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Boac, J. M., Ambrose, R. P. K., Casada, M. E., Maghirang, R. G., & Maier, D. E. (2014). Applications of discrete element method in modeling of grain postharvest operations. Retrieved from http://krex.ksu.edu

# Published Version Information

**Citation**: Boac, J. M., Ambrose, R. P. K., Casada, M. E., Maghirang, R. G., & Maier, D. E. (2014). Applications of discrete element method in modeling of grain postharvest operations. Food Engineering Reviews, 6(4), 128-149.

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Digital Object Identifier (DOI): doi:10.1007/s12393-014-9090-y

Publisher's Link: http://link.springer.com/article/10.1007/s12393-014-9090-y

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# APPLICATIONS OF DISCRETE ELEMENT METHOD IN MODELING OF GRAIN POSTHARVEST OPERATIONS

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Abstract. Grain kernels are finite and discrete materials. Although flowing grain can behave 26 like a continuum fluid at times, the discontinuous behavior exhibited by grain kernels cannot be 27 simulated solely with conventional continuum-based computer modeling such as finite-element 28 or finite-difference methods. The discrete element method (DEM) is a proven numerical method 29 that can model discrete particles like grain kernels by tracking the motion of individual particles. 30 DEM has been used extensively in the field of rock mechanics. Its application is gaining 31 popularity in grain postharvest operations, but it has not been applied widely. This paper reviews 32 existing applications of DEM in grain postharvest operations. Published literature that uses DEM 33 to simulate postharvest processing is reviewed, as are applications in handling and processing of 34 grain such as soybean, corn, wheat, rice, rapeseed, and the grain coproduct distillers dried grains 35 with solubles (DDGS). Simulations of grain drying that involve particles in both free-flowing 36 and confined-flow conditions are also included. Review of existing literature indicates that DEM 37 is a promising approach in the study of the behavior of deformable soft particulates such as grain 38 and coproducts and it could benefit from the development of improved particle models for these 39 complex-shaped particles. 40

41

42 **Keywords** Discrete element method, grain handling, grain processing, free-flowing grain,

43 confined grain

# 44 Introduction

Grain kernels are considered finite and discrete materials. At times, flowing grain can behave 45 like a continuum fluid or a collection of individual interacting particles depending, in large part, 46 on the energy imparted to the grain kernels (de Bruyn 2012). Granular materials such as cereal 47 grains that exhibit discontinuous behavior cannot be simulated solely using conventional 48 continuum-based modeling techniques such as finite-element or finite-difference methods. 49 Examples of processes dominated by discontinuum behavior include flow of bulk solids in 50 hoppers, feeders, chutes, screens, crushers, ball mills, mixers, and conveyor systems. Micro-51 mechanical behavior of particular media, stability of underground mine openings, stability of 52 rock slopes, and mineral processing are other solids handling or processing examples in which 53 continuum theory may be inapplicable (Dewicki 2003). 54

Williams et al. (1985) described the discrete element method (DEM) to numerically solve 55 problems involving discrete elements like grain kernels. The DEM belongs to a family of 56 numerical modeling techniques designed to solve problems in engineering and applied science 57 that display gross discontinuous behavior (Hustrulid and Mustoe 1996; Hustrulid 1998; Dewicki 58 2003). DEM can analyze multiple, interacting, deformable, discontinuous, or fractured bodies 59 undergoing rotations and large displacements. The basic assumption in DEM is that every 60 discrete element has distinct boundaries that physically separate it from every other element in 61 the analysis. Basic equations of elasticity are written under an inertial frame then transferred to a 62 non-inertial frame, which is translating and rotating. This is performed so that to an observer in 63 the non-inertial frame, i.e., the new frame, the object exhibits no mean translation or rotation. 64 The deformation can then be decoupled from the mean motion and written as the sum of the 65 bodies' normal modes, which in turn gives a newly derived set of decoupled modal equations. 66

These equations are applied on an element-by-element basis, and the elements communicate
through boundary forces. The decoupled equations are then solved by an explicit central
difference scheme, and the final solution is obtained by means of modal superposition (Williams
et al. 1985).

Cundall and Strack (1979), who were the first to publish this technique, defined DEM as a 71 numerical model capable of describing the mechanical behavior of assemblies of discs and 72 spheres. The model is based on an explicitly numerical scheme in which the particle interaction 73 is monitored at each contact, and the particle motion is modeled particle by particle. Figure 1 74 75 illustrates a schematic overview of the sequence of calculations involved in DEM simulation using the central difference, distinct element method proposed by Cundall and Strack (1979). In 76 DEM modeling, particle interaction is treated as a dynamic process, which assumes that 77 equilibrium states develop whenever internal forces in the system balance (Theuerkauf et al. 78 2007). Contact forces and displacements of a stressed particle assembly are obtained by tracking 79 the motion of individual particles. Motion results from disturbances that propagate through the 80 assembly. The mechanical behavior of the system is described by the motion of each particle and 81 the force and moment acting at each contact. Zhu et al. (2007) also mentioned that DEM 82 83 simulations can provide dynamic information, such as trajectories of, and transient forces acting on, individual particles, which is extremely difficult or impossible to obtain by physical 84 experimentation at this stage of development. Thus, DEM has been used increasingly to study 85 86 the particle mechanics in solids handling and processing applications. A complete description of the DEM can be found in Williams et al. (1985), Cundall (1988b), Hart et al. (1988), and Cundall 87 88 and Hart (1989).

89

DEM application is gaining popularity in postharvest processing of grain and food products because of its close characterization of actual conditions in predicting various processes. Unlike the field of mining and the chemical industry, however, DEM is not being widely applied because of various particle property issues arising from the biological origins of grain and food products. The objective of this paper is to review existing published research that used DEM as the numerical modeling technique in postharvest grain handling and processing. The scope of this paper is limited to DEM applications on grain and its coproducts.

# 97 Theoretical Background of DEM

#### 98 Approaches in DEM Modeling

Two types of DEM techniques are most common: hard-sphere and soft-sphere approaches. 99 These approaches are differentiated by how the deformation during collision or contact is 100 101 represented. The hard-sphere approach does not allow deformation or interpenetration during impact (Hoomans et al. 1996), whereas the soft-sphere approach does (Zhu et al. 2007; 102 O"Sullivan 2011a, 2011b). The hard-sphere approach is at the basis of the collisional or event-103 104 driven (ED) models. The ED models are also categorized as non-smooth DEM, which models 105 the shocks between particles by means of shock laws with restitution coefficient (Fortin et al. 106 2004). The strategy with ED models is to start with equations governing momentum exchange, 107 which contrasts with the soft-sphere approach that solve the equations governing the linear and 108 angular motion of the colliding or contacting particles (O'Sullivan 2011b). With the hard-sphere approach the time step interval for the numerical solution varies with the time between each 109 110 collision. In contrast, the soft-sphere approach uses a constant time step interval in the solutions. The ED method is limited to circular or spherical particles, takes into account collisions or 111 shocks between two colliding particles only, and does not consider multiple contacts (Fortin et 112

al. 2004). A sequence of instantaneous collisions is processed, one collision at a time, and the 113 forces between particles often are not explicitly considered (Zhu et al. 2007); therefore, the hard-114 sphere approach or the ED method is typically most useful in rapid granular flow simulations, 115 where the granular material is not dense because it has been partially or completely fluidized 116 (O'Sullivan 2011b). The hard-sphere approach is computationally cheap and, therefore, may be 117 preferred for non-dense flow. However, Delaney et al. (2007) argued that this approach, although 118 computationally faster, falls short in describing the details of the dense material's response 119 involving multiple simultaneous contacts. 120

121 Fortin et al. (2004) developed an improved non-smooth DEM based on non-smooth contact dynamics (NSCD). The NSCD method models the contact between particles with the Coulomb 122 unilateral contact law with dry friction and takes into account multiple contacts and shocks 123 between particles (Jean and Moreau 1991). Fortin et al. (2004) improved the NSCD by 124 overcoming the difficulties that arise in using the dry friction modeled by Coulomb's law, which 125 is typically non-associated (i.e., during the contact, the sliding vector is not normal to the friction 126 cone). They used bi-potential theory, which leads to a fast predictor-corrector scheme involving 127 just an orthogonal projection onto the friction cone and allows using a convergence criterion 128 129 based on an error estimator in the constitutive law. According to O'Sullivan (2011b), the contact dynamics method is not strictly under the hard- or soft-sphere approaches; they are sometimes 130 referred to as rigid body dynamics. 131

Cundall and Strack (1979) originally developed the soft-sphere method, which was the first discrete numerical modeling technique published in the literature. Particles in the soft-sphere approach are also rigid but they are permitted to overlap at the contact points as a representation of the deformation that occurs at the contacts (Zhu et al. 2007; O'Sullivan 2011a, 2011b). These

deformations are used to calculate elastic, plastic, and frictional forces between particles; the
motion of particles is described by Newton's laws of motion. The major advantage of soft-sphere
models is that they are capable of handling multiple particle contacts, which is important when
modeling quasi-static systems (Zhu et al. 2007).

