

# Advanced approach to the local structure reconstruction and theory validation on the example of the W $L_3$ -edge extended X-ray absorption fine structure of tungsten

Inga Jonane, Andris Anspoks, Alexei Kuzmin

Institute of Solid State Physics, University of Latvia, Kengaraga street 8, LV-1063 Riga, Latvia

E-mail: [a.kuzmin@cfi.lu.lv](mailto:a.kuzmin@cfi.lu.lv)

**Abstract.** Atomistic simulations of the experimental W  $L_3$ -edge extended X-ray absorption fine structure (EXAFS) of bcc tungsten at  $T = 300$  K were performed using classical molecular dynamics (MD) and reverse Monte Carlo (RMC) methods. The MD-EXAFS method based on the results of MD simulations allowed us to access the structural information, encoded in EXAFS, beyond the first coordination shell and to validate the accuracy of two interaction potential models – the embedded atom model potential and the second nearest-neighbor modified embedded atom method potential. The RMC-EXAFS method was used for more elaborate analysis of the EXAFS data giving access to thermal disorder effects. The results of both methods suggest that the correlation in atomic motion in bcc tungsten becomes negligible above 8 Å. This fact allowed us to use the EXAFS data to determine not only mean-square relative displacements of atomic W–W pair motion but also mean-square displacements of individual tungsten atoms, which are usually accessible from diffraction data only.

*Keywords:* EXAFS, Molecular dynamics simulations, Reverse Monte Carlo simulations, Tungsten

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## 1. Introduction

Tungsten and its alloys are important materials for plasma-facing components in fusion reactors, which are expected to withstand severe damage of their microstructure when exposed to high-energy irradiation [1, 2, 3]. Therefore, an understanding of material properties on atomistic level, in particular the mechanisms of embrittlement, is a challenging task which can be achieved by combined use of proper theoretical and experimental methods. Large-scale atomistic simulations, based on molecular dynamics (MD), are widely used to address this problem, but their reliability depends on the choice of interatomic potentials. Note that more than 30 different interatomic potentials are available for tungsten nowadays [4].

The accuracy of the interatomic potentials represents often a bottleneck of the MD simulations, therefore their validation becomes crucial. Structural, thermodynamic and vibrational properties of a material are conventionally used for this purpose [5, 6]. Another source of useful structural and dynamic information is the extended X-ray absorption fine structure (EXAFS), which includes also contributions from high-order atomic distribution functions, giving origin to the so-called multiple-scattering events [7]. The first uses of the MD simulations for the interpretation of EXAFS spectra date back to nineties of the last century [8, 9, 10, 11]. More recently the approach was widely applied to disordered [12, 13, 14, 15, 16, 17, 18, 19], nanosized [20, 21, 22, 23, 24, 25, 26, 27] and crystalline [20, 28, 29, 30, 31, 32, 33, 34] materials. The possibility to use EXAFS spectra for the validation of interatomic potentials was demonstrated in [28, 35, 36].

A complementary atomistic simulation approach to EXAFS spectrum analysis is based on reverse Monte Carlo (RMC) method [37, 38, 39, 40, 41, 42, 43, 44, 45, 46, 47]. It was realised in a number of computer codes as RMCprofile [48], SpecSwap-RMC [49], RMC++ [50] and EvAX [51]. The method was successfully used by us in the analysis of several materials as perovskites [51, 52, 32], tungstates [53, 54, 33], ZnO [31] and Y<sub>2</sub>O<sub>3</sub> [34]. While the MD-EXAFS approach deals with a time-dependent 3D model of a material and allows one to evaluate the configuration-averaged EXAFS spectrum from a set of atomic coordinates accumulated during the MD run, the RMC method solves an inverse problem thus reconstructing static atomic configuration from the experimental EXAFS data [37, 51].

Here we demonstrate the use of both approaches on the example of the W L<sub>3</sub>-edge EXAFS spectrum analysis for bcc tungsten.

## 2. Experimental

Good quality W L<sub>3</sub>-edge X-ray absorption spectrum of tungsten metallic foil (99.95%, Goodfellow) was recorded at  $T = 300$  K in transmission mode at the ELETTRA XAFS bending magnet beamline [55]. The storage ring operated in the top-up multibunch mode at the energy  $E = 2.4$  GeV and current  $I = 160$  mA. The synchrotron radiation

was monochromatized using a Si(111) double-crystal monochromator, and its intensity before and after the sample was measured by ionization chambers filled with a mixture of Ar, He and N<sub>2</sub> gases.

