

Young Leadership Programme on Forest-based Bioeconomy

Focus on Mediterranean

Social Innovation in the Mediterranean and how it can help to manage Mediterranean forests

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1. Introduction
2. Social Innovation in Med-Forests: examples
3. Evaluation methodology
4. Results
5. Discussion and conclusions

Definition

Several definitions in literature: another “fuzzy” word - risk of misleading.

SIMRA definition for SI:

*The **reconfiguring of social practices**, in response to societal challenges, which seeks to enhance **outcomes on societal well-being** and necessarily includes the **engagement of civil society actors***
(Polman et al. 2016)

- **Reconfiguring:** SI as a process
- **Outcomes:** effects of SI on the society (well-being)
- **Actors:** civil society makes the difference



Introduction.....



Governance of mountain areas

LAMO - New ideas for marginalised mountain areas (Italy)
 Improving the governance of Lebanese forests (Lebanon).....
 Hack My Town (Italy)



Management of mountain ecosystems

Team Karwendel (Austria)
 EconoMountain (Portugal)
 TERRAVIVA: economic and environmental restoration of terraced landscapes (Italy)



Mountain services

Mountain therapy for people with disabilities (Italy).....
 Aktivno V Šolo: sustainable mobility for children going to school (Slovenia) ...



Local development

Artel 13: volunteers to the rescue of villages in Rhodope mountains (Bulgaria) .
 A co-operative driving tourism development in Përmet (Albania)
 S4RE: Skills for rural employment (Kosovo)
 Del Monte de Tabuyo (Spain)

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2. SI in MED-Forests: Bentael Natural Reserve (Lebanon) (2.7)

IMPROVING THE GOVERNANCE OF LEBANESE FORESTS (LEBANON)



HOW DOES THIS INITIATIVE HELP INVOLVE STAKEHOLDERS IN THE GOVERNANCE OF LEBANESE FORESTS?

A Stakeholder's Governance Committee has been implemented in the Bentael Nature Reserve (BNR) located in Mount Lebanon, one of the oldest nature reserves in Lebanon. Local authorities, with the support of external experts and external funding, implemented a participatory approach to engage stakeholders in the management of the forest and its resources, as well as in the decision-making process. The Committee, a comprehensive governance structure, was proposed to the communities local to the BNR, highlighting the importance of involving all direct and indirect beneficiaries with a shared interest (i.e. forest users, foresters, local inhabitants, researchers, environmental organisations, etc.) in the decision-making processes. This will be essential for strengthening social cohesion and community development.

Participatory Governance Model:
two main stakeholder group
(women and young people) for whom *technical, managerial and capacity-building support* were essential to their empowerment.

Co-design, co-planning and co-implementation of activities aiming to raise awareness of forest protection measures, the challenges of forest sustainability and support activities and the coordination of the BNR governance committee.

2. SI in MED-Forests: EconoMountain (Portugal) (3.7)

ECONOMOUNTAIN (PORTUGAL)



WHAT WAS THE MOTIVATION FOR THE ECONOMOUNTAIN INITIATIVE?

Vila Pouca de Aguiar is a county located north of the Douro Valley, in the north of Portugal. In this region, like in many other mountainous areas, land abandonment has led to an increased risk of forest fires. Generally, land abandonment results in landscapes that are more homogeneous, and an accumulation of dry matter in forests and rangelands. This increases the risk of fire, especially under the Mediterranean climate with a prolonged dry and hot summer season which is naturally favourable to wildfires. Forest fires are problematic from the point of view of security, loss of value of forest products and loss of ecosystem services. The EconoMountain initiative aims to create new economic activities and use resources in ways to reduce forest fuel and control forest fires.

The social innovation lies in the management of a **new technique of targeted grazing using goats for clearing mountain pastures, which acts as fuel control in case of a fire**. The initiative includes **forest owners, managers of communal land, shepherds, local authorities and a private biodiversity fund**.