Advantages of the soft-sphere approach in modeling dense-phase bulk granular materials were 140 141 also highlighted by Campbell (2006). He emphasized that dense granular materials (as opposed to those fluidized or in dilute phase) in bulk are soft because their sound speed is approximately 142 50 times slower than those of their constituent solid materials and the bulk has an apparent 143 elastic modulus more than three orders of magnitude smaller than its constituent solid. He added 144 that dense systems interact by force chains (which are quasi-liner structures that support the bulk 145 of the internal stress within the material) and transmit force along the chain by elastically 146 deforming the interparticle contacts. Modeling such systems as rigid spheres and any other 147 model would miss essential physics (Campbell 2006). He also mentioned that particle surface 148 friction is essential to modeling dense systems because removing it can cause transition between 149 an elastic and inertial flow regime. Surface friction is important to the strength of the force 150 chains and force chains are vital to the elastic flow regimes, thus, friction is also essential physics 151 152 required in the simulation to avoid erroneous behavior.

The soft-sphere approach, with the advantages listed above for describing the bulk material physics, is most commonly used in the grain and food-processing industries. Thus, soft-sphere DEM modeling is the focus of this review.

156 Governing Equations of Motion

In soft-sphere DEM, contact forces and displacements of the particle assembly are computedby tracking the motion of each individual particle using an explicit numerical scheme and a very

small time step (Cundall and Strack, 1979). The process uses Newton's laws of motion that gives
the relationship between the particle motion and forces acting on each particle. Translational and
rotational motions of a particle are defined as (Remy et al. 2009):

162 
$$m_i \frac{dv_i}{dt} = \sum_j \left( F_{n_{ij}} + F_{t_{ij}} \right) + m_i g$$
 (1)

163 
$$I_i \frac{d\omega_i}{dt} = \sum_j \left( R_i \times F_{t_{ij}} \right) + \tau_{ij}$$
(2)

where  $m_i$ ,  $R_i$ ,  $v_i$ ,  $\omega_i$ , and  $I_i$  are the mass, radius, linear velocity, angular velocity, and moment of inertia of particle *i*, respectively;  $F_{n_{ij}}$ ,  $F_{t_{ij}}$ , and  $\tau_{ij}$  are the normal force, tangential force, and torque acting on particles *i* and *j* at contact points, respectively; *g* is the acceleration due to gravity; and *t* is the time.

#### 168 Modeling of Contact Forces

Force-displacement laws at contact points can be represented by different contact models. The wide range of contact models and their corresponding equations are not discussed in detail in this review. Zhu et al.'s (2007) summarizes various contact force models as well as non-contact force models used in discrete particle simulations. O'Sullivan (2011b) also gives detailed discussions of contact models in her book.

174 The simplest contact model commonly used is the linear spring-dashpot model (Cundall and

175 Strack 1979), in which the spring stiffness is assumed to be constant (Mishra 2003). An

improvement to the linear contact model employs the Hertz theory to obtain the force

deformation relation for the contact (e.g., nonlinear-spring dashpot model). Unlike the linear

- 178 contact model, the Hertzian contact law considers that normal stiffness varies with the amount of
- 179 overlap. This approach has been extended to cases in which colliding bodies tend to deform
- 180 (constrained plastic deformation). Numerical models of interaction at the contact involve the

force-deformation equation, which is augmented with a damping term to reflect dissipation in thecontact area.

One model to represent the force-displacement laws at the contacts is the Hertz-Mindlin 183 contact model (Mindlin 1949; Mindlin and Deresiewicz 1953; Tsuji et al. 1992; Di Renzo and Di 184 Maio 2004, 2005). This non-linear model features both the accuracy and simplicity derived from 185 combining the Hertz theory in the normal direction and the Mindlin model in the tangential 186 direction (Tsuji et al. 1992; Remy et al. 2009). Forces on the particles at contact points include 187 contact force and viscous contact damping force (Zhou et al. 2001). These forces were calculated 188 by assuming the presence of elastic springs and dashpots in the normal (n) and tangential (t) 189 directions (Figure 2). 190

191 The normal force,  $F_n$ , is given as follows (Tsuji et al. 1992; Remy et al. 2009):

192 
$$F_n = -K_n \delta_n^{3/2} - \eta_n \dot{\delta}_n \delta_n^{1/4}$$
 (3)

where  $K_n$  is the normal stiffness coefficient;  $\delta_n$  is the normal overlap or displacement;  $\dot{\delta}_n$  is the normal velocity; and  $\eta_n$  is the normal damping coefficient.

The tangential force,  $F_t$ , is governed by the following equation (Tsuji et al. 1992; Remy et al. 2009):

197 
$$F_t = -K_t \delta_t - \eta_t \dot{\delta}_t \delta_n^{\frac{1}{4}}$$
(4)

where  $K_t$  is the tangential stiffness coefficient;  $\delta_t$  is the tangential overlap;  $\dot{\sigma}_t$  is the tangential velocity; and  $\eta_t$  is the tangential damping coefficient. The tangential overlap is calculated by (Remy et al 2009):

$$201 \qquad \delta_t = \int v_{rel}^t dt \tag{5}$$

where  $v_{rel}^t$  is the relative tangential velocity of colliding particles and is defined by (Remy et al. 203 2009):

204 
$$v_{rel}^{t} = (v_i - v_j) \cdot s + \omega_l R_l + \omega_j R_j$$
(6)

where *s* is the tangential decomposition of the unit vector connecting the center of the particle. In addition, a tangential force is limited by Coulomb friction ( $\mu_s F_n$ ), where  $\mu_s$  is the coefficient of static friction. When necessary, rolling friction can be accounted for by applying a torque to contacting surfaces. The rolling friction torque,  $\tau_i$ , is given by (DEM Solutions 2013; Remy et al. 2009):

210 
$$\tau_i = -\mu_r F_n R_0 \omega_0 \tag{7}$$

where  $\mu_r$  is the coefficient of rolling friction,  $R_0$  is the distance of the contact point from the

212 center of the mass, and  $\omega_0$  is the unit angular velocity vector of the object at the contact point

213 (Tsuji et al. 1992; Di Renzo and Di Maio 2004; Li et al. 2005; DEM Solutions 2013; Remy et al.

214 2009).

### 215 Stiffness and Damping Coefficient

After modeling the contact forces, the next step is to determine the values of stiffness, K, 216 damping coefficient,  $\eta$ , and friction coefficient,  $\mu$ . The friction coefficient is measurable and 217 considered a parameter obtained empirically. The damping coefficient can be computed from 218 219 stiffness. Thus, the stiffness is the parameter which must be determined first and can be computed by Hertzian contact theory when the physical properties such as Young's modulus and 220 Poisson ration are known (Tsuji et al. 1992). 221 222 Following the Hertz-Mindlin contact model above, the normal stiffness and normal damping coefficients are (Tsuji et al. 1992; Remy et al. 2009): 223

224 
$$K_n = \frac{4}{3} E^* \sqrt{R^*}$$
 (8)

225 
$$\eta_n = \frac{\ln e}{\sqrt{\ln^2 e + \pi^2}} \sqrt{m^* K_n}$$
(9)

where  $E^*$  is the equivalent Young's modulus,  $R^*$  is the equivalent radius,  $m^*$  is the equivalent mass, and *e* is the coefficient of restitution. Equivalent properties ( $R^*$ ,  $m^*$ , and  $E^*$ ) during collision of particles with different materials such as particles *i* and *j* are defined as (Di Renzo and Di Maio 2004; DEM Solutions 2013):

230 
$$R^* = \left(\frac{1}{R_i} + \frac{1}{R_j}\right)^{-1}$$
(10)

231 
$$E^* = \left(\frac{1 - v_i^2}{E_i} + \frac{1 - v_j^2}{E_j}\right)^{-1}$$
(11)

232 
$$m^* = \left(\frac{1}{m_i} + \frac{1}{m_j}\right)^{-1}$$
(12)

where *v* is the Poisson's ratio (Di Renzo and Di Maio 2004; DEM Solutions 2013). Similarly, for a collision of a sphere *i* with a wall *j*, the same relations apply for Young's modulus  $E^*$ , whereas  $R^* = R_i$  and  $m^* = m_i$ .

Tangential stiffness and tangential damping coefficients are defined as follows (Tsuji et al.

237 1992; DEM Solutions 2013; Remy et al. 2009):

$$238 K_t = 8G^* \sqrt{R^* \delta_n} (13)$$

239 
$$\eta_t = \frac{\ln e}{\sqrt{\ln^2 e + \pi^2}} \sqrt{m^* K_t}$$
(14)

where  $G^*$  is the equivalent shear modulus defined by (Li et al. 2005):

241 
$$G^* = \left(\frac{2-v_i}{G_i} + \frac{2-v_j}{G_j}\right)^{-1}$$
(15)

242  $G_i$  and  $G_j$  are shear moduli of particles *i* and *j*, respectively.