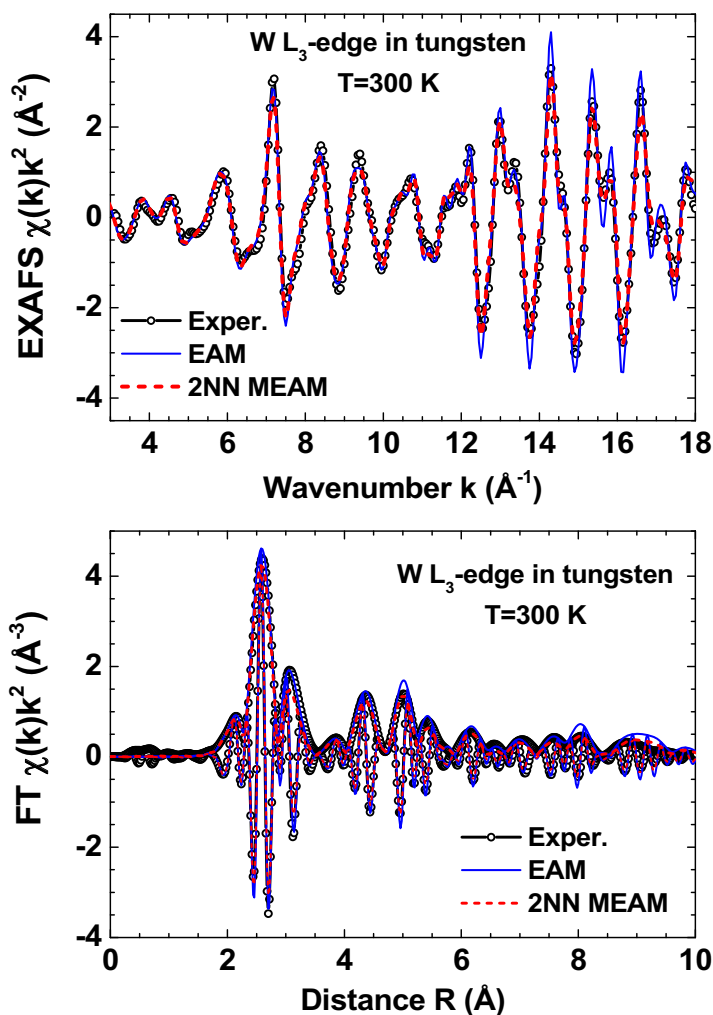
The experimental W L<sub>3</sub>-edge EXAFS spectrum was extracted using the conventional procedure [56, 57] and is shown together with its Fourier transform (FT) in Fig. 1. Note that the peaks up to 10 Å, due to the nearest 14 coordination shells around the absorbing tungsten atom, are clearly visible at  $T = 300$  K in the FT.

### 3. Molecular dynamics simulations

Classical MD simulations were performed in the canonical (NVT) ensemble with periodic boundary conditions by the GULP4.3 code [58]. The simulation box with bcc tungsten crystal structure was a  $7a_0 \times 7a_0 \times 7a_0$  supercell containing 686 atoms ( $a_0 = 3.165$  Å [59, 60]). The Newton's equations of motion were integrated with the Verlet leapfrog algorithm [61], using a time step of 0.5 fs. The Nosé-Hoover thermostat [62] was used to keep the average temperature around  $T = 300$  K during the simulations. After equilibration during 20 ps, a set of 4000 static atomic configurations was collected for the next 20 ps. The MD simulations were performed for two force-field models: the Finnis-Sinclair embedded atom model (EAM) potential [63] and the second nearest-neighbor modified embedded atom method (2NN MEAM) potential [64].

