arc of type  $(0, 2, t)$  is a point set  $\mathcal{T}$  of size  $(q + t)$  in  $\text{PG}(2, q)$  such that each line meets  $\mathcal{T}$  in 0, 2 or  $t$  points. In honor of Korchmáros and Mazzocca such point sets are also called KM-arcs in the literature.*

Let  $\mathcal{T}$  be a  $(q + t, t)$ -arc of type  $(0, 2, t)$ . It is easy to see that for  $t > 2$  there is a unique  $t$ -secant through each point of  $\mathcal{T}$ . It can be proved that  $2 \leq t < q$  implies  $q$  even, see [22] by Korchmáros and Mazzocca. As the points of  $\mathcal{T}$  are of type  $[2_q, t_1]$ , the following theorem by Gács and Weiner also follows from Lemma 6.9. For recent results on KM-arcs we refer the reader to [13].

**Theorem 6.11** (Gács and Weiner [16, Theorem 2.5]). *Let  $\mathcal{T}$  be a  $(q + t, t)$ -arc of type  $(0, 2, t)$  in  $\text{PG}(2, q)$ . If  $t > 2$ , then the  $t$ -secants of  $\mathcal{T}$  pass through a unique point.* ■

The proof of our next result is based on the counting technique of Segre. A *dual arc* is a set of lines such that no three of them are concurrent.

**Theorem 6.12.** *Let  $\mathcal{S}$  be a point set of size  $q + k$  in  $\text{PG}(2, q)$ ,  $q$  odd.*

1. *If  $k = 1$ , then the tangents to  $\mathcal{S}$  at points of type  $[1_1, 2_q]$  form a dual arc.*
2. *If  $k = 2$ , then there are at most two points of type  $[2_{q+1}]$ .*
3. *If  $k \geq 3$ , then the  $k$ -secants of  $\mathcal{S}$  containing a point of type  $[2_q, k_1]$  form a dual arc.*

**Proof.** Suppose the contrary. If  $k = 1$ , then let  $A, B$  and  $C$  be points of type  $[1_1, 2_q]$  such that the tangents through these points pass through a common point  $D$ . If  $k = 2$ , then let  $A, B$  and  $C$  be three points of type  $[2_{q+1}]$  and take a point  $D \notin (\mathcal{S} \cup AB \cup BC \cup CA)$ . If  $k \geq 3$ , then let  $A, B$  and  $C$  be points of type  $[2_q, k_1]$  such that the  $k$ -secants through these points pass through a common point  $D \notin (AB \cup BC \cup CA)$ . In all cases,  $A, B, C$  and  $D$  are in general position, thus we may assume  $A = (\infty), B = (0, 0), C = (0)$  and  $D = (1, 1)$ . Let  $\mathcal{S}' = \mathcal{S} \setminus \{A, B, C\}$ . Note that  $AB, BC$  and  $CA$  are bisecants of  $\mathcal{S}$  and  $CA$  is the line at infinity, thus  $\mathcal{S}'$  is a set of  $q + k - 3$  affine points, say  $\mathcal{S}' = \{(a_i, b_i)\}_{i=1}^{q+k-3}$ . For  $i \in \{1, 2, \dots, q + k - 3\}$  we have the following.

- The line joining  $(a_i, b_i)$  and  $A$  meets  $BC$  in  $(a_i, 0)$ ,
- the line joining  $(a_i, b_i)$  and  $B$  meets  $AC$  in  $(b_i/a_i)$ ,
- the line joining  $(a_i, b_i)$  and  $C$  meets  $AB$  in  $(0, b_i)$ .