IMPACTs:

- (i) Increased jobs for local shepherds
- (ii) Enhanced community awareness on benefits of resource and landscape management
- (iii) Achieved social recognition of the value of ecosystem services

2. SI in MED-Forests: Santa Olga reconstruction after the big forest fires of January 2017 (Chile) (4.7)



Source: Pancani, 2018

2. SI in MED-Forests: Forest baths in the Uccellina Forest in Maremma (Italy) (5.7)



BAGNO NELLA FORESTA ALL'UCCELLINA
HOME TREKKING

VIAGGI

MOUNTAIN BIKE

DIARIO

TEAM

CONTATTI

IT

Home / Trekking passati
/ Bagno nella Foresta all'Uccellina

Bagno nella Foresta all'Uccellina

21 OTTOBRE 2018

Trekking Passati



ESCURSIONE A PIEDI | DETTAGLI | EQUIPAGGIAMENTO



Horizon 2020
No. 677622

YLP EFIMED

Barcelona, 2018, the 28th of November

2. SI in MED-Forests: Kindergarden activities (6.7)

<http://www.asilonelbosco.com/wp/la-mappa-delle-esperienze-in-natura/>

ASILO NEL BOSCO

Non è vietato essere felici

HOME

CHI SIAMO

COSA FACCIAMO

BLOG

APPUNTAMENTI

ESPERIENZE IN NATURA – MAPPA

CONTATTI



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2. SI in MED-Forests: Kindergarden activities (7.7)



<http://www.asilonelbosco.com/wp/la-mappa-delle-esperienze-in-natura/>

- **Literature review** (163 frameworks/approaches/methods, 214 assessment or evaluation tools)
- **SI Think Tank (SITT) members consulted** (34 stakeholders at EU level; 2 online consultations, 1 world café)
- *Ad hoc* developed:
 - **an evaluation framework**
 - **a pilot evaluation approach and method**
 - **a pilot set of data collection tools =>** tested in 2 pilot cases
- **A refined set of data collection tools =>** 1 Focus Group (T2), 4 (Questionnaires: T3-T4-T5-T6), 2 Semi-structured interview (T7-T8) (guidelines T1+ OPINIO)
- **Currently under application in 10 Case Studies**



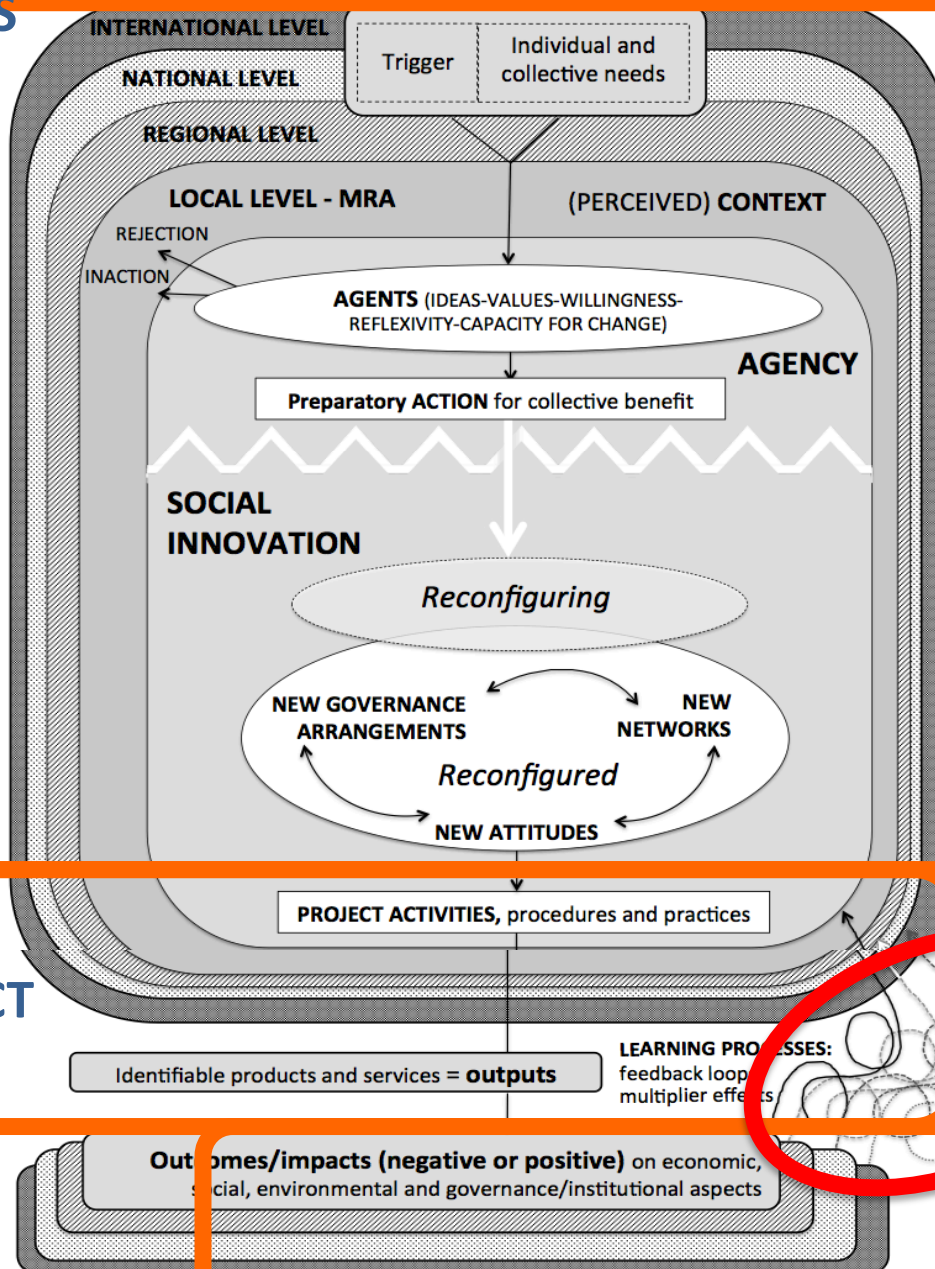
Key features of our set of methods (1.13)