Sets of static atomic configurations obtained in the MD simulations were used to calculate the configuration-averaged W L<sub>3</sub>-edge EXAFS  $\chi(k)$  ( $k$  is the photoelectron wavenumber) within the multiple-scattering (MS) approach [35, 28, 65] using ab initio self-consistent real-space MS FEFF8.50L code [66, 7]. The scattering potential and partial phase shifts were calculated within the muffin-tin (MT) approximation [66] only once for the cluster with the radius of 10 Å, centered at the absorbing tungsten atom and constructed based on the average atomic configuration, corresponding to bcc tungsten structure. Small variations of the cluster potential due to thermal vibrations during the MD simulations were neglected. The MS contributions were accounted up to the 6th order to guarantee the convergence of the total EXAFS in the  $k$ -range of 3–18 Å<sup>-1</sup>. The photoelectron inelastic losses were accounted within the one-plasmon approximation using the complex exchange-correlation Hedin-Lundqvist potential [67]. The amplitude reduction factor  $S_0^2$  is included in the scattering amplitude [7], calculated by the FEFF code, and no additional correction of the EXAFS amplitude was performed.

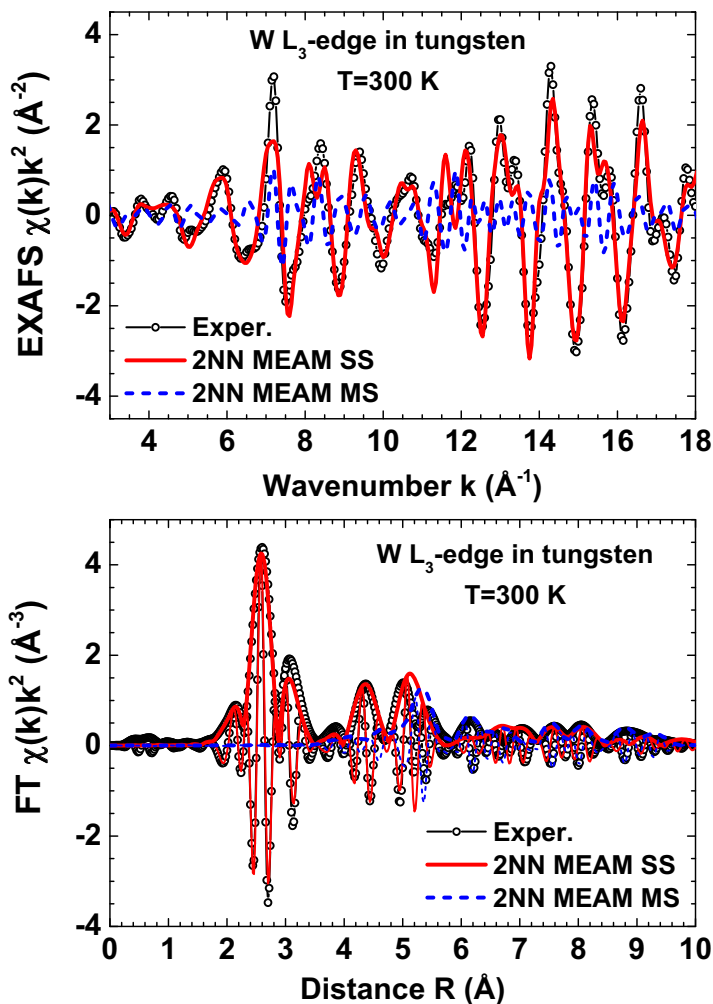
The configuration-averaged W L<sub>3</sub>-edge EXAFS spectra and their Fourier transforms (FTs) are compared with the experimental data in  $k$  and  $R$  spaces in Fig. 1. The single-scattering (SS) and MS contributions to the total EXAFS spectrum and their FTs are shown in Fig. 2. The total and partial radial distribution functions (RDFs)  $G(R)$  obtained from the MD simulations are reported in Fig. 3. The mean-square relative displacements (MSRD)  $\sigma_{\text{W-W}}^2(R)$  and mean-square displacements (MSDs) of tungsten atoms were also evaluated (see the inset in Fig. 3).



**Figure 1.** Comparison of the experimental and calculated W  $L_3$ -edge MD-EXAFS  $\chi(k)k^2$  spectra and their Fourier transforms (FTs) (modulus and imaginary parts are shown) in bcc tungsten at  $T = 300$  K.