The lines  $AD, BD$  and  $CD$  meet  $\mathcal{S}'$  in  $k - 1$  points. The lines  $AP$  for  $P \in \mathcal{S}' \setminus AD$  meet  $\mathcal{S}'$  in a unique point. Since the first coordinate of the points of  $AD \cap \mathcal{S}'$  is 1, it follows that  $\{a_i\}_{i=1}^{q+k-3}$  is a multiset containing each element of  $\text{GF}(q) \setminus \{0, 1\}$  once, and containing 1  $k - 1$  times. Thus  $\prod_{i=1}^{q+k-3} a_i = -1$ . Similarly, the lines through  $B$  yield  $\prod_{i=1}^{q+k-3} b_i/a_i = -1$ , and the lines through  $C$  yield  $\prod_{i=1}^{q+k-3} b_i = -1$ . It follows that

$$1 = (-1)(-1) = \left( \prod_{i=1}^{q+k-3} a_i \right) \left( \prod_{i=1}^{q+k-3} \frac{b_i}{a_i} \right) = \prod_{i=1}^{q+k-3} b_i = -1,$$

a contradiction for odd  $q$ . ■

The following immediate consequence of Theorem 6.12 and Lemma 6.9 will be used frequently.

**Corollary 6.13.** *Let  $\mathcal{S}$  be a point set of size  $q + k$ ,  $k \geq 3$ , in  $\text{PG}(2, q)$ . If there exist three  $k$ -secants of  $\mathcal{S}$ ,  $\ell_1, \ell_2$  and  $\ell_3$ , such that the points of  $\ell_1 \cap \mathcal{S}$  are of type  $[2_q, k_1]$  and both  $\ell_2 \cap \mathcal{S}$  and  $\ell_3 \cap \mathcal{S}$  contain at least one point of type  $[2_q, k_1]$ , then  $q$  is even.*

**Proof.** Lemma 6.9 yields  $\ell_2 \cap \ell_3 \in \ell_1$ , but then Theorem 6.12 implies  $q$  even. ■

For the definition of a nucleus  $N_i$  of a line  $\ell_i$  see Proposition 4.5.

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**Lemma 6.14.** *Let  $\mathcal{S}$  be a set of  $q - 1 + a$  points,  $a \geq 3$ , in  $\text{PG}(2, q)$ , where  $q$  is a power of the prime  $p$ . Suppose that  $\ell_1$  and  $\ell_2$  are  $a$ -secants of  $\mathcal{S}$  such that there is a unique tangent to  $\mathcal{S}$  at each point of  $\mathcal{S} \cap \ell_i$ , for  $i = 1, 2$ .*

1. *Either  $N_1 \in \ell_2$  and  $N_2 \in \ell_1$ , or*
2.  *$N_1 = N_2$ ,  $p \mid a$  and for each  $R \in \mathcal{S}$  if there is a unique tangent  $r$  to  $\mathcal{S}$  at  $R$ , then  $r$  passes through the common nucleus.*
3. *Let  $\ell_3$  be another  $a$ -secant of  $\mathcal{S}$  such that there is a unique tangent to  $\mathcal{S}$  at each point of  $\mathcal{S} \cap \ell_3$ . If  $q$  or  $a$  is odd, then  $\ell_3 = N_1N_2$ , thus in this case  $\ell_3$  is uniquely determined.*

**Proof.** If  $\ell_1 \cap \ell_2 \in \mathcal{S}$ , then  $|\mathcal{S}| \geq 2a + q - 3$ , which cannot be since  $a \geq 3$ . First assume  $N_1 \neq N_2$  and suppose to the contrary  $N_2 \notin \ell_1$ . Then  $\mathcal{B} := \{N_1\} \cup (\ell_1 \Delta \mathcal{S})$  is a blocking set of Rédei type. There is a unique bisecant of  $\mathcal{B}$  at each point of  $\mathcal{S} \cap \ell_2$  (the tangent to  $\mathcal{S}$ ). This is a contradiction since these bisecants should pass through the same point of  $\ell_1$  (apply Theorem 2.4 part 2 with  $T = \ell_1 \cap \ell_2$ ).

If  $N_1 = N_2 =: N$ , then we define  $\mathcal{B}$  in the same way. Then there is no bisecant of  $\mathcal{B}$  through the points of  $\mathcal{B} \cap \ell_2$ . Theorem 2.3 yields  $p \mid a$ . Take a point  $R \in \mathcal{S} \setminus (\ell_1 \cup \ell_2)$  incident with a unique tangent  $r$  to  $\mathcal{S}$ . If  $N \notin r$ , then  $r$  is the unique bisecant of  $\mathcal{B}$  through  $R$ , a contradiction because of Theorem 2.4 part 1.