- SI in MRAs should be evaluated **at local level**
- The evaluation can be done **ongoing, final or ex-post**
- The starting point and a core element of the evaluation is the **agency** (*innovators + followers + transformers*)
- Any **SI INITIATIVE** includes 3 parts:
 - 1) the SI process
 - 2) the SI project
 - 3) the SI outcomes/impacts and learning processes
- **Qualitative-quantitative approaches and tools are mixed**
 - a combination of **focus group, structured and semi-structured interviews** to different actors, consultation of datasets
 - final evaluation report: narrative text + indices/figures/numbers

4. Results: Evaluation Framework (2.13)



SI PROCESS



Determinants of SI

Supporting or hindering factors for SI

The key actors and actions

Reconfiguring social practices

Implementing a SI project

Learning from a SI project

SI PROJECT

SI EFFECTS

Outcomes/impacts (negative or positive) on economic, social, environmental and governance/institutional aspects



(Source: Secco et al. 2017: 108, D4.2)

- **Development of SMART/RACER indicators** able to complement those currently used, e.g.,

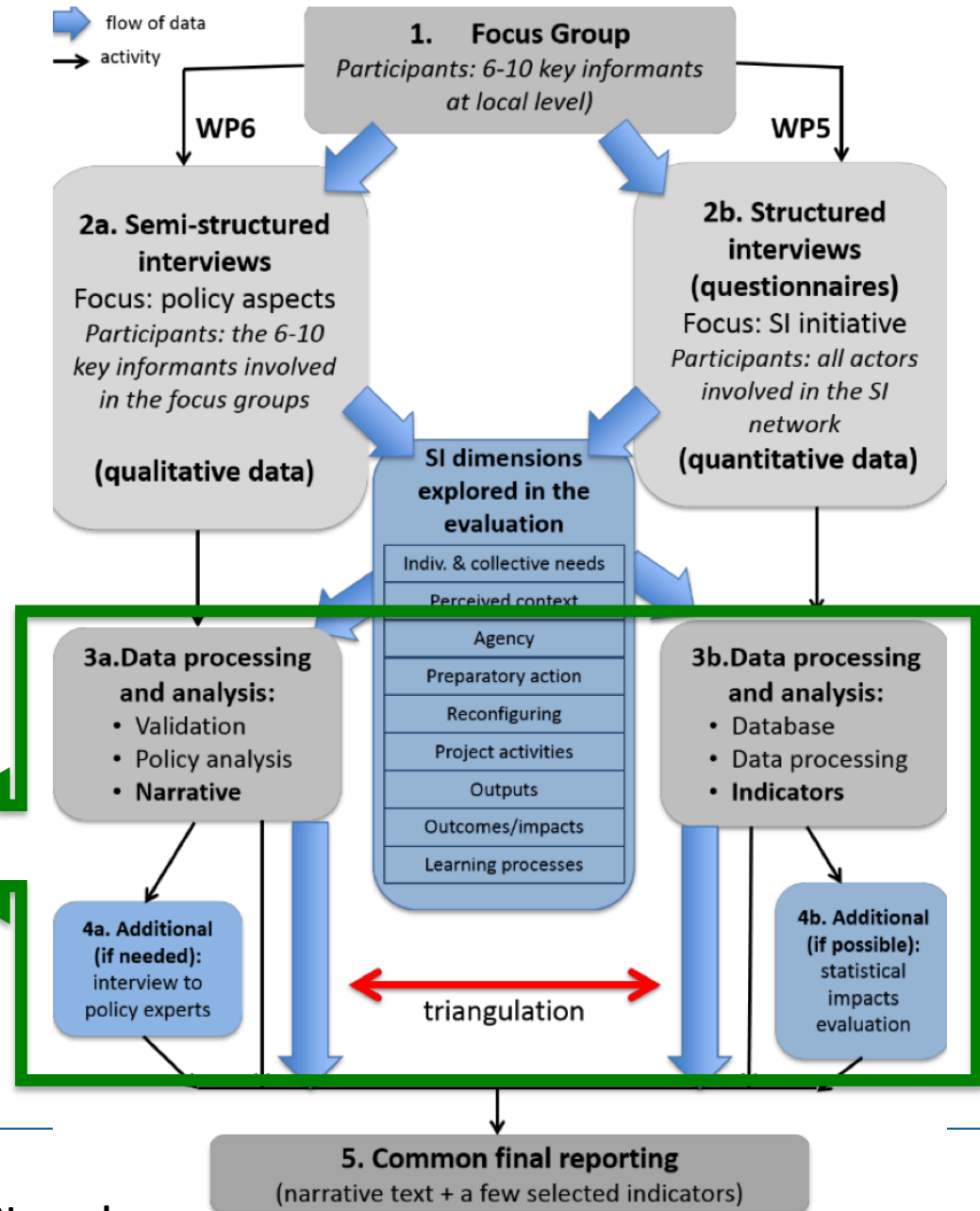
CMES impact indicator

- 1.14 Rural employment rate (secondary data, LAU level)