#### 4. Reverse Monte Carlo simulations

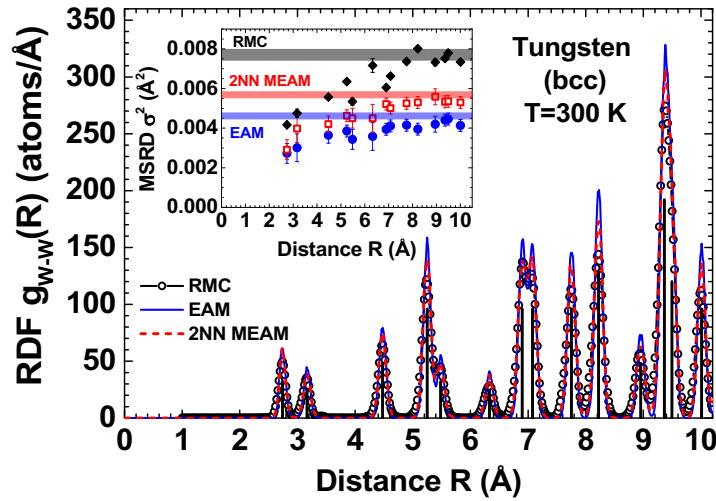
RMC method based on the evolutionary algorithm (EA), implemented in the EvAX code [51], was used to obtain structural model of bcc tungsten consistent with the experimental W  $L_3$ -edge EXAFS spectrum. The simulation box was a  $5a_0 \times 5a_0 \times 5a_0$  supercell (250 atoms) with periodic boundary conditions. Starting atomic configuration was constructed according to the diffraction data [59, 60]. RMC/EA calculations were simultaneously performed for 32 atomic configurations. At each iteration new atomic configuration was generated by randomly displacing all atoms in the box with the maximal allowed shift of  $0.4 \text{ \AA}$  to get best possible agreement between the Morlet wavelet transforms (WTs) of the experimental and theoretically calculated W  $L_3$ -edge EXAFS spectra [68, 69]. No significant improvement in the residual was observed after 4000 iterations. As in MD-EXAFS simulations, the configuration-averaged EXAFS spectra



**Figure 2.** A sum of the single-scattering (SS) and multiple-scattering (MS) contributions to the W  $L_3$ -edge MD-EXAFS  $\chi(k)k^2$  spectra (2NN MEAM potential) and their Fourier transforms (FTs) (modulus and imaginary parts are shown) for bcc tungsten at  $T = 300$  K. Open circles – the experimental data.

were calculated by ab initio real-space FEFF8.50L code [66, 7] including MS effects up to 6th order. Calculations were performed in the  $k$ -space range from 3 to  $18 \text{\AA}^{-1}$  and in the  $R$ -space range from 1 to  $8 \text{\AA}$ . The amplitude reduction factor  $S_0^2$  is included in the scattering amplitude [7], calculated by the FEFF code, and no additional correction of the EXAFS amplitude was performed.

The result of the RMC/EA calculations is shown in  $k$ ,  $R$  and WT spaces in Fig. 4. The average RDF function, which was calculated from atomic coordinates obtained in two RMC/EA simulations with different sets of pseudo-random numbers [51], is compared with the MD results in Fig. 3.