Suppose that  $\ell_3$  is an  $a$ -secant with properties as in part 3. Then either  $\ell_3 = N_1N_2$  and  $N_3 = \ell_1 \cap \ell_2$ , or  $N_3 = N_1 = N_2 =: N$  and  $p \mid a$ . In the latter case Corollary 6.13 applied to  $\mathcal{S} \cup \{N\}$  and to the lines  $\ell_1$ ,  $\ell_2$  and  $\ell_3$  yields  $p = 2$ . ■

**Lemma 6.15.** *Let  $\mathcal{S}$  be a set of  $q + 2$  points in  $\text{PG}(2, q)$ ,  $q$  is a power of the odd prime  $p$ , and suppose that  $\ell$  is a trisecant of  $\mathcal{S}$  of type  $[4/3, 4/3, 4/3]$ .*

1. *If  $p = 3$ , then the tangents at the points of  $\mathcal{S}$  with weight  $4/3$  pass through  $N_\ell$ . There is at most one other trisecant of  $\mathcal{S}$  of type  $[4/3]$ .*
2. *If  $p \neq 3$ , then the trisecants of type  $[4/3, 4/3]$  pass through  $N_\ell$ . Suppose that there is another trisecant  $\ell_1$  of type  $[4/3, 4/3, 4/3]$ . Then there is at most one other trisecant of type  $[4/3, 4/3]$ , which is  $N_\ell N_1$ . If  $N_\ell N_1$  is a trisecant of type  $[4/3, 4/3]$ , then the tangents at the points of  $N_\ell N_1$  with weight  $4/3$  pass through  $\ell \cap \ell_1$ .*

**Proof.** Let  $\mathcal{B}$  denote the Rédei type blocking set  $(\ell \Delta \mathcal{S}) \cup \{N_\ell\}$ .

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First we prove part 1. Take  $A \in \mathcal{S} \setminus \ell$  such that  $w(A) = 4/3$  and denote the tangent to  $\mathcal{S}$  at  $A$  by  $a$ . If  $N_\ell \notin a$ , then there is a unique bisecant of  $\mathcal{B}$  through  $A$ , thus Theorem 2.4 yields  $p \neq 3$ , a contradiction. Denote the trisecant through  $A$  by  $\ell_1$ . If there were a trisecant  $\ell_2$  of type  $[4/3]$  different from  $\ell$  and  $\ell_1$ , then Corollary 6.13 applied to  $\mathcal{S} \cup \{N_\ell\}$  and to the lines  $\ell$ ,  $\ell_1$  and  $\ell_2$  would yield  $q$  even, a contradiction.

Now we prove part 2. First suppose to the contrary that there is a trisecant  $\ell_2$  of type  $[4/3, 4/3]$  with  $N_\ell \notin \ell_2$ . Let  $A, B \in \ell_2 \cap \mathcal{S}$  such that  $w(A) = w(B) = 4/3$ . Denote the tangents to  $\mathcal{S}$  at these two points by  $a$  and  $b$ , respectively. We have  $N_\ell \notin a$  and  $N_\ell \notin b$ , since otherwise we would get points not incident with any bisecant of  $\mathcal{B}$ , a contradiction as  $p \neq 3$  (cf. Theorem 2.3). It follows that  $N_\ell A$  and  $N_\ell B$  are 4-secants of  $\mathcal{B}$ . Let  $M = N_\ell A \cap \ell$ . Then Theorem 2.4 part 2 (with  $T = \ell \cap \ell_2$ ) yields that  $MB$  is also a 4-secant of  $\mathcal{B}$  and hence a trisecant of  $\mathcal{S}$  (we have  $N_\ell \notin MB$ ). A contradiction, since  $MB \neq \ell_2$ . It follows that  $N_\ell \in \ell_2$ .