# 243 Critical Time Step

243 244	For dynamic processes, important factors to consider are the propagation of elastic waves
245	across the particles, the time for load transfer from one particle to adjacent contacting particles,
246	and the energy transmission across a system that should not be faster than in nature (Li et al.
247	2005). In the non-linear contact model (e.g., Hertzian), the critical time increment or critical time
248	step cannot be calculated beforehand, unlike the linear contact model in which the critical time
249	step is related to the ratio of contact stiffness to particle density. Miller and Pursey (1955),
250	however, showed that Rayleigh waves or surface waves account for 67% of the radiated energy,
251	whereas dilational or pressure waves and distortional or shear waves are 7% and 26%,
252	respectively, of the radiated energy. Thus, it is assumed that all of the energy is transferred by the
253	Rayleigh waves because the speed difference between the Rayleigh wave and the distortional
254	wave is small, and the energy transferred by the dilational wave is negligible (Li et al. 2005).
255	Moreover, the average time of arrival of the Rayleigh wave at any contact remains the same
256	irrespective of the contact point location. For simplicity, the critical time step is based on the
257	average particle size, and a fraction of this is used in the simulations (Li et al. 2005; DEM
258	Solutions 2013). The critical time step is given by the following equation (Li et al. 2005; DEM
259	Solutions 2013):

260 
$$t_c = \frac{\pi \bar{R}}{\beta} \sqrt{\frac{\rho_p}{G}}$$
(16)

where  $\overline{R}$  is the average particle radius,  $\rho_p$  is the particle density, *G* is the particle shear modulus, and  $\beta$  can be approximated by (Li et al. 2005):

263 
$$\beta = 0.8766 + 0.163 v$$
 (17)

A major concern in using the DEM is the computational time because of the calculation of particle interactions and spatial movement at very small time steps. Boukouvala et al. (2013) developed the Discrete Element- Reduced- Order Modeling (DE-ROM) approach to reduce
computational time. The authors used principal component analysis (PCA) based on the data
decomposition approach for discrete simulation and validated the new approach by studying a
mixing process. Although this approach is encouraging, it requires data pre-processing to
identify the optimal discretization based on the geometry and the state variable variability. This
recently published work has not been adapted in grain postharvest operation modeling.

# 272 Particle Models – Grain and its Coproducts

The choice of shape representation for modeling particles is critical to the accuracy of real 273 particle behavior during simulation, contact detection, and computation for contact forces 274 determination (Hogue 1998; Favier et al. 1999). The earliest particle models were two-275 dimensional (2-D) and of circular (Cundall and Strack 1979) or polygonal shapes (Walton 1983). 276 Later developments extended representations to three-dimensional (3-D) shapes, using spheres 277 (Cundall 1988a), polyhedra (Cundall 1988b; Hocking 1992), ellipses (Ting et al. 1993), 278 ellipsoids (Lin and Ng 1997), superquadric functions (Williams and Pentland 1989; Hogue 279 1998), multi-element axi-symmetrical non-spherical particles (Favier et al. 1999), and bonded 280 particles (Potyondy and Cundall 2004; Metzger and Glasser 2013). Although contact detection 281 282 and computation time are very important, the critical objective in DEM modeling is accurate 283 simulation of the behavior of an assembly of real particles (Favier et al. 1999). Favier et al. (1999) also mentioned that the influence of particle shape on predicted behavior is less 284 documented than the relationship between shape and the efficiency of contact detection, with the 285 286 exception of particle models that used polyhedral shapes (Hart et al., 1988; Ghaboussi and Barbosa, 1990). In the following sections, the particle models developed and used for predicting 287

handling and processing behavior of cereal grains, oilseeds, and their coproducts are exploredand summarized in Table 1.

#### 290 Soybeans

Soybean is one of the major oilseeds produced around the world. Like any other agricultural 291 grain, the physico-chemical properties of soybeans and their products depend on the place of 292 origin and processing methods. Soybean-handling systems and processing operations have been 293 simulated for the past 20 years in an effort to optimize processes. LoCurto et al. (1997) used a 294 particle model for soybeans consisting of a cluster of four spheres of equal radius, with centers 295 lying on a plane. This was similar to Favier et al.'s (1999) representation of non-spherical 296 particles comprising overlapping spheres with centers fixed in a position relative to each other 297 along the major axis of the particle's symmetry. The 3-D four-sphere particle model was used to 298 299 simulate the behavior of a single soybean kernel bouncing in aluminum, glass, and acrylic surfaces to measure the coefficient of restitution. The simulations predicted the coefficient of 300 restitution with reasonable accuracy. Vu-Quoc et al. (2000) created a soybean particle model 301 302 based on the multi-sphere method developed by Favier et al. (1999) to predict the dry granular flow of soybean in a chute. 303

Soybean kernels resemble a sphere with high average sphericity values of above 0.8 (Isik 2007); thus, to reduce computation times, single spheres were used by most researchers to simulate bulk soybean characteristics. Li et al. (2002) simulated the separation of soybeans and mustard seeds in a sieve using 2-D DEM and modeling soybeans as circular discs. They used a linear spring model and modified their codes by conducting trial runs to select the appropriate time step for the simulations. Both kernels (soybeans and mustard seeds) were assumed to have uniform particle size. The screen wire was also modeled in DEM using a group of circular

particles that had the properties of the screen wires, and these particles were vibrated to simulate 311 the movement of a mechanically agitated screen. The authors found that the two spherical 312 particle models representing soybeans and mustard seeds in a screening process were adequate 313 and that the DEM simulation can provide the critical feeding rate for the most effective screening 314 operation. Boac et al. (2010) used a single sphere particle model to simulate bulk soybean 315 316 property testing using EDEM (DEM Solutions, Ltd., Edinburgh, UK), a commercial DEM code. The researchers used a no-slip Hertz-Mindlin contact to simulate and model the bulk density and 317 angle of repose measurement tests. They conducted this simulation to develop a particle model 318 319 with appropriate parameter combinations of coefficients of restitution, static friction, rolling friction, particle size distribution, and particle shear modulus that best matched the property 320 values available in the literature. The developed soybean particle model was then used to 321 simulate the commingling of two soybean lots, with different intrinsic properties, in a bucket-322 type grain elevator boot system (Boac et al. 2012). 323

324 **Corn** 

Corn is a cereal grain that is grown widely throughout the world and is a major food grain in 325 Africa and Latin America, with the United States as its largest producer. In the U.S., almost 85% 326 of corn produced is used as livestock feed and as a raw material for industrial products (FAO, 327 2013). The design and development of processing and handling equipment for corn is a mature 328 area, but because of the volume of grain handled and the new varieties that are being developed 329 and to mitigate dust issues, particle modeling is being used to improve the design of equipment. 330 Chung and Ooi (2006, 2008a, 2008b) modeled corn kernels using overlapping spheres to match 331 the measured average major, intermediate, and minor dimensions. They used Particle Flow Code 332 333 (PFC) 3D (Itasca Consulting Group, Inc., Minneapolis, MN), a commercial DEM code, to

simulate a confined compression and rod penetration in a dense granular medium (Chung and
Ooi 2006; 2008a) and silo discharging (Chung and Ooi 2008b). The authors used a four-sphere
particle representation for corn because increasing the number of spheres in a single particle
leads to additional computational cost (Chung and Ooi 2006). Measured material properties
(Chung et al. 2004) were used for simulation purposes.

339 Modeling corn particles using overlapping discs called clumps in PFC 2D also has been employed in the development of particle models (Coetzee and Els (2009a, 2009b, 2009c). A 340 clump is a single entity composed of two or more overlapping spheres (in 3-D) and discs (in 2-341 342 D) to form one rigid particle. Internal contact forces between the overlapping spheres or discs are ignored in calculations (Lu and McDowell 2007). Clumps do not break during simulations 343 regardless of the forces acting upon them (Itasca 2008; Ferellec and McDowell 2010). Coetzee 344 and Els (2009a, 2009b, 2009c) used this 2-D-clump corn particle model to calibrate material 345 parameters such as the particle internal friction angle using laboratory shear tests and particle 346 stiffness using compression tests. They validated the calibration process by modeling silo 347 discharge and bucket filling. Coetzee et al. (2010) extended these studies to DEM modeling of 348 dragline bucket filling using particle models comprising two to four overlapping spheres that 349 350 represent crushed rocks.

The highest number of spheres used to develop a corn particle model was simulated by Gonzalez-Montellano et al. (2011, 2012a, 2012b). They modeled corn kernels consisting of six spheres using the multi-spheres method (Favier et al. 1999) and experimentally derived material property values (Gonzalez-Montellano et al. 2012c). The authors indicated that using more than six spheres to construct one corn particle would have slowed their simulation significantly, thus increasing computation time. The friction coefficients of this corn particle model were used to

predict the flow patterns of the discharging particles from a silo (Gonzalez-Montellano et al.
2011). Then, they applied this modified corn particle model to study the pressure distributions,
bulk density distributions, and flow properties during filling and emptying of silos (GonzalezMontellano et al. 2012a, 2012b).