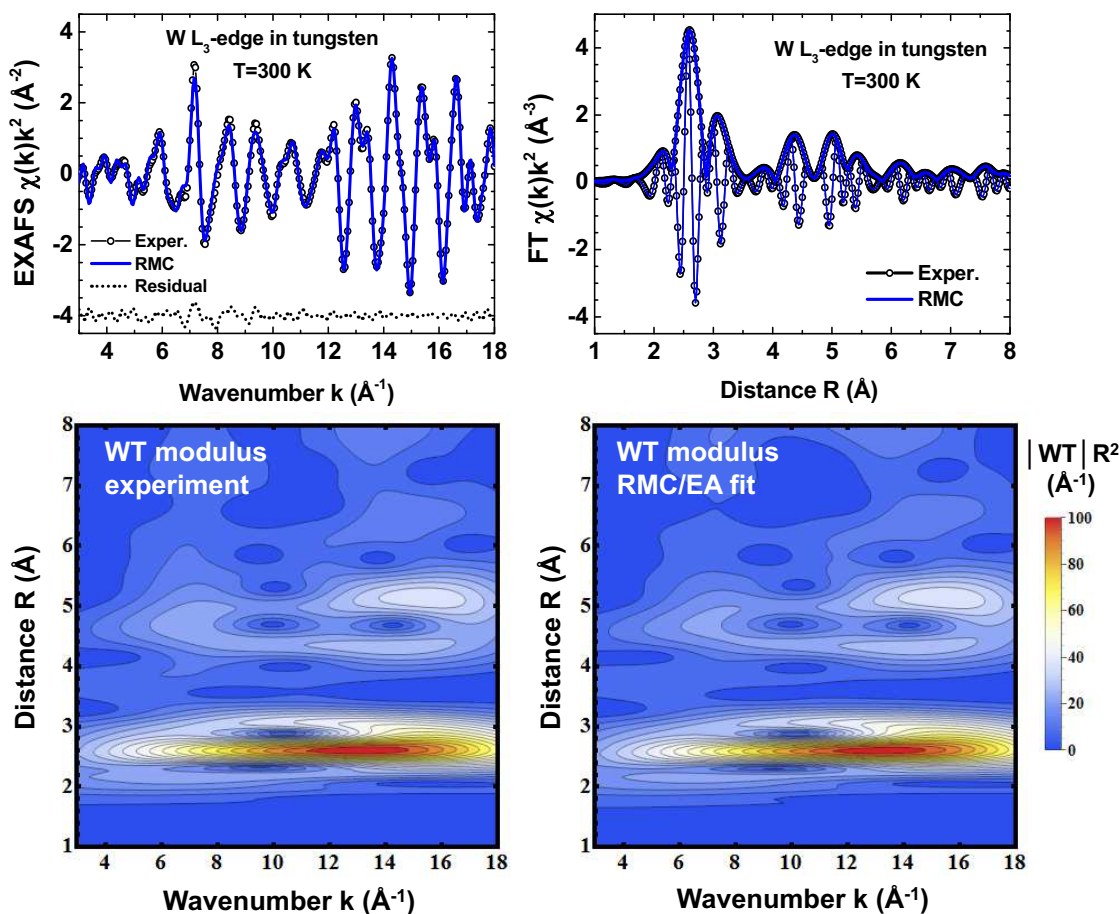


**Figure 3.** Radial distribution functions (RDFs)  $G_{W-W}(R)$  calculated from the results of the MD and RMC/EA simulations for bcc tungsten at  $T = 300$  K. Vertical lines show crystallographic data. Inset: Dependence of the MSRD  $\sigma_{W-W}^2(R)$  on distance. Horizontal lines correspond to a sum of two MSDs of tungsten.

## 5. Results and discussion

It is commonly believed that EXAFS spectroscopy is a local structural method. However, one can ask a question: how local is EXAFS spectroscopy? The region of a structure around the absorber contributing into EXAFS is determined by the photoelectron mean free path (MFP) and core hole lifetime. Additionally, the EXAFS is dumped by structural and thermal disorder, which are material dependent. In the case of crystalline materials with well-ordered high symmetry structure, enough strong bonds and consisting of chemical elements with scattering amplitude maxima located within the measured EXAFS  $k$ -range, the contributions from distant shells (up to 8-10 Å) can be observed in the high quality experimental EXAFS spectra. For example, the structural peaks were found up to about 9.5 Å in the Ni K-edge EXAFS of cubic rock-salt NiO [65]. Similar situation occurs in metallic bcc tungsten, which has strong scattering amplitude at large  $k$ -values (Fig. 5). For tungsten, the calculated MFP  $\lambda(k)/2 \simeq 12$  Å at  $k_{\max} = 18$  Å $^{-1}$ . This result is consistent with the fact that structural peaks are detectable in FT of the experimental W  $L_3$ -edge EXAFS up to about 10.5 Å. The structural origin of these peaks is supported by an agreement with the model MD-EXAFS spectra in Fig. 1.