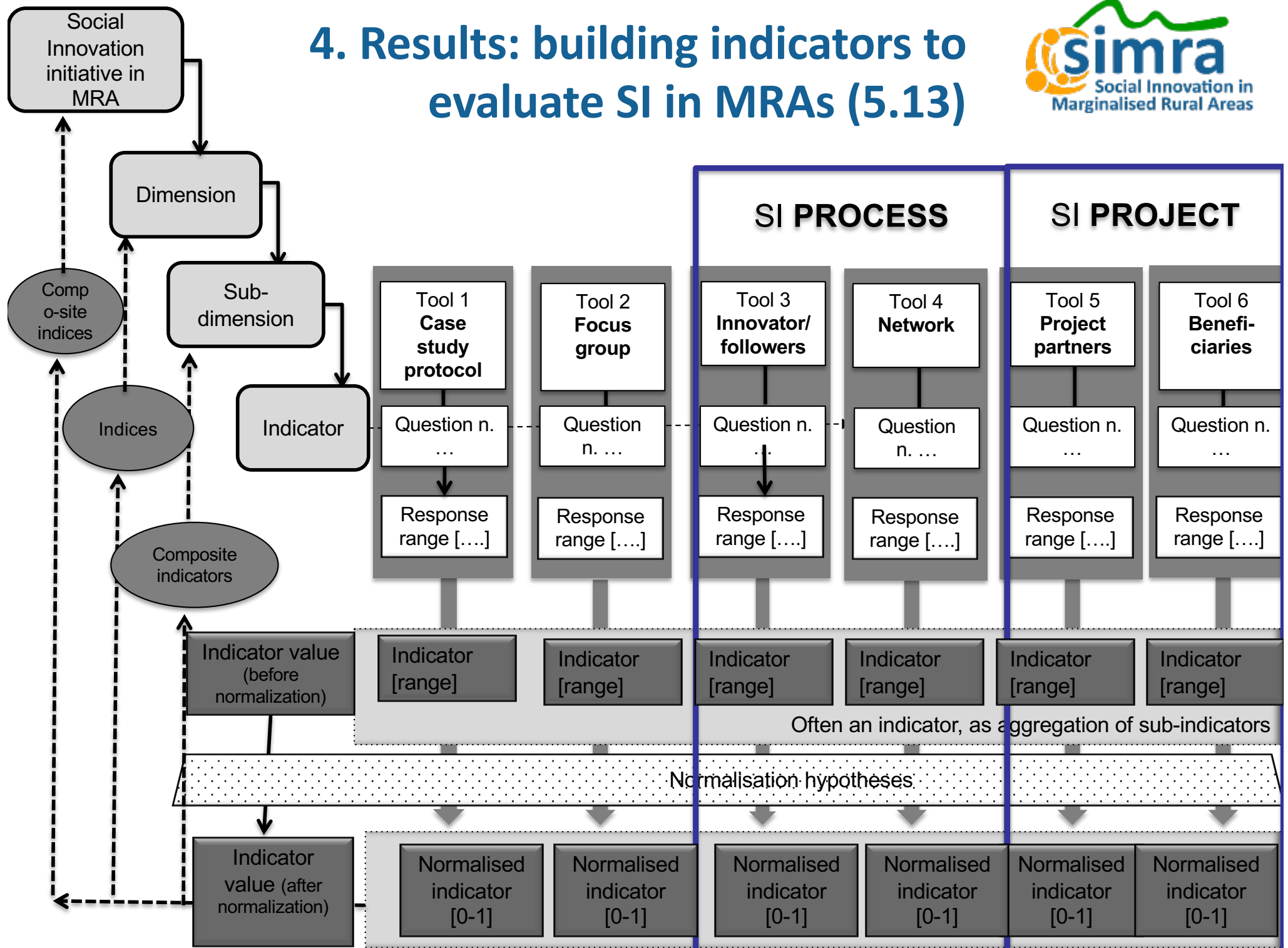
SIMRA impact indicator

- Level of satisfaction of the SI employees within the SI network (quality of work)
- Density network variation

- **Cross-checked with qualitative-based information**
- To understand **SI possible transferability**



4. Results: building indicators to evaluate SI in MRAs (5.13)



4. Results: Indicator fiche (6.13)

Example table

Tools	1	2	3	4	5	6
Questions' codes			A.b.2.1.	E.b.1.5.		
Type of answers			Open (max 3) list of elements	Open (max 3) list of elements		
Variables' codes in Excel			A.b.2.1-3	E.b.1.5 (.1, .2)		
Variables' range in Excel			Text	Text		
Data computation			Step 1 - Categorization of qualitative answers Step 2 – 100*(No. shared needs)/(Total needs identified by the network)			
Indicator range			[0-100]			

4. Results: indicators for REEIS (7.13)

Indicators –REEIS

Relevance, Efficiency, Effectiveness, Impact, Sustainability, for process and project.

Summary table

	SI Process	SI Project	SI initiative