361 *Wheat* 

Wheat is a highly irregularly shaped kernel whose shape representation for simulation 362 purposes is challenging; the presence of a crease makes it difficult to develop a particle with 363 identical spheres. Studies have reported using wheat kernels in 2-D to investigate the flow of 364 wheat in a mixed-flow grain dryer (Iroba et al. 2011a; 2011b; Mellman et al. 2011; Weigler et al. 365 2012). Monosized spherical particles were used to model the grain dryer in 2D using PFC 2D 366 software. Iroba et al. (2011a) indicated that using multiple spheres would make the simulation 367 368 time longer, whereas using non-spherical particles would be more difficult to model and would require more advanced algorithms. Because of the disc shape of the 2-D particles in the 369 simulation, however, bridging between particles occurred at the bottom discharge device of the 370 371 grain dryer, which did not happen during experiments. Iroba et al. (2011a, 2011b) explained that because the long and ellipsoidal shape of wheat kernels can orient in different directions during 372 discharge, flow can be enhanced, and bridging did not occur in the experiment. Spherical 373 particles (discs) tend to form bridges even though orientation is the same in all directions. To 374 overcome bridging of particles during simulation, the fixed part of the discharge device was 375 vibrated. In the subsequent simulations, the authors used non-spherical particles represented by a 376 2-D ellipsoidal clump consisting of five circular elements (Weigler et al. 2012). The clumps were 377 assumed to have the same material properties as wheat, which were adapted from Markauskas et 378 379 al. (2010). The DEM model indicated that using non-spherical particles (2-D ellipsoidal clumps)

can predict the real flow pattern, but disc-shaped particles did not produce the expected dynamicangle of repose that typically formed under the air ducts.

Keppler et al. (2012) predicted the velocity distribution of wheat kernels in a mixed-flow dryer 382 with 3-D wheat kernels using EDEM software. The wheat particle was represented by a clump of 383 three spheres. Although the particles used in EDEM were slightly bigger than actual particles, 384 385 the velocity prediction was nearly accurate. To compare the performance of different particle models, Sarnavi et al. (2013) simulated 3-D wheat kernels using three types of particle models: 386 (1) spherical, (2) 4-spheres, and (3) 8-spheres using the PFC3D software. They compared the 387 performance of the particle models with two contact models (linear vs. nonlinear) in predicting 388 the angle of internal friction and cohesion of wheat. They found that the single spherical particle 389 model, using both linear and nonlinear contact models, performed better in the simulations than 390 the multi-sphere models. Although different particle models have been used to simulate wheat 391 kernels, the studies clearly demonstrate that 3-D particle models have higher accuracy in 392 predicting the bulk behavior of wheat than a 2-D approach. The results do not, however, confirm 393 the best number of spheres to use to represent a single wheat kernel. This could be because of the 394 complicated shape of wheat kernels; the number of spheres should be approximated by trials 395 396 depending on the computation time and prediction accuracy required.

397 *Rice* 

Rice's ellipsoidal shape is similar to wheat, but the absence of a crease in rice makes it easier to approximate the rice particle shape. A 2-D circular disc approach was used by Sakaguchi et al. (2001) to model rice kernels in the shaking separation process using their own DEM codes (Sakaguchi et al. 1994). The authors obtained good agreement between the simulation and experiment with respect to the wave-like behavior of the grain assembly and the macroscopic

separation behavior of rice. Markauskas and Kačianauskas (2011) modeled rice kernels by 403 creating an ellipsoid using 11 spheres. They compared two rice particle models, with rolling 404 friction coefficients of zero and 0.3, using their own DEM code (Kačianauskas et al. 2010). 405 These particle models were used to simulate the filling and discharge flow and piling of the 406 kernels. The particle model with rolling friction produced a pile shape that better corresponded to 407 408 the actual pile. On the other hand, the particle model without rolling friction showed higher particle mobility, resulting in a spread of particles rather than a pile. A 7-sphere particle model 409 was used by Jiang and Qiu (2011) to simulate the impact behavior of rice kernels. The rice 410 411 particle modeled was an ellipsoid with a 3.5-mm half major axis and a 1.8-mm half minor axis. The authors implemented this rice model in EDEM software and studied the impact of rice 412 particles on the impact board of an inclined elevator head. Simulations predicted the 413 experimental results with high accuracy up to a certain mass of rice that impacts the board. A 3-414 D rice model was also used by Li et al. (2012) to simulate the material motion in an air-and-415 screen cleaning device. The authors separated rice kernels and straws using a coupled DEM and 416 computational fluid dynamics (CFD) model. The rice grain was represented in EDEM by a 417 spheroid that is 6 mm long with a 1.6-mm radius of rotation. The short straw was represented by 418 419 a cylinder 30 mm long by 4 mm diameter. These models were used to study the effect of inlet airflow velocity in terms of the longitudinal velocity, vertical height, and cleaning loss of rice 420 kernels and short straws. The coupled CFD-DEM model predicted the air-screen cleaning 421 422 process by describing the movement of particles on the screen surface. Coupling CFD with DEM is a recent advancement in particle modeling that will be useful in the grain processing industry 423 424 for prediction of various handling and processing operations.

425 Rapeseed

Rapeseed is the second leading source of vegetable oil and protein meal in the world next to 426 soybean (USDA ERS 2013); thus, its processing and handling optimization are important to the 427 industry. Bulk compressive loading of rapeseeds was modeled by Raji and Favier (2004a) using 428 a single sphere particle model. They found a slight difference in the initial particle positions 429 between the experiment and simulation, although strain intervals were calculated at the same 430 porosity values. This was an early attempt to model rapeseeds, and the authors extended the use 431 432 of this single sphere particle model to simulate rapeseed, soybean, and palm-kernel for bulk compression (Raji and Favier 2004b). Later, other researchers also modeled rapeseed using a 433 single sphere particle model to simulate the free fall and impact of rapeseeds against a flat 434 435 surface (Wojtkowski et al. 2010). The authors used two different contact models, an elastoplastic contact model for dry seeds by Thornton and Ning (1998) and a viscoelastic contact model for 436 wet seeds by Kuwabara and Kono (1987). Parafiniuk et al. (2013) simulated rapeseeds as single 437 spheres to predict flow through a horizontal orifice. The experimental mean radii and standard 438 deviation values were used to develop the single sphere model. The authors used EDEM 439 software and applied the contact models used by Wojtkowski et al. (2010) for dry and wet 440 rapeseeds. Parafiniuk et al. (2013) concluded that the contact models reproduced experimental 441 results for slow particle flow but needed the improvement of including dissipation for higher 442 443 particle flow rates. Wiacek and Molenda (2011) studied the influence of the moisture content of rapeseeds on the physical properties of grain bedding during uniaxial compression testing using 444 single sphere particle models. Results indicated that the mechanical response of a granular 445 assembly subjected to uniaxial compression is significantly affected by the moisture content of 446 kernels. Both the simulations and experiments revealed differences in the elasticity and the stress 447 transmission within rapeseed assemblies at various grain moisture contents. 448

The behavior of rapeseed during a direct shear test was modeled by Molenda et al. (2011) 449 using 2-D circular discs. They used circular elements with size uniformly distributed between 1.8 450 and 2.2 mm. Numerical simulations were performed using a non-commercial DEM code 451 (Wassgren 1997) to determine the influence of three different levels of standard deviations in the 452 coefficient of interparticle friction to the bulk behavior in a direct shear test. Particle interaction 453 454 in the normal direction was simulated using a linear viscoelastic model, whereas the tangential direction was expanded to include a frictional element. Variability in the interparticle friction 455 was found to influence markedly the stress-strain characteristic during the initiation of motion, 456 whereas the strength of the assembly (or steady state value of stress) remained constant. 457

#### 458 Grain Coproducts

Grain undergoes different processing methods during conversion into products and 459 coproducts. The particle characteristics of products derived from grain are generally controlled; 460 but particle characteristics are not uniform because the bulk contains particles with different 461 sizes, shapes, and chemical compositions. The challenge in modeling coproduct is in shape 462 representation using spheres. For example, distillers dried grains with solubles (DDGS), a 463 coproduct from corn-to-ethanol processing, contains a mixture of fiber, starch, and protein 464 components that vary in size and shape. Clementson (2010) modeled the flow and segregation of 465 DDGS using single sphere particle model in EDEM with the Hertz-Mindlin (no-slip) contact 466 model. The geometric mean diameter of actual DDGS ranged from 0.87 to 1.01 mm, but the 467 researchers used bigger particles because small particles required longer simulation time in 468 DEM; the log-normal bimodal distribution of these particles was kept similar to the actual 469 particle size distribution. The author found that the magnitude of changes in discharge rates in 470 471 the experiments were not the same as in the simulation, and the numerical simulation predicted

the same flow patterns as observed during funnel flow but not mass flow experiments. DEM has

- 473 not been widely used to predict the bulk behavior of coproducts from the grain-based food and
- feed industry, partially because of the computational load from the higher number of spheres
- required to obtain accurate shape representation.