Classical MD NVT simulations performed with EAM [63] and 2NN MEAM [64] potentials result in a set of atomic configurations, which allow generating configuration-averaged EXAFS spectra in agreement with the experimental data (Fig. 1). Position and amplitude of the most peaks up to 10 Å are well reproduced suggesting that both potential models describe well atomic structure and thermal disorder in bcc tungsten at  $T = 300$  K. However, the detailed comparison of the W  $L_3$ -edge EXAFS spectra in  $k$ -space indicates that the residual between the experimental and MD-EXAFS



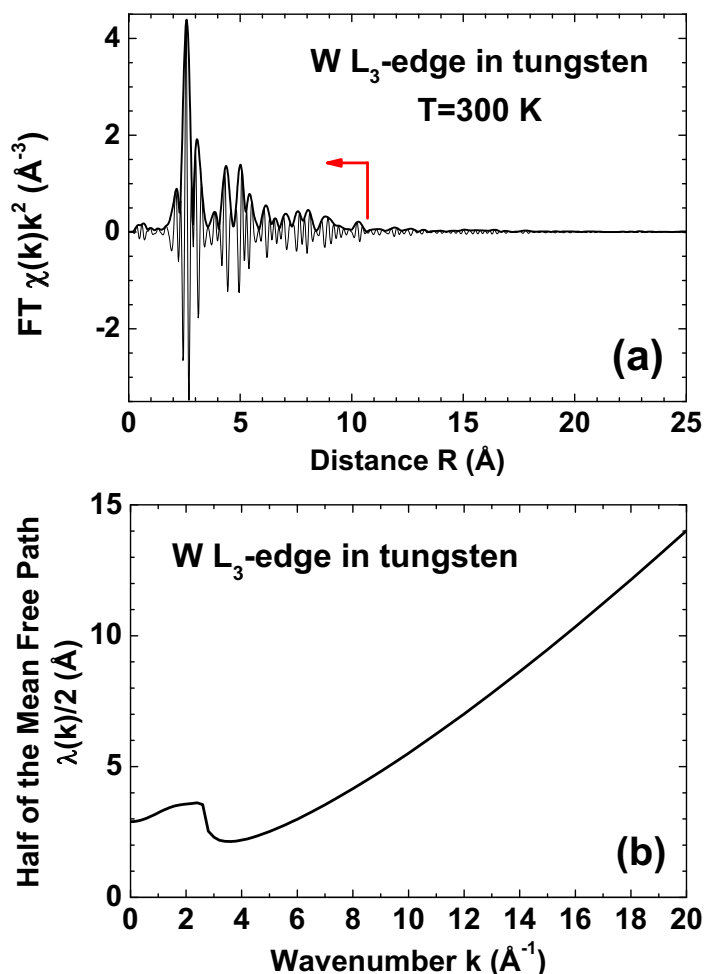
**Figure 4.** Comparison of the experimental and RMC/EA calculated W  $L_3$ -edge EXAFS spectra  $\chi(k)k^2$  and their Fourier transforms (FTs) (upper panels) as well as the moduli of their Morlet wavelet transforms (WTs) (lower panels) in bcc tungsten at  $T = 300$  K. The residual between the experimental and calculated EXAFS spectra is shown by dotted line. Both modulus and imaginary parts are shown for FTs.

spectra is about twice smaller in the case of the simulation based on the 2NN MEAM potential. Finally, best agreement with the experimental EXAFS data was obtained by the RMC/EA approach (Fig. 4).

Comparison of partial SS (due to pair correlations) and MS (due to many atom correlations) contributions to the total W  $L_3$ -edge EXAFS, obtained using the MD-EXAFS simulation with the 2NN MEAM potential [64], is shown in  $k$  and  $R$  space in Fig. 2. Significant MS contribution is present in the whole  $k$ -range and above 4  $\text{\AA}$  in  $R$ -space. This means that EXAFS signal from only first two coordination shells of tungsten (peaks from 1.5 to 3.5  $\text{\AA}$ ) can be accurately analysed within the SS approximation. For outer shells the MS signals produce comparable or even dominating contribution to the total EXAFS spectrum, and, thus, should be taken into account.

RDFs  $G_{W-W}(R)$  obtained for both potential models and from the RMC/EA fit of the EXAFS data are shown in Fig. 3. The widths of the peaks in RDFs determine the magnitude of disorder described by the MSR parameters  $\sigma_{W-W}^2(R)$  (see the inset).





**Figure 5.** (a) Fourier transform of the experimental W  $L_3$ -edge EXAFS spectrum  $\chi(k)k^2$  in bcc tungsten at  $T = 300$  K. The region of structural peaks is indicated by arrow. (b) Calculated photoelectron mean free path (MFP)  $\lambda(k)$  in bcc tungsten.