Let  $\ell_1$  be trisecant of  $\mathcal{S}$  of type  $[4/3, 4/3, 4/3]$  and let  $\ell_2$ ,  $A$ ,  $B$ ,  $a$  and  $b$  be defined as in the previous paragraph. It follows from Lemma 6.14 that  $N_\ell \in \ell_1$  and  $N_1 \in \ell$ . It also follows from the previous paragraph that  $N_1 \in \ell_2$  and  $N_\ell \in \ell_2$ , thus  $\ell_2 = N_1 N_\ell$ . Theorem 2.4 applied to  $\mathcal{B}$  and to  $(\ell_1 \Delta \mathcal{S}) \cup \{N_1\}$  yields that  $a$  and  $b$  pass through a unique point of  $\ell$  and through a unique point of  $\ell_1$ , thus they pass through  $\ell \cap \ell_1$ .  $\blacksquare$

Let  $\mathcal{S}$  be a set of  $q + 2$  points of  $\text{PG}(2, q)$ ,  $q$  odd. Since  $q + 2$  is odd, each point  $P \notin \mathcal{S}$  is incident with an odd-secant of  $\mathcal{S}$ . It follows that the odd-secants of  $\mathcal{S}$  cover the points of  $\text{PG}(2, q)$  except for the points of  $\mathcal{S}$  with weight zero. For partial covers of  $\text{PG}(2, q)$  we refer the reader to [8, Proposition 1.5]. The lower bound on the size of an affine blocking set [11, 18] yields the following result. Its proof can be found in [10] at the top of page 211, as part of a more complex argument. For a proof in the dual setting see [1, Lemma 10].

**Lemma 6.16** (Blokhuis and Mazzocca [10]). *Let  $\mathcal{S}$  be a set of  $q + 2$  points of  $\text{PG}(2, q)$ ,  $q$  odd. If  $\mathcal{S}$  has  $d \in \{1, 2\}$  points with weight zero, then the number of odd-secants of  $\mathcal{S}$  is at least  $2q - d$ .*

**Theorem 6.17.** *Let  $\mathcal{S}$  be a point set of size  $q + 2$  in  $\text{PG}(2, q)$ ,  $13 < q$  odd. Then the number of odd-secants of  $\mathcal{S}$  is at least  $\lceil \frac{8}{5}q + \frac{12}{5} \rceil$ .*

**Proof.** Let  $d$  denote the number of points of  $\mathcal{S}$  with weight zero. Theorem 6.8 of Bichara and Korchmáros yields  $d \leq 2$ . If  $d \in \{1, 2\}$ , then Lemma 6.16 yields  $w(\mathcal{S}) \geq 2q - 2$ , which is at least  $\lceil \frac{8}{5}q + \frac{12}{5} \rceil$  when  $q \geq 11$ . From now

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on we assume  $d = 0$ . Consider the following subsets of  $\mathcal{S}$ :

$$\mathcal{B} := \{P \in \mathcal{S} : P \text{ is contained in a trisecant of type } [4/3, 4/3, 4/3]\},$$

$$\mathcal{C} := \{P \in \mathcal{S} : w(P) \neq 4/3, P \text{ is contained in a trisecant of type } [4/3]\}.$$

Denote the size of  $\mathcal{C}$  by  $m$  and let  $\mathcal{C} = \{P_1, P_2, \dots, P_m\}$ . For  $i = 1, 2, \dots, m$ , let

$$V_i = \{Q \in \mathcal{S} : w(Q) = 4/3 \text{ and } QP_i \text{ is a trisecant}\} \cup \{P_i\}.$$

Also, let  $D_1 := V_1$  and  $D_i := V_i \setminus (\cup_{j=1}^{i-1} V_j)$  for  $i \in \{2, 3, \dots, m\}$ . Of course the sets  $D_1, D_2, \dots, D_m$  are disjoint and  $P_i \in D_i \subseteq V_i$ . The point set  $\mathcal{D} := \cup_{i=1}^m D_i$  contains each point of  $\mathcal{S} \setminus \mathcal{B}$  with weight  $4/3$ . Note that each point of  $D_i$  has weight  $4/3$ , except  $P_i$ . We introduce the following notion. For a point set  $\mathcal{U} \subseteq \mathcal{S}$  let  $\alpha(\mathcal{U})$  denote the average weight of the points in  $\mathcal{U}$ , that is,  $\alpha(\mathcal{U}) = w(\mathcal{U})/|\mathcal{U}|$ . First we prove  $\alpha(D_i) \geq 8/5$  for  $i = 1, 2, \dots, m$ . If  $t_3(P_i) = k$  (cf. Definition 6.1), then