# 476 Modeling Grain Handling Operations

Bulk behavior of cereal grains, oilseeds, and their products vary based on the quantity, environmental factors, method of processing, and handling equipment used. The grain handling and processing operations that have been modeled using DEM were subdivided into processes dealing with free-flowing grain, such as filling and emptying of silos, and confined grain, such as storage and compression.

# 482 **GRAN POSTHARVEST OPERATIONS MODELED OR STUDIED USING DEM**

483	• Free-flowing grain
484	• Filling and discharge of silo
485	• Bulk behavior during grain conveying
486	• Grain cleaning and separation
487	<ul> <li>Impacting grain kernels</li> </ul>
488	Confined grain
489	• Silo probing
490	• Compression
491	• Shear testing
492	Grain drying
493	Table 2 summarizes the model and references associated with these postharvest processing.

#### 494 MODELING FREE-FLOWING GRAIN

#### 495 *Filling and Discharge of Silo*

Due to the complexity of physical and chemical parameters, hopper flow of grain and grain 496 products usually encounters challenges such as ratholing, arching, caking, etc. Use of discharge 497 aids in grain-based food and feed industries is a common practice to achieve uniform flow of 498 material from hoppers and silos. DEM is increasingly applied to simulate bulk flow 499 characteristics of grain and products for better bin design and process optimization. 500 501 Different grain filling approaches have been used to simulate grain storage systems. Progressive filling is the more common method used in DEM simulation where particles are 502 generated continuously, whereas in *en masse* filling, all particles are generated simultaneously, 503 thus reducing computation time. In *en masse* filling, particles are allowed to fall under gravity 504 505 until a static equilibrium is reached. Gonzalez-Montellano et al. (2012a) used the *en masse* filling approach for glass beads and corn kernels filling in a silo. Particles were deposited rapidly on top 506 of each other, leading to many particles being trapped by the others without having dissipated 507 508 their initial energy. During emptying, the movement of the material diluted these effects, and the 509 observed pressures were similar to the expected pattern (Gonzalez-Montellano et al. 2012a). If 510 the *en masse* method is used in simulations, prediction errors should be taken into account when studying pressures during filling of silos. 511

Gonzalez-Montellano et al. (2012b) improved their simulations by using a modified particle model for corn (Gonzalez-Montellano et al. 2011) and the progressive method of filling a silo (Gonzalez-Montellano et al. 2012a) from their previous work. Results highlighted a difference in the vertical distributions of pressure between corn and glass beads. During both filling and discharge, the peak pressure at the silo-hopper transition was much higher for corn than for glass beads. Pressure values also fluctuated less for corn. For horizontal pressure distribution during

filling and at any time during the discharge of corn, maximum horizontal pressure was in the 518 central region of the silo walls then slowly decreased toward the corners. This result was the 519 same for glass beads, except that the distributions were less stable over time. In both models, the 520 velocity profile at the center was greater than at the walls. For corn, the distribution of the bulk 521 density in the vertical section was not as random as with glass beads. These researchers 522 523 demonstrated DEM's usefulness in studying the behavior of granular materials in silos and hoppers and the degree of detailed information that could be obtained from simulations. 524 Chung and Ooi (2008b) simulated silo discharge by emptying corn through a circular orifice 525 of a flat-bottom silo unloading onto a flat surface. Although the purpose of the study was to 526 examine the influence of gravity on a granular solid, the terrestrial aspects of experiments closely 527 simulated earth-bound processes using DEM. DEM simulation showed that the mass flow rate 528 decreases as gravity decreases, with a corresponding increase in discharge time. The simulation 529 also correlated with Beverloo's relationship that the mass flow rate is proportional to the square 530 root of the gravitational force. In addition to corn discharge parameters, DEM also predicted 531 reasonably the angle of repose of corn discharged from the silo (Chung and Ooi 2008b). 532 Mass flow rate and size of hopper outlet opening influence discharge of granular materials. 533 534 Coetzee and Els (2009a) studied the discharge of corn kernels from a glass rectangular silo in two dimensions using PFC2D. Two silo openings were used in this study. The authors found that 535 the corn particles modeled as clumps composed of two discs could reasonably predict the flow 536 537 patterns observed during experiments. The results indicated that a 2-D clump particle model had higher accuracy in predicting the flow of corn through a larger silo opening where the flow was 538 539 less restricted. Accuracy of DEM simulations depend on the particle models and the particle

parameter values used in the simulations. In this study, the two disc particle model could haveinfluenced the prediction accuracy.

Monitoring the density of material that flows from hoppers or bins is one method used to evaluate segregation. Clementson (2010) used DEM to predict the bulk density of DDGS particles during funnel flow and mass flow from hoppers. The hopper half angles used were 33 degrees for the mass flow and 65 degrees for funnel flow. DEM predicted a funnel flow for DDGS that was observed during experiments. The results reported by Clementson (2010) supported the hypothesis that the heterogeneity of DDGS does not facilitate true mass flow, irrespective of the hopper design.

DEM can be used to predict bulk density after filling a silo in addition to flow pattern and 549 discharge rate. González-Montellano et al. (2011) used corn kernels and glass beads in EDEM 550 simulations to model silo filling and discharge. For corn, three successive DEM models were 551 tested to identify the coefficients of interparticle and particle-wall friction. High interparticle 552 friction led to low bulk densities after the silo filling, which agreed with Boac et al.'s (2010) 553 results in simulated bulk density tests. High interparticle friction also increased the discharge 554 time. For glass beads, the velocity profile was qualitatively similar to corn but showed a more 555 556 fluctuating velocity profile. This result may be explained by the development of crystalline packing configurations when single sphere particles were used (Chung and Ooi 2008a; 557 Gonzalez-Montellano et al. 2011). For discharge rates, results for the glass beads showed wider 558 559 fluctuation than those for corn kernels, which was a consequence of the relatively larger ratio between particle size and silo opening used for glass beads (0.24) than for corn (0.17). 560 An axi-symmetric multi-sphere approach is a recent development that could be used to 561 562 develop particle models for irregularly shaped cereal grains. Markauskas and Kačianauskas

(2011) used this approach to simulate the filling and discharge of rice from a small-plane wedge-563 shaped hopper with a rectangular orifice. The authors simulated the angle of repose of the pile of 564 rice after its discharge from the hopper and modeled friction effects on the flow of rice through 565 an orifice. To model the friction effects, two rice particle models, with and without rolling 566 friction, were used. The researchers found that rolling friction must be taken into account to 567 avoid artificial local rotation of particles when using axi-symmetric multi-sphere particle models 568 to represent elongated, irregularly shaped particles. Numerical results provided quantitative 569 evidence of increased rolling friction owing to geometric deviations of the particle shape from 570 571 the axi-symmetric geometry. Simulations with zero rolling friction in the model resulted in a lower angle of repose and discharge time compared with experimental values. The authors also 572 investigated the rotational energy of particles inside the hopper using both models (Markauskas 573 and Kačianauskas 2011). The rolling friction practically suppressed local spin, whereas the 574 perpendicular rotation occurred because of the collective particle arrangement. The authors 575 showed the effects of rolling friction to rotational behavior of the particles and that neglecting 576 the rolling friction led to increased capability of particles to rotate by falling on the pile. 577 The effect of moisture content on the mass flow rate of rapeseed from a silo was modeled by 578 579 Parafiniuk et al. (2013), who verified the applicability of the elastoplastic model for dry seeds and the viscoelastic model for wet seeds adapted from Wojtkowski et al. (2010) in DEM 580 simulations. Simulation results revealed that the proposed contact models reproduced the 581 582 experimental results for slower rate of particle flow. At higher flow rates (or larger openings), however, the dissipation of energy led to higher noise in the force simulated on the silo bottom 583 584 than indicated by experimental results. This discrepancy was higher in simulations where the 585 elastoplastic contact model (for dry seeds) was used. In DEM simulations, mass flow rates of dry

and wet seeds did not differ if the mass flow rates were calculated as a sum of masses of particles 586 falling into the receiving container per time unit, but differences in the mass flow rates of dry and 587 wet rapeseeds were observed if calculated using the sum of vertical forces exerted by particles on 588 walls and floor of receiving container. The authors did not include cohesion parameters in 589 particle models, which resulted in the differences between predictions and experimental results. 590 591 The major concern when using DEM to study bin pressures is that it assumes rigid silo walls in the simulations (Gonzalez-Montellano et al. 2012b). This results in overprediction of the 592 horizontal distribution of normal pressure at the central positions on the walls. Gonzalez-593 594 Montellano et al. (2012b), after continued efforts to simulate grain bins using DEM, recommended that hybrid models combine DEM and the finite element method (FEM) to 595 compensate for DEM's limitations. DEM allows a more accurate simulation of the dynamic 596 behavior of the granular material itself, and FEM will allow flexible walls to be included, thus 597 yielding a complete model. 598

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#### Bulk Behavior During Grain Conveying