<p>Relevance</p> <p>Are the objectives satisfying the needs?</p>	R1 R2 R3	R4 R5 R6	R7 R8
<p>Efficiency</p> <p>Have the outputs been achieved with few inputs in terms of resources and time?</p>	E1 E2 E3	E4 E5 E6 E7	E8 E9
<p>Effectiveness</p> <p>Are the achieved outputs satisfying the initial objectives?</p>	F1 F2 F3 F4	F5 F6 F7 F8 F9 F10	F11 F12 F13

4. Results: indicators for REEIS (8.13)

<p><u>Impact</u></p> <p>1. Are the outcomes in the same direction of the policies?</p> <p>2. Which are the environmental, social, economic and institutional impacts?</p>		<p>I3 (.1,.2,.3)</p> <p>I4</p> <p>I5</p> <p>I6</p> <p>I7</p>	<p>I8 (.1,.2,.3)</p> <p>I9 (.1,.2,.3,.4)</p> <p>I10 (.1,.2,.3,.4)</p> <p>I11</p> <p>I12 (.1,.2,.3,.4)</p> <p>I13</p> <p>I14</p> <p>I15</p>
<p><u>Sustainability</u></p> <p>1. Is it self-sufficient?</p> <p>2. To what extent is it continuing and spreading on time? Will it be long-lasting?</p>	<p>S1</p> <p>S2</p>	<p>S3</p> <p>S4 (.1,.2)</p> <p>S5</p> <p>S6</p>	

R. RELEVANCE

Are the **objectives** satisfying the **needs**?