$$|D_i| \leq |V_i| \leq 2k + 1. \quad (5)$$

If  $k = 1$ , then Proposition 6.6 yields  $w(P_i) \geq 10/3$  (since  $w(P_i) \neq 4/3$ ), hence in this case we have

$$\alpha(D_i) \geq \frac{10/3 + (|D_i| - 1)4/3}{|D_i|} = 4/3 + \frac{2}{|D_i|} \geq 2. \quad (6)$$

If  $k \geq 2$ , then Proposition 6.7 yields  $w(P_i) \geq 4k/3$ , thus

$$\alpha(D_i) \geq \frac{4k/3 + (|D_i| - 1)4/3}{|D_i|} = 4/3 + \frac{(k-1)4/3}{|D_i|} \geq 2 - \frac{2}{2k+1} \geq 8/5. \quad (7)$$

We define a further subset of  $\mathcal{S}$ ,  $\mathcal{E} := \mathcal{S} \setminus (\mathcal{B} \cup \mathcal{D})$ . Note that  $w(\mathcal{D}) \geq |\mathcal{D}| \frac{8}{5}$  and  $w(\mathcal{E}) \geq |\mathcal{E}|2$ , since each point of  $\mathcal{E}$  has weight at least 2 (see Proposition 6.6). The point sets  $\mathcal{B}$ ,  $\mathcal{D}$  and  $\mathcal{E}$  form a partition of  $\mathcal{S}$ , thus  $w(\mathcal{S}) = w(\mathcal{B}) + w(\mathcal{D}) + w(\mathcal{E})$ . We distinguish three main cases.

1. There is no trisecant of  $\mathcal{S}$  of type  $[4/3, 4/3, 4/3]$ . Then we obtain  $w(\mathcal{S}) \geq (q+2)\frac{8}{5}$ .
2. There is at least one trisecant of  $\mathcal{S}$  of type  $[4/3, 4/3, 4/3]$  and  $p \neq 3$ . Denote the number of trisecants of  $\mathcal{S}$  of type  $[4/3, 4/3, 4/3]$  by  $s$ . Lemma 6.15 yields  $s \leq 3$ . If  $s = 1$ , then  $w(\mathcal{S}) \geq 3\frac{4}{3} + (q-1)\frac{8}{5} = q\frac{8}{5} + \frac{12}{5}$ . If  $s = 2$ , then according to Lemma 6.15 there is at most one other trisecant of type  $[4/3, 4/3]$ . Thus in (5) we have  $|D_i| \leq |V_i| \leq k+2$ ,

where  $k = t_3(P_i)$ . If  $k = 1$ , then similarly to (6) we obtain  $\alpha(D_i) \geq 2$ . If  $k \geq 2$ , then similarly to (7) we obtain  $\alpha(D_i) \geq \frac{5}{3}$ . It follows that  $w(\mathcal{S}) \geq 6\frac{4}{3} + (q-4)\frac{5}{3} = q\frac{5}{3} + \frac{4}{3}$ . If  $s = 3$ , then according to Lemma 6.15 there is no other trisecant of type  $[4/3, 4/3]$ . Thus in (5) we have  $|D_i| \leq |V_i| \leq k+1$ . If  $k = 1$ , then similarly to (6) we obtain  $\alpha(D_i) \geq \frac{7}{3}$ , if  $k \geq 2$ , then similarly to (7) we obtain  $\alpha(D_i) \geq \frac{16}{9}$ . It follows that  $w(\mathcal{S}) \geq 9\frac{4}{3} + (q-7)\frac{16}{9} = q\frac{16}{9} - \frac{4}{9}$ .