The smallest MSR values were found for the EAM potential, while slightly larger MSR values were obtained for the 2NN MEAM potential. The strongest broadening of the peaks was observed for the RMC/EA result, however, it is known that the RMC method gives a solution with maximal disorder among all possible structure models [70]. Anyway in all three cases the MSR  $\sigma_{W-W}^2(R)$  approaches the sum of two MSD values, shown by horizontal lines in Fig. 3, at large distances ( $R \gtrsim 8$  Å), i.e. for distant coordination shells. The MSR and MSD values for the  $i$ - $j$  atom pair are related as  $MSR_{ij} = MSD_i + MSD_j - 2\varphi\sqrt{MSD_i}\sqrt{MSD_j}$ , where  $\varphi$  is a dimensionless correlation parameter [71]. Therefore, the behaviour of the W-W MSR  $\sigma_{W-W}^2(R)$  at large distances in Fig. 3 reflects disappearance of correlations  $\varphi$  in atomic motion of distant tungsten atoms [34, 72, 73]. This means that EXAFS data can be used to obtain both MSR and MSD values, if information from distant shells is available and can be extracted.

Finally, one can compare the values of the MSDs for tungsten obtained by MD



and RMC simulations (see inset in Fig. 3) with those determined from x-ray diffraction experiments [74, 75] and calculated from lattice dynamics [76, 77]. Our simulations predict  $\text{MSD}(\text{EAM})=0.0023 \text{ \AA}^2$ ,  $\text{MSD}(\text{MEAM})=0.0029 \text{ \AA}^2$  and  $\text{MSD}(\text{RMC})=0.0039 \text{ \AA}^2$  in reasonable agreement compared to the experimental  $\text{MSD}=0.0061 \text{ \AA}^2$  in [74] and  $0.0022 \text{ \AA}^2$  in [75] and the calculated  $\text{MSD}=0.0023 \text{ \AA}^2$  in [76] and  $0.0018 \text{ \AA}^2$  in [77].

## 6. Conclusions

In this study we performed atomistic simulations of the experimental W L<sub>3</sub>-edge extended X-ray absorption fine structure (EXAFS) spectrum of bcc tungsten at  $T = 300 \text{ K}$  using two complementary approaches – molecular dynamics (MD) and reverse Monte Carlo (RMC) methods. High quality of the experimental W L<sub>3</sub>-edge EXAFS spectrum and the use of two advanced approaches allowed us to extend analysis far beyond the first coordination shell. Contributions from outer coordination shells within the range defined by the photoelectron mean free path (Fig. 5) are well visible in the EXAFS of bcc tungsten due to its well-ordered high symmetry structure and strong backscattering amplitude.

Classical MD simulations were conducted in the canonical (NVT) ensemble for two force-field models – EAM [63] and 2NN MEAM [64], and the configuration-averaged EXAFS spectra were calculated within the MD-EXAFS approach [28, 35] based on ab initio multiple-scattering formalism [7, 66]. The obtained results suggest that both force-field models allow one to reproduce well the experimental W L<sub>3</sub>-edge EXAFS spectrum of bcc tungsten, however the simulation using the 2NN MEAM potential results in about twice smaller residual. We have shown that multiple-scattering contributions become important starting from the third coordination shell and should be accounted in the analysis. RMC analysis gives best agreement with the experimental EXAFS data and predicts slightly larger MSD values for all coordination shells of tungsten than both MD simulations.

The possibility to analyse the W L<sub>3</sub>-edge EXAFS of bcc tungsten from distant coordination shells up to  $\sim 10 \text{ \AA}$  was demonstrated and allowed us to extract the MSD  $\sigma_{\text{W-W}}^2(R)$  dependence (Fig. 3). We found that the correlation in atomic motion in bcc tungsten becomes negligible above  $8 \text{ \AA}$ , so that the MSD values approach a sum of two MSD factors. The obtained values of MSD are in reasonable agreement with limited number of available data [74, 75, 76, 77]. This fact indicates that the analysis of outer shell contributions allows one to estimate the MSD factors directly from EXAFS data.

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