Shear zone theory was applied by Coetzee and Els (2009a) to simulate bucket filling using 600 DEM. The authors used a rig geometry that resembled a dragline bucket, which was pulled in the 601 drag direction by a set of ropes but with freedom of motion in all other directions, based on the 602 Shear Zone Theory developed by Rowlands (1991). DEM can accurately predict the filling 603 process of a bucket or scoop, the force acting on the bucket, and the fill rate. During the 604 experiments, the flow regimes as predicted by the Shear Zone Theory (Rowlands, 1991) were 605 also observed. DEM predicted these different flow zones (Coetzee 2009a, 2009c), and the 606 authors recommended that knowledge of the flow zones can be used to optimize buckets in terms 607 of fill rate, bucket force, and bucket wear. 608

Grain commingling is an unintentional introduction of a different grain type during typical 609 handling operations that directly reduces the level of purity in grain that enters an elevator 610 facility. Three approaches address commingling during grain handling: (1) ignore it, (2) identity-611 preserve (IP) the grain in dedicated containers, and (3) segregate or handle the IP grain in non-612 dedicated facilities. Due to limited scientific data on grain commingling in normal handling 613 operations, it is not possible to predict the level of purity that could be achieved with the third, 614 less expensive approach (Boac 2010). Boac et al. (2012) simulated grain commingling in a pilot-615 scale grain elevator boot using DEM models and evaluated the tradeoffs of computational speed 616 versus accuracy for 3D and quasi-2D boot models. Experimental data from the pilot-scale bucket 617 elevator showed that the average cumulative commingling was comparable to the values for full-618 size bucket elevator legs. To avoid overprediction, the 3D model was refined to account for the 619 sudden surge of particles during entry and corrected for the effective dynamic gap between the 620 bucket cups and the boot wall. Comparison of predicted average commingling of five quasi-2D 621 boot models with reduced control volumes showed that the quasi-2D (5.6 times the particle 622 diameter) model provided the best option in terms of computation time; it reduced computation 623 time by 72% to 74% compared with the 3-D model. Results of this study are being applied to 624 625 study the commingling of infested and sound kernels (wheat and corn) in bucket elevator boot systems. 626

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#### Grain Cleaning and Separation

The macroscopic behavior of paddy and brown rice during shaking separation was modeled by Sakaguchi et al. (2001) on an oscillating inclined separation plate using a 2-D DEM model. The grain kernels were represented as circular elements using the model developed by Sakaguchi et al. (1994). In the DEM simulation, the indents on the separation plate were modeled using

virtual walls. Particle exit from an indent was modeled as removal of a virtual wall when the 632 particle-wall contact exceeded a threshold value. There was good agreement between the results 633 of the simulation and the experiment in terms of the macroscopic separation behavior of the rice. 634 The experimental observations such as segregation caused by upward movement of paddy rice 635 relative to brown rice and the shearing of the grain bed to accumulate paddy rice near the lower 636 637 end of the shaker box were also predicted by the DEM simulation. The time required to achieve maximum separation of brown and paddy rice was the same in both experiment and simulation. 638 In the simulation, the circular particles moved closer to the lower end of the shaker than in the 639 experiment, which was due to the ease of rotation of the circular elements. However, the 640 simulation showed the same wave-like behavior of the grain assembly as in the experiment. The 641 authors concluded that a simple DEM model using 2-D circular particles and virtual walls was 642 effective and can be done with reasonable computation times. The model will allow further 643 investigation of the separation mechanism and exploration of the effects of different physical and 644 process parameters on the efficiency of grain separation in shaking separators. 645 Separation mechanism of grain kernels on sieves is a dynamic process that requires 646 consideration of various particle parameters such as size, shape, density, loading rate, and other 647 648 factors. Li et al. (2002) used a 2-D transient model to calculate the motion of discrete soybean and mustard seed particles on sieves using DEM. The authors studied the influence of particle 649 bed depth on undersize particle segregation in an inclined vibrating screen. In the DEM 650 651 simulation, the sieving screen was modeled to be made of vibrating circular particles (smaller than the kernels) with properties of the sieving wires. The numerical simulation indicated that at 652 a particle bed depth of about 5 times the size of the large particles and 12 times the size of the 653 654 screen apertures, most undersize particles segregated to the screen surface. The undersize

particles also passed through the apertures within about 40% of the sieve length at the front 655 section of the screen. For this particle bed depth, the screen length was long enough to ensure the 656 highest screening efficiency, 100% separation, which means no undersize particle passed over 657 and joined the overflow of large particles at the end of the screen. The authors concluded that for 658 a screening system involving granular materials, the critical feeding rate needed to achieve the 659 most efficient screening process can be determined using DEM simulation. Li et al. (2003) 660 extended this study to mathematically investigate the particulate motion of polyethylene pellets 661 on an inclined screening chute using DEM. 662

The coupled DEM-CFD approach has been used recently to predict the solid interaction with 663 fluids. Li et al. (2012) used a 3-D coupled DEM-CFD model to study the effects of inlet airflow 664 velocity on the kernels and short straw's longitudinal velocity and vertical height and the 665 cleaning loss in an air-and-screen cleaning device. The rice grain represented by a spheroid and 666 the short straw by a cylinder were generated in EDEM and allowed to fall on an inclined 667 vibrating screen. The CFD portion of the coupling model used the Eulerian-Eulerian model in 668 FLUENT (ANSYS Inc., Canonsburg, PA). The authors used Hertz-Mindlin contact model to 669 simulate particle-particle and particle-screen (wall) collisions. Through the coupled DEM-CFD 670 671 approach, the authors found that the length of the screen can be shortened if impurity content is lower. The coupled DEM-CFD modeling approach also could be used to improve the design of 672 combine harvesters because the model accurately predicts the particle movement in air. 673

#### 674 Impacting of Grain

The impact of grain as it falls on a flat surface influences breakage characteristics, friction, and coefficient of restitution. Wojtkowski et al. (2010) proposed that different models have to be used to predict the impact of grain kernels depending on moisture content. The researchers also

indicated that to determine a correct contact model, the ratio of the fall time to the rise time (TR) for the contact force-time characteristics should be considered. For TR>1, the authors recommended the viscoelastic model, whereas the elastoplastic model should be applied for TR<1.

Another application of DEM in investigating the impact of grain kernel on a surface was 682 reported by Jiang and Qiu (2011). The authors studied the effects of particle mass and the normal 683 contact force between a rice particle and the impact board of an inclined elevator during flow of 684 rice. Rice kernels were represented as ellipsoids composed of seven spheres in EDEM, and 685 celluloid was used as the material for the impact board to study the effect of elevator belt speeds 686 of 0.5 m/s to 1.0 m/s on bulk flow. The authors found that the normal contact force between the 687 flowing rice particles and the impact board increased as the belt speed increased, but belt speed 688 had no effect on tangential contact force. There was a good linear relationship between the rice 689 particle mass and the normal contact force when the rice particle mass was from 0.18 to 0.54 kg. 690 The authors also concluded that the retention stage (i.e., from the time when the normal contact 691 force is less than 30% of the maximum normal force to when it became zero) during impact was 692 not beneficial to grain mass flow measurement. Qiu et al. (2012) extended this study to include 693 694 the elevator belt speed of 1.5 m/s and the effect of sliding during impact.

695 MODELING CONFINED GRAIN

#### 696 Silo Probing

Managing grain quality in a grain handling facility involves sampling the grain from the incoming truck and testing it for quality. To assess quality, incoming bulk grain in trucks or rail cars are probed using mechanical (vacuum) probes. Chung and Ooi (2006), using DEM, simulated the penetration of probes in a dense granular medium to evaluate the resistance of

granular bulk to penetration of a moving object and the dynamic force transmission to a contact surface. The setup the authors used was comparable to a confined compression arrangement with a probe to penetrate the bulk granular materials. Glass beads and corn kernels were used in the simulations for comparison purposes. The authors found that the measured and predicted forces fluctuated during penetration into each material. The average trend was repeatable, with corn kernels giving a larger resistance to penetration than glass beads.

#### 707 *Compression*

Oil expression by compression is a major processing operation used by grain-based oil 708 709 industries. Compression of cereal grains is a complicated process to model because it involves 710 changes in density, inner porosity/voids due to oil removal, size, and shape. By incorporating the actual physical changes in the DEM model, Raji and Favier (2004a) developed a numerical 711 712 model to predict compression behavior of rapeseeds. The model was based on the actual physical changes during loading of a low-modulus viscoelastic spherical particles and the resulting 713 change in shape that are often neglected during DEM model development. The authors avoided 714 715 errors in estimating the porosity by compressing beds of rapeseeds before the seedbeds reached the oil point so the void spaces were not filled with oil. The oil point is the state at which the 716 bulk density of the seedbed approaches the seed kernel density. When the threshold pressure is 717 reached, the oil emerges from a seed kernel during mechanical seed-oil expression. DEM 718 predicted the mechanical compression of oilseeds within a standard error of estimate of 0.20, and 719 the predicted stress-strain values were not significantly different from the experimental values. 720 Extending the same modeling approach to canola, soybean, and palm-kernel, Raji and Favier 721 (2004b) validated their approach of using low-modulus viscoelastic spherical particles for DEM 722 723 simulations. Raji and Favier (2004a, b) concluded that DEM is a useful tool to study the behavior

of deformable soft particulates and the outputs from modeling could be used to design and
 modify oil expression process machinery.