3. There is at least one trisecant  $\ell$  of  $\mathcal{S}$  of type  $[4/3, 4/3, 4/3]$  and  $p = 3$ . It follows from Lemma 6.15 that the number  $g$  of further trisecants of type  $[4/3]$  is at most one. First suppose  $g = 0$ . As  $\mathcal{D}$  is empty, we obtain  $w(\mathcal{S}) \geq 3\frac{4}{3} + (q-1)2 \geq 2q + 2$ . If  $g = 1$ , then let  $r \neq \ell$  be the other trisecant of  $\mathcal{S}$  of type  $[4/3]$ . Let  $t \in \{1, 2, 3\}$  be the number of points with weight  $4/3$  in  $r \cap \mathcal{S}$ . It follows that  $w(\mathcal{S}) \geq (3+t)\frac{4}{3} + (3-t)\frac{8}{3} + (q-4)2 \geq 6\frac{4}{3} + (q-4)2 = 2q$ . ■

For a line set  $\mathcal{L}$  of  $\text{AG}(2, q)$ ,  $q$  odd, denote by  $\tilde{w}(\mathcal{L})$  the set of affine points contained in an odd number of lines of  $\mathcal{L}$ . [28, Theorem 3.2] by Vandendriessche classifies those line sets  $\mathcal{L}$  of  $\text{AG}(2, q)$  for which  $|\mathcal{L}| + \tilde{w}(\mathcal{L}) \leq 2q$ , except for one open case ([28, Open Problem 3.3]), which we recall here. For applications in coding theory we refer the reader to the Introduction of the paper of Vandendriessche and the references there.

**Example 6.18** (Vandendriessche [28, Example 3.1 (i)]).  $\mathcal{L}$  is a set of  $q+k$  lines in  $\text{AG}(2, q)$ ,  $q$  odd, with the following properties. There is an  $m$ -set  $\mathcal{S} \subset \ell_\infty$  with  $4 \leq m \leq q-1$  and an odd positive integer  $k$  such that exactly  $k$  lines of  $\mathcal{L}$  pass through each point of  $\mathcal{S}$  and  $\tilde{w}(\mathcal{L}) = q-k$ .

**Proposition 6.19.** *Example 6.18 cannot exist.*

**Proof.** The dual of the line set  $\mathcal{L}$  in Example 6.18 is a point set  $\mathcal{B}$  of size  $q+k$  in  $\text{PG}(2, q)$ , such that there is a point  $O \notin \mathcal{B}$  (corresponding to  $\ell_\infty$ ), with the properties that through  $O$  there pass  $m$   $k$ -secants of  $\mathcal{B}$ ,  $\ell_1, \ell_2, \dots, \ell_m$ , and the number of odd-secants of  $\mathcal{B}$  not containing  $O$  is  $q-k$  ( $q$ ,  $m$  and  $k$  are as in Example 6.18).

As  $q+k$  is even and  $k$  is odd, it follows for  $i \in \{1, 2, \dots, m\}$  and for any  $R \in \ell_i \setminus (\mathcal{B} \cup \{O\})$  that through  $R$  there passes at least one odd-secant of  $\mathcal{B}$ , which is different from  $\ell_i$ . As the number of odd-secants of  $\mathcal{B}$  not containing  $O$  is  $q-k$ , and  $|\ell_i \setminus (\mathcal{B} \cup \{O\})| = q-k$ , it follows that there is a unique odd-secant of  $\mathcal{B}$  through each point of  $\mathcal{B} \cap \ell_i$ , namely  $\ell_i$ . But  $|\mathcal{B} \setminus \ell_i| = q$ , thus lines not containing  $O$  and meeting  $\ell_i$  in  $\mathcal{B}$  are bisecants of  $\mathcal{B}$  (otherwise we would get tangents to  $\mathcal{B}$  not containing  $O$  at some point of  $\ell_i \cap \mathcal{B}$ ). Then

for  $i \in \{1, 2, \dots, m\}$  the points of  $\mathcal{B} \cap \ell_i$  are of type  $[2_q, k_1]$ . As  $m \geq 3$  and the lines  $\ell_1, \dots, \ell_m$  are concurrent, Theorem 6.12 yields a contradiction for odd  $q$ . ■

**Remark 6.20.** *Together with other ideas, our method yields lower bounds on number of odd-secants of  $(q + 3)$ -sets and  $(q + 4)$ -sets as well. We will present these results elsewhere.*

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