Evaluation questions and Judgement criteria

1. RELEVANCE of the SI PROCESS

Evaluation question: Is the SI process relevant to the SI network's needs or to the European societal challenges?

Indicator R1: Consistency with European societal challenges

Judgement criterion: *the capacity of the SI idea to address one or more of the European societal challenges attests its consistency with European aims.*

Indicator R2: Shared needs within the SI network

Judgement criterion: *The higher the number of needs shared by both innovators-followers and transformers-mainstreamers on the total number of identified needs, the better the relevance of the SI process.*

Indicator R3: Shared vision regarding collective needs.

Judgement criterion: *the higher the number of SI network's actors who identify the same needs identified by innovators, the better the relevance of the SI process.*

Indicator R2. “Shared needs within the SI network”

Description: The indicator measures the consistency of the needs as identified by innovators and followers with those identified by transformers and mainstreamers. Both the individual and collective needs of innovators and followers are considered.

Judgement criterion: The higher the number of needs shared by both innovators-followers and transformers-mainstreamers on the total number of identified needs, the better the relevance of the SI process.

Tools	1	2	3	4	5	6
Questions' codes			A.b.2.1.	E.b.1.5.		
Type of answers			Open (max 3) list of elements	Open (max 3) list of elements		
Variables' codes in Excel			A.b.2.1-3	E.b.1.5 (.1, .2)		
Variables' range in Excel			Text	Text		
Data computation			Step 1 - Categorization of qualitative answers Step 2 – 100*(No. shared needs)/(Total needs identified by the network)			
Indicator range			[0-100]			

Notes: “categorization of qualitative answers” means that qualitative answers with same meaning but different wording are considered the same.

4. Results: Descriptive indicators (preliminary) (13.13)

A. Key elements of SIMRA's Social Innovation definition	Reconfiguring of social practices	[1-10] SIR1 6,64	[1-10] SIR2 5,85	[0-3] SIR3 0,70	[1-10] SIR4 8,78	[0,1,2,3] SIR5 NA							
	Response to societal challenges	[0-100] SIS1 29,55	[0-100] SIS2 18,18	[0-100] SIS3 43,94									
	Outcomes on social well-being	[-2;+2] SIO1 1,06	[0-100] SIO3 18,30										
	Engagement on civil society	[0-1] SIE1 0,65	[0.1-10] SIE2 0,66	[0.1-10] SIE3 1,65	[0-100] SIE4 66,67	[0-100] SIE5 41,67	[0-100] SIE6 34,38	[0-100] SIE7 17,46					
B. Innovation and learning process	Overall innovation	[1-10] SII1 8,78	[0-100] SII2 58,33										
	Feedbacks loops	[0-100] SIF1 38,33	[0-100] SIF2 16,67										
	Multiplier effects	[0-100] SIM1 20,83	[0-100] SIM2 62,50	[0-100] SIM3 66,67									
	Critical innovation effects	[0-100] SIC1 33,33	[0-100] SIC2 94,44	[0-100] SIC3 100,00									

- The **scope of application** (SI in MRAs)
- A **science-stakeholders co-constructed** process of development, testing and validation
- The **full integration of both qualitative and quantitative** approaches and tools
- The **inclusion of contemporary, emerging issues** in the evaluation of RD initiatives (e.g., **social capital, networks, governance**)
- The **complementarity with the Common Monitoring and Evaluation System (CMES)**
- The possibility to use it **in M&E of innovation in RD** (e.g., European Innovation Partnership, EIP-Agri)
- The possibility to use it in **self-evaluation processes** (e.g., LEADER-Community Led Local Development implemented by LAGs)

- **Hard to be applied *in toto*** for evaluation of examples of SI supported through RDP (it requires primary data collection at local level which might require time, resources and specialised skills, e.g. SNA, semi-structured interviews, ...)
- **Impacts evaluation with robust statistical techniques not included:** need to be designed case-by-case, only with certain specificities (it is not possible to identify a counterfactual group in advance)
- Need to be adapted for **social innovation occurring at higher levels than the local one** (e.g. National Forum of Social Farming in Italy)

- Maria Nijnik, David Miller and Carla Barlagne (**The James Hutton Institute, UK**)
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- LinkedIn:
<https://www.linkedin.com/groups/8546624/8546624-6159676893563015168>

Thanks for your attention!



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