The effects of materials' different shapes during compression were investigated by Chung and 726 Ooi (2006, 2008a), who simulated the confined compression of spherical (glass beads) and non-727 spherical (corn kernels) particles. The confined compression test simulation was designed to 728 investigate the mechanical response of a granular material under confined compression and the 729 load transfer to the containing walls. The applied vertical load, vertical displacement, vertical 730 force transmitted to the bottom platen, and force transmitted to the walls were measured, and the 731 732 material properties for silo design, the lateral pressure ratio, and the bulk wall friction were also evaluated. The findings from these studies indicated that accurate representation of particle shape 733 may not be necessary for prediction of kernels under compression because capturing the key 734 linear dimensions of a particle may be adequate. DEM results indicated that glass spheres, with 735 their tendency to spin more than non-spherical particles, were more sensitive to initial packing 736 arrangement as influenced by the particle generation method. Irregular particles such as corn 737 kernels were not sensitive to particle spacing as affected by the particle generation method. 738 Interparticle friction affected the loading for the containing walls for corn kernels but not for 739 740 glass beads; this result was attributed to the significant difference in particle stiffness between two particles. Reducing the contact friction allowed more contacts to reach limiting friction for 741 corn, thus resulting in a larger lateral pressure ratio and a smaller load on the bottom platen than 742 743 for glass beads.

Moisture content is a principal factor that influences the compression, size reduction, and handling behavior of bulk cereal grains. Understanding the effects of moisture on compression through modeling was initiated by Wiącek and Molenda (2011). The authors used EDEM

software with rapeseeds represented as single spheres with 1.9 mm diameter and used the 747 physical properties obtained from the literature (Wiacek, 2008). The load responses of rapeseed 748 subjected to uniaxial confined compression quantified at moisture contents of 7.5%, 9%, and 749 12% and were compared with experimental data. The authors observed that the DEM predicted a 750 softer response for the spherical assembly of rapeseeds compared with the experimental 751 observations. Although the model responses deviated from the actual values, this study 752 illustrated the possibility of using DEM to predict the mechanical behavior of granular materials 753 of biological origin. 754

755 Interparticle friction and particle stiffness also influenced the bulk response of grain kernels in DEM simulations under confined compression. Chung and Ooi (2008b) found that reduction of 756 particle stiffness by a few orders can provide a huge computational advantage, with secondary 757 effects on the load transmission in a quasi-static assembly. The researchers also found that 758 interparticle friction has an effect on the loading of containing walls in simulating confined 759 compression of corn kernels but not of glass beads. For corn kernels, reduced contact friction 760 allowed more contacts to reach limiting friction, resulting in a larger lateral pressure ratio and a 761 smaller load on the bottom of the confined structure. 762

Modeling the compression of grain has been used to calibrate material properties for DEM simulations (Coetzee and Els 2009a, 2009b) and to determine parameter values of cohesionless corn kernels. Coetzee and Els (2009a) calibrated particle stiffness using confined compression tests (also called oedometer tests) by applying stress to corn kernels along the vertical axis at low compression rates ( $\pm 2 \text{ mm min}^{-1}$ ). Numerical simulation of 2-D corn kernels indicated that the internal friction angle depended on particle stiffness and the particle friction coefficient. Results of the confined compression test showed that the simulated macro or bulk stiffness is a linear

function of the particle stiffness; thus, particle stiffness can be determined through the confined
compression test. This study showed that DEM simulation could enable determination of particle
properties to enhance understanding of the bulk behavior of cereal grains.

### 773 Shear Testing

DEM was used to examine the influence of the friction coefficient between two sliding 774 particles on the shear behavior of an assembly of rapeseeds in 2-D systems (Molenda et al. 775 2011). The authors first measured the interparticle friction coefficients for metal plates, pea, 776 wheat, and rapeseeds. Then they simulated the direct shear test using 2-D DEM models. The 777 authors found that the degree of variation of the coefficient of interparticle friction did not 778 influence the final value of shear strength at steady state flow; however, the level of standard 779 deviation of the coefficient of interparticle friction markedly influenced the shear path (or shear-780 781 strain characteristics) at the initiation of motion.

The effects of moisture content on shear testing were simulated by Sarnavi et al. (2013). They 782 modeled the strength properties of stored wheat kernels at different moisture contents using the 783 784 Jenike method of direct shear tests (ASTM 2006). The research group implemented linear and nonlinear models. Three types of particle models were used to create kernels by a multi-sphere 785 approach: (1) spherical, (2) 4-spheres, and (3) 8-spheres. The simulation of bulk behavior was 786 strongly affected by the interparticle interactions and particle shape representation in modeling. 787 Linear models are more capable of representing the variation in strength properties with moisture 788 content than nonlinear models. In general, both linear and nonlinear models have an equal 789 chance of correctly predicting strength properties of the wheat assembly. Spherical grain models 790 best simulated wheat kernels in bulk properties tests. Both the values of internal angle of friction 791 792 and apparent cohesion have about a 70% chance of prediction by the DEM model.

#### 793 **GRAIN DRYING**

Although grain is considered free-flowing during grain drying, the dense arrangement of the 794 particles inside the grain dryer make them behave like confined particles. Iroba et al. (2011a, b) 795 examined the physical phenomena that control particle flow in mixed-flow dryers (MFDs). They 796 investigated the residence time distribution (RTD), particle vertical velocity profiles, and particle 797 trajectories using PFC2D. Simulation results were validated with experiments using a semi-798 technical dryer test station with a transparent Plexiglas front wall. Experiments were conducted 799 with moist wheat as a bed material, with an average moisture content of 18% wet basis (w.b.) 800 and a bulk density of 783 kg m<sup>-3</sup>. Colored tracer particles were employed in the residence time 801 analysis in the mixed-flow dryer (MFD) to detect particle flow inhomogeneity and design deficit. 802 803 Simulation results showed that the DEM model adequately predicted particle flow during drying. Through DEM simulation, it was understood that two flow regimes exist in MFDs, the near-wall 804 region and the central region. Particles at the near-wall region had lower particle velocity, 805 806 whereas the central region had high particle velocity. Wall friction dominated the particle flow near-wall region and had a large effect on the bulk particle movement, whereas particle-particle 807 forces were dominant in the central region. Kernels passing through the MFD have different 808 809 vertical velocities, thus resulting in different residence times. The presence of two different flow regimes will affect overall dryer capacity and drying efficiency. Kernels flowing at lower 810 velocities may be over-dried, while those moving at high velocities may be under-dried. The 811 authors concluded that the present design of MFDs did not provide adequate cross-mixing, with 812 813 the effect of the half air ducts dominant on the sidewalls. Consequently, the current design may 814 lead to broad moisture content distribution at the outlet (inhomogeneous drying) with the risk of product quality deterioration during subsequent storage. This study underlined the importance of 815

<sup>816</sup> updated MFD design, such as the need to adjust the size and positions of the half air ducts.

817 Although the 2-D DEM model predicted the residence time distributions and the flow patterns,

818 improvements in the approach are needed to map velocity profiles. To depict the grain drying

process accurately, numerical simulation should also account for the shrinkage of kernels during
drying because this shrinkage alters the particle properties.

821 To improve the prediction of drying process using DEM, Mellman et al. (2011) modeled the effects of design elements and air duct arrangements on MFDs. The authors articulated the same 822 findings as Iroba et al. (2011a, b) regarding the RTD in mixed-flow grain dryers. Simulation and 823 824 experimental results showed that the DEM can adequately predict the main features of particle flow. The half air ducts at the sidewalls obstructed the free flow of grain, resulting in the long 825 tail of the RTD. The studies indicated that the diagonal duct arrangement showed a more even 826 grain moisture and temperature distribution than the horizontal duct arrangement. The airflow 827 distribution in the grain bed in the diagonal arrangement was considered degraded, however, 828 because of the dead zones, which were not flushed by the drying air, in the MFD. The authors 829 concluded that grain bulk and particle moisture content as well as grain temperature distributions 830 fluctuate strongly over the cross-section of the dryer, resulting in inhomogeneous drying. The 831 832 analysis displayed deficits in the present design of MFDs, namely the arrangement and allocation of the air ducts. 833

Due to variations in grain properties, dryer design, and drying parameters, optimizing dryer design and understanding particle movement inside the dryer is of continued interest researchers as well as industry. The influence of dryer walls and air ducts on particle velocity distribution in an MFD was investigated by Keppler et al. (2012), who modeled the effects of particle-wall friction, air duct apex angle, and wall angle on the vertical direction of particle velocity

distribution. The effects of different construction modifications for more even vertical grain 839 particle velocity distribution were analyzed using DEM. The authors found from experiments 840 and simulations that the sidewalls have a strong impact on grain flow, causing segregation; these 841 were similar to the findings by Iroba et al. (2011a). Both studies indicated that segregation 842 caused big differences in the residence time of single grain portions and caused uneven drying. 843 844 Weigler et al. (2012) extended the work of Iroba et al. (2011a, b) and Mellman et al. (2011) by investigating the particle and airflows in MFDs using DEM and CFD. The particle flow behavior 845 of wheat in the traditional MFD was simulated using PFC2D. Two different particle 846 representations of wheat, spherical and ellipsoidal, were studied and compared when simulating 847 particle flow. A diagonal air duct arrangement led to dead zones in airflow. Airflow through the 848 grain bed was simulated using CFD, applying the commercial software ANSYS CFX (Release 849 14.0, ANSYS, Inc., Canonsburg, Penn.). The airflow domain in the dryer apparatus was 850 discretized by generating a finite volume grid employing the software ANSYS ICEM (ANSYS, 851 Inc., Canonsburg, Penn.). The authors found that over- and under-drying occurred in traditionally 852 designed mixed-flow dryers because of unfavorable air duct arrangements; core flow of particles 853 due to the wall friction effect and the half air ducts fixed at the sidewalls, characterized by 854 855 retarded flow at the dryer walls and a fast flow region in the center; and dead zones in airflow, resulting in uneven airflow, grain flow, and drying conditions over the cross-section. They 856 recommended a new dryer design with the airflow distribution adjusted to the particle flow 857 858 distribution. In regions with higher particle velocities, higher air velocities should be provided. The sidewalls of the dryer should be inclined, and the half air ducts should be removed. 859 860 Researchers also added that future design development would require a tool that couples the

airflow characteristics with the particle flow characteristics, including the heat and mass transfer,
such as coupled CFD and DEM simulation.

Weigler et al. (2013) used the model they developed for MFDs (Weigler et al. 2012) to study 863 the flow of grain in the process of designing an efficient MFD using PFC2D. The particle flow 864 was studied by tracing the differently colored kernels through the transparent sidewall of the 865 866 dryer. Based on the observations, the authors developed a new MFD geometry that results in uniform drying of kernels. The greatest advantage of using DEM modeling techniques in grain 867 drying is the ability to study the grain velocity distribution within the dryer as affected by 868 constructional modifications. This will be of great interest to industry because understanding 869 grain behavior within the dryer allows analysis of drying without requiring an expensive 870 prototype. 871

### 872 A Case Study

In this case study, the commingling of two types of grain in a bucket-type grain elevator boot system is considered based on Boac et al. (2012). Previous research in commercial elevator equipment (Ingles, et al., 2003; 2006; Ingles, 2005) showed large variations between and within facilities for commingling of grain lots, which can greatly increase the number of experiments necessary to make widely-applicable inferences. However, DEM was used in this case study to model the commingling in a grain elevator boot system and avoid the time and expense of many more experiments.

A 3-D computer-aided design (CAD) drawing (DS SolidWorks Corp., Concord, Mass.) of the
pilot-scale bucket elevator leg and boot geometry (model B3, Universal Industries, Inc., Cedar
Falls, Iowa) was imported in EDEM 2.3. Grain commingling in the pilot-scale boot was
simulated using 3-D and quasi-2-D DEM models. Simulations were performed at 20% Rayleigh

time step. The Hertz-Mindlin no-slip model (DEM Solutions, 2013) was implemented as the
contact model for all simulations.

Two types of soybeans with different intrinsic properties were colored red and yellow in the 886 simulation to illustrate their difference. The particle model developed by Boac et al. (2010) for 887 soybeans was used. Red soybeans were allowed to flow inside the grain elevator boot geometry. 888 The grain elevator leg (composed of bucket cups) was allowed to run for 15 s of simulation time, 889 until the red soybeans stabilized as the residual grain at the bottom of the boot. With red 890 soybeans as the residual grain, yellow soybeans were generated in the simulation and allowed to 891 892 accumulate in the left-hand side (LHS) hopper for 15 s before opening the slide gate. Yellow soybeans were then continuously run in the boot for approximately 8 min in simulation time 893 (Figure 3a). 894

The same simulation procedure was followed for a quasi-2-D DEM model using a periodic 895 boundary and domain width equivalent to 5.6 times the particle diameter (Figure 3b). The total 896 particle mass of red and yellow soybeans was determined from each bucket cup in all 897 simulations. Predicted average commingling data were computed, plotted at each time interval, 898 and compared with experimental data. Figure 4 shows that the predicted average commingling 899 from 3-D and quasi-2-D DEM models of the boot closely matched the experimental data. 900 especially after the flow has stabilized after 100 s. The quasi-2-D (5.6d) model reduced 901 simulation run time by 72% to 74% compared to the 3-D model, with both models being run on 902 the same workstation (Table 4). This case study showed that grain commingling in a bucket 903 elevator boot system can be simulated with both 3-D and quasi-2-D DEM models, giving results 904 that agreed with experimental data. 905

Application of DEM in Other Food Engineering Operations
 Postharvest operations in any food engineering applications are complex and modeling has
 proved to be effective for prediction, process calculation and process design purposes. Ho et al.
 (2013) suggested that parallel multiscale modeling, with a complete understanding of the
 structural aspect of food material, will be the best approach for analyzing and designing food
 processing systems.

In specific, fresh horticultural crop produce are difficult to model due to their non-uniformity 912 in size and shape and for their higher vulnerability to changes in surface and textural 913 characteristics during handling and transport (Ambaw et al. 2013). Delele et al. (2010) developed 914 a combined DEM and computational fluid dynamics (CFD) model to analyze the airflow during 915 cooling through stacks of boxes with horticultural produce. DEM was used to generate random 916 stacking of spheres in the box. Cooling was simulated at different heights of the stack with 917 different diameter spheres. The results indicate that DEM helped identify that random filling has 918 less influence on the air flow resistance than other factors such as confinement ratio, size, 919 porosity, and box vent hole ratio. Through this coupled DEM-CFD approach, the flow profile in 920 individual pores could be analyzed that could not be done through porous media approaches. 921 Van Zeebroeck et al. (2006 ab) applied DEM to study impact damage in apples during 922 transport and handling. The authors used the nonlinear Kuwbara and Kono contact force model 923 and the parameters were derived experimentally. The model findings were validated using a 924 shaking box approach of vibrating apples in an electro-hydraulic shaker. Though the authors 925 predicted the bruising damage with reasonable accuracy, multi-impact bruise surfaces and the 926 bruise volume could not be predicted. For vibration damage, the Kuwabara and Kona contact 927 model predicted the condition of apple as influenced by fruit properties and mechanical 928

parameters such as vibration frequency and stack height. Further, the model accurately predictedthe existence of damage chains within the apple stack.

# 931 Summary and Conclusions

Existing literature that used DEM to simulate postharvest handling and processing, limited to 932 grain and its coproducts, was reviewed. The soft-sphere approach of DEM was commonly used 933 to develop these grain and food processing industry process simulations. The advantage of soft-934 935 sphere models was their capability of handling multiple particle contacts, which are of importance when modeling bulk grain systems. The deformations that a grain kernel undergoes 936 during handling and processing were used to calculate elastic, plastic, and frictional forces 937 between particles, and the motion of particles was described by Newton's laws of motion. 938 Particle models varied with the type of grain. For near-spherical kernels such as soybean and 939 rapeseed, single sphere particle models predicted particle behavior with greater accuracy. For 940 non-spherical kernels such as rice, wheat, and corn, particle representation using a multi-sphere 941 approach reduced specific simulation errors, but increased simulation time and computational 942 load because of the higher number of contact points requiring force and deformation calculation 943 at each contact point. To avoid this excess computation time problem, most researchers have 944 945 used single sphere models and had reasonable success in predictions. Rotation of the single-946 sphere particles must be properly described, however, because these particles rotate more easily in the simulation than observed in experiments. Thus, the rolling friction coefficient is an 947 important component when using spherical particle models to simulate non-spherical kernels. 948 949 Depending on the software used, both linear and non-linear (Hertz-Mindlin) contact models have been used effectively to study grain handling and processing operations. 950

951 DEM simulations have been used in different grain processing environments, such as those dealing with free-flowing grain and with confined grain, for optimizing processes and to improve 952 equipment design. In general, DEM has adequately simulated postharvest processing of grain 953 and grain coproducts. In some processes, such as the analysis of discharge from a silo and design 954 of grain dryers, coupling DEM with computational fluid dynamics is recommended for better 955 predictions. Although DEM has been increasingly used to study grain kernel processes, it has not 956 been widely applied. The huge variation in particle characteristics such as size, shape, surface 957 roughness, density, friction coefficients, composition, and other factors could be hindering the 958 use of DEM. Computational cost also limits DEM application; specifically, most of the particles 959 in grain-based food industries are smaller, which leads to higher computation time. Development 960 of precision particle models could help spur adoption of this numerical modeling concept and 961 optimize process and equipment design in the grain handling and processing industry. 962

#### 963 ACKNOWLEDGEMENTS

This is contribution no. 14-278-J from the Kansas State University Agricultural ExperimentStation